Y 1.1/7:110-43/V.3
Financial Disclosure Reports of

FINANCIAL DISCLOSURE REPORTS

OF MEMBERS OF THE U.S. HOUSE OF REPRESENTATIVES

FOR THE PERIOD BETWEEN

January 1, 2006 and December 31, 2006

COMMUNICATION FROM THE CLERK OF THE HOUSE

TRANSMITTING

THE ANNUAL COMPILATION OF PERSONAL FINANCIAL DISCLOSURE STATEMENTS AND AMENDMENTS THERETO FILED WITH THE CLERK OF THE HOUSE OF REPRESENTATIVES, PURSUANT TO RULE XXVI, CLAUSE 1, OF THE HOUSE RULES

(Volume 3 of 4)

SUPERINTENDENT OF DOCUMENTS
DEPOSITORY
NOV 05 2007
BOSTON PUBLIC LIBRARY
GOVERNMENT DOCUMENTS DEPT.

JUNE 28, 2007.—Referred to the Committee on Standards of Official Conduct and ordered to be printed

FINANCIAL DISCLOSURE

REPORTS

OF MEMBERS OF THE U.S. HOUSE OF REPRESENTATIVES

FOR THE PERIOD BETWEEN

January 1, 2006 and December 31, 2006

COMMUNICATION FROM THE CLERK OF THE HOUSE

TRANSMITTING

THE ANNUAL COMPILATION OF PERSONAL FINANCIAL DISCLOSURE STATEMENTS AND AMENDMENTS THERETO FILED WITH THE CLERK OF THE HOUSE OF REPRESENTATIVES, PURSUANT TO RULE XXVI, CLAUSE 1, OF THE HOUSE RULES

(Volume 3 of 4)

JUNE 28, 2007.—Referred to the Committee on Standards of Official Conduct and ordered to be printed

---

U.S. GOVERNMENT PRINTING OFFICE

36–372 WASHINGTON : 2007

LETTER OF SUBMITTAL

OFFICE OF THE CLERK,
HOUSE OF REPRESENTATIVES,
Washington, DC, June 29, 2007.

Hon. NANCY PELOSI,
The Speaker, The Capitol,
House of Representatives, Washington, DC.

DEAR MADAM SPEAKER: I hereby submit the annual compilation of personal financial disclosure statements filed with the Clerk of the House of Representatives pursuant to the Ethics in Government Act of 1978, as amended, 5 U.S.C. app. § 101–111 (1994), and the House Rule XXVI.

This compilation contains the personal financial disclosure statements and amendments thereto required to be filed by Members of the House pursuant to the Act and House Rule XXVI by May 15, 2007. The compilation includes reported financial transactions which occurred between January 1, 2006 and December 31, 2006.

House Rule XXVI provides that the annual compilation of reports filed with the Clerk be printed as a House document by August 1 and contain all such reports filed by Members within the period beginning January 1 and ending June 15 of each year. This compilation is submitted accordingly.

With best wishes, I am

Sincerely,

LORRAINE C. MILLER,
Clerk, House of Representatives.

Enclosures.

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 4:34
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A — For use by Members, officers, and employees

Edward J. Markey
(Full Name)

2108 Raybum HOB Washington, DC 20515
(Mailing Address)

202-225-2836
Daytime Telephone:

Filer Status
- ☑ Member of the U.S. House of Representative — State: MA, District: 07
- ☐ Officer Or Employee — Employing Office:

Report Type
- ☑ Annual (May 15)
- ☐ Amendment
- ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION – THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Edward J Markey	May 15, 2007

3903

SCHEDULE I - EARNED INCOME

Name Edward J. Markey

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
US Public Health Service, Department of Health and Human Services	Spouse -- Pension	N/A
Private Practice of Medicine	Spouse -- Medical Fees	N/A
Zenith Insurance Co.	Spouse -- Medical Consulting Fees	N/A
Weizman Institute of Science	Spouse -- Lecture Fees	$5,000
University of California, San Diego	Spouse -- Lecture Fees	$3,000
United Jewish Communities	Spouse -- Lecture Fees	$5,000
Hadassah Organization	Spouse -- Lecture Fees	$5,000
Nurse Practitioners Association of New York	Spouse -- Lecture Fees	$4,500
Chicago Claims Association	Spouse -- Lecture Fees	$10,000
United Jewish Communities	Spouse -- Lecture Fees	$5,000
Weizman Institute of Science	Spouse -- Lecture Fees	$5,000
International Institute of Health Research	Spouse -- Lecture Fees	$10,000
Preferred Care	Spouse -- Lecture Fees	$10,000

SCHEDULE I - EARNED INCOME

Name Edward J. Markey

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Edward J. Markey

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Cong. Federal Credit Union Accts	$15,001 - $50,000	INTEREST	$1 - $200	
	Rydex OTC Investor Class Fund	$15,001 - $50,000	None	NONE	
	Janus Global Technology Fund	$1,001 - $15,000	None	NONE	
	Salomon Smith Barney, IRA-NASDAQ 100 Trust (QQQ)	$1,001 - $15,000	None	NONE	
	Firsthand Technology Value Fund	$1,001 - $15,000	None	NONE	
JT	Wachovia Bank Acct	$15,001 - $50,000	INTEREST	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Edward J. Markey

SP	Wachovia Bank Accts	$100,001 - $250,000	INTEREST	$201 - $1,000	
SP	Morgan Stanley Dean Witter IRA - S+P Depository Receipts	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Morgan Stanley Dean Witter IRA - Liquid Asset Fund	$1 - $1,000	INTEREST	$1 - $200	
SP	Morgan Stanley Dean Witter IRA - NASDAQ 100 Trust Series I	$1,001 - $15,000	None	NONE	
SP	Fidelity SEP - Fidelity Cash Reserve	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Fidelity Municipal Money Market	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	Reinvested
SP	TIAA-CREF Retirement Annuity	$50,001 - $100,000	INTEREST	$2,501 - $5,000	Reinvested
SP	TIAA-CREF Retirement Annuity CREF Equity Index	$100,001 - $250,000	DIVIDENDS	$5,001 - $15,000	Reinvested
SP	Janus Global Technology Fund	$50,001 - $100,000	DIVIDENDS	$1 - $200	
SP	Janus Orion Fund (renamed 10/31/06 from Janus Olympus Fund)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Rydex OTC Fund	$100,001 - $250,000	NONE	NONE	
SP	R.S. Emerging Growth Fund	$50,001 - $100,000	NONE	NONE	
SP	Firsthand Technology Value Fund	$50,001 - $100,000	NONE	NONE	
SP	Old Mutual Technology & Communications Fund	$1,001 - $15,000	NONE	NONE	

3908

SCHEDULE IV - TRANSACTIONS

Name Edward J. Markey

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Brown Advisory Opportunity Fund	S	12-29-06	$1,001 - $15,000
SP	Fidelity Municipal Money Market	P	Monthly dividend reinvestment	$1,001 - $15,000
SP	Fidelity SEP - Fidelity Cash Reserve	P	12-19-06	$1,001 - $15,000

3909

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Edward J. Markey

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The Aspen Institute	Jan. 7 - 14	DC - Punta Mita - DC Los Angeles - Punta Mita - DC (spouse)	Y	Y	Y	2 days

SCHEDULE VIII - POSITIONS

Name Edward J. Markey

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Boston College Law School Board of Advisors (uncompensated)

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 AM 9:39
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED
(Office Use Only)

James David Matheson
(Full Name)

1323 Longworth House Office Building
(Mailing Address)

202-225-3011
Daytime Telephone

Washington, DC 20515

Filer Status	☒ Member of the U.S. House of Representatives — State: UTAH District: 2	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jim Matheson	5-13-07

3912

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	University of Utah	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

3913

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name | Page 3 of 7

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

				Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP	*Examples*	SP	Mega Corp. Stock					X								X																	P
DC			Simon & Schuster			Indefinite																				X							
JT			1st Bank of Paducah, KY accounts						X									X				Royalties						X					
	Alpine Dynamic Dividend Fu					X										X							X										P
	Baron Partners Mutal Fund					X										X							X										P
	Coin Collection					X										X							X										
	Fidelity Asset Manager 50%						X										X			X							X						P-Reinvest and P
	Fidelity Balanced Fund						X										X			X							X						P-Reinvest S
	Fidelity Cash Reserves						X												X					[redacted]		X							

For additional assets and unearned income, use next page.

3914

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name

Page 4 of 7

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Equitable Variable Life																													
	Insurance Mutual Funds																													
	(1) AXA Moderate Plus Allocation					X									X								X							P-Reinvest Dividend
	(2) AXA Moderate Allocation				X										X								X							P-Reinvest Dividend
	Fidelity Export & Multinational				X										X			X				X								P-Reinvest Dividends
	Fidelity Freedom Fund 2035			X										S	X						S		X								P and Amount
	Fidelity Low Priced Stock				X										X			X						X							P-Dividends Reinvest
	Fidelity Spartan Muni Income			X											X		S	X					X								P-Dividend Reinvest
	Fidelity Spartan US Equity Fund					X									X			X						X							P-Reinvest Div and
	Fidelity Unique 2015 Portfolio					X								X							X										P
	Fidelity Value Discovery Fund			X										X							X										P
	Northeast Investors Trust			X											X									X							R Div. Reinvest
	Qualcomm Stock					X									X								X								P-Div. Reinvest
	TIAA-CREF Lifecycle 2035				X									X							X										P
	TIAA-CREF Real Estate				X									X							X										P
	T Rowe Price Small Cap Stock			X											X			X						X							P-Div. Reinvest
	Vanguard Emerging Markets Index				X										X								X								P-Div Reinvest
	Vanguard Small Cap Stock Index			X											X							X									P-Div Reinvest
	Vanguard Total Stock Mkt. Index			X											X								X								P-Div Reinvest
	Vanguard Wellesley Income Fund			X											X			X						X							P-Div. Reinvest
	Wasatch Micro-Cap Fund					X									X			X							X						P-Div Reinvest
	Zions Bank Checking Account			X													X						X								

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.		Type of Transaction			Date	Amount of Transaction									
SP, DC, JT	Asset	PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
	Alpine Dynamic Dividend Fund	X			12-22-06	X									
	Baldor Electric Stock		X		12-15-06	X									
	Baron Partners Mutual Fund	X			12-22-06	X									
	Fidelity Advisor Growth Opportunity Fund		X		12-15-06	X									
	Fidelity Asset Manager 50%	X			Semi-Monthly Payroll Deduct	X									
	Fidelity Asset Manager 50%	X			Various-Cap. Gain and Dividend Reinvestment	X									
	Fidelity Asset Manager 50%		X		12-19-06	X									
	Fidelity Balanced Fund	X			Various-Cap. Gain and Dividend Reinvestment	X									
	Fidelity Balanced Fund		X		12-15-06	X									
	Fidelity Balanced Fund	X			12-22-06	X									
	Fidelity Export and Multinational Fund	X			Semi-Monthly Payroll Deduct	X									
	Fidelity Freedom Fund 2035	X			12-19-06	X									
	Fidelity Low Priced Stock Fund	X			Various-Cap. Gain and Dividend Reinvestment	X									

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-08		X								
	Fidelity Low Priced Stock Fund		X		12-26-06	X									
	Fidelity Spartan U.S. Equity Fund	X			Semi-Monthly Payroll Deduct	X									
	Fidelity Spartan U.S. Equity Fund	X			Various Cap. gain and Dividend Reinvestment	X									
	Fidelity Spartan U. S. Equity Fund		X		12-19-06	X									
	Fidelity Unique 2015 Portfolio	X			12-28-06		X								
	Fidelity Value Discovery Fund	X			12-19-06	X									
	Northeast Investors Trust	X			Quarterly Dividend Reinvest	X									
	Northeast Investors Trust		X		12-26-06	X									
	Seligmann Communications + Information Fund		X		12-15-06		X								
	TIAA-CREF Growth Fund	X			Semi-Monthly Payroll Deduct	X									
	TIAA-CREF Growth Fund (Exchange into Funds Below)		~~X~~	X	12-19-06			X							
	TIAA-CREF Lifecycle 2035 (Growth Fund exchanged into this)			X	12-19-06		X								
	TIAA-CREF Real Estate (Growth Fund exchanged into this)			X	12-19-06	X									
	TIAA-CREF Real Estate	X			Semi-Monthly Payroll Deduct	X									
	T. Rowe Price Small Cap Stock Fund		X		12-19-06	X									

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction									
			PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset															
SP	*Example.*	Mega Corporation Common Stock	X			10–12–06		X								
	Vanguard Emerging Markets Index				X	12-19-06	X									
	-Received proceeds from exchanges from the three funds listed next:															
(1)	Vanguard Small Cap Stock Index				X	12-19-06	X									
(2)	Vanguard Total Stock Market Index				X	12-19-06	X									
(3)	Vanguard Wellesley Income Fund				X	12-19-06	X									
	Vanguard Wellesley Income Fund		X			Various Cap gain and Dividend Reinvestment	X									
	Wasatch Micro-Cap Fund		X			Monthly	X									
	Wasatch Micro-Cap Fund			X		12-15-06	X									
	Wasatch Micro-Cap Fund		X			12-28-06 Cap gain Reinvest	X									

This page may be copied if more space is required.

3918

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A — For use by Members, officers, and employees

HAND DELIVERED

...IVE RESOURCE CENTER

2007 MAY 14 PM 3:40

(Office of the Clerk U.S. House of Representatives)

(3)

Doris Okada Matsui
(Full Name)

House of Representatives 222 Cannon House Office Building Washington, DC 205 — 202-225-7163
(Mailing Address) — Daytime Telephone:

Filer Status

☑ Member of the U.S. House of Representative — State: CA — District: 05

☐ Officer Or Employee — Employing Office:

Report Type

☑ Annual (May 15)

☐ Amendment

☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Doris O. Matsui	5-14-07

3919

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Dons Okada Matsui

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Wright Patman Congress. Federal Credit Union	$100,001 - $250,000	INTEREST	$1,001 - $2,500	
Checking Acct. - Bank of America, Dinuba, CA	$50,001 - $100,000	INTEREST	$201 - $1,000	
CMA Merrill Lynch	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Cash Maximizer Acct. - Bank of America, Dinuba, CA (50% interest)	$1,001 - $15,000	None	NONE	
Sun Financial Group Bank Acct.	$1,001 - $15,000	INTEREST	$201 - $1,000	
American Investment Co. Of America	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Doris Okada Matsui

	Natl. Financial Services LLC/SunTrust Investment Services, Inc./Money Market Account	$100,001 - $250,000	DIVIDENDS	$5,001 - $15,000	
	MetLife & Affiliates - C/O PFPC	$250,001 - $500,000	INTEREST	$5,001 - $15,000	
	White House Fed. C.U.	$500,001 - $1,000,000	INTEREST	$5,001 - $15,000	
	Westmed Holding Company, Englewood, CO Stock in privately held medical development technology company	$1,001 - $15,000	None	NONE	

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT Calendar 2006

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 12:03
MC OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

123

Carolyn McCarthy
(Full Name)

493 Nancy Road, Mineola, New York 11501
(Mailing Address)

516-873-9087
Daytime Telephone:

Filer Status	[X] Member of the U.S. House of Representatives	State: New York District: 4th	[ ] Officer or Employee	Employing Office:	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Carolyn McCarthy	5-10-07

3922

Name Carolyn McCarthy | Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
None		

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Washington, DC	Speech	Feb. 2, 2008	$2,000
XYZ Magazine	Article	Aug. 13, 2006	$500
Momma's House, Wantaugh, New York	Speech	May 31, 2006	$2,000

This page may be copied if more space is required.

Name Carolyn McCarthy

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A

Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information *on each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

BLOCK B

Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C

Type of Income

Check all columns that apply.

Check "None" if asset did not generate any income during the calendar year.

BLOCK D

Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E

Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

				Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	Examples:	SP	Mega Corp. Stock					X								X																		P
			Simon & Schuster			Indefinite																Royalties												
			1st Bank of Paducah, KY accounts						X									X									X							
	See attached																																	
	brokerage statements																																	

For additional assets and unearned income, use next page.

3925

TOTAL MERRILL

Online at: www.mlol.ml.com

Account Number

HON CAROLYN MCCARTHY
493 NANCY RD
MINEOLA NY 11501-1124

Net Portfolio Value: *$684,202.83*

Your Financial Advisor:
STUART I LEVIN
1305 WALT WHITMAN ROAD
MELVILLE NY 11747
Stuart_Levin@ml.com
(800) 937-0611

MGR

Your Consults® Investment Manager is ALLIANZ MULTI CDP III

December 01, 2006 - December 29, 2006

ASSETS

	December 29	*November 30*
Cash/Money Accounts	**24,986.77**	20,302.02
Fixed Income	**208,594.20**	209,906.44
Equities	**307,155.75**	304,023.96
Mutual Funds	**140,731.10**	145,144.70
Options	-	-
Other	-	-
Subtotal (Long Portfolio)	***681,467.82***	*679,377.12*
Estimated Accrued Interest	2,735.01	1,997.85
TOTAL ASSETS	**$684,202.83**	$681,374.97

LIABILITIES

Debit Balance	-	-
Short Market Value	-	-
TOTAL LIABILITIES	-	-
NET PORTFOLIO VALUE	**$684,202.83**	$681,374.97

CASH FLOW

	This Statement	*Year to Date*
Opening Cash/Money Accounts	**$20,302.02**	
CREDITS		
Funds Received	-	-
Electronic Transfers	-	-
Other Credits	**1.39**	672,001.97
Dividends/Interest	**3,634.73**	22,112.67
Security Transactions	**13,719.41**	152,090.98
TOTAL CREDITS	**$17,355.53**	$846,205.62
DEBITS		
Electronic Transfers	-	-
Margin Interest Charged	-	-
Other Debits	**(8.63)**	(39,517.39)
Visa Purchases *(debits)*	-	-
ATM/Cash Advances	-	-
Checks Written/Bill Payment	-	-
Security Transactions	**(12,662.15)**	(781,701.46)
TOTAL DEBITS	**($12,670.78)**	($821,218.85)
Net Cash Flow	**$4,684.75**	$24,986.77
Closing Cash/Money Accounts	**$24,986.77**	

MERRILL LYNCH CONSULTS SERVICE

December 01, 2006 - December 29, 2006

YOUR INVESTMENT MANAGER - ALLIANZ MULTI CDP III

We encourage you to contact your Financial Advisor whenever there are changes in your particular situation or objectives. These changes can then be discussed directly with your Investment Manager. We also encourage you to discuss your portfolio at least annually with your Investment Manager. Your Financial Advisor would be pleased to arrange such discussions.

YOUR CMA BANK DEPOSIT INTEREST SUMMARY

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
Merrill Lynch Bank USA	20,224	20,959	4.87	79.32	**24,245**
Merrill Lynch Bk & Tr FSB	53	53	4.87	0.20	**53**
TOTAL ML Bank Deposit Program	20,277			79.52	**24,298**

YOUR CMA ASSETS

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	688.77	688		**688.77**		
ML Bank Deposit Program	24,298.00	24,298	1.0000	**24,298.00**	**1,183**	4.87
TOTAL		24,986		**24,986.77**	**1,183**	4.87

GOVERNMENT AND AGENCY SECURITIES [1] Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ US TSY INFLATION NOTE *03.375% JAN 15 2007 INFL ADJ PRIN 21664* *ORIGINAL UNIT COST: 126.49*	03/24/06	17,000	21,674	99.8280	**21,627.19**	(47)	260.37	**731**	3.38
U.S. TREASURY NOTE *3.750% MAR 31 2007*	03/24/06	38,000	37,620	99.6720	**37,875.36**	255	352.33	**1,424**	3.76
Δ FED NAT'L MORTGAGE ASSOC *BONDS 06.625% OCT 15 2007* *ORIGINAL UNIT COST: 102.42*	03/24/06	18,000	18,221	101.0310	**18,185.58**	(36)	245.12	**1,192**	6.55

TOTAL MERRILL

MGR

Account Number:

YOUR CMA ASSETS

December 01, 2006 - December 29, 2006

GOVERNMENT AND AGENCY SECURITIES * (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ US TREAS INFLATION NOTE 03.625% JAN 15 2008 INFL ADJ PRIN 21246 ORIGINAL UNIT COST: 126.25	03/24/06	17,000	21,605	101.0660	21,472.57	(133)	279.66	770	3.58
Δ US TREAS INFLATION NOTE 03.625% JAN 15 2008 INFL ADJ PRIN 19996 ORIGINAL UNIT COST: 126.49	10/16/06	16,000	20,047	101.0660	20,209.48	162	263.21	724	3.58
Subtotal		*33,000*	*41,652*		***41,682.05***	*29*	*542.87*	***1,495***	*3.58*
Δ FEDERAL NATL MTGE ASSN NOTES 06.000% MAY 15 2008 ORIGINAL UNIT COST: 102.04	03/24/06	14,000	14,184	101.1250	**14,157.50**	(26)	102.67	**839**	5.93
Δ FEDERAL HOME LN MTG CORP NOTES 05.125% OCT 15 2008 ORIGINAL UNIT COST: 100.37	03/24/06	18,000	18,047	100.1250	**18,022.50**	(25)	189.62	**922**	5.11
Δ U.S. TRSY INFLATION NOTE 3.00% JUL 15 2012 INFL ADJ PRIN 21336 ORIGINAL UNIT COST: 115.85	03/24/06	19,000	22,292	102.9100	**21,956.92**	(335)	258.67	**640**	2.91
Δ U.S. TREASURY BOND 10.625% AUG 15 2015 ORIGINAL UNIT COST: 144.38	03/24/06	18,000	25,232	141.4530	**25,461.54**	229	706.79	**1,912**	7.51
Δ U.S. TREASURY BOND 09.000% NOV 15 2018 ORIGINAL UNIT COST: 139.23	03/24/06	7,000	9,555	137.5080	**9,625.56**	70	76.57	**629**	6.54
TOTAL			208,481		**208,594.20**	114	2,735.01	**9,788**	4.69

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
ABBOTT LABS	ABT	05/01/06	35	42.5351	1,488	48.7100	1,704.85	216	41	2.42
		12/01/06	5	46.6960	233	48.7100	243.55	10	5	2.42
Subtotal			*40*		*1,722*		**1,948.40**	*226*	*47*	*2.42*
ADIDAS AG SPONSORED ADR	ADDYY	03/24/06	60	24.8750	1,492	25.2000	**1,512.00**	19		

YOUR CMA ASSETS

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
AEON COMPANY LTD ADR	AONNY	04/10/06	5	25.1000	125	21.9000	109.50	(16)		.48
		04/13/06	25	24.6792	616	21.9000	547.50	(69)	2	.48
		08/02/06	12	23.8650	286	21.9000	262.80	(23)	1	.48
		12/19/06	7	21.3742	149	21.9000	153.30	3		.48
Subtotal			*49*		*1,178*		***1,073.10***	*(105)*	*5*	*.48*
ALLSTATE CORP DEL COM	ALL	03/24/06	35	53.1900	1,861	65.1100	2,278.85	417	49	2.15
ALLTEL CORP DEL	AT	03/24/06	30	52.4723	1,574	60.4800	1,814.40	240	15	.82
		11/07/06	5	56.2820	281	60.4800	302.40	20	2	.82
Subtotal			*35*		*1,855*		***2,116.80***	*260*	*17*	*.82*
ALTRIA GROUP INC	MO	03/24/06	25	72.9400	1,823	85.8200	2,145.50	322	86	4.00
AMDOCS LIMITED	DOX	03/24/06	55	34.0000	1,870	38.7500	2,131.25	261		
		09/27/06	5	40.7280	203	38.7500	193.75	(9)		
		10/13/06	10	40.0160	400	38.7500	387.50	(12)		
Subtotal			*70*		*2,473*		***2,712.50***	*240*		
AMER EXPRESS COMPANY	AXP	03/24/06	35	53.1700	1,860	60.6700	2,123.45	262	21	.98
		09/26/06	5	55.9300	279	60.6700	303.35	23	3	.98
Subtotal			*40*		*2,140*		***2,426.80***	*285*	*24*	*.98*
AMER INTL GROUP INC	AIG	03/24/06	30	67.3500	2,020	71.6600	2,149.80	129	19	.92
		10/05/06	5	67.1900	335	71.6600	358.30	22	3	.92
Subtotal			*35*		*2,356*		***2,508.10***	*151*	*23*	*.92*
AMERICAN FINL GRP HLDGS	AFG	08/16/06	7	32.5528	227	35.9100	251.37	23	2	1.01
		08/17/06	15	30.7120	460	35.9100	538.65	77	5	1.01
		08/18/06	7	33.1157	231	35.9100	251.37	19	2	1.01
		08/21/06	20	30.2015	604	35.9100	718.20	114	7	1.01
Subtotal			*49*		*1,524*		***1,759.59***	*233*	*17*	*1.01*
ANADARKO PETE CORP	APC	03/24/06	40	50.2600	2,010	43.5200	1,740.80	(269)	14	.82
ANHEUSR BUSCH COS INC	BUD	03/24/06	45	42.9200	1,931	49.2000	2,214.00	282	53	2.39
APPLE COMPUTER INC	AAPL	03/24/06	15	59.7606	896	84.8400	1,272.60	376		
		03/31/06	5	63.0400	315	84.8400	424.20	109		
		09/26/06	5	77.2040	386	84.8400	424.20	38		
Subtotal			*25*		*1,597*		***2,121.00***	*523*		

MGR

Account Number:

December 01, 2006 - December 29, 2006

YOUR CMA ASSETS

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
AT& T INC	T	03/24/06	70	27.3700	1,915	35.7500	2,502.50	586	99	3.97
AUTOMATIC DATA PROC	ADP	05/19/06	30	45.0790	1,352	49.2500	1,477.50	125	27	1.86
AXA ADR	AXA	03/24/06	39	33.8174	1,318	40.3300	1,572.87	253	37	2.37
BANCORPSOUTH INC	BXS	03/24/06	65	24.2800	1,578	26.8200	1,743.30	165	52	2.98
BANK OF AMERICA CORP	BAC	03/24/06	40	46.9700	1,878	53.3900	2,135.60	256	89	4.19
		08/15/06	25	52.1192	1,302	53.3900	1,334.75	31	56	4.19
Subtotal			*65*		*3,181*		***3,470.35***	*287*	***145***	*4.19*
BANTA CORP	BN	03/24/06	30	51.1566	1,534	36.4000	1,092.00	(442)	21	1.97
BAXTER INTERNTL INC	BAX	07/14/06	35	37.8868	1,326	46.3900	1,623.65	297	20	1.25
		12/01/06	10	44.7660	447	46.3900	463.90	16	5	1.25
Subtotal			*45*		*1,773*		***2,087.55***	*313*	***26***	*1.25*
BECTON DICKINSON CO	BDX	03/24/06	25	63.0500	1,576	70.1500	1,753.75	177	24	1.33
BIOGEN IDEC INC	BIIB	06/14/06	15	46.2753	694	49.1900	737.85	43		
		06/15/06	15	47.1540	707	49.1900	737.85	30		
Subtotal			*30*		*1,401*		***1,475.70***	*73*		
BNP PARIBAS SPONSORD ADR	BNPQY	03/24/06	33	45.6500	1,506	54.8500	1,810.05	303	41	2.27
		11/21/06	4	53.9425	215	54.8500	219.40	3	4	2.27
Subtotal			*37*		*1,722*		***2,029.45***	*306*	***46***	*2.27*
BOEHLER-UDDEHOLM SPN ADR	BDHHY	03/24/06	79	12.4462	983	17.6500	1,394.35	411		
		06/27/06	5	12.1880	60	17.6500	88.25	27		
		06/28/06	10	12.2040	122	17.6500	176.50	54		
		06/30/06	5	13.7000	68	17.6500	88.25	19		
		07/05/06	17	13.9958	237	17.6500	300.05	62		
Subtotal			*116*		*1,472*		***2,047.40***	*573*		
BT GROUP PLC ADR	BT	08/29/06	16	46.6106	745	59.8900	***958.24***	212	***38***	*3.98*
CABOT OIL & GAS CORP	COG	03/24/06	30	47.7920	1,433	60.6500	***1,819.50***	385	***4***	*.26*
CANADIAN NATL RAILWAY CO	CNI	03/24/06	18	47.6400	857	43.0300	***774.54***	(82)	***10***	*1.29*
CANADIAN NATURAL RES LTD	CNQ	03/24/06	45	56.4900	2,542	53.2300	2,395.35	(146)	***11***	*.49*
		09/26/06	5	45.7060	228	53.2300	266.15	37	***1***	*.49*
		10/13/06	5	46.3180	231	53.2300	266.15	34	***1***	*.49*
Subtotal			*55*		*3,002*		***2,927.65***	*(75)*	***14***	*.49*

YOUR CMA ASSETS

December 01, 2006 - December 29, 2006

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
CANON INC ADR REPSSH	CAJ	03/24/06	18	43.8205	788	56.5900	1,018.62	229	11	1.15
CBL & ASSOC PPTYS INC	CBL	03/24/06	35	42.7800	1,497	43.3500	1,517.25	19	70	4.65
REIT										
CBS CORP NEW CL B	CBS	06/07/06	10	26.7120	267	31.1800	311.80	44	8	2.56
		06/08/06	25	26.7856	669	31.1800	779.50	109	20	2.56
		06/27/06	15	26.4706	397	31.1800	467.70	70	12	2.56
		06/28/06	25	26.5312	663	31.1800	779.50	116	20	2.56
Subtotal			*75*		*1,997*		**2,338.50**	*339*	**60**	*2.56*
CEMEX SAB DE CV SPND ADR	CX	12/20/06	24	32.9804	791	33.8800	**813.12**	21		
CHEVRON CORP	CVX	03/24/06	35	57.6000	2,016	73.5300	**2,573.55**	557	**72**	2.82
CISCO SYSTEMS INC COM	CSCO	03/24/06	60	21.3400	1,280	27.3300	1,639.80	359		
		06/16/06	15	19.9460	299	27.3300	409.95	110		
Subtotal			*75*		*1,579*		***2,049.75***	*469*		
COCA COLA COM	KO	03/24/06	80	42.6922	3,415	48.2500	3,860.00	444	**99**	2.56
		06/30/06	10	43.2650	432	48.2500	482.50	49	**12**	2.56
Subtotal			*90*		*3,848*		***4,342.50***	*493*	***111***	*2.56*
COLGATE PALMOLIVE	CL	12/01/06	5	65.1620	325	65.2400	326.20		6	1.96
		12/04/06	15	65.9706	989	65.2400	978.60	(10)	19	1.96
Subtotal			*20*		*1,315*		***1,304.80***	*(10)*	***25***	*1.96*
COMMERCIAL METALS CO COM	CMC	05/01/06	10	27.8440	278	25.8000	258.00	(20)	3	1.39
		05/02/06	20	28.6020	572	25.8000	516.00	(56)	7	1.39
		05/03/06	24	28.7333	689	25.8000	619.20	(70)	8	1.39
Subtotal			*54*		*1,540*		***1,393.20***	*(146)*	***19***	*1.39*
CONOCOPHILLIPS	COP	03/24/06	30	61.8300	1,854	71.9500	**2,158.50**	303	**43**	2.00
CORNING INC	GLW	03/24/06	65	27.6600	1,797	18.7100	**1,216.15**	(581)		
CREDIT SUISSE GP SP ADR	CS	03/24/06	18	54.8200	986	69.8500	1,257.30	270	19	1.53
		09/21/06	7	59.0585	413	69.8500	488.95	75	7	1.53
Subtotal			*25*		*1,400*		***1,746.25***	*345*	***26***	*1.53*
CURTISS WRIGHT	CW	03/24/06	50	32.5950	1,629	37.0800	**1,854.00**	224	**12**	.64
DANAHER CORP DEL COM	DHR	03/24/06	20	64.4100	1,288	72.4400	**1,448.80**	160	**1**	.11
DASSAULT SYS SA SPN ADR	DASTY	03/24/06	15	55.8500	837	52.8600	**792.90**	(44)	**6**	.75

3931

TOTAL MERRILL

MGR

Account Number:

December 01, 2006 - December 29, 2006

YOUR CMA ASSETS

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
DEERE CO	DE	08/31/06	15	77.2160	1,158	95.0700	1,426.05	267	26	1.85
		10/23/06	10	85.7070	857	95.0700	950.70	93	17	1.85
Subtotal			*25*		*2,015*		*2,376.75*	*360*	*44*	*1.85*
DEUTSCHE BK AG REG SHS	DB	03/24/06	10	113.9400	1,139	133.2400	1,332.40	193	32	2.40
		12/08/06	1	131.0600	131	133.2400	133.24	2	3	2.40
Subtotal			*11*		*1,270*		*1,465.64*	*195*	*35*	*2.40*
DIAGEO PLC SPSD ADR NEW	DEO	12/13/06	10	78.6310	786	79.3100	793.10	6	22	2.86
DOW CHEMICAL CO	DOW	03/24/06	45	42.2700	1,902	39.9000	1,795.50	(106)	67	3.75
DRS TECHNOLOGIES INC	DRS	08/18/06	5	40.3120	201	52.6800	263.40	61		.22
		08/21/06	14	39.6900	555	52.6800	737.52	181	1	.22
		09/06/06	10	42.2190	422	52.6800	526.80	104	1	.22
		09/07/06	8	41.6275	333	52.6800	421.44	88		.22
Subtotal			*37*		*1,512*		*1,949.16*	*434*	*4*	*.22*
DTE ENERGY COMPANY	DTE	03/24/06	45	41.4400	1,864	48.4100	2,178.45	313	95	4.37
DUKE REALTY CORP NEW	DRE	03/24/06	50	37.4600	1,873	40.9000	2,045.00	172	95	4.64
REIT										
E M C CORPORATION MASS	EMC	03/24/06	115	13.8200	1,589	13.2000	1,518.00	(71)		
EMERSON ELEC CO	EMR	03/24/06	40	42.6450	1,705	44.0900	1,763.60	57	42	2.38
ENERGEN CRP COM PV 1CENT	EGN	03/24/06	35	33.8902	1,186	46.9400	1,642.90	456	15	.93
ENI S P A SPONSORED ADR	E	03/24/06	20	56.4600	1,129	67.2800	1,345.60	216	46	3.41
EOG RESOURCES INC	EOG	03/24/06	20	71.9340	1,438	62.4500	1,249.00	(189)	4	.38
		06/16/06	5	62.0700	310	62.4500	312.25	1	1	.38
Subtotal			*25*		*1,749*		*1,561.25*	*(188)*	*6*	*.38*
EQUITY ONE	EQY	03/24/06	65	24.0330	1,562	26.6600	1,732.90	170	78	4.50
REIT										
EXELON CORPORATION	EXC	03/24/06	30	54.9400	1,648	61.8900	1,856.70	208	52	2.84
EXXON MOBIL CORP COM	XOM	12/14/06	25	78.7324	1,968	76.6300	1,915.75	(52)	32	1.67
FEDRTD DPT STORS DEL NEW	FD	09/14/06	15	41.6346	624	38.1300	571.95	(52)	7	1.33
		10/12/06	20	44.6305	892	38.1300	762.60	(130)	10	1.33
Subtotal			*35*		*1,517*		*1,334.55*	*(182)*	*17*	*1.33*
FORTIS (NL)-SPONS ADR	FORSY	03/24/06	30	35.7500	1,072	42.8000	1,284.00	211	34	2.67

YOUR CMA ASSETS

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
FREDDIE MAC	FRE	03/24/06	30	63.4600	1,903	67.9000	2,037.00	133	60	2.94
FRONTLINE LTD	FRO	03/24/06	45	34.8700	1,569	31.8500	1,433.25	(135)	315	21.97
GENERAL ELECTRIC	GE	03/24/06	15	33.9886	509	37.2100	558.15	48	16	3.00
		06/30/06	15	33.2913	499	37.2100	558.15	58	16	3.00
		10/13/06	10	35.7630	357	37.2100	372.10	14	11	3.00
Subtotal			*40*		*1,366*		***1,488.40***	*120*	***44***	*3.00*
GLAXOSMITHKLINE PLC ADR	GSK	03/24/06	35	53.6000	1,876	52.7600	1,846.60	(29)	60	3.29
GOOGLE INC CL A	GOOG	09/15/06	5	408.3000	2,041	460.4800	2,302.40	260		
		09/26/06	1	406.6900	406	460.4800	460.48	53		
Subtotal			6		*2,448*		***2,762.88***	*313*		
GRUPO TELEVISA SA ADR	TV	11/27/06	10	24.9740	249	27.0100	270.10	20	1	.57
		11/28/06	21	25.0338	525	27.0100	567.21	41	3	.57
Subtotal			*31*		*775*		***837.31***	*61*	***4***	*.57*
HARSCO CORPORATION	HSC	03/24/06	20	83.3900	1,667	76.1000	1,522.00	(145)	28	1.86
HEALTHCARE REALTY TR *REIT*	HR	03/24/06	40	37.4940	1,499	39.5400	1,581.60	81	105	6.67
HEINZ H J CO PV 25CT	HNZ	11/07/06	5	42.1940	210	45.0100	225.05	14	7	3.11
		11/08/06	20	43.0990	861	45.0100	900.20	38	28	3.11
		11/27/06	20	43.8940	877	45.0100	900.20	22	28	3.11
Subtotal			*45*		*1,950*		***2,025.45***	*74*	***63***	*3.11*
HOLLY CORP COM $0 01	HOC	03/24/06	40	35.6000	1,424	51.4000	2,056.00	632	12	.62
HONDA MOTOR ADR NEW	HMC	03/28/06	15	31.1433	467	39.5400	593.10	125	9	1.52
		03/30/06	17	31.5094	535	39.5400	672.18	136	10	1.52
		12/18/06	7	36.8557	257	39.5400	276.78	18	4	1.52
Subtotal			*39*		*1,260*		***1,542.06***	*279*	***23***	*1.52*
INTL BUSINESS MACHINES *CORP IBM*	IBM	08/30/06	15	81.2846	1,219	97.1500	1,457.25	237	18	1.23
		09/15/06	10	83.3270	833	97.1500	971.50	138	12	1.23
		12/07/06	15	94.1993	1,412	97.1500	1,457.25	44	18	1.23
		12/14/06	5	95.3620	476	97.1500	485.75	8	6	1.23
Subtotal			*45*		*3,942*		***4,371.75***	*427*	***54***	*1.23*
IPSCO INC	IPS	04/11/06	10	105.1260	1,051	93.8700	938.70	(112)	6	.73

MGR

Account Number:

December 01, 2006 - December 29, 2006

YOUR CMA ASSETS

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
JOHNSON AND JOHNSON COM	JNJ	03/24/06	30	60.4100	1,812	66.0200	1,980.60	168	45	2.27
		06/20/06	5	61.6160	308	66.0200	330.10	22	7	2.27
Subtotal			*35*		*2,120*		***2,310.70***	*190*	***52***	*2.27*
JPMORGAN CHASE & CO	JPM	03/24/06	45	42.1600	1,897	48.3000	2,173.50	276	61	2.81
KELLWOOD CO	KWD	03/24/06	50	29.8000	1,490	32.5200	1,626.00	136	32	1.96
KENNAMETAL INC	KMT	03/24/06	25	60.4900	1,512	58.8500	1,471.25	(41)	21	1.42
KEYCORP NEW COM	KEY	03/24/06	55	37.3165	2,052	38.0300	2,091.65	39	75	3.62
KEYSPAN CORP	KSE	03/24/06	50	40.8138	2,040	41.1800	2,059.00	18	95	4.61
KIMBERLY CLARK	KMB	03/24/06	35	59.1900	2,071	67.9500	2,378.25	306	68	2.88
L-3 COMMNCTNS HLDGS	LLL	06/07/06	20	77.2345	1,544	81.7800	1,635.60	90	15	.91
		06/30/06	5	75.4920	377	81.7800	408.90	31	3	.91
Subtotal			*25*		*1,922*		***2,044.50***	*121*	***18***	*.91*
LADBROKES PLC SPON ADR	LDBKY	08/24/06	131	7.4316	973	8.3500	1,093.85	120	38	3.52
LAFARGE ADR NEW	LR	03/31/06	36	28.3586	1,020	37.2000	1,339.20	318	21	1.62
		08/10/06	6	30.7016	184	37.2000	223.20	38	3	1.62
Subtotal			*42*		*1,205*		***1,562.40***	*356*	***25***	*1.62*
LINCOLN ELEC HLDGS INC	LECO	03/24/06	30	51.6266	1,548	60.4200	1,812.60	263	26	1.45
LINCOLN NTL CORP IND NPV	LNC	03/24/06	60	55.4376	3,326	66.4000	3,984.00	657	94	2.37
		05/01/06	10	57.5290	575	66.4000	664.00	88	15	2.37
Subtotal			*70*		*3,901*		***4,648.00***	*745*	***110***	*2.37*
LUBRIZOL CORP	LZ	03/24/06	35	43.0800	1,507	50.1300	1,754.55	246	36	2.07
LYONDELL CHEMICAL PV $1	LYO	03/24/06	80	20.0200	1,601	25.5700	2,045.60	444	72	3.51
MARATHON OIL CORP	MRO	03/24/06	50	77.4500	3,872	92.5000	4,625.00	752	80	1.72
MARRIOTT INTL INC NEW A	MAR	03/24/06	40	34.3750	1,375	47.7200	1,908.80	533	10	.52
MASCO CORP	MAS	03/24/06	60	32.1200	1,927	29.8700	1,792.20	(135)	52	2.94
MATTEL INC COM	MAT	03/24/06	110	18.0100	1,981	22.6600	2,492.60	511	71	2.86
MCDONALDS CORP COM	MCD	03/24/06	60	34.7300	2,083	44.3300	2,659.80	576	60	2.25
MEDTRONIC INC COM	MDT	12/14/06	10	53.9310	539	53.5100	535.10	(4)	4	.82
		12/15/06	15	54.2420	813	53.5100	802.65	(10)	6	.82
Subtotal			*25*		*1,352*		***1,337.75***	*(14)*	***11***	*.82*
MERCK&CO INC	MRK	03/24/06	45	36.0502	1,622	43.6000	1,962.00	339	68	3.48

3934

YOUR CMA ASSETS

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
METHANEX CORP COM	MEOH	03/24/06	75	20.7490	1,556	27.3700	2,052.75	496	37	1.82
MICROSOFT CORP	MSFT	05/22/06	60	22.8583	1,371	29.8600	1,791.60	420	24	1.33
		08/03/06	20	24.3480	486	29.8600	597.20	110	8	1.33
		08/17/06	20	24.7045	494	29.8600	597.20	103	8	1.33
		10/13/06	15	28.4886	427	29.8600	447.90	20	6	1.33
Subtotal			*115*		*2,779*		***3,433.90***	***653***	***46***	***1.33***
MITSUBISHI UFJ FINL GRP INC	MTU	03/24/06	110	14.4900	1,593	12.4500	1,369.50	(224)	7	.57
MONSANTO CO NEW DEL COM	MON	10/26/06	35	43.7665	1,531	52.5300	1,838.55	306	17	.95
		11/15/06	10	46.8740	468	52.5300	525.30	56	5	.95
Subtotal			*45*		*2,000*		***2,363.85***	***362***	***22***	***.95***
MORGAN STANLEY	MS	03/24/06	25	61.6900	1,542	81.4300	2,035.75	493	27	1.32
NAT FUEL GAS CO NJ $1	NFG	05/24/06	5	34.2240	171	38.5400	192.70	21	6	3.11
		05/25/06	5	35.0820	175	38.5400	192.70	17	6	3.11
		05/31/06	20	35.5545	711	38.5400	770.80	59	24	3.11
		06/01/06	11	36.1972	398	38.5400	423.94	25	13	3.11
Subtotal			*41*		*1,455*		***1,580.14***	***122***	***49***	***3.11***
NESTLE S A REP RG SH ADR	NSRGY	03/24/06	15	73.8000	1,107	89.0000	1,335.00	228	17	1.32
		08/14/06	3	82.3833	247	89.0000	267.00	19	3	1.32
Subtotal			*18*		*1,354*		***1,602.00***	***247***	***21***	***1.32***
NOMURA HLDGS INC ADR	NMR	03/24/06	80	21.9500	1,756	18.9400	1,515.20	(240)	33	2.21
NORTHN TRUST CORP	NTRS	03/24/06	25	53.0592	1,326	60.6900	1,517.25	190	25	1.64
NOVARTIS ADR	NVS	03/24/06	58	55.7700	3,234	57.4400	3,331.52	96	43	1.30
NSK LTD ADR	NPSKY	08/04/06	10	79.1250	791	99.0000	990.00	198	10	1.04
		08/18/06	2	81.9500	163	99.0000	198.00	34	2	1.04
		12/11/06	4	91.6100	366	99.0000	396.00	29	4	1.04
Subtotal			*16*		*1,321*		***1,584.00***	***261***	***16***	***1.04***
OCCIDENTAL PETE CORP CAL	OXY	03/24/06	40	46.9545	1,878	48.8300	1,953.20	75	35	1.80
OWENS &MINOR INC NEW COM	OMI	03/24/06	50	31.9600	1,598	31.2700	1,563.50	(34)	30	1.91
PEOPLES ENERGY CORP	PGL	03/24/06	40	36.1200	1,444	44.5700	1,782.80	338	87	4.89

YOUR CMA ASSETS

December 01, 2006 - December 29, 2006

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
PEPSICO INC	PEP	03/24/06	35	59.3828	2,078	62.5500	2,189.25	110	42	1.91
		12/01/06	5	61.5940	307	62.5500	312.75	4	6	1.91
Subtotal			*40*		*2,386*		***2,502.00***	*114*	***48***	*1.91*
PETRLEO BRAS VTG SPD ADR	PBR	03/24/06	8	86.0612	688	102.9900	823.92	135	4	.56
		12/01/06	7	93.3042	653	102.9900	720.93	67	4	.56
Subtotal			*15*		*1,341*		***1,544.85***	*202*	***8***	*.56*
PETROCHINA CO LTD SP ADR	PTR	03/24/06	20	104.4000	2,088	140.7800	2,815.60	727	95	3.38
PFIZER INC DEL PV$0.05	PFE	03/24/06	60	26.0200	1,561	25.9000	1,554.00	(7)	69	4.47
		04/25/06	5	24.7480	123	25.9000	129.50	5	5	4.47
		04/26/06	40	24.9690	998	25.9000	1,036.00	37	46	4.47
		04/28/06	35	25.1485	880	25.9000	906.50	26	40	4.47
Subtotal			*140*		*3,563*		***3,626.00***	*61*	***162***	*4.47*
PHELPS DODGEPV6 25	PD	05/24/06	10	82.9850	829	119.7200	1,197.20	367	8	.66
PROCTER & GAMBLE CO	PG	03/24/06	20	58.8405	1,176	64.2700	1,285.40	108	24	1.92
		06/20/06	10	55.5650	555	64.2700	642.70	87	12	1.92
Subtotal			*30*		*1,732*		***1,928.10***	*195*	***37***	*1.92*
PRUDENTIAL FINANCIAL INC	PRU	10/12/06	10	77.6230	776	85.8600	858.60	82	9	1.10
		10/13/06	20	77.8940	1,557	85.8600	1,717.20	159	19	1.10
Subtotal			*30*		*2,334*		***2,575.80***	*241*	***28***	*1.10*
R R DONNELLEY SONS	RRD	03/24/06	30	31.9100	957	35.5400	1,066.20	108	31	2.92
		05/08/06	15	33.4486	501	35.5400	533.10	31	15	2.92
		05/19/06	15	33.5900	503	35.5400	533.10	29	15	2.92
Subtotal			*60*		*1,962*		***2,132.40***	*168*	***62***	*2.92*
REGIONS FINL CORP	RF	03/24/06	110	35.8542	3,943	37.4000	4,114.00	170	158	3.85
REYNOLDS AMERICAN INC	RAI	03/24/06	30	53.4400	1,603	65.4700	1,964.10	360	90	4.58
ROCHE HLDG LTD SPN ADR	RHHBY	03/24/06	18	75.1000	1,351	89.4978	1,610.96	259	14	.87
RWE AG SPONSORED ADR	RWEOY	03/24/06	8	85.7512	686	109.6000	876.80	190	13	1.53
		08/09/06	7	89.5000	626	109.6000	767.20	140	11	1.53
Subtotal			*15*		*1,312*		***1,644.00***	*330*	***25***	*1.53*

YOUR CMA ASSETS

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
SAGE GROUP PLC UNSP ADR	SGPYY	03/24/06	50	19.7500	987	21.3500	1,067.50	80	9	.90
		07/05/06	20	17.5080	350	21.3500	427.00	76	3	.90
		10/16/06	25	19.0848	477	21.3500	533.75	56	4	.90
Subtotal			*95*		*1,814*		***2,028.25***	*212*	***18***	*.90*
SAN PAOLO-IMI SPS SPDADR	IMI	09/08/06	10	42.7360	427	46.4300	464.30	36	10	2.28
		09/14/06	13	41.8846	544	46.4300	603.59	59	13	2.28
		09/21/06	12	42.0558	504	46.4300	557.16	52	12	2.28
Subtotal			*35*		*1,476*		***1,625.05***	*147*	***37***	*2.28*
SANDVIK AB ADR	SDVKY	03/24/06	75	11.5500	866	14.3500	1,076.25	210	19	1.79
		08/18/06	39	11.3946	444	14.3500	559.65	115	10	1.79
Subtotal			*114*		*1,310*		***1,635.90***	*325*	***29***	*1.79*
SCHLUMBERGER LTD	SLB	03/24/06	25	61.0052	1,525	63.1600	**1,579.00**	53	**12**	.79
SEAGATE TECHNOLOGY	STX	03/24/06	80	24.2400	1,939	26.5000	**2,120.00**	180	**28**	1.35
SEGA SAMMY HOLDINGS INC ADR	SGAMY	05/09/06	55	10.2550	564	6.7500	371.25	(192)	5	1.43
		05/10/06	49	10.2840	503	6.7500	330.75	(173)	4	1.43
		10/30/06	60	6.3468	380	6.7500	405.00	24	5	1.43
Subtotal			*164*		*1,448*		***1,107.00***	*(341)*	***15***	*1.43*
SEMPRA ENERGY	SRE	03/24/06	40	47.0400	1,881	56.0400	**2,241.60**	360	**48**	2.14
SENSIENT TECHNOLGY CORP	SXT	03/24/06	67	17.7300	1,187	24.6000	**1,648.20**	460	**42**	2.60
SHIZUOKA BANK LTD ADR	SHZUY	03/24/06	10	96.7000	967	100.0000	1,000.00	33	8	.80
		03/31/06	3	101.4133	304	100.0000	300.00	(4)	2	.80
Subtotal			*13*		*1,271*		***1,300.00***	*29*	***10***	*.80*
SIEMENS AG ADR	SI	03/24/06	12	90.4041	1,084	98.5500	**1,182.60**	97	**17**	1.48
SKF AB SPNSR KR12.50 ADR	SKFRY	03/24/06	95	15.8000	1,501	18.4860	**1,756.17**	255	**36**	2.05
ST MARY LD & EXPL CO	SM	03/24/06	40	40.7720	1,630	36.8400	**1,473.60**	(157)	**4**	.27
ST. PAUL TRAVELERS COS	STA	03/24/06	40	41.5100	1,660	53.6900	**2,147.60**	487	**41**	1.93
STANLEY WORKS	SWK	03/24/06	40	51.3100	2,052	50.2900	**2,011.60**	(40)	**48**	2.38
STAPLES INC	SPLS	03/24/06	60	24.9598	1,497	26.7000	1,602.00	104	13	.82
		06/16/06	15	24.8306	372	26.7000	400.50	28	3	.82
Subtotal			*75*		*1,870*		***2,002.50***	*132*	***16***	*.82*

TOTAL MERRILL

MGR

Account Number:

YOUR CMA ASSETS

December 01, 2006 - December 29, 2006

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
SUN MICROSYSTEMS INC COM	SUNW	08/04/06	220	4.4893	987	5.4200	1,192.40	204		
		08/07/06	105	4.5082	473	5.4200	569.10	95		
		08/17/06	120	4.7718	572	5.4200	650.40	77		
Subtotal			*445*		*2,033*		**2,411.90**	376		
SUPERVALU INC DEL COM	SVU	06/01/06	20	29.5825	591	35.7500	715.00	123	13	1.84
		06/02/06	10	29.2790	292	35.7500	357.50	64	6	1.84
		06/09/06	5	29.3680	146	35.7500	178.75	31	3	1.84
		06/12/06	10	29.4720	294	35.7500	357.50	62	6	1.84
		06/13/06	20	29.4985	589	35.7500	715.00	125	13	1.84
Subtotal			*65*		*1,915*		***2,323.75***	*405*	***42***	***1.84***
SUSQUEHANNA BANCSHRS INC	SUSQ	03/24/06	60	25.4958	1,529	26.8800	**1,612.80**	83	60	3.72
SWEDBANK A B ADR	SWDBY	07/03/06	28	26.5675	743	36.5000	1,022.00	278		
		08/10/06	17	27.9376	474	36.5000	620.50	145		
Subtotal			*45*		*1,218*		***1,642.50***	*423*		
TAIWAN S MANUFCTRING ADR	TSM	03/24/06	78	9.3625	730	10.9300	**852.54**	122	23	2.76
TEEKAY SHIPPING CORP(MH)	TK	03/24/06	40	37.0000	1,480	43.6200	**1,744.80**	264	34	1.97
TESCO PLC SPNRD ADR	TSCDY	03/24/06	36	18.1994	655	24.2000	**871.20**	216	16	1.92
TEXAS INSTRUMENTS	TXN	12/12/06	50	29.6966	1,484	28.8000	**1,440.00**	(44)	8	.55
THERMO FISHER SCIENTIFIC INC	TMO	05/22/06	40	36.6745	1,466	45.2900	**1,811.60**	344		
TOYOTA MOTOR CORP ADR	TM	03/24/06	9	107.9600	971	134.3100	**1,208.79**	237	15	1.24
UGI CORP NEW	UGI	03/24/06	70	21.5200	1,506	27.2800	**1,909.60**	403	49	2.58
UNITED TECHS CORP COM	UTX	03/24/06	35	59.2857	2,075	62.5200	**2,188.20**	113	37	1.69
UNITEDHEALTH GROUP INC	UNH	10/06/06	25	51.4352	1,285	53.7300	**1,343.25**	57		.05
UNIVERSAL CORP VA	UVV	03/24/06	40	36.4000	1,456	49.0100	**1,960.40**	504	70	3.59
V F CORPORATION	VFC	03/24/06	25	55.8800	1,397	82.0800	**2,052.00**	655	55	2.68
VERIZON COMMUNICATNS COM	VZ	03/24/06	55	33.5241	1,843	37.2400	**2,048.20**	204	89	4.35

3938

+

012

9847

YOUR CMA ASSETS

December 01, 2006 - December 29, 2006

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
VODAFONE GROUP PLC NEW	VOD	10/13/06	20	24.3645	487	27.7800	555.60	68	25	4.51
		10/16/06	11	24.1427	265	27.7800	305.58	40	13	4.51
		10/30/06	14	25.5471	357	27.7800	388.92	31	17	4.51
		11/21/06	22	25.7286	566	27.7800	611.16	45	27	4.51
			67		*1,676*		***1,861.26***	*184*	***83***	***4.51***
Subtotal										
WACHOVIA CORP NEW	WB	10/24/06	5	55.8720	279	56.9500	284.75	5	11	3.93
		10/25/06	15	55.9326	838	56.9500	854.25	15	33	3.93
		11/01/06	15	55.3600	830	56.9500	854.25	23	33	3.93
			35		*1,948*		***1,993.25***	*43*	***78***	***3.93***
Subtotal										
WASHINGTON FEDL INC	WFSL	03/24/06	60	24.2398	1,454	23.5300	1,411.80	(42)	49	3.48
WASHINGTON MUTUAL INC	WM	03/24/06	90	43.4400	3,909	45.4900	4,094.10	184	190	4.66
WD 40 COMPANY DELAWARE	WDFC	03/24/06	40	30.7900	1,231	34.8700	1,394.80	163	40	2.86
WEIS MARKETS INC	WMK	03/24/06	35	43.6057	1,526	40.1100	1,403.85	(122)	40	2.89
WELLS FARGO & CO NEW DEL	WFC	07/31/06	40	36.1662	1,446	35.5600	1,422.40	(24)	44	3.14
WHIRLPOOL CORP	WHR	03/24/06	20	87.7605	1,755	83.0200	1,660.40	(94)	34	2.07
WINDSTREAM CORP	WIN	03/24/06	62	11.2525	697	14.2200	881.64	183	62	7.03
		08/09/06	5	12.7320	63	14.2200	71.10	7	5	7.03
		08/10/06	20	12.6920	253	14.2200	284.40	30	20	7.03
		08/11/06	20	12.5550	251	14.2200	284.40	33	20	7.03
		08/18/06	10	12.9000	129	14.2200	142.20	13	10	7.03
		08/22/06	40	12.8262	513	14.2200	568.80	55	40	7.03
		08/23/06	30	12.7706	383	14.2200	426.60	43	30	7.03
		10/16/06	45	13.7753	619	14.2200	639.90	20	45	7.03
		10/17/06	20	13.6735	273	14.2200	284.40	10	20	7.03
			252		*3,184*		***3,583.44***	*394*	***252***	***7.03***
Subtotal										
TOTAL					276,300		**307,155.75**	30,773	**6,847**	2.23

3939

TOTAL MERRILL

MGR

Account Number:

YOUR CMA ASSETS

December 01, 2006 - December 29, 2006

MUTUAL FUNDS/DEFINED ASSET FUNDS *Description*	*Quantity*	*Total Client Investment*	*Cumulative Investment Return*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Annual Income*	*Current Yield%*
PIMCO FIXED INCOME SHS *(FISH) SERIES C INSTL* *SYMBOL: FXICX Initial Purchase: 03/24/06*	6,055	69,450	(60)	69,450	11.4600	**69,390.30**	(60)		
PIMCO FIXED INCOME SHS *(FISH) SERIES M INSTL* *SYMBOL: FXIMX Initial Purchase: 03/24/06*	6,280	70,147	1,193	70,147	11.3600	**71,340.80**	1,193		
Subtotal (Fixed Income Funds)			*1,133*	*139,598*		***140,731.10***	*1,133*		
TOTAL			1,133	139,598		**140,731.10**	1,133		

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.
Cumulative Investment Return: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the capital appreciation (depreciation) of all shares purchased, including shares purchased through reinvestment.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis. Provided for Tax Planning purposes only and is not applicable to retirement accounts "denoted by N/A."
Initial Purchase: Date of your initial investment in this fund.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

Effective October 2006, Merrill Lynch Investment Managers, L.P. (MLIM) combined with BlackRock, Inc. As a result of the transaction, MLIM investments were rebranded BlackRock. For more information please contact your Financial Advisor or call (800) MERRILL.

LONG PORTFOLIO	*Adjusted/Total Cost Basis*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Accrued Interest*	*Estimated Annual Income*	*Current Yield%*
TOTAL	649,367	**681,467.82**	32,020	2,735.01	**17,819**	2.61

Δ Debt instruments purchased at a premium show amortization θ Debt instruments purchased at a discount show accretion
[1] Some agency securities are not backed by the full faith and credit of the United States government.

3940

TOTAL MERRILL

Online at: **www.mlol.ml.com** | Account Number | 24-Hour Assistance: (800) MERRILL

HON CAROLYN MCCARTHY
493 NANCY RD
MINEOLA NY 11501-1124

Net Portfolio Value: *$201,697.70*

Your Financial Advisor:
STUART I LEVIN
1305 WALT WHITMAN ROAD
MELVILLE NY 11747
Stuart_Levin@ml.com
(800) 937-0611

MOM MAIN

December 01, 2006 - December 29, 2006

ASSETS

	December 29	*November 30*
Cash/Money Accounts	**9,332.07**	13,575.57
Fixed Income	**56,207.25**	56,324.95
Equities	-	-
Mutual Funds	**135,839.07**	136,422.69
Options	-	-
Other	-	-
Subtotal (Long Portfolio)	***201,378.39***	*206,323.21*
Estimated Accrued Interest	**319.31**	108.85
TOTAL ASSETS	**$201,697.70**	$206,432.06

LIABILITIES

Debit Balance	-	-
Short Market Value	-	-
TOTAL LIABILITIES	-	-
NET PORTFOLIO VALUE	**$201,697.70**	$206,432.06

CASH FLOW

	This Statement	*Year to Date*
Opening Cash/Money Accounts	**$13,575.57**	
CREDITS		
Funds Received	-	-
Electronic Transfers	-	-
Other Credits	-	37,504.41
Dividends/Interest	**956.50**	9,032.82
Security Transactions	-	-
TOTAL CREDITS	**$956.50**	$46,537.23
DEBITS		
Electronic Transfers	-	-
Margin Interest Charged	-	-
Other Debits	-	(5,150.00)
Visa Purchases *(debits)*	-	-
ATM/Cash Advances	-	-
Checks Written/Bill Payment	**(5,200.00)**	(32,055.16)
Security Transactions	-	-
TOTAL DEBITS	**($5,200.00)**	($37,205.16)
Net Cash Flow	**($4,243.50)**	$9,332.07
Closing Cash/Money Accounts	**$9,332.07**	

3941

YOUR CMA BANK DEPOSIT INTEREST SUMMARY

December 01, 2006 - December 29, 2006

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
Merrill Lynch Bank USA	13,467	11,343	3.20	28.29	8,720
TOTAL ML Bank Deposit Program	13,467			28.29	8,720

YOUR CMA ASSETS

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	612.07	612		612.07		
ML Bank Deposit Program	8,720.00	8,720	1.0000	8,720.00	279	3.20
TOTAL		9,332		9,332.07	279	3.20

MUNICIPAL BONDS Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ NEW YORK NYC TRANSN FIN *AT REV FUTURE TAX B OID JAN98 04.750%NOV15 17* *MOODY'S: AA1 S&P: AAA ORIGINAL UNIT COST 100.00*	01/29/02	55,000	55,004	102.1950	56,207.25	1,203	319.31	2,612	4.64
TOTAL			55,004		56,207.25	1,203	319.31	2,612	4.65

MUTUAL FUNDS/DEFINED ASSET FUNDS Description	Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
EATON VANCE INS NY MUN *MUNICIPAL BOND FUND* *SYMBOL: ENX Initial Purchase: 08/27/02*	1,800	27,005	(257)	27,005	14.8600	26,748.00	(257)	1,287	4.81
NUVEEN PREF CONV INC 2 *FD 2* *SYMBOL: JQC Initial Purchase: 06/25/03*	3,333	50,000	(2,971)	50,000	14.1100	47,028.63	(2,971)	3,799	8.07
ROCHESTER MUNICIPAL FDS	3,301	49,984	12,074	57,451	18.8000	62,058.80	4,607	2,564	4.13

TOTAL MERRILL

MOM MAIN

Account Number:

YOUR CMA ASSETS

December 01, 2006 - December 29, 2006

MUTUAL FUNDS/DEFINED ASSET FUNDS (continued) Description	Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
CL B									
(.1940 FRACTIONAL SHARE)				N/A	18.8000	3.64	N/A		4.13
SYMBOL: RMUBX Initial Purchase: 06/10/03									
Subtotal (Fixed Income Funds)			8,846	134,457		135,839.07	1,379	7,651	5.63
TOTAL			8,846	134,457		135,839.07	1,379	7,651	5.63

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.
Cumulative Investment Return: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the capital appreciation (depreciation) of all shares purchased, including shares purchased through reinvestment.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis. Provided for Tax Planning purposes only and is not applicable to retirement accounts "denoted by N/A."
Initial Purchase: Date of your initial investment in this fund.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

Effective October 2006, Merrill Lynch Investment Managers, L.P. (MLIM) combined with BlackRock, Inc. As a result of the transaction, MLIM investments were rebranded BlackRock. For more information please contact your Financial Advisor or call (800) MERRILL.

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	198,793	201,378.39	2,582	319.31	10,542	5.24

Δ Debt Instruments purchased at a premium show amortization
Θ Debt Instruments purchased at a discount show accretion
Total values exclude N/A items

3943

TOTAL MERRILL

Online at: **www.mlol.ml.com** Account Number: 24-Hour Assistance: (800) MERRILL

MLPF& S CUST FPO
HON CAROLYN MCCARTHY IRA
FBO HON CAROLYN MCCARTHY
493 NANCY RD
MINEOLA NY 11501-1124

Net Portfolio Value: *$111,100.59*

Your Financial Advisor:
STUART I LEVIN
1305 WALT WHITMAN ROAD
MELVILLE NY 11747
Stuart_Levin@ml.com
(800) 937-0611

MOM IRA

December 01, 2006 - December 29, 2006

ASSETS

	December 29	*November 30*
Cash/Money Accounts	**5,318.81**	5,113.99
Fixed Income	-	-
Equities	**49,154.22**	47,723.34
Mutual Funds	**56,627.56**	57,783.64
Options	-	-
Other	-	-
Subtotal (Long Portfolio)	***111,100.59***	*110,620.97*
TOTAL ASSETS	**$111,100.59**	$110,620.97

LIABILITIES

Debit Balance	-	-
TOTAL LIABILITIES	-	-
NET PORTFOLIO VALUE	**$111,100.59**	$110,620.97

CASH FLOW

	This Statement	*Year to Date*
Opening Cash/Money Accounts	**$5,113.99**	
CREDITS		
Funds Received	-	-
Electronic Transfers	-	-
Other Credits	-	1,778.88
Dividends/Interest	**204.82**	3,539.93
Security Transactions	-	-
TOTAL CREDITS	**$204.82**	$5,318.81
DEBITS		
Electronic Transfers	-	-
Other Debits	-	-
Security Transactions	-	-
TOTAL DEBITS	-	-
Net Cash Flow	**$204.82**	$5,318.81
Closing Cash/Money Accounts	**$5,318.81**	

3944

MOM IRA

Account Number:

24-Hour Assistance: (800) MERRILL

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2006 - December 29, 2006

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	96.52	96		96.52		
ML BANK USA RASP	5,222.29	5,222	1.0000	5,222.29	167	3.20
TOTAL		5,318		5,318.81	167	3.20

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
GENERAL ELECTRIC	GE	01/17/95	400	8.7339	3,493	37.2100	14,884.00	11,390	447	3.00
NFJ DIV INT PREM STRAT	NFJ	02/23/05	505	25.0000	12,625	25.1000	12,675.50	50	1,060	8.36
AND PREMIUM STRATEGY FD										
PROCTER & GAMBLE CO	PG	01/17/95	336	15.6283	5,251	64.2700	21,594.72	16,343	416	1.92
TOTAL					21,369		49,154.22	27,783	1,925	3.92

RESEARCH RATINGS

Security	Symbol	ML Rating	Independent Research Provider	Independent Research Provider	Jaywalk Consensus Rating SELL HOLD BUY
GENERAL ELECTRIC	GE	B-2-7	Hold -Morningstar	Buy -Argus Fundamental Research	1.57
PROCTER & GAMBLE CO	PG	A-1-7	Hold -Morningstar	Hold -Ford Equity Research	1.65

PLEASE REFER TO THE BACK OF YOUR STATEMENT FOR A GUIDE TO YOUR MERRILL LYNCH AND INDEPENDENT RESEARCH RATINGS.

MUTUAL FUNDS/DEFINED ASSET FUNDS Description	Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
FIDELITY ADVISOR	4,192	26,709	2,760	26,709	7.0300	29,469.76	N/A		
BIOTECHNOLOGY FD CL B									
(.4650 FRACTIONAL SHARE)				N/A	7.0300	3.26	N/A		
SYMBOL: FBTBX Initial Purchase: 01/03/05									
Subtotal (Equity Funds)			2,760	26,709		29,473.02			
PIONEER HIGH YIELD	2,498	22,909	4,244	28,226	10.8700	27,153.26	N/A	1,223	4.50
FUND CL B									
(.1180 FRACTIONAL SHARE)				N/A	10.8700	1.28	N/A		4.50

TOTAL MERRILL

MOM IRA

Account Number:

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2006 - December 29, 2006

MUTUAL FUNDS/DEFINED ASSET FUNDS (continued) Description	Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
SYMBOL: TBHYX Initial Purchase 06/10/03									
Subtotal (Fixed Income Funds)			*4,244*	*28,226*		*27,154.54*		*1,223*	*4.51*
TOTAL			7,004	54,935		**56,627.56**		1,223	2.16

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.
Cumulative Investment Return: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the capital appreciation (depreciation) of all shares purchased, including shares purchased through reinvestment.
Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis. *Provided for Tax Planning purposes only and is not applicable to retirement accounts "denoted by N/A."*
Initial Purchase: Date of your initial investment in this fund.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

Effective October 2006, Merrill Lynch Investment Managers, L.P. (MLIM) combined with BlackRock, Inc. As a result of the transaction, MLIM investments were rebranded BlackRock. For more information please contact your Financial Advisor or call (800) MERRILL.

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	81,623	**111,100.59**	27,783		**3,316**	**2.98**

Total values exclude N/A items

3946

Merrill Lynch Account No. Taxpayer No. pg 16 of 61 Page 1 of 57

1...H...H.l.l.R.....H...H...H.l.l.l

Pg 13 of 61

Merrill Lynch

Account No.

Taxpayer No.

Page 4 of 67

HON CAROLYN MCCARTHY

2006 TAX REPORTING STATEMENT

(OMB NO. 1545-01

1099-OID Security Description	Cusip Number	Quantity	2006 ORIGINAL ISSUE DISCOUNT Position From	Held To	Issue Date	Maturity Date	LINE 1 1099 OID*	Remarks
ORIGINAL ISSUE DISCOUNT ON U.S. TREASURY OBLIGATIONS					12/31/06	01/15/07	401.51	
US TSY INFLATION NOTE 03.375% JAN 15 2007	912827HK3	17000	03/27/06		01/15/07	01/15/07		
US TREAS INFLATION NOTE 03.625% JAN 15 2008	912827J77	17000	03/27/06		12/31/06	01/15/08	383.75	
U.S. TRSY INFLATION NOTE 3.00% JUL 15 2012	912828AF7	1000	03/27/06		09/28/06	07/15/12	29.81	
		18000	03/27/06		12/31/06	07/15/12	395.42	
					Security Subtotal		425.23	
TOTAL OID ON U.S. TREASURY OBLIGATIONS (LINE 8 1099-OID)							1,226.49	
TOTAL ORIGINAL ISSUE DISCOUNT (SUM OF 1 & 8 1099-OID)							1,226.49	

* Amounts of OID are individually reported by security position to the IRS. These amounts may not always be the correct amounts to report on your income tax return.

IMPORTANT TAX INFORMATION

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

PAGE 04

C MCCARTHY

04/09/2007 16:50 5162946598

HON CAROLYN MCCARTHY

2006 TAX REPORTING STATEMENT

Your Tax Reporting Statement information that follows is a personal summary of your account activity and is not reported to the IRS.

The Capital Gain and Loss Transaction section is provided to facilitate your review and the preparation of your tax return. In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that an election was in effect to amortize the premium paid on the purchase of taxable bonds. The gain (loss) information may not include return of capital, sales load deferral or wash sales adjustments. RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains. The Cost Basis for these securities may not have been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

Data from Forms 1099 (reportable Dividend and Interest) is also repeated in this summary for your convenience. Additionally, we have included items such as margin interest and select account fees if applicable. Please discuss the deductibility of these items with your tax advisor. For select clients, we have also included a summary of Visa charges and checking activity.

Merrill Lynch is neither a tax return preparer or tax advisor. Please see your tax advisor for more information.

2006 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss
SHORT TERM CAPITAL GAINS								
U.S.TREASURY NOTE	21000.0000$	03/24/06	10/16/06	(192.78)(A)	(192.78)(A)	21,000.00	21,000.00(C)	.00
US TSY 8.000% NOV 15 201	1000.0000$	03/24/06	09/26/06	(18.15)(A)	(18.15)(A)	1,393.32	1,374.19(C)	19.13
ASML HLDNG NV NY REG SHS	55.0000	03/24/06	12/20/06			1,341.88	1,088.44	253.44
	35.0000	03/24/06	12/21/06			848.03	692.66	153.37
AXA ADR	60.0000	07/10/06	07/10/06			37.38	37.36	.00
	10.0000	03/24/06	08/09/06			358.81	338.17	20.64
	11.0000	03/24/06	08/28/06			394.07	371.99	22.08
ALLTEL CORP DEL	10.0000	03/24/06	08/10/06			541.47	524.72	16.75
	15.0000	03/24/06	08/18/06			830.34	787.08	43.26
	5.0000	03/24/06	08/24/06			273.87	262.36	11.51
AMERUS GROUP CO IOWA COM	5.0000	03/24/06	09/26/06			339.83	305.05	34.78
	20.0000	03/24/06	11/16/06			1,380.00	1,220.20	159.80
ARCELOR SA-SP ADR	25.0000	03/24/06	08/27/06			1,174.72	956.25	218.47
APPLE COMPUTER INC	5.0000	03/24/06	12/07/06			440.12	298.80	141.32

HON CAROLYN MCCARTHY

2006 TAX REPORTING STATEMENT

2006 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired / Cover of Short	Date Liquidated / Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
BNP PARIBAS SPONSORD ADR	7.0000	03/24/06	08/14/06			360.55	319.55	41.00
BOEING COMPANY	20.0000	03/24/06	07/05/06			1,600.92	1,570.29	30.63
CAREMARK RX INC	25.0000	07/20/06	09/25/06			1,360.03	1,338.03	22.00
CITIGROUP INC	10.0000	07/03/06	09/13/06			493.84	486.55	7.29
CANON INC ADR REP5SH	5.0000	03/24/06	10/12/06			273.93	219.09	54.84
	12.0000	03/24/06	10/13/06			645.11	525.84	119.27
	10.0000	03/24/06	11/06/06			530.65	438.20	92.45
CEMEX SAB DE CV SPND ADR	8.0000	06/21/06	08/16/06			228.37	220.14	8.23
COOPER INDSTRS LTD CL A	5.0000	03/24/06	05/19/06			439.17	426.75	12.42
	15.0000	03/24/06	07/18/06			1,290.53	1,280.25	10.28
CISCO SYSTEMS INC COM	20.0000	03/24/06	05/08/06			434.95	426.80	8.15
CONAGRA FOODS INC	15.0000	03/24/06	09/26/06			363.28	312.90	50.38
	10.0000	03/24/06	11/07/06			256.08	208.60	47.48
	30.0000	03/24/06	11/08/06			763.07	625.80	137.27
	15.0000	03/24/06	11/21/06			378.96	312.90	64.06
	15.0000	03/24/06	11/22/06			378.04	312.90	65.14
	10.0000	03/24/06	11/24/06			250.61	208.60	42.01
DANAHER CORP DEL COM	5.0000	03/24/06	10/06/06			343.15	322.05	21.10
DIAGNOSTIC PRODS CORP	30.0000	03/24/06	07/28/06			1,755.00	1,415.58	339.42
ENERGEN CRP COM PV 1CENT	10.0000	03/24/06	09/26/06			418.48	338.89	79.59
FREEPRT-MCMRAN CPR&GLD B	20.0000	03/24/06	04/25/06			1,326.76	1,077.79	248.97
	15.0000	03/24/06	04/28/06			981.71	808.36	173.35

HON CAROLYN MCCARTHY

2006 TAX REPORTING STATEMENT

2006 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired / Cover of Short	Date Liquidated / Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Lo
FORTIS (NL)-SPONS ADR	5.0000	03/24/06	08/09/06			180.24	178.75	1.4
	12.0000	03/24/06	08/10/06			435.92	429.00	6.9
	5.0000	03/24/06	11/08/06			211.13	178.75	32.3
	5.0000	03/24/06	11/09/06			208.95	178.75	30.2
	8.0000	03/24/06	11/14/06			335.98	286.00	49.9
GENERAL ELECTRIC	20.0000	03/24/06	05/18/06			685.68	679.76	5.9
	35.0000	03/24/06	12/11/06			1,233.10	1,189.59	43.51
GENERAL MILLS	10.0000	06/14/06	09/14/06			523.52	513.30	10.22
	10.0000	06/14/06	09/15/06			520.06	513.31	6.75
	10.0000	06/15/06	09/15/06			520.06	503.62	16.44
GENZYME CORPORATION	5.0000	05/18/06	12/13/06			300.92	295.19	5.73
	20.0000	05/18/06	12/14/06			1,235.48	1,180.76	54.70
HILTON HOTEL CORP	30.0000	03/24/06	06/07/06			806.01	753.90	52.11
	25.0000	03/24/06	06/08/06			650.58	628.25	22.33
IPSCO INC	8.0000	03/24/06	04/21/06			860.89	854.95	5.94
KEYCORP NEW COM	5.0000	03/24/06	10/23/06			189.21	186.58	2.63
	5.0000	03/24/06	10/26/06			188.03	186.58	1.45
	15.0000	03/24/06	10/27/06			559.95	559.74	.21
LOCKHEED MARTIN CORP	20.0000	03/24/06	10/27/06			1,688.27	1,514.40	173.87
LIMITED BRANDS INC	10.0000	03/24/06	05/31/06			270.77	246.97	23.80
	35.0000	03/24/06	06/01/06			958.25	864.40	93.85
	10.0000	03/24/06	06/09/06			268.12	246.97	21.15
	5.0000	03/24/06	06/12/06			128.43	123.48	4.95
	20.0000	03/24/06	06/13/06			509.23	493.95	15.28
LYONDELL CHEMICAL PV $1	20.0000	03/24/06	09/26/06			507.18	400.40	106.78

HON CAROLYN MCCARTHY

2006 TAX REPORTING STATEMENT

2006 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
MORGAN STANLEY	5.0000	03/24/06	09/26/06			364.53	308.45	56.08
MARRIOTT INTL INC NEW A	10.0000	03/24/06	10/04/06			384.19	343.75	40.44
METLIFE INC COM	25.0000	03/24/06	10/13/06			1,416.09	1,229.00	187.09
	15.0000	03/24/06	10/16/06			851.85	737.40	114.25
MERCK&CO INC	25.0000	03/24/06	08/31/06			1,012.92	901.50	111.42
	10.0000	03/24/06	09/01/06			405.18	360.60	44.58
	25.0000	03/24/06	09/26/06			1,040.46	901.49	138.97
	5.0000	03/24/06	10/23/06			227.04	180.30	46.74
NOVARTIS ADR	7.0000	03/24/06	12/04/06			401.28	390.39	10.89
PETRLEO BRAS VTG SPD ADR	4.0000	03/24/06	05/08/06			416.85	344.24	72.61
	3.0000	03/24/06	07/27/06			272.08	258.18	13.90
	7.0000	03/24/06	09/06/06			620.63	602.42	18.21
PEABODY ENERGY CORP COM	15.0000	03/24/06	05/23/06			875.54	729.75	145.79
PHELPS DODGEPV6 25	5.0000	05/24/06	11/21/06			591.28	414.91	176.37
PFIZER INC DEL PV$0.05	15.0000	03/24/06	09/26/06			424.33	390.30	34.03
PROCTER & GAMBLE CO	10.0000	03/24/06	09/26/06			623.41	588.39	35.02
RWE AG SPONSORED ADR	4.0000	03/24/06	12/08/06			466.80	343.00	123.80
	3.0000	03/24/06	12/13/06			348.91	257.24	91.67
REYNOLDS AMERICAN INC	10.0000	03/24/06	09/26/06			599.78	534.40	65.38
ROCHE HLDG LTD SPN ADR	7.0000	03/24/06	12/19/06			627.38	525.70	101.68
SENSIENT TECHNOLGY CORP	5.0000	03/24/06	10/25/06			115.12	88.65	26.47
	13.0000	03/24/06	10/26/06			299.57	230.49	69.08

HON CAROLYN MCCARTHY

2006 TAX REPORTING STATEMENT

2006 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired / Cover of Short	Date Liquidated / Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (L
ST. PAUL TRAVELERS COS	10.0000	03/24/06	09/26/06			473.38	415.10	58.
SIEMENS AG ADR	5.0000	03/24/06	11/21/06			487.27	452.02	35.
SHINSEI BANK LTD TOKYO	30.0000	03/24/06	05/02/06			420.77	397.50	23.
	50.0000	03/24/06	05/08/06			707.24	662.50	44.
TOTAL S.A. SP ADR	20.0000	03/24/06	08/17/06			1,354.57	1,295.40	59.
TESCO PLC SPNRD ADR	44.0000	03/24/06	07/28/06			880.20	800.76	89.
TAIWAN S MANUFCTRING ADR	30.0000	03/24/06	11/15/06			297.54	280.87	16.
TOYOTA MOTOR CORP ADR	8.0000	03/24/06	03/29/06			652.34	647.76	4.
UNITED PARCEL SVC CL B	10.0000	03/24/06	05/18/06			797.08	787.00	10.
V F CORPORATION	10.0000	03/24/06	09/26/06			743.97	558.80	185.
VALMONT INDUSTRIES	5.0000	03/24/06	05/09/06			282.43	197.23	85.
	22.0000	03/24/06	05/10/06			1,237.57	867.84	369.
	5.0000	03/24/06	07/21/06			239.89	197.23	42.
	8.0000	03/24/06	07/24/06			384.06	315.59	68.
VULCAN MATERIALS CO	5.0000	03/24/06	04/26/06			440.85	432.60	8.
WD 40 COMPANY DELAWARE	10.0000	03/24/06	09/26/06			371.48	307.90	63.
PIMCO FXD INC SHS SER C	245.0000	03/24/06	09/26/06			2,883.65	2,810.15	73.
PIMCO FXD INC SHS SER M	210.0000	03/24/06	09/26/06			2,383.50	2,345.69	37.
			Subtotal			66,631.76	80,072.97	6,558.

HON CAROLYN MCCARTHY

2006 TAX REPORTING STATEMENT

2006 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired / Cover of Short	Date Liquidated / Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss
SHORT TERM CAPITAL LOSSES								
U.S. TRSY INFLATION NOTE	1000.0000-	03/24/06	09/26/06	25.52(A)	25.52(A)	1,174.19	1,184.04(C)	(9.85)
ACCENTURE LTD CL A	10.0000	03/24/06	06/07/06			271.34	312.20	(40.86)
	40.0000	03/24/06	06/08/06			1,068.88	1,248.80	(179.92)
AMGEN INC COM PV $0.0001	20.0000	03/24/06	05/10/06			1,352.42	1,460.78	(108.36)
APPLE COMPUTER INC	10.0000	03/24/06	06/21/06			580.64	597.59	(16.95)
CADBURY SCHWEPPES ADR	15.0000	03/24/06	11/07/06			601.29	612.53	(11.24)
	20.0000	03/24/06	11/08/06			801.05	816.71	(15.66)
CANADIAN NATL RAILWAY CO	7.0000	03/24/06	12/15/06			314.34	333.48	(19.14)
CVS CORP DELAWARE COM	35.0000	07/12/06	10/06/06			1,002.57	1,124.85	(122.28)
	5.0000	07/13/06	10/06/06			143.23	158.99	(15.76)
CREDIT SUISSE GP SP ADR	2.0000	03/24/06	08/14/06			107.67	109.64	(1.97)
CITIGROUP INC	30.0000	05/08/06	09/13/06			1,481.51	1,508.51	(27.00)
CEMEX SA DE CV SPND ADR	8.0000	03/24/06	03/28/06			505.04	522.56	(17.52)
	7.0000	03/24/06	03/29/06			439.19	457.24	(18.05)
	18.0000	03/24/06	08/08/06			516.87	587.88	(71.01)
CEMEX SAB DE CV SPND ADR	5.0000	03/24/06	08/15/06			140.16	163.30	(23.14)
	17.0000	03/24/06	08/16/06			485.28	555.22	(69.94)
CISCO SYSTEMS INC COM	45.0000	03/24/06	08/04/06			775.36	960.30	(184.94)
DANAHER CORP DEL COM	10.0000	03/24/06	05/18/06			628.89	644.10	(15.21)
DASSAULT SYS SA SPN ADR	10.0000	03/24/06	12/19/06			526.13	558.50	(32.37)

HON CAROLYN MCCARTHY

2006 TAX REPORTING STATEMENT

2006 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Lo
						1,276.26	1,489.20	(212.9
EBAY INC COM	40.0000	03/24/06	05/09/06			310.41	359.67	(49.2
EOG RESOURCES INC	5.0000	03/24/06	10/03/06			1,017.18	1,425.89	(408.71
ELECTRONIC ARTS INC DEL	25.0000	04/27/06	06/14/06			1,238.23	1,365.53	(127.30
FIRST DATA CORPORATION	30.0000	06/08/06	07/27/06			412.75	463.91	(51.16
	10.0000	06/16/06	07/27/06			790.73	832.69	(41.96)
FEDRTD DPT STORS DEL NEW	20.0000	09/14/06	12/11/06			324.96	415.00	(90.04)
FRESH DEL MONTE PROD INC	20.0000	03/24/06	10/13/06			129.19	166.00	(36.81)
	8.0000	03/24/06	10/16/06			81.31	103.75	(22.44)
	5.0000	03/24/06	10/27/06			82.18	103.75	(21.57)
	5.0000	03/24/06	10/30/06			307.33	414.99	(107.66)
	20.0000	03/24/06	12/15/06			265.91	352.76	(86.85)
	17.0000	03/24/06	12/18/06			628.69	636.45	(7.76)
HONEYWELL INTL INC DEL	15.0000	03/24/06	05/18/06			780.95	848.59	(67.64)
	20.0000	03/24/06	07/10/06			584.18	636.46	(52.28)
	15.0000	03/24/06	07/11/06			1,614.63	1,663.00	(48.37)
HEWLETT PACKARD CO DEL	50.0000	03/24/06	05/17/06			55.54	70.16	(14.62)
IDEARC INC	2.0000	03/24/06	12/01/06			721.06	748.10	(27.04)
IPSCO INC	7.0000	03/24/06	04/26/06			184.93	186.58	(1.65)
KEYCORP NEW COM	5.0000	03/24/06	11/02/06			736.15	746.32	(10.17)
	20.0000	03/24/06	11/03/06			422.07	428.63	(6.56)
LOCKHEED MARTIN CORP	5.0000	09/26/06	10/27/06					

HON CAROLYN MCCARTHY

2006 TAX REPORTING STATEMENT

2006 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired / Cover of Short	Date Liquidated / Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
MEDIASET S P A ADR	21.0000	04/06/06	09/19/06			677.30	785.58	(88.28)
	7.0000	07/03/06	09/19/06			225.76	244.90	(19.14)
	12.0000	08/10/06	09/19/06			387.04	409.32	(22.28)
MITSUBISHI UFJ FINL GRP	10.0000	03/24/06	08/01/06			133.44	144.89	(11.45)
MEDTRONIC INC COM	25.0000	03/24/06	07/13/06			1,188.08	1,333.50	(145.42)
MICROSOFT CORP	75.0000	03/24/06	04/28/06			1,819.16	2,028.75	(209.59)
MOTOROLA INC COM	65.0000	03/24/06	05/12/06			1,403.30	1,446.90	(43.60)
NII HLDGS INC CL B	25.0000	05/10/06	06/14/06			1,172.51	1,659.29	(486.78)
NISSAN MTR LTD SPN ADR	9.0000	03/24/06	04/06/06			215.11	215.46	(.35)
	31.0000	03/24/06	09/14/06			699.91	742.14	(42.23)
NTT DOCOMO INC SPD ADR	5.0000	03/24/06	08/03/06			72.40	75.99	(3.59)
	34.0000	03/24/06	08/04/06			490.92	516.75	(25.83)
	51.0000	03/24/06	12/11/06			767.70	775.13	(7.43)
NOKIA CORP SPON ADR	50.0000	03/24/06	04/10/06			1,020.58	1,021.00	(.42)
PETRLEO BRAS VTG SPD ADR	10.0000	03/24/06	06/07/06			832.94	860.60	(27.66)
	5.0000	03/24/06	06/08/06			394.74	430.29	(35.55)
	8.0000	03/24/06	06/27/06			657.42	688.48	(31.06)
PROGRESS ENERGY INC	5.0000	03/24/06	09/05/06			219.86	224.09	(4.23)
	15.0000	03/24/06	09/06/06			654.61	672.30	(17.69)
	5.0000	03/24/06	09/19/06			215.60	224.10	(8.50)
	5.0000	03/24/06	09/20/06			217.00	224.10	(7.10)
	15.0000	03/24/06	09/22/06			653.19	672.31	(19.12)
PEABODY ENERGY CORP COM	25.0000	03/24/06	09/11/06			906.83	1,216.25	(309.42)

Merrill Lynch

Account No.

Taxpayer No.

Pg 22 of 61

HON CAROLYN MCCARTHY

2006 TAX REPORTING STATEMENT

2006 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (L
PETRO CANADA	5.0000	03/24/06	10/12/06			198.85	234.25	(35.
	15.0000	03/24/06	10/13/06			605.45	702.75	(97.
QUALCOMM INC	35.0000	03/24/06	08/13/06			1,469.13	1,715.35	(246.
RWE AG SPONSORED ADR	5.0000	03/24/06	04/07/06			419.98	428.75	(8.7
SIEMENS AG ADR	3.0000	03/24/06	08/28/06			251.31	271.21	(19.9
SCHLUMBERGER LTD	5.0000	03/24/06	08/25/06			280.82	305.02	(24.2
SLM CORP	10.0000	03/24/06	10/11/06			506.35	536.59	(30.24
	25.0000	03/24/06	10/12/06			1,250.97	1,341.50	(90.53
	10.0000	03/24/06	10/13/06			483.68	536.61	(52.93
TESCO PLC SPNRD ADR	15.0000	03/24/06	04/05/06			260.92	272.98	(12.06
	20.0000	03/24/06	04/06/06			342.76	363.98	(21.22
TELLABS INC DEL PV 1CT	35.0000	06/07/06	07/12/06			422.69	546.32	(123.63
	65.0000	06/08/06	07/12/06			664.25	834.59	(170.34
TEVA PHARMACTCL INDS ADR	50.0000	03/24/06	06/21/06			1,600.40	2,099.00	(498.60
TARGET CORP COM	30.0000	03/24/06	08/06/06			1,426.45	1,611.30	(184.85
TIME WARNER INC NEW	90.0000	03/24/06	04/03/06			1,514.84	1,525.50	(10.66
	15.0000	03/24/06	04/04/06			250.52	254.25	(3.73
3M COMPANY	20.0000	04/12/06	12/01/06			1,598.80	1,623.40	(24.60
UNITED PARCEL SVC CL B	15.0000	03/24/06	07/25/06			1,023.71	1,180.50	(156.79
UNITEDHEALTH GROUP INC	25.0000	03/24/06	04/26/06			1,227.97	1,394.75	(166.78

3957

HON CAROLYN MCCARTHY

2006 TAX REPORTING STATEMENT

2006 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
VULCAN MATERIALS CO	5.0000	03/24/06	06/15/06			368.45	432.60	(64.15)
	15.0000	03/24/06	06/16/06			1,092.62	1,297.80	(205.18)
WIENERBERGER BAUST SPADR	25.0000	03/24/06	06/26/06			224.87	255.00	(30.13)
	5.0000	03/24/06	06/27/06			44.58	51.00	(6.42)
	20.0000	03/24/06	06/28/06			179.01	204.00	(24.99)
WAL-MART STORES INC	40.0000	09/11/06	11/30/06			1,851.05	1,860.34	(9.29)
YAHOO INC	15.0000	03/24/06	09/19/06			378.51	474.28	(95.77)
	45.0000	03/24/06	09/20/06			1,150.98	1,422.86	(271.88)
	10.0000	07/27/06	09/20/06			255.78	271.13	(15.35)
WYETH	10.0000	03/24/06	06/21/06			435.78	498.30	(62.52)
	30.0000	03/24/06	06/22/06			1,294.95	1,494.90	(199.95)
			Subtotal			62,982.52	70,007.58	(7,025.06)
NET SHORT TERM CAPITAL GAIN (LOSS)								(466.27)

HON CAROLYN MCCARTHY

2006 TAX REPORTING STATEMENT

2006 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
ABBOTT LABS	35	08/15/06	Dividend	10.33	10.33	
	35	11/15/06	Dividend	10.33	10.33	
			Security Subtotal	*20.66*	*20.66*	
ALLSTATE CORP DEL COM	35	07/03/06	Dividend	12.25	12.25	
	35	10/02/06	Dividend	12.25	12.25	
			Security Subtotal	*24.50*	*24.50*	
ALTRIA GROUP INC	25	07/10/06	Dividend	20.00	20.00	
	25	10/10/06	Dividend	21.50	21.50	
			Security Subtotal	*41.50*	*41.50*	
AXA ADR	60	06/05/06	Foreign Dividend	67.79	67.79	
		06/05/06	Foreign Tax	(10.13)	0.00	NON-RECLAIMABLE TAX PAY DATE 06/05/2006
			Security Subtotal	*57.66*	*67.79*	
AMERICAN FINL GRP HLDGS	33	10/25/06	Dividend	4.54	4.54	
ALLTEL CORP DEL	60	07/03/06	Dividend	23.10	23.10	
	30	10/03/06	Dividend	3.75	3.75	
	30	10/03/06	Dividend	1.44	1.44	
			Security Subtotal	*28.29*	*28.29*	
ARCELOR SA-SP ADR	25	06/13/06	Foreign Dividend	59.21	59.21	
		06/13/06	Foreign Tax	(11.84)	0.00	NON-RECLAIMABLE TAX PAY DATE 06/08/2006
			Security Subtotal	*47.37*	*59.21*	
ADIDAS SALOMON AG	15	05/31/06	Foreign Dividend	12.47	12.47	
		05/31/06	Foreign Tax	(2.63)	0.00	PAY DATE 05/22/2006
			Security Subtotal	*9.84*	*12.47*	
AT& T INC	70	05/01/06	Dividend	23.28	23.28	
	70	08/01/06	Dividend	23.28	23.28	
	70	11/01/06	Dividend	23.28	23.28	
			Security Subtotal	*69.84*	*69.84*	

HON CAROLYN MCCARTHY

2006 TAX REPORTING STATEMENT

2006 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
AMER EXPRESS COMPANY	35	05/10/06	Dividend	4.20	4.20	
	35	08/10/06	Dividend	5.25	5.25	
	40	11/10/06	Dividend	6.00	6.00	
			Security Subtotal	*15.45*	*15.45*	
AMER INTL GROUP INC	30	06/16/06	Dividend	4.50	4.50	
	30	09/15/06	Dividend	4.95	4.95	
	35	12/15/06	Dividend	5.78	5.78	
			Security Subtotal	*15.23*	*15.23*	
ANADARKO PETE CORP	40	06/28/06	Dividend	3.60	3.60	
	40	09/27/06	Dividend	3.60	3.60	
	40	12/27/06	Dividend	3.60	3.60	
			Security Subtotal	*10.80*	*10.80*	
ANHEUSR BUSCH COS INC	45	08/09/06	Dividend	12.15	12.15	
	45	09/11/06	Dividend	13.28	13.28	
	45	12/11/06	Dividend	13.28	13.28	
			Security Subtotal	*38.71*	*38.71*	
AUTOMATIC DATA PROC	30	07/03/06	Dividend	5.55	5.55	
	30	10/02/06	Dividend	5.55	5.55	
			Security Subtotal	*11.10*	*11.10*	
BOEHLER-UDDEHOLM SPN ADR	15	06/05/06	Foreign Dividend	48.11	48.11	
		06/05/06	Foreign Tax	(12.03)	0.00	NON-RECLAIMABLE TAX PAY DATE 06/05/2006
			Security Subtotal	*36.08*	*48.11*	
BANTA CORP	30	05/01/06	Dividend	5.40	5.40	
	30	08/01/06	Dividend	5.40	5.40	
	30	11/01/06	Dividend	5.40	5.40	
	30	11/24/06	Dividend	480.00	480.00	
			Security Subtotal	*496.20*	*496.20*	

HON CAROLYN MCCARTHY

2006 TAX REPORTING STATEMENT

2006 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
BANK OF AMERICA CORP	40	06/23/06	Dividend	20.00	20.00	
	65	09/22/06	Dividend	36.40	36.40	
	65	12/22/06	Dividend	36.40	36.40	
			Security Subtotal	*92.80*	*92.80*	
BNP PARIBAS SPONSORD ADR	40	07/07/06	Foreign Dividend	66.57	66.57	
		07/07/06	Foreign Tax	(9.99)	0.00	NON-RECLAIMABLE TAX PAY DATE 07/07/2006
			Security Subtotal	*56.58*	*66.57*	
BECTON DICKINSON CO	25	06/30/06	Dividend	5.38	5.38	
	25	09/29/06	Dividend	5.38	5.38	
			Security Subtotal	*10.76*	*10.76*	
BOEING COMPANY	20	06/02/06	Dividend	6.00	6.00	
BANCORPSOUTH INC	65	07/03/06	Dividend	13.00	13.00	
	65	10/02/06	Dividend	13.00	13.00	
			Security Subtotal	*26.00*	*26.00*	
CBL & ASSOC PPTYS INC REIT	35	04/17/06	Dividend	15.62	0.00	
	35	07/14/06	Dividend	15.62	0.00	
	35	10/17/06	Dividend	15.62	0.00	
	35	01/16/07	Dividend	17.25	0.00	PAY IN 2007/REP IN 2006
			Security Subtotal	*64.11*	*0.00*	
CADBURY SCHWEPPES ADR	35	05/26/06	Foreign Dividend	22.06	22.06	
	35	10/20/06	Foreign Dividend	10.79	10.79	
			Security Subtotal	*32.85*	*32.85*	
CABOT OIL & GAS CORP	30	05/31/06	Dividend	1.20	1.20	
	30	08/23/06	Dividend	1.20	1.20	
	30	11/27/06	Dividend	1.20	1.20	
			Security Subtotal	*3.60*	*3.60*	

HON CAROLYN MCCARTHY

2006 TAX REPORTING STATEMENT

2006 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
CANADIAN NATL RAILWAY CO	25	06/30/06	Foreign Dividend	3.65	3.65	
		06/30/06	Foreign Tax	(.55)	0.00	NON-RECLAIMABLE TAX PAY DATE 06/30/2006
	25	09/29/06	Foreign Dividend	3.63	3.63	
		09/29/06	Foreign Tax	(.54)	0.00	NON-RECLAIMABLE TAX PAY DATE 09/29/2006
	25	12/29/06	Foreign Dividend	3.49	3.49	
		12/29/06	Foreign Tax	(.52)	0.00	NON-RECLAIMABLE TAX PAY DATE 12/29/2006
			Security Subtotal	*9.16*	*10.77*	
CVS CORP DELAWARE COM	40	08/01/06	Dividend	1.55	1.55	
CREDIT SUISSE GP SP ADR	20	06/08/06	Foreign Dividend	33.02	33.02	
		06/08/06	Foreign Tax	(4.95)	0.00	NON-RECLAIMABLE TAX PAY DATE 06/08/2006
			Security Subtotal	*28.07*	*33.02*	
CANADIAN NATURAL RES LTD	45	07/05/06	Foreign Dividend	3.03	3.03	
		07/05/06	Foreign Tax	(.45)	0.00	NON-RECLAIMABLE TAX PAY DATE 07/01/2006
	45	10/02/06	Foreign Dividend	3.01	3.01	
		10/02/06	Foreign Tax	(.45)	0.00	NON-RECLAIMABLE TAX PAY DATE 10/01/2006
			Security Subtotal	*5.14*	*6.04*	
CITIGROUP INC	40	08/25/06	Dividend	19.60	19.60	
CANON INC ADR REP5SH	30	09/01/06	Foreign Dividend	12.78	12.78	
		09/01/06	Foreign Tax	(.89)	0.00	NON-RECLAIMABLE TAX PAY DATE 09/01/2006
			Security Subtotal	*11.89*	*12.78*	
CEMEX SA DE CV SPND ADR	20	06/27/06	Foreign Dividend	29.68	29.68	

HON CAROLYN MCCARTHY

2006 TAX REPORTING STATEMENT

2006 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
CHEVRON CORP	35	06/12/06	Dividend	18.20	18.20	
	35	09/11/06	Dividend	18.20	18.20	
	35	12/11/06	Dividend	18.20	18.20	
			Security Subtotal	*54.60*	*54.60*	
CONOCOPHILLIPS	30	06/01/06	Dividend	10.80	10.80	
	30	09/01/06	Dividend	10.80	10.80	
	30	12/01/06	Dividend	10.80	10.80	
			Security Subtotal	*32.40*	*32.40*	
CBS CORP NEW CL B	75	10/02/06	Dividend	15.00	15.00	
COCA COLA COM	80	07/03/06	Dividend	24.80	24.80	
	90	10/02/06	Dividend	27.90	27.90	
	90	12/15/06	Dividend	27.90	27.90	
			Security Subtotal	*80.60*	*80.60*	
COMMERCIAL METALS CO COM	54	07/21/06	Dividend	3.24	3.24	
	54	10/20/06	Dividend	3.24	3.24	
			Security Subtotal	*6.48*	*6.48*	
CONAGRA FOODS INC	95	06/01/06	Dividend	17.10	17.10	
	95	09/01/06	Dividend	17.10	17.10	
	80	12/01/06	Dividend	14.40	14.40	
			Security Subtotal	*48.60*	*48.60*	
CURTISS WRIGHT	25	04/21/06	Dividend	3.00	3.00	
	50	07/21/06	Dividend	3.00	3.00	
	50	10/27/06	Dividend	3.00	3.00	
	50	12/15/06	Dividend	3.00	3.00	
			Security Subtotal	*12.00*	*12.00*	
DUKE REALTY CORP NEW REIT	50	05/31/06	Dividend	15.17	.94	
	50	08/31/06	Dividend	15.22	.95	
	50	11/30/06	Dividend	15.14	.95	
			Security Subtotal	*45.53*	*2.84*	

3963

HON CAROLYN MCCARTHY

2006 TAX REPORTING STATEMENT

2006 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
DANAHER CORP DEL COM	35	04/28/06	Dividend	.70	.70	
	25	07/28/06	Dividend	.50	.50	
	25	10/27/06	Dividend	.50	.50	
			Security Subtotal	*1.70*	*1.70*	
DTE ENERGY COMPANY	45	07/17/06	Dividend	23.18	23.18	
	45	10/16/06	Dividend	23.18	23.18	
			Security Subtotal	*46.36*	*46.36*	
DASSAULT SYS SA SPN ADR	25	07/27/06	Foreign Dividend	13.34	13.34	
		07/27/06	Foreign Tax	(2.00)	0.00	NON-RECLAIMABLE TAX
						PAY DATE 07/27/2006
			Security Subtotal	*11.34*	*13.34*	
DRS TECHNOLOGIES INC	37	09/29/06	Dividend	1.11	1.11	
	37	12/29/06	Dividend	1.11	1.11	
			Security Subtotal	*2.22*	*2.22*	
DEUTSCHE BANK AG REG	10	06/05/06	Foreign Dividend	32.01	32.01	
		06/05/06	Foreign Tax	(8.75)	0.00	PAY DATE 06/02/2006
		11/10/06	Foreign Tax	1.94	0.00	ADJ ENTRY AS OF 08/29/06
						PAYDATE 06/02/06
			Security Subtotal	*27.20*	*32.01*	
DEERE CO	15	11/01/06	Dividend	5.85	5.85	
DIAGNOSTIC PRODS CORP	30	05/16/06	Dividend	2.10	2.10	
	30	07/26/06	Dividend	2.10	2.10	
			Security Subtotal	*4.20*	*4.20*	
R R DONNELLEY SONS	30	06/01/06	Dividend	7.80	7.80	
	60	09/01/06	Dividend	15.60	15.60	
	60	12/01/06	Dividend	15.60	15.60	
			Security Subtotal	*39.00*	*39.00*	

HON CAROLYN MCCARTHY

2006 TAX REPORTING STATEMENT

2006 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
DOW CHEMICAL CO	45	04/28/06	Dividend	16.88	16.88	
	45	07/28/06	Dividend	16.88	16.88	
	45	10/30/06	Dividend	16.88	16.88	
			Security Subtotal	*50.64*	*50.64*	
ENI S P A SPONSORED ADR	20	06/29/06	Foreign Dividend	32.65	32.65	
		06/29/06	Foreign Tax	(8.82)	0.00	PAY DATE 06/29/2006
	20	11/02/06	Foreign Dividend	30.36	30.36	
		11/02/06	Foreign Tax	(8.20)	0.00	PAY DATE 11/02/2006
			Security Subtotal	*45.99*	*63.01*	
EQUITY ONE REIT	65	06/30/06	Dividend	19.96	0.00	
	65	10/02/06	Dividend	4.61	0.00	
	85	12/29/06	Dividend	4.61	0.00	
			Security Subtotal	*29.18*	*0.00*	
EOG RESOURCES INC	25	04/28/06	Dividend	1.50	1.50	
	30	07/31/06	Dividend	1.80	1.80	
	25	10/31/06	Dividend	1.50	1.50	
			Security Subtotal	*4.80*	*4.80*	
EXELON CORPORATION	30	06/12/06	Dividend	12.00	12.00	
	30	09/11/06	Dividend	12.00	12.00	
	30	12/11/06	Dividend	12.00	12.00	
			Security Subtotal	*36.00*	*36.00*	
EMERSON ELEC CO	20	06/09/06	Dividend	8.90	8.90	
	20	09/11/06	Dividend	8.90	8.90	
	20	12/11/06	Dividend	10.50	10.50	
			Security Subtotal	*28.30*	*28.30*	
ENERGEN CRP COM PV 1CENT	45	06/01/06	Dividend	4.95	4.95	
	45	09/01/06	Dividend	4.95	4.95	
	35	12/01/06	Dividend	3.85	3.85	
			Security Subtotal	*13.75*	*13.75*	
FIRST DATA CORPORATION	40	07/13/06	Dividend	2.40	2.40	

HON CAROLYN MCCARTHY

2006 TAX REPORTING STATEMENT

2006 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
FREEPRT-MCMRAN CPR&GLD B	35	05/01/06	Dividend	10.94	10.94	
FRESH DEL MONTE PROD INC	75	06/13/06	Foreign Dividend	15.00	15.00	
	75	09/12/06	Foreign Dividend	3.75	3.75	
	37	12/13/06	Foreign Dividend	1.85	1.85	
			Security Subtotal	*20.60*	*20.60*	
FREDDIE MAC	30	06/30/06	Dividend	14.10	14.10	
	30	09/29/06	Dividend	14.10	14.10	
	30	12/29/06	Dividend	15.00	15.00	
			Security Subtotal	*43.20*	*43.20*	
FRONTLINE LTD	45	06/26/06	Foreign Dividend	67.50	67.50	
	45	09/18/06	Foreign Dividend	67.50	67.50	
	45	12/22/06	Foreign Dividend	112.50	112.50	
			Security Subtotal	*247.50*	*247.50*	
FORTIS (NL)-SPONS ADR	65	06/29/06	Foreign Dividend	52.25	52.25	
		06/29/06	Foreign Tax	(13.06)	0.00	NON-RECLAIMABLE TAX PAY DATE 06/29/2006
		08/07/06	Foreign Tax	5.00	0.00	ADJ ENTRY AS OF 07/28/06 PAYDATE 06/29/06
	65	09/14/06	Foreign Dividend	47.92	47.92	
		09/14/06	Foreign Tax	(11.98)	0.00	NON-RECLAIMABLE TAX PAY DATE 09/14/2006
			Security Subtotal	*80.13*	*100.17*	
GLAXOSMITHKLINE PLC ADR	35	07/06/06	Foreign Dividend	13.76	13.76	
	35	10/05/06	Foreign Dividend	14.22	14.22	
			Security Subtotal	*27.98*	*27.98*	
GENERAL ELECTRIC	50	07/25/06	Dividend	12.50	12.50	
	65	10/25/06	Dividend	16.25	16.25	
			Security Subtotal	*28.75*	*28.75*	
GENERAL MILLS	30	08/01/06	Dividend	10.50	10.50	

3966

HON CAROLYN MCCARTHY

2006 TAX REPORTING STATEMENT

2006 OTHER DISTRIBUTIONS AND CHARGES*

Security Description	Quantity	Date	Transaction Description	Amount	Remarks
OTHER ACCRUED INTEREST PAID					
FEDERAL NATL MTGE ASSN NOTES 06.000% MAY 15 2008	14000	03/27/06	Interest Paid	(308.00)	
FED NAT'L MORTGAGE ASSOC BONDS 06.625% OCT 15 2007	18000	03/27/06	Interest Paid	(536.63)	
FEDERAL HOME LN MTG CORP NOTES 05.125% OCT 15 2008	18000	03/27/06	Interest Paid	(415.13)	
U.S.TREASURY NOTE 06.500% OCT 15 2006	21000	03/27/06	Interest Paid	(611.25)	
US TSY INFLATION NOTE 03.375% JAN 15 2007	17000	03/27/06	Interest Paid	(140.67)	
U.S. TREASURY BOND 10.625% AUG 15 2015	18000	03/27/06	Interest Paid	(211.33)	
US TREAS INFLATION NOTE 03.625% JAN 15 2008	17000	03/27/06	Interest Paid	(148.18)	
	16000	10/17/06	Interest Paid	(186.81)	
			Security Subtotal	*(334.99)*	
U.S. TRSY INFLATION NOTE 3.00% JUL 15 2012	20000	03/27/06	Interest Paid	(129.83)	
U.S. TREASURY NOTE 3.750% MAR 31 2007	38000	03/27/06	Interest Paid	(696.84)	

HON CAROLYN MCCARTHY

2006 TAX REPORTING STATEMENT

2006 OTHER DISTRIBUTIONS AND CHARGES*

Security Description	Quantity	Date	Transaction Description	Amount	Remarks
U.S. TREASURY BOND 09.000% NOV 15 2018	8000	03/27/06	Interest Paid	(262.54)	
TOTAL OTHER ACCRUED INTEREST PAID				(3,647.01)	
TOTAL ACCRUED INTEREST PAID				(3,647.01)	
INVESTMENT FEE INFORMATION					
CONSULTS				(9,044.66)	

3968

13178

Merrill Lynch

Account No.

Taxpayer No.

pg 38 of 61

3D
3I

0000744

HON CAROLYN MCCARTHY
493 NANCY RD
MINEOLA NY 11501-1124

Your Financial Advisor 9835
COSTELLO/MACINA GROU
1305 WALT WHITMAN ROAD
MELVILLE NY 11747

Payer:
Merrill Lynch, Pierce, Fenner & Smith Inc
1800 Merrill Lynch Drive, MSC 0803
Pennington, NJ 08534-4129
Federal Identification Number 13-5674085

2006 TAX REPORTING STATEMENT

1099-DIV	2006 Dividends and Distributions	(OMB NO. 1545-0110)
		Amount
1a	Total Ordinary Dividends	2,690.07
1b	Qualified Dividends	583.96
2a	Total Capital Gain Distributions	222.64
2b	Unrecap. Sec. 1250 Gain	9.00
2c	Section 1202 Gain	0.00
2d	Collectibles (28%) Gain	0.00
3	Nondividend Distributions	212.24
4	Federal Income Tax Withheld	0.00
5	Investment Expenses	0.00
6	Foreign Tax Paid	0.00
7	Foreign Country or U.S. Possession	
8	Liquidation Distributions Cash	0.00
9	Liquidation Distributions Non-Cash (Fair Market Value)	0.00

1099-INT	2006 Interest Income	(OMB NO. 1545-0112)
		Amount
1	Interest Income	208.67 *
2	Early Withdrawal Penalty	0.00
3	Interest On U.S. Savings Bonds and Treasury Obligations	0.00 *
4	Federal Income Tax Withheld	0.00
5	Investment Expenses	0.00
6	Foreign Tax Paid	
7	Foreign Country or U.S. Possession	
8	Tax Exempt Interest	5,731.09 *

* Amounts in Lines 3 and 8 are not included in Line 1. Refer to the instructions at the end of the statement for further explanation.

Summary of 2006 Original Issue Discount Items

		Amount
1	Original Issue Discount	0.00**
6	Original Issue Discount on US Treasury Obligations	0.00**
	Total Original Issue Discount is the Sum of Line 1 and 6	0.00**

** Amounts of Original Issue Discount are individually reported to the IRS. Refer to the 2006 Original Issue Discount detail section of this statement.

Summary of 2006 Proceeds from Broker & Barter Exchange Transactions

		Amount
2	Gross Proceeds Less Commissions	0.00
4	Federal Income Tax Withheld	0.00

Gross Proceeds from each of your 2006 securities trades are individually reported to the IRS. Refer to the 2006 Gross Proceeds detail section of this statement.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

You may reach a Tax Representative at 1-800-637-6326

3969

HON CAROLYN MCCARTHY

2006 TAX REPORTING STATEMENT

2006 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
NUVEEN PFD & CONV INCOME FD 2	3333	04/03/06	Dividend	238.51	52.40	
	3333	04/03/06	Short Term Capital Gain	2.10	.46	
	3333	05/01/06	Dividend	238.51	52.40	
	3333	05/01/06	Short Term Capital Gain	2.10	.46	
	3333	06/01/06	Dividend	269.74	59.26	
	3333	06/01/06	Short Term Capital Gain	2.38	.52	
	3333	07/03/06	Dividend	269.74	59.26	
	3333	07/03/06	Short Term Capital Gain	2.38	.52	
	3333	08/01/06	Dividend	269.74	59.26	
	3333	08/01/06	Short Term Capital Gain	2.38	.52	
	3333	09/01/06	Dividend	269.74	59.26	
	3333	09/01/06	Short Term Capital Gain	2.38	.52	
	3333	10/02/06	Dividend	269.74	59.26	
	3333	10/02/06	Short Term Capital Gain	2.38	.52	
	3333	11/01/06	Dividend	269.74	59.26	
	3333	11/01/06	Short Term Capital Gain	2.38	.52	
	3333	12/01/06	Dividend	269.74	59.26	
	3333	12/01/06	Short Term Capital Gain	2.38	.52	
	3333	12/29/06	Dividend	269.74	59.26	
	3333	12/29/06	Short Term Capital Gain	2.38	.52	
			Security Subtotal	2,658.18	583.96	
ROCHESTER MUNI FD CL B		01/08/07	Short Term Capital Gain	31.89	0.00	PAY IN 2007/REP IN 2006
TOTAL DIVIDEND ACTIVITY				2,690.07		
TOTAL ORDINARY DIVIDENDS (LINE 1A 1099-DIV)				2,690.07		
TOTAL QUALIFIED DIVIDENDS (LINE 1B 1099-DIV)					583.96	

3970

HON CAROLYN MCCARTHY

2006 TAX REPORTING STATEMENT

2006 DIVIDENDS AND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Remarks
CAPITAL GAIN DISTRIBUTIONS					
LONG-TERM CAPITAL GAINS DISTRIBUTIONS					
NUVEEN PFD & CONV INCOME FD 2	3333	04/03/06	Long Term Capital Gain	19.34	
	3333	05/01/06	Long Term Capital Gain	19.34	
	3333	06/01/06	Long Term Capital Gain	21.87	
	3333	07/03/06	Long Term Capital Gain	21.87	
	3333	08/01/06	Long Term Capital Gain	21.87	
	3333	09/01/06	Long Term Capital Gain	21.87	
	3333	10/02/06	Long Term Capital Gain	21.87	
	3333	11/01/06	Long Term Capital Gain	21.87	
	3333	12/01/06	Long Term Capital Gain	21.87	
	3333	12/29/06	Long Term Capital Gain	21.87	
			Security Subtotal	***213.64***	
				213.64	
TOTAL LONG-TERM CAPITAL GAINS DISTRIBUTIONS					
UNRECAPTURED SECTION 1250 GAIN					
NUVEEN PFD & CONV INCOME FD 2	3333	04/03/06	Unrecap Sec 1250	.82	
	3333	05/01/06	Unrecap Sec 1250	.82	
	3333	06/01/06	Unrecap Sec 1250	.92	
	3333	07/03/06	Unrecap Sec 1250	.82	
	3333	08/01/06	Unrecap Sec 1250	.92	
	3333	09/01/06	Unrecap Sec 1250	.82	
	3333	10/02/06	Unrecap Sec 1250	.92	
	3333	11/01/06	Unrecap Sec 1250	.92	
	3333	12/01/06	Unrecap Sec 1250	.92	
	3333	12/29/06	Unrecap Sec 1250	.92	
			Security Subtotal	***9.00***	
				9.00	
OTAL UNRECAP. SEC. 1250 GAIN (LINE 2B 1099-DIV)				222.64	
OTAL CAPITAL GAIN DISTRIBUTIONS (LINE 2A 1099-DIV)					

HON CAROLYN MCCARTHY

2006 TAX REPORTING STATEMENT

2006 DIVIDENDS AND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Remarks
NONDIVIDEND DISTRIBUTIONS					
NUVEEN PFD & CONV INCOME FD 2	3333	04/03/06	Prin Payment	19.20	
	3333	05/01/06	Prin Payment	19.20	
	3333	06/01/06	Prin Payment	21.73	
	3333	07/03/06	Prin Payment	21.73	
	3333	08/01/06	Prin Payment	21.73	
	3333	09/01/06	Prin Payment	21.73	
	3333	10/02/06	Prin Payment	21.73	
	3333	11/01/06	Prin Payment	21.73	
	3333	12/01/06	Prin Payment	21.73	
	3333	12/29/06	Prin Payment	21.73	
			Security Subtotal	212.24	
TOTAL NONDIVIDEND DISTRIBUTIONS (LINE 3 1099-DIV)				**212.24**	

3972

HON CAROLYN MCCARTHY

2006 TAX REPORTING STATEMENT

2006 INTEREST INCOME

Security Description	Quantity	Date	Transaction Description	Amount	Remarks
INTEREST					
ML BANK DEPOSIT PROGRAM		03/31/06	Bank Interest	11.79	
		04/28/06	Bank Interest	9.03	
		05/31/06	Bank Interest	24.79	
		06/30/06	Bank Interest	15.51	
		07/31/06	Bank Interest	13.86	
		08/31/06	Bank Interest	15.29	
		09/29/06	Bank Interest	20.32	
		10/31/06	Bank Interest	37.49	
		11/30/06	Bank Interest	32.30	
		12/29/06	Bank Interest	28.29	
			Security Subtotal	*208.67*	

TOTAL INTEREST ACTIVITY **208.67**
TOTAL INTEREST (LINE 1 1099-INT) **208.67**
TOTAL INTEREST ON US SAVINGS BONDS AND TREASURY OBLIGATIONS (LINE 3 1099-INT) **0.00**

3973

HON CAROLYN MCCARTHY

2006 TAX REPORTING STATEMENT

2006 REPORTABLE TAX EXEMPT INCOME

Security Description	Quantity	Date	Transaction Description	Amount	Remarks
TAX EXEMPT DIVIDENDS					
EATON VANCE INS NEW YORK MUNICIPAL BOND FUND	1800	03/31/06	Dividend	114.90	
	1800	04/28/06	Dividend	114.90	
	1800	05/31/06	Dividend	110.40	
	1800	06/30/06	Dividend	110.40	
	1800	07/31/06	Dividend	110.40	
	1800	08/31/06	Dividend	110.40	
	1800	09/29/06	Dividend	110.40	
	1800	10/31/06	Dividend	107.40	
	1800	11/30/06	Dividend	107.40	
	1800	12/28/06	Dividend	114.90	
			Security Subtotal	*1,111.50*	
ROCHESTER MUNICIPAL FDS CL B		03/29/06	Dividend	133.98	
		04/26/06	Dividend	223.54	
		05/24/06	Dividend	223.91	
		06/28/06	Dividend	200.33	
		07/26/06	Dividend	210.46	
		08/23/06	Dividend	209.93	
		09/27/06	Dividend	199.11	
		10/25/06	Dividend	209.35	
		11/22/06	Dividend	208.95	
		12/29/06	Dividend	187.53	
			Security Subtotal	*2,007.09*	
TOTAL TAX EXEMPT DIVIDENDS				**3,118.59**	
TAX EXEMPT INTEREST ON MUNICIPAL SECURITIES					
NEW YORK NYC TRANSN FIN AT REV FUTURE TAX B OID JAN98 04.750%NOV15 17		05/15/06	Interest	1,306.25	
		11/15/06	Interest	1,306.25	
			Security Subtotal	*2,612.50*	
TOTAL TAX EXEMPT INTEREST ON MUNICIPAL SECURITIES				**2,612.50**	
TOTAL TAX EXEMPT INTEREST				**2,612.50**	
TOTAL TAX EXEMPT INCOME (LINE 8 1099-INT)				**5,731.09**	

3974

WACHOVIA SECURITIES

Sub / Branch / Rep / Account No
001 / Z0 / Z001 / !

As of Date: 2/09/07

Your Financial Advisor :
Z011 ACAT REP

804-290-4000

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

HON CAROLYN MCCARTHY
493 NANCY RD
MINEOLA NY 11501-1124

Your Federal Identification Number:

Summary of Reportable Tax Information

1099-DIV Dividends and Distributions for 2006 OMB No. 1545-0110

IRS Box		Amount
1a	Total Ordinary Dividends	2,352.61
1b	Qualified Dividends	2,352.61
2a	Total Capital Gain Distributions	0.00
2b	Unrecaptured Sec. 1250 Gain	0.00
2c	Section 1202 Gain	0.00
2d	Collectibles (28%) Gain	0.00
3	Nondividend Distributions	6.96
4	**Federal Income Tax Withheld**	0.00
5	Investment Expenses	0.00
6	Foreign Tax Paid	51.73
7	Foreign Country or U.S. Possession	See Detail Section
8	Cash Liquidation Distributions	0.00
9	Noncash Liquidation Distributions	0.00

1099-B Summary of Proceeds from Broker and Barter Exchange for 2006

IRS Box		Amount
1a	Date of Sale or Exchange	See Detail Section
1b	CUSIP Number	See Detail Section
2	Gross Proceeds Less Commissions and Option Premiums	687,707.60
4	**Federal Income Tax Withheld**	0.00
5	Number of Shares Exchanged	Not Applicable
6	Classes of Stock Exchanged	Not Applicable
7	Description	See Detail Section
12	Cannot take loss on amount in box 2 if box is checked	Not Applicable

The amount in IRS Box 2 is composed of the item(s) reported on the FORM 1099-B on a later page in this package. Each item is reported to the Internal Revenue Service individually.

1099-INT Interest Income for 2006 OMB No. 1545-0112

IRS Box		Amount
1	Interest Income	565.29
3	Interest on U.S. Savings Bonds and Treasury Obligations	0.00
4	**Federal Income Tax Withheld**	0.00
5	Investment Expenses	0.00
6	Foreign Tax Paid	0.00
7	Foreign Country or U.S. Possession	See Detail Section
8	Tax-Exempt Interest	0.00
9	Specified Private Activity Bond Interest	0.00

THIS PACKAGE INCLUDES YOUR FORMS 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if the income is taxable and the IRS determines that it has not been reported.

YT115041 004357 041161832115 YNNNN NNNNN NNNNNN 000002

3975

WACHOVIA SECURITIES

Sub / Branch / Rep / Account No
001 / Z0 / Z001 /

Your Financial Advisor :
Z011 ACAT REP

804-290-4000

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #:

As of Date: 2/09/07

HON CAROLYN MCCARTHY

Details of Reportable Tax Information

While only the Form 1099 box totals are reported to the IRS, the following specific transaction detail is being provided to you for courtesy purposes. The IRS box numbers referenced for individual items listed below comprise the totals which appear on the actual Forms 1099, shown on the first page of this package. Please consult with your Financial Advisor or tax advisor regarding specific questions.

Tax reporting requirements can create differences with the amounts previously reported in monthly client statements. If you have an investment in a mutual fund, regulated investment company (RIC), real estate investment trust (REIT), or unit investment trust (UIT), some of those issuers provide reclassification information after the original tax form is printed. We will issue an amended form for information received after your original tax forms are generated.

1099-DIV Dividends and Distributions

Description	Notes	Payment Date	Ex-Div Date	Activity	Amount	IRS Box	Country
ABBOTT LABORATORIES	•	02/15/2006	1/11/2006	QUALIFIED DIVIDEND	13.20	1a, 1b	
AFLAC INC	•	03/01/2006	2/15/2006	QUALIFIED DIVIDEND	5.98	1a, 1b	
ALBERTSONS INC	•	02/14/2006	1/13/2006	QUALIFIED DIVIDEND	45.60	1a, 1b	
ALTRIA GROUP INC	•	01/10/2006	12/23/2005	QUALIFIED DIVIDEND	32.00	1a, 1b	
AMER INTL GROUP INC	•	03/17/2006	3/01/2006	QUALIFIED DIVIDEND	3.75	1a, 1b	
AMERICA MOVIL SERIES L	•	01/04/2006	12/20/2005	QUALIFIED DIVIDEND	53.86	1a, 1b	MX
APACHE CORP COMMON	•	02/22/2006	1/19/2006	QUALIFIED DIVIDEND	3.90	1a, 1b	
AT & T INC	•	02/01/2006	1/06/2006	QUALIFIED DIVIDEND	92.44	1a, 1b	
AUTOMATIC DATA PROCESSIN	•	01/03/2006	12/07/2005	QUALIFIED DIVIDEND	5.55	1a, 1b	
B J SERVICES CO	•	01/13/2006	12/16/2005	QUALIFIED DIVIDEND	2.45	1a, 1b	
BAA PLC SPONSORED ADR	•	01/30/2006	11/08/2005	QUALIFIED DIVIDEND	22.56	1a, 1b	UK
BAKER HUGHES INC	•	02/17/2006	2/02/2006	QUALIFIED DIVIDEND	8.06	1a, 1b	
BANK OF AMERICA CORP	•	03/24/2006	3/01/2006	QUALIFIED DIVIDEND	13.00	1a, 1b	
BANK OF NEW YORK INC	•	02/02/2006	1/23/2006	QUALIFIED DIVIDEND	9.66	1a, 1b	
BAXTER INTERNATIONAL INC	•	01/05/2006	12/07/2005	QUALIFIED DIVIDEND	18.04	1a, 1b	

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

YT115041 004357 041151832115 YNNNN NNNNN NNNNN 000010

3976

Sub / Branch / Rep / Account No
001 / Z0 / Z001 /

Your Financial Advisor :
Z011 ACAT REP

804-290-4000

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #:

As of Date: 2/09/07

HON CAROLYN MCCARTHY

Details of Reportable Tax Information

1099-DIV Dividends and Distributions *Continued*

Description	Notes	Payment Date	Ex-Div Date	Activity	Amount	IRS Box	Country
BCE INC	•	01/17/2006	12/13/2005	QUALIFIED DIVIDEND	17.00	1a, 1b	CA
BELLSOUTH CORP	•	02/01/2006	1/10/2006	QUALIFIED DIVIDEND	72.50	1a, 1b	
BEST BUY CO INC	•	01/24/2006	12/29/2005	QUALIFIED DIVIDEND	5.04	1a, 1b	
BOOTS GROUP PLC ADR	•	01/30/2006	11/02/2005	QUALIFIED DIVIDEND	24.60	1a, 1b	UK
BP PLC SPONS ADR	•	03/13/2006	2/22/2006	QUALIFIED DIVIDEND	13.50	1a, 1b	UK
BRISTOL MYERS SQUIBB CO	•	02/01/2006	1/04/2006	QUALIFIED DIVIDEND	68.60	1a, 1b	
CAMPBELL SOUP COMPANY	•	01/30/2006	12/28/2005	QUALIFIED DIVIDEND	7.20	1a, 1b	
CANON INC ADR	•	04/07/2006	12/28/2005	QUALIFIED DIVIDEND	35.76	1a, 1b	JA
CATERPILLAR INC	•	02/21/2006	1/18/2006	QUALIFIED DIVIDEND	13.25	1a, 1b	
CBS CORP CL B	•	04/03/2006	2/26/2006	QUALIFIED DIVIDEND	1.92	1a, 1b	
CIT GROUP INC	•	02/28/2006	2/13/2006	QUALIFIED DIVIDEND	13.60	1a, 1b	
CITIGROUP INC	•	02/24/2006	2/02/2006	QUALIFIED DIVIDEND	42.14	1a, 1b	
CLOROX COMPANY	•	02/15/2006	1/25/2006	QUALIFIED DIVIDEND	4.35	1a, 1b	
CONSOL ENERGY INC	•	02/24/2006	2/07/2006	QUALIFIED DIVIDEND	5.88	1a, 1b	
COOPER TIRE & RUBBER CO	•	03/31/2006	3/01/2006	QUALIFIED DIVIDEND	4.73	1a, 1b	
CVS CORP	•	02/03/2006	1/19/2006	QUALIFIED DIVIDEND	2.95	1a, 1b	
DEERE & CO	•	02/01/2006	12/28/2005	QUALIFIED DIVIDEND	13.26	1a, 1b	
DIAMOND OFFSHORE DRILLNG	•	03/01/2006	2/01/2006	QUALIFIED DIVIDEND	43.88	1a, 1b	
DISNEY WALT COMPANY	•	01/06/2006	12/08/2005	QUALIFIED DIVIDEND	17.55	1a, 1b	
EATON CORP	•	02/24/2006	2/02/2006	QUALIFIED DIVIDEND	5.95	1a, 1b	
EL PASO CORPORATION	•	01/03/2006	11/30/2005	QUALIFIED DIVIDEND	7.00	1a, 1b	

WACHOVIA SECURITIES

Sub / Branch / Rep / Account No
001 / ZC / Z001 /

Your Financial Advisor :
Z011 ACAT REP

804-290-4000

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #:

As of Date: 2/09/07

HON CAROLYN MCCARTHY

Details of Reportable Tax Information

1099-DIV Dividends and Distributions *Continued*

Description	Notes	Payment Date	Ex-Div Date	Activity	Amount	IRS Box	Country
EL PASO CORPORATION	*	04/03/2006	3/01/2006	QUALIFIED DIVIDEND	6.20	1a, 1b	
ELECTRONIC DATA SYS	*	03/10/2006	2/15/2006	QUALIFIED DIVIDEND	14.05	1a, 1b	
EMERSON ELECTRIC CO	*	03/10/2006	2/15/2006	QUALIFIED DIVIDEND	29.37	1a, 1b	
EXXON MOBIL CORP	*	03/10/2006	2/08/2006	QUALIFIED DIVIDEND	40.96	1a, 1b	
FORD MTR CO DEL	*	03/01/2006	1/26/2006	QUALIFIED DIVIDEND	59.50	1a, 1b	
FRANKLIN RESOURCES	*	01/13/2006	12/28/2005	QUALIFIED DIVIDEND	4.56	1a, 1b	
GANNETT CO INC DEL	*	01/03/2006	12/14/2005	QUALIFIED DIVIDEND	5.80	1a, 1b	
GENERAL ELECTRIC COMPANY	*	01/25/2006	12/21/2006	QUALIFIED DIVIDEND	38.50	1a, 1b	
GENERAL ELECTRIC COMPANY	*	04/25/2006	3/23/2006	QUALIFIED DIVIDEND	38.50	1a, 1b	
GENERAL MOTORS CORP	*	03/10/2006	2/14/2006	QUALIFIED DIVIDEND	58.75	1a, 1b	
GENL DYNAMICS CORP COM	*	02/10/2006	1/18/2006	QUALIFIED DIVIDEND	2.00	1a, 1b	
GLAXOSMITHKLINE PLC-ADR	*	01/05/2006	11/02/2005	QUALIFIED DIVIDEND	46.53	1a, 1b	UK
GLAXOSMITHKLINE PLC-ADR	*	04/06/2006	2/15/2006	QUALIFIED DIVIDEND	63.86	1a, 1b	UK
HARTFORD FINL SVCS GROUP	*	01/03/2006	11/29/2005	QUALIFIED DIVIDEND	3.00	1a, 1b	
HARTFORD FINL SVCS GROUP	*	04/03/2006	2/27/2006	QUALIFIED DIVIDEND	4.00	1a, 1b	
HEINZ H J CO COMMON	*	01/10/2006	12/21/2005	QUALIFIED DIVIDEND	7.20	1a, 1b	
HEWLETT-PACKARD COMPANY	*	01/04/2006	12/12/2005	QUALIFIED DIVIDEND	18.00	1a, 1b	
HONEYWELL INTERNATIONAL	*	03/10/2006	2/23/2006	QUALIFIED DIVIDEND	4.54	1a, 1b	
HSBC HOLDINGS PLC-SPONS	*	01/19/2006	11/22/2005	QUALIFIED DIVIDEND	21.00	1a, 1b	UK
IKON OFFICE SOLUTIONS	*	03/10/2006	2/16/2006	QUALIFIED DIVIDEND	4.40	1a, 1b	
ILL TOOL WORKS INC	*	01/23/2006	12/28/2005	QUALIFIED DIVIDEND	10.56	1a, 1b	

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

YT115041 004357 041161832115 YNNNN NNNNN NNNNNN 000011

Sub / Branch / Rep / Account No
001 / Z0 / Z001 / 1

Your Financial Advisor :
Z011 ACAT REP

804-290-4000

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #:

As of Date: 2/09/07

HON CAROLYN MCCARTHY

Details of Reportable Tax Information

1099-DIV Dividends and Distributions *Continued*

Description	Notes	Payment Date	Ex-Div Date	Activity	Amount	IRS Box	Country
INTEL CORP	*	03/01/2006	2/03/2006	QUALIFIED DIVIDEND	9.30	1a, 1b	
INTL PAPER CO	*	03/15/2006	2/15/2006	QUALIFIED DIVIDEND	13.25	1a, 1b	
JOHNSON & JOHNSON	*	03/14/2006	2/24/2006	QUALIFIED DIVIDEND	16.17	1a, 1b	
JPMORGAN CHASE & CO	*	01/31/2006	1/04/2006	QUALIFIED DIVIDEND	46.92	1a, 1b	
KIMBERLY-CLARK CORP	*	01/04/2006	12/07/2005	QUALIFIED DIVIDEND	6.75	1a, 1b	
KOREA ELEC PWR CORP ADR	*	04/25/2006	12/27/2005	QUALIFIED DIVIDEND	41.49	1a, 1b	KS
KRAFT FOODS INC CL A	*	01/06/2006	12/22/2005	QUALIFIED DIVIDEND	15.87	1a, 1b	
KT CORP SP ADR	*	04/10/2006	12/28/2005	QUALIFIED DIVIDEND	90.68	1a, 1b	KS
LEAR CORP	*	03/13/2006	2/22/2006	QUALIFIED DIVIDEND	6.25	1a, 1b	
LOEWS CORPORATION	*	03/13/2006	2/27/2006	QUALIFIED DIVIDEND	1.35	1a, 1b	
MARRIOTT INTL INC NEW	*	01/10/2006	12/13/2005	QUALIFIED DIVIDEND	2.84	1a, 1b	
MAXIM INTEGRATED PRODS	*	02/28/2006	2/09/2006	QUALIFIED DIVIDEND	6.13	1a, 1b	
MAYTAG CORP	*	03/15/2006	2/27/2006	QUALIFIED DIVIDEND	7.20	1a, 1b	
MCGRAW HILL CO INC	*	03/10/2006	2/22/2006	QUALIFIED DIVIDEND	6.53	1a, 1b	
MEDTRONIC INC	*	01/27/2006	1/04/2006	QUALIFIED DIVIDEND	5.49	1a, 1b	
MERCK & CO INC	*	01/03/2006	11/30/2005	QUALIFIED DIVIDEND	74.48	1a, 1b	
MICROSOFT CORP	*	03/09/2006	2/15/2006	QUALIFIED DIVIDEND	14.76	1a, 1b	
MONSANTO CO NEW	*	01/27/2006	1/04/2006	QUALIFIED DIVIDEND	2.40	1a, 1b	
MOTOROLA INCORPORATED	*	01/13/2006	12/13/2005	QUALIFIED DIVIDEND	13.96	1a, 1b	
NATIONWIDE FINL SVCS INC	*	01/17/2006	12/29/2005	QUALIFIED DIVIDEND	10.45	1a, 1b	
NEWELL RUBBERMAID INC	*	03/09/2006	2/17/2006	QUALIFIED DIVIDEND	5.67	1a, 1b	

WACHOVIA SECURITIES

Sub / Branch / Rep / Account No
001 / Z0 / Z001 / .

Your Financial Advisor :
Z011 ACAT REP

804-290-4000

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #:

As of Date: 2/09/07

HON CAROLYN MCCARTHY

Details of Reportable Tax Information

1099-DIV Dividends and Distributions *Continued*

Description	Notes	Payment Date	Ex-Div Date	Activity	Amount	IRS Box	Country
NIKE INC CLASS B	•	01/03/2006	12/08/2005	QUALIFIED DIVIDEND	3.41	1a, 1b	
NORTHROP GRUMMAN CORP	•	03/13/2006	2/23/2006	QUALIFIED DIVIDEND	13.52	1a, 1b	
NOVARTIS AG	•	04/03/2006	2/28/2006	QUALIFIED DIVIDEND	148.13	1a, 1b	SZ
OCCIDENTAL PETE CORP	•	01/17/2006	12/07/2005	QUALIFIED DIVIDEND	7.20	1a, 1b	
PARKER HANNIFIN CORP	•	03/03/2006	2/14/2006	QUALIFIED DIVIDEND	5.06	1a, 1b	
PAYCHEX INC	•	02/15/2006	1/30/2006	QUALIFIED DIVIDEND	12.32	1a, 1b	
PEPSICO INCORPORATED	•	01/03/2006	12/07/2005	QUALIFIED DIVIDEND	16.90	1a, 1b	
PFIZER INCORPORATED	•	03/07/2006	2/08/2006	QUALIFIED DIVIDEND	83.04	1a, 1b	
POLO RALPH LAUREN CORP	•	01/13/2006	12/28/2005	QUALIFIED DIVIDEND	1.90	1a, 1b	
POTASH CORP OF SASK INC	•	02/13/2006	1/19/2006	QUALIFIED DIVIDEND	3.75	1a, 1b	CA
PRECISION CASTPARTS CORP	•	01/03/2006	12/07/2005	QUALIFIED DIVIDEND	1.02	1a, 1b	
PROCTER & GAMBLE CO	•	02/15/2006	1/19/2006	QUALIFIED DIVIDEND	26.04	1a, 1b	
PROGRESS ENERGY INC	•	02/01/2006	1/06/2006	QUALIFIED DIVIDEND	11.50	1a, 1b	
PULTE HOMES INC	•	01/03/2006	12/21/2005	QUALIFIED DIVIDEND	1.60	1a, 1b	
QUALCOMM INC	•	03/24/2006	2/22/2006	QUALIFIED DIVIDEND	3.42	1a, 1b	
RAYTHEON COMPANY	•	01/31/2006	12/29/2005	QUALIFIED DIVIDEND	5.50	1a, 1b	
REPSOL YPF S.A.	•	01/23/2006	1/09/2006	QUALIFIED DIVIDEND	24.92	1a, 1b	SP
ROCHE HOLDINGS LTD ADR	•	04/18/2006	2/27/2006	QUALIFIED DIVIDEND	17.60	1a, 1b	SZ
ROYAL DUTCH SHELL ADR B	•	03/15/2006	2/08/2006	QUALIFIED DIVIDEND	12.80	1a, 1b	UK
SAFEWAY INC NEW	•	01/20/2006	12/28/2005	QUALIFIED DIVIDEND	14.00	1a, 1b	
SCHERING PLOUGH CORP	•	02/28/2006	2/01/2006	QUALIFIED DIVIDEND	15.79	1a, 1b	

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

YT115041 004357 041161832115 YNNNN NNNNN NNNNNN 000012

3980

Sub / Branch / Rep / Account No
001 / Z0 / Z001 /

Your Financial Advisor :
Z011 ACAT REP

804-290-4000

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #

As of Date: 2/09/07

HON CAROLYN MCCARTHY

Details of Reportable Tax Information

1099-DIV Dividends and Distributions *Continued*

Description	Notes	Payment Date	Ex-Div Date	Activity	Amount	IRS Box	Country
SCHLUMBERGER LTD	*	01/06/2006	12/05/2005	QUALIFIED DIVIDEND	4.83	1a, 1b	NT
SCHLUMBERGER LTD	*	04/07/2006	2/27/2006	QUALIFIED DIVIDEND	5.75	1a, 1b	NT
SCHWAB CHARLES CORP NEW	*	02/22/2006	2/06/2006	QUALIFIED DIVIDEND	5.43	1a, 1b	
SYSCO CORPORATION	*	01/27/2006	1/04/2006	QUALIFIED DIVIDEND	9.52	1a, 1b	
T ROWE PRICE GROUP INC	*	01/13/2006	12/29/2005	QUALIFIED DIVIDEND	6.72	1a, 1b	
TARGET CORP	*	03/10/2006	2/15/2006	QUALIFIED DIVIDEND	4.30	1a, 1b	
TRIBUNE CO NEW	*	03/09/2006	2/21/2006	QUALIFIED DIVIDEND	5.04	1a, 1b	
UNION PACIFIC CORP	*	01/03/2006	12/12/2005	QUALIFIED DIVIDEND	4.20	1a, 1b	
UNITED PARCEL SERVICE-B	*	01/04/2006	11/23/2005	QUALIFIED DIVIDEND	8.25	1a, 1b	
UNITED PARCEL SERVICE-B	*	03/07/2006	2/16/2006	QUALIFIED DIVIDEND	9.50	1a, 1b	
VALERO ENERGY CORP NEW	*	03/15/2006	2/13/2006	QUALIFIED DIVIDEND	3.72	1a, 1b	
VERIZON COMMUNICATIONS	*	02/01/2006	1/06/2006	QUALIFIED DIVIDEND	100.44	1a, 1b	
VIACOM INC CL B	*	01/03/2006	11/28/2005	QUALIFIED DIVIDEND	1.68	1a, 1b	
VODAFONE GROUP SPONS ADR	*	02/03/2006	11/22/2005	QUALIFIED DIVIDEND	47.22	1a, 1b	UK
WACHOVIA CORP	*	03/15/2006	2/27/2006	QUALIFIED DIVIDEND	4.59	1a, 1b	
WAL-MART STORES INC	*	01/03/2006	12/14/2005	QUALIFIED DIVIDEND	10.05	1a, 1b	
WALGREEN COMPANY	*	03/13/2006	2/15/2006	QUALIFIED DIVIDEND	2.99	1a, 1b	
WASTE MGMT INC DEL	*	03/24/2006	3/02/2006	QUALIFIED DIVIDEND	8.80	1a, 1b	
WELLS FARGO COMPANY	*	03/01/2006	2/01/2006	QUALIFIED DIVIDEND	19.24	1a, 1b	
WENDYS INTL INC	*	02/28/2006	2/09/2006	QUALIFIED DIVIDEND	5.44	1a, 1b	
WYETH	*	03/01/2006	2/09/2006	QUALIFIED DIVIDEND	23.00	1a, 1b	

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

3981

WACHOVIA SECURITIES

Sub / Branch / Rep / Account No
001 / Z0 / Z001 /

Your Financial Advisor :
Z011 ACAT REP

804-290-4000

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #:

As of Date: 2/09/07

HON CAROLYN MCCARTHY

Details of Reportable Tax Information

1099-DIV Dividends and Distributions *Continued*

Description	Notes	Payment Date	Ex-Div Date	Activity	Amount	IRS Box	Country
XTO ENERGY INC	*	01/13/2006	12/28/2005	QUALIFIED DIVIDEND	2.55	1a, 1b	
TOTAL ORDINARY DIVIDENDS (INCLUDING QUALIFIED DIVS AND SHORT TERM CAP GAINS)					2,352.61	1a	
TOTAL QUALIFIED DIVIDENDS					2,352.61	1b	
SEAGATE TECHNOLOGY		02/17/2006		RETURN OF CAPITAL	6.96	3	CJ
TOTAL NONDIVIDEND DISTRIBUTIONS					6.96	3	
BCE INC		01/17/2006		FOREIGN TAX	-2.55	6,7	CA
CANON INC ADR		04/07/2006		FOREIGN TAX	-2.50	6,7	JA
DEUTSCHE BANK AG		12/20/2006		FOREIGN TAX	1.39	6,7	GM
HEINEKEN N V ADR		02/14/2006		FOREIGN TAX	1.14	6,7	NL
HEINEKEN N V ADR		03/24/2006		FOREIGN TAX	1.74	6,7	NL
KOREA ELEC PWR CORP ADR		04/25/2006		FOREIGN TAX	-6.85	6,7	KS
KT CORP SP ADR		04/10/2006		FOREIGN TAX	-14.96	6,7	KS
NESTLE S A REG ADR		12/28/2006		FOREIGN TAX	0.02	6,7	SZ
NOVARTIS AG		04/03/2006		FOREIGN TAX	-22.22	6,7	SZ
POTASH CORP OF SASK INC		02/13/2006		FOREIGN TAX	-0.56	6,7	CA
REPSOL YPF S.A.		01/23/2006		FOREIGN TAX	-3.74	6,7	SP
ROCHE HOLDINGS LTD ADR		04/18/2006		FOREIGN TAX	-2.64	6,7	SZ
TOTAL FOREIGN TAX PAID					-51.73	6	

* The "ex-dividend" date information displayed for qualified dividends reported on Form 1099-DIV are to assist you with holding period calculations. First Clearing, LLC does not certify all dates are accurate.

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

YT115041 004357 041161832115 YNNNN NNNNN NNNNNN 000013

Sub / Branch / Rep / Account No
001 / Z0 / Z001 /

Your Financial Advisor :
Z011 ACAT REP

804-290-4000

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #:

As of Date: 2/09/07

HON CAROLYN MCCARTHY

Details of Reportable Tax Information

1099-INT Interest Income

Date	Description	Notes	Activity	Amount	IRS Box	Country
01/31/06	BANK DEPOSIT SWEEP OPT		INTEREST	47.73	1	
02/28/06	BANK DEPOSIT SWEEP OPT		INTEREST	47.90	1	
03/16/06	BANK DEPOSIT SWEEP OPT		INTEREST	469.66	1	
	TOTAL INTEREST INCOME NOT INCLUDED IN BOX 3			565.29	1	

3983

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

HON CAROLYN MCCARTHY

Realized Gain/Loss Detail for Year

Short Term

Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/Loss
ABBOTT LABORATORIES	9.0000	48.4223	06/03/05	03/02/06	392.85	435.80	-42.95
	70.0000	26.7565	11/18/05	03/02/06	2,848.90	1,872.96	975.94
ADVANCED MICRO DEVICES INC	52.0000	27.1701	11/21/05	03/02/06	2,116.34	1,412.85	703.49
	64.0000	14.3600	03/07/05	03/02/06	1,049.56	919.04	130.52
AEGON N V ORD AMER REG	18.0000	13.0601	06/23/05	03/02/06	295.20	235.08	60.12
	90.0000	10.3217	09/28/05	03/02/06	1,236.57	928.95	307.62
AGERE SYSTEMS INC	68.0000	35.5677	11/22/05	03/02/06	2,478.52	2,418.60	59.92
AGILENT TECH INC	60.0000	21.4669	07/27/05	03/02/06	1,516.76	1,288.01	228.75
ALBERTSONS INC CHG	60.0000	20.3915	05/23/05	03/02/06	868.37	1,223.49	-255.12
AMERICAN AXLE & MFG HLDGS INC	20.0000	50.8520	04/27/05	03/02/06	1,317.95	1,017.04	300.91
AMERICAN INTL GROUP INC	5.0000	65.3850	12/13/05	03/02/06	329.49	326.93	2.56
	62.0000	26.1277	11/21/05	03/02/06	3,940.54	1,619.92	320.62
AMERICAN TOWER CORP CL A	7.0000	58.0882	04/29/05	03/02/06	528.84	406.62	122.22
AMGEN INC	87.0000	21.5688	01/30/06	03/02/06	2,174.94	1,876.49	298.45
AMR CORP DEL	60.0000	25.5900	03/07/05	03/02/06	2,242.72	1,535.40	707.32
ANGLO AMERICAN PLC SPONS ADR	11.0000	24.1057	06/23/05	03/02/06	411.17	265.16	146.01
	20.0000	24.3206	08/15/05	03/02/06	561.78	486.41	75.37
AT & T INC	5.0000	24.7903	12/15/05	03/02/06	140.44	123.95	16.49
	15.0000	24.5467	01/23/06	03/02/06	421.36	368.20	53.16
	20.0000	43.4861	08/26/05	03/02/06	922.96	869.72	53.24
AUTOMATIC DATA PROCESSING	10.0000	41.6587	09/16/05	03/02/06	461.48	416.59	44.89
	10.0000	46.0426	12/13/05	03/02/06	461.48	460.43	1.05
	5.0000	45.4133	02/10/06	03/02/06	230.76	227.07	3.69
AVAYA INC	125.0000	8.0042	07/06/05	03/02/06	1,384.95	1,000.52	384.43
B J SERVICES CO	26.0000	36.4076	11/30/05	03/02/06	849.91	946.60	-96.69
	23.0000	39.3212	12/13/05	03/02/06	751.84	904.39	-152.55

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

Realized Gain/Loss

Sub / Branch / Rep / Account No
001 / Z0 / Z001 /

As of Date: 2/09/07

HON CAROLYN MCCARTHY

Short Term *Continued*

Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/Loss
	14.0000	33.8644	02/24/06	03/02/06	457.65	474.10	-16.45
	25.0000	11.3289	06/23/05	03/02/06	351.23	283.22	68.01
BAA PLC SPONSORED AD XXX GTD 1ST PFD SERIES A RETRACTABLE	25.0000	10.8750	07/29/05	03/02/06	351.23	271.88	79.35
	28.0000	10.9786	08/04/05	03/02/06	393.41	307.40	86.01
	32.0000	19.7501	03/09/05	03/02/06	943.97	632.00	311.97
BAE SYSTEMS PLACE	27.0000	56.5178	08/17/05	03/02/06	1,868.33	1,525.98	342.35
BAKER HUGHES INC	14.0000	56.0236	08/19/05	03/02/06	968.78	784.33	184.45
	5.0000	30.4988	08/05/05	03/02/06	171.50	152.49	19.01
BANK OF NEW YORK INC	29.0000	51.3760	06/02/05	03/02/06	1,925.25	1,489.90	435.35
BARR LABORATORIES INC	25.0000	27.2306	10/13/05	03/02/06	688.72	680.77	7.95
BARRICK GOLD CORP	10.0000	26.9500	12/15/05	03/02/06	275.50	269.50	6.00
	35.0000	24.0000	03/07/05	03/02/06	840.32	840.00	0.32
BCE INC CHG	15.0000	22.9490	06/01/05	03/02/06	360.13	344.24	15.89
	10.0000	23.8008	06/23/05	03/02/06	240.10	238.01	2.09
	35.0000	26.8681	08/24/05	03/02/06	1,113.67	940.38	173.29
BELLSOUTH CORP	22.8619	16.8729	05/09/05	03/02/06	404.64	385.75	18.89
BOOTS GROUP PLC ADR XXX	16.2074	16.8248	06/23/05	03/02/06	286.88	277.05	9.83
	1.0000	16.8248	06/23/05	03/06/06	18.09	17.09	1.00
	40.0000	21.6549	12/08/05	03/02/06	911.98	866.20	45.78
BRISTOL MYERS SQUIBB CO	30.0000	30.3347	08/16/05	03/02/06	928.47	910.04	18.43
CAMPBELL SOUP COMPANY	10.0000	29.2540	09/22/05	03/02/06	309.49	292.54	16.95
	23.0000	51.8745	08/26/05	03/02/06	1,441.37	1,193.11	248.26
CANON INC ADR REP 5SHS	32.0000	44.5839	05/17/05	03/02/06	1,622.34	1,426.68	195.66
CAREMARK RX INC	33.0000	43.7447	05/19/05	03/02/06	1,673.06	1,443.58	229.48
	53.0000	59.3270	09/13/05	03/02/06	3,929.29	3,144.33	784.96
CATERPILLAR INC	87.0000	10.0518	11/16/05	03/02/06	926.52	875.38	51.14
CHEUNG KONG HLDINGS ADR (HONG KONG)	53.0000	26.9000	03/23/05	03/02/06	2,252.43	1,425.70	826.73
CHICOS FAS INC	38.0000	18.1195	03/22/05	03/02/06	794.95	688.54	106.41
CISCO SYSTEMS INC	33.0000	45.6742	09/28/05	03/02/06	1,781.30	1,507.25	274.05
CIT GROUP INC	18.0000	49.3089	12/27/05	03/02/06	832.84	887.56	-54.72
CITIGROUP INC							

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

0000 000795 C

HON CAROLYN MCCARTHY

Short Term *Continued*

Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/Loss
CITRIX SYSTEM INC	56.0000	31.3412	02/09/06	03/02/06	1,835.62	1,755.11	80.51
CLOROX COMPANY	5.0000	56.9081	06/21/05	03/02/06	304.99	284.54	20.45
COMPUWARE CORP	55.0000	9.2038	09/01/05	03/02/06	459.23	506.21	-46.98
	118.0000	9.3632	09/02/05	03/02/06	985.27	1,104.86	-119.59
CONSOL ENERGY INC COM	21.0000	74.0221	09/21/05	03/02/06	1,369.15	1,554.46	-185.31
	21.0000	72.1570	09/22/05	03/02/06	1,369.16	1,515.30	-148.14
COOPER TIRE & RUBBER CO	45.0000	17.2594	08/11/05	03/02/06	684.87	776.67	-91.80
CVS CORP	40.0000	28.9634	06/23/05	03/02/06	1,159.96	1,158.54	1.42
	11.0000	28.8000	06/24/05	03/02/06	319.00	316.80	2.20
DANA CORPORATION	165.0000	9.1737	09/21/05	03/02/06	230.99	1,513.66	-1,282.67
DELL INC	11.0000	39.7577	07/12/05	03/02/06	321.09	437.33	-116.24
DELPHI CORP	170.0000	5.2493	03/07/05	03/02/06	53.54	892.38	-838.84
	260.0000	3.7745	04/14/05	03/02/06	81.91	981.37	-899.46
DEUTSCHE BANK AG	4.0000	78.2515	06/23/05	03/02/06	447.51	313.01	134.50
DIAGEO PLC SPONSORED ORD NEW	6.0000	58.5700	12/22/05	03/02/06	373.78	351.42	22.36
	15.0000	59.6382	02/13/06	03/02/06	934.50	894.57	39.93
DIAMOND OFFSHORE DRILLNG INC	27.0000	55.5542	07/13/05	03/02/06	2,197.73	1,499.96	697.77
DISNEY WALT COMPANY	52.0000	29.3236	02/17/05	02/15/06	1,392.30	1,524.83	-132.53
DYNEGY INC NEW CL A	200.0000	4.1378	03/22/05	03/02/06	1,059.96	827.56	232.40
E TRADE FINANCIAL	71.0000	24.3307	02/21/06	03/02/06	1,811.15	1,727.48	83.67
EASTMAN KODAK COMPANY	70.0000	27.2930	07/06/05	03/02/06	1,938.93	1,910.51	28.42
	50.0000	26.8476	07/29/05	03/02/06	1,384.95	1,342.38	42.57
	30.0000	25.8390	08/03/05	03/02/06	830.97	775.17	55.80
	45.0000	26.0000	09/02/05	03/02/06	1,246.48	1,170.00	76.48
EBAY INC	35.0000	37.2260	04/01/05	03/02/06	1,387.70	1,302.91	84.79
	16.0000	33.9146	05/04/05	03/02/06	634.38	542.63	91.75
	10.0000	35.5782	07/12/05	03/02/06	396.50	355.78	40.72
EL PASO CORPORATION	40.0000	12.1882	12/30/05	03/02/06	515.59	487.53	28.06

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

HON CAROLYN MCCARTHY

Short Term *Continued*

Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/Loss
ELECTRONIC DATA SYSTEM	5.0000	24.7081	12/22/05	03/02/06	136.19	123.54	12.65
CORP NEW	47.0000	24.5577	12/27/05	03/02/06	1,280.23	1,154.21	126.02
	16.0000	24.2749	12/28/05	03/02/06	435.82	388.40	47.42
	20.0000	24.5649	01/10/06	03/02/06	544.78	491.30	53.48
	25.0000	24.4349	01/19/06	03/02/06	680.97	610.87	70.10
	35.0000	24.4731	01/20/06	03/02/06	953.37	856.56	96.81
	13.0000	24.2888	01/23/06	03/02/06	354.15	315.75	38.40
EMERSON ELECTRIC CO	5.0000	69.2893	10/10/05	03/02/06	420.28	346.45	73.83
	5.0000	69.8903	11/02/05	03/02/06	420.30	349.45	70.85
	10.0000	56.0875	12/23/05	03/02/06	557.49	560.87	-3.38
ENI S P A							
SPON ADR	7.0000	61.3479	03/17/05	03/02/06	420.26	429.44	-9.18
EXXON MOBIL CORP	8.0000	57.0214	04/29/05	03/02/06	480.30	456.17	24.13
	24.0000	57.8109	05/09/05	03/02/06	1,440.91	1,387.46	53.45
	10.0000	56.7252	05/31/05	03/02/06	600.42	567.25	33.17
	60.0000	12.6300	03/02/05	03/02/06	477.58	757.80	-280.22
FORD MTR CO DEL							
COM PAR $0.01	90.0000	9.9900	04/13/05	03/02/06	716.37	899.10	-182.73
	80.0000	9.5400	05/18/05	03/02/06	636.77	763.20	-126.43
	95.0000	8.5668	10/26/05	03/02/06	756.21	813.85	-57.64
	38.0000	82.2765	09/29/05	03/02/06	3,908.18	3,126.51	781.67
FRANKLIN RESOURCES INC	8.0000	33.0623	06/23/05	03/02/06	255.84	264.50	-8.66
FUJI PHOTO FILM LT XXX							
ADR NEW	20.0000	60.4991	12/07/05	03/02/06	1,242.96	1,209.98	32.98
GANNETT CO INC DEL	30.0000	64.2681	01/18/06	03/02/06	1,864.44	1,928.04	-63.60
	325.0000	2.4000	02/03/06	03/02/06	737.72	780.00	-42.28
GATEWAY INC	10.0000	44.3285	03/11/05	03/02/06	836.97	443.29	393.68
GENENTECH INC	7.0000	53.1849	03/17/05	03/02/06	585.89	372.29	213.60
	45.0000	28.5298	03/21/05	03/02/06	895.47	1,283.84	-388.37
GENERAL MOTORS CORP	70.0000	22.4168	12/14/05	03/02/06	1,392.97	1,569.18	-176.21
	5.0000	109.1493	07/08/05	03/02/06	626.98	545.75	81.23
GENL DYNAMICS CORP COM	22.0000	71.1741	08/10/05	03/02/06	1,505.41	1,565.83	-60.42
GENZYME CORPORATION							

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

WACHOVIA SECURITIES

Sub / Branch / Rep / Account No
001 / Z0 / Z001 /

pg 48 of 61

As of Date: 2/09/07

HON CAROLYN MCCARTHY

Short Term *Continued*

Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/Loss
GILEAD SCIENCES INC	36.0000	41.3633	06/06/05	03/02/06	2,197.72	1,489.08	708.64
	34.0000	43.4030	06/09/05	03/02/06	2,075.64	1,475.70	599.94
GLAXOSMITHKLINE PLC-ADR	10.0000	50.4596	05/02/05	03/02/06	513.78	504.60	9.18
	30.0000	48.8853	06/16/05	03/02/06	1,541.35	1,466.56	74.79
	5.0000	50.2036	10/11/05	03/02/06	256.89	251.02	5.87
	5.0000	51.0524	02/24/06	03/02/06	256.92	255.26	1.66
GOOGLE INC CL A	8.0000	178.9820	03/22/05	03/02/06	2,915.26	1,431.86	1,483.40
	4.0000	372.1792	10/31/05	03/02/06	1,457.64	1,488.72	-31.08
HARTFORD FINL SVCS GROUP INC	10.0000	74.2500	06/03/05	03/02/06	828.97	742.50	86.47
HEINEKEN N V ADR	24.0000	34.1870	03/15/05	03/02/06	907.17	820.49	86.68
	19.0000	31.5095	11/04/05	03/02/06	718.18	598.68	119.50
HEWLETT-PACKARD COMPANY	45.0000	19.9237	03/24/05	03/02/06	1,523.20	896.57	626.63
	110.0000	27.3245	10/12/05	03/02/06	3,723.39	3,005.70	717.69
HONEYWELL INTERNATIONAL INC	20.0000	36.4172	06/07/05	03/02/06	816.77	728.35	88.42
HOSPIRA INC	39.0000	39.3101	06/28/05	03/06/06	1,574.77	1,533.09	41.68
	12.0000	78.3579	10/31/05	03/02/06	1,025.99	940.29	85.70
HSBC HOLDINGS PLC-SPON ADR	39.0000	35.0171	05/04/05	03/02/06	1,996.73	1,365.67	631.06
HUMANA INC	5.0000	93.1640	03/10/05	03/02/06	437.48	465.82	-28.34
ILLINOIS TOOL WORKS INC	5.0000	82.1130	06/14/05	03/02/06	437.51	410.57	26.94
INTEL CORP	30.0000	22.4000	01/19/06	03/02/06	620.97	672.00	-51.03
	115.0000	20.7160	02/07/06	03/02/06	2,380.43	2,382.34	-1.91
INTUITIVE SURGICAL INC COM NEW	5.0000	115.5408	12/09/05	03/02/06	459.63	577.70	-118.07
JABIL CIRCUIT INC	10.0000	119.7985	12/12/05	03/02/06	919.27	1,197.99	-278.72
JOHNSON & JOHNSON	52.0000	29.8507	08/23/05	03/02/06	1,978.01	1,552.24	425.77
	14.0000	62.6478	08/30/05	03/02/06	804.97	877.07	-72.10
	15.0000	61.0003	10/10/05	03/02/06	862.48	915.00	-52.52
JOY GLOBAL INC (WIS)	57.0000	24.2060	05/09/05	03/02/06	3,334.39	1,379.74	1,954.65
JPMORGAN CHASE & CO	25.0000	34.7400	08/19/05	03/02/06	1,040.73	868.50	172.23

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

HON CAROLYN MCCARTHY

Short Term *Continued*

Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/Loss
KAO CORP-SPONSORED ADR	1.0000	243.1782	06/23/05	03/02/06	272.74	243.18	29.56
KINGFISHER PLC-SPONS ADR (NEW)	41.0000	8.5992	12/19/05	03/02/06	327.98	352.57	-24.59
KRAFT FOODS INC CL A	110.0000	8.6692	02/02/06	03/02/06	879.98	953.61	-73.63
KROGER COMPANY COMMON	5.0000	31.2981	04/21/05	03/02/06	148.99	156.49	-7.50
	5.0000	30.6492	08/02/05	03/02/06	149.02	153.25	-4.23
	15.0000	16.7481	03/09/05	03/02/06	299.99	251.22	48.77
	10.0000	19.3911	07/13/05	03/02/06	199.99	193.91	6.08
	5.0000	18.9030	12/21/05	03/02/06	100.02	94.52	5.50
LEAR CORP	25.0000	36.2567	05/18/05	03/02/06	518.48	906.42	-387.94
LEXMARK INTL INC	45.0000	43.2000	10/20/05	03/02/06	2,143.72	1,944.00	199.72
	25.0000	42.1023	11/02/05	03/02/06	1,190.97	1,052.56	138.41
LLOYDS TSB GROUP PLC SPONSORED ADR	53.0000	32.7478	10/31/05	03/02/06	2,065.87	1,735.63	330.24
LSI LOGIC CORP	44.0000	36.7437	01/26/06	03/02/06	1,715.07	1,616.72	98.35
LUCENT TECHNOLOGIES ICHG	157.0000	9.7154	08/19/05	03/02/06	1,546.40	1,525.32	21.08
	455.0000	2.9363	03/14/05	03/02/06	1,287.61	1,336.02	-48.41
MATTEL INCORPORATED	365.0000	2.4000	04/18/05	03/02/06	1,032.94	876.00	156.94
	55.0000	17.8461	09/02/05	03/02/06	919.56	981.54	-61.98
	145.0000	15.6712	11/03/05	03/02/06	2,424.33	2,272.32	152.01
MAXIM INTEGRATED PRODS INC	11.0000	38.9700	01/06/06	03/02/06	437.24	428.67	8.57
MEMC ELECTR MATLS INC	105.0000	33.6606	02/27/06	03/02/06	3,568.84	3,534.36	34.48
MICROSOFT CORP	25.0000	27.2801	12/13/05	03/02/06	674.76	682.00	-7.24
MOTOROLA INCORPORATED	100.0000	15.2834	03/09/05	03/02/06	2,200.93	1,528.34	672.59
	76.0000	18.2589	06/14/05	03/02/06	1,672.72	1,387.68	285.04
NESTLE S A REG ADR	4.0000	73.8005	12/23/05	03/02/06	297.80	295.20	-3.40
NEWMONT MINING CORP NEW	5.0000	42.3291	03/23/05	03/02/06	264.85	211.65	53.20
NOKIA CORP SPONSORED ADR	49.0000	16.2243	10/28/05	03/02/06	925.10	794.99	130.11
NOMURA HOLDINGS INC UNSP ADR	34.0000	13.8306	08/26/05	03/02/06	644.27	470.24	174.03

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

WACHOVIA SECURITIES

Sub / Branch / Rep / Account No
001 / Z0 / Z001 /

As of Date: 2/09/07

HON CAROLYN MCCARTHY

Short Term *Continued* Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/Loss
	18.0000	13.5874	08/29/05	03/02/06	341.08	244.57	96.51
	28.0000	13.7204	08/30/05	03/02/06	530.61	384.17	146.44
	5.0000	49.1983	03/07/05	03/02/06	268.49	245.99	22.50
NOVARTIS AG SPON ADR							
	5.0000	46.9400	03/30/05	03/02/06	268.49	234.70	33.79
	18.0000	48.1746	08/26/05	03/02/06	968.57	867.14	99.43
	25.0000	51.5710	10/11/05	03/02/06	1,342.45	1,289.28	53.17
	10.0000	52.2838	12/07/05	03/02/06	536.98	522.84	14.14
	5.0000	51.6391	12/23/05	03/02/06	268.52	258.20	10.32
	104.0000	28.3149	03/02/05	03/02/06	5,082.32	2,944.75	2,137.57
NVIDIA CORP	20.0000	75.6802	06/10/05	02/15/06	1,723.35	1,513.60	209.75
OCCIDENTAL PETE CORP	101.0000	31.1315	09/09/05	03/02/06	3,630.83	3,144.28	486.55
OFFICE DEPOT INC	10.0000	61.9931	07/05/05	03/02/06	799.98	619.93	180.05
PARKER-HANNIFIN CORP	77.0000	42.4937	11/29/05	03/02/06	3,079.90	3,272.01	-192.11
PAYCHEX INC	34.0000	37.5699	02/24/06	03/02/06	1,359.96	1,277.38	82.58
	10.0000	27.5100	06/09/05	03/02/06	260.99	275.10	-14.11
PFIZER INCORPORATED	30.0000	27.0681	07/20/05	03/02/06	782.97	812.04	-29.07
	70.0000	21.1900	10/26/05	03/02/06	1,826.94	1,483.30	343.64
	40.0000	21.5269	11/02/05	03/02/06	1,043.96	861.08	182.88
	15.0000	23.4388	12/30/05	03/02/06	391.55	351.58	39.97
	17.0000	86.6621	05/18/05	03/02/06	1,649.46	1,473.26	176.20
POTASH CORP OF SASKATCHEWAN INC	10.0000	45.4675	08/04/05	03/02/06	542.48	454.67	87.81
PRECISION CASTPARTS CORP	24.0000	45.2753	08/05/05	03/02/06	1,301.96	1,086.61	215.35
	28.3750	52.5138	06/24/05	03/02/06	1,702.16	1,536.03	166.13
PROCTER & GAMBLE CO	16.0000	54.9871	07/19/05	03/02/06	959.81	879.79	80.02
	15.0000	58.1542	01/23/06	03/02/06	899.84	872.31	27.53
	40.0000	34.5794	04/18/05	03/02/06	1,533.15	1,383.18	149.97
PULTE HOMES INC	19.0000	47.6918	01/25/06	03/02/06	905.50	906.14	-0.64
QUALCOMM INC	19.0000	48.2000	01/31/06	03/02/06	905.50	915.80	-10.30
	10.0000	47.0200	02/24/06	03/02/06	476.60	470.20	6.40
	25.0000	39.4416	08/23/05	03/02/06	1,088.95	986.04	102.91
RAYTHEON COMPANY	5.0000	43.1235	02/17/06	03/02/06	217.80	215.62	2.18

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

HON CAROLYN MCCARTHY

Short Term *Continued*

Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/Loss
RED HAT INC	71.0000	21.3327	10/18/05	03/02/06	2,012.07	1,514.62	497.45
	54.0000	27.5134	02/09/06	03/02/06	1,530.32	1,485.72	44.60
	9.0000	29.4259	12/22/05	03/02/06	256.41	264.83	-8.42
REPSOL YPF S A	5.0000	68.2247	01/10/06	03/02/06	320.79	341.12	-20.33
ROYAL DUTCH SHELL PLC ADR B	26.0000	58.9794	10/31/05	03/02/06	1,591.93	1,533.46	58.47
SANDISK CORPORATION	580.0000	4.2000	02/17/06	03/02/06	2,267.73	2,436.00	-168.27
SANMINA-SCI CORP	40.0000	19.2947	12/07/05	03/02/06	733.97	771.79	-37.82
SCHERING PLOUGH CORP	55.0000	19.1100	02/22/06	03/02/06	1,009.23	1,051.05	-41.82
	6.0000	65.4500	05/12/05	03/02/06	716.98	392.70	324.28
SCHLUMBERGER LTD	217.0000	14.0210	09/27/05	03/02/06	3,580.39	3,042.56	537.83
SCHWAB CHARLES CORP NEW	15.0000	22.9598	10/10/05	03/02/06	422.99	344.39	78.60
SOCIETE GENERALE FRANCE SPONSORED ADR	385.0000	3.5416	04/06/05	03/02/06	1,382.11	1,363.52	18.59
SOLECTRON CORP	16.0000	32.4569	10/31/05	03/02/06	738.37	519.31	219.06
SONY CORP ADR NEW	21.0000	33.9507	11/11/05	03/02/06	969.13	712.96	256.17
	10.0000	26.8764	07/29/05	02/16/06	241.87	268.77	-26.90
SPRINT NEXTEL CORP	49.0000	26.8764	07/29/05	03/02/06	1,201.44	1,316.94	-115.50
	40.0000	23.6179	09/21/05	03/02/06	980.77	944.72	36.05
STARBUCKS CORP	36.0000	23.0879	04/21/05	03/02/06	1,302.43	831.16	471.27
	32.0000	26.2362	06/29/05	03/02/06	1,157.73	839.56	318.17
SUN MICROSYSTEMS INC	300.0000	4.2632	03/02/05	01/09/06	1,372.42	1,278.96	93.46
	140.0000	3.7365	05/02/05	01/09/06	640.47	523.12	117.35
	285.0000	3.7365	05/02/05	02/01/06	1,310.95	1,064.90	246.05
	125.0000	3.7365	05/02/05	03/02/06	583.73	467.06	66.67
	410.0000	3.7852	07/15/05	03/02/06	1,750.64	1,551.93	198.71
	165.0000	4.3126	01/25/06	03/02/06	704.52	711.58	-7.06
	10.0000	4.2600	02/27/06	03/02/06	42.71	42.60	0.11
SYMANTEC CORP	76.0000	18.9251	01/06/06	03/02/06	1,284.36	1,438.31	-153.95
T ROWE PRICE GROUP INC	24.0000	61.5839	03/21/05	03/02/06	1,844.82	1,478.01	366.81
TAIWAN SEMICONDUCTOR MFG CO LTD ADR	71.0000	9.0900	03/07/05	02/01/06	759.61	618.34	141.27
	96.0000	9.0900	03/07/05	03/02/06	964.77	836.06	128.71

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

WACHOVIA SECURITIES

Sub / Branch / Rep / Account No
001 / Z0 / Z001 /

As of Date: 2/09/07

HON CAROLYN MCCARTHY

Short Term *Continued* Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/Loss
TARGET CORP	13.0000	50.4681	03/22/05	03/02/06	703.03	656.09	46.94
TELLABS INC	160.0000	10.0170	11/07/05	03/02/06	2,375.92	1,602.72	773.20
TNT NV-ADR	11.0000	25.6691	06/23/05	03/02/06	349.34	282.36	66.98
	5.0000	24.8824	10/10/05	03/02/06	158.79	124.41	34.38
	22.0000	23.9864	10/13/05	03/02/06	698.72	527.70	171.02
	16.0000	19.8279	12/27/05	03/02/06	339.98	317.25	22.73
UPM-KYMMENE CORP SPON ADR	15.0000	20.7831	02/02/06	03/02/06	318.73	311.75	6.98
	13.0000	20.2590	02/08/06	03/02/06	276.26	263.37	12.89
	160.0000	7.1700	05/13/05	03/02/06	1,027.16	1,147.20	-120.04
UTSTARCOM INC	50.0000	32.6000	09/07/05	03/02/06	1,709.94	1,630.00	79.94
VERIZON COMMUNICATIONS COM	35.0000	30.6400	12/14/05	03/02/06	1,196.98	1,072.40	124.58
	27.0000	26.0989	05/12/05	03/02/06	527.02	704.67	-177.65
VODAFONE GROUP PLC CHG SPONSORED ADR	28.0000	25.8833	05/13/05	03/02/06	546.54	724.73	-178.19
	34.0000	24.2873	07/08/05	03/02/06	663.65	825.77	-162.12
	27.0000	25.8501	07/29/05	03/02/06	527.02	697.95	-170.93
	6.0000	26.1617	10/11/05	03/02/06	117.11	156.97	-39.86
	30.0000	20.8776	02/01/06	03/02/06	585.58	626.33	-40.75
	18.0000	20.7976	02/03/06	03/02/06	351.37	374.36	-22.99
WAL-MART STORES INC	30.0000	44.1875	09/19/05	03/02/06	1,350.26	1,325.63	24.63
WASTE MGMT INC DEL	12.0000	28.3785	07/06/05	03/02/06	400.79	340.54	60.25
WEATHERFORD INTL LTD	94.0000	34.2035	09/15/05	03/02/06	4,070.07	3,215.12	854.95
WELLPOINT INC	12.0000	67.9139	05/10/05	01/18/06	922.22	814.97	107.25
WENDYS INTL INC	32.0000	46.9826	06/23/05	03/02/06	1,863.94	1,503.44	360.50
XEROX CORP	60.0000	13.3621	04/18/05	03/02/06	886.17	801.73	84.44
	65.0000	13.9888	06/29/05	03/02/06	960.03	909.27	50.76
XL CAPITAL LTD CL A	15.0000	66.2441	02/14/06	03/02/06	1,026.71	993.66	33.05

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

YT115041 004357 041161832115 YNNNN NNNNN NNNNNN 000020

3992

HON CAROLYN MCCARTHY

Short Term *Continued*

Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/Loss
XTO ENERGY INC	34.0000	43.5344	10/31/05	03/02/06	1,439.85	1,480.17	-40.32
ZIMMER HOLDINGS INC	5.0000	80.1943	03/17/05	03/02/06	345.13	400.97	-55.84
ZURICH FINANCIAL SVCS ADR	6.0000	78.5490	06/03/05	03/02/06	414.18	471.29	-57.11
	18.0000	17.4815	10/10/05	03/02/06	424.80	314.67	110.13
Total - Short Term					**$270,394.07**	**$243,001.72**	**$27,392.35**

Long Term

Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/Loss
ABBOTT LABORATORIES	23.0000	40.6800	07/02/04	03/02/06	1,003.91	935.64	68.27
	16.0000	40.6000	07/02/04	03/02/06	698.37	649.60	48.77
AEGON N V ORD AMER REG	124.0000	12.2500	07/02/04	03/02/06	2,033.53	1,519.00	514.53
AFLAC INC	22.0000	32.7302	05/02/03	03/02/06	1,007.78	720.07	287.71
	24.0000	38.9000	02/07/05	03/02/06	1,099.41	933.60	165.81
AGERE SYSTEMS INC	98.0000	11.4000	11/12/04	03/02/06	1,346.47	1,122.90	223.57
ALBERTSONS INC CHG	80.0000	25.8503	07/06/04	02/03/06	2,001.53	2,068.02	-66.49
	10.0000	24.9400	11/10/04	02/03/06	250.20	249.40	0.80
	30.0000	24.9400	11/10/04	03/02/06	758.37	748.20	10.17
	60.0000	23.8395	12/31/04	03/02/06	1,516.75	1,430.37	86.38
ALCON INC	18.0000	79.7400	07/02/04	03/02/06	2,074.43	1,435.32	639.11
ALTRIA GROUP INC	15.0000	44.7700	10/07/03	03/02/06	1,078.31	671.55	406.76
	25.0000	46.3700	09/28/04	03/02/06	1,797.20	1,159.25	637.95
AMAZON COM INC	72.0000	52.7900	07/02/04	03/02/06	2,566.71	3,800.88	-1,234.17
	1.0000	39.2800	08/20/04	03/02/06	35.64	39.28	-3.64
	40.0000	38.8643	11/19/04	03/02/06	1,425.97	1,554.57	-128.60
	96.0000	15.0035	11/05/04	03/02/06	3,429.97	1,440.34	1,989.63
AMERICA MOVIL SER L SPONS ADR	4.0000	65.6000	09/06/01	01/06/06	315.55	262.40	53.15
AMGEN INC	5.0000	43.0809	06/06/02	01/06/06	394.45	215.40	179.05
	25.0000	58.5518	03/25/04	03/02/06	1,888.68	1,463.80	424.88
AMR CORP DEL	120.0000	11.4600	07/06/04	03/02/06	2,999.90	1,375.20	1,624.70

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

WACHOVIA SECURITIES

Sub / Branch / Rep / Account No
001 / Z0 / Z001 /

As of Date: 2/09/07

HON CAROLYN MCCARTHY

Long Term *Continued*

Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/Loss
APACHE CORP COMMON	26.0000	43.9100	07/02/04	03/02/06	1,779.63	1,141.66	637.97
	13.0000	45.6766	07/07/04	03/02/06	889.83	593.80	296.03
APPLE COMPUTER INC	32.0000	15.5300	07/02/04	03/02/06	2,208.57	496.96	1,711.61
	18.0000	22.4128	10/15/04	03/02/06	1,242.32	403.43	838.89
	48.0000	25.1835	10/28/04	03/02/06	3,312.86	1,208.81	2,104.05
AT & T INC	38.0000	24.0400	07/02/04	03/02/06	1,067.38	913.52	153.86
	175.0000	24.2377	07/06/04	03/02/06	4,915.59	4,241.60	673.99
	40.0000	24.6942	01/12/05	03/02/06	1,123.56	987.77	135.79
	43.0000	10.3500	07/02/04	02/08/06	570.79	445.05	125.74
BAA PLC SPONSORED AD XXX GTD 1ST PFD SERIES A RETRACTABLE	79.0000	10.3500	07/02/04	03/02/06	1,109.91	817.65	292.26
BAE SYSTEMS PLACE	135.0000	15.9000	07/02/04	03/02/06	3,982.37	2,146.50	1,835.87
BAKER HUGHES INC	21.0000	37.8500	07/02/04	03/02/06	1,453.15	794.85	658.30
BANK OF AMERICA CORP	26.0000	42.0750	07/02/04	03/02/06	1,176.46	1,093.95	82.51
BANK OF NEW YORK INC	36.0000	29.0900	07/02/04	03/02/06	1,234.76	1,047.24	187.52
	5.0000	30.8477	10/25/04	03/02/06	171.49	154.24	17.25
BASF AG SPONSORED ADR	35.0000	54.1300	07/02/04	03/02/06	2,649.06	1,894.55	754.51
BAXTER INTERNATIONAL INC	31.0000	33.6200	07/02/04	03/02/06	1,170.83	1,042.22	128.61
BELLSOUTH CORP	215.0000	25.8200	07/06/04	03/02/06	6,841.08	5,551.30	1,289.78
BEST BUY CO INC	9.0000	32.8444	07/02/04	01/25/05	439.38	295.60	143.78
	54.0000	32.8444	07/02/04	03/02/06	2,875.95	1,773.59	1,102.36
BLACK & DECKER CORP	18.0000	83.4001	01/20/05	03/02/06	1,554.25	1,501.20	53.05
BOOTS GROUP PLC ADR XXX	29.5860	18.0166	09/27/04	03/02/06	523.65	533.04	-9.39
	18.8275	18.3117	11/16/04	03/02/06	333.23	344.76	-11.53
	21.5171	17.7748	11/19/04	03/02/06	380.84	382.46	-1.62
BP PLC SPONS ADR	24.0000	53.0700	07/02/04	03/02/06	1,597.39	1,273.68	323.71
BRISTOL MYERS SQUIBB CO	175.0000	24.0000	07/06/04	03/02/06	3,989.87	4,200.00	-210.13
	30.0000	23.0100	08/18/04	03/02/06	683.97	690.30	-6.33
CADBURY SCHWEPPES PLC ADR 10 ORD	13.0000	34.7500	07/02/04	02/02/06	513.67	451.75	61.92
	50.0000	34.7500	07/02/04	03/02/06	2,060.93	1,737.50	323.43
CANON INC ADR REP 5SHS	40.0000	53.0500	07/02/04	03/02/06	2,506.71	2,122.00	384.71

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

YT115041 004357 041161832115 YYYYYY YYYYYY YYYYYYYY 000021

Realized Gain/Loss

Sub / Branch / Rep / Account No
001 / Z0 / Z001 /

As of Date: 2/09/07

HON CAROLYN MCCARTHY

Long Term *Continued*

Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/Loss
CAREMARK RX INC	43.0000	30.8000	07/02/04	03/02/06	2,180.03	1,324.40	855.63
CBS CORP CL B	12.0000	28.1425	07/02/04	02/24/06	297.55	337.71	-40.16
CHEUNG KONG HLDINGS ADR (HONG KONG)	76.0000	8.9121	09/08/04	03/02/06	809.37	677.32	132.05
CHICAGO MERCANTILE EXCHANGE	8.0000	201.6928	11/22/04	03/02/06	3,439.09	1,613.54	1,825.55
CISCO SYSTEMS INC	56.0000	18.2715	08/18/03	03/02/06	1,171.47	1,023.20	148.27
	3.0000	18.7200	08/20/04	03/02/06	62.75	56.16	6.59
CIT GROUP INC	14.0000	37.8100	07/06/04	03/02/06	755.69	529.34	226.35
	1.0000	36.1100	08/20/04	03/02/06	53.97	36.11	17.86
	20.0000	40.6700	02/02/05	03/02/06	1,079.56	813.40	266.16
CITIGROUP INC	39.0000	39.3878	05/02/03	03/02/06	1,804.47	1,536.12	268.35
	29.0000	44.7689	09/08/03	03/02/06	1,341.78	1,298.30	43.48
CLOROX COMPANY	10.0000	55.1225	11/24/04	03/02/06	609.98	551.23	58.75
COACH INC	68.0000	23.4400	07/02/04	03/02/06	2,427.52	1,593.92	833.60
	4.0000	20.1550	08/20/04	03/02/06	142.80	80.62	62.18
COMCAST CORP CL A SPL NEW	53.0000	29.5469	04/20/04	03/02/06	1,439.43	1,565.98	-126.55
	80.0000	14.5114	02/15/05	03/02/06	1,381.55	1,160.91	220.64
CONVERGYS CORP	32.0000	35.6600	07/02/04	03/02/06	1,790.97	1,128.72	662.25
CREDIT SUISSE GROUP SPON ADR	21.0000	31.0000	08/19/04	03/02/06	1,175.34	651.00	524.34
	15.0000	22.3736	12/17/04	01/10/06	405.97	335.61	70.36
CVS CORP	25.0000	22.3736	12/17/04	03/02/06	724.97	559.34	165.63
	84.0000	23.9000	07/02/04	03/02/06	3,007.10	2,007.60	999.50
DANSKE BANK A/S	15.0000	66.4500	07/02/04	01/10/06	1,024.16	996.75	27.41
DEERE & CO	19.0000	66.4500	07/02/04	03/02/06	1,462.95	1,262.55	200.40
	21.0000	33.1857	08/18/03	03/02/06	612.96	696.90	-83.94
DELL INC	38.0000	32.9646	03/04/04	03/02/06	1,109.18	1,252.65	-143.47
	300.0000	8.5465	11/03/04	03/02/06	94.49	2,563.95	-2,469.46
DELPHI CORP	205.0000	6.4000	07/06/04	03/02/06	103.52	1,312.00	-1,208.48
DELTA AIR LINES INC	14.0000	77.8500	07/02/04	03/02/06	1,566.26	1,089.90	476.36
DEUTSCHE BANK AG							

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

WACHOVIA SECURITIES

Sub / Branch / Rep / Account No
001 / Z0 / Z001 /

Pg 52 of 61

As of Date: 2/09/07

HON CAROLYN MCCARTHY

Long Term *Continued* Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/Loss
DIAGEO PLC SPONSORED ORD NEW	30.0000	49.7393	03/01/02	03/02/06	1,868.93	1,492.18	376.75
	15.0000	50.7600	05/20/02	03/02/06	934.46	761.40	173.06
	2.0000	56.5063	02/16/05	03/02/06	124.59	113.01	11.58
DISNEY WALT COMPANY	13.0000	29.6959	02/09/05	02/15/06	348.07	386.04	-37.97
DU PONT E.I. DE NEMOURS AND COMPANY	29.0000	43.5300	07/02/04	02/09/06	1,163.28	1,262.37	-99.09
E M C CORP MASS	89.0000	10.8700	07/02/04	01/12/06	1,219.26	967.43	251.83
EATON CORPORATION	17.0000	62.3900	07/02/04	03/02/06	1,202.71	1,060.63	142.08
EBAY INC	32.0000	44.9100	07/02/04	03/02/06	1,268.76	1,437.12	-168.36
	32.0000	41.9395	07/13/04	03/02/06	1,268.76	1,342.06	-73.30
	28.0000	58.9341	12/30/04	03/02/06	1,110.16	1,650.15	-539.99
EISAI COMPANY LTD SPON ADR JAPAN	22.0000	29.7500	07/02/04	03/02/06	987.76	654.50	333.26
	21.0000	29.7500	07/02/04	03/02/06	942.88	624.75	318.13
EL PASO CORPORATION	60.0000	7.8400	07/06/04	02/09/06	772.47	470.40	302.07
	115.0000	7.8400	07/06/04	03/02/06	1,482.29	901.60	580.69
ELECTRONIC DATA SYSTEM CORP NEW	120.0000	18.4100	07/06/04	03/02/06	3,268.69	2,209.20	1,059.49
EMERSON ELECTRIC CO	42.0000	62.3957	07/02/04	03/02/06	3,530.40	2,620.62	909.78
	14.0000	62.4300	07/02/04	03/02/06	1,176.80	874.02	302.78
ENCANA CORP	18.0000	21.6350	07/02/04	02/03/06	856.22	389.43	466.79
	30.0000	21.6350	07/02/04	03/02/06	1,258.76	649.05	609.71
ENI S P A SPON ADR	40.0000	40.3520	07/02/04	03/02/06	2,229.92	1,614.08	615.84
EXXON MOBIL CORP	46.0000	44.7628	07/02/04	03/02/06	2,761.75	2,059.08	702.67
	22.0000	51.4590	12/22/04	03/02/06	1,320.83	1,132.10	188.73
	11.0000	49.5839	01/05/05	03/02/06	660.41	545.42	114.99
FISERV INC	21.0000	37.7300	07/02/04	01/31/06	922.96	792.33	130.63
	23.0000	37.7300	07/02/04	02/24/06	953.62	867.79	85.83
FORD MTR CO DEL COM PAR $0.01	190.0000	14.9700	07/06/04	03/02/06	1,512.35	2,844.30	-1,331.95

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

3996

HON CAROLYN MCCARTHY

Long Term *Continued*							
Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/Loss
FUJI PHOTO FILM LT XXX ADR NEW	80.0000	13.4129	11/03/04	03/02/06	636.77	1,073.03	-436.26
	95.0000	31.1800	07/02/04	03/02/06	3,037.99	2,962.10	75.89
GARMIN LTD	26.0000	34.9800	07/02/04	02/06/06	1,636.02	909.48	726.54
	1.0000	37.8300	08/20/04	02/06/06	62.93	37.83	25.10
GENENTECH INC	13.0000	48.0700	02/01/05	03/02/06	1,086.06	624.91	463.15
GENERAL ELECTRIC COMPANY	42.0000	48.6600	04/26/01	03/02/06	1,373.35	2,043.72	-670.37
	95.0000	40.6100	09/06/01	03/02/06	3,106.40	3,857.95	-751.55
	5.0000	29.3000	06/06/02	03/02/06	163.49	146.50	16.99
	12.0000	35.4900	11/30/04	03/02/06	392.40	425.88	-33.48
GENERAL MOTORS CORP	60.0000	44.7100	07/06/04	03/02/06	1,193.96	2,682.60	-1,488.64
	35.0000	39.8400	11/10/04	03/02/06	696.47	1,394.40	-697.93
	25.0000	39.0698	12/15/04	03/02/06	497.48	976.75	-479.27
GLAXOSMITHKLINE PLC-ADR	65.0000	41.2485	07/02/04	03/02/06	3,339.59	2,681.15	658.44
	4.0000	40.1300	07/23/04	03/02/06	205.51	160.52	44.99
	14.0000	44.5157	01/25/05	03/02/06	719.29	623.22	96.07
	3.0000	48.3148	02/15/05	03/02/06	154.13	144.94	9.19
GOODYEAR TIRE & RUBBER	225.0000	9.5600	07/06/04	03/02/06	3,235.40	2,151.00	1,084.40
HARRIS CORP DEL	46.0000	32.5122	02/24/05	03/02/06	2,116.85	1,495.56	621.29
HEINEKEN N V ADR	42.0000	32.4219	01/07/05	03/02/06	1,587.55	1,361.72	225.83
HEINZ H J CO COMMON	24.0000	37.6993	01/31/05	02/08/06	816.98	904.78	-87.80
HEWLETT-PACKARD COMPANY	25.0000	19.9800	07/06/04	02/13/06	781.70	499.50	282.20
	45.0000	19.9800	07/06/04	03/02/06	1,523.20	899.10	624.10
HILTON HOTELS CORP COM	71.0000	20.9721	11/05/04	02/07/06	1,771.38	1,489.02	282.36
	10.0000	21.0375	12/07/04	02/07/06	249.50	210.38	39.12
	68.0000	21.0375	12/07/04	02/17/06	1,662.55	1,430.55	232.00
HOME DEPOT INC	11.0000	43.5176	11/24/04	01/06/06	444.30	478.70	-34.40
	46.0000	43.5176	11/24/04	03/02/06	1,940.22	2,001.80	-61.58
HSBC HOLDINGS PLC-SPON ADR	14.0000	70.6800	10/07/03	03/02/06	1,196.96	989.52	207.44
	1.0000	77.1334	01/20/04	03/02/06	85.49	77.13	8.36
	2.0000	74.4051	05/06/04	03/02/06	170.99	148.81	22.18
	1.0000	76.4051	07/15/04	03/02/06	85.49	76.40	9.09

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

WACHOVIA SECURITIES

Sub / Branch / Rep / Account No.
001 / Z0 / Z001 /

Pg 53 of 61

As of Date: 2/09/07

HON CAROLYN MCCARTHY

Long Term *Continued*

Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/Loss
HUTCHISON WHAMPOA ADR	30.0000	34.3500	07/02/04	03/02/06	1,414.44	1,030.50	383.94
	5.0000	45.6218	02/15/05	03/02/06	235.75	228.11	7.64
	110.0000	10.8191	01/07/05	03/02/06	1,468.45	1,190.10	278.35
IKON OFFICE SOLUTIONS INC	4.0000	93.5400	07/02/04	03/02/06	349.98	374.16	-24.18
ILLINOIS TOOL WORKS INC	13.0000	93.6300	07/02/04	03/02/06	1,137.46	1,217.19	-79.73
	5.0000	92.7700	07/14/04	03/02/06	437.48	463.85	-26.37
	62.0000	23.6000	07/02/04	03/02/06	2,308.18	1,463.20	844.98
ING GROEP N V SPON ADR	63.0000	27.6253	03/26/04	02/24/06	1,291.46	1,740.39	-448.93
INTEL CORP	46.0000	43.6600	07/02/04	03/02/06	1,504.14	2,008.36	-504.22
INTERNATIONAL PAPER CO	7.0000	39.3466	09/22/04	03/02/06	228.90	275.43	-46.53
	20.0000	55.3000	12/06/02	03/02/06	1,149.96	1,106.00	43.96
JOHNSON & JOHNSON	90.0000	38.7189	01/07/05	03/02/06	3,746.58	3,484.71	261.87
JPMORGAN CHASE & CO	52.0000	23.4800	07/02/04	01/26/06	892.86	1,220.96	-328.10
JUNIPER NETWORK INC	2.0000	21.9700	08/20/04	01/26/06	34.35	43.94	-9.59
	3.0000	246.0000	07/02/04	02/02/06	829.55	738.00	91.55
KAO CORP-SPONSORED ADR	5.0000	246.0000	07/02/04	03/02/06	1,363.70	1,230.00	133.70
	15.0000	64.0485	10/11/04	03/02/06	887.82	960.73	-72.91
KIMBERLY-CLARK CORP	184.0000	10.7500	07/02/04	03/02/06	1,471.95	1,978.00	-506.05
KINGFISHER PLC-SPONS ADR (NEW)	63.0000	26.6300	07/02/04	03/02/06	2,049.32	1,677.69	371.63
KONINKLIJKE PHILIPS ELECTRS N V NY REG SH NEW 2000	70.0000	9.0400	07/02/04	03/02/06	1,611.35	632.80	978.55
KOREA ELEC PWR CORP SPONSORED ADR	8.0000	39.4900	08/15/02	03/02/06	238.39	315.92	-77.53
KRAFT FOODS INC CL A	21.0000	30.9800	07/02/04	03/02/06	625.77	650.58	-24.81
	25.0000	31.4200	07/06/04	03/02/06	744.97	785.50	-40.53
	5.0000	31.5392	10/06/04	03/02/06	148.99	157.70	-8.71
KROGER COMPANY COMMON	187.0000	17.8800	07/06/04	03/02/06	3,739.88	3,343.56	396.32
	70.0000	15.7900	08/04/04	03/02/06	1,399.95	1,105.30	294.65
	60.0000	16.3000	11/26/04	03/02/06	1,199.96	978.00	221.96

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

HON CAROLYN MCCARTHY

Long Term *Continued*

Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/Loss
	8.0000	16.4995	01/05/05	03/02/06	159.99	132.00	27.99
KT CORP SP ADR	89.0000	17.8500	07/02/04	03/02/06	1,825.33	1,588.65	236.68
LOEWS CORPORATION	9.0000	55.8143	08/30/04	03/02/06	845.97	502.32	343.65
LUCENT TECHNOLOGIES ICHG	65.0000	3.4300	07/06/04	03/02/06	183.94	222.95	-39.01
	250.0000	3.0700	07/28/04	03/02/06	707.47	767.50	-60.03
	245.0000	3.1100	08/23/04	03/02/06	693.32	761.95	-68.63
	340.0000	3.2689	02/02/05	03/02/06	962.17	1,111.43	-149.26
MARRIOTT INTL INC NEW CL A	27.0000	54.7189	10/28/04	03/02/06	1,853.76	1,477.41	376.35
MARVELL TECHNOLOGY GROUP LTD	58.0000	24.4900	07/02/04	03/02/06	3,766.96	1,420.42	2,346.56
MAXIM INTEGRATED PRODS INC	22.0000	49.1000	07/02/04	03/02/06	874.47	1,080.20	-205.73
	16.0000	41.6838	12/07/04	03/02/06	635.98	666.94	-30.96
MAYTAG CORP CHG	80.0000	20.5713	12/13/04	03/02/06	1,340.75	1,645.70	-304.95
MCGRAW-HILL COMPANIES INC	10.0000	37.9950	07/02/04	01/25/06	500.06	379.95	120.11
	24.0000	37.9950	07/02/04	03/02/06	1,295.95	911.88	384.07
	12.0000	36.8300	07/16/04	03/02/06	647.99	441.96	206.03
MEDTRONIC INC	32.0000	42.6700	09/06/01	03/02/06	1,710.34	1,365.44	344.90
	25.0000	47.8262	03/04/04	03/02/06	1,336.21	1,195.66	140.55
MERCK & CO INC	6.0000	46.7900	07/06/04	03/02/06	208.49	280.74	-72.25
	75.0000	46.7900	07/06/04	03/02/06	2,606.16	3,509.25	-903.09
	55.0000	33.5985	10/01/04	03/02/06	1,911.19	1,847.92	63.27
	60.0000	25.9800	11/09/04	03/02/06	2,084.95	1,558.80	526.15
MICRON TECHNOLOGY INC	25.0000	14.0900	07/06/04	02/21/06	410.48	352.25	58.23
	45.0000	12.1300	08/24/04	02/21/06	738.88	545.85	193.03
	110.0000	12.1300	08/24/04	03/02/06	1,734.64	1,334.30	400.34
	75.0000	11.7741	12/22/04	03/02/06	1,182.72	883.06	299.66
MICROSOFT CORP	3.0000	28.4900	09/06/01	03/02/06	80.96	85.47	-4.51
	16.0000	30.3058	02/07/02	03/02/06	431.82	484.89	-53.07
	85.0000	23.9564	07/30/02	03/02/06	2,294.07	2,036.29	257.78
	20.0000	25.0145	08/15/02	03/02/06	539.78	500.29	39.49

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

HON CAROLYN MCCARTHY

Long Term *Continued*

Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/Loss
	15.0000	29.1900	11/05/04	03/02/06	404.83	437.85	-33.02
MILLEA HOLDINGS INC-ADR	19.0000	72.9900	07/02/04	03/02/06	1,897.09	1,386.81	510.28
MONSANTO CO NEW	12.0000	36.9100	07/02/04	03/02/06	1,019.24	442.92	576.32
MOTOROLA INCORPORATED	25.0000	17.8400	07/02/04	01/06/06	597.77	446.00	151.77
	35.0000	17.8400	07/02/04	03/02/06	770.32	624.40	145.92
	25.0000	15.7000	07/19/04	03/02/06	550.23	392.50	157.73
	88.0000	16.9850	11/04/04	03/02/06	1,936.82	1,494.68	442.14
NABORS INDUSTRIES LTD	29.0000	47.7599	10/14/04	03/02/06	1,853.96	1,385.04	568.92
NATIONAL AUSTRALIA BANK LTD SPONSORED ADR NEW	20.0000	107.0400	07/02/04	03/02/06	2,704.91	2,140.80	564.11
NATIONWIDE FINL SVCS INC CL A	55.0000	36.6671	07/06/04	03/02/06	2,362.17	2,016.69	345.48
NESTLE S A REG ADR	43.0000	67.7000	07/02/04	03/02/06	3,136.74	2,911.10	225.64
NEWELL RUBBERMAID INC	27.0000	20.3694	10/04/04	03/02/06	668.22	549.97	118.25
NEWMONT MINING CORP NEW	23.0000	39.0300	07/02/04	03/02/06	1,218.26	897.69	320.57
	5.0000	42.1300	01/05/05	03/02/06	264.84	210.65	54.19
NIKE INC CLASS B	11.0000	75.2200	07/02/04	03/02/06	943.22	827.42	115.80
NINTENDO LTD ADR	135.0000	14.5500	07/02/04	03/02/06	2,436.67	1,964.25	472.42
NIPPON TELEGRAPH & TELEPHONE CORP SPONSORED ADR	86.0000	26.4700	07/02/04	03/02/06	1,896.24	2,276.42	-380.18
NOKIA CORP SPONSORED ADR	196.0000	14.5600	07/02/04	03/02/06	3,700.35	2,853.76	846.59
NOMURA HOLDINGS INC UNSP ADR	101.0000	13.4272	12/13/04	03/02/06	1,913.88	1,356.15	557.73
NORTHROP GRUMMAN CORP NEW	24.0000	53.0375	08/06/04	03/02/06	1,545.54	1,272.90	272.64
	28.0000	53.8060	11/04/04	03/02/06	1,803.15	1,506.57	296.58
NOVARTIS AG SPON ADR	66.0000	44.9000	07/02/04	03/02/06	3,544.09	2,963.40	580.69
	27.0000	44.8932	07/02/04	03/02/06	1,449.85	1,212.12	237.73

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

YT115041 004367 041161832115 YNNNN NNNNN NNNNNN 000024

4000

As of Date: 2/09/07

HON CAROLYN MCCARTHY

Long Term *Continued*

Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/Loss
	8.0000	48.4720	02/15/05	03/02/06	429.58	387.78	41.80
PARKER-HANNIFIN CORP	7.0000	75.9902	12/17/04	03/02/06	559.98	531.93	28.05
	5.0000	71.5400	01/11/05	03/02/06	399.98	357.70	42.28
PEPSICO INCORPORATED	22.0000	49.5583	02/13/02	03/02/06	1,297.95	1,090.28	207.67
	5.0000	50.9200	06/06/02	03/02/06	294.99	254.60	40.39
	25.0000	49.5997	10/05/04	03/02/06	1,474.95	1,239.99	234.96
	13.0000	51.0135	12/07/04	03/02/06	766.99	663.18	103.81
PFIZER INCORPORATED	26.0000	30.9499	09/21/04	03/02/06	678.57	804.70	-126.13
	30.0000	30.7623	09/22/04	03/02/06	782.97	922.87	-139.90
	25.0000	29.8350	09/28/04	03/02/06	652.47	745.88	-93.41
	30.0000	28.7000	11/01/04	03/02/06	782.97	861.00	-78.03
	45.0000	27.2200	11/26/04	03/02/06	1,174.46	1,224.90	-50.44
	25.0000	24.9900	12/21/04	03/02/06	652.47	624.75	27.72
POLO RALPH LAUREN CORP CL A	38.0000	36.6723	09/27/04	03/02/06	2,240.03	1,393.55	846.48
POTASH CORP OF SASKATCHEWAN INC	5.0000	48.9450	07/02/04	01/31/06	450.51	244.72	205.79
	3.0000	48.9450	07/02/04	03/02/06	291.08	146.83	144.25
PRAXAIR INC	17.0000	38.8500	07/02/04	03/02/06	927.32	660.45	266.87
	22.0000	39.7330	08/17/04	03/02/06	1,200.06	874.13	325.93
	19.0000	42.0406	09/07/04	03/02/06	1,036.42	798.77	237.65
PROCTER & GAMBLE CO	8.0000	45.3388	08/20/02	03/02/06	479.90	362.71	117.19
	26.0000	47.8193	10/09/03	03/02/06	1,559.69	1,243.30	316.39
	14.6250	43.1282	07/02/04	03/02/06	877.32	630.75	246.57
PROGRESS ENERGY INC	19.0000	44.0800	07/02/04	03/02/06	836.16	837.52	-1.36
REED ELSEVIER PLC-SPONS ADR	63.0000	39.0300	07/02/04	03/02/06	2,261.52	2,458.89	-197.37
	12.0000	36.5385	12/07/04	03/02/06	430.78	438.46	-7.68
	10.0000	38.0675	02/15/05	03/02/06	359.00	380.68	-21.68
REPSOL YPF S A	60.0000	22.0500	07/02/04	03/02/06	1,709.33	1,323.00	386.33
ROCHE HOLDINGS LTD ADR	19.0000	51.5000	07/02/04	03/02/06	1,415.45	978.50	436.95
ROYAL DUTCH SHELL PLC ADR B	18.0000	51.7634	07/02/04	03/02/06	1,154.84	937.02	217.82

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

Realized Gain/Loss

WACHOVIA SECURITIES

Sub / Branch / Rep / Account No
001 / Z0 / Z001 /

Pg 55 of 61

As of Date: 2/09/07

HON CAROLYN MCCARTHY

Long Term *Continued*

Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/Loss
SAFEWAY INC NEW	180.0000	24.7097	07/06/04	03/02/06	4,424.25	4,447.74	-23.49
	45.0000	20.6800	08/25/04	03/02/06	1,106.06	930.60	175.46
	55.0000	19.1800	12/16/04	03/02/06	1,351.87	1,054.90	296.97
SCHERING PLOUGH CORP	7.0000	18.0600	07/02/04	01/10/06	143.51	126.42	17.09
	28.0000	17.8700	07/06/04	01/10/06	574.05	500.36	73.69
	247.0000	17.8700	07/06/04	03/02/06	4,532.30	4,413.89	118.41
SCHLUMBERGER LTD	17.0000	63.8500	07/02/04	03/02/06	2,031.43	1,085.45	945.98
SEAGATE TECHNOLOGY	87.0000	17.5424	02/04/05	03/02/06	2,374.15	1,526.19	847.96
SOCIETE GENERALE FRANCE SPONSORED ADR	96.0000	17.0000	07/02/04	03/02/06	2,707.11	1,632.00	1,075.11
SOLECTRON CORP	235.0000	4.8650	02/08/05	03/02/06	843.62	1,143.28	-299.66
SONY CORP ADR NEW	39.0000	38.4600	07/02/04	03/02/06	1,799.79	1,499.94	299.85
STAPLES INC	99.0000	19.1333	07/02/04	03/02/06	2,482.84	1,894.20	588.64
STATOIL ASA SPON ADR	87.0000	12.6700	07/02/04	03/02/06	2,279.33	1,102.29	1,177.04
STORA ENSO OYJ -ADR	149.0000	13.3900	07/02/04	03/02/06	2,124.67	1,995.11	129.56
SYNOPSYS CORP	65.0000	17.9119	01/11/05	02/10/06	1,435.73	1,164.27	271.46
SYSCO CORPORATION	13.0000	29.1227	02/15/02	03/02/06	387.51	378.59	8.92
	43.0000	29.0852	04/15/02	03/02/06	1,281.79	1,250.66	31.13
TARGET CORP	9.0000	44.0975	08/17/04	01/06/06	489.38	396.88	92.50
	18.0000	44.0975	08/17/04	03/02/06	973.40	793.75	179.65
	12.0000	44.7700	08/27/04	03/02/06	648.93	537.24	111.69
TELEFONOS DE MEXICO SA SPON ADR REPSTG SH ORD L	54.0000	16.4200	07/02/04	02/03/06	1,276.66	886.68	391.98
	62.0000	16.4200	07/02/04	03/02/06	1,422.85	1,018.04	404.81
TENET HEALTHCARE CORP	270.0000	12.7000	07/06/04	03/02/06	2,081.63	3,429.00	-1,347.37
	80.0000	10.0200	09/09/04	03/02/06	616.78	801.60	-184.82
TEVA PHARMACEUTICAL ADR INDS LTD	42.0000	33.4500	07/02/04	03/02/06	1,821.89	1,404.90	416.99
	20.0000	33.4900	07/02/04	03/02/06	867.57	669.80	197.77
	1.0000	27.8200	08/20/04	03/02/06	43.37	27.82	15.55
	28.0000	26.4970	09/27/04	03/02/06	1,214.62	741.92	472.70
TNT NV-ADR	81.0000	22.6500	07/02/04	03/02/06	2,572.47	1,834.65	737.82
TOTAL S.A. SPONS ADR	20.0000	96.5900	07/02/04	03/02/06	2,532.72	1,931.80	600.92

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

Y7115041 004957 041161832115 YNNNN NNNNN NNNNNN 000025

4002

Realized Gain/Loss

As of Date: 2/09/07

HON CAROLYN MCCARTHY

Long Term *Continued*

Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/Loss
TOYOTA MTR CORP ADR NEW 3/82	21.0000	81.5200	07/02/04	03/02/06	2,247.14	1,711.92	535.22
TRIBUNE CO NEW	28.0000	44.7700	07/02/04	03/02/06	855.37	1,253.56	-398.19
UNION PACIFIC CORP	14.0000	58.6500	07/02/04	03/02/06	1,248.76	821.10	427.66
UNISYS CORP	260.0000	13.1900	07/06/04	03/02/06	1,775.74	3,429.40	-1,653.66
	75.0000	10.1600	07/26/04	03/02/06	512.23	762.00	-249.77
	145.0000	9.7832	12/21/04	03/02/06	990.31	1,418.56	-428.25
	115.0000	7.7327	02/22/05	03/02/06	785.44	889.26	-103.82
UNITED PARCEL SERVICE-B	4.0000	62.6644	05/16/03	03/02/06	300.27	250.65	49.62
	9.0000	72.1900	08/03/04	03/02/06	675.60	649.71	25.89
	12.0000	74.6988	01/31/05	03/02/06	900.82	896.39	4.43
UNITEDHEALTH GROUP INC	27.0000	56.8685	01/19/05	02/17/06	1,567.68	1,535.45	32.23
UPM-KYMMENE CORP SPON ADR	66.0000	19.1100	07/02/04	03/02/06	1,402.45	1,261.26	141.19
VALERO ENERGY CORP NEW (VALERO REFNG & MKTING)	62.0000	18.2850	07/27/04	03/02/06	3,423.53	1,133.67	2,289.86
VERIZON COMMUNICATIONS COM	13.0000	35.9800	07/02/04	03/02/06	444.58	467.74	-23.16
	115.0000	35.9800	07/06/04	03/02/06	3,932.87	4,137.70	-204.83
	35.0000	37.2500	01/18/05	03/02/06	1,196.96	1,303.75	-106.79
VIACOM INC CL B	12.0000	42.8774	07/02/04	02/24/06	485.20	514.52	-29.32
VISTEON CORP	145.0000	7.6303	11/09/04	03/02/06	681.47	1,106.39	-424.92
WACHOVIA CORP	9.0000	44.1000	07/02/04	03/02/06	498.31	396.90	101.41
WAL-MART STORES INC	32.0000	47.4900	09/06/01	03/02/06	1,440.27	1,519.68	-79.41
	5.0000	54.2000	06/06/02	03/02/06	225.04	271.00	-45.96
WALGREEN COMPANY	41.0000	34.8500	09/06/01	03/02/06	1,827.30	1,428.85	398.45
	5.0000	37.0335	06/06/02	03/02/06	222.85	185.17	37.68
WASTE MGMT INC DEL	28.0000	29.5464	07/02/04	03/02/06	935.16	827.30	107.86
WELLPOINT INC	30.0000	44.1600	07/02/04	01/18/06	2,305.54	1,324.80	980.74
WELLS FARGO COMPANY	37.0000	58.0772	03/12/04	03/02/06	2,383.46	2,148.85	234.61
WYETH	2.0000	36.1374	07/02/04	03/02/06	99.59	72.27	27.32
	60.0000	35.9200	07/06/04	03/02/06	2,987.90	2,155.20	832.70

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

HON CAROLYN MCCARTHY

Long Term *Continued*

Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/Loss
	20.0000	34.7780	07/28/04	03/02/06	995.96	695.56	300.40
	10.0000	39.2968	02/02/05	03/02/06	498.00	392.97	105.03
XEROX CORP	200.0000	13.6500	07/06/04	03/02/06	2,953.90	2,730.00	223.90
XM SATELLITE RADIO HOLDINGS	57.0000	27.4100	07/02/04	03/02/06	1,245.97	1,562.37	-316.40
	3.0000	27.2900	08/20/04	03/02/06	65.58	81.87	-16.29
ZIMMER HOLDINGS INC	21.0000	85.8567	02/18/05	03/02/06	1,449.58	1,802.99	-353.41
ZURICH FINANCIAL SVCS ADR	112.0000	15.9000	07/02/04	03/02/06	2,643.11	1,780.80	862.31
	43.0000	14.4589	11/02/04	03/02/06	1,014.76	621.73	393.03
3COM CORP	305.0000	4.1509	12/31/04	03/02/06	1,439.55	1,266.02	173.53
Total - Long Term					**$416,352.15**	**$356,719.42**	**$59,632.73**

4004

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

YT115041 004357 041161832115 YNNNN NNNNN NNNNNN 000026

THIS PAGE IS INTENTIONALLY LEFT BLANK

0000 000785 C

WACHOVIA SECURITIES

Sub / Branch / Rep / Account No
001 / 3M / 3MZZ /

Your Financial Advisor :
CLOSED ACCOUNTS
WACHOVIA SECURITIES
1225 FRANKLIN AVENUE, STE 360
GARDEN CITY NY 11530
516-742-1111

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 0

Amended Date: 3/02/07

Your Federal Identification Number:

HON CAROLYN MCCARTHY
493 NANCY ROAD
MINEOLA NY 11501-1124

4D

Summary of Reportable Tax Information

1099-DIV Dividends and Distributions for 2006 OMB No. 1545-0110

	IRS Box		Amount
X	1a	Total Ordinary Dividends	481.22
X	1b	Qualified Dividends	105.72
X	2a	Total Capital Gain Distributions	40.30
X	2b	Unrecaptured Sec. 1250 Gain	1.62
	2c	Section 1202 Gain	0.00
	2d	Collectibles (28%) Gain	0.00
X	3	Nondividend Distributions	38.42
	4	Federal Income Tax Withheld	0.00
	5	Investment Expenses	0.00
	6	Foreign Tax Paid	0.00
	7	Foreign Country or U.S. Possession	See Detail Section
	8	Cash Liquidation Distributions	0.00
	9	Noncash Liquidation Distributions	0.00

1099-INT Interest Income for 2006 OMB No. 1545-0112

IRS Box		Amount
1	Interest Income	69.62
3	Interest on U.S. Savings Bonds and Treasury Obligations	0.00
4	Federal Income Tax Withheld	0.00
5	Investment Expenses	0.00
6	Foreign Tax Paid	0.00
7	Foreign Country or U.S. Possession	See Detail Section
8	Tax-Exempt Interest	759.18
9	Specified Private Activity Bond Interest	149.86

4006

THIS PACKAGE INCLUDES YOUR FORMS 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if the income is taxable and the IRS determines that it has not been reported.

Sub / Branch / Rep / Account No
001 / 3M / 3MZZ /

Amended Date: 3/02/07

HON CAROLYN MCCARTHY

Your Financial Advisor :
CLOSED ACCOUNTS
WACHOVIA SECURITIES
1225 FRANKLIN AVENUE, STE 360
GARDEN CITY NY 11530
516-742-1111

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #:

Details of Reportable Tax Information

While only the Form 1099 box totals are reported to the IRS, the following specific transaction detail is being provided to you for courtesy purposes. The IRS box numbers referenced for individual items listed below comprise the totals which appear on the actual Forms 1099, shown on the first page of this package. Please consult with your Financial Advisor or tax advisor regarding specific questions.

Tax reporting requirements can create differences with the amounts previously reported in monthly client statements. If you have an investment in a mutual fund, regulated investment company (RIC), real estate investment trust (REIT), or unit investment trust (UIT), some of those issuers provide reclassification information after the original tax form is printed. We will issue an amended form for information received after your original tax forms are generated.

1099-DIV Dividends and Distributions

	Description	Notes	Payment Date	Ex-Div Date	Activity	Amount	IRS Box	Country
X	NUVEEN PFD AND CONV INCM		02/01/2006		DIVIDEND	186.11	1a	
X	NUVEEN PFD AND CONV INCM		02/01/2006		SHORT TERM CAP GAIN	1.64	1a	
X	NUVEEN PFD AND CONV INCM		02/01/2006	1/11/2006	QUALIFIED DIVIDEND	52.86	1a, 1b	
X	NUVEEN PFD AND CONV INCM		03/01/2006		DIVIDEND	186.11	1a	
X	NUVEEN PFD AND CONV INCM		03/01/2006		SHORT TERM CAP GAIN	1.64	1a	
X	NUVEEN PFD AND CONV INCM		03/01/2006	2/13/2006	QUALIFIED DIVIDEND	52.86	1a, 1b	
	TOTAL ORDINARY DIVIDENDS (INCLUDING QUALIFIED DIVS AND SHORT TERM CAP GAINS)					481.22	1a	
	TOTAL QUALIFIED DIVIDENDS					105.72	1b	
X	NUVEEN PFD AND CONV INCM		02/01/2006		CAPITAL GAINS	19.34	2a	
X	NUVEEN PFD AND CONV INCM		02/01/2006		UNRECAP 1250 GAIN	0.81	2a, 2b	
X	NUVEEN PFD AND CONV INCM		03/01/2006		CAPITAL GAINS	19.34	2a	
X	NUVEEN PFD AND CONV INCM		03/01/2006		UNRECAP 1250 GAIN	0.81	2a, 2b	
	TOTAL CAPITAL GAIN DISTRIBUTIONS (INCLUDING BOXES 2B, 2C, AND 2D, IF ANY)					40.30	2a	
	TOTAL UNRECAPTURED SEC. 1250 GAIN					1.62	2b	
X	NUVEEN PFD AND CONV INCM		02/01/2006		RETURN OF CAPITAL	19.21	3	

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

4007

2006 AMENDED FORMS 1099

pg 59 of 61

WACHOVIA SECURITIES

Sub / Branch / Rep / Account No
001 / 3M / 3MZZ /

HON CAROLYN MCCARTHY

Your Financial Advisor :
CLOSED ACCOUNTS
WACHOVIA SECURITIES
1225 FRANKLIN AVENUE, STE 360
GARDEN CITY NY 11530
516-742-1111

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #:

Amended Date: 3/02/07

Details of Reportable Tax Information

1099-DIV Dividends and Distributions *Continued*

	Description	Notes	Payment Date	Ex-Div Date	Activity	Amount	IRS Box	Country
X	NUVEEN PFD AND CONV INCM		03/01/2006		RETURN OF CAPITAL	19.21	3	
	TOTAL NONDIVIDEND DISTRIBUTIONS					38.42	3	

* The "ex-dividend" date information displayed for qualified dividends reported on Form 1099-DIV are to assist you with holding period calculations. First Clearing, LLC does not certify all dates are accurate.

1099-INT Interest Income

Date	Description	Notes	Activity	Amount	IRS Box	Country
01/31/06	BANK DEPOSIT SWEEP OPT		INTEREST	47.32	1	
02/28/06	BANK DEPOSIT SWEEP OPT		INTEREST	19.15	1	
03/06/06	BANK DEPOSIT SWEEP OPT		INTEREST	3.15	1	
	TOTAL INTEREST INCOME NOT INCLUDED IN BOX 3			69.62	1	
01/31/06	EV INSURE NY MUNI BOND		FED TAX EXEMPT DIV	114.90	8	
02/28/06	EV INSURE NY MUNI BOND		FED TAX EXEMPT DIV	114.90	8	
01/25/06	ROCHESTER FUND MUNIS INC		FED TAX EXEMPT DIV	163.24	8	
01/25/06	ROCHESTER FUND MUNIS INC	@	EXEMPT DIV - AMT	64.46	8,9	
02/22/06	ROCHESTER FUND MUNIS INC		FED TAX EXEMPT DIV	159.62	8	
02/22/06	ROCHESTER FUND MUNIS INC	@	EXEMPT DIV - AMT	63.03	8,9	
03/29/06	ROCHESTER FUND MUNIS INC		FED TAX EXEMPT DIV	56.68	8	
03/29/06	ROCHESTER FUND MUNIS INC	@	EXEMPT DIV - AMT	22.37	8,9	
	TOTAL FEDERALLY TAX-EXEMPT INT/DIV			759.18	8	
	TOTAL SPECIFIED PRIVATE ACTIVITY BOND INTEREST			149.86	9	

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

4008

2006 AMENDED FORMS 1099 pg 60 of 61

WACHOVIA SECURITIES

Sub / Branch / Rep / Account No
001 / 3M / 3MZZ /

HON CAROLYN MCCARTHY

Your Financial Advisor :
CLOSED ACCOUNTS
WACHOVIA SECURITIES
1225 FRANKLIN AVENUE, STE 360
GARDEN CITY NY 11530
516-742-1111

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #:

Amended Date: 3/02/07

Federal Non-Reportable Information

The following is being provided for your information only and will NOT be furnished to the Internal Revenue Service.

This section lists miscellaneous information which can be helpful in the preparation of your tax return. It provides supplementary information that you may need to either include or consider for adjustments when completing your federal, state or local tax return. Please review this information with your tax advisor.

Purchase Activity Detail

Description	Cusip	Date	Activity	Quantity	Price	Amount
ROCHESTER FUND MUNIS INC	771362209	01/25/2006	REINVEST DIVIDEND	12.42900		-227.70
ROCHESTER FUND MUNIS INC	771362209	02/22/2006	REINVEST DIVIDEND	12.10100		-222.65

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

4009

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Carolyn McCarthy

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	PARTNERSHIP/LLC/TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Rental house Westhampton, NY								X						X											X					

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name Carolyn McCarthy | Page 1 of 21

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction									
			PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset															
SP	*Example:*	Mega Corporation Common Stock	X			10–12–06		X								
	See attached brokerage statements															

This page may be copied if more space is required.

4011

25,638

2006 FORMS 1099

Sub / Branch / Rep / Account No
001 / Z0 / Z001 /

Your Financial Advisor :
Z011 ACAT REP

804-290-4000

HON CAROLYN MCCARTHY

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #:

As of Date: 2/09/07

Your Federal Identification Number:

Reportable Tax Information

Tax reporting requirements can create differences with the amounts previously reported in monthly statements.

1099-B Proceeds from Broker and Barter Exchange Transactions for 2006

OMB NO. 1545-0715

Description (Box 7)	Cusip (Box 1b)	Price	Quantity	Trade Date (Box 1a)	Amount	IRS Box	Transaction Description	Reported On
ABBOTT LABORATORIES	002824100	43.65000	48.00000	03/02/2006	2,095.13	2	SALE	1099-B
ADVANCED MICRO DEVICES	007903107	40.70000	122.00000	03/02/2006	4,965.24	2	SALE	1099-B
AEGON N V ORD AMER REG	007924103	16.40000	206.00000	03/02/2006	3,378.29	2	SALE	1099-B
AFLAC INC	001055102	45.81000	46.00000	03/02/2006	2,107.19	2	SALE	1099-B
AGERE SYSTEMS INC	00845V308	13.74000	188.00000	03/02/2006	2,583.04	2	SALE	1099-B
AGILENT TECH INC	00846U101	36.45000	68.00000	03/02/2006	2,478.52	2	SALE	1099-B
ALBERTSONS INC	013104104	25.02000	90.00000	02/03/2006	2,251.73	2	SALE	1099-B
ALBERTSONS INC	013104104	25.28000	150.00000	03/02/2006	3,791.88	2	SALE	1099-B
ALCON INC	H01301102	115.25000	18.00000	03/02/2006	2,074.43	2	SALE	1099-B
ALTRIA GROUP INC	02209S103	71.89000	40.00000	03/02/2006	2,875.51	2	SALE	1099-B
AMAZON COM INC	023135106	35.65000	113.00000	03/02/2006	4,028.32	2	SALE	1099-B
AMER INTL GROUP INC	026874107	65.90000	25.00000	03/02/2006	1,647.44	2	SALE	1099-B
AMERICA MOVIL SERIES L	02364W105	35.73000	96.00000	03/02/2006	3,429.97	2	SALE	1099-B
AMERICAN AXLE & MFG	024061103	16.14000	60.00000	03/02/2006	968.37	2	SALE	1099-B
AMERICAN TOWER CORP CL A	029912201	31.30000	62.00000	03/02/2006	1,940.54	2	SALE	1099-B
AMGEN INC	031162100	78.89240	9.00000	01/06/2006	710.00	2	SALE	1099-B
AMGEN INC	031162100	75.55000	32.00000	03/02/2006	2,417.52	2	SALE	1099-B
AMR CORP DEL	001765106	25.00000	207.00000	03/02/2006	5,174.84	2	SALE	1099-B
ANGLO AMERICAN PLC	03485P102	37.38000	71.00000	03/02/2006	2,653.89	2	SALE	1099-B

THIS IS YOUR FORMS 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if the income is taxable and the IRS determines that it has not been reported.

4012

WACHOVIA SECURITIES

Sub / Branch / Rep / Account No
001 / Z0 / Z001

Your Financial Adviser :
Z011 ACAT REP

804-290-4000

HON CAROLYN MCCARTHY

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #:

As of Date: 2/09/07

Your Federal Identification Number:

Reportable Tax Information

1099-B Proceeds from Broker and Barter Exchange Transactions for 2006 *Continued*

OMB NO. 1545-0715

Description (Box 7)	Cusip (Box 1b)	Price	Quantity	Trade Date (Box 1a)	Amount	IRS Box	Transaction Description	Reported On
APACHE CORP COMMON	037411105	68.45000	39.00000	03/02/2006	2,669.46	2	SALE	1099-B
APPLE COMPUTER INC	037833100	69.02000	98.00000	03/02/2006	6,763.75	2	SALE	1099-B
AT & T INC	00206R102	28.09000	293.00000	03/02/2006	8,230.11	2	SALE	1099-B
AUTOMATIC DATA PROCESSIN	053015103	46.15000	45.00000	03/02/2006	2,076.68	2	SALE	1099-B
AVAYA INC	053499109	11.08000	125.00000	03/02/2006	1,384.95	2	SALE	1099-B
B J SERVICES CO	055482103	32.69000	63.00000	03/02/2006	2,059.40	2	SALE	1099-B
BAA PLC SPONSORED ADR	05518L206	13.27480	43.00000	02/08/2006	570.79	2	SALE	1099-B
BAA PLC SPONSORED ADR	05518L206	14.05000	157.00000	03/02/2006	2,205.78	2	SALE	1099-B
BAE SYSTEMS PLACE	05523R107	29.50000	167.00000	03/02/2006	4,926.34	2	SALE	1099-B
BAKER HUGHES INC	057224107	69.20000	62.00000	03/02/2006	4,290.26	2	SALE	1099-B
BANK OF AMERICA CORP	060505104	45.25000	26.00000	03/02/2006	1,176.46	2	SALE	1099-B
BANK OF NEW YORK INC	064057102	34.30000	46.00000	03/02/2006	1,577.75	2	SALE	1099-B
BARR LABORATORIES INC	068306109	66.39000	29.00000	03/02/2006	1,925.25	2	SALE	1099-B
BARRICK GOLD CORP	067901108	27.55000	35.00000	03/02/2006	964.22	2	SALE	1099-B
BASF AG SPONSORED ADR	055262505	75.69000	35.00000	03/02/2006	2,649.06	2	SALE	1099-B
BAXTER INTERNATIONAL INC	071813109	37.77000	31.00000	03/02/2006	1,170.83	2	SALE	1099-B
BCE INC	05534B109	24.01000	60.00000	03/02/2006	1,440.55	2	SALE	1099-B
BELLSOUTH CORP	079860102	31.82000	250.00000	03/02/2006	7,954.75	2	SALE	1099-B
BEST BUY CO INC	086516101	48.82260	9.00000	01/25/2006	439.38	2	SALE	1099-B

THIS IS YOUR FORMS 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if the income is taxable and the IRS determines that it has not been reported.

YT115041 004357 041161832115 YNNNN NNNNN NNNNNN 000003

Sub / Branch / Rep / Account No
001 / Z0 / Z001 /

Your Financial Advisor :
Z011 ACAT REP

804-290-4000

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #:

As of Date: 2/09/07

HON CAROLYN MCCARTHY

Your Federal Identification Number:

Reportable Tax Information

1099-B Proceeds from Broker and Barter Exchange Transactions for 2006 *Continued*

OMB NO. 1545-0715

Description (Box 7)	Cusip (Box 1b)	Price	Quantity	Trade Date (Box 1a)	Amount	IRS Box	Transaction Description	Reported On
BEST BUY CO INC	086516101	53.26000	54.00000	03/02/2006	2,875.95	2	SALE	1099-B
BLACK & DECKER CORP	091797100	86.35000	18.00000	03/02/2006	1,554.25	2	SALE	1099-B
BOOTS GROUP PLC ADR	099482101	0.00000	0.27581	02/13/2006	3.93	2	CASH IN LIEU	1099-B
BOOTS GROUP PLC ADR	099482101	17.70000	109.00000	03/02/2006	1,929.24	2	SALE	1099-B
BOOTS GROUP PLC ADR	099482101	18.10000	1.00000	03/06/2006	18.09	2	SALE	1099-B
BP PLC SPONS ADR	055622104	66.56000	24.00000	03/02/2006	1,597.39	2	SALE	1099-B
BRISTOL MYERS SQUIBB CO	110122108	22.80000	245.00000	03/02/2006	5,585.82	2	SALE	1099-B
CADBURY SCHWEPPES P L C	127209302	39.51430	13.00000	02/02/2006	513.67	2	SALE	1099-B
CADBURY SCHWEPPES P L C	127209302	41.22000	50.00000	03/02/2006	2,060.93	2	SALE	1099-B
CAMPBELL SOUP COMPANY	134429109	30.95000	40.00000	03/02/2006	1,237.96	2	SALE	1099-B
CANON INC ADR	138006309	62.67000	63.00000	03/02/2006	3,948.08	2	SALE	1099-B
CAREMARK RX INC	141705103	50.70000	108.00000	03/02/2006	5,475.43	2	SALE	1099-B
CATERPILLAR INC	149123101	74.14000	53.00000	03/02/2006	3,929.29	2	SALE	1099-B
CBS CORP CL B	124857202	24.79690	12.00000	02/24/2006	297.55	2	SALE	1099-B
CHEUNG KONG HOLDINGS ADR	166744201	10.65000	163.00000	03/02/2006	1,735.89	2	SALE	1099-B
CHICAGO MERCANTILE EX	167760107	429.90000	8.00000	03/02/2006	3,439.09	2	SALE	1099-B
CHICOS FAS INC	168615102	42.50000	53.00000	03/02/2006	2,252.43	2	SALE	1099-B
CISCO SYS INC	17275R102	20.92000	97.00000	03/02/2006	2,029.17	2	SALE	1099-B
CIT GROUP INC	125581108	53.98000	68.00000	03/02/2006	3,670.52	2	SALE	1099-B

THIS IS YOUR FORMS 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

The above is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if the income is taxable and the IRS determines that it has not been reported.

WACHOVIA SECURITIES

Sub / Branch / Rep / Account No
001 / Z0 / Z001 / .

Your Financial Advisor :
Z011 ACAT REP

804-290-4000

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #:

As of Date: 2/09/07

HON CAROLYN MCCARTHY

Your Federal Identification Number:

Reportable Tax Information

1099-B Proceeds from Broker and Barter Exchange Transactions for 2006 *Continued*

OMB NO. 1545-0715

Description (Box 7)	Cusip (Box 1b)	Price	Quantity	Trade Date (Box 1a)	Amount	IRS Box	Transaction Description	Reported On
CITIGROUP INC	172967101	46.27000	86.00000	03/02/2006	3,979.09	2	SALE	1099-B
CITRIX SYSTEMS INC	177376100	32.78000	56.00000	03/02/2006	1,835.62	2	SALE	1099-B
CLOROX COMPANY	189054109	61.00000	15.00000	03/02/2006	914.97	2	SALE	1099-B
COACH INC	189754104	35.70000	72.00000	03/02/2006	2,570.32	2	SALE	1099-B
COMCAST CORP CL A SPL	20030N200	27.16000	53.00000	03/02/2006	1,439.43	2	SALE	1099-B
COMPUWARE CORP	205638109	8.35000	173.00000	03/02/2006	1,444.50	2	SALE	1099-B
CONSOL ENERGY INC	20854P109	65.20000	42.00000	03/02/2006	2,738.31	2	SALE	1099-B
CONVERGYS CORP	212485106	17.27000	80.00000	03/02/2006	1,381.55	2	SALE	1099-B
COOPER TIRE & RUBBER CO	216831107	15.22000	45.00000	03/02/2006	684.87	2	SALE	1099-B
CREDIT SUISSE GROUP	225401108	55.97000	53.00000	03/02/2006	2,966.31	2	SALE	1099-B
CVS CORP	126650100	27.06580	15.00000	01/10/2006	405.97	2	SALE	1099-B
CVS CORP	126650100	29.00000	76.00000	03/02/2006	2,203.93	2	SALE	1099-B
DANA CORPORATION	235811106	1.40000	165.00000	03/02/2006	230.99	2	SALE	1099-B
DANSKE BANK A/S	236363107	35.80000	84.00000	03/02/2006	3,007.10	2	SALE	1099-B
DEERE & CO	244199105	68.27970	15.00000	01/10/2006	1,024.16	2	SALE	1099-B
DEERE & CO	244199105	77.00000	19.00000	03/02/2006	1,462.95	2	SALE	1099-B
DELL INC	24702R101	29.19000	70.00000	03/02/2006	2,043.23	2	SALE	1099-B
DELPHI CORP	247126105	0.31500	730.00000	03/02/2006	229.94	2	SALE	1099-B
DELTA AIR LINES INC	247361108	0.50500	205.00000	03/02/2006	103.52	2	SALE	1099-B

THIS IS YOUR FORMS 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

The above is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if the income is taxable and the IRS determines that it has not been reported.

YT115041 004357 041161832115 YNNNN NNNNN NNNNNN 000004

2006 FORMS 1099

Sub / Branch / Rep / Account No
001 / Z0 / Z001 /

Your Financial Advisor :
Z011 ACAT REP

804-290-4000

HON CAROLYN MCCARTHY

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #:

As of Date: 2/09/07

Your Federal Identification Number:

Reportable Tax Information

1099-B Proceeds from Broker and Barter Exchange Transactions for 2006 *Continued*

OMB NO. 1545-0715

Description (Box 7)	Cusip (Box 1b)	Price	Quantity	Trade Date (Box 1a)	Amount	IRS Box	Transaction Description	Reported On
DEUTSCHE BANK AG	D18190898	111.88000	18.00000	03/02/2006	2,013.77	2	SALE	1099-B
DIAGEO PLC	25243Q205	62.30000	68.00000	03/02/2006	4,236.26	2	SALE	1099-B
DIAMOND OFFSHORE DRILLNG	25271C102	81.40000	27.00000	03/02/2006	2,197.73	2	SALE	1099-B
DISNEY WALT COMPANY	254687106	26.77590	65.00000	02/15/2006	1,740.37	2	SALE	1099-B
DU PNT EI DE NEMOURS &CO	263534109	40.11460	29.00000	02/09/2006	1,163.28	2	SALE	1099-B
DYNEGY INC NEW	26816Q101	5.30000	200.00000	03/02/2006	1,059.96	2	SALE	1099-B
E TRADE FINANCIAL CORP	269246104	25.51000	71.00000	03/02/2006	1,811.15	2	SALE	1099-B
EASTMAN KODAK CO	277461109	27.70000	195.00000	03/02/2006	5,401.33	2	SALE	1099-B
EATON CORP	278058102	70.75000	17.00000	03/02/2006	1,202.71	2	SALE	1099-B
EBAY INC	278642103	39.65000	153.00000	03/02/2006	6,066.26	2	SALE	1099-B
EISAI COMPANY LTD ADR	282579309	44.90000	43.00000	03/02/2006	1,930.64	2	SALE	1099-B
EL PASO CORPORATION	28336L109	12.87500	60.00000	02/09/2006	772.47	2	SALE	1099-B
EL PASO CORPORATION	28336L109	12.89000	155.00000	03/02/2006	1,997.88	2	SALE	1099-B
ELECTRONIC DATA SYS	285661104	27.24000	281.00000	03/02/2006	7,654.20	2	SALE	1099-B
EMC CORP	268648102	13.70000	89.00000	01/12/2006	1,219.26	2	SALE	1099-B
EMERSON ELECTRIC CO	291011104	84.06000	66.00000	03/02/2006	5,547.78	2	SALE	1099-B
ENCANA CORP	292505104	47.56950	18.00000	02/03/2006	856.22	2	SALE	1099-B
ENCANA CORP	292505104	41.96000	30.00000	03/02/2006	1,258.76	2	SALE	1099-B
ENI S P A	26874R108	55.75000	50.00000	03/02/2006	2,787.41	2	SALE	1099-B

THIS IS YOUR FORMS 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

The above is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if the income is taxable and the IRS determines that it has not been reported.

WACHOVIA SECURITIES

Sub / Branch / Rep / Account No
001 / Z0 / Z001 /

Your Financial Advisor :
Z011 ACAT REP

804-290-4000

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #:

As of Date: 2/09/07

HON CAROLYN MCCARTHY

Your Federal Identification Number:

Reportable Tax Information

1099-B Proceeds from Broker and Barter Exchange Transactions for 2006 *Continued*

OMB NO. 1545-0715

Description (Box 7)	Cusip (Box 1b)	Price	Quantity	Trade Date (Box 1a)	Amount	IRS Box	Transaction Description	Reported On
EXXON MOBIL CORP	30231G102	60.04000	128.00000	03/02/2006	7,684.88	2	SALE	1099-B
FISERV INC	337738108	43.95200	21.00000	01/31/2006	922.96	2	SALE	1099-B
FISERV INC	337738108	41.46290	23.00000	02/24/2006	953.62	2	SALE	1099-B
FORD MTR CO DEL	345370860	7.96000	595.00000	03/02/2006	4,736.05	2	SALE	1099-B
FRANKLIN RESOURCES	354613101	102.85000	38.00000	03/02/2006	3,908.18	2	SALE	1099-B
FUJI PHOTO FILM ADR NEW	359586302	31.98000	103.00000	03/02/2006	3,293.83	2	SALE	1099-B
GANNETT CO INC DEL	364730101	62.15000	50.00000	03/02/2006	3,107.40	2	SALE	1099-B
GARMIN LTD	G37260109	62.92640	27.00000	02/06/2006	1,698.95	2	SALE	1099-B
GATEWAY INC	367626108	2.27000	325.00000	03/02/2006	737.72	2	SALE	1099-B
GENENTECH INC	368710406	83.70000	30.00000	03/02/2006	2,510.92	2	SALE	1099-B
GENERAL ELECTRIC COMPANY	369604103	32.70000	154.00000	03/02/2006	5,035.64	2	SALE	1099-B
GENERAL MOTORS CORP	370442105	19.90000	235.00000	03/02/2006	4,676.35	2	SALE	1099-B
GENL DYNAMICS CORP COM	369550108	125.40000	5.00000	03/02/2006	626.98	2	SALE	1099-B
GENZYME CORPORATION	372917104	68.43000	22.00000	03/02/2006	1,505.41	2	SALE	1099-B
GILEAD SCIENCES INC	375558103	61.05000	70.00000	03/02/2006	4,273.36	2	SALE	1099-B
GLAXOSMITHKLINE PLC-ADR	37733W105	51.38000	136.00000	03/02/2006	6,987.48	2	SALE	1099-B
GOODYEAR TIRE & RUBBER	382550101	14.38000	225.00000	03/02/2006	3,235.40	2	SALE	1099-B
GOOGLE INC CL A	38259P508	364.42000	12.00000	03/02/2006	4,372.90	2	SALE	1099-B
HARRIS CORP DEL	413875105	46.02000	46.00000	03/02/2006	2,116.85	2	SALE	1099-B

THIS IS YOUR FORMS 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

The above is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if the income is taxable and the IRS determines that it has not been reported.

YT115041 004357 041181832115 YNNNN NNNNN NNNNNN 000005

4017

2006 FORMS 1099

Sub / Branch / Rep / Account No
001 / Z0 / Z001 /

Your Financial Advisor :
Z011 ACAT REP

804-290-4000

HON CAROLYN MCCARTHY

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #:

As of Date: 2/09/07

Your Federal Identification Number:

Reportable Tax Information

1099-B Proceeds from Broker and Barter Exchange Transactions for 2006 *Continued*

OMB NO. 1545-0715

Description (Box 7)	Cusip (Box 1b)	Price	Quantity	Trade Date (Box 1a)	Amount	IRS Box	Transaction Description	Reported On
HARTFORD FINL SVCS GROUP	416515104	82.90000	10.00000	03/02/2006	828.97	2	SALE	1099-B
HEINEKEN N V ADR	423012202	37.80000	85.00000	03/02/2006	3,212.90	2	SALE	1099-B
HEINZ H J CO COMMON	423074103	34.04210	24.00000	02/08/2006	816.98	2	SALE	1099-B
HEWLETT-PACKARD COMPANY	428236103	31.26920	25.00000	02/13/2006	781.70	2	SALE	1099-B
HEWLETT-PACKARD COMPANY	428236103	33.85000	200.00000	03/02/2006	6,769.79	2	SALE	1099-B
HILTON HOTELS CORP COM	432848109	24.95000	81.00000	02/07/2006	2,020.88	2	SALE	1099-B
HILTON HOTELS CORP COM	432848109	24.45010	68.00000	02/17/2006	1,662.55	2	SALE	1099-B
HOME DEPOT INC	437076102	40.39280	11.00000	01/06/2006	444.30	2	SALE	1099-B
HOME DEPOT INC	437076102	42.18000	46.00000	03/02/2006	1,940.22	2	SALE	1099-B
HONEYWELL INTERNATIONAL	438516106	40.84000	20.00000	03/02/2006	816.77	2	SALE	1099-B
HOSPIRA INC	441060100	40.38000	39.00000	03/06/2006	1,574.77	2	SALE	1099-B
HSBC HOLDINGS PLC-SPONS	404280406	85.50000	30.00000	03/02/2006	2,564.92	2	SALE	1099-B
HUMANA INC	444859102	51.20000	39.00000	03/02/2006	1,996.73	2	SALE	1099-B
HUTCHISON WHAMPOA ADR	448415208	47.15000	35.00000	03/02/2006	1,650.19	2	SALE	1099-B
IKON OFFICE SOLUTIONS	451713101	13.35000	110.00000	03/02/2006	1,468.45	2	SALE	1099-B
ILL TOOL WORKS INC	452308109	87.50000	32.00000	03/02/2006	2,799.91	2	SALE	1099-B
ING GROEP N V SPON ADR	456837103	37.23000	62.00000	03/02/2006	2,308.18	2	SALE	1099-B
INTEL CORP	458140100	20.50000	63.00000	02/24/2006	1,291.46	2	SALE	1099-B
INTEL CORP	458140100	20.70000	145.00000	03/02/2006	3,001.40	2	SALE	1099-B

THIS IS YOUR FORMS 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if the income is taxable and the IRS determines that it has not been reported.

4018

WACHOVIA SECURITIES

Sub / Branch / Rep / Account No
001 / Z0 / Z001 /

Your Financial Advisor :
Z011 ACAT REP

804-290-4000

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #:

As of Date: 2/09/07

HON CAROLYN MCCARTHY

Your Federal Identification Number:

Reportable Tax Information

1099-B Proceeds from Broker and Barter Exchange Transactions for 2006 *Continued*

OMB NO. 1545-0715

Description (Box 7)	Cusip (Box 1b)	Price	Quantity	Trade Date (Box 1a)	Amount	IRS Box	Transaction Description	Reported On
INTL PAPER CO	460146103	32.70000	53.00000	03/02/2006	1,733.04	2	SALE	1099-B
INTUITIVE SURGICAL INC	46120E602	91.93000	15.00000	03/02/2006	1,378.90	2	SALE	1099-B
JABIL CIRCUIT INC	466313103	38.04000	52.00000	03/02/2006	1,978.01	2	SALE	1099-B
JOHNSON & JOHNSON	478160104	57.50000	49.00000	03/02/2006	2,817.41	2	SALE	1099-B
JOY GLOBAL INC (WIS)	481165108	58.50000	57.00000	03/02/2006	3,334.39	2	SALE	1099-B
JPMORGAN CHASE & CO	46625H100	41.63000	138.00000	03/02/2006	5,744.78	2	SALE	1099-B
JUNIPER NETWORK INC	48203R104	17.17110	54.00000	01/26/2006	927.21	2	SALE	1099-B
KAO CORP-SPONSORED ADR	485537302	276.52650	3.00000	02/02/2006	829.55	2	SALE	1099-B
KAO CORP-SPONSORED ADR	485537302	272.75000	6.00000	03/02/2006	1,636.44	2	SALE	1099-B
KIMBERLY-CLARK CORP	494368103	59.19000	15.00000	03/02/2006	887.82	2	SALE	1099-B
KINGFISHER PLC-SPONS	495724403	8.00000	335.00000	03/02/2006	2,679.91	2	SALE	1099-B
KONINKLIJKE PHILIPS	500472303	32.53000	63.00000	03/02/2006	2,049.32	2	SALE	1099-B
KOREA ELEC PWR CORP ADR	500631106	23.02000	70.00000	03/02/2006	1,611.35	2	SALE	1099-B
KRAFT FOODS INC CL A	50075N104	29.80000	69.00000	03/02/2006	2,056.13	2	SALE	1099-B
KROGER COMPANY COMMON	501044101	20.00000	355.00000	03/02/2006	7,099.78	2	SALE	1099-B
KT CORP SP ADR	48268K101	20.51000	89.00000	03/02/2006	1,825.33	2	SALE	1099-B
LEAR CORP	521865105	20.74000	25.00000	03/02/2006	518.48	2	SALE	1099-B
LEXMARK INTL GROUP INC	529771107	47.64000	70.00000	03/02/2006	3,334.69	2	SALE	1099-B
LLOYDS TSB GROUP PLC	539439109	38.98000	97.00000	03/02/2006	3,780.94	2	SALE	1099-B

THIS IS YOUR FORMS 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if the income is taxable and the IRS determines that it has not been reported.

YT115041 004357 041161832115 YNNNN NNNNN NNNNNN 000006

Sub / Branch / Rep / Account No
001 / Z0 / Z001 / [redacted]

Your Financial Advisor :
Z011 ACAT REP.

804-290-4000

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #:

As of Date: 2/09/07

HON CAROLYN MCCARTHY

Your Federal Identification Number:

Reportable Tax Information

1099-B Proceeds from Broker and Barter Exchange Transactions for 2006 *Continued*

OMB NO. 1545-0715

Description (Box 7)	Cusip (Box 1b)	Price	Quantity	Trade Date (Box 1a)	Amount	IRS Box	Transaction Description	Reported On
LOEWS CORPORATION	540424108	94.00000	9.00000	03/02/2006	845.97	2	SALE	1099-B
LSI LOGIC CORP	502161102	9.85000	157.00000	03/02/2006	1,546.40	2	SALE	1099-B
LUCENT TECHNOLOGIES INC	549463107	2.83000	1,720.00000	03/02/2006	4,867.45	2	SALE	1099-B
MARRIOTT INTL INC NEW	571903202	68.66000	27.00000	03/02/2006	1,853.76	2	SALE	1099-B
MARVELL TECHNOLOGY	G5876H105	64.95000	58.00000	03/02/2006	3,766.98	2	SALE	1099-B
MATTEL INC	577081102	16.72000	200.00000	03/02/2006	3,343.89	2	SALE	1099-B
MAXIM INTEGRATED PRODS	57772K101	39.75000	49.00000	03/02/2006	1,947.69	2	SALE	1099-B
MAYTAG CORP	578592107	16.76000	80.00000	03/02/2006	1,340.75	2	SALE	1099-B
MCGRAW HILL CO INC	580645109	50.00810	10.00000	01/25/2006	500.06	2	SALE	1099-B
MCGRAW HILL CO INC	580645109	54.00000	36.00000	03/02/2006	1,943.94	2	SALE	1099-B
MEDTRONIC INC	585055106	53.45000	57.00000	03/02/2006	3,046.55	2	SALE	1099-B
MEMC ELECTR MATLS INC	552715104	33.99000	105.00000	03/02/2006	3,568.84	2	SALE	1099-B
MERCK & CO INC	589331107	34.75000	196.00000	03/02/2006	6,810.79	2	SALE	1099-B
MICRON TECHNOLOGY INC	595112103	16.42000	70.00000	02/21/2006	1,149.36	2	SALE	1099-B
MICRON TECHNOLOGY INC	595112103	15.77000	185.00000	03/02/2006	2,917.36	2	SALE	1099-B
MICROSOFT CORP	594918104	26.99000	164.00000	03/02/2006	4,426.22	2	SALE	1099-B
MILLEA HOLDINGS INC-ADR	60032R106	99.85000	19.00000	03/02/2006	1,897.09	2	SALE	1099-B
MONSANTO CO NEW	61166W101	84.94000	12.00000	03/02/2006	1,019.24	2	SALE	1099-B
MOTOROLA INCORPORATED	620076109	23.91170	25.00000	01/06/2006	597.77	2	SALE	1099-B

THIS IS YOUR FORMS 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if the income is taxable and the IRS determines that it has not been reported.

4020

WACHOVIA SECURITIES

Sub / Branch / Rep / Account No
001 / Z0 / Z001 /

Your Financial Advisor :
Z011 ACAT REP

804-290-4000

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #:

As of Date: 2/09/07

HON CAROLYN MCCARTHY

Your Federal Identification Number:

Reportable Tax Information

1099-B Proceeds from Broker and Barter Exchange Transactions for 2006 *Continued*

OMB NO. 1545-0715

Description (Box 7)	Cusip (Box 1b)	Price	Quantity	Trade Date (Box 1e)	Amount	IRS Box	Transaction Description	Reported On
MOTOROLA INCORPORATED	620076109	22.01000	324.00000	03/02/2006	7,131.02	2	SALE	1099-B
NABORS INDUSTRIES LTD	G6359F103	67.38000	29.00000	03/02/2006	1,953.96	2	SALE	1099-B
NAT AUS BK LTD SPADR NEW	632525408	135.25000	20.00000	03/02/2006	2,704.91	2	SALE	1099-B
NATIONWIDE FINL SVCS INC	638612101	42.95000	55.00000	03/02/2006	2,362.17	2	SALE	1099-B
NESTLE S A REG ADR	641069406	72.95000	47.00000	03/02/2006	3,428.54	2	SALE	1099-B
NEWELL RUBBERMAID INC	651229106	24.75000	27.00000	03/02/2006	668.22	2	SALE	1099-B
NEWMONT MINING CORP	651639106	52.97000	33.00000	03/02/2006	1,747.95	2	SALE	1099-B
NIKE INC CLASS B	654106103	85.75000	11.00000	03/02/2006	943.22	2	SALE	1099-B
NINTENDO LTD ADR	654445303	18.05000	135.00000	03/02/2006	2,436.67	2	SALE	1099-B
NIPPON TELE & TELE CORP	654624105	22.05000	86.00000	03/02/2006	1,896.24	2	SALE	1099-B
NOKIA CORP SPONSORED ADR	654902204	18.88000	245.00000	03/02/2006	4,625.45	2	SALE	1099-B
NOMURA HOLDINGS INC	65535H208	18.95000	181.00000	03/02/2006	3,429.84	2	SALE	1099-B
NORTHROP GRUMMAN CORP	666807102	64.40000	52.00000	03/02/2006	3,348.69	2	SALE	1099-B
NOVARTIS AG	66987V109	53.70000	169.00000	03/02/2006	9,075.02	2	SALE	1099-B
NVIDIA CORP	67066G104	48.87000	104.00000	03/02/2006	5,082.32	2	SALE	1099-B
OCCIDENTAL PETE CORP	674599105	86.17030	20.00000	02/15/2006	1,723.35	2	SALE	1099-B
OFFICE DEPOT INC	676220106	35.95000	101.00000	03/02/2006	3,630.83	2	SALE	1099-B
PARKER HANNIFIN CORP	701094104	80.00000	22.00000	03/02/2006	1,759.94	2	SALE	1099-B
PAYCHEX INC	704326107	40.00000	111.00000	03/02/2006	4,439.86	2	SALE	1099-B

THIS IS YOUR FORMS 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if the income is taxable and the IRS determines that it has not been reported.

YT115041 004357 041161832115 YNNNN NNNNN NNNNNN 000007

Sub / Branch / Rep / Account No
001 / Z0 / Z001 /

Your Financial Advisor :
Z011 ACAT REP

804-290-4000

HON CAROLYN MCCARTHY

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #:

As of Date: 2/09/07

Your Federal Identification Number:

Reportable Tax Information

1099-B Proceeds from Broker and Barter Exchange Transactions for 2006 *Continued*

OMB NO. 1545-0715

Description (Box 7)	Cusip (Box 1b)	Price	Quantity	Trade Date (Box 1a)	Amount	IRS Box	Transaction Description	Reported On
PEPSICO INCORPORATED	713448108	59.00000	65.00000	03/02/2006	3,834.88	2	SALE	1099-B
PFIZER INCORPORATED	717081103	26.10000	346.00000	03/02/2006	9,030.32	2	SALE	1099-B
POLO RALPH LAUREN CORP	731572103	58.95000	38.00000	03/02/2006	2,240.03	2	SALE	1099-B
POTASH CORP OF SASK INC	73755L107	90.10660	5.00000	01/31/2006	450.51	2	SALE	1099-B
POTASH CORP OF SASK INC	73755L107	97.03000	20.00000	03/02/2006	1,940.54	2	SALE	1099-B
PRAXAIR INC	74005P104	54.55000	58.00000	03/02/2006	3,163.80	2	SALE	1099-B
PRECISION CASTPARTS CORP	740189105	54.25000	34.00000	03/02/2006	1,844.44	2	SALE	1099-B
PROCTER & GAMBLE CO	742718109	59.99000	108.00000	03/02/2006	6,478.72	2	SALE	1099-B
PROGRESS ENERGY INC	743263105	44.01000	19.00000	03/02/2006	836.16	2	SALE	1099-B
PULTE HOMES INC	745867101	38.33000	40.00000	03/02/2006	1,533.15	2	SALE	1099-B
QUALCOMM INC	747525103	47.66000	48.00000	03/02/2006	2,287.60	2	SALE	1099-B
RAYTHEON COMPANY	755111507	43.56000	30.00000	03/02/2006	1,306.75	2	SALE	1099-B
RED HAT INC	756577102	28.34000	125.00000	03/02/2006	3,542.39	2	SALE	1099-B
REED ELSEVIER PLC-SPONS	758205108	35.90000	85.00000	03/02/2006	3,051.40	2	SALE	1099-B
REPSOL YPF S.A.	76026T205	28.49000	69.00000	03/02/2006	1,965.74	2	SALE	1099-B
ROCHE HOLDINGS LTD ADR	771195104	74.50000	19.00000	03/02/2006	1,415.45	2	SALE	1099-B
ROYAL DUTCH SHELL ADR B	780259107	64.16000	23.00000	03/02/2006	1,475.63	2	SALE	1099-B
SAFEWAY INC NEW	786514208	24.58000	280.00000	03/02/2006	6,882.18	2	SALE	1099-B
SANDISK CORP	80004C101	61.23000	26.00000	03/02/2006	1,591.93	2	SALE	1099-B

THIS IS YOUR FORMS 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

The above is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if the income is taxable and the IRS determines that it has not been reported.

4022

WACHOVIA SECURITIES

Sub / Branch / Rep / Account No
001 / Z0 / Z001 /

Your Financial Advisor :
Z011 ACAT REP

804-290-4000

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #:

As of Date: 2/05/07

HON CAROLYN MCCARTHY

Your Federal Identification Number:

Reportable Tax Information

1099-B Proceeds from Broker and Barter Exchange Transactions for 2006 *Continued*

OMB NO. 1545-0715

Description (Box 7)	Cusip (Box 1b)	Price	Quantity	Trade Date (Box 1a)	Amount	IRS Box	Transaction Description	Reported On
SANMINA-SCI CORP	800907107	3.91000	580.00000	03/02/2006	2,267.73	2	SALE	1099-B
SCHERING PLOUGH CORP	806605101	20.50270	35.00000	01/10/2006	717.56	2	SALE	1099-B
SCHERING PLOUGH CORP	806605101	18.35000	342.00000	03/02/2006	6,275.50	2	SALE	1099-B
SCHLUMBERGER LTD	806857108	119.50000	23.00000	03/02/2006	2,748.41	2	SALE	1099-B
SCHWAB CHARLES CORP NEW	808513105	16.50000	217.00000	03/02/2006	3,580.39	2	SALE	1099-B
SEAGATE TECHNOLOGY	G7945J104	27.29000	87.00000	03/02/2006	2,374.15	2	SALE	1099-B
SOCIETE GEN FR SPON ADR	83364L109	28.20000	111.00000	03/02/2006	3,130.10	2	SALE	1099-B
SOLECTRON CORP	834182107	3.59000	620.00000	03/02/2006	2,225.73	2	SALE	1099-B
SONY CORP ADR NEW	835699307	46.15000	76.00000	03/02/2006	3,507.29	2	SALE	1099-B
SPRINT NEXTEL CORP	852061100	24.18830	10.00000	02/16/2006	241.87	2	SALE	1099-B
SPRINT NEXTEL CORP	852061100	24.52000	89.00000	03/02/2006	2,182.21	2	SALE	1099-B
STAPLES INC	855030102	25.08000	99.00000	03/02/2006	2,482.84	2	SALE	1099-B
STARBUCKS CORP	855244109	36.18000	68.00000	03/02/2006	2,460.16	2	SALE	1099-B
STATOIL ASA SPON ADR	85771P102	26.20000	87.00000	03/02/2006	2,279.33	2	SALE	1099-B
STORA ENSO OYJ -ADR	86210M106	14.26000	149.00000	03/02/2006	2,124.67	2	SALE	1099-B
SUN MICROSYSTEMS INC	866810104	4.57490	440.00000	01/09/2006	2,012.89	2	SALE	1099-B
SUN MICROSYSTEMS INC	866810104	4.60000	285.00000	02/01/2006	1,310.95	2	SALE	1099-B
SUN MICROSYSTEMS INC	866810104	4.27000	710.00000	03/02/2006	3,031.60	2	SALE	1099-B
SYMANTEC CORP	871503108	16.90000	76.00000	03/02/2006	1,284.36	2	SALE	1099-B

THIS IS YOUR FORMS 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

The above is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if the income is taxable and the IRS determines that it has not been reported.

YT115041 004357 041161832115 YNNNN NNNNN NNNNNN 000008

2006 FORMS 1099

Sub / Branch / Rep / Account No
001 / Z0 / Z001 /

Your Financial Advisor :
Z011 ACAT REP

804-290-4000

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #:

As of Date: 2/09/07

HON CAROLYN MCCARTHY

Your Federal Identification Number:

Reportable Tax Information

1099-B Proceeds from Broker and Barter Exchange Transactions for 2006 *Continued*

OMB NO. 1545-0715

Description (Box 7)	Cusip (Box 1b)	Price	Quantity	Trade Date (Box 1a)	Amount	IRS Box	Transaction Description	Reported On
SYNOPSYS CORP	871607107	22.08890	65.00000	02/10/2006	1,435.73	2	SALE	1099-B
SYSCO CORPORATION	871829107	29.81000	56.00000	03/02/2006	1,669.30	2	SALE	1099-B
T ROWE PRICE GROUP INC	74144T108	76.87000	24.00000	03/02/2006	1,844.82	2	SALE	1099-B
TAIWAN SEMICONDUCTOR MFG	874039100	10.69920	71.00000	02/01/2006	759.61	2	SALE	1099-B
TAIWAN SEMICONDUCTOR MFG	874039100	10.05000	96.00000	03/02/2006	964.77	2	SALE	1099-B
TARGET CORP	87612E106	54.37820	9.00000	01/06/2006	489.38	2	SALE	1099-B
TARGET CORP	87612E106	54.08000	43.00000	03/02/2006	2,325.36	2	SALE	1099-B
TELEFONOS DE MEX ADR REP	879403780	23.67960	54.00000	02/03/2006	1,278.66	2	SALE	1099-B
TELEFONOS DE MEX ADR REP	879403780	22.95000	62.00000	03/02/2006	1,422.85	2	SALE	1099-B
TELLABS INC	879664100	14.85000	160.00000	03/02/2006	2,375.92	2	SALE	1099-B
TENET HEALTHCARE CORP	88033G100	7.71000	350.00000	03/02/2006	2,698.41	2	SALE	1099-B
TEVA PHARMACEUTICAL ADR	881624209	43.38000	91.00000	03/02/2006	3,947.45	2	SALE	1099-B
THREE COM CORP	885535104	4.72000	305.00000	03/02/2006	1,439.55	2	SALE	1099-B
TNT NV-ADR	87260W101	31.76000	119.00000	03/02/2006	3,779.32	2	SALE	1099-B
TOTAL S.A. SPONS ADR	89151E109	126.64000	20.00000	03/02/2006	2,532.72	2	SALE	1099-B
TOYOTA MTR CORP ADR	892331307	107.01000	21.00000	03/02/2006	2,247.14	2	SALE	1099-B
TRIBUNE CO NEW	896047107	30.55000	28.00000	03/02/2006	855.37	2	SALE	1099-B
UNION PACIFIC CORP	907818108	89.20000	14.00000	03/02/2006	1,248.76	2	SALE	1099-B
UNISYS CORP	909214108	6.83000	595.00000	03/02/2006	4,063.72	2	SALE	1099-B

THIS IS YOUR FORMS 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if the income is taxable and the IRS determines that it has not been reported.

WACHOVIA SECURITIES

Sub / Branch / Rep / Account No
001 / Z0 / Z001 /

Your Financial Advisor :
Z011 ACAT REP

804-290-4000

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #:

As of Date: 2/28/07

HON CAROLYN MCCARTHY

Your Federal Identification Number:

Reportable Tax Information

1099-B Proceeds from Broker and Barter Exchange Transactions for 2006 *Continued*

OMB NO. 1545-0715

Description (Box 7)	Cusip (Box 1b)	Price	Quantity	Trade Date (Box 1a)	Amount	IRS Box	Transaction Description	Reported On
UNITED PARCEL SERVICE-B	911312106	75.07000	25.00000	03/02/2006	1,876.69	2	SALE	1099-B
UNITEDHEALTH GROUP	91324P102	58.06410	27.00000	02/17/2006	1,567.68	2	SALE	1099-B
UPM-KYMMENE CORP	915436109	21.25000	110.00000	03/02/2006	2,337.42	2	SALE	1099-B
UTSTARCOM INC	918076100	6.42000	160.00000	03/02/2006	1,027.16	2	SALE	1099-B
VALERO ENERGY CORP NEW	91913Y100	55.22000	62.00000	03/02/2006	3,423.53	2	SALE	1099-B
VERIZON COMMUNICATIONS	92343V104	34.20000	248.00000	03/02/2006	8,481.33	2	SALE	1099-B
VIACOM INC CL B	92553P201	40.43540	12.00000	02/24/2006	485.20	2	SALE	1099-B
VISTEON CORP	92839U107	4.70000	145.00000	03/02/2006	681.47	2	SALE	1099-B
VODAFONE GROUP SPONS ADR	92857W100	19.52000	170.00000	03/02/2006	3,318.29	2	SALE	1099-B
WACHOVIA CORP	929903102	55.37000	9.00000	03/02/2006	498.31	2	SALE	1099-B
WAL-MART STORES INC	931142103	45.01000	67.00000	03/02/2006	3,015.57	2	SALE	1099-B
WALGREEN COMPANY	931422109	44.57000	46.00000	03/02/2006	2,050.15	2	SALE	1099-B
WASTE MGMT INC DEL	94106L109	33.40000	40.00000	03/02/2006	1,335.95	2	SALE	1099-B
WEATHERFORD INTL LTD	G95089101	43.30000	94.00000	03/02/2006	4,070.07	2	SALE	1099-B
WELLPOINT INC	94973V107	76.85390	42.00000	01/18/2006	3,227.76	2	SALE	1099-B
WELLS FARGO COMPANY	949746101	64.42000	37.00000	03/02/2006	2,383.46	2	SALE	1099-B
WENDYS INTL INC	950590109	58.25000	32.00000	03/02/2006	1,863.94	2	SALE	1099-B
WYETH	983024100	49.80000	92.00000	03/02/2006	4,581.45	2	SALE	1099-B
XEROX CORP	984121103	14.77000	325.00000	03/02/2006	4,800.10	2	SALE	1099-B

THIS IS YOUR FORMS 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

The above is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if the income is taxable and the IRS determines that it has not been reported.

YT115041 004357 041161832115 YNNNN NNNNN NNNNNN 000009

4025

2006 FORMS 1099

Sub / Branch / Rep / Account No
001 / Z0 / Z001 /

Your Financial Advisor :
Z011 ACAT REP

804-290-4000

HON CAROLYN MCCARTHY

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #:

As of Date: 2/09/07

Your Federal Identification Number

Reportable Tax Information

1099-B Proceeds from Broker and Barter Exchange Transactions for 2006 *Continued*

OMB NO. 1545-0715

Description (Box 7)	Cusip (Box 1b)	Price	Quantity	Trade Date (Box 1a)	Amount	IRS Box	Transaction Description	Reported On
XL CAPITAL LTD	G98255105	68.45000	15.00000	03/02/2006	1,026.71	2	SALE	1099-B
XM SATELLITE RADIO	983759101	21.86000	60.00000	03/02/2006	1,311.55	2	SALE	1099-B
XTO ENERGY INC	98385X106	42.35000	34.00000	03/02/2006	1,439.85	2	SALE	1099-B
ZIMMER HOLDINGS INC	98956P102	69.03000	32.00000	03/02/2006	2,208.89	2	SALE	1099-B
ZURICH FINANCIAL SVCS	98982M107	23.60000	173.00000	03/02/2006	4,082.67	2	SALE	1099-B
TOTAL PROCEEDS FROM BROKER AND BARTER EXCHANGE					**687,707.60**	**2**		

THIS IS YOUR FORMS 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if the income is taxable and the IRS determines that it has not been reported.

WACHOVIA SECURITIES

Sub / Branch / Rep / Account No
001 / Z0 / Z001 /

Your Financial Advisor:
Z011 ACAT REP

804-290-4000

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #:

As of Date: 2/09/07

HON CAROLYN MCCARTHY

Federal Non-Reportable Information

The following is being provided for your information only and will NOT be furnished to the Internal Revenue Service.

This section lists miscellaneous information which can be helpful in the preparation of your tax return. It provides supplementary information that you may need to either include or consider for adjustments when completing your federal, state or local tax return. Please review this information with your tax advisor.

Purchase Activity Detail

Description	Cusip	Date	Activity	Quantity	Price	Amount
AMR CORP DEL	001765106	01/30/2006	PURCHASE	87.00000	21.56880	-1,876.49
AT & T INC	00206R102	01/23/2006	PURCHASE	15.00000	24.54670	-368.20
AUTOMATIC DATA PROCESSIN	053015103	02/10/2006	PURCHASE	5.00000	45.41330	-227.07
B J SERVICES CO	055482103	02/24/2006	PURCHASE	14.00000	33.86440	-474.10
CITRIX SYSTEMS INC	177376100	02/09/2006	PURCHASE	56.00000	31.34120	-1,755.11
DIAGEO PLC	25243Q205	02/13/2006	PURCHASE	15.00000	59.63820	-894.57
E TRADE FINANCIAL CORP	269246104	02/21/2006	PURCHASE	71.00000	24.33070	-1,727.48
ELECTRONIC DATA SYS	285661104	01/10/2006	PURCHASE	20.00000	24.56490	-491.30
ELECTRONIC DATA SYS	285661104	01/19/2006	PURCHASE	25.00000	24.43490	-610.87
ELECTRONIC DATA SYS	285661104	01/20/2006	PURCHASE	35.00000	24.47310	-856.56
ELECTRONIC DATA SYS	285661104	01/23/2006	PURCHASE	13.00000	24.28880	-315.75
GANNETT CO INC DEL	364730101	01/18/2006	PURCHASE	30.00000	64.26810	-1,928.04
GATEWAY INC	367626108	02/03/2006	PURCHASE	325.00000	2.40000	-780.00
GLAXOSMITHKLINE PLC-ADR	37733W105	02/24/2006	PURCHASE	5.00000	51.05240	-255.26
INTEL CORP	458140100	01/19/2006	PURCHASE	30.00000	22.40000	-672.00
INTEL CORP	458140100	02/07/2006	PURCHASE	115.00000	20.71600	-2,382.34
KINGFISHER PLC-SPONS	495724403	02/02/2006	PURCHASE	110.00000	8.66920	-953.61
LLOYDS TSB GROUP PLC	539439109	01/26/2006	PURCHASE	44.00000	36.74370	-1,616.72

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

YT115041 004357 041161832115 YNNNN NNNNN NNNNNN 000014

Your Financial Advisor :
Z011 ACAT REP

804-290-4000

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #:

As of Date: 2/09/07

HON CAROLYN MCCARTHY

Federal Non-Reportable Information

Purchase Activity Detail *Continued*

Description	Cusip	Date	Activity	Quantity	Price	Amount
MAXIM INTEGRATED PRODS	57772K101	01/06/2006	PURCHASE	11.00000	38.97000	-428.67
MEMC ELECTR MATLS INC	552715104	02/27/2006	PURCHASE	105.00000	33.66060	-3,534.36
PAYCHEX INC	704326107	02/24/2006	PURCHASE	34.00000	37.56990	-1,277.38
PROCTER & GAMBLE CO	742718109	01/23/2006	PURCHASE	15.00000	58.15420	-872.31
QUALCOMM INC	747525103	01/25/2006	PURCHASE	19.00000	47.69180	-906.14
QUALCOMM INC	747525103	01/31/2006	PURCHASE	19.00000	48.20000	-915.80
QUALCOMM INC	747525103	02/24/2006	PURCHASE	10.00000	47.02000	-470.20
RAYTHEON COMPANY	755111507	02/17/2006	PURCHASE	5.00000	43.12350	-215.62
RED HAT INC	756577102	02/09/2006	PURCHASE	54.00000	27.51340	-1,485.72
ROYAL DUTCH SHELL ADR B	780259107	01/10/2006	PURCHASE	5.00000	68.22470	-341.12
SANMINA-SCI CORP	800907107	02/17/2006	PURCHASE	580.00000	4.20000	-2,436.00
SCHERING PLOUGH CORP	806605101	02/22/2006	PURCHASE	55.00000	19.11000	-1,051.05
SUN MICROSYSTEMS INC	866810104	01/25/2006	PURCHASE	165.00000	4.31260	-711.58
SUN MICROSYSTEMS INC	866810104	02/27/2006	PURCHASE	10.00000	4.26000	-42.60
SYMANTEC CORP	871503108	01/06/2006	PURCHASE	76.00000	18.92510	-1,438.31
UPM-KYMMENE CORP	915436109	02/02/2006	PURCHASE	15.00000	20.78310	-311.75
UPM-KYMMENE CORP	915436109	02/08/2006	PURCHASE	13.00000	20.25900	-263.37
VODAFONE GROUP SPONS ADR	92857W100	02/01/2006	PURCHASE	30.00000	20.87760	-626.33
VODAFONE GROUP SPONS ADR	92857W100	02/03/2006	PURCHASE	18.00000	20.79760	-374.36
XL CAPITAL LTD	G98255105	02/14/2006	PURCHASE	15.00000	66.24410	-993.66

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

4028

1-8nn10o16.66h8.5v0s0b0T5.65c6e62f8.6H10LWL5230 HON CAROLYN MCCARTHY ACTIVITY PAGE 1
FOR THE PERIOD FROM: 01/01/06 THROUGH 12/31/06

DESCRIPTION		COST/ R-D VAL	PROCEEDS	ACCR INT/ GAIN/LOSS
PURCHASES				
AMERICAN EXPRESS CO		1,861		
AMERICAN INTL GROUP INC		2,021		
BANTA CORP	COM	1,535		
BECTON DICKINSON & CO		1,576		
BOEING CO		1,570		
COCA COLA CO		3,415		
CONAGRA INC		1,982		
CURTISS WRIGHT CORP	COM	1,630		
DONNELLEY R R & SONS CO		957		
DOW CHEMICAL CORP		1,902		
EMERSON ELEC CO		1,706		
GENERAL ELEC CO		2,379		
HARSCO CORP		1,668		
HEWLETT PACKARD CO		1,663		
HILTON HOTEL CORP		1,382		
JOHNSON & JOHNSON		1,812		
KELLWOOD		1,490		
KENNAMETAL INC		1,512		
KIMBERLY CLARK CORP		2,072		
LINCOLN NATL CORP IND		3,326		
LUBRIZOL CORP	COM	1,508		
MASCO CORP		1,927		
MATTEL INC		1,981		
MCDONALDS CORP		2,084		
MEDTRONIC INC		1,334		
MERCK & CO INC		3,967		
MOTOROLA INC		1,447		
NORTHERN TR CORP	COM	1,326		
OCCIDENTAL PETE CORP		1,878		
PEPSICO INC		2,078		
PFIZER INC		1,952		
PROCTER & GAMBLE COMPANY		1,765		
SCHLUMBERGER		1,830		
STANLEY WKS	COM	2,052		
UNITED TECHNOLOGIES CORP		2,075		
V F CORP		1,956		
VALMONT INDS INC	COM	1,578		
VULCAN MATERIALS CO		2,163		
WD 40 CO	COM	1,540		
WHIRLPOOL CORP		1,755		
ANHEUSER BUSCH COS INC		1,931		
PEOPLES ENERGY		1,445		
APPLE COMPUTER INC		1,793		
WEIS MKTS INC	COM	1,526		
CANON INC ADR		1,972		
TEVA PHARMACEUTICAL INDS	ADR	2,099		
TOYOTA MTR LTD	ADR NEW	1,619		
DIAGNOSTIC PRODS CORP COMMON		1,416		
LIMITED INC		1,976		
TIME WARNER INC		1,780		
AMGEN INC		1,461		
ALLTEL CORP		3,846		
SUSQUEHANNA BANCSHARES INC	COM	1,530		

Allens Global Investors does not guarantee the accuracy or completeness of the attached information. Please do not rely on any valuation or tax information included therein. Such information is only an estimate and may differ significantly from the account's official records maintained by the custodian of the account. Accordingly, valuation and tax information should be obtained only from the custodian. Information from any other source may not be correct. No information included herein should be construed as advice or a recommendation for the purchase or sale of any security.

NB 5230 HON CAROLYN MCCARTHY ACTIVITY PAGE 2
FOR THE PERIOD FROM: 01/01/06 THROUGH 12/31/06

DESCRIPTION		COST/ R-D VAL	PROCEEDS	ACCR INT/ GAIN/LOSS
CADBURY SCHWEPPES P L C	ADR 10 ORD	1,429		
DANAHER CORP	SHS BEN INT	2,254		
REGIONS FINANCIAL CORP (NEW)		3,944		
ENERGEN CORP	COM	1,525		
HOLLY CORP PO 3	HOLLY C	1,424		
MICROSOFT CORP		2,029		
E M C CORP MASS		1,589		
ANADARKO PETE CORP		2,010		
SAGE GROUP PLC	ADR	988		
A T & T INC (NEW)		1,916		
UNIVER CORP VA		1,456		
REYNOLDS AMERICAN INC		2,138		
FED HOME LN MTG CP-VTG COM-FORM SR PRT PFD		1,904		
ARCELOR SA	SPONSORED ADR	956		
LYONDELL PETROCHEMICAL		2,002		
CORNING INC	COM	1,798		
STAPLES INC		1,498		
SKF AG		1,501		
U S TREAS NTS 3.750000% 03/31/07		37,620		
CABOT OIL & GAS CORP	CL A	1,434		
CISCO SYS INC		2,668		
SHINSEI BK LTD	SPONSORED ADR	1,060		
TOTAL S A	SPONSORED ADR	1,295		
ST PAUL COS INC		2,076		
QUALCOMM INC		1,715		
IPSCO INC	COM	1,603		
NISSAN MTRS		958		
UGI CORP NEW	COM	1,506		
METHANEX CORP	COM	1,556		
BANCORPSOUTH INC	COM	1,578		
TESCO PLC	ADR SPONSORED	2,093		
NESTLE S A	SPON ADR REG	1,107		
ROCHE HLDG LTD	SPONSORED ADR	1,878		
SANDVIK AB	ADR	866		
SHIZUOKA BK LTD	ADR	967		
KEYCORP		3,918		
ST MARY LD & EXPL CO		1,631		
HEALTHCARE RLTY TR	COM	1,500		
ALLSTATE CORP		1,862		
DUKE RLTY INVTS INC	COM NEW	1,873		
CBL & ASSOC PPTYS INC	COM	1,497		
ADIDAS SALOMON AG	ADR	1,493		
OWENS & MINOR INC NEW	COM	1,598		
NOKIA CORP		1,021		
MITSUBISHI UFJ FINANCIAL GROUP INC		1,739		
WASHINGTON MUT INC		3,910		
U S TREAS BDS 10.625 08/15/15		25,990		
U S TREAS BDS 9.000000% 11/15/18		11,139		
GLAXO PLC		1,876		
WASHINGTON FED INC	COM	1,454		
LOCKHEED MARTIN CORP		1,514		
BWE AG		1,715		
ASM LITHOGRAPHY HOLDING N V ORD		1,781		
FREEPORT MCMORAN COPPER&GOLDCL B		1,886		

Allianz Global Investors does not guarantee the accuracy or completeness of the attached information. Please do not rely on any valuation or tax information included therein. Such information is only an estimate and may differ significantly from the account's official records maintained by the custodian of the account. Accordingly, valuation and tax information should be obtained only from the custodian. Information from any other source may not be correct. No information included herein should be construed as advice or a recommendation for the purchase or sale of any security.

12_10_71 fg

ME5230 HON CAROLYN MCCARTHY ACTIVITY PAGE 3
FOR THE PERIOD FROM: 01/01/06 THROUGH 12/31/06

DESCRIPTION		COST/ R-D VAL	PROCEEDS	ACCR INT/ GAIN/LOSS
PETRO-CDA	COM	937		
ENI S P A SPONSORED ADR		1,129		
DTE ENERGY CO	COM	1,865		
CANADIAN NATL RY CO	COM	1,191		
AXA SA	SPONSORED ADR	2,066		
DASSAULT SYS S A	SPONSORED ADR	1,396		
U S TREAS NTS 6.5% 10/15/06		21,193		
NOVARTIS AG - ADR		3,625		
CREDIT SUISSE GROUP	SPONS ADR	1,096		
U S TREAS TIPS 3.375% 01/15/07		21,482		
BOHLER UDDEHOLM AG	ADR SPONSORED	983		
MORGAN STANLEY DEAN WITTER DISC & CO.		1,851		
TAIWAN SEMICONDUCTOR MFG CO	ADR SPONSORED	1,011		
FRESH DEL MONTE PRODUCE INC	COM	1,556		
U S TREAS TIPS 3.625% 01/15/08		21,463		
MARRIOTT INTL INC NEW	CL A	1,719		
EQUITY ONE INC	COM	2,562		
FEDL NATL MTG ASN 6.000 05/15/08		14,287		
AMDOCS LTD	ORD	1,870		
SEMPRA ENERGY	COM	1,882		
LINCOLN ELEC HLDGS INC	COM	1,549		
EBAY		1,489		
FEDL HOME LN MTG 05.125 10/15/08		18,068		
BANK OF AMERICA		1,879		
YAHOO INC	COM	1,897		
KEYSPAN CORP	COM	2,041		
WIENERBERGER BAUSTOFFINDUSTR	ADR SPONSORED	510		
GENENTECH INC	COM NEW	1,274		
CEMEX S A	ADR SPSRD NEW	2,286		
EOG RESOURCES INC.		1,798		
UNITED PARCEL SVC INC	CL B	1,968		
HONEYWELL INTERNATIONAL INC		2,122		
TEEKAY SHIPPING CORP	COM	1,480		
TARGET CORP		1,611		
METLIFE INC	COM	1,966		
PETROCHINA CO LTD	SPONSORED ADR	2,088		
NTT DOCOMO INC		1,368		
UNITEDHEALTH GROUP INC		1,395		
VERIZON COMMUNICATIONS		1,914		
CANADIAN NAT RES LTD	COM	2,542		
BNP PARIBAS	SPON ADR 1/4 SH	1,826		
PETROLEO BRASILEIRO S.A.-ADR		3,873		
AMERUS GROUP COMPANY		1,525		
FIXED INCOME SHS	SER C PORTFOLIO	72,261		
FIXED INCOME SHS	SER M PORTFOLIO	72,493		
EXELON CORP		1,648		
FEDL NATL MTG 06.625 10/15/07		18,436		
SENSIENT TECHNOLOGIES CORP		1,507		
PROGRESS ENERGY		2,017		
J.P. MORGAN CHASE & CO		1,897		
SIEMENS AG SPONSORED ADR		1,808		
PEABODY ENERGY CORP	COM	1,946		
ACCENTURE LTD	SHS CL A	1,561		
FRONTLINE LTD	ORD	1,569		

Allianz Global Investors does not guarantee the accuracy or completeness of the attached information. Please do not rely on any valuation or tax information included therein. Such information is only an estimate and may differ significantly from the account's official records maintained by the custodian of the account. Accordingly, valuation and tax information should be obtained only from the custodian. Information from any other source may not be correct. No information included herein should be construed as advice or a recommendation for the purchase or sale of any security.

ML5230 HON CAROLYN MCCARTHY ACTIVITY PAGE 4
FOR THE PERIOD FROM: 01/01/06 THROUGH 12/31/06

DESCRIPTION		COST/ R-D VAL	PROCEEDS	ACCR INT/ GAIN/LOSS
DEUTSCHE BANK AKTIENGESELLSCORD		1,139		
CHEVRONTEXACO CORPORATION		2,016		
FORTIS NL NEW ADR SPONSORED		2,324		
NOMURA HLDGS INC	SPONSORED ADR	1,756		
MARATHON OIL CORP COM		3,873		
WYETH		1,993		
SLM CORPORATION COM		2,415		
COOPER INDUSTRIES LTD CL A COM		1,707		
U S TREAS TIPS 3.000% 07/15/12		23,170		
CONOCOPHILLIPS	COM	1,855		
SEAGATE TECHNOLOGY	SHS	1,952		
ALTRIA GROUP INC COM		1,824		
HONDA MTR LTD		467		
HONDA MTR LTD		536		
APPLE COMPUTER INC		315		
SHIZUOKA BK LTD	ADR	304		
LAFARGE COPPEE	SPONSORED ADR N	1,021		
MEDIASET S P A	SP ADR RP 3 SH	766		
AEON COMPANY LTD ADR		126		
IPSCO INC	COM	1,051		
3M COMPANY COM		1,623		
AEON COMPANY LTD ADR		617		
PFIZER INC		124		
PFIZER INC		999		
ELECTRONIC ARTS		1,426		
PFIZER INC		880		
ABBOTT LABS		1,489		
COMMERCIAL METALS (NEW)		278		
LINCOLN NATL CORP IND		575		
COMMERCIAL METALS (NEW)		572		
COMMERCIAL METALS (NEW)		690		
DONNELLEY R R & SONS CO		502		
CITIGROUP INC		1,509		
SEGA SAMMY HLDGS INC	SPON ADR REPSTG	564		
SEGA SAMMY HLDGS INC	SPON ADR REPSTG	504		
NII HLDGS INC	CL B NEW	1,659		
GENZYME CORP		1,476		
AUTOMATIC DATA PROCESSING		1,352		
DONNELLEY R R & SONS CO		504		
MICROSOFT CORP		1,372		
FISHER SCIENTIFIC INTL INC	COM NEW	1,467		
NATIONAL FUEL & GAS CO		171		
PHELPS DODGE CORP		1,245		
NATIONAL FUEL & GAS CO		175		
NATIONAL FUEL & GAS CO		711		
NATIONAL FUEL & GAS CO		398		
SUPERVALU INC	COM	592		
SUPERVALU INC	COM	293		
TELLABS INC		546		
CBS CORP NEW	CL B	267		
3 COMMUNICATIONS HLDGS INCCOM		1,545		
TELLABS INC		835		
CBS CORP NEW	CL B	670		
FIRST DATA CORP		1,366		

Although Global Investors does not guarantee the accuracy or completeness of the attached information. Please do not rely on any valuation or tax information included therein. Such information is only an estimate and may differ significantly from the account's official records maintained by the custodian of the account. Accordingly, valuation and tax information should be obtained only from the custodian. Information from any other source may not be correct. No information included herein should be construed as advice or a recommendation for the purchase or sale of any security.

ML5230 HON CAROLYN MCCARTHY ACTIVITY PAGE 5
FOR THE PERIOD FROM: 01/01/06 THROUGH 12/31/06

DESCRIPTION		COST/ R-D VAL	PROCEEDS	ACCR INT/ GAIN/LOSS
SUPERVALU INC	COM	147		
SUPERVALU INC	COM	295		
SUPERVALU INC	COM	590		
GENERAL MILLS INC		1,027		
BIOGEN IDEC INC		694		
GENERAL MILLS INC		504		
BIOGEN IDEC INC		707		
STAPLES INC		372		
CISCO SYS INC		299		
FIRST DATA CORP		464		
EOG RESOURCES INC.		310		
JOHNSON & JOHNSON		308		
PROCTER & GAMBLE COMPANY		556		
CEMEX S A	ADR SPSRD NEW	220		
CBS CORP NEW	CL B	397		
BOHLER UDDEHOLM AG	ADR SPONSORED	61		
CBS CORP NEW	CL B	663		
BOHLER UDDEHOLM AG	ADR SPONSORED	122		
COCA COLA CO		433		
GENERAL ELEC CO		499		
BOHLER UDDEHOLM AG	ADR SPONSORED	69		
L-3 COMMUNICATIONS HLDGS INCCOM		377		
MEDIASET S P A	SP ADR RP 3 SH	245		
FOERENINGSSPARBANKEN AB	SPONSORED ADR	744		
CITIGROUP INC.		487		
SAGE GROUP PLC	ADR	350		
BOHLER UDDEHOLM AG	ADR SPONSORED	238		
CVS CORP	COM	1,225		
CVS CORP	COM	159		
BAXTER INTL INC		1,326		
CAREMARK RX INC.		1,338		
YAHOO INC	COM	271		
WELLS FARGO NEW		1,447		
AEON COMPANY,LTD ADR		286		
MICROSOFT CORP		487		
SUN MICROSYSTEM INC	COM	988		
NSK LTD	ADR SPONSORED	791		
SUN MICROSYSTEM INC	COM	473		
WINDSTREAM CORP	COM	64		
RWE AG		627		
WINDSTREAM CORP	COM	254		
MEDIASET S P A	SP ADR RP 3 SH	409		
FOERENINGSSPARBANKEN AB	SPONSORED ADR	475		
LAFARGE COPPEE	SPONSORED ADR N	184		
WINDSTREAM CORP	COM	251		
NESTLE S A	SPON ADR REG	247		
BANK OF AMERICA		1,303		
AMERICAN FINL GROUP HLDGS INCOM		228		
SUN MICROSYSTEM INC	COM	573		
MICROSOFT CORP		494		
AMERICAN FINL GROUP HLDGS INCOM		461		
WINDSTREAM CORP	COM	129		
SANDVIK AB	ADR	444		
NSK LTD	ADR SPONSORED	164		

Although Merrill Lynch does not guarantee the accuracy or completeness of the attached information. Please do not rely on any valuation or tax information included herein. Such information is only an estimate and may differ significantly from the account's official records, maintained by the custodian of the account. Accordingly, valuation and tax ... may ... recomm... obtained only from the custodian. Information from any other source ... ted herein should be construed as advice or a

HON CAROLYN MCCARTHY ACTIVITY PAGE 6
FOR THE PERIOD FROM: 01/01/06 THROUGH 12/31/06

DESCRIPTION			COST/ R-D VAL	PROCEEDS	ACCR INT/ GAIN/LOSS
DRS TECHNOLOGIES INC	COM		202		
AMERICAN FINL GROUP HLDGS INCOM			232		
DRS TECHNOLOGIES INC	COM		556		
AMERICAN FINL GROUP HLDGS INCOM			604		
WINDSTREAM CORP	COM		513		
WINDSTREAM CORP	COM		383		
LADBROKES PLC	SPON ADR		974		
BT GROUP PLC	ADR		746		
I B M			1,219		
DEERE & CO.			1,158		
DRS TECHNOLOGIES INC	COM		422		
DRS TECHNOLOGIES INC	COM		333		
SAN PAOLO-HII S P A			427		
WAL MART STORES INC			1,860		
FEDERATED DEPARTMENT STORES NEW			1,457		
SAN PAOLO-HII S P A			545		
I B M			833		
GOOGLE INC	CL A		2,042		
CREDIT SUISSE GROUP	SPONS ADR		413		
SAN PAOLO-HII S P A			505		
AMERICAN EXPRESS CO			280		
APPLE COMPUTER INC			386		
GOOGLE INC	CL A		407		
LOCKHEED MARTIN CORP			429		
CANADIAN NAT RES LTD	COM		229		
AMDOCS LTD	ORD		204		
AMERICAN INTL GROUP INC			336		
UNITEDHEALTH GROUP INC			1,286		
FEDERATED DEPARTMENT STORES NEW			893		
PRUDENTIAL FINL INC	COM		776		
GENERAL ELEC CO			358		
VODAFONE GROUP INC			487		
MICROSOFT CORP			427		
AMDOCS LTD	ORD		400		
CANADIAN NAT RES LTD	COM		232		
PRUDENTIAL FINL INC	COM		1,558		
WINDSTREAM CORP	COM		620		
VODAFONE GROUP INC			266		
SAGE GROUP PLC	ADR		477		
U.S. TREAS TIPS 3.625% 01/15/08			20,239		
WINDSTREAM CORP	COM		273		
DEERE & CO.			857		
WACHOVIA GROUP COM			279		
WACHOVIA GROUP COM			839		
MONSANTO CO NEW	COM		1,532		
VODAFONE GROUP INC			358		
SEGA SAMMY HLDGS INC	SPON ADR REPSTG		381		
WACHOVIA GROUP COM			830		
HEINZ, H J COMPANY			211		
ALLTEL CORP			281		
HEINZ, H J COMPANY			862		
MONSANTO CO NEW	COM		469		
VODAFONE GROUP INC			566		
BNP PARIBAS	SPON ADR 1/4 SH		226		

Allianz Global Investors does not guarantee the accuracy or completeness of the attached information. Please do not rely on any valuation or tax information included therein. Such information is only an estimate and may differ significantly from the account's official records maintained by the custodian of the account. Accordingly, valuation and tax information should be obtained only from the custodian. Information from any other source may not be correct. No information included herein should be construed as advice or a recommendation for the purchase or sale of any security.

DESCRIPTION		COST/ R-D VAL	PROCEEDS	ACCR INT/ GAIN/LOSS
HEINZ, H J COMPANY		878		
GRUPO TELEVISA SA DE CV	GLBL DEP ORD	250		
GRUPO TELEVISA SA DE CV	GLBL DEP ORD	526		
ABBOTT LABS		233		
COLGATE PALMOLIVE CO		326		
PEPSICO INC		308		
BAXTER INTL INC		448		
PETROLEO BRASILEIRO S.A.-ADR		653		
COLGATE PALMOLIVE CO		990		
I B M		1,413		
DEUTSCHE BANK AKTIENGESELLSCORD		131		
NSK LTD	ADR SPONSORED	366		
TEXAS INSTRUMENTS INC		1,485		
DIAGEO PLC SPONSORED ADR		786		
I B M		477		
MEDTRONIC INC		539		
EXXON CORPORATION		1,968		
MEDTRONIC INC		814		
HONDA MTR LTD		258		
AEON COMPANY LTD ADR		150		
CEMEX S A	ADR SPSRD NEW	792		
IAMGOLD CORP	COM	177		
IAMGOLD CORP	COM	88		
PURCHASES TOTAL		777,061		
SALES				
CEMEX S A	ADR SPSRD NEW	523	505	-18
TOYOTA MTR LTD	ADR NEW	648	652	5
CEMEX S A	ADR SPSRD NEW	457	439	-18
TIME WARNER INC		1,526	1,515	-11
TIME WARNER INC		254	251	-4
TESCO PLC	ADR SPONSORED	273	261	-12
NISSAN MTRS		215	215	
TESCO PLC	ADR SPONSORED	364	343	-21
RWE AG		429	420	-9
NOKIA CORP		1,021	1,021	
IPSCO INC	COM	855	861	6
FREEPORT MCMORAN COPPER&GOLDCL B		1,078	1,327	249
VULCAN MATERIALS CO		433	441	8
IPSCO INC	COM	748	721	-27
UNITEDHEALTH GROUP INC		1,395	1,228	-167
MICROSOFT CORP		2,029	1,819	-210
FREEPORT MCMORAN COPPER&GOLDCL B		808	982	173
SHINSEI BK LTD	SPONSORED ADR	398	421	23
CISCO SYS INC		427	435	8
SHINSEI BK LTD	SPONSORED ADR	663	707	45
PETROLEO BRASILEIRO S.A.-ADR		344	417	73
VALMONT INDS INC	COM	197	282	85
SBAY		1,489	1,276	-213
VALMONT INDS INC	COM	868	1,238	370
AMGEN INC		1,461	1,352	-108
MOTOROLA INC		1,447	1,403	-44
HEWLETT PACKARD CO		1,663	1,615	-48

Allianz Global Investors does not guarantee the accuracy or completeness of the attached information. Please do not rely on any valuation or tax information included therein. Such information is only an estimate and may differ significantly from the account's official records maintained by the custodian of the account. Accordingly, valuation and tax information should be obtained only from the custodian. Information from any other source may not be correct. No information included herein should be construed as advice or a recommendation for the purchase or sale of any security.

HON CAROLYN MCCARTHY ACTIVITY PAGE 8
FOR THE PERIOD FROM: 01/01/06 THROUGH 12/31/06

DESCRIPTION		COST/ R-D VAL	PROCEEDS	ACCR INT/ GAIN/LOSS
GENERAL ELEC CO		680	686	6
DANAHER CORP	SHS BEN INT	644	629	-15
UNITED PARCEL SVC INC	CL B	787	797	10
HONEYWELL INTERNATIONAL INC		636	629	-8
COOPER INDUSTRIES LTD	CL A COM	427	439	12
PEABODY ENERGY CORP	COM	730	876	146
ARKEMA	SPON ADR	21		-21
LIMITED INC		247	271	24
LIMITED INC		864	958	94
TARGET CORP		1,611	1,426	-185
HILTON HOTEL CORP		754	806	52
PETROLEO BRASILEIRO S.A.-ADR		861	833	-28
ACCENTURE LTD	SHS CL A	312	271	-41
HILTON HOTEL CORP		628	651	22
PETROLEO BRASILEIRO S.A.-ADR		430	395	-36
ACCENTURE LTD	SHS CL A	1,249	1,069	-180
LIMITED INC		247	268	21
LIMITED INC		123	128	5
LIMITED INC		494	509	15
QUALCOMM INC		1,715	1,469	-246
ELECTRONIC ARTS		1,426	1,017	-409
NII HLDGS INC	CL B NEW	1,659	1,173	-487
VULCAN MATERIALS CO		433	368	-64
VULCAN MATERIALS CO		1,298	1,093	-205
TAIWAN SEMICONDUCTOR MFG CO	ADR SPONSORED	1		-1
APPLE COMPUTER INC		598	581	-17
TEVA PHARMACEUTICAL INDS	ADR	2,099	1,600	-499
WYETH		498	436	-63
WYETH		1,495	1,295	-200
WIENERBERGER BAUSTOFFINDUSTR	ADR SPONSORED	255	225	-30
ARCELOR SA	SPONSORED ADR	956	1,175	218
WIENERBERGER BAUSTOFFINDUSTR	ADR SPONSORED	51	45	-6
PETROLEO BRASILEIRO S.A.-ADR		688	657	-31
WIENERBERGER BAUSTOFFINDUSTR	ADR SPONSORED	204	179	-25
BOEING CO		1,570	1,601	31
HONEYWELL INTERNATIONAL INC		849	781	-68
HONEYWELL INTERNATIONAL INC		636	584	-52
TELLABS INC		1,381	1,087	-294
BOHLER UDDEHOLM AG	ADR SPONSORED	12		-12
MEDTRONIC INC		1,334	1,188	-145
COOPER INDUSTRIES LTD	CL A COM	1,280	1,291	10
VALSPAR INDS INC	COM	197	240	43
VALSPAR INDS INC	COM	316	384	68
UNITED PARCEL SVC INC	CL B	1,181	1,024	-157
DIAGNOSTIC PRODS CORP	COMMON	1,416	1,755	339
FIRST DATA CORP		1,829	1,651	-178
PETROLEO BRASILEIRO S.A.-ADR		258	272	14
TESCO PLC	ADR SPONSORED	801	890	89
CEMEX S A	ADR SPSRD NEW			
MITSUBISHI UFJ FINANCIAL GROUP INC		145	133	-11
NTT DOCOMO INC		76	72	-4
SYSCO CORP		960	775	-185
NTT DOCOMO INC		517	491	-26
MIDSTREAM CORP	COM			

Allianz Global Investors does not guarantee the accuracy or completeness of the attached information. Please do not rely on any valuation or tax information included therein. Such information is only an estimate and may differ significantly from the account's official records maintained by the custodian of the account. Accordingly, valuation and tax information should be obtained only from the custodian. Information from any other source may not be correct. No information included herein should be construed as advice or a recommendation for the purchase or sale of any security.

HON CAROLYN MCCARTHY ACTIVITY
FOR THE PERIOD FROM: 01/01/06 THROUGH 12/31/06

DESCRIPTION		COST/ R-D VAL	PROCEEDS	ACCR INT/ GAIN/LOSS
CEMEX S A	ADR SPSRD NEW	588	517	-71
AXA SA	SPONSORED ADR	344	359	14
FORTIS NL NEW ADR SPONSORED		179	180	1
ALLTEL CORP		523	541	18
FORTIS NL NEW ADR SPONSORED		429	436	7
CREDIT SUISSE GROUP	SPONS ADR	110	108	-2
BNP PARIBAS	SPON ADR 1/4 SH	320	361	41
CEMEX S A	ADR SPSRD NEW	163	140	-23
CEMEX S A	ADR SPSRD NEW	775	714	-62
TOTAL S A	SPONSORED ADR	1,274	1,355	80
ALLTEL CORP		785	830	46
ALLTEL CORP		262	274	12
AXA SA	SPONSORED ADR	379	394	15
SIEMENS AG SPONSORED ADR		271	251	-20
MERCK & CO INC		902	1,013	111
MERCK & CO INC		361	405	45
PROGRESS ENERGY		224	220	-4
PETROLEO BRASILEIRO S.A.-ADR		602	621	18
PROGRESS ENERGY		672	655	-28
PEABODY ENERGY CORP	COM	1,216	907	-309
CITIGROUP INC.		1,995	1,975	-20
GENERAL MILLS INC		513	524	10
NISSAN MTRS		742	700	-42
GENERAL MILLS INC		1,017	1,040	23
MEDIASET S P A	SP ADR RP 3 SH	1,420	1,290	-130
YAHOO INC	COM	474	379	-96
PROGRESS ENERGY		224	216	-9
YAHOO INC	COM	1,694	1,407	-287
PROGRESS ENERGY		224	217	-7
PROGRESS ENERGY		672	653	-19
SCHLUMBERGER		305	281	-24
CAREMARK RX INC.		1,338	1,360	22
CHARMS INC		313	363	50
MERCK & CO INC		902	1,040	139
PFIZER INC		390	424	34
PROCTER & GAMBLE COMPANY		588	623	35
V F CORP		559	744	185
WD 40 CO	COM	308	371	64
ENERGEN CORP	COM	339	418	80
REYNOLDS AMERICAN INC		534	600	65
LYONDELL PETROCHEMICAL		400	507	107
ST PAUL COS INC		415	473	58
U S TREAS BDS 9.000000% 11/15/18		1,392	1,393	1
MORGAN STANLEY DEAN WITTER DISC & CO.		308	365	56
AMERUS GROUP COMPANY		305	340	35
FIXED INCOME SHS	SER C PORTFOLIO	2,810	2,884	74
FIXED INCOME SHS	SER M PORTFOLIO	2,346	2,384	38
U S TREAS TIPS 3.000% 07/15/12		2,159	1,174	16
EOG RESOURCES INC.		360	310	-49
MARRIOTT INTL INC NEW	CL A	344	384	40
GENENTECH INC	COM NEW	1,274	1,237	-37
DANAHER CORP	SHS BEN INT	322	343	21
CVS CORP	COM	1,284	1,146	-138
SLB CORPORATION COM		537	506	-30

Allens Global Investors does not guarantee the accuracy or completeness of the attached information. Please do not rely on any valuation or tax information included therein. Such information is only an estimate and may differ significantly from the account's official records maintained by the custodian of the account. Accordingly, valuation and tax information should be obtained only from the custodian. Information from any other source may not be correct. No information included herein should be construed as advice or a recommendation for the purchase or sale of any security.

HON CAROLYN MCCARTHY ACTIVITY PAGE 10
FOR THE PERIOD FROM: 01/01/06 THROUGH 12/31/06

DESCRIPTION			COST/ R-D VAL	PROCEEDS	ACCR INT/ GAIN/LOSS
CANON INC ADR			219	274	55
PETRO-CDA	COM		234	199	-35
SLM CORPORATION COM			1,342	1,251	-91
CANON INC ADR			526	645	119
PETRO-CDA	COM		703	605	-97
FRESH DEL MONTE PRODUCE INC	COM		415	325	-90
METLIFE INC	COM		1,229	1,416	187
SLM CORPORATION COM			537	484	-53
U S TREAS NTS 6.5% 10/15/06			21,193	21,000	-193
FRESH DEL MONTE PRODUCE INC	COM		166	129	-37
METLIFE INC	COM		737	852	114
MERCK & CO INC			180	227	47
KEYCORP			187	189	3
SENSIENT TECHNOLOGIES CORP			89	115	26
KEYCORP			187	188	1
SENSIENT TECHNOLOGIES CORP			230	300	69
KEYCORP			560	560	
LOCKHEED MARTIN CORP			1,943	2,110	167
FRESH DEL MONTE PRODUCE INC	COM		104	81	-22
FRESH DEL MONTE PRODUCE INC	COM		104	82	-22
KEYCORP			187	185	-2
KEYCORP			746	736	-10
CANON INC ADR			438	531	92
CONAGRA INC			209	256	47
CADBURY SCHWEPPES P L C	ADR 10 ORD		613	601	-11
CONAGRA INC			626	763	137
CADBURY SCHWEPPES P L C	ADR 10 ORD		817	801	-16
FORTIS NL NEW	ADR SPONSORED		179	211	32
FORTIS NL NEW	ADR SPONSORED		179	209	30
FORTIS NL NEW	ADR SPONSORED		286	336	50
TAIWAN SEMICONDUCTOR MFG CO	ADR SPONSORED		280	298	17
AMERUS GROUP COMPANY			1,220	1,380	160
CONAGRA INC			313	377	64
PHELPS DODGE CORP			415	591	176
SIEMENS AG SPONSORED ADR			452	487	35
CONAGRA INC			313	378	65
CONAGRA INC			209	251	42
IDEARC INC	COM		19		-19
WAL MART STORES INC			1,860	1,851	-9
IDEARC INC	COM		51	56	5
3M COMPANY COM			1,623	1,599	-25
NOVARTIS AG - ADR			390	401	11
APPLE COMPUTER INC			299	440	141
RWE AG			343	467	124
GENERAL ELEC CO			1,190	1,233	44
FEDERATED DEPARTMENT STORES	NEW		833	791	-42
NTT DOCOMO INC			775	768	-7
GENZYME CORP			295	301	6
RWE AG			257	349	92
GENZYME CORP			1,181	1,235	55
CANADIAN NATL RY CO	COM		333	314	-19
FRESH DEL MONTE PRODUCE INC	COM		415	307	-108
FRESH DEL MONTE PRODUCE INC	COM		353	266	-87
ROCHE HLDG LTD	SPONSORED ADR		526	627	102

Alliance Global Investors does not guarantee the accuracy or completeness of the attached information. Please do not rely on any valuation or tax information included herein. Such information is only an estimate and may differ significantly from the account's official records maintained by the custodian of the account. Accordingly, valuation and tax information should be obtained only from the custodian. Information from any other source may not be correct. No information included herein should be construed as advice or a recommendation for the purchase or sale of any security.

HON CAROLYN MCCARTHY ACTIVITY PAGE 11
FOR THE PERIOD FROM: 01/01/06 THROUGH 12/31/06

DESCRIPTION	COST/ R-D VAL	PROCEEDS	ACCR INT/ GAIN/LOSS
DASSAULT SYS S A SPONSORED ADR	559	526	-32
ASM LITHOGRAPHY HOLDING N V ORD	1,088	1,342	253
ASM LITHOGRAPHY HOLDING N V ORD	693	846	153
AMERICAN FINL GROUP HLDGS INCOM	15		-15
SALES TOTAL	151,541	150,814	-727

Name Carolyn McCarthy	Page 1 of 1

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001 - $250,000	F $250,001 - $500,000	G $500,001 - $1,000,000	H $1,000,001 - $5,000,000	I $5,000,001 - $25,000,000	J $25,000,001 - $50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Hampton State Bank	Mortgage on Westhampton rental house			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example. Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
None		

Name Carolyn McCarthy	Page 1 of 1

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Harvard University, John F. Kennedy School of Government	Jan 11-14	NYC-Ft. Lauderdale, FL-NYC	Y	Y	N	None

4041

Name Carolyn McCarthy	Page 1 of 1

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	The Lab School of Washington
Honorary Board Member	New Yorkers Against Gun Violence

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO. 2007 33-427 (mac)

Use additional sheets if more space is required.

4042

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 5:02
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(Office Use Only)

Kevin Owen McCarthy (Full Name)

1523 Longworth House Office Building (Mailing Address) 202-225-2915 (Daytime Telephone)

Washington, DC 20515

Filer Status	☒ Member of the U.S. House of Representatives	State: CA District: 22	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5-15-07

4043

Name Kevin McCarthy	Page 2 of 7

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source		Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
State of California		legislative salary	$88,757
State of California		legislative per diem	$29,137

For payments to charity in lieu of honoraria, use Schedule II.

Name Kevin McCarthy | Page 3 of 7

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as Income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

				Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock					X								X										X							P
			Simon & Schuster			Indefinite																Royalties											
			1st Bank of Paducah, KY accounts						X									X								X							
	Growth Fund America Inc.						X										X			X					X							E
	John Hancock Tax Adv stock					X											X			X					X							
	PIA Mutual Fund OCM Gold Fund					X											X			X					X							
	Bank of Hapoalim NY			X														X							X							S
	LaSalle Funding			X														X							X							S
	Pimco Commodity			X													X			X						X							S

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Kevin McCarthy | Page 4 of 7

SP. DC. JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset												BLOCK C: Type of Income							BLOCK D: Amount of Income											BLOCK E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	CalPers SIP500	X													X			X						X							S
	Kern Schools Federal Credit Union			X													X					X										
	Rabobank		X														X					X										
	Golden One Credit Union				X												X						X									
	Karpe Mortgage			X													X						X									
	T. Rowe Price Mid Cap	X													X			X					X									E
	Savings Plus Sm. Cap	X													X			X					X									S
	Duke Energy Corp New Stock			X											X								X									
	Duquesne Light Holdings	X													X								X									S
	Intl Game Technology Stock				X										X								X									
	OGE Energy Corp Stock			X											X								X									
	TECO Energy Inc. Stock			X											X									X								
	Alliance Bernstein Growth and Income Fund				X										X			X				X										
DC	American Funds - Growth Funds			X											X			X					X									
DC	American Funds - Growth Funds			X											X			X					X									
	Alexander & Baldwin Inc. Stock			X											X							X										P
	Diageo PLC New Spn Adr Stock			X											X							X										P
	Calamos Investment Trust New Growth Fund			X														X					X									P
	New Economy Fund Class A			X										X							X											P
	New Perspective Fund Class A			X											X			X					X									P
	Washington Mutual Invs Fund Inc. Class A			X											X			X					X									P
	New World FD Inc. Class A	X												X							X											P, S

This page may be copied if more space is required.

4046

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Kevin McCarthy

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	India Fund Inc.	X												X							X										P, S
	SPP Lg Cap Mg Fd-Gr	X																X					X									E, S
	SPP Med Cap Mg Fd-Gr	X																X				X										E, S

This page may be copied if more space is required.

4047

SCHEDULE IV — TRANSACTIONS

Name Kevin McCarthy | Page 6 of 7

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction			Date	Amount of Transaction									
		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-06		X								
	Growth Fund America Inc. (along w/ T. Rowe Price, exchanged for SPP Lg and SPP Mcp)			X	10-11-06	X									
	Bank of Hapnolium NY		X		5-3-06	X									
	LaSalle Funding		X		5-3-06		X								
	Pimco Commodity		X		5-3-06		X								
	Cal Pers S&P 500 (exited at CA retirement)		X		12-15-06		X								
	T. Rowe Price Mid Cap (along w/ Growth Fund America portion, exchanged for SPP Lg and SPP Mcp)			X	10-11-06	X									
	Savings Plus Smr. Cap (exited at CA retirement)		X		12-15-06	X									
	Duquesne Light Holding		X		5-3-06	X									
	Alexander & Baldwin Inc. stock	X			5-8-06	X									
	Diageo PLC New Spn Adr stock	X			5-8-06	X									
	Calamos Investment Trust New Growth Fund	X			5-3-06	X									
	New Economy Fund Class A	X			5-8-06	X									
	New Perspective Fund Class A	X			10-18-06	X									
	Washington Mutual Invs Fund Inc. Class A	X			9-20-06	X									
	New World FD Inc New Class A	X			5-3-06	X									
	New World FD Inc New Class A		X		9-20-06	X									

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name Kevin McCarthy | Page 7 of 7

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction									
			PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset															
SP	*Example.*	Mega Corporation Common Stock	X			10–12–06		X								
	India Fund Inc.		X			5-8-06	X									
	India Fund Inc.			X		9-19-06	X									
	SPP Lg Cap Mg Fd-Gr (along w/ SPP Med Cap, exchanged w/portion of Growth Fund and T.Rowe Price)				X	10-11-06	X									
	SPP Lg Cap Mg Fd-Gr (ecshed out CA retirement)			X		12-15-06	X									
	SPP Med Cap Mg Fd-Gr (along w/SPP Lg Cap, exchanged w/portion of Growth Fund and T.Rowe Price)				X	10-11-06	X									
	SPP Med Cap Mg Fd-Gr (ecshed out CA retirement)			X		12-15-06	X									

This page may be copied if more space is required.

LEGISLATIVE RESOURCE CENTER

2007 MAY 15 PM 2:24

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

MC HAND DELIVERED (14)
(Office Use Only)

Michael T. McCaul
(Full Name)

2004 San Miguel
(Mailing Address)

512-342-0001
Daytime Telephone:

Austin, TX 78731

Filer Status	[X] Member of the U.S. House of Representatives	State: Texas District: 10	[ ] Officer or Employee	Employing Office:	
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:	

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4. § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Michael T. McCaul	5/11/07

4050

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: Michael T. McCaul

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in *"unearned" income during the year.* For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. *For an active business that is not publicly traded,* in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or *exchanges* (E) exceeding $1000 in reporting year.

			Block A	Block B	Block C	Block D	Block E
				A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock	E	NONE	IV	P
			Simon & Schuster	Indefinite	Other: Royalties	IX	
			1st Bank of Paducah, KY accounts	F	RENT	VI	
	SEE STATEMENT 1 ATTACHED						

For additional assets and unearned income, use next page.

SCHEDULE III - ASSETS AND "UNEARNED" INCOME
STATEMENT 1

BLOCK A		BLOCK B: Value of Asset												BLOCK C: Type of Income							BLOCK D: Amount of Income										BLOCK E
Asset and/or Income Source SP, DC, JT	Description	A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	None	Dividend	Rent	Interest	Capital Gains	Excepted Blind Trust	Other Type of Income (Specify)	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	Transaction
SP	ABBOTT LABORATORIES				X										X								X								
SP	ABRAXIS BIOSCIENCE INC (formerly American Pharmaceuticals)			X										X							X										
SP	AIR PRODUCTS & CHEMICALS INC				X										X								X								
SP	AIRGAS INC			X											X							X									
SP	ALLERGAN INC				X										X							X									P
SP	AMERICA MOVIL S A DE C V				X										X							X									P
SP	AMERICAN EXPRESS COMPANY				X										X								X								
SP	AMERIPRISE FINL INC			X											X							X									
SP	AMGEN INC				X													X							X						S (part)
SP	APOLLO GROUP INC-CL A	X																X			X										S
SP	APPLE COMPUTER INC				X									X							X										P
SP	AT&T INC			X										X							X										P
SP	BANK OF NEW YORK CO INC			X											X								X								
SP	BEAR STEARNS TEMPFUND					X									X		X								X						P
SP	BED BATH & BEYOND INC	X																X			X										S
SP	BP P L C SPONSORED ADR				X										X			X								X					S (part)
SP	BRITISH AIRWAYS PLC	X																X								X					S
SP	BROADCOM CORP				X									X							X										P
SP	C R BARD INC			X											X							X									
SP	CANADIAN NATIONAL RAILWAY				X										X								X								
SP	CANON INC-ADR NEW			X											X							X									
SP	CAPITAL ONE FINANCIAL CORP	X													X			X						X							S (part)
SP	CAREMARK RX INC	X													X			X								X					S
SP	CARNIVAL CORP COMMON	X													X			X							X						S (part)
SP	CHEESECAKE FACTORY INC	X																X			X										S
SP	CHEVRON CORPORATION				X										X								X								P
SP	CHICAGO MERCANTILE				X										X			X						X							S (part)
SP	CHUBB CORP				X										X			X								X					S (part)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME
STATEMENT 1

BLOCK A		BLOCK B: Value of Asset												BLOCK C: Type of Income								BLOCK D: Amount of Income											BLOCK E
SP, DC, JT	Asset and/or Income Source Description	A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	None	Dividend	Rent	Interest	Capital Gains	Excepted Blind Trust	Other Type of Income (Specify)		I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000		Transaction
SP	CITIGROUP INC				X										X										X								
~~DC~~	~~CLEAR CHANNEL COMMUNICATIONS~~									X					X												X						
SP	CLEAR CHANNEL COMMUNICATIONS										X				X			X												X			S (part)
SP	COMPUTER SCIENCES CORP				X									X				X						X									S
SP	CONOCOPHILLIPS				X										X									X									P
SP	COSTCO WHOLESALE CORP			X											X								X										
SP	CULLEN FROST BANKERS INC				X										X									X									
SP	DANAHER CORP			X											X								X										
SP	DEERE & CO	X													X			X							X								S (part)
SP	DELL INC			X														X				X											S
SP	DOW CHEMICAL CO	X													X			X							X								S (part)
SP	EATON CORP			X											X								X										
SP	EBAY INC				X									X				X				X											S (part)
SP	EMC CORP-MASS				X									X								X											
SP	EVEREST RE GROUP LTD	X													X			X								X							S (part)
SP	EXPRESS SCRIPTS INC COMMON	X																X									X						S
SP	EXXON MOBIL CORP	X													X			X									X						S (part)
SP	FEDEX CORP				X										X								X										
SP	FIRST DATA CORP			X											X								X										
SP	FORWARD HOOVER MINI-CAP				X										X										X								
SP	GENENTECH INC					X								X				X				X					X						S (part)
SP	GENERAL ELECTRIC CO					X									X										X								
SP	GENERAL MILLS INC				X										X									X									P
SP	GENZYME CORPORATION				X									X								X											P
SP	GILEAD SCIENCES INC			X										X								X											
SP	GOOGLE INC					X								X				X									X						S (part)
SP	HAEMONETICS CORP-MASS			X										X								X											P
SP	HARTFORD FINANCIAL SERVICES			X											X								X										
SP	HELMERICH & PAYNE INC				X										X								X										

SCHEDULE III - ASSETS AND "UNEARNED" INCOME
STATEMENT 1

BLOCK A		BLOCK B: Value of Asset											BLOCK C: Type of Income							BLOCK D: Amount of Income										BLOCK E
		A	B	C	D	E	F	G	H	I	J	K	L																
SP, DC, JT	Asset and/or Income Source Description	None	$1-$1,000	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	Over $50,000,000	None	Dividend	Rent	Interest	Capital Gains	Excepted Blind Trust	Other Type of Income (Specify)	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	Transaction
SP	HENRY JACK & ASSOCIATES INC	X													X			X				X								S (part)
SP	HENRY SCHEIN INC			X										X							X										P
SP	HEWLETT PACKARD CO					X									X								X								
SP	HONDA MOTOR CO LTD				X										X							X									
SP	HORMEL FOODS CORP			X											X							X									P
SP	ILLINOIS TOOL WORKS INC			X											X							X									
SP	INTEL CORP				X										X								X								
SP	INTERCONTINENTALEXCHANGE INC				X									X								X									P
SP	INTERNATIONAL PAPER CO				X										X								X								P
SP	INTUITIVE SURGICAL INC NEW				X									X								X									P
SP	IRON MOUNTAIN INC PA AVG			X										X								X									
SP	ISHARES TRUST (combined)					X									X									X							
SP	JOHNSON & JOHNSON					X									X									X							
SP	JPMORGAN CHASE & CO			X											X								X								
SP	LEHMAN BROTHERS HOLDINGS INC	X													X			X							X						S (part)
DC	LIVE NATION INC						X							X							X										
SP	LIVE NATION INC								X					X				X											X		S (part)
SP	LOWES COMPANIES INC				X										X								X								
SP	MARVEL ENTERTAINMENT INC			X										X							X										P
SP	MCGRAW HILL COMPANIES INC		X												X			X							X						S (part)
SP	MEDTRONIC INC	X													X			X				X									S (part)
SP	MICROSOFT CORP				X										X								X								
SP	MOODYS CORP				X										X							X									
SP	NORFOLK SOUTHERN CORP				X										X								X								P
SP	NOVARTIS AG			X											X							X									
SP	PATTERSON COMPANIES INC			X										X							X										
SP	PEPSICO INC					X									X									X							
SP	PFIZER INC	X													X			X					X								S (part)
SP	PROCTER & GAMBLE CO					X									X									X							

SCHEDULE III - ASSETS AND "UNEARNED" INCOME
STATEMENT 1

BLOCK A		BLOCK B: Value of Asset												BLOCK C: Type of income								BLOCK D: Amount of income											BLOCK E
Asset and/or Income Source SP, DC, JT	Description	A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	None	Dividend	Rent	Interest	Capital Gains	Excepted Blind Trust	Other Type of Income (Specify)	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	Transaction
SP	QUALCOMM INC				X										X								X									
SP	RED HAT INC	X																X					X									S
SP	RESERVE FUND PRIMARY	X												X							X											P
SP	SAN JUAN BASIN ROYALTY TR-UBI				X															Royalty				X								P
SP	SCHLUMBERGER LTD				X										X						X												P
SP	SECTOR SPDR TRUST				X										X								X									
SP	SELECT SECTOR SPDR AMEX				X										X									X								P
SP	SELECT SECTOR SPDR FUND			X											X								X									
SP	SKYWEST INC	X													X			X						X								S (part)
SP	STAPLES INC				X										X							X										
SP	STARBUCKS CORP					X								X				X						X								S (part)
SP	STMICROELECTRONICS N V				X									X							X											P
SP	STRYKER CORP				X										X							X										
SP	SYNOVUS FINANCIAL CORP				X										X								X									
SP	TARGET CORP	X													X			X				X										S (part)
SP	TEVA PHARMACEUTICAL				X										X								X									
SP	TEXAS INSTRUMENTS INC			X											X							X										
SP	TIME WARNER INC			X											X							X										
SP	UNITEDHEALTH GROUP INC	X													X			X							X							S (part)
SP	UNIVISION COMMUNICATIONS INC CL	X																X					X									S
SP	VANGUARD SECTOR INDEX FDS					X									X										X							
SP	VARIAN MEDICAL SYSTEMS INC				X									X							X											P
SP	WACHOVIA CORPORATION				X										X								X									
SP	WALGREEN CO				X										X							X										P
SP	WALT DISNEY CO HOLDING CO				X										X							X										P
SP	WESTERN UNION CO			X											X							X										
SP	WHOLE FOODS MARKET INC	X													X			X							X							S (part)
SP	WM WRIGLEY JR CO			X											X							X										
SP	WYETH				X										X								X									

SCHEDULE III - ASSETS AND "UNEARNED" INCOME
STATEMENT 1

BLOCK A		BLOCK B Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E
		A	B	C	D	E	F	G	H	I	J	K	L								I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
SP, DC, JT	Asset and/or Income Source Description	None	$1-$1,000	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,00	Over $50,000,000	None	Dividend	Rent	Interest	Capital Gains	Excepted Blind Trust	Other Type of Income (Specify)	None	$1-$200	$201-$1,000	$1,001-$2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$1,000,000	$1,000,001-$5,000,000	Over $5,000,000	Transaction
SP	YAHOO INC				X									X							X										
SP	ZIMMER HOLDINGS INC				X									X							X										
SP	222 BUILDING JOINT VENTURE-REAL ESTATE PARTNERSHIP SAN ANTONIO, TX						X													Partnership							X				
SP	BBR WATER COMPANY-WATER DISTRIBUTION COMPANY SAN ANTONIO, TX			X																Scorp	X										
SP	DOMESTIC CAP PARTNERS									X										Partnership									X		P
SP	LAGUNA WATER COMPANY-WATER DISTRIBUTION COMPANY SAN ANTONIO, TX							X												Scorp					X						
SP	MAYCHILD, LTD.-REAL ESTATE PARTNERSHIP SAN ANTONIO, TX									X										Partnership									X		
SP	PRIME TIME INC-NEWSPAPER PUBLISHING COMPANY SAN ANTONIO, TX								X											Scorp										X	S
SP	LINDA MAYS MCCAUL PARTNERS, LTD FAMILY LIMITED PARTNERSHIP SAN ANTONIO, TX																			Partnership											
SP	LINDA MAYS MCCAUL PARTNERS, LTD-CLEAR CHANNEL STOCK										X									Partnership									X		
SP	LINDA MAYS MCCAUL PARTNERS, LTD-LIVE NATION STOCK								X											Partnership	X										

4056

SCHEDULE III - ASSETS AND "UNEARNED" INCOME
STATEMENT 1

BLOCK A		BLOCK B: Value of Asset												BLOCK C: Type of Income							BLOCK D: Amount of Income											BLOCK E
SP, DC, JT	Asset and/or Income Source Description	A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,00	L Over $50,000,000	None	Dividend	Rent	Interest	Capital Gains	Excepted Blind Trust	Other Type of Income (Specify)	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	Transaction
	LINDA MAYS MCCAUL PARTNERS, LTD																														
DC	FAMILY LIMITED PARTNERSHIP SAN ANTONIO, TX																			Partnership											
DC	LINDA MAYS MCCAUL PARTNERS, LTD-CLEAR CHANNEL STOCK						X													Partnership						X					
DC	LINDA MAYS MCCAUL PARTNERS, LTD-LIVE NATION STOCK			X																Partnership	X										
SP	LLM PARTNERS, LTD-FAMILY LIMITED PARTNERSHIP SAN ANTONIO, TX																			Partnership											
SP	LLM PARTNERS, LTD-CLEAR CHANNEL STOCK				X															Partnership		X									
SP	LLM PARTNERS, LTD-LIVE NATION STOCK			X																Partnership	X										
SP	LLM PARTNERS, LTD-INVEST IN LLM FAMILY INVESTMENTS		X																	Partnership	X										
DC	LLM PARTNERS, LTD-FAMILY LIMITED PARTNERSHIP SAN ANTONIO, TX						X													Partnership											
DC	LLM PARTNERS, LTD-CLEAR CHANNEL STOCK						X													Partnership					X						
DC	LLM PARTNERS, LTD-LIVE NATION STOCK				X															Partnership	X										
DC	LLM PARTNERS, LTD-INVEST IN LLM FAMILY INVESTMENTS			X																Partnership	X										

SCHEDULE III - ASSETS AND "UNEARNED" INCOME
STATEMENT 1

BLOCK A		BLOCK B Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E
SP, DC, JT	Asset and/or Income Source Description	A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	None	Dividend	Rent	Interest	Capital Gains	Excepted Blind Trust	Other Type of Income (Specify)	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	Transaction
SP	RALPH MADDOX FAMILY TRUST-FAMILY TRUST SAN ANTONIO, TX																			Trust											
SP	ALTRIA GROUP INC				X										X								X								
SP	AMGEN INC						X							X							X										
SP	AT&T INC			X											X								X								
SP	BANK OF NEW YORK CO INC			X											X							X									
SP	CLEAR CHANNEL COMMUNICATIONS							X							X											X					
SP	COCA COLA CO				X										X								X								
SP	COMPUTER SCIENCES CORP			X													X				X										S
SP	CONOCOPHILLIPS			X											X							X									
SP	HONDA MOTOR CO LTD			X											X							X									P
SP	IDEARC INC		X											X							X										
SP	JPMORGAN CHASE & CO			X											X							X									
SP	LIVE NATION INC				X									X							X										
SP	MARVEL ENTERTAINMENT INC			X										X							X										P
SP	MEDCO HEALTH SOLUTIONS INC			X										X							X										
SP	MERCK & CO INC			X											X								X								
SP	PAYCHEX INC			X										X							X										P
SP	SAN JUAN BASIN ROYALTY TR			X																ROYALTY			X								P
SP	STMICROELECTRONICS N V NY			X										X							X										P
SP	SYNOVUS FINANCIAL CORP			X											X							X									
SP	VERIZON COMMUNICATIONS			X											X								X								
SP	WACHOVIA CORPORATION			X											X							X									
SP	WALGREEN CO			X											X							X									P
SP	WALT DISNEY CO			X										X							X										P
SP	AVAYA INC	X																X				X									S
SP	CBS CORP	X													X			X								X					S
SP	CHEESECAKE FACTORY INC	X																X			X										S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME
STATEMENT 1

BLOCK A		BLOCK B Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E
		A	B	C	D	E	F	G	H	I	J	K	L								I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
SP, DC, JT	Asset and/or Income Source Description	None	$1-$1,000	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	Over $50,000,000	None	Dividend	Rent	Interest	Capital Gains	Excepted Blind Trust	Other Type of Income (Specify)	None	$1-$200	$201-$1,000	$1,001-$2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$1,000,000	$1,000,001-$5,000,000	Over $5,000,000	Transaction
SP	VIACOM INC	X													X			X						X							S
SP	LLM FAMILY INVESTMENTS, LTD-FAMILY LIMITED PARTNERSHIP SAN ANTONIO, TX																			Partnership											
SP	LLM FAMILY INVESTMENTS, LTD-BRANDES MANAGED ACCOUNT						X													Partnership					X						
SP	LLM FAMILY INVESTMENTS, LTD-SANDS MANAGED ACCOUNT						X													Partnership	X										
SP	LLM FAMILY INVESTMENTS, LTD-EAGLE NY MANAGED ACCOUNT							X												Partnership					X						
SP	LLM FAMILY INVESTMENTS, LTD-PRIVATE CAPITAL MANAGED ACCOUNT						X													Partnership					X						

4059

SCHEDULE IV — TRANSACTIONS

Name Michael T. McCaul | Page 10 of 13

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.		Type of Transaction			Date	Amount of Transaction									
		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset														
SP	*Example:* Mega Corporation Common Stock	X			10–12–06		X								
	SEE SCHEDULE IV TRANSACTIONS ATTACHED														

This page may be copied if more space is required.

4060

SCHEDULE IV - TRANSACTIONS

SP, DC, JT	Asset	Type of Transaction: PURCHASE	Type of Transaction: SALE	Type of Transaction: EXCHANGE	Date	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	AMERICA MOVIL S A	X			8/16/2006	X									
SP	AMERICA MOVIL S A	X			4/28/2006		X								
SP	SCHLUMBERGER LTD	X			12/21/2006	X									
SP	SCHLUMBERGER LTD	X			4/28/2006		X								
SP	STMICROELECTRONICS	X			8/17/2006	X									
SP	ALLERGAN INC	X			8/16/2006	X									
SP	APPLE COMPUTER INC	X			9/18/2006	X									
SP	APPLE COMPUTER INC	X			5/17/2006		X								
SP	AT&T INC	X			10/20/2006	X									
SP	BROADCOM CORP	X			12/21/2006		X								
SP	BROADCOM CORP	X			11/17/2006		X								
SP	CHEVRON CORPORATION	X			4/26/2006	X									
SP	CONOCOPHILLIPS	X			5/26/2006	X									
SP	CONOCOPHILLIPS	X			1/31/2006	X									
SP	GENERAL MILLS INC	X			5/26/2006		X								
SP	GENZYME CORPORATION	X			1/12/2006	X									
SP	HAEMONETICS CORP-MASS	X			5/26/2006	X									
SP	HENRY SCHEIN INC	X			2/3/2006	X									
SP	HORMEL FOODS CORP	X			1/23/2006	X									
SP	INTERCONTINENTALEXCHANGE INC	X			7/13/2006	X									
SP	INTERCONTINENTALEXCHANGE INC	X			4/28/2006	X									
SP	INTERNATIONAL PAPER CO	X			7/18/2006		X								
SP	INTUITIVE SURGICAL INC	X			8/10/2006	X									
SP	MARVEL ENTERTAINMENT INC	X			1/6/2006	X									
SP	NORFOLK SOUTHERN CORP	X			6/9/2006		X								
SP	RESERVE FUND	X			9/29/2006	X									
SP	RESERVE FUND	X			9/13/2006		X								
SP	RESERVE FUND	X			7/14/2006	X									
SP	SAN JUAN BASIN ROYALTY TR	X			5/26/2006	X									
SP	SAN JUAN BASIN ROYALTY TR	X			4/18/2006		X								
SP	SELECT SECTOR SPDR AMEX	X			5/26/2006	X									

SCHEDULE IV - TRANSACTIONS

SP, DC, JT	Asset	Type of Transaction: PURCHASE	Type of Transaction: SALE	Type of Transaction: EXCHANGE	Date	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	VARIAN MEDICAL SYSTEMS INC	X			8/10/2006	X									
SP	VARIAN MEDICAL SYSTEMS INC	X			2/10/2006		X								
SP	WALGREEN CO	X			12/28/2006	X									
SP	WALT DISNEY CO	X			1/20/2006	X									
SP	BP P L C SPONSORED		X		4/10/2006		X								
SP	BRITISH AIRWAYS PLC		X		7/10/2006	X									
SP	BRITISH AIRWAYS PLC		X		5/10/2006	X									
SP	CARNIVAL CORP		X		7/27/2006	X									
SP	EVEREST RE GROUP LTD		X		3/7/2006	X									
SP	AMGEN INC		X		4/28/2006		X								
SP	APOLLO GROUP INC		X		11/13/2006	X									
SP	APOLLO GROUP INC		X		10/19/2006	X									
SP	APOLLO GROUP INC		X		8/10/2006	X									
SP	BED BATH & BEYOND INC		X		6/28/2006		X								
SP	CAPITAL ONE FINANCIAL CORP		X		4/19/2006		X								
SP	CAREMARK RX INC		X		5/26/2006	X									
SP	CHEESECAKE FACTORY INC		X		7/21/2006	X									
SP	CHICAGO MERCANTILE EXCHANGE		X		9/18/2006		X								
SP	CHUBB CORP		X		5/26/2006	X									
SP	COMPUTER SCIENCES CORP		X		10/11/2006	X									
SP	DEERE & CO		X		1/10/2006		X								
SP	DELL INC		X		4/19/2006	X									
SP	DELL INC		X		2/7/2006	X									
SP	DELL INC		X		4/10/2006		X								
SP	DOW CHEMICAL CO		X		4/10/2006	X									
SP	EBAY INC		X		8/16/2006		X								
SP	EXPRESS SCRIPTS INC		X		1/12/2006		X								
SP	EXXON MOBIL CORP		X		4/10/2006	X									
SP	GENENTECH INC COM NEW		X		12/21/2006	X									
SP	GENENTECH INC COM NEW		X		1/12/2006	X									
SP	GOOGLE INC CL A		X		12/19/2006	X									

SCHEDULE IV - TRANSACTIONS

SP, DC, JT	Asset	Type of Transaction: PURCHASE	Type of Transaction: SALE	Type of Transaction: EXCHANGE	Date	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	GOOGLE INC CL A		X		8/10/2006	X									
SP	HENRY JACK & ASSOCIATES INC		X		5/25/2006	X									
SP	LEHMAN BROTHERS HOLDINGS INC		X		3/10/2006		X								
SP	MCGRAW HILL COMPANIES INC		X		5/11/2006	X									
SP	MCGRAW HILL COMPANIES INC		X		5/8/2006	X									
SP	MEDTRONIC INC		X		2/7/2006	X									
SP	MEDTRONIC INC		X		8/16/2006		X								
SP	MEDTRONIC INC		X		5/17/2006	X									
SP	PFIZER INC		X		4/10/2006		X								
SP	RED HAT INC		X		10/26/2006	X									
SP	SKYWEST INC		X		1/10/2006	X									
SP	STARBUCKS CORP		X		12/21/2006	X									
SP	STARBUCKS CORP		X		5/17/2006	X									
SP	TARGET CORP		X		4/12/2006	X									
SP	UNITEDHEALTH GROUP INC		X		5/17/2006	X									
SP	UNIVISION COMMUNICATIONS INC		X		6/29/2006	X									
SP	WHOLE FOODS MARKET INC		X		11/17/2006	X									
SP	LIVE NATION INC		X		6/1/2006					X					
SP	CLEAR CHANNEL COMMUNICATIONS		X		6/30/2006							X			
SP	COMPUTER SCIENCES CORP		X		10/11/2006	X									
SP	MARVEL ENTERTAINMENT INC	X			3/22/2006	X									
SP	PAYCHEX INC	X			12/14/2006	X									
SP	STMICROELECTRONICS N V NY	X			8/18/2006	X									
SP	WALT DISNEY CO	X			3/22/2006	X									
SP	AVAYA INC		X		3/22/2006	X									
SP	CBS CORP		X		3/22/2006	X									
SP	CHEESECAKE FACTORY INC		X		7/21/2006	X									
SP	HONDA MOTOR CO LTD	X			3/22/2006	X									
SP	SAN JUAN BASIN ROYALTY TR	X			4/19/2006	X									
SP	VIACOM INC		X		3/22/2006	X									
SP	WALGREEN CO	X			11/8/2006	X									

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 4:15
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MC (Office Use Only)

Betty L. McCollum (Full Name)
1714 Longworth H.O.B. (Mailing Address)
Washington, DC 20515 Daytime Telephone 202 225-6624

Filer Status	☒ Member of the U.S. House of Representatives	State: Minnesota District: 4	☐ Officer or Employee	Employing Office.	
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:	

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☒	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Betty McCollum	May 14, 07

4064

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name B. McCollum | Page 2 of 6

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples*	SP	Mega Corp. Stock					X							X										X							P
			Simon & Schuster			Indefinite															Royalties									X			
			1st Bank of Paducah, KY accounts						X								X									X						
	MN State Deff. Comp. Plan																															
	Fidelity Div. Enter Fund				X										X			X					X								
	Janus Twenty Fund				X										X			X					X								
	T. Rowe Price Small Cap Fund				X										X			X					X								
	Vanguard Intn. Index Funds Plus				X										X			X					X								

For additional assets and unearned income, use next page.

4065

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name B McCollum | Page 3 of 6

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Alger Small: mid cap Growth A open End			X										X								/	X								7/31 P
	Goldman Sachs Growth Income Fd CL A open End			X										X									X								
	Goldman Sach Capital Growth FD CL A open End			X										X										X							
	Goldman Sachs Structured Small cap Equity FD CL A open End			X										X									X								
	John Hancock U.S. Global leaders Growth FD A open End		X											X									X								

This page may be copied if more space is required.

4066

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name B. McCollum | Page 4 of 6

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset												BLOCK C: Type of Income							BLOCK D: Amount of Income										BLOCK E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Ing Mid Cap Opportunity FD A open End			X										X										X							
	Oppenheimer Quest Opty Value FD A open end			X										X								X									
	Oppenheimer Quest Blended Fund A open End			X										X								X	X								
	Oppenheimer Money Market Fund Advisorite Primary LIQ FD			X																		X									
	Goldman Sachs Growth Opty FD A			X										X									X								Sold 7/31 S

This page may be copied if more space is required.

4067

SCHEDULE IV — TRANSACTIONS

Name B. MacCallum | Page 5 of 6

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date: (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–06		X								
	Alger Small : mid cap Growth A Open end	X			7-31-06	X									
	Goldman Sachs Growth opty FD A		X		7-31-06	X									

This page may be copied if more space is required.

4068

Name Betty McCollum | Page 6 of 6

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	CITI So. Dakota Credit Card		X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

4069

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2007 MAY 11 AM 10:18
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MC (Office Use Only)

THADDEUS	George	McCotter
	(Full Name)	
18430	GOLFVIEW	202-225-8171
	(Mailing Address)	Daytime Telephone
LIVONIA,	MICHIGAN	48152-2877

Filer Status: [X] Member of the U.S. House of Representatives — State Michigan District 11 — [ ] Officer or Employee — Employing Office:

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	T. McCotter	5/10/07

4070

2007

Name Thaddeus G McCotter | Page 2 of 9

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	U. S. House of Representatives	Salary	157454
	St. Mary's Mercy Hospital	Spouse Salary	7022

For payments to charity in lieu of honoraria, use Schedule II.

4071

2007

Name Thaddeus G. McCotter | Page 3 of 9

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Washington, DC	Speech	Feb. 2, 2006	$2,000
XYZ Magazine	Article	Aug. 13, 2006	$500
— NA —			-0-

This page may be copied if more space is required.

4072

2007

Name THADDEUS. McCOTTER | Page 4 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

				A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify; For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP		SP	Mega Corp. Stock					X								X																	P
DC	*Examples:*		Simon & Schuster			Indefinite																Royalties								X			
JT			1st Bank of Paducah, KY accounts						X									X									X						
JT	LA SALLE BANK					X												X						X									
JT	RVS CASH MANAGEMENT					X												X							X								
	DAIMLER CHRYSLER 15.0 sh					X											X							X									
SP	RVS IRA CASH MANAGEMENT					X																	NA										
SP	RVS IRA DIVERS BOND					X																	NA										
SP	RVS IRA MID CAP					X																	NA										

For additional assets and unearned income, use next page.

4073

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

2007

Name Thaddeus C. McCotter

SP DC JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P S E
SP	RVS IRA - Small Cap			X																	NA									
SP	RVS IRA Euro Equity			X																	NA									
DC	RVS ESA - Small Cap			X																	NA									
DC	RVS ESA Small Cap			X																	NA									
DC	RVS ESA Small Cap			X																	NA									
SP	RVS - VAR. Universal Life				X																NA									
SP	RVS Roth IRA - Int'l Select				X																NA									
	RVS IRA - Strat. Select Bal						X														NA									
	RVS Roth IRA - Mid Cap				X																NA									
DC	RVS - UGMA - Large Cap			X											X			X				X								
DC	RVS UGMA - Small Cap			X											X			X				X								
DC	RVS UGMA - Mid Cap				X													X							X					
DC	RVS - UGMA - Large Cap Value Dimens.			X											X			X				X								

This page may be copied if more space is required.

4074

SCHEDULE IV — TRANSACTIONS

2007

Name Thaddeus G. McCotter | Page 6 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction									
			PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset															
SP	*Example:*	Mega Corporation Common Stock	X			10-12-06		X								
JT	RVS	Cash Management		X		12-11-06	X									
JT	RVS	Cash Management		X	X	3-14-06	X									
	RVS-	Roth IRA - Mid Cap	X		X	3-14-06	X									
DC	RVS-	ESA - Small Cap Value	X			Monthly on 6th	X									
DC	RVS -	ESA Small Cap Value	X			Monthly on 6th	X									
DC	RVS-	ESA Small Cap Value	X			Monthly on 6th	X									
DC	RVS -	UGMA - New Dimension *		X	X											
DC	RVS -	UGMA - Large Cap *	X	X			X									

This page may be copied if more space is required.

* Name Change — (Old) New Dimension = Large Cap (New)

4075

SCHEDULE V — LIABILITIES

2007

Name Thaddeus G McCotter | Page 7 of 9

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
Example	*First Bank of Wilmington, Delaware*	*Mortgage on 123 Main St., Dover, Del*				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	*Silver Platter (determination on personal friendship received from Committee on Standards)*	*$325*
Georgia J. McCotter (mother)	Contribution To ESA FBO Emilia McCotter	2000 –
Georgia J. McCotter (mother)	Contribution To ESA FBO Timothy McCotter	2000 –
Georgia J. McCotter (mother)	Contribution To ESA FBO George McCotter	2000 –

Use additional sheets if more space is required.

4076

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
— None —						

This page may be copied if more space is required.

4077

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
None	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	

GPO: 2007 33-427 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(6) LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 12:55
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

James Otis McCrery, III
(Full Name)

242 Cannon H.O.B. Washington D.C. 20515 (202) 225-2777
(Mailing Address) Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: LA District: 04	☐ Officer or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☑	No ☐	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☑
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☑	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☑	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	James Otis McCrery, III	5/15/2007

4079

Name James Otis McCrery, III	Page 2 of 6

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more dunng the preceding calendar year. For a spouse, list the source and amount of any honorana; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples.	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Ketchum, Inc.	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

4080

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Washington, DC	Speech	Feb. 2, 2006	$2,000
XYZ Magazine	Article	Aug. 13, 2006	$500
American Council for Capital Formation, Wash, D.C.	Speech	Dec. 12, 2006	$2,000

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name James Otis McCrory | Page 4 of 6

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

			Block A	Block B											Block C							Block D										Block E
				A	B	C	D	E	F	G	H	I	J	K	L																		
				None	$1 – $1,000	$1,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $250,000	$250,001 – $500,000	$500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	$25,000,001 – $50,000,000	Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	P, S, E
SP	*Examples:*	SP	Mega Corp. Stock					X								X									X								P
DC			Simon & Schuster			Indefinite																Royalties											
JT			1st Bank of Paducah, KY accounts						X									X									X						
	Am South Prime Money Market					X													X				X										
	Euro Pacific Growth Fund					X										X				X			X										
	Federated Government Income				X											X				X			X										
	Fidelity Contra Fund					X										X				X			X										
	Fidelity Equity Income Fund					X										X				X			X										
	Fidelity Growth & Income Fund					X										X				X			X										

For additional assets and unearned income, use next page.

4082

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name James Otis McCrery, III | Page 5 of 6

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Fidelity Blue Chip Growth Fund			X																											
	Fidelity Select Biotech Fund			X																											
	Nasdaq 100 Trust Unit			X																											
	Putnam International			X																											
	Land, Rapides Parish, LA (undivided) 1/6 interest in 62 acres				X																										
	Land, DeSoto Parish, LA {undivided interest in 160 acres}			X																											
	Fidelity Advisor 529				X																										
	Davis New York Venture		X																												
	Pioneer Oak Ridge Large Cap		X																												

This page may be copied if more space is required.

4083

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342), political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Foursquare Conference (Quadrangle Group LLC)	Nov. 8-9	Shreveport, LA, - New York, NY - Wash., D.C.	Y	N	N	Non-

This page may be copied if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2007 MAY 11 PM 12:33

(Office Use Only)

James A. McDermott (Full Name)	
1035 Longworth Building Washington, DC 20515 (Mailing Address)	202-225-3106 (Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives	State: WA District: 07	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☑ No ☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	James McDermott	11 May 07

4085

SCHEDULE I - EARNED INCOME

Name James A. McDermott

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Soha Lang	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James A. McDermott

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Carlyle Real Estate L.P. XIII	$1,001 - $15,000	None	NONE	
SP	King County Credit Union	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	Smith Barney Citigroup (See Attached)	$250,001 - $500,000	DIVIDENDS/INTEREST	$5,001 - $15,000	
SP	Soha & Lang, P.S. 401 (K) Retirement Plan	$100,001 - $250,000	INTEREST	N/A	
SP	SSB IRA Custodian (See Attached) (Transferred to Vanguard Account)	$1 - $1,000	DIVIDENDS/INTEREST	$1 - $200	
	State of Washington Deferred Compensation Program	$15,001 - $50,000	DIVIDENDS/INTEREST	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James A. McDermott

	State of Washington Retirement Account	$15,001 - $50,000	INTEREST	$2,501 - $5,000
	Stone & Youngberg (See Attached)	$100,001 - $250,000	DIVIDENDS/INTEREST	$5,001 - $15,000
	The Hartford Life Companies (formerly Fidelity Bankers Life Annunity)	$15,001 - $50,000	INTEREST	$201 - $1,000
SP	The Vanguard Group (See Attached) (Includes Rollover from SSB IRA Custodian)	$50,001 - $100,000	DIVIDENDS/INTEREST	$201 - $1,000
	TIAA/CREF	$1,001 - $15,000	INTEREST	$201 - $1,000

SCHEDULE IV - TRANSACTIONS

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Smith Barney Citigroup (See Attached)	P	1/1-12/31/06	$50,001 - $100,000
	Stone & Youngberg (See Attached)	P	1/1-12/31/06	$15,001 - $50,000
SP	The Vanguard Group (See Attached) (Includes Rollover from SSB IRA Custodian)	P	1/1-12/31/06	$15,001 - $50,000
	Smith Barney Citigroup (See Attached)	S	1/1-12/31/06	$15,001 - $50,000
SP	SSB IRA Custodian (See Attached)	S	1/6/06	$691.64

SCHEDULE VI - GIFTS

Name James A. McDermott

Report the source, a brief description, and the value of all gifts totaling more than $305 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Joshua Mailman 111 W 40th St 20th Flr New York, NY 10018	Donation to The Jim McDermott Legal Expense Trust	$5,000
Albert Radcliff 1415 2nd Avenue Seattle, WA 98101	Donation to The Jim McDermott Legal Expense Trust	$5,000
~~Ben Cohen~~ 191 Bank St. Burlington, VT 05401	Donation to The Jim McDermott Legal Expense Trust	$5,000
DRIVE PAC for the Int'l Brotherhood of Teamsters 25 Louisana Ave NW Washington, DC 20001	Donation to The Jim McDermott Legal Expense Trust	$5,000
Matt Bergman 27514 97th Ave SW Vashon, WA 98070	Donation to The Jim McDermott Legal Expense Trust	$5,000
J. Thomas Wood 4712 NE Shore Pl Lake Forest Park, WA 98155-5640	Donation to The Jim McDermott Legal Expense Trust	$5,000
Kenneth Lerer 75 Rockefeller Plaza Ste 900 New York, NY 10019	Donation to The Jim McDermott Legal Expense Trust	$5,000
Machinists Non-partisan Political League 9000 Machinists Pl, Ste 211 Upper Marlboro, MD 20772-2675	Donation to The Jim McDermott Legal Expense Trust	$5,000
James Sinegal 999 Lake Dr Ste 200 Issaquah□WA□98027-8982	Donation to The Jim McDermott Legal Expense Trust	$5,000

SCHEDULE VI - GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $305 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
April Williamson 13703 39th Ave NE Seattle□WA□98125	Donation to The Jim McDermott Legal Expense Trust	$3,000
Judy Pigott 1718 Palm Ave SW Seattle□WA□98116	Donation to The Jim McDermott Legal Expense Trust	$3,000
Washington Federation of State Employees 1212 Jefferson St. SE, Ste. 300 Olympia□WA□98501	Donation to The Jim McDermott Legal Expense Trust	$2,500
Paul Brainerd 2000 First Ave., #2504 Seattle□WA□98121	Donation to The Jim McDermott Legal Expense Trust	$2,500
John Harding 2159 38th Ave E Seattle□WA□98112-2412	Donation to The Jim McDermott Legal Expense Trust	$2,500
Mark Bloome 4751 W Ruffner St Seattle□WA□98199-1829	Donation to The Jim McDermott Legal Expense Trust	$2,500
Sharon Bloome 4751 W Ruffner St Seattle□WA□98199-1829	Donation to The Jim McDermott Legal Expense Trust	$2,500
Emma Amiad PO Box 876 Vashon□WA□98070-0876	Donation to The Jim McDermott Legal Expense Trust	$2,500
Mark Oberle 2006 23rd Ave E Seattle□WA□98112	Donation to The Jim McDermott Legal Expense Trust	$2,000

SCHEDULE VI - GIFTS

Name James A. McDermott

Report the source, a brief description, and the value of all gifts totaling more than $305 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Henry Tenckhoff 4528 E Laurel Dr NE Seattle□WA□98105-3839	Donation to The Jim McDermott Legal Expense Trust	$2,000
Dina Johnson Citizen Artiste 9002 13th Avenue SW Seattle□WA□98146	Donation to The Jim McDermott Legal Expense Trust	$1,500
Gordon Younger 1000 SW 43rd St Renton□WA□98055-4832	Donation to The Jim McDermott Legal Expense Trust	$1,000
Gordon Younger 1000 SW 43rd St Renton□WA□98057-4832	Donation to The Jim McDermott Legal Expense Trust	$1,000
Jonathan Mark 1154 Federal Ave E Seattle□WA□98102-4315	Donation to The Jim McDermott Legal Expense Trust	$1,000
Joy McNichols 121 Vine St Seattle□WA□98121-1454	Donation to The Jim McDermott Legal Expense Trust	$1,000
Joy McNichols 121 Vine St Seattle□WA□98121-1454	Donation to The Jim McDermott Legal Expense Trust	$1,000
Richard Rapport 141 39th Ave E Seattle□WA□98112-5016	Donation to The Jim McDermott Legal Expense Trust	$1,000
Andrew Ury 2033 6th Ave #850 Seattle□WA□98121	Donation to The Jim McDermott Legal Expense Trust	$1,000

SCHEDULE VI - GIFTS

Name James A. McDermott

Report the source, a brief description, and the value of all gifts totaling more than $305 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
George Prince 2740 76th Ave SE #502 Mercer Island□WA□98040-2756	Donation to The Jim McDermott Legal Expense Trust	$1,000
Washington State Labor Council 314 1st Ave W Seattle□WA□98119-4104	Donation to The Jim McDermott Legal Expense Trust	$1,000
Dr. Andrew Borland 3146 Portage Bay Pl E Houseboat E Seattle□WA□98102-3878	Donation to The Jim McDermott Legal Expense Trust	$1,000
Paul Names 33008 Military Rd S Auburn□WA□98001	Donation to The Jim McDermott Legal Expense Trust	$1,000
Ellen Punyon 5425 57th Ave S Seattle□WA□98118-2518	Donation to The Jim McDermott Legal Expense Trust	$1,000
David Kaplan 610 W Prospect St Seattle□WA□98119	Donation to The Jim McDermott Legal Expense Trust	$1,000
Elaine Nonneman 8023 California Ave SW Seattle□WA□98136-2317	Donation to The Jim McDermott Legal Expense Trust	$1,000
Rolland O'Hare 8162 E Jefferson #17A Detroit□MI□48214-2611	Donation to The Jim McDermott Legal Expense Trust	$1,000
Evelyn Daly 8545 NE 13th St Clyde Hill□WA□98004-3324	Donation to The Jim McDermott Legal Expense Trust	$1,000

SCHEDULE VI - GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $305 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Gerald Tarutis 9750 3rd Ave NE, #375 Seattle□WA□98115	Donation to The Jim McDermott Legal Expense Trust	$1,000
Bob Parker 829 16th Ave Seattle□WA□98122	Donation to The Jim McDermott Legal Expense Trust	$910.50
John Sinno 3131 Western Ave Ste 514 Seattle□WA□98121	Donation to The Jim McDermott Legal Expense Trust	$735
Robert Rausch PO Box 85447 Seattle□WA□98145-1447	Donation to The Jim McDermott Legal Expense Trust	$700
Hope Morrissett 1033 5th St Boulder□CO□80302	Donation to The Jim McDermott Legal Expense Trust	$500
Bruce Hulse 1033 Shelton Ave NE Renton□WA□98056-3546	Donation to The Jim McDermott Legal Expense Trust	$500
Peter Simkin 1100 23rd Ave E Seattle□WA□98112-3521	Donation to The Jim McDermott Legal Expense Trust	$500
Cynthia Colin 1100 Park Ave #18-C New York□NY□10128-1202	*Donation to The Jim McDermott Legal Expense Trust*	*$500*
Evelyn Chumbley 11804 Marine Dr Edmonds□WA□98026	Donation to The Jim McDermott Legal Expense Trust	$500

SCHEDULE VI - GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $305 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Richard Tompkins 15407 14th Dr SE Mill Creek□WA□98012-8467	Donation to The Jim McDermott Legal Expense Trust	$500
Robert Day 1872 E Hamlin St Seattle□WA□98112-2006	Donation to The Jim McDermott Legal Expense Trust	$500
Alan Weiner 2303 22nd Ave E Seattle□WA□98112-2604	Donation to The Jim McDermott Legal Expense Trust	$500
Jacqueline MacRae 2330 43rd Ave E Apt 400 Seattle□WA□98112-2794	Donation to The Jim McDermott Legal Expense Trust	$500
George Heidorn 27750 94th Ave SW Vashon□WA□98070	Donation to The Jim McDermott Legal Expense Trust	$500
George Heidorn 27750 94th Ave SW Vashon□WA□98070	Donation to The Jim McDermott Legal Expense Trust	$500
Andrew Borland 3146 Portage Pay Pl E - Houseboat E Seattle□WA□98102	Donation to The Jim McDermott Legal Expense Trust	$500
Blair Butterworth 325 W Kinnear Pl Seattle□WA□98119	Donation to The Jim McDermott Legal Expense Trust	$500
Paul Names 33008 Military Rd S Auburn□WA□98001	Donation to The Jim McDermott Legal Expense Trust	$500

SCHEDULE VI - GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $305 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Jonathan Schorsch 350 Fifth Ave New York□NY□10118	Donation to The Jim McDermott Legal Expense Trust	$500
Thomas Lucas 3562 W Howe St Seattle□WA□98199-3919	Donation to The Jim McDermott Legal Expense Trust	$500
Thomas Lucas 3562 W Howe St Seattle□WA□98199-3919	Donation to The Jim McDermott Legal Expense Trust	$500
Stuart Anderson 4719 Cornell Downers Grove□IL□60515	Donation to The Jim McDermott Legal Expense Trust	$500
David Bradlee 5063 Harold Pl NE Seattle□WA□98105	Donation to The Jim McDermott Legal Expense Trust	$500
Patrick O'Hara 515 N 64th St Seattle□WA□98103	Donation to The Jim McDermott Legal Expense Trust	$500
Mildred Soriano 5529 SW Campbell Pl Seattle□WA□98116-2231	Donation to The Jim McDermott Legal Expense Trust	$500
Tobey Rodriguez 710 Crockett St #4 Seattle□WA□98109	Donation to The Jim McDermott Legal Expense Trust	$500
Carl Haefling 9615 NE Watch Hill Dr Bainbridge Island□WA□98110	Donation to The Jim McDermott Legal Expense Trust	$500

SCHEDULE VI - GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $305 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Checkers Supercargoes ILWU #52 2414 SW Andoer St, Ste F-100 Seattle□WA□98106	Donation to The Jim McDermott Legal Expense Trust	$402.62
Sharon Bertsch 5233 Pullman Ave NE Seattle□WA□98105	Donation to The Jim McDermott Legal Expense Trust	$400
John Kucher 3425 E Denny Way Seattle□WA□98122	Donation to The Jim McDermott Legal Expense Trust	$350
Philip D Hansten 101 Merridith Port Ludlow□WA□98365	Donation to The Jim McDermott Legal Expense Trust	$312.94
Jack Burg PO Box 4005 Seattle□WA□98194	Donation to The Jim McDermott Legal Expense Trust	$310

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
International Management & Development Inst	1/13-1/18/06	SEA-IAD-FRA-ALA-FRA-SEA	Y	Y	N	None
The John Fetzer Institute, Faith and Politics Institute Retreat	1/20-1/22/06	SEA-SBA-SEA	Y	Y	N	None
Information Management Network's 4th Native Am Finance Conference, Keynote Spkr	3/13-3/14/06	SEA-LAS-IAD	Y	N	N	None
News Conf & Spch, Anti-Flag (dba Fast Kid Music) on Depleted Uranium	3/24-3/25/06	SEA-BUR/LAX-SEA	N	N	N	None
Aspen Inst Cong Conf on US-China Relations	4/9-14/06	SEA-HNL-SEA	Y	Y	N	None
American India Foundation (Spch)	5/19-20/06	DCA-LGA/JFK-SEA	Y	Y	N	None
Holt Intl Conference (Spch)	10/18-19/06	SEA-EUG-SEA	Y	N	N	None

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Arab Community Coalition (501 (c) 3 & Dr. Munther J. Haddadin (~~personal hospitality~~), mtg w/prominent Middle Eastern intellectuals & parliamentarians to discuss peace process	11/8-12/06	SEA-ORD-AMM-JFK-LGA-DCA	Y	Y	N	None
US-Japan Legislative Exchange Program	11/28-12/1/06	BOM-ICN-DEL	Y	Y	N	None
Trade Development Alliance of Greater Seattle Business & Trade Mission to India	11/25-28 & 12/1-6/06	IAD-BOM and DEL-IAD	Y	Y	N	None

SCHEDULE VIII - POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Commissioner	Japan-US Friendship Commission
Member, Board Sponsors	Washington Physicians for Social Responsibility
Member, Visiting Committee	The Henry M. Jackson School of International Studies, University of Washington
Member, International Programs Advisory Committee	National Primate Research Center, University of Washington
Member, Advisory Council	Greater Seattle Vietnam Association
Member, Advisory Committee	Seattle-Chongqing Sister City Association
Member (ex-officio), Board of Directors	Washington State China Relations Council
Member, Foundation Associate	Pacific Science Center
Member, Advisory Board	Childhaven
Member, Advisory Committee	Tom Wales Endowment Fund
Member, Advisory Board	Tom C. Wales Foundation
Member, Advisory Board	The Desmond Tutu Peace Foundation

SCHEDULE VIII - POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	American for Democratic Action
Honorary Board of Advisors	National Student Leadership Foundation
Board of Reference	International Student Volunteers
Honorary Board	City of Peace
Honorary Co-Chair	Lawyers Helping Hungry Children
Co-Chair	US-Japan Legislative Exchange Program
Board of Directors	US-Japan Bridging Foundation Commission
Honorary Chairman	PlanetGuru Foundation
Advisory Council	Water 1st International
Congressional Member, Board of Advisors	National Bureau of Asian Research
Honorary Chair	Walk for Rice, Asian Counseling and Referral Services
Planning Committee	Filipino American Centennial Commemoration Honorary Council, Smithsonian Institution

SCHEDULE VIII - POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Honorary Co-Chair	Nisei Veterns Committee and NVC Foundation Capital Campaign
Honorary Chair, Board of Directors	Physicians for National Health Program, Western Washington, Chapter

citigroup
SMITH BARNEY

Ref: 00002667 00017830

Preferred Client
Individual Retirement Account
January 1 - January 31, 2006

Account number

JAMES MCDERMOTT
CGM IRA CUSTODIAN
710 9TH ST SE
WASHINGTON DC 20003-2804

Your Broker/Dealer is
CITIGROUP GLOBAL MKTS INC.
Your Financial Advisor
JANE H. SUZICK
TWO UNION SQUARE
601 UNION ST STE5200
SEATTLE WA 98101
206-628-4400
Email: jane.h.suzick@smithbarney.com
www.smithbarney.com

Preferred Client Service Center: 800-232-4454
Branch: 800-426-7837

Citigroup Global Markets Inc., member NYSE, NASD, and other principal exchanges. Smith Barney is a division and service mark of Citigroup Global Markets Inc. and its affiliates and is used and registered throughout the world. CITIGROUP and the Umbrella Device are trademarks and service marks of Citicorp or its affiliates and are used and registered throughout the world. Citigroup Global Markets Inc. is a member of the Securities Investor Protection Corporation (SIPC).

Account value	Last period	This period	%
Bank Deposit Program℠-principal	$ 82,335.73	$ 82,749.60	30.32
Bank Deposit Program-accrued interest	0.00	133.08	.05
Common stocks & options	149,029.80	165,330.25	60.58
Closed end funds	23,200.00	24,720.00	9.06
Total value	$ 254,565.53	$ 272,932.91	100.00
Total value (excluding accrued interest)	$ 254,565.53	$ 272,799.85	
Fair Market Value 12/31/05		$ 254,565.53	

Earnings summary	This period	This year
Other dividends	$ 152.00	$ 152.00
Bank Deposit Program℠	121.87	121.87
Total	$ 273.87	$ 273.87

Gain/loss summary	This period	This year
Unrealized gain or (loss) to date	$ 36,006.25	

Cash, money fund, bank deposits	This period
Opening balance	$ 82,335.73
Dividends credited	292.00
Bank Deposit Program℠ interest reinvested	121.87
Closing balance	$ 82,749.60

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for on our books and records, these funds may be used for our business purposes.

Portfolio summary	This period	This year
Beginning total value(excl. accr. int.)	$ 254,565.53	$ 254,565.53
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	0.00	0.00
Beginning value net of deposits/withdrawals	254,565.53	254,565.53
Total value as of 1/31/2006(excl. accr. int.)	$ 272,799.85	$ 272,799.85
Total return	$ 18,234.32	$ 18,234.32

4103

JAMES MCDERMOTT Account number

IRA ACTIVITY SUMMARY

We report to the IRS total contributions, rollovers, recharacterizations, and distributions. For your convenience, deductible and non-deductible contributions are reported separately, based on information you provided.

	2006	2005	Since Inception*
Rollovers and Transfers			
Transfers into your IRA (Does not include value of direct investments)	$ 0.00	$ 208,542.35	

**Note: This column is provided for informational purposes only. It reflects activity from your account opening date or 01/01/94, whichever is later, unless you provide details of prior activity. The column does not include incoming or outgoing transfers of cash and securities. Please contact your Financial Advisor if you wish to adjust this column. We are not responsible for any information you provide.*

PRIMARY BENEFICIARIES

Please contact your Financial Advisor for the documents necessary to change or update your beneficiary information. CGMI is not the custodian of any annuities that may have been purchased through this account. Please contact the annuity company for your annuity beneficiary designation.

THERESE M HANSEN

PORTFOLIO DETAILS

The values of your holdings are as of 01/31/06.

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled purchases/sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold. Please note unrealized gain/(loss) is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Please note: unrealized gain/(loss) is being calculated for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Bank Deposit Program℠

Balances are FDIC insured up to $100,000 per institution, subject to combined total of all your deposits, including those outside this account.

Principal	Description	Current value	Accrued interest	Annualized % return	Anticipated income (annualized)
82,749.60	CITIBANK NA BANK DEPOSIT PROGRAM	$ 82,749.60	$ 133.08	3.88 %	$ 3,210.68
Total Bank Deposit Program		$ 82,749.60	$ 133.08	3.88 %	$ 3,210.68

4104

Common stocks & options

Citigroup Investment Research and independent, third-party research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Citigroup Investment Research stock recommendations include an investment rating and risk rating. The Investment Rating Code (1, 2 or 3) is a function of Citigroup Investment Research's expectation of total return (forecast price appreciation and dividend yield within the next 12 months) and a Risk Rating. The Risk Rating (L, M, H or S) represents the stock's expected risk, taking into account price volatility and fundamental criteria. Please refer to the end of this statement for a guide describing Citigroup Investment Research ratings. Independent, third-party research ratings have been normalized by such third-party providers to a 1 (Buy), 2 (Hold), and 3 (Sell). Citigroup Investment Research is not the author of, does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of the independent, third-party research. Except as noted by an asterisk (*), each independent research provider was selected by an independent Consultant as required under the Global Research Analyst Settlement.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
40	AMGEN INC Rating: Citigroup : 1M Argus : 1 Morningstar : 2 S&P : 2	AMGN	08/21/00	$ 2,715.00	$ 67.87	$ 72.89	$ 2,915.60	$ 200.60* LT		
80	APPLIED MATERIALS INC DELAWARE Rating: Citigroup : 1H Argus : 2 Morningstar : 2 S&P : 1	AMAT	11/15/01	1,861.60	19.52	19.05	1,524.00	(37.60)* LT	.629	9.60
1,000	ARCHER-DANIELS-MIDLAND CO Rating: Citigroup : 1M Morningstar : 2 S&P : 2	ADM	09/21/05	22,775.63	22.31	31.50	31,500.00	8,724.37 ST	1.079	340.00
470	CISCO SYS INC Rating: Citigroup : 1H Argus : 1 Morningstar : 2 Buckingham : 1 S&P : 1	CSCO		Please provide		18.57	8,727.90	Not available		
300	COMCAST CORP CL A	CMCSA	03/12/04	8,953.98	29.20	27.82	8,346.00	(607.98)* LT		
25	HOME DEPOT INC Rating: Citigroup : 2M Argus : 1 Morningstar : 2 Buckingham : 2 S&P : 1	HD		Please provide		40.55	1,013.75	Not available		
460			10/25/02	14,288.88	30.41	40.55	18,653.00	4,364.14* LT		
485				14,288.88	31.063		19,666.75	4,364.14**	1.479	291.00
200	ICOS CORP Rating: S&P* : 1	ICOS	10/25/02	4,719.13	23.00	24.85	4,970.00	250.87* LT		
200	INTEL CORP Rating: Citigroup : 2M Argus : 1 Morningstar : 2 S&P : 1	INTC		Please provide		21.255	4,251.00	Not available	1.881	80.00

4105

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
1,000	MATTEL INC DE **Rating:** Citigroup : 2H S&P : 2	MAT	09/21/05	$ 16,740.78	$ 16.35	$ 16.50	$ 16,500.00	($ 240.78) ST	3.03%	$ 500.00
400	MERCK & CO INC **Rating:** Citigroup : 2M Argus : 2 Morningstar : 2 S&P : 2	MRK	10/25/02	20,252.98	49.75	34.50	13,800.00	(6,452.98)*LT	4.405	608.00
300	MICROSOFT CORP **Rating:** Citigroup : 1M Argus : 1 Morningstar : 1 Buckingham : 1 S&P : 1	MSFT		Please provide		28.15	8,445.00	Not available	1.278	108.00
400	PEABODY ENERGY CORP **Rating:** S&P* : 2	BTU	08/05/04	10,887.24	26.93	99.51	39,804.00	28,816.76* LT	.482	192.00
1,000	TRIQUINT SEMICONDUCTOR INC **Rating:** S&P : 2	TQNT	10/25/02	5,293.22	5.09	4.88	4,880.00	(413.22)*LT		
Total common stocks and options				$ 108,288.40			$ 165,330.26	$ 8,491.60** ST $ 28,120.61** LT	1.28	$ 2,129.60

Closed end funds

Citigroup Investment Research ratings may be shown for certain closed-end funds. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Citigroup Investment Research closed-end fund recommendations include an investment rating and a risk rating. The Investment Rating code (1,2,3) is based upon Citigroup Investment Research's expectation of the security's performance relative to its peer group of closed-end funds. The Risk Rating (L, M, H or S) represents the fund's expected risk, taking into account the quality and liquidity of the underlying securities, financial leverage and foreign currency exposure. Please refer to the end of this statement for a guide describing Citigroup Investment Research ratings.

Closed end investment companies are grouped below by our portfolio designations. Gain/Loss is provided to assist in tax preparation. It is not intended to calculate investment returns or performance.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
4,000	ABERDEEN ASIA-PACIFIC PRIME INCOME FUND INC Taxable bond portfolio	FAX	06/02/04	$ 23,317.95	$ 5.87	$ 6.18	$ 24,720.00	$ 1,402.05* LT	6.796%	$ 1,680.00
Total closed end fund taxable bond allocation							$ 24,720.00			
Total closed end funds				$ 23,317.95			$ 24,720.00	$ 0.00 ST	6.79	
								$ 1,402.05 LT		$ 1,680.00
Total portfolio value				$ 214,285.95			$ 217,190.84	$ 2,904.89 ST	2.57	
								$ 27,522.86** LT		$ 7,019.28

*Based on information supplied by client or other financial institution, not verified by us.

**Unrealized Gain/Loss is only calculated when an original cost basis is available.

TRANSACTION DETAILS

All transactions appearing are based on trade date.

Bank Deposit Program℠ activity

The term DEPOSIT typically refers to client initiated deposit of funds. The term AUTODEPOSIT typically refers to the "sweep" of funds into a Program Bank that results from proceeds of a transaction, a dividend from a stock or interest from a bond. Conversely, WITHDRAWAL is the term that refers to transactions that cause funds to be redeemed from your Program Bank, such as the payment for an investment purchase or the payment of FMA checks that you have written.

		Opening balance	$ 82,335.73
Date	Activity	Description	Amount
01/04/06	Autodeposit	BANK DEPOSIT PROGRAM	152.00
01/13/06	Autodeposit	BANK DEPOSIT PROGRAM	140.00
		BANK DEPOSIT PROGRAM INTEREST CREDITED (SEE DETAILS UNDER EARNINGS DETAILS)	121.87
		Closing balance	$ 82,749.60

4107

JAMES MCDERMOTT

Account number

EARNINGS DETAILS

The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

Other dividends

Date	Description	Comment	Taxable	Non-taxable	Amount
01/03/06	MERCK & CO INC	CASH DIV ON 400.0000 SHS X/D 11/30/05	$ 152.00		$ 152.00
01/12/06	ABERDEEN ASIA-PACIFIC PRIME INCOME FUND INC	CASH DIV ON 4000.0000 SHS X/D 12/28/05 FOR TAX PURPOSES, THIS TRANSACTION IS REPORTABLE IN 2005	140.00		140.00
Total other dividends credited to account					$ 292.00
Total other dividends earned			$ 152.00	$ 0.00	$ 152.00
Total other dividends earned - 2005			$ 140.00	$ 0.00	$ 140.00

Bank Deposit Program Interest℠

This section contains interest credited to your account. Accrued interest is not included. See Portfolio details section for accrued interest information.

Date	Description	Comment	Taxable	Non-taxable	Amount
01/13/06	CITIBANK NA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 01/03/06-01/16/06 14 DAYS AVERAGE YIELD 3.85 %.	$ 121.87		$ 121.87
Total Bank Deposit Program interest earned			$ 121.87	$ 0.00	$ 121.87

citigroup
SMITH BARNEY

Ref: 00002629 00016147

Preferred Client
Individual Retirement Account
February 1 - February 28, 2006

JAMES MCDERMOTT
CGM IRA CUSTODIAN
710 9TH ST SE
WASHINGTON DC 20003-2804

Your Broker/Dealer is
CITIGROUP GLOBAL MKTS INC.
Your Financial Advisor
JANE H. SUZICK
TWO UNION SQUARE
601 UNION ST STE5200
SEATTLE WA 98101
206-628-4400
Email: jane.h.suzick@smithbarney.com
www.smithbarney.com

Account number

Preferred Client Service Center: 800-232-4454
Branch: 800-426-7837

Citigroup Global Markets Inc., member NYSE, NASD, and other principal exchanges. Smith Barney is a division and service mark of Citigroup Global Markets Inc. and its affiliates and is used and registered throughout the world. CITIGROUP and the Umbrella Device are trademarks and service marks of Citigroup or its affiliates and are used and registered throughout the world. Citigroup Global Markets Inc. is a member of the Securities Investor Protection Corporation (SIPC).

Account value

	Last period	This period	%
Bank Deposit Program℠-principal	$ 82,749.60	$ 83,179.29	30.44
Bank Deposit Program-accrued interest	133.06	147.49	.05
Common stocks & options	165,330.25	165,360.35	60.51
Closed end funds	24,720.00	24,600.00	9.00
Total value	$ 272,932.91	$ 273,293.13	100.00
Total value (excluding accrued interest)	$ 272,799.85	$ 273,145.64	
Fair Market Value 12/31/05			$ 254,565.53

Cash, money fund, bank deposits

	This period
Opening balance	$ 82,749.60
Securities bought and other subtractions	0.00
Securities sold and other additions	0.00
Dividends credited	188.00
Bank Deposit Program℠ interest reinvested	241.69
Closing balance	$ 83,179.29

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for on our books and records, these funds may be used for our business purposes.

Earnings summary

	This period	This year
Other dividends	$ 188.00	$ 340.00
Bank Deposit Program℠	241.69	363.58
Total	$ 429.69	$ 703.58

Gain/loss summary

	This period	This year
Unrealized gain or (loss) to date	$ 35,612.45	

Portfolio summary

	This period	This year
Beginning total value (excl. accr. int.)	$ 254,565.53	$ 254,565.53
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	0.00	0.00
Beginning value net of deposits/withdrawals	272,799.85	254,565.53
Total value as of 2/28/2006 (excl. accr. int.)	$ 273,145.64	$ 273,145.64
Total return	$ 345.79	$ 18,580.11

4109

IRA ACTIVITY SUMMARY

We report to the IRS total contributions, rollovers, recharacterizations, and distributions. For your convenience, deductible and non-deductible contributions are shown on this statement separately, based on information you provided.

	2006	2005	Since Inception*
Rollovers and Transfers			
Transfers into your IRA (Does not include value of direct investments)	$ 0.00	$ 208,542.35	

**Note: This column is provided for informational purposes only. The column does not include incoming or outgoing transfers of cash and securities. Please contact your Financial Advisor if you wish to adjust this column. We are not responsible for any information you provide.*

BENEFICIARIES

Please contact your Financial Advisor for the documents necessary to change or update your beneficiary information. CGMI is not the custodian of any annuities that may have been purchased through this account. Please contact the annuity company for your annuity beneficiary designation.

Primary Beneficiary	Contingent Beneficiary
THERESE M HANSEN	JAMES A C MCDERMOTT KATHERINE E MCDERMOTT

PORTFOLIO DETAILS

The values of your holdings are as of 02/28/06.

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled purchases/sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold. Please note unrealized gain/(loss) is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Please note: *unrealized gain/(loss) is being calculated for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Bank Deposit Program[BDP]

Balances are FDIC insured up to $100,000 per institution, subject to combined total of all your deposits, including those outside this account.

Principal	Description	Current value	Accrued interest	Annualized % return	Anticipated income (annualized)
83,179.29	CITIBANK NA BANK DEPOSIT PROGRAM	$ 83,179.29	$ 147.49	4.01%	$ 3,335.48
Total Bank Deposit Program		$ 83,179.29	$ 147.49	4.01%	$ 3,335.48

Common stocks & options

Citigroup Investment Research and Independent, third-party research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Citigroup Investment Research stock recommendations include an investment rating and risk rating. The Investment Rating Code (1, 2 or 3) is a function of Citigroup Investment Research's expectation of total return (forecast price appreciation and dividend yield within the next 12 months) and a Risk Rating. The Risk Rating (L, M, H or S) represents the stock's expected risk, taking into account price volatility and fundamental criteria. Please refer to the end of this statement for a guide describing Citigroup Investment Research ratings. Independent, third-party research ratings have been normalized by such third-party providers to a 1 (Buy), 2 (Hold), and 3 (Sell). Citigroup Investment Research is not the author of, does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of the independent, third-party research. Except as noted by an asterisk (*), each independent research provider was selected by an independent Consultant as required under the Global Research Analyst Settlement.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
40	AMGEN INC **Rating:** Citigroup : 1M Argus : 1 Morningstar : 2 S&P : 2	AMGN	06/21/00	$ 2,715.00	$ 67.87	$ 75.48	$ 3,019.20	$ 304.20* LT		
80	APPLIED MATERIALS INC DELAWARE **Rating:** Citigroup : 2H Argus : 1 Morningstar : 2 S&P : 1	AMAT	11/15/01	1,561.60	19.52	16.32	1,465.60	(96.00)*LT	.656	9.60
1,000	ARCHER-DANIELS-MIDLAND CO **Rating:** Citigroup : 2M Morningstar : 3 S&P : 2	ADM	09/21/05	22,775.63	22.31	31.72	31,720.00	8,944.37 ST	1.261	400.00
470	CISCO SYS INC **Rating:** Citigroup : 1H Argus : 1 Morningstar : 2 Buckingham : 1 S&P : 1	CSCO		Please provide		20.24	9,512.80	Not available		
300	COMCAST CORP CL A	CMCSA	03/12/04	8,953.98	29.20	26.83	8,049.00	(904.98)*LT		
25	HOME DEPOT INC **Rating:** Citigroup : 2M Argus : 1 Morningstar : 2 Buckingham : 2 S&P : 1	HD		Please provide		42.15	1,053.75	Not available		
460			10/25/02	14,288.86	30.41	42.15	19,389.00	5,100.14* LT		
485				14,288.86	31.063		20,442.75	5,100.14**	1.423	291.00
200	ICOS CORP **Rating:** S&P* : 1	ICOS	10/25/02	4,719.13	23.00	24.13	4,826.00	106.87* LT		
200	INTEL CORP **Rating:** Citigroup : 2M Argus : 1 Morningstar : 2 S&P : 1	INTC		Please provide		20.60	4,120.00	Not available	1.941	80.00

4111

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
1,000	MATTEL INC DE **Rating:** Citigroup : 2H S&P : 2	MAT	09/21/05	$ 16,740.78	$ 16.35	$ 16.85	$ 16,850.00	$ 109.22 ST	2.967 %	$ 500.00
400	MERCK & CO INC **Rating:** Citigroup : 2M Argus : 2 Morningstar : 2 S&P : 2	MRK	10/25/02	20,252.98	49.75	34.88	13,944.00	(6,308.98)*LT	4.36	608.00
300	MICROSOFT CORP **Rating:** Citigroup : 1M Argus : 1 Morningstar : 1 Buckingham : 1 S&P : 1	MSFT		Please provide		26.87	8,061.00	Not available	1.339	108.00
800	PEABODY ENERGY CORP **Rating:** S&P* : 2	BTU	08/05/04	10,987.24	13.485	48.27	38,616.00	27,628.76* LT	.497	192.00
1,000	TRIQUINT SEMICONDUCTOR INC **Rating:** S&P : 2	TQNT	10/25/02	5,293.22	5.09	4.74	4,740.00	(553.22)*LT		
Total common stocks and options				$ 106,259.90			$ 150,358.35	$ 9,057.59** ST $ 25,279.81* LT	1.72	$ 2,165.60

JAMES MCDERMOTT Account number

Closed end funds

Citigroup Investment Research ratings may be shown for certain closed-end funds. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Citigroup Investment Research closed-end fund recommendations include an investment rating and a risk rating. The Investment Rating code (1,2,3) is based upon Citigroup Investment Research's expectation of the security's performance relative to its peer group of closed-end funds. The Risk Rating (L, M, H or S) represents the fund's expected risk, taking into account the quality and liquidity of the underlying securities, financial leverage and foreign currency exposure. Please refer to the end of this statement for a guide describing Citigroup Investment Research ratings.

Closed end investment companies are grouped below by our portfolio designations. Gain/Loss is provided to assist in tax preparation. It is not intended to calculate investment returns or performance.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
4,000	ABERDEEN ASIA-PACIFIC PRIME INCOME FUND INC Taxable bond portfolio	FAX	06/02/04	$ 23,317.95	$ 5.67	$ 6.15	$ 24,600.00	$ 1,282.05* LT	6.829%	$ 1,680.00
Total closed end fund taxable bond allocation							$ 24,600.00			
Total closed end funds				$ 23,317.95			$ 24,600.00	$ 0.00 ST	6.82	
								$ 1,282.05 LT		$ 1,680.00
Total portfolio value				$ 214,785.63			$ 223,145.64	$ 8,093.59** ST	2.83	
								$ 28,558.88** LT		$ 7,204.08

**Unrealized Gain/Loss is only calculated when an original cost basis is available.

*Based on information supplied by client or other financial institution, not verified by us.

TRANSACTION DETAILS

All transactions appearing are based on trade date.

Investment activity

Date	Activity	Description	Quantity	Price	Amount
02/21/06	Stk split	PEABODY ENERGY CORP STK SPLIT ON 400 SHS	400		$ 0.00
Total securities bought and other subtractions					$ 0.00
Total securities sold and other additions					$ 0.00

4113

Bank Deposit Program℠ activity

The term DEPOSIT typically refers to client initiated deposit of funds. The term AUTODEPOSIT typically refers to the "sweep" of funds into a Program Bank that results from proceeds of a transaction, a dividend from a stock or interest from a bond. Conversely, WITHDRAWAL is the term that refers to transactions that cause funds to be redeemed from your Program Bank, such as the payment for an investment purchase or the payment of FMA checks that you have written.

		Opening balance	$ 82,749.60
Date	Activity	Description	Amount
02/13/06	Autodeposit	BANK DEPOSIT PROGRAM	140.00
02/23/06	Autodeposit	BANK DEPOSIT PROGRAM	48.00
		BANK DEPOSIT PROGRAM INTEREST CREDITED (SEE DETAILS UNDER EARNINGS DETAILS)	241.69
		Closing balance	$ 83,179.29

EARNINGS DETAILS

The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

Other dividends

Date	Description	Comment	Taxable	Non-taxable	Amount
02/10/06	ABERDEEN ASIA-PACIFIC PRIME INCOME FUND INC	CASH DIV ON 4000.0000 SHS X/D 01/27/06	$ 140.00		$ 140.00
02/22/06	PEABODY ENERGY CORP	CASH DIV ON 400.0000 SHS X/D 02/03/06	48.00		48.00
Total other dividends earned			$ 188.00	$ 0.00	$ 188.00

Bank Deposit Program interest℠

This section contains interest credited to your account. Accrued interest is not included. See Portfolio details section for accrued interest information.

Date	Description	Comment	Taxable	Non-taxable	Amount
02/10/06	CITIBANK NA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 01/17/06-02/12/06 27 DAYS AVERAGE YIELD 3.94 %.	$ 241.69		$ 241.69
Total Bank Deposit Program interest earned			$ 241.69	$ 0.00	$ 241.69

4114

Guide to Investment Ratings

Stock Ratings

Investment ratings are based upon Citigroup Investment Research's expectation of total return (forecast price appreciation plus dividend yield within the next 12 months) and risk rating.

Developed Markets (US, UK, Europe, Japan, and Australia/New Zealand)

Code	Rating	Expected Total Return
1	Buy	10% or more for Low-Risk stocks, 15% or more for Medium-Risk stocks, 20% or more for High-Risk stocks, and 35% or more for Speculative stocks
2	Hold	0-10% for Low-Risk stocks, 0-15% for Medium-Risk stocks, 0-20% for High-Risk stocks, and 0-35% for Speculative stocks
3	Sell	Negative total return

Stock Risk

Takes into account price volatility and a select list of fundamental criteria.

Code	Rating	Definitions
L	Low	High predictability of financial results and low volatility
M	Medium	Moderate predictability of financial results and volatility
H	High	Low predictability of financial results and high volatility
S	Speculative	Exceptionally low financial predictability, highest risk and volatility

Closed-End Fund Ratings

Investment ratings are a function of Citigroup Investment Research's expectation of the fund's total return relative to its peer group, and the ability to provide stable to rising dividends, where applicable.

Code	Rating	Expected Total Return
1	Outperform	Expected to outperform its peer group of closed-end funds, and/or the fund is expected to provide stable to rising dividends, where applicable
2	In-Line	Expected to perform in line with its peer group of closed-end funds, and/or the fund is expected to provide stable dividends, where applicable
3	Underperform	Expected to underperform its peer group of closed-end funds, and/or the fund is expected to provide a declining dividend, where applicable

Closed-End Fund Risk

Takes into account the quality and liquidity of the underlying securities, financial leverage and foreign currency exposure.

Code	Rating	Definitions
L	Low	The fund invests in high quality, liquid securities with little to no financial leverage or foreign currency exposure
M	Medium	Overall, the fund invests in moderate to high quality, liquid securities with reasonable financial leverage and provides primarily United States Dollar currency exposure
H	High	The fund may invest in low quality, less-liquid securities, have exposure to foreign currencies and above average degrees of financial leverage
S	Speculative	The fund invests in low grade, illiquid and/or highly volatile securities, have exposure to foreign currency and may also use high degrees of financial leverage

Independent, third-party research on certain companies covered by Citigroup Investment Research is available to clients of the firm at no cost. Clients can access this research at www.smithbarney.com or call 1-866-836-8542 to request that a copy of this research be sent to them.

Message: **Important Tax Information:** Smith Barney clients have the ability to import their 1099 tax information into their TurboTax® return. TurboTax products allow you to import your tax information, complete your taxes and file them electronically or by mail. Visit the Tax Center at www.smithbarney.com/tax for information or call your Financial Advisor.

citigroup
SMITH BARNEY

Ref: 00002629 00016154

Preferred Client
Individual Retirement Account
February 1 - February 28, 2006

JAMES MCDERMOTT Account number

Message: *It's not too late to make your $4,000 IRA contribution for 2005, but time is running out as the April 17th deadline approaches. At the same time you may also make your $4,000 IRA contribution for 2006. The contribution limits are higher if you are age 50 or older. For more detailed information or if you have any questions please contact your Financial Advisor.*

Information regarding commissions and charges will be made available to you promptly upon request. Please advise us of any material change in your financial objectives or financial situation. All checks written and deposited to your account must be made payable to Smith Barney. A financial statement of Citigroup Global Markets Inc. is available for your personal inspection at its offices, or a copy of it will be mailed upon your written request. If you believe your statement is not correct, you must contact us within ten (10) days. Complaints and incorrect statement issues may be directed to the Manager of the branch servicing your account (see page 1 of statement for address and phone number). To protect your rights, including any rights you may have under the Securities Investor Protection Act (SIPA), you must reconfirm all communication in writing to Citigroup Global Markets Inc., Attention: Compliance Department, 111 Wall Street, 11th Floor, New York, NY 10005-3509.

4116

citigroup
SMITH BARNEY

Ref: 00006511 00028085

Preferred Client
Individual Retirement Account
March 1 - March 31, 2006

Account number

JAMES MCDERMOTT
CGM IRA CUSTODIAN
710 9TH ST SE
WASHINGTON DC 20003-2804

Your Broker/Dealer is
CITIGROUP GLOBAL MKTS INC.
Your Financial Advisor
JANE H. SUZICK
TWO UNION SQUARE
601 UNION ST STE5200
SEATTLE WA 98101
206 628 4444
Email: jane.h.suzick@smithbarney.com
www.smithbarney.com

Preferred Client Service Center: 800-232-4454
Branch: 800 426 7837

Citigroup Global Markets Inc., member NYSE, NASD, and other principal exchanges. Smith Barney is a division and service mark of Citigroup Global Markets Inc. and its affiliates and is used and registered throughout the world. CITIGROUP and the Umbrella Device are trademarks and service marks of Citigroup or its affiliates and are used and registered throughout the world. Citigroup Global Markets Inc. is a member of the Securities Investor Protection Corporation (SIPC).

IMPORTANT NOTICE FOR RETIREMENT PLAN CLIENTS. *See the last page of this statement for your 2006 fee invoice and the opportunity to elect to have the fee paid automatically each year from this account or from another Smith Barney account that you designate. Smith Barney reserves the right to liquidate assets in your account to satisfy outstanding fees.*

Account value	Last period	This period	%
Bank Deposit Program℠-principal	$ 83,179.29	$ 83,801.31	30.12
Bank Deposit Program-accrued interest	147.49	202.19	.07
Common stocks & options	165,368.35	170,444.20	61.27
Closed end funds	24,600.00	23,760.00	8.54
Total value	**$ 273,295.13**	**$ 278,207.70**	**100.00**
Total value (excluding accrued interest)	$ 273,145.64	$ 278,005.51	
Fair Market Value 12/31/05		$ 254,565.53	

Earnings summary	This period	This year
Other dividends	$ 362.15	$ 702.15
Bank Deposit Program℠	259.87	623.43
Total	$ 622.02	$ 1,325.58

Cash, money fund, bank deposits	This period
Opening balance	$ 83,179.29
Dividends credited	362.15
Bank Deposit Program℠ interest reinvested	259.87
Closing balance	$ 83,801.31

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for on our books and records, these funds may be used for our business purposes.

Portfolio summary	This period	This year
Beginning total value (excl. accr. int.)	$ 273,145.64	$ 254,565.53
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	0.00	0.00
Beginning value net of deposits/withdrawals	273,145.64	254,565.53
Total value as of 3/31/2006 (excl. accr. int.)	$ 278,005.51	$ 278,005.51
Total return	$ 4,859.87	$ 23,439.98

4117

Gain/loss summary

	This period	This year
Unrealized gain or (loss) to date	$ 39,300.45	

IRA ACTIVITY SUMMARY

We report to the IRS total contributions, rollovers, recharacterizations, and distributions. For your convenience, deductible and non-deductible contributions are shown on this statement separately, based on information you provided.

	2006	2005	Since Inception*
Rollovers and Transfers			
Transfers into your IRA (Does not include value of direct investments)	$ 0.00	$ 208,542.35	

**Note: This column is provided for informational purposes only. The column does not include incoming or outgoing transfers of cash and securities. Please contact your Financial Advisor if you wish to adjust this column. We are not responsible for any information you provide.*

BENEFICIARIES

Please contact your Financial Advisor for the documents necessary to change or update your beneficiary information. CGMI is not the custodian of any annuities that may have been purchased through this account. Please contact the annuity company for your annuity beneficiary designation.

Primary Beneficiary	Contingent Beneficiary
THERESE M HANSEN	JAMES A C MCDERMOTT KATHERINE E MCDERMOTT

PORTFOLIO DETAILS

The Values of your holdings are as of [illegible]

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled purchases/sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold. Please note unrealized gain/(loss) is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Please note: *unrealized gain/(loss) is being calculated for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Bank Deposit Program[SM]

Balances are FDIC insured up to $100,000 per institution, subject to combined total of all your deposits, including those outside this account.

Principal	Description	Current value	Accrued interest	Annualized % return	Anticipated income (annualized)
$3,801.31	CITIBANK NA BANK DEPOSIT PROGRAM	$ 83,801.31	$ 202.18	4.17%	$ 3,494.51
Total Bank Deposit Program		$ 83,801.31	$ 202.18	4.17%	$ 3,494.51

4118

Common stocks & options

Citigroup Investment Research and independent, third-party research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Citigroup Investment Research stock recommendations include an investment rating and risk rating. The Investment Rating Code (1, 2 or 3) is a function of Citigroup Investment Research's expectation of total return (forecast price appreciation and dividend yield within the next 12 months) and a Risk Rating. The Risk Rating (L, M, H or S) represents the stock's expected risk, taking into account price volatility and fundamental criteria. Please refer to the end of this statement for a guide describing Citigroup Investment Research ratings. Independent, third-party research ratings have been normalized by such third-party providers to a 1 (Buy), 2 (Hold), and 3 (Sell). Citigroup Investment Research is not the author of, does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of the independent, third-party research. Except as noted by an asterisk (*), each independent research provider was selected by an Independent Consultant as required under the Global Research Analyst Settlement.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
40	AMGEN INC **Rating:** Citigroup : 1M Argus : 1 Morningstar : 2 S&P : 2	AMGN	08/21/00	$ 2,715.00	$ 67.87	$ 72.75	$ 2,910.00	$ 195.00* LT		
80	APPLIED MATERIALS INC DELAWARE **Rating:** Citigroup : 2H Argus : 1 Morningstar : 2 S&P : 1	AMAT	11/15/01	1,581.80	19.52	17.51	1,400.80	(180.80)*LT	1.142	16.00
1,000	ARCHER-DANIELS-MIDLAND CO **Rating:** Citigroup : 2M Morningstar : 3 S&P : 2	ADM	09/21/05	22,775.63	22.31	33.65	33,650.00	10,874.37 ST	1.188	400.00
470	CISCO SYS INC **Rating:** Citigroup : 1H Argus : 1 Morningstar : 2 S&P : 1	CSCO		Please provide		21.67	10,184.90	Not available		
300	COMCAST CORP CL A	CMCSA	03/12/04	8,853.96	29.20	26.16	7,848.00	(1,105.96)*LT		
25	HOME DEPOT INC	HD		Please provide		42.30	1,057.50	Not available		
460	**Rating:** Citigroup : 2M		10/25/02	14,266.68	30.41	42.30	19,456.00	5,189.14* LT		
485	Argus : 1 Morningstar : 2 Buckingham : 2 S&P : 1			14,268.86	31.063		20,513.50	6,189.14**	1.418	291.00
200	ICOS CORP **Rating:** S&P* : 1	ICOS	10/25/02	4,719.13	23.00	22.05	4,410.00	(309.13)*LT		
200	INTEL CORP **Rating:** Citigroup : 1M Argus : 1 Morningstar : 2 S&P : 2	INTC		Please provide		19.46	3,892.00	Not available	2.055	80.00

4119

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
1,000	MATTEL INC DE Rating: Citigroup : 2H S&P : 2	MAT	09/21/05	$ 16,740.78	$ 16.35	$ 18.13	$ 18,130.00	$ 1,389.22 ST	2.757%	$ 500.00
400	MERCK & CO INC Rating: Citigroup : 2M Argus : 3 Morningstar : 2 S&P : 2	MRK	10/25/02	20,252.98	49.75	35.23	14,092.00	(6,160.98)*LT	4.314	608.00
300	MICROSOFT CORP Rating: Argus : 1 Morningstar : 1 Buckingham : 1 S&P : 1	MSFT		Please provide		27.21	8,163.00	Not available	1.323	108.00
800	PEABODY ENERGY CORP Rating: S&P* : 2	BTU	08/05/04	10,697.24	13.485	50.41	40,328.00	29,340.76* LT	.476	192.00
1,000	TRIQUINT SEMICONDUCTOR INC Rating: S&P : 2	TQNT	10/25/02	5,293.22	5.09	4.92	4,920.00	(373.22)*LT		
Total common stocks and options				$ 109,288.40			$ 170,444.20	$ 12,261.59** ST $ 29,894.81** LT	1.38	$ 2,196.00

4120

Closed end funds

Citigroup Investment Research ratings may be shown for certain closed-end funds. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Citigroup Investment Research closed-end fund recommendations include an investment rating and a risk rating. The Investment Rating code (1,2,3) is based upon Citigroup Investment Research's expectation of the security's performance relative to its peer group of closed-end funds. The Risk Rating (L, M, H or S) represents the fund's expected risk, taking into account the quality and liquidity of the underlying securities, financial leverage and foreign currency exposure. Please refer to the end of this statement for a guide describing Citigroup Investment Research ratings.

Closed end investment companies are grouped below by our portfolio designations. Gain/Loss is provided to assist in tax preparation. It is not intended to calculate investment returns or performance.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
4,000	ABERDEEN ASIA-PACIFIC PRIME INCOME FUND INC Taxable bond portfolio	FAX	06/02/04	$ 23,317.95	$ 5.87	$ 5.94	$ 23,760.00	$ 442.05* LT	7.07 %	$ 1,680.00
Total closed end fund taxable bond allocation							$ 23,760.00			
Total closed end funds				$ 23,317.95			$ 23,760.00	$ 0.00 ST $ 442.05 LT	7.07	$ 1,680.00
Total portfolio value				$ 215,407.68			$ 278,005.51	$ 12,263.59** ST $ 27,034.99** LT	2.65	$ 7,360.01

*Based on information supplied by client or other financial institution, not verified by us.

**Unrealized Gain/Loss is only calculated when an original cost basis is available.

TRANSACTION DETAILS *All transactions appearing are based on trade-date.*

Bank Deposit Program℠ activity

The term DEPOSIT typically refers to client initiated deposit of funds. The term AUTODEPOSIT typically refers to the "sweep" of funds into a Program Bank that results from proceeds of a transaction, a dividend from a stock or interest from a bond. Conversely, WITHDRAWAL is the term that refers to transactions that cause funds to be redeemed from your Program Bank, such as the payment for an investment purchase or the payment of FMA checks that you have written.

Opening balance $ 83,179.29

Date	Activity	Description	Amount
03/02/06	Autodeposit	BANK DEPOSIT PROGRAM	20.00
03/10/06	Autodeposit	BANK DEPOSIT PROGRAM	29.40
03/13/06	Autodeposit	BANK DEPOSIT PROGRAM	240.00
03/24/06	Autodeposit	BANK DEPOSIT PROGRAM	72.75
		BANK DEPOSIT PROGRAM INTEREST CREDITED (SEE DETAILS UNDER EARNINGS DETAILS)	259.87
		Closing balance	$ 83,801.31

4121

EARNINGS DETAILS

The tax status of earnings is related to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

Other dividends

Date	Description	Comment	Taxable	Non-taxable	Amount
03/01/06	INTEL CORP	CASH DIV ON 200.0000 SHS X/D 02/03/06	$ 20.00		$ 20.00
03/09/06	APPLIED MATERIALS INC DELAWARE	CASH DIV ON 80.0000 SHS X/D 02/14/06	2.40		2.40
03/09/06	MICROSOFT CORP	CASH DIV ON 300.0000 SHS X/D 02/15/06	27.00		27.00
03/10/06	ABERDEEN ASIA-PACIFIC PRIME INCOME FUND INC	CASH DIV ON 4000.0000 SHS X/D 02/24/06	140.00		140.00
03/10/06	ARCHER-DANIELS-MIDLAND CO	CASH DIV ON 1000.0000 SHS X/D 02/15/06	100.00		100.00
03/23/06	HOME DEPOT INC	CASH DIV ON 485.0000 SHS X/D 03/07/06	72.75		72.75
Total other dividends earned			$ 362.15	$ 0.00	$ 362.15

Bank Deposit Program Interest[SM]

This section contains interest credited to your account. Accrued interest is not included. See Portfolio details section for accrued interest information.

Date	Description	Comment	Taxable	Non-taxable	Amount
03/10/06	CITIBANK NA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 02/13/06-03/12/06 28 DAYS AVERAGE YIELD 4.07 %	$ 259.87		$ 259.87
Total Bank Deposit Program interest earned			$ 259.87	$ 0.00	$ 259.87

4122

citigroup
SMITH BARNEY

Ref: 00002332 00015173

Preferred Client
Individual Retirement Account
April 1 - April 30, 2006

JAMES MCDERMOTT
CGM IRA CUSTODIAN
710 9TH ST SE
WASHINGTON DC 20003-2804

Account number

Your Broker/Dealer is
CITIGROUP GLOBAL MKTS INC.
Your Financial Advisor
JANE H. SUZICK
TWO UNION SQUARE
601 UNION ST STE5200
SEATTLE WA 98101
206 628 4444
Email: jane.h.suzick@smithbarney.com
www.smithbarney.com

Preferred Client Service Center: 800-232-4454
Branch: 800 426 7637

Citigroup Global Markets Inc., member NYSE, NASD, and other principal exchanges. Smith Barney is a division and service mark of Citigroup Global Markets Inc. and its affiliates and is used and registered throughout the world. CITIGROUP and the Umbrella Device are trademarks and service marks of Citigroup or its affiliates and are used and registered throughout the world. Citigroup Global Markets Inc. is a member of the Securities Investor Protection Corporation (SIPC).

Account value

	Last period	This period	%
Bank Deposit Program[SM]-principal	$ 83,801.31	$ 51,437.37	17.53
Bank Deposit Program-accrued interest	202.19	85.31	.03
Common stocks & options	170,444.20	241,860.55	82.44
Closed end funds	23,760.00	0.00	
Total value	$ 278,207.70	$ 293,383.23	100.00
Total value (excluding accrued interest)	$ 278,005.51	$ 293,277.92	
Fair Market Value 12/31/05			$ 254,565.53

Cash, money fund, bank deposits

	This period
Opening balance	$ 83,801.31
Securities bought and other subtractions	(58,058.04)
Securities sold and other additions	23,088.78
Dividends credited	292.00
Bank Deposit Program[SM] interest reinvested	313.32
Closing balance	$ 51,437.37

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for on our books and records, these funds may be used for our business purposes.

Earnings summary

	This period	This year
Other dividends	$ 292.00	$ 994.15
Bank Deposit Program[SM]	313.32	936.75
Total	$ 605.32	$ 1,930.90

Gain/loss summary

	This period	This year
Realized gain or (loss)	($ 229.17)	($ 229.17) LT
		$ 0.00 ST
Unrealized gain or (loss) to date $5,408.36		

Portfolio summary

	This period	This year
Beginning total value (excl. accr. int.)	$ 278,005.51	$ 254,565.53
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	0.00	0.00
Beginning value net of deposits/withdrawals	278,005.51	254,565.53
Total value as of 4/28/2006 (excl. accr. int.)	$ 293,277.92	$ 293,277.92
Total return	$ 15,272.41	$ 38,712.39

4123

JAMES MCDERMOTT Account number ···[illegible]

IRA ACTIVITY SUMMARY

We report to the IRS total contributions, rollovers, recharacterizations, and distributions. For your convenience, deductible and non-deductible contributions are shown on this statement separately, based on information you provided.

	2006	2005	Since inception*
Rollovers and Transfers			
Transfers into your IRA (Does not include value of direct investments)	$ 0.00	$ 208,542.35	

**Note: This column is provided for informational purposes only. The column does not include incoming or outgoing transfers of cash and securities. Please contact your Financial Advisor if you wish to adjust this column. We are not responsible for any information you provide.*

BENEFICIARIES

Please contact your Financial Advisor for the documents necessary to change or update your beneficiary information. CGMI is not the custodian of any annuities that may have been purchased through this account. Please contact the annuity company for your annuity beneficiary designation.

Primary Beneficiary	Contingent Beneficiary
THERESE M HANSEN	JAMES A C MCDERMOTT KATHERINE E MCDERMOTT

PORTFOLIO DETAILS

The values of your holdings are as of 04/28/06.

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled purchases/sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold. Please note unrealized gain/(loss) is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Please note: *unrealized gain/(loss) is being calculated for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Bank Deposit Program℠

Balances are FDIC insured up to $100,000 per institution, subject to combined total of all your deposits, including those outside this account.

Principal	Description	Current value	Accrued interest	Annualized % return	Anticipated income (annualized)
51,437.37	CITIBANK NA BANK DEPOSIT PROGRAM	$ 51,437.37	$ 85.21	4.30%	$ 2,211.80
Total Bank Deposit Program		$ 51,437.37	$ 85.21	4.30%	$ 2,211.80

4124

Common stocks & options

Citigroup Investment Research and independent, third-party research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Citigroup Investment Research stock recommendations include an investment rating and risk rating. The Investment Rating Code (1, 2 or 3) is a function of Citigroup Investment Research's expectation of total return (forecast price appreciation and dividend yield within the next 12 months) and a Risk Rating. The Risk Rating (L, M, H or S) represents the stock's expected risk, taking into account price volatility and fundamental criteria. Please refer to the end of this statement for a guide describing Citigroup Investment Research ratings. Independent, third-party research ratings have been normalized by such third-party providers to a 1 (Buy), 2 (Hold), and 3 (Sell). Citigroup Investment Research is not the author of, does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of the independent, third-party research. Except as noted by an asterisk (*), each independent research provider was selected by an Independent Consultant as required under the Global Research Analyst Settlement.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
40	AMGEN INC **Rating:** Citigroup : 1M Argus : 1 S&P : 2	AMGN	08/21/00	$ 2,715.00	$ 67.87	$ 67.70	$ 2,708.00	($ 7.00)*LT		
80	APPLIED MATERIALS INC DELAWARE **Rating:** Citigroup : 2H Argus : 1 Morningstar : 2 S&P : 1	AMAT	11/15/01	1,561.60	19.52	17.95	1,436.00	(125.60)*LT	1.114	16.00
1,000	ARCHER-DANIELS-MIDLAND CO **Rating:** Citigroup : 2M Morningstar : 3 S&P : 2	ADM	09/21/05	22,775.63	22.31	36.34	36,340.00	13,564.37 ST	1.10	400.00
470	CISCO SYS INC **Rating:** Citigroup : 1H Argus : 1 Morningstar : 2 S&P : 1	CSCO		Please provide		20.95	9,846.50	Not available		
300	COMCAST CORP CL A	CMCSA	03/12/04	8,953.96	29.20	30.85	9,255.00	301.04* LT		
1,000	CONAGRA FOODS INC **Rating:** Citigroup : 1M Morningstar : 2 S&P : 3	CAG	04/04/06	22,047.83	21.59	22.68	22,680.00	632.17 ST	3.174	720.00
25	HOME DEPOT INC **Rating:** Citigroup : 2M Argus : 1 Morningstar : 2 Buckingham : 2 S&P : 1	HD		Please provide		39.93	998.25	Not available		
460			10/25/02	14,288.88	30.41	39.93	18,367.80	4,078.94* LT		
485				14,288.88	31.063		19,366.05	4,078.94**	1.502	291.00
200	ICOS CORP **Rating:** S&P* : 1	ICOS	10/25/02	4,719.13	23.00	21.93	4,386.00	(333.13)*LT		

4125

JAMES MCDERMOTT Account number . . :

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
200	INTEL CORP	INTC		Please provide		$ 19.98	$ 3,996.00	Not available		
700	Rating: Citigroup : 1M		04/04/06	13,865.50	19.35	19.98	13,986.00	120.50 ST		
900	Argus : 1 Morningstar : 2 S&P : 3			13,865.50	19.906		17,982.00	120.50**	2.002	360.00
1,000	LYONDELL CHEMICAL COMPANY Rating: Citigroup : 2H Argus : 1 Morningstar : 2 Buckingham : 2 S&P : 2	LYO	04/04/06	20,144.71	19.71	24.10	24,100.00	3,955.29 ST	3.734	900.00
1,000	MATTEL INC DE Rating: Citigroup : 2H S&P : 2	MAT	09/21/05	16,740.78	16.35	16.18	16,180.00	(560.78) ST	3.09	500.00
400	MERCK & CO INC Rating: Citigroup : 2M Argus : 3 Morningstar : 2 S&P : 2	MRK	10/25/02	20,252.98	49.75	34.42	13,768.00	(6,484.98)* LT	4.418	608.00
300	MICROSOFT CORP Rating: Citigroup : 2M Argus : 1 Morningstar : 1 S&P : 1	MSFT		Please provide		24.15	7,245.00	Not available	1.49	108.00
800	PEABODY ENERGY CORP Rating: S&P* : 2	BTU	06/05/04	10,987.24	13.465	63.86	51,088.00	40,100.76* LT	.375	192.00
1,000	TRIQUINT SEMICONDUCTOR INC Rating: S&P : 2	TQNT	10/25/02	5,293.22	5.09	5.43	5,430.00	136.78* LT		
Total common stocks and options				$ 164,346.44			$ 241,240.00	$ 17,711.65** ST	1.69	
								$ 37,066.81** LT		$ 4,065.00
Total portfolio value				$ 218,793.81			$ 293,227.32	$ 17,711.65** ST	2.15	
								$ 37,066.81** LT		$ 5,306.80

**Unrealized Gain/Loss is only calculated when an original cost basis is available.

*Based on information supplied by client or other financial institution, not verified by us.

4126

TRANSACTION DETAILS

All transactions appearing are listed on a trade-date basis.

Investment activity

Date	Activity	Description	Quantity	Price	Amount
04/04/06	Sold	ABERDEEN ASIA-PACIFIC PRIME INCOME FUND INC	-4,000	$ 5.95	$ 23,088.78
04/04/06	Bought	CONAGRA FOODS INC	1,000	21.59	-22,047.83
04/04/06	Bought	INTEL CORP	700	19.35	-13,865.50
04/04/06	Bought	LYONDELL CHEMICAL COMPANY	1,000	19.71	-20,144.71
Total securities bought and other subtractions					**$ -56,058.04**
Total securities sold and other additions					**$ 23,088.78**

Bank Deposit Program℠ activity

The term DEPOSIT typically refers to client initiated deposit of funds. The term AUTODEPOSIT typically refers to the "sweep" of funds into a Program Bank that results from proceeds of a transaction, a dividend from a stock or interest from a bond. Conversely, WITHDRAWAL is the term that refers to transactions that cause funds to be redeemed from your Program Bank, such as the payment for an investment purchase or the payment of FMA checks that you have written.

Opening balance **$ 83,801.31**

Date	Activity	Description	Amount
04/04/06	Autodeposit	BANK DEPOSIT PROGRAM	152.00
04/07/06	Withdrawal	BANK DEPOSIT PROGRAM	-32,969.26
04/18/06	Autodeposit	BANK DEPOSIT PROGRAM	140.00
		BANK DEPOSIT PROGRAM INTEREST CREDITED (SEE DETAILS UNDER EARNINGS DETAILS)	313.32
		Closing balance	**$ 51,437.37**

EARNINGS DETAILS

The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

Other dividends

Date	Description	Comment	Taxable	Non-taxable	Amount
04/03/06	MERCK & CO INC	CASH DIV ON 400.0000 SHS X/D 03/08/06	$ 152.00		$ 152.00
04/17/06	ABERDEEN ASIA-PACIFIC PRIME INCOME FUND INC	CASH DIV ON 4000.0000 SHS X/D 03/29/06	140.00		140.00
Total other dividends earned			**$ 292.00**	**$ 0.00**	**$ 292.00**

4127

JAMES MCDERMOTT Account number

Bank Deposit Program interest[DM]

This section contains interest credited to your account. Accrued interest is not included. See Portfolio details section for accrued interest information.

Date	Description	Comment	Taxable	Non-taxable	Amount
04/13/06	CITIBANK NA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 03/13/06-04/16/06 35 DAYS AVERAGE YIELD 4.23 %.	$ 313.32		$ 313.32
Total Bank Deposit Program interest earned			$ 313.32	$ 0.00	$ 313.32

GAIN/LOSS DETAILS

Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Trades are allocated using the FIFO (first in-first-out) method. Day traders should therefore not rely on this section for day trading results. Your reinvestment activity has been summarized. Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information. Detailed information will be available at year-end in your 1099 Year-end summary.

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
ABERDEEN ASIA-PACIFIC PRIME INCOME FUND INC	06/02/04	04/04/06 Sold	4,000	$ 5.87	$ 5.85	$ 23,317.95	$ 23,088.78	($ 229.17)* LT
Total Long Term this period								($ 229.17)
Total realized gain or (loss) - this period						$ 23,317.95	$ 23,088.78	($ 229.17)
Total Long Term - year-to-date								($ 229.17)
Total Short Term - year-to-date								$ 0.00
Total realized gain or (loss) - year-to-date						$ 23,317.95	$ 23,088.78	($ 229.17)

*Based on information supplied by client or other financial institution, not verified by us.

4128

citigroup
SMITH BARNEY

Ref: 00004686 00030685

Preferred Client
Individual Retirement Account
May 1 - May 31, 2006

JAMES MCDERMOTT
CGM IRA CUSTODIAN
710 9TH ST SE
WASHINGTON DC 20003-2804

Your Broker/Dealer is
CITIGROUP GLOBAL MKTS INC.
Your Financial Advisor
JANE H. SUZICK
TWO UNION SQUARE
601 UNION ST STE5200
SEATTLE WA 98101
206 628 4444
Email: jane.h.suzick@smithbarney.com
www.smithbarney.com

Account number

Preferred Client Service Center: 800-232-4454
Branch: 800 426 7837

Citigroup Global Markets Inc., member NYSE, NASD, and other principal exchanges. Smith Barney is a division and service mark of Citigroup Global Markets Inc. and its affiliates and is used and registered throughout the world. CITIGROUP and the Umbrella Device are trademarks and service marks of Citigroup or its affiliates and are used and registered throughout the world. Citigroup Global Markets Inc. is a member of the Securities Investor Protection Corporation (SIPC).

Account value

	Last period	This period	%
Bank Deposit Program℠-principal	$ 51,437.37	$ 51,657.41	17.58
Bank Deposit Program-accrued interest	85.31	107.82	.04
Common stocks & options	241,840.55	242,038.20	82.38
Total value	$ 293,363.23	$ 293,803.43	100.00
Total value (excluding accrued interest)	$ 293,277.92	$ 293,695.61	
Fair Market Value 12/31/05			$ 254,565.53

Cash, money fund, bank deposits

	This period
Opening balance	$ 51,437.37
Dividends credited	48.00
Bank Deposit Program℠ interest reinvested	172.04
Closing balance	$ 51,657.41

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for on our books and records, these funds may be used for our business purposes.

Earnings summary

	This period	This year
Other dividends	$ 48.00	$ 1,042.15
Bank Deposit Program℠	172.04	1,108.79
Total	$ 220.04	$ 2,150.94

Gain/loss summary

	This period	This year
Realized gain or (loss)	$ 0.00	($ 229.17) LT
		$ 0.00 ST
Unrealized gain or (loss) to date 57,090.16		

Portfolio summary

	This period	This year
Beginning total value (excl. accr. int.)	$ 293,277.92	$ 254,565.53
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	0.00	0.00
Beginning value net of deposits/withdrawals	293,277.92	254,565.53
Total value as of 5/31/2006 (excl. accr. int.)	$ 293,695.61	$ 293,695.61
Total return	$ 417.69	$ 39,130.08

4129

JAMES MCDERMOTT Account number

IRA ACTIVITY SUMMARY

We report to the IRS total contributions, rollovers, recharacterizations, and distributions. For your convenience, deductible and non-deductible contributions are shown on this statement separately, based on information you provided.

	2006	2005	Since inception*
Rollovers and Transfers			
Transfers into your IRA (Does not include value of direct investments)	$ 0.00	$ 208,542.35	

**Note: This column is provided for informational purposes only. The column does not include incoming or outgoing transfers of cash and securities. Please contact your Financial Advisor if you wish to adjust this column. We are not responsible for any information you provide.*

BENEFICIARIES

Please contact your Financial Advisor for the documents necessary to change or update your beneficiary information. CGMI is not the custodian of any annuities that may have been purchased through this account. Please contact the annuity company for your annuity beneficiary designation.

Primary Beneficiary	Contingent Beneficiary
THERESE M HANSEN	JAMES A C MCDERMOTT KATHERINE E MCDERMOTT

PORTFOLIO DETAILS

The values of your holdings are as of 05/31/06

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled purchases/sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold. Please note unrealized gain/(loss) is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Please note: *unrealized gain/(loss) is being calculated for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Bank Deposit Program℠

Balances are FDIC insured up to $100,000 per institution, subject to combined total of all your deposits, including those outside this account.

Principal	Description	Current value	Accrued interest	Annualized % return	Anticipated income (annualized)
51,657.41	CITIBANK NA BANK DEPOSIT PROGRAM	$ 51,657.41	$ 107.52	4.43%	$ 2,288.42
Total Bank Deposit Program		$ 51,657.41	$ 107.52	4.43%	$ 2,288.42

4130

Common stocks & options

Citigroup Investment Research and independent, third-party research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Citigroup Investment Research stock recommendations include an investment rating and risk rating. The Investment Rating Code (1, 2 or 3) is a function of Citigroup Investment Research's expectation of total return (forecast price appreciation and dividend yield within the next 12 months) and a Risk Rating. The Risk Rating (L, M, H or S) represents the stock's expected risk, taking into account price volatility and fundamental criteria. Please refer to the end of this statement for a guide describing Citigroup Investment Research ratings. Independent, third-party research ratings have been normalized by such third-party providers to a 1 (Buy), 2 (Hold), and 3 (Sell). Citigroup Investment Research is not the author of, does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of the independent, third-party research. Except as noted by an asterisk (*), each independent research provider was selected by an independent Consultant as required under the Global Research Analyst Settlement.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/loss	Average % yield	Anticipated income (annualized)
40	AMGEN INC **Rating:** Citigroup : 1M Argus : 1 Morningstar : 1 S&P : 2	AMGN	08/21/00	$ 2,715.00	$ 67.87	$ 67.59	$ 2,703.60	($ 11.40)*LT		
80	APPLIED MATERIALS INC DELAWARE **Rating:** Citigroup : 2H Argus : 1 Morningstar : 2 S&P : 1	AMAT	11/15/01	1,561.60	19.52	16.91	1,352.80	(208.80)*LT	1.182	16.00
1,000	ARCHER-DANIELS-MIDLAND CO **Rating:** Citigroup : 2M Morningstar : 3 S&P : 1	ADM	09/21/05	22,775.63	22.31	41.57	41,570.00	18,794.37 ST	.962	400.00
470	CISCO SYS INC **Rating:** Citigroup : 1H Argus : 1 Morningstar : 2 S&P : 1	CSCO		Please provide		19.68	9,249.60	Not available		
300	COMCAST CORP CL A	CMCSA	03/12/04	8,853.96	29.20	32.13	9,639.00	685.04* LT		
1,000	CONAGRA FOODS INC **Rating:** Citigroup : 1M Morningstar : 2 S&P : 3	CAG	04/04/06	22,047.83	21.59	22.60	22,600.00	552.17 ST	3.185	720.00
25	HOME DEPOT INC	HD		Please provide		38.12	953.00	Not available		
460	**Rating:** Citigroup : 2M Argus : 1		10/25/02	14,288.86	30.41	38.12	17,535.20	3,246.34* LT		
485	Buckingham : 2 S&P : 1			14,288.86	31.063		18,488.20	3,246.34**	1.573	291.00
200	ICOS CORP **Rating:** S&P* : 1	ICOS	10/25/02	4,719.13	23.00	20.32	4,064.00	(655.13)*LT		

4131

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
200	INTEL CORP	INTC		Please provide		$ 18.02	$ 3,604.00	Not available		
700	**Rating:** Citigroup : 1M		04/04/06	13,865.50	19.35	18.02	12,614.00	(1,251.50) ST		
900	Argus : 1 Morningstar : 2 S&P : 3			13,865.50	19.803		16,218.00	(1,251.50)**	2.219	360.00
1,000	LYONDELL CHEMICAL COMPANY	LYO	04/04/06	20,144.71	19.71	24.20	24,200.00	4,055.29 ST	3.719	900.00
	Rating: Citigroup : 2H Argus : 1 Morningstar : 2 Buckingham : 2 S&P : 3									
1,000	MATTEL INC DE	MAT	09/21/05	16,740.78	18.35	16.81	16,810.00	69.22 ST	2.974	500.00
	Rating: Citigroup : 2H S&P : 2									
400	MERCK & CO INC	MRK	10/25/02	20,252.98	49.75	33.29	13,316.00	(6,936.98)*LT	4.565	608.00
	Rating: Citigroup : 2M Argus : 3 Morningstar : 2 S&P : 2									
300	MICROSOFT CORP	MSFT		Please provide		22.65	6,795.00	Not available	1.589	108.00
	Rating: Citigroup : 2M Argus : 1 Morningstar : 1 S&P : 1									
800	PEABODY ENERGY CORP	BTU	08/05/04	10,987.24	13.485	62.34	49,872.00	38,884.76* LT	.384	192.00
	Rating: S&P* : 2									
1,000	TRIQUINT SEMICONDUCTOR INC	TQNT	10/25/02	5,293.22	5.09	5.16	5,160.00	(133.22)*LT		
	Rating: S&P : 2									
Total common stocks and options				$ 184,348.44			$ 247,038.20	$ 22,219.55** ST	1.89	
								$ 34,670.81** LT		$ 4,695.00
Total portfolio value				$ 218,003.85			$ 293,595.81	$ 22,219.55** ST	12.17	
								$ 34,670.81** LT		$ 6,380.42

***Unrealized Gain/Loss is only calculated when an original cost basis is available.*

**Based on information supplied by client or other financial institution, not verified by us.*

4132

JAMES MCDERMOTT Account number

TRANSACTION DETAILS

All transactions appearing are based on trade date.

Bank Deposit Program℠ activity

The term DEPOSIT typically refers to client initiated deposit of funds. The term AUTODEPOSIT typically refers to the "sweep" of funds into a Program Bank that results from proceeds of a transaction, a dividend from a stock or interest from a bond. Conversely, WITHDRAWAL is the term that refers to transactions that cause funds to be redeemed from your Program Bank, such as the payment for an investment purchase or the payment of FMA checks that you have written.

Opening balance $ 61,437.37

Date	Activity	Description	Amount
05/26/06	Autodeposit	BANK DEPOSIT PROGRAM	48.00
		BANK DEPOSIT PROGRAM INTEREST CREDITED (SEE DETAILS UNDER EARNINGS DETAILS)	172.04
		Closing balance	$ 61,657.41

EARNINGS DETAILS

The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

Other dividends

Date	Description	Comment	Taxable	Non-taxable	Amount
05/25/06	PEABODY ENERGY CORP	CASH DIV ON 800.0000 SHS X/D 05/02/06	$ 48.00		$ 48.00
Total other dividends earned			$ 48.00	$ 0.00	$ 48.00

Bank Deposit Program Interest℠

This section contains interest credited to your account. Accrued interest is not included. See Portfolio details section for accrued interest information.

Date	Description	Comment	Taxable	Non-taxable	Amount
05/12/06	CITIBANK NA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 04/17/06-05/14/06 28 DAYS AVERAGE YIELD 4.35 %.	$ 172.04		$ 172.04
Total Bank Deposit Program Interest earned			$ 172.04	$ 0.00	$ 172.04

4133

citigroup
SMITH BARNEY

Ref: 00009991 00049748

Preferred Client
Individual Retirement Account
June 1 - June 30, 2006

JAMES MCDERMOTT
CGM IRA CUSTODIAN
710 9TH ST SE
WASHINGTON DC 20003-2804

Account number

Your Broker/Dealer is
CITIGROUP GLOBAL MKTS INC.
Your Financial Advisor
JANE H. SUZICK
TWO UNION SQUARE
601 UNION ST STE5200
SEATTLE WA 98101
206 628 4444
Email: jane.h.suzick@smithbarney.com
www.smithbarney.com

Preferred Client Service Center: 800-232-4454
Branch: 800 428 7837

Citigroup Global Markets Inc., member NYSE, NASD, and other principal exchanges. Smith Barney is a division and service mark of Citigroup Global Markets Inc. and its affiliates and is used and registered throughout the world. CITIGROUP and the Umbrella Device are trademarks and service marks of Citigroup or its affiliates and are used and registered throughout the world. Citigroup Global Markets Inc. is a member of the Securities Investor Protection Corporation (SIPC).

Account value

	Last period	This period	%
Bank Deposit Program℠-principal	$ 51,657.41	$ 52,633.31	18.30
Bank Deposit Program-accrued interest	107.52	0.00	
Common stocks & options	242,038.20	234,950.85	81.70
Total value	$ 293,803.13	$ 287,584.16	100.00
Total value (excluding accrued interest)	$ 293,695.61	$ 287,584.16	
Fair Market Value 12/31/05			$ 254,565.53

Earnings summary

	This period	This year
Other dividends	$ 698.75	$ 1,740.90
Bank Deposit Program℠	317.15	1,425.94
Total	$ 1,015.90	$ 3,166.84

Gain/loss summary

	This period	This year
Realized gain or (loss)	$ 0.00	($ 229.17) LT
		$ 0.00 ST
Unrealized gain or (loss) to date 49,740.56		

Cash, money fund, bank deposits

	This period	This year
Opening balance	$ 51,657.41	
Withdrawals	(40.00)	(40.00)
Dividends credited	698.75	
Bank Deposit Program℠ interest reinvested	317.15	
Closing balance	$ 52,633.31	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for on our books and records, these funds may be used for our business purposes.

Portfolio summary

	This period	This year
Beginning total value (excl. accr. int.)	$ 293,695.61	$ 254,565.53
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	(40.00)	(40.00)
Beginning value net of deposits/withdrawals	293,655.61	254,525.53
Total value as of 6/30/2006 (excl. accr. int.)	$ 287,584.16	$ 287,584.16
Total return	($ 6,071.45)	$ 33,058.63

JAMES MCDERMOTT Account number

IRA ACTIVITY SUMMARY

We report to the IRS total contributions, rollovers, recharacterizations, and distributions. For your convenience, deductible and non-deductible contributions are shown on this statement separately, based on information you provided.

	2006	2005	Since Inception*
Rollovers and Transfers			
Transfers into your IRA (Does not include value of direct investments)	$ 0.00	$ 206,542.55	

**Note: This column is provided for informational purposes only. The column does not include incoming or outgoing transfers of cash and securities. Please contact your Financial Advisor if you wish to adjust this column. We are not responsible for any information you provide.*

BENEFICIARIES

Please contact your Financial Advisor for the documents necessary to change or update your beneficiary information. CGMI is not the custodian of any annuities that may have been purchased through this account. Please contact the annuity company for your annuity beneficiary designation.

Primary Beneficiary	Contingent Beneficiary
THERESE M HANSEN	JAMES A C MCDERMOTT KATHERINE E MCDERMOTT

PORTFOLIO DETAILS

The values of your holdings are as of 06/30/06.

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled purchases/sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold. Please note unrealized gain/(loss) is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Please note: *unrealized gain/(loss) is being calculated for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Bank Deposit Program℠

Balances are FDIC insured up to $100,000 per institution, subject to combined total of all your deposits, including those outside this account.

Principal	Description	Current value	Accrued interest	Annualized % return	Anticipated income (annualized)
52,633.31	CITIBANK NA BANK DEPOSIT PROGRAM	$ 52,633.31		4.54%	$ 2,389.55
Total Bank Deposit Program		$ 52,633.31	$ 0.00	4.54%	$ 2,389.55

4135

Common stocks & options

Citigroup Investment Research and Independent, third-party research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Citigroup Investment Research stock recommendations include an investment rating and risk rating. The Investment Rating Code (1, 2 or 3) is a function of Citigroup Investment Research's expectation of total return (forecast price appreciation and dividend yield within the next 12 months) and a Risk Rating. The Risk Rating (L, M, H or S) represents the stock's expected risk, taking into account price volatility and fundamental criteria. Please refer to the end of this statement for a guide describing Citigroup Investment Research ratings. Independent, third-party research ratings have been normalized by such third-party providers to a 1 (Buy), 2 (Hold), and 3 (Sell). Citigroup Investment Research is not the author of, does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of the independent, third-party research. Except as noted by an asterisk (*), each independent research provider was selected by an Independent Consultant as required under the Global Research Analyst Settlement.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
40	AMGEN INC **Rating:** Citigroup : 1M Argus : 1 Morningstar . 1 S&P : 2	AMGN	08/21/00	$ 2,716.00	$ 67.87	$ 65.23	$ 2,609.20	($ 106.80)*LT		
80	APPLIED MATERIALS INC DELAWARE **Rating:** Citigroup : 2H Morningstar : 2 S&P : 1	AMAT	11/15/01	1,561.60	19.52	16.28	1,302.40	(259.20)*LT	1.228	16.00
1,000	ARCHER-DANIELS-MIDLAND CO **Rating:** Citigroup : 2M Morningstar : 3 S&P : 1	ADM	09/21/05	22,775.83	22.31	41.28	41,280.00	18,504.37 ST	.968	400.00
470	CISCO SYS INC **Rating:** Citigroup : 1H Argus : 1 Morningstar : 2 S&P : 1	CSCO		Please provide		19.53	9,179.10	Not available		
300	COMCAST CORP CL A	CMCSA	03/12/04	8,953.96	29.20	32.74	9,822.00	868.04* LT		
1,000	CONAGRA FOODS INC **Rating:** Citigroup : 1M Morningstar : 2 S&P : 3	CAG	04/04/06	22,047.83	21.59	22.11	22,110.00	62.17 ST	3.258	720.00
25	HOME DEPOT INC	HD		Please provide		35.79	894.75	Not available		
460	**Rating:** Citigroup : 2M		10/25/02	14,288.86	30.41	35.79	16,463.40	2,174.54* LT		
485	Morningstar : 1 Buckingham : 2 S&P : 1			14,288.86	31.063		17,358.15	2,174.54**	1.676	291.00
200	ICOS CORP **Rating:** S&P* : 1	ICOS	10/25/02	4,719.13	23.00	21.99	4,398.00	(321.13)*LT		
200	INTEL CORP	INTC		Please provide		19.00	3,800.00	Not available		
700	**Rating:** Citigroup : 1M		04/04/06	13,865.50	19.35	19.00	13,300.00	(565.50) ST		
900	Morningstar : 2 S&P : 3			13,865.50	19.808		17,100.00	(565.50)**	2.105	360.00

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
1,000	LYONDELL CHEMICAL COMPANY Rating: Citigroup : 2H Morningstar : 2 Buckingham : 2 S&P : 3	LYO	04/04/06	$ 20,144.71	$ 19.71	$ 22.66	$ 22,660.00	$ 2,515.29 ST	3.971%	$ 900.00
1,000	MATTEL INC DE Rating: Citigroup : 2H S&P : 2	MAT	09/21/05	18,740.78	16.35	16.51	16,510.00	(230.78) ST	3.028	500.00
400	MERCK & CO INC Rating: Citigroup : 2M Morningstar : 2 S&P : 2	MRK	10/25/02	20,262.98	49.75	36.43	14,572.00	(5,690.98)* LT	4.172	608.00
300	MICROSOFT CORP Rating: Citigroup : 2M Morningstar : 1 S&P : 1	MSFT		Please provide		23.30	6,990.00	Not available	1.545	108.00
800	PEABODY ENERGY CORP Rating: S&P* : 2	BTU	08/05/04	10,887.24	13.465	55.75	44,600.00	33,612.76* LT	.43	192.00
1,000	TRIQUINT SEMICONDUCTOR INC	TQNT	10/25/02	5,293.22	5.09	4.46	4,460.00	(833.22)* LT		
Total common stocks and options				$ 154,345.44			$ 234,650.85	$ 20,285.65** ST	1.74	
								$ 29,455.01** LT		$ 4,085.00
Total portfolio value				$ 218,978.75			$ 287,584.18	$ 20,285.35** ST	2.25	
								$ 29,455.01** LT		$ 6,484.55

***Unrealized Gain/Loss is only calculated when an original cost basis is available.*

**Based on information supplied by client or other financial institution, not verified by us.*

TRANSACTION DETAILS *All transactions appearing are based on trade date.*

Withdrawals

Date	Description	Reference no.	Amount
06/22/06	2006 CUSTODIAL FEE		40.00

Date	Description	Reference no	Amount

4137

Bank Deposit Program℠ activity

The term DEPOSIT typically refers to client initiated deposit of funds. The term AUTODEPOSIT typically refers to the "sweep" of funds into a Program Bank that results from proceeds of a transaction, a dividend from a stock or interest from a bond. Conversely, WITHDRAWAL is the term that refers to transactions that cause funds to be redeemed from your Program Bank, such as the payment for an investment purchase or the payment of FMA checks that you have written.

		Opening Balance	$ 51,657.43
Date	Activity	Description	Amount
06/02/06	Autodeposit	BANK DEPOSIT PROGRAM	270.00
06/09/06	Autodeposit	BANK DEPOSIT PROGRAM	31.00
06/12/06	Autodeposit	BANK DEPOSIT PROGRAM	100.00
06/16/06	Autodeposit	BANK DEPOSIT PROGRAM	225.00
06/23/06	Autodeposit	BANK DEPOSIT PROGRAM	32.75
		BANK DEPOSIT PROGRAM INTEREST CREDITED (SEE DETAILS UNDER EARNINGS DETAILS)	317.15
		Closing balance	**$ 52,633.31**

EARNINGS DETAILS

The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

Other dividends

Date	Description	Comment	Taxable	Non-taxable	Amount
06/01/06	CONAGRA FOODS INC	CASH DIV ON 1000.0000 SHS X/D 04/27/06	$ 180.00		$ 180.00
06/01/06	INTEL CORP	CASH DIV ON 900.0000 SHS X/D 05/03/06	90.00		90.00
06/08/06	APPLIED MATERIALS INC DELAWARE	CASH DIV ON 80.0000 SHS X/D 05/16/06	4.00		4.00
06/06/06	MICROSOFT CORP	CASH DIV ON 300.0000 SHS X/D 05/15/06	27.00		27.00
06/09/06	ARCHER-DANIELS-MIDLAND CO	CASH DIV ON 1000.0000 SHS X/D 05/17/06	100.00		100.00
06/15/06	LYONDELL CHEMICAL COMPANY	CASH DIV ON 1000.0000 SHS X/D 05/23/06	225.00		225.00
06/22/06	HOME DEPOT INC	CASH DIV ON 485.0000 SHS X/D 06/06/06	72.75		72.75
Total other dividends earned			**$ 698.75**	**$ 0.00**	**$ 698.75**

Bank Deposit Program Interest℠

This section contains interest credited to your account. Accrued interest is not included. See Portfolio details section for accrued interest information

Date	Description	Comment	Taxable	Non-taxable	Amount
06/30/06	CITIBANK NA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 05/15/06-07/02/06 49 DAYS AVERAGE YIELD 4.54 %	$ 317.15		$ 317.15
Total Bank Deposit Program interest earned			**$ 317.15**	**$ 0.00**	**$ 317.15**

citigroup
SMITH BARNEY

Ref: 00004590 00030557

Preferred Client Individual Retirement Account
July 1 - July 31, 2006

JAMES MCDERMOTT
CGM IRA CUSTODIAN
710 9TH ST SE
WASHINGTON DC 20003-2804

Your Broker/Dealer is
CITIGROUP GLOBAL MKTS INC.
Your Financial Advisor
JANE H. SUZICK
TWO UNION SQUARE
601 UNION ST STE5200
SEATTLE WA 98101
206 628 4444
Email: jane.h.suzick@smithbarney.com
www.smithbarney.com

Account number

Preferred Client Service Center: 800-232-4454
Branch: 800 426 7837

Citigroup Global Markets Inc., member NYSE, NASD, and other principal exchanges. Smith Barney is a division and service mark of Citigroup Global Markets Inc. and its affiliates and is used and registered throughout the world. CITIGROUP and the Umbrella Device are trademarks and service marks of Citigroup or its affiliates and are used and registered throughout the world. Citigroup Global Markets Inc. is a member of the Securities Investor Protection Corporation (SIPC).

Account value

	Last period	This period	%
Bank Deposit Program[SM]-principal	$ 52,633.31	$ 52,983.58	18.45
Common stocks & options	234,950.85	234,185.15	81.55
Total value	$ 287,584.16	$ 287,168.73	100.00
Fair Market Value 12/31/05			$ 254,665.53

Earnings summary

	This period	This year
Other dividends	$ 152.00	$ 1,892.90
Bank Deposit Program[SM]	198.27	1,624.21
Total	$ 350.27	$ 3,517.11

Gain/loss summary

	This period	This year
Realized gain or (loss)	$ 0.00	($ 229.17) LT
		$ 0.00 ST
Unrealized gain or (loss) to date	49,749.36	

Cash, money fund, bank deposits

	This period	This year
Opening balance	$ 52,633.31	
Withdrawals	0.00	(40.00)
Dividends credited	152.00	
Bank Deposit Program[SM] interest reinvested	198.27	
Closing balance	$ 52,983.58	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for on our books and records, these funds may be used for our business purposes.

Portfolio summary

	This period	This year
Beginning total value (excl. accr. int.)	$ 287,584.16	$ 254,665.53
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	0.00	(40.00)
Beginning value net of deposits/withdrawals	287,584.16	254,525.53
Total value as of 7/31/2006 (excl. accr. int.)	$ 287,168.73	$ 287,168.73
Total return	($ 415.43)	$ 32,643.20

4139

JAMES MCDERMOTT Account number

IRA ACTIVITY SUMMARY

We report to the IRS total contributions, rollovers, recharacterizations, and distributions. For your convenience, deductible and non-deductible contributions are shown on this statement separately, based on information you provided.

	2006	2005	Since inception*
Rollovers and Transfers			
Transfers into your IRA (Does not include value of direct investments)	$ 0.00	$ 208,542.35	

**Note: This column is provided for informational purposes only. The column does not include incoming or outgoing transfers of cash and securities. Please contact your Financial Advisor if you wish to adjust this column. We are not responsible for any information you provide.*

BENEFICIARIES

Please contact your Financial Advisor for the documents necessary to change or update your beneficiary information. CGMI is not the custodian of any annuities that may have been purchased through this account. Please contact the annuity company for your annuity beneficiary designation.

Primary Beneficiary	Contingent Beneficiary
THERESE M HANSEN	JAMES A C MCDERMOTT KATHERINE E MCDERMOTT

PORTFOLIO DETAILS

The values of your holdings are as of 07/31/06

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.
***Please Note:** unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Bank Deposit Program[2M]

Balances are FDIC insured up to $100,000 per institution, subject to combined total of all your deposits, including those outside this account.

Principal	Description	Current value	Accrued interest	Annualized % return	Anticipated income (annualized)
52,983.58	CITIBANK NA BANK DEPOSIT PROGRAM	$ 52,983.58		4.72%	$ 2,500.82
Total Bank Deposit Program		$ 52,983.58	$ 0.00	4.72%	$ 2,500.82

4140

Common stocks & options

Citigroup Investment Research and independent, third-party research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Citigroup Investment Research stock recommendations include an investment rating and risk rating. The Investment Rating Code (1, 2 or 3) is a function of Citigroup Investment Research's expectation of total return (forecast price appreciation and dividend yield within the next 12 months) and a Risk Rating. The Risk Rating (L, M, H or S) represents the stock's expected risk, taking into account price volatility and fundamental criteria. Please refer to the end of this statement for a guide describing Citigroup Investment Research ratings. Independent, third-party research ratings have been normalized by such third-party providers to a 1 (Buy), 2 (Hold), and 3 (Sell). Citigroup Investment Research is not the author of, does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of the independent, third-party research. Except as noted by an asterisk (*), each independent research provider was selected by an Independent Consultant as required under the Global Research Analyst Settlement.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
40	~~AMGEN INC~~ **Rating:** Citigroup : 1M Argus : 1 Morningstar : 1 S&P : 1	AMGN	08/21/00	$ 2,715.00	$ 67.87	$ 69.71	$ 2,788.40	$ 73.40* LT		
80	APPLIED MATERIALS INC DELAWARE **Rating:** Citigroup : 2H Argus : 1 Morningstar : 1 S&P : 1	AMAT	11/15/01	1,561.60	19.52	15.76	1,260.80	(300.80)*LT	1.269	16.00
1,000	ARCHER-DANIELS-MIDLAND CO **Rating:** Citigroup : 1M Morningstar : 3 S&P : 1	ADM	09/21/05	22,775.63	22.31	44.00	44,000.00	21,224.37 ST	.909	400.00
470	CISCO SYS INC **Rating:** Citigroup : 1H Argus : 1 Morningstar : 2 S&P : 1	CSCO		Please provide		17.88	8,403.60	Not available		
300	COMCAST CORP CL A	CMCSA	03/12/04	8,953.86	29.20	34.38	10,314.00	1,360.04* LT		
1,000	CONAGRA FOODS INC **Rating:** Citigroup : 1M Morningstar : 2 S&P : 3	CAG	04/04/05	22,047.83	21.59	21.50	21,500.00	(547.83) ST	3.348	720.00
25	HOME DEPOT INC **Rating:** Citigroup : 2M Argus : 1 Morningstar : 1 Buckingham : 2 S&P : 1	HD		Please provide		34.71	867.75	Not available		
460			10/25/02	14,288.86	30.41	34.71	15,966.60	1,677.74* LT		
485				14,288.86	31.063		16,834.35	1,677.74**	1.728	291.00
200	ICOS CORP **Rating:** S&P* : 1	ICOS	10/25/02	4,719.13	23.00	22.84	4,568.00	(151.13)*LT		

JAMES MCDERMOTT — Account number

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
200	INTEL CORP	INTC		Please provide		$ 18.00	$ 3,600.00	Not available		
700	**Rating:** Citigroup : 1M Argus : 1 Morningstar : 1 S&P : 3		04/04/06	13,865.50	19.35	18.00	12,600.00	(1,265.50) ST		
900				13,865.50	19.808		16,200.00	(1,265.50)**	2.222	360.00
1,000	LYONDELL CHEMICAL COMPANY **Rating:** Citigroup : 2H Argus : 1 Morningstar : 2 Buckingham : 2 S&P : 3	LYO	04/04/06	20,144.71	19.71	22.27	22,270.00	2,125.29 ST	4.041	900.00
1,000	MATTEL INC DE **Rating:** Citigroup : 2H S&P : 2	MAT	09/21/05	18,740.78	16.35	18.04	18,040.00	1,299.22 ST	2.771	500.00
400	MERCK & CO INC **Rating:** Citigroup : 2M Argus : 3 S&P : 2	MRK	10/25/02	20,252.88	49.75	40.27	16,108.00	(4,144.88)*LT	3.774	608.00
300	MICROSOFT CORP **Rating:** Citigroup : 2M Argus : 1 Morningstar : 1 S&P : 1	MSFT		Please provide		24.06	7,218.00	Not available	1.496	108.00
800	PEABODY ENERGY CORP **Rating:** S&P* : 2	BTU	08/05/04	10,887.24	13.465	49.95	39,960.00	28,972.76* LT	48	192.00
1,000	TRIQUINT SEMICONDUCTOR INC	TQNT	10/25/02	5,293.22	5.09	4.72	4,720.00	(573.22)*LT		
Total common stocks and options				$ 184,346.44			$ 234,185.15	$ 22,835.55** ST	1.74	
								$ 28,913.81** LT		$ 4,085.00
Total portfolio value				$ 217,330.09			$ 267,168.73	$ 22,835.55** ST	2.29	
								$ 28,913.81** LT		$ 6,595.82

*Based on information supplied by client or other financial institution, not verified by us.

**Unrealized Gain/Loss is only calculated when an original cost basis is available.

4142

JAMES MCDERMOTT Account number

TRANSACTION DETAILS

All transactions appearing are listed on trade date.

Bank Deposit Program[SM] activity

The term DEPOSIT typically refers to client initiated deposit of funds. The term AUTODEPOSIT typically refers to the "sweep" of funds into a Program Bank that results from proceeds of a transaction, a dividend from a stock or interest from a bond. Conversely, WITHDRAWAL is the term that refers to transactions that cause funds to be redeemed from your Program Bank, such as the payment for an investment purchase or the payment of FMA checks that you have written.

		Opening balance	$ 52,833.31
Date	Activity	Description	Amount
07/05/06	Autodeposit	BANK DEPOSIT PROGRAM	152.00

Date	Activity	Description	Amount
		BANK DEPOSIT PROGRAM INTEREST CREDITED (SEE DETAILS UNDER EARNINGS DETAILS)	198.27
		Closing balance	**$ 52,983.58**

EARNINGS DETAILS

The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

Other dividends

Date	Description	Comment	Taxable	Non-taxable	Amount
07/03/06	MERCK & CO INC	CASH DIV ON 400.0000 SHS X/D 05/31/06	$ 152.00		$ 152.00
Total other dividends earned			$ 152.00	$ 0.00	$ 152.00

Bank Deposit Program Interest[SM]

This section contains interest credited to your account. Accrued interest is not included. See Portfolio details section for accrued interest information.

Date	Description	Comment	Taxable	Non-taxable	Amount
07/31/06	CITIBANK NA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 07/03/06-07/31/06 29 DAYS AVERAGE YIELD 4.72 %.	$ 198.27		$ 198.27
Total Bank Deposit Program interest earned			$ 198.27	$ 0.00	$ 198.27

4143

citigroup
SMITH BARNEY

Ref: 00004746 00031476

Preferred Client
Individual Retirement Account
August 1 - August 31, 2006

JAMES MCDERMOTT
CGM IRA CUSTODIAN
710 9TH ST SE
WASHINGTON DC 20003-2804

Account number

Your Broker/Dealer is
CITIGROUP GLOBAL MKTS INC.
Your Financial Advisor
JANE H. SUZICK
TWO UNION SQUARE
601 UNION ST STE5200
SEATTLE WA 98101
206 628 4444
Email: jane.h.suzick@smithbarney.com
www.smithbarney.com

Preferred Client Service Center, 800-232-4454
Branch: 800 426 7837

Citigroup Global Markets Inc., member NYSE, NASD, and other principal exchanges. Smith Barney is a division and service mark of Citigroup Global Markets Inc. and its affiliates and is used and registered throughout the world. CITIGROUP and the Umbrella Device are trademarks and service marks of Citigroup or its affiliates and are used and registered throughout the world. Citigroup Global Markets Inc. is a member of the Securities Investor Protection Corporation (SIPC).

Account value

	Last period	This period	%
Bank Deposit Program[BH]-principal	$ 52,983.58	$ 53,247.91	18.28
Common stocks & options	234,185.15	237,986.15	81.72
Total value	$ 287,168.73	$ 291,234.06	100.00
Fair Market Value 12/31/05		$ 254,565.53	

Earnings summary

	This period	This year
Other dividends	$ 48.00	$ 1,940.90
Bank Deposit Program[BH]	216.33	1,840.54
Total	$ 264.33	$ 3,781.44

Gain/loss summary

		This period	This year
Realized gain or (loss)		$ 0.00	($ 229.17) LT
			$ 0.00 ST
Unrealized gain or (loss) to date	50,823.18		

Cash, money fund, bank deposits

	This period	This year
Opening balance	$ 52,983.58	
Withdrawals	0.00	(40.00)
Dividends credited	48.00	
Bank Deposit Program[BH] interest reinvested	216.33	
Closing balance	$ 53,247.91	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for on our books and records, these funds may be used for our business purposes.

Portfolio summary

	This period	This year
Beginning total value (excl. accr. int.)	$ 287,168.73	$ 254,565.53
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	0.00	(40.00)
Beginning value net of deposits/withdrawals	287,168.73	254,525.53
Total value as of 8/31/2006 (excl. accr. int.)	$ 291,234.06	$ 291,234.06
Total return	$ 4,065.33	$ 36,708.53

4144

JAMES MCDERMOTT **Account number**

IRA ACTIVITY SUMMARY

We report to the IRS total contributions, rollovers, recharacterizations, and distributions. For your convenience, deductible and non-deductible contributions are shown on this statement separately, based on information you provided.

	2006	2005	Since Inception*
Rollovers and Transfers			
Transfers into your IRA (Does not include value of direct investments)	$ 0.00	$ 208,542.35	

**Note: This column is provided for informational purposes only. The column does not include incoming or outgoing transfers of cash and securities. Please contact your Financial Advisor if you wish to adjust this column. We are not responsible for any information you provide.*

BENEFICIARIES

Please contact your Financial Advisor for the documents necessary to change or update your beneficiary information. CGMI is not the custodian of any annuities that may have been purchased through this account. Please contact the annuity company for your annuity beneficiary designation.

Primary Beneficiary	Contingent Beneficiary
THERESE M HANSEN	JAMES A C MCDERMOTT KATHERINE E MCDERMOTT

PORTFOLIO DETAILS

The values of your holdings are as of 08/31/06.

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.
***Please Note:** unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Bank Deposit Program**

Balances are FDIC insured up to $100,000 per institution, subject to combined total of all your deposits, including those outside this account.

Principal	Description	Current value	Accrued interest	Annualized % return	Anticipated income (annualized)
53,247.91	CITIBANK NA BANK DEPOSIT PROGRAM	$ 53,247.91		4.80%	$ 2,555.89
Total Bank Deposit Program		$ 53,247.91	$ 0.00	4.80%	$ 2,555.89

4145

JAMES MCDERMOTT — Account number

Common stocks & options

Citigroup Investment Research and Independent, third-party research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Citigroup Investment Research stock recommendations include an investment rating and risk rating. The Investment Rating Code (1, 2 or 3) is a function of Citigroup Investment Research's expectation of total return (forecast price appreciation and dividend yield within the next 12 months) and a Risk Rating. The Risk Rating (L, M, H or S) represents the stock's expected risk, taking into account price volatility and fundamental criteria. Please refer to the end of this statement for a guide describing Citigroup Investment Research ratings. Independent, third-party research ratings have been normalized by such third-party providers to a 1 (Buy), 2 (Hold), and 3 (Sell). Citigroup Investment Research is not the author of, does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of the independent, third-party research. Except as noted by an asterisk (*), each independent research provider was selected by an Independent Consultant as required under the Global Research Analyst Settlement.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
40	AMGEN INC Rating: Citigroup : 1M Argus : 1 Morningstar : 1 S&P : 1	AMGN	08/21/00	$ 2,715.00	$ 67.87	$ 68.03	$ 2,721.20	$ 6.20* LT		
80	APPLIED MATERIALS INC DELAWARE Rating: Citigroup : 2H Argus : 1 Morningstar : 2 S&P : 1	AMAT	11/15/01	1,561.60	19.52	16.90	1,352.00	(209.60)*LT	1.183	16.00
1,000	ARCHER-DANIELS-MIDLAND CO Rating: Citigroup : 1M Morningstar : 3 S&P : 1	ADM	09/21/05	22,775.63	22.31	41.17	41,170.00	18,394.37 ST	.971	400.00
470	CISCO SYS INC Rating: Citigroup : 1H Argus : 1 Morningstar : 2 S&P : 1	CSCO		Please provide		21.99	10,335.30	Not available		
300	COMCAST CORP CL A	CMCSA	03/12/04	8,953.96	29.20	35.04	10,512.00	1,558.04* LT		
1,000	CONAGRA FOODS INC Rating: Citigroup : 1M Morningstar : 2 S&P : 3	CAG	04/04/06	22,047.83	21.59	23.80	23,800.00	1,752.17 ST	3.025	720.00
25	HOME DEPOT INC Rating: Citigroup : 2M Argus : 1 Morningstar : 1 Buckingham : 2 S&P : 1	HD		Please provide		34.29	857.25	Not available		
480			10/25/02	14,288.86	30.41	34.29	15,773.40	1,484.54* LT		
485				14,288.86	31.063		16,630.65	1,484.54**	1.749	291.00
200	ICOS CORP Rating: S&P* : 1	ICOS	10/25/02	4,719.13	23.00	24.58	4,916.00	196.87* LT		

4146

JAMES MCDERMOTT — Account number

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
200	INTEL CORP	INTC		Please provide		$ 19.57	$ 3,914.00	Not available		
700	**Rating:** Citigroup : 1M		04/04/06	13,865.50	19.35	19.57	13,699.00	(166.50) ST		
900	Argus : 1 Morningstar : 2 S&P : 3			13,865.50	19.803		17,613.00	(188.50)**	2.043	360.00
1,000	LYONDELL CHEMICAL COMPANY	LYO	04/04/06	20,144.71	19.71	25.98	25,980.00	5,835.29 ST	3.464	900.00
	Rating: Citigroup : 2H Argus : 1 Morningstar : 2 Buckingham : 2 S&P : 3									
1,000	MATTEL INC DE	MAT	09/21/05	16,740.78	16.35	18.84	18,840.00	2,099.22 ST	2.653	500.00
	Rating: Citigroup : 2H S&P : 2									
400	MERCK & CO INC	MRK	10/25/02	20,252.98	49.75	40.55	16,220.00	(4,032.98)*LT	3.748	608.00
	Rating: Citigroup : 2M Argus : 3 Morningstar : 2 S&P : 2									
300	MICROSOFT CORP	MSFT		Please provide		25.70	7,710.00	Not available	1.40	108.00
	Rating: Citigroup : 2M Argus : 1 Morningstar : 1 S&P : 1									
800	PEABODY ENERGY CORP	BTU	08/05/04	10,987.24	13.465	44.07	35,256.00	24,268.76* LT	.544	192.00
	Rating: S&P* : 2									
1,000	TRIQUINT SEMICONDUCTOR INC	TQNT	10/25/02	5,283.22	5.09	4.93	4,930.00	(353.22)*LT		
Total common stocks and options				$ 156,346.44			$ 237,989.16	$ 27,914.55** ST	1.72	
								$ 22,908.61** LT		$ 4,095.00
Total portfolio value				$ 217,596.26			$ 291,234.06	$ 27,914.55** ST	2.28	
								$ 22,908.61** LT		$ 6,850.89

*Based on information supplied by client or other financial institution, not verified by us.

**Unrealized Gain/Loss is only calculated when an original cost basis is available.

4147

TRANSACTION DETAILS

All transactions appearing dly based on trade date.

Bank Deposit Program℠ activity

The term DEPOSIT typically refers to client initiated deposit of funds. The term AUTODEPOSIT typically refers to the "sweep" of funds into a Program Bank that results from proceeds of a transaction, a dividend from a stock or interest from a bond. Conversely, WITHDRAWAL is the term that refers to transactions that cause funds to be redeemed from your Program Bank, such as the payment for an investment purchase or the payment of FMA checks that you have written.

		Opening balance	$ 52,983.58
Date	Activity	Description	Amount
08/31/06	Autodeposit	BANK DEPOSIT PROGRAM	48.00

Date	Activity	Description	Amount
		BANK DEPOSIT PROGRAM INTEREST CREDITED (SEE DETAILS UNDER EARNINGS DETAILS)	216.33
		Closing balance	$ 53,247.91

EARNINGS DETAILS

The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

Other dividends

Date	Description	Comment	Taxable	Non-taxable	Amount
08/30/06	PEABODY ENERGY CORP	CASH DIV ON 800.0000 SHS X/D 08/07/06	$ 48.00		$ 48.00
Total other dividends earned			$ 48.00	$ 0.00	$ 48.00

Bank Deposit Program Interest℠

This section contains interest credited to your account. Accrued interest is not included. See Portfolio details section for accrued interest information.

Date	Description	Comment	Taxable	Non-taxable	Amount
08/31/06	CITIBANK NA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 08/01/06-08/31/06 31 DAYS AVERAGE YIELD 4.80 %.	$ 216.33		$ 216.33
Total Bank Deposit Program interest earned			$ 216.33	$ 0.00	$ 216.33

4148

citigroup
SMITH BARNEY

Ref: 00009882 00049485

Preferred Client
Individual Retirement Account
September 1 - September 30, 2006

Account number

JAMES MCDERMOTT
CGM IRA CUSTODIAN
710 9TH ST SE
WASHINGTON DC 20003-2804

Your Broker/Dealer is
CITIGROUP GLOBAL MKTS INC.
Your Financial Advisor
JANE H. SUZICK
TWO UNION SQUARE
601 UNION ST STE5200
SEATTLE WA 98101
206 628 4444
Email: jane.h.suzick@smithbarney.com
www.smithbarney.com

Preferred Client Service Center: 800-232-4454
Branch: 800 426 7837

Citigroup Global Markets Inc., member NYSE, NASD, and other principal exchanges. Smith Barney is a division and service mark of Citigroup Global Markets Inc. and its affiliates and is used and registered throughout the world. CITIGROUP and the Umbrella Device are trademarks and service marks of Citigroup or its affiliates and are used and registered throughout the world. Citigroup Global Markets Inc. is a member of the Securities Investor Protection Corporation (SIPC).

Account value	Last period	This period	%
Bank Deposit Program[2nd]-principal	$ 53,247.91	$ 16,937.06	5.90
Common stocks & options	237,986.15	270,335.15	94.10
Total value	$291,234.06	$ 287,272.21	100.00
Fair Market Value 12/31/05		$ 254,525.53	

Earnings summary	This period	This year
Other dividends	$ 698.75	$ 2,639.65
Bank Deposit Program[2nd]	107.39	1,947.93
Total	$ 806.14	$ 4,587.58

Gain/loss summary		This period	This year
Realized gain or (loss)		$ 3,924.52	($ 229.17) LT $ 3,924.52 ST
Unrealized gain or (loss) to date	40,920.85		

Cash, money fund, bank deposits	This period	This year
Opening balance	$ 53,247.91	
Securities bought and other subtractions	(61,186.22)	
Securities sold and other additions	24,069.23	
Withdrawals	0.00	(40.00)
Dividends credited	698.75	
Bank Deposit Program[2nd] interest reinvested	107.39	
Closing balance	$ 16,937.06	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for on our books and records, these funds may be used for our business purposes.

Portfolio summary	This period	This year
Beginning total value (excl. accr. int.)	$ 291,234.06	$ 254,565.53
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	0.00	(40.00)
Beginning value net of deposits/withdrawals	291,234.06	254,525.53
Total value as of 9/29/2006 (excl. accr. int.)	$ 287,272.21	$ 287,272.21
Total return	($ 3,961.85)	$ 32,746.68

4149

IRA ACTIVITY SUMMARY

We report to the IRS total contributions, rollovers, recharacterizations, and distributions. For your convenience, deductible and non-deductible contributions are shown on this statement separately, based on information you provided.

	2006	2005	Since Inception*
Rollovers and Transfers			
Transfers into your IRA (Does not include value of direct investments)	$ 0.00	$ 208,542.35	

**Note: This column is provided for informational purposes only. The column does not include incoming or outgoing transfers of cash and securities. Please contact your Financial Advisor if you wish to adjust this column. We are not responsible for any information you provide.*

BENEFICIARIES

Please contact your Financial Advisor for the documents necessary to change or update your beneficiary information. CGMI is not the custodian of any annuities that may have been purchased through this account. Please contact the annuity company for your annuity beneficiary designation.

Primary Beneficiary	Contingent Beneficiary
THERESE M HANSEN	JAMES A C MCDERMOTT KATHERINE E MCDERMOTT

PORTFOLIO DETAILS

The values of your holdings are as of 09/30/06.

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.
Please Note: *unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Bank Deposit Program℠

Balances are FDIC insured up to $100,000 per institution, subject to combined total of all your deposits, including those outside this account.

Principal	Description	Current value	Accrued interest	Annualized % return	Anticipated income (annualized)
16,937.06	CITIBANK NA BANK DEPOSIT PROGRAM	$ 16,937.06		3.31%	$ 560.61
Total Bank Deposit Program		$ 16,937.06	$ 0.00	3.31%	$ 560.61

4150

JAMES MCDERMOTT *Account number*

Common stocks & options

Citigroup Investment Research and independent, third-party research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Citigroup Investment Research stock recommendations include an investment rating and risk rating. The Investment Rating Code (1, 2 or 3) is a function of Citigroup Investment Research's expectation of total return (forecast price appreciation and dividend yield within the next 12 months) and a Risk Rating. The Risk Rating (L, M, H or S) represents the stock's expected risk, taking into account price volatility and fundamental criteria. Please refer to the end of this statement for a guide describing Citigroup Investment Research ratings. Independent, third-party research ratings have been normalized by such third-party providers to a 1 (Buy), 2 (Hold), and 3 (Sell). Citigroup Investment Research is not the author of, does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of the independent, third-party research. Except as noted by an asterisk (*), each independent research provider was selected by an Independent Consultant as required under the Global Research Analyst Settlement.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
40	AMGEN INC **Rating:** Citigroup : 1M Argus : 1 Morningstar : 2 S&P : 1	AMGN	08/21/00	$ 2,715.00	$ 67.87	$ 71.53	$ 2,861.20	$ 146.20* LT		
80	APPLIED MATERIALS INC DELAWARE **Rating:** Citigroup : 2H Argus : 1 Morningstar : 2 S&P : 1	AMAT	11/15/01	1,561.60	19.52	17.73	1,418.40	(143.20)*LT	1.128	16.00
1,000	ARCHER-DANIELS-MIDLAND CO **Rating:** Citigroup : 1M Morningstar : 3 S&P : 1	ADM	09/21/05	22,776.63	22.31	37.88	37,880.00	15,104.37 LT	1.055	400.00
470	CISCO SYS INC **Rating:** Citigroup : 1H Argus : 1 Morningstar : 2 S&P : 1	CSCO		Please provide		22.98	10,800.60	Not available		
300	COMCAST CORP CL A	CMCSA	03/12/04	8,953.96	29.20	36.90	11,070.00	2,116.04* LT		
1,000	CONAGRA FOODS INC **Rating:** Citigroup : 1M Morningstar : 2 S&P : 2	CAG	04/04/06	22,047.83	21.59	24.48	24,480.00	2,432.17 ST	2.941	720.00
600	E I DU PONT DE NEMOURS & CO **Rating:** Citigroup : 1M Argus : 1 Morningstar : 2 Buckingham : 2 S&P : 2	DD	09/13/06	24,822.74	40.62	42.84	25,704.00	881.26 ST	3.454	888.00

4151

JAMES MCDERMOTT *Account number*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
25	HOME DEPOT INC	HD		Please provide		$ 36.27	$ 906.75	Not available		
460	**Rating:** Citigroup : 2M		10/25/02	14,288.86	30.41	36.27	16,684.20	2,395.34* LT		
485	Argus : 1			14,288.86	31.063		17,590.95	2,395.34**	1.654	291.00
	Morningstar : 1									
	Buckingham : 2									
	S&P : 1									
200	ICOS CORP	ICOS	10/25/02	4,719.13	23.00	25.06	5,012.00	292.87* LT		
	Rating: S&P* : 1									
200	INTEL CORP	INTC		Please provide		20.57	4,114.00	Not available		
700	**Rating:** Citigroup : 1M		04/04/06	13,865.50	19.35	20.57	14,399.00	533.50 ST		
900	Argus : 2			13,865.50	19.806		18,513.00	533.50**	1.944	360.00
	Morningstar : 2									
	S&P : 3									
1,000	MATTEL INC DE	MAT	09/21/05	16,740.78	16.35	19.70	19,700.00	2,959.22 LT	2.538	500.00
	Rating: Citigroup : 2H									
	S&P : 2									
400	MERCK & CO INC	MRK	10/25/02	20,252.88	49.75	41.90	16,760.00	(3,492.88)*LT	3.627	508.00
	Rating: Citigroup : 2M									
	Argus : 3									
	Morningstar : 2									
	S&P : 1									
300	MICROSOFT CORP	MSFT		Please provide		27.35	8,205.00	Not available	1.462	120.00
	Rating: Citigroup : 2M									
	Argus : 1									
	Morningstar : 1									
	S&P : 1									
800	PEABODY ENERGY CORP	BTU	08/05/04	10,987.24	13.485	36.78	29,424.00	18,436.76* LT		
200	**Rating:** S&P* : 2		09/13/06	7,654.26	37.35	36.78	7,356.00	(298.26) ST		
1,000				18,641.50	18.642		36,780.00	18,138.50	.652	240.00
1,000	PFIZER INC	PFE	09/13/06	28,709.22	28.18	28.36	28,360.00	(349.22) ST	3.385	960.00
	Rating: Citigroup : 2M									
	Argus : 1									
	Morningstar : 2									
	S&P : 1									

4152

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
1,000	TRIQUINT SEMICONDUCTOR INC	TQNT	10/25/02	$ 5,293.22	$ 5.09	$ 5.20	$ 5,200.00	($ 93.22)*LT		
Total common stocks and options				$ 205,387.86			$ 270,325.15	$ 3,199.45** ST $ 37,721.40** LT	1.88	$ 5,103.00
Total portfolio value				$ 222,929.01			$ 287,272.21	$ 3,199.45** ST $ 37,721.40** LT	1.97	$ 5,663.61

*Based on information supplied by client or other financial institution, not verified by us.

**Unrealized Gain/Loss is only calculated when an original cost basis is available.

TRANSACTION DETAILS *All transactions appearing are based on trade date.*

Investment activity

Date	Activity	Description	Quantity	Price	Amount
09/13/06	Bought	E I DU PONT DE NEMOURS & CO	600	$ 40.62	$ -24,822.74
09/13/06	Sold	LYONDELL CHEMICAL COMPANY	-1,000	24.56	24,069.23
09/13/06	Bought	PEABODY ENERGY CORP	200	37.35	-7,654.26
09/13/06	Bought	PFIZER INC	1,000	28.18	-28,709.22
Total securities bought and other subtractions					$ -61,186.22
Total securities sold and other additions					$ 24,069.23

Bank Deposit Program[SM] activity

The term DEPOSIT typically refers to client initiated deposit of funds. The term AUTODEPOSIT typically refers to the "sweep" of funds into a Program Bank that results from proceeds of a transaction, a dividend from a stock or interest from a bond. Conversely, WITHDRAWAL is the term that refers to transactions that cause funds to be redeemed from your Program Bank, such as the payment for an investment purchase or the payment of FMA checks that you have written.

Opening balance $ 53,247.81

Date	Activity	Description	Amount
09/05/06	Autodeposit	BANK DEPOSIT PROGRAM	270.00
09/08/06	Autodeposit	BANK DEPOSIT PROGRAM	4.00
09/11/06	Autodeposit	BANK DEPOSIT PROGRAM	100.00
09/15/06	Autodeposit	BANK DEPOSIT PROGRAM	27.00
09/18/06	Withdrawal	BANK DEPOSIT PROGRAM	-36,891.99
09/22/06	Autodeposit	BANK DEPOSIT PROGRAM	72.75
		BANK DEPOSIT PROGRAM INTEREST CREDITED (SEE DETAILS UNDER EARNINGS DETAILS)	107.39
		Closing balance	$ 16,937.06

4153

EARNINGS DETAILS

The accuracy of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

Other dividends

Date	Description	Comment	Taxable	Non-taxable	Amount
09/01/06	CONAGRA FOODS INC	CASH DIV ON 1000.0000 SHS X/D 07/27/06	$ 180.00		$ 180.00
09/01/06	INTEL CORP	CASH DIV ON 900.0000 SHS X/D 08/03/06	90.00		90.00
09/07/06	APPLIED MATERIALS INC DELAWARE	CASH DIV ON 80.0000 SHS X/D 08/15/06	4.00		4.00
09/08/06	ARCHER-DANIELS-MIDLAND CO	CASH DIV ON 1000.0000 SHS X/D 08/16/06	100.00		100.00
09/14/06	MICROSOFT CORP	CASH DIV ON 300.0000 SHS X/D 08/15/06	27.00		27.00
09/15/06	LYONDELL CHEMICAL COMPANY	CASH DIV ON 1000.0000 SHS X/D 08/23/06	225.00		225.00
09/21/06	HOME DEPOT INC	CASH DIV ON 485.0000 SHS X/D 09/05/06	72.75		72.75
Total other dividends earned			**$ 898.75**	**$ 0.00**	**$ 898.75**

Bank Deposit Program Interest[SM]

This section contains interest credited to your account. Accrued interest is not included. See Portfolio details section for accrued interest information.

Date	Description	Comment	Taxable	Non-taxable	Amount
09/29/06	CITIBANK NA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 09/01/06-10/01/06 31 DAYS AVERAGE YIELD 3.31 %	$ 107.39		$ 107.39
Total Bank Deposit Program interest earned			**$ 107.39**	**$ 0.00**	**$ 107.39**

4154

GAIN/LOSS DETAILS

Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Trades are allocated using the FIFO (first in-first-out) method. Day traders should therefore not rely on this section for day trading results. Your reinvestment activity has been summarized. Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information. Detailed information will be available at year-end in your 1099 Year-end summary.

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
LYONDELL CHEMICAL COMPANY	04/04/06	09/13/06 Sold	1,000	$ 19.71	$ 24.56	$ 20,144.71	$ 24,069.23	$ 3,924.52	ST
Total Short Term this period								$ 3,924.52	
Total realized gain or (loss) - this period						$ 20,144.71	$ 24,069.23	$ 3,924.52	
Total Long Term - year-to-date								($ 229.17)	
Total Short Term - year-to-date								$ 3,924.52	
Total realized gain or (loss) - year-to-date						$ 43,482.66	$ 47,158.01	$ 3,695.35	

4155

citigroup
SMITH BARNEY

Ref: 00004785 00031825

Preferred Client
Individual Retirement Account
October 1 - October 31, 2006

JAMES MCDERMOTT
CGM IRA CUSTODIAN
710 9TH ST SE
WASHINGTON DC 20003-2804

Your Broker/Dealer is
CITIGROUP GLOBAL MKTS INC.
Your Financial Advisor
JANE H. SUZICK
TWO UNION SQUARE
601 UNION ST STE5200
SEATTLE WA 98101
206 628 4444
Email: jane.h.suzick@smithbarney.com
www.smithbarney.com

Account number

Preferred Client Service Center: 800-232-4454
Branch Phone: 800 426 7837

Citigroup Global Markets Inc., member NYSE, NASD, and other principal exchanges. Smith Barney is a division and service mark of Citigroup Global Markets Inc. and its affiliates and is used and registered throughout the world. CITIGROUP and the Umbrella Device are trademarks and service marks of Citigroup or its affiliates and are used and registered throughout the world. Citigroup Global Markets Inc. is a member of the Securities Investor Protection Corporation (SIPC).

Account value

	Last period	This period	%
Bank Deposit Program[SM]-principal	$ 16,937.06	$ 17,135.21	5.65
Common stocks & options	270,335.15	286,285.75	94.35
Total value	$ 287,272.21	$ 303,420.96	100.00
Fair Market Value 12/31/05			$ 254,565.53

Earnings summary

	This period	This year
Other dividends	$ 152.00	$ 2,791.85
Bank Deposit Program[SM]	46.15	1,994.08
Total	$ 198.15	$ 4,785.73

Gain/loss summary

		This period	This year
Realized gain or (loss)		$ 0.00	($ 229.17) LT
			$ 3,924.52 ST
Unrealized gain or (loss) to date	55,742.45		

Cash, money fund, bank deposits

	This period	This year
Opening balance	$ 16,937.06	
Withdrawals	0.00	(40.00)
Dividends credited	152.00	
Bank Deposit Program[SM] interest reinvested	46.15	
Closing balance	$ 17,135.21	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for on our books and records, these funds may be used for our business purposes.

Portfolio summary

	This period	This year
Beginning total value (excl. accr. int.)	$ 287,272.21	$ 254,565.53
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	0.00	(40.00)
Beginning value net of deposits/withdrawals	287,272.21	254,525.53
Total value as of 10/31/2006 (excl. accr. int.)	$ 303,420.96	$ 303,420.96
Total return	$ 16,148.75	$ 48,895.43

4156

JAMES MCDERMOTT Account number

IRA ACTIVITY SUMMARY

We report to the IRS total contributions, rollovers, recharacterizations, and distributions. For your convenience, deductible and non-deductible contributions are shown on this statement separately, based on information you provided.

	2006	2005	Since Inception*
Rollovers and Transfers			
Transfers into your IRA (Does not include value of direct investments)	$ 0.00	$ 208,542.35	

**Note: This column is provided for informational purposes only. The column does not include incoming or outgoing transfers of cash and securities. Please contact your Financial Advisor if you wish to adjust this column. We are not responsible for any information you provide.*

BENEFICIARIES

Please contact your Financial Advisor for the documents necessary to change or update your beneficiary information. CGMI is not the custodian of any annuities that may have been purchased through this account. Please contact the annuity company for your annuity beneficiary designation.

Primary Beneficiary	Contingent Beneficiary
THERESE M HANSEN	JAMES A C MCDERMOTT KATHERINE E MCDERMOTT

PORTFOLIO DETAILS - The value of your holdings are as of 10/31/06

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.
***Please Note:** unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Bank Deposit Program[SM]

Balances are FDIC insured up to $100,000 per institution, subject to combined total of all your deposits, including those outside this account.

Principal	Description	Current value	Accrued interest	Annualized % return	Anticipated income (annualized)
16,982.89	CITIBANK NA BANK DEPOSIT PROGRAM	$ 16,982.89		3.29%	$ 558.73
152.32	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	$ 152.32		3.29%	$ 5.01
Total Bank Deposit Program		$ 17,135.21	$ 0.00	3.29%	$ 563.74

4157

Common stocks & options

Citigroup Investment Research and Independent, third-party research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Citigroup Investment Research stock recommendations include an investment rating and risk rating. The Investment Rating Code (1, 2 or 3) is a function of Citigroup Investment Research's expectation of total return (forecast price appreciation and dividend yield within the next 12 months) and a Risk Rating. The Risk Rating (L, M, H or S) represents the stock's expected risk, taking into account price volatility and fundamental criteria. Please refer to the end of this statement for a guide describing Citigroup Investment Research ratings. Independent, third-party research ratings have been normalized by such third-party providers to a 1 (Buy), 2 (Hold), and 3 (Sell). Citigroup Investment Research is not the author of, does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of the independent, third-party research. Except as noted by an asterisk (*), each independent research provider was selected by an Independent Consultant as required under the Global Research Analyst Settlement.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
40	AMGEN INC Rating: Citigroup : 1M Argus : 1 Morningstar : 2 S&P : 1	AMGN	08/21/00	$ 2,715.00	$ 67.87	$ 75.81	$ 3,032.40	$ 321.40* LT		
80	APPLIED MATERIALS INC DELAWARE Rating: Citigroup : 2H Argus : 1 Morningstar : 2 S&P : 1	AMAT	11/15/01	1,561.60	19.52	17.39	1,391.20	(170.40)*LT	1.15	16.00
1,000	ARCHER-DANIELS-MIDLAND CO Rating: Citigroup : 1M Morningstar : 2 S&P : 1	ADM	09/21/05	22,776.63	22.31	38.50	38,500.00	15,724.37 LT	1.038	400.00
470	CISCO SYS INC Rating: Citigroup : 1H Argus : 1 Morningstar : 2	CSCO		Please provide		24.13	11,341.10	Not available		
300	COMCAST CORP CL A	CMCSA	03/12/04	8,953.96	29.20	40.87	12,201.00	3,247.04* LT		
1,000	CONAGRA FOODS INC Rating: Citigroup : 1M Morningstar : 3 S&P : 2	CAG	04/04/06	22,047.83	21.59	26.15	26,150.00	4,102.17 ST	2.753	720.00
600	E I DU PONT DE NEMOURS & CO Rating: Citigroup : 1M Argus : 1 Morningstar : 2 Buckingham : 2 S&P : 2	DD	09/13/06	24,822.74	40.62	45.80	27,480.00	2,657.26 ST	3.231	888.00

4158

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
25	HOME DEPOT INC	HD		Please provide		$ 37.33	$ 933.25	Not available		
460	Rating: Citigroup : 2M		10/25/02	14,288.86	30.41	37.33	17,171.80	2,882.94* LT		
485	Argus : 1			14,288.86	31.063		18,105.05	2,882.94**	1.607	291.00
	Morningstar : 1									
	Buckingham : 2									
	S&P : 1									
200	ICOS CORP	ICOS	10/25/02	4,719.13	23.00	31.72	6,344.00	1,624.87* LT		
	Rating: S&P* : 2									
~~200~~	~~INTEL CORP~~	~~INTC~~		Please provide		21.34	4,268.00	Not available		
700	Rating: Citigroup : 1M		04/04/06	13,865.50	19.35	21.34	14,938.00	1,072.50 ST		
900	Argus : 2			13,865.50	19.808		19,206.00	1,072.50**	1.874	360.00
	Morningstar : 2									
	S&P : 2									
1,000	MATTEL INC DE	MAT	09/21/05	16,740.78	16.35	22.63	22,630.00	5,889.22 LT	2.209	500.00
	Rating: Citigroup : 2H									
	S&P : 2									
400	MERCK & CO INC	MRK	10/25/02	20,252.98	49.75	45.42	18,168.00	(2,084.98)*LT	3.346	608.00
	Rating: Citigroup : 2M									
	Argus : 3									
	Morningstar : 2									
	S&P : 1									
300	MICROSOFT CORP	MSFT		Please provide		28.71	8,613.00	Not available	1.393	120.00
	Rating: Citigroup : 2M									
	Argus : 1									
	Morningstar : 1									
	S&P : 2									
800	PEABODY ENERGY CORP	BTU	08/05/04	10,987.24	13.485	41.97	33,576.00	22,588.76* LT		
200	Rating: S&P* : 2		09/13/06	7,654.26	37.35	41.97	8,394.00	739.74 ST		
1,000				18,641.50	18.642		41,970.00	23,328.50	.571	240.00
1,000	PFIZER INC	PFE	09/13/06	28,709.22	28.18	26.65	26,650.00	(2,059.22) ST	3.602	960.00
	Rating: Citigroup : 2M									
	Argus : 1									
	Morningstar : 2									
	S&P : 1									

4159

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
1,000	TRIQUINT SEMICONDUCTOR INC	TQNT	10/25/02	$ 5,293.22	$ 5.09	$ 4.50	$ 4,500.00	($ 793.22)*LT		
Total common stocks and options				$ 206,387.85			$ 269,285.75	$ 6,612.45** ST	1.76	
								$ 49,230.00** LT		$ 5,103.00
Total portfolio value				$ 222,523.18			$ 303,420.96	$ 6,512.45** ST	1.85	
								$ 49,200.00** LT		$ 5,666.74

*Based on information supplied by client or other financial institution, not verified by us.

**Unrealized Gain/Loss is only calculated when an original cost basis is available.

TRANSACTION DETAILS

All transactions appearing are based on trade date.

Bank Deposit Program℠ activity

The term DEPOSIT typically refers to client initiated deposit of funds. The term AUTODEPOSIT typically refers to the "sweep" of funds into a Program Bank that results from proceeds of a transaction, a dividend from a stock or interest from a bond. Conversely, WITHDRAWAL is the term that refers to transactions that cause funds to be redeemed from your Program Bank, such as the payment for an investment purchase or the payment of FMA checks that you have written.

Date	Activity	Description	Amount
		Opening balance	$ 16,937.06
10/03/06	Autodeposit	BANK DEPOSIT PROGRAM	152.00
		BANK DEPOSIT PROGRAM INTEREST CREDITED (SEE DETAILS UNDER EARNINGS DETAILS)	48.15
		Closing balance	$ 17,138.21

EARNINGS DETAILS

The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

Other dividends

Date	Description	Comment		Taxable	Non-taxable	Amount
10/02/06	MERCK & CO INC	CASH DIV ON X/D 08/30/06	400.0000 SHS	$ 152.00		$ 152.00
Total other dividends earned				$ 152.00	$ 0.00	$ 152.00

4160

Bank Deposit Program Interest℠

This section contains interest credited to your account. Accrued interest is not included. See Portfolio details section for accrued interest information.

Date	Description	Comment	Taxable	Non-taxable	Amount
10/31/06	CITIBANK NA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 10/02/06-10/31/06 30 DAYS AVERAGE YIELD 3.29 %.	$ 45.83		$ 45.83
10/31/06	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 10/02/06-10/31/06 30 DAYS AVERAGE YIELD 3.29 %.	.32		.32
Total Bank Deposit Program interest earned			$ 46.15	$ 0.00	$ 46.15

4161

citigroup
SMITH BARNEY

Ref: 00004976 00033168

Preferred Client
Individual Retirement Account
November 1 - November 30, 2006

JAMES MCDERMOTT
CGM IRA CUSTODIAN
710 9TH ST SE
WASHINGTON DC 20003-2804

Your Broker/Dealer is
CITIGROUP GLOBAL MKTS INC.
Your Financial Advisor
JANE H. SUZICK
TWO UNION SQUARE
601 UNION ST STE5200
SEATTLE WA 98101
206 628 4444
Email: jane.h.suzick@smithbarney.com
www.smithbarney.com

Account number

Preferred Client Service Center: 800-232-4454
Branch Phone: 800 426 7837

Citigroup Global Markets Inc., member NYSE, NASD, and other principal exchanges. Smith Barney is a division and service mark of Citigroup Global Markets Inc. and its affiliates and is used and registered throughout the world. CITIGROUP and the Umbrella Device are trademarks and service marks of Citigroup or its affiliates and are used and registered throughout the world. Citigroup Global Markets Inc. is a member of the Securities Investor Protection Corporation (SIPC).

Account value

	Last period	This period	%
Bank Deposit Program℠-principal	$ 17,135.21	$ 17,241.09	5.63
Common stocks & options	286,285.75	289,254.65	94.37
Total value	$ 303,420.96	$ 306,495.74	100.00
Fair Market Value 12/31/05			$ 254,565.53

Earnings summary

	This period	This year
Other dividends	$ 60.00	$ 2,851.65
Bank Deposit Program℠	45.88	2,039.96
Total	$ 105.88	$ 4,891.61

Gain/loss summary

		This period	This year
Realized gain or (loss)		$ 0.00	($ 229.17) LT
			$ 3,924.52 ST
Unrealized gain or (loss) to date	57,182.75		

Cash, money fund, bank deposits

	This period	This year
Opening balance	$ 17,135.21	
Withdrawals	0.00	(40.00)
Dividends credited	60.00	
Bank Deposit Program℠ interest reinvested	45.88	
Closing balance	$ 17,241.09	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for on our books and records, these funds may be used for our business purposes.

Portfolio summary

	This period	This year
Beginning total value (excl. accr. int.)	$ 303,420.96	$ 254,565.53
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	0.00	(40.00)
Beginning value net of deposits/withdrawals	303,420.96	254,525.53
Total value as of 11/30/2006 (excl. accr. int.)	$ 306,495.74	$ 306,495.74
Total return	$ 3,074.78	$ 51,970.21

4162

JAMES MCDERMOTT — Account number

IRA ACTIVITY SUMMARY

We report to the IRS total contributions, rollovers, recharacterizations, and distributions. For your convenience, deductible and non-deductible contributions are shown on this statement separately, based on information you provided.

	2006	2005	Since Inception*
Rollovers and Transfers			
Transfers into your IRA (Does not include value of direct investments)	$ 0.00	$ 208,542.35	

**Note: This column is provided for informational purposes only. The column does not include incoming or outgoing transfers of cash and securities. Please contact your Financial Advisor if you wish to adjust this column. We are not responsible for any information you provide.*

BENEFICIARIES

Please contact your Financial Advisor for the documents necessary to change or update your beneficiary information. CGMI is not the custodian of any annuities that may have been purchased through this account. Please contact the annuity company for your annuity beneficiary designation.

Primary Beneficiary	Contingent Beneficiary
THERESE M HANSEN	JAMES A C MCDERMOTT KATHERINE E MCDERMOTT

PORTFOLIO DETAILS

The values of your holdings are as of 11/30/06

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.
***Please Note:** unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Bank Deposit Program[SM]

Balances are FDIC insured up to $100,000 per institution, subject to combined total of all your deposits, including those outside this account.

Principal	Description	Current value	Accrued interest	Annualized % return	Anticipated income (annualized)
17,028.38	CITIBANK NA BANK DEPOSIT PROGRAM	$ 17,028.38		3.26%	$ 553.42
212.71	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	$ 212.71		3.25%	$ 6.91
Total Bank Deposit Program		$ 17,241.09	$ 0.00	3.25%	$ 560.33

4163

Common stocks & options

Citigroup Investment Research and independent, third-party research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Citigroup Investment Research stock recommendations include an investment rating and risk rating. The Investment Rating Code (1, 2 or 3) is a function of Citigroup Investment Research's expectation of total return (forecast price appreciation and dividend yield within the next 12 months) and a Risk Rating. The Risk Rating (L, M, H or S) represents the stock's expected risk, taking into account price volatility and fundamental criteria. Please refer to the end of this statement for a guide describing Citigroup Investment Research ratings. Independent, third-party research ratings have been normalized by such third-party providers to a 1 (Buy), 2 (Hold), and 3 (Sell). Citigroup Investment Research is not the author of, does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of the independent, third-party research. Except as noted by an asterisk (*), each independent research provider was selected by an Independent Consultant as required under the Global Research Analyst Settlement.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
40	AMGEN INC Rating: Citigroup : 1M Argus : 1 Morningstar : 2 S&P : 1	AMGN	08/21/00	$ 2,716.00	$ 67.87	$ 71.04	$ 2,841.60	$ 126.60* LT		
80	APPLIED MATERIALS INC DELAWARE Rating: Citigroup : 2H Argus : 1 Morningstar : 2 S&P : 1	AMAT	11/15/01	1,561.60	19.52	18.01	1,440.80	(120.80)* LT	1.11	16.00
1,000	ARCHER-DANIELS-MIDLAND CO Rating: Citigroup : 1M Morningstar : 2 S&P : 1	ADM	09/21/05	22,776.63	22.31	35.10	35,100.00	12,324.37 LT	1.139	400.00
470	CISCO SYS INC Rating: Citigroup : 1H Argus : 1 Morningstar : 2 S&P : 2	CSCO		Please provide		26.91	12,647.70	Not available		
300	COMCAST CORP CL A	CMCSA	03/12/04	8,953.98	29.20	40.46	12,138.00	3,184.04* LT		
1,000	CONAGRA FOODS INC Rating: Citigroup : 1M Morningstar : 3 S&P : 2	CAG	04/04/06	22,047.83	21.59	25.70	25,700.00	3,652.17 ST	2.801	720.00
600	E I DU PONT DE NEMOURS & CO Rating: Citigroup : 1M Argus : 1 Morningstar : 2 Buckingham : 2 S&P : 2	DD	09/13/06	24,822.74	40.62	46.93	28,158.00	3,335.26 ST	3.153	888.00

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
25	HOME DEPOT INC	HD		Please provide		$ 37.97	$ 949.25	Not available		
450	Rating: Citigroup : 2M		10/25/02	14,288.86	30.41	37.97	17,466.20	3,177.34* LT		
475	Argus : 1			14,288.86	31.063		18,415.45	3,177.34**	2.37	436.50
	Morningstar : 2									
	Buckingham : 2									
	S&P : 1									
200	ICOS CORP	ICOS	10/25/02	4,719.13	23.00	32.278	6,455.60	1,736.47* LT		
	Rating: S&P* : 2									
200	INTEL CORP	INTC		Please provide		21.395	4,279.00	Not available		
700	Rating: Citigroup : 1M		04/04/06	13,865.50	19.35	21.395	14,976.50	1,111.00 ST		
900	Argus : 2			13,865.50	19.808		19,255.50	1,111.00**	1.869	360.00
	Morningstar : 2									
1,000	MATTEL INC DE	MAT	09/21/05	16,740.78	16.35	21.85	21,850.00	5,209.22 LT	2.961	650.00
	Rating: Citigroup : 2H									
	S&P : 3									
400	MERCK & CO INC	MRK	10/25/02	20,252.98	49.75	44.51	17,804.00	(2,448.98)*LT	3.414	608.00
	Rating: Citigroup : 2M									
	Argus : 3									
	Morningstar : 2									
	S&P : 1									
300	MICROSOFT CORP	MSFT		Please provide		29.36	8,808.00	Not available	1.362	120.00
	Rating: Citigroup : 2M									
	Argus : 1									
	Morningstar : 2									
	S&P : 2									
800	PEABODY ENERGY CORP	BTU	08/05/04	10,987.24	13.485	46.01	36,808.00	25,820.76* LT		
200	Rating: S&P* : 2		09/13/06	7,654.26	37.35	46.01	9,202.00	1,547.74 ST		
1,000				18,641.50	18.642		46,010.00	27,368.50	.521	240.00
1,000	PFIZER INC	PFE	09/13/06	28,709.22	28.18	27.49	27,490.00	(1,219.22) ST	3.492	960.00
	Rating: Citigroup : 2M									
	Argus : 1									
	S&P : 1									

4165

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
1,000	TRIQUINT SEMICONDUCTOR INC	TQNT	10/25/02	$ 5,293.22	$ 5.09	$ 5.04	$ 5,040.00	($ 253.22)*LT		
Total common stocks and options				$ 205,397.96			$ 282,254.65	$ 8,426.85** ST	1.86	
								$ 48,755.80** LT		$ 5,393.50
Total portfolio value				$ 222,678.04			$ 306,495.74	$ 8,426.85** ST	1.94	
								$ 48,755.80** LT		$ 6,958.83

*Based on information supplied by client or other financial institution, not verified by us.

**Unrealized Gain/Loss is only calculated when an original cost basis is available.

TRANSACTION DETAILS

All transactions appearing are based on trade date.

Bank Deposit Program℠ activity

The term DEPOSIT typically refers to client initiated deposit of funds. The term AUTODEPOSIT typically refers to the "sweep" of funds into a Program Bank that results from proceeds of a transaction, a dividend from a stock or interest from a bond. Conversely, WITHDRAWAL is the term that refers to transactions that cause funds to be redeemed from your Program Bank, such as the payment for an investment purchase or the payment of FMA checks that you have written.

Opening balance $ 17,135.21

Date	Activity	Description	Amount
11/24/06	Autodeposit	BANK DEPOSIT PROGRAM	60.00
		BANK DEPOSIT PROGRAM INTEREST CREDITED (SEE DETAILS UNDER EARNINGS DETAILS)	45.88
		Closing balance	$ 17,241.09

EARNINGS DETAILS

The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

Other dividends

Date	Description	Comment	Taxable	Non-taxable	Amount
11/22/06	PEABODY ENERGY CORP	CASH DIV ON 1000.0000 SHS X/D 10/30/06	$ 60.00		$ 60.00
Total other dividends earned			$ 60.00	$ 0.00	$ 60.00

4166

JAMES MCDERMOTT *Account number*

Bank Deposit Program Interest[SM]

This section contains interest credited to your account. Accrued interest is not included. See Portfolio details section for accrued interest information.

Date	Description	Comment	Taxable	Non-taxable	Amount
11/30/06	CITIBANK NA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 11/01/06-11/30/06 30 DAYS AVERAGE YIELD 3.25 %.	$ 45.49		$ 45.49
11/30/06	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 11/01/06-11/30/06 30 DAYS AVERAGE YIELD 3.25 %.	.39		.39
Total Bank Deposit Program interest earned			**$ 45.88**	**$ 0.00**	**$ 45.88**

4167

citigroup
SMITHBARNEY

Ref: 00009774 00050887

Preferred Client
Individual Retirement Account
December 1 - December 31, 2006

Account number

JAMES MCDERMOTT
CGM IRA CUSTODIAN
710 9TH ST SE
WASHINGTON DC 20003-2804

Your Broker/Dealer is
CITIGROUP GLOBAL MKTS INC.
Your Financial Advisor
JANE H. SUZICK
TWO UNION SQUARE
601 UNION ST STE5200
SEATTLE WA 98101
206 628 4444
Email: jane.h.suzick@smithbarney.com
www.smithbarney.com

Preferred Client Service Center: 800-232-4454
Branch Phone: 800 426 7837

Citigroup Global Markets Inc., member NYSE, NASD, and other principal exchanges. Smith Barney is a division and service mark of Citigroup Global Markets Inc. and its affiliates and is used and registered throughout the world. CITIGROUP and the Umbrella Device are trademarks and service marks of Citigroup or its affiliates and are used and registered throughout the world. Citigroup Global Markets Inc. is a member of the Securities Investor Protection Corporation (SIPC).

Account value	Last period	This period	%
Bank Deposit Program[SM]-principal	$ 17,241.09	$ 18,917.90	6.28
Common stocks & options	289,254.65	282,267.10	93.72
Total value	**$ 306,495.74**	**$ 301,185.00**	**100.00**
Fair Market Value 12/31/06		$ 301,185.00	

Earnings summary	This period	This year
Other dividends	$ 1,625.13	$ 4,476.78
Bank Deposit Program[SM]	51.68	2,091.64
Total	$ 1,676.81	$ 6,568.42

Gain/loss summary	This period	This year
Realized gain or (loss)	$ 0.00	($ 229.17) LT $ 3,924.52 ST
Unrealized gain or (loss) to date 50,022.05		

Cash, money fund, bank deposits	This period	This year
Opening balance	$ 17,241.09	
Withdrawals	0.00	(40.00)
Dividends credited	1,625.13	
Bank Deposit Program[SM] interest reinvested	51.68	
Closing balance	$ 18,917.90	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for on our books and records, these funds may be used for our business purposes.

Portfolio summary	This period	This year
Beginning total value (excl. accr. int.)	$ 306,495.74	$ 254,565.53
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	0.00	(40.00)
Beginning value net of deposits/withdrawals	306,495.74	254,525.53
Total value as of 12/29/2006 (excl. accr. int.)	$ 301,185.00	$ 301,185.00
Total return	($ 5,310.74)	$ 46,659.47

4168

JAMES MCDERMOTT — Account number

IRA ACTIVITY SUMMARY

We report to the IRS total contributions, rollovers, recharacterizations, and distributions. For your convenience, deductible and non-deductible contributions are shown on this statement separately, based on information you provided.

	2006	2005	Since inception*
Rollovers and Transfers			
Transfers into your IRA (Does not include value of direct investments)	$ 0.00	$ 208,542.26	

**Note: This column is provided for informational purposes only. The column does not include incoming or outgoing transfers of cash and securities. Please contact your Financial Advisor if you wish to adjust this column. We are not responsible for any information you provide.*

BENEFICIARIES

Please contact your Financial Advisor for the documents necessary to change or update your beneficiary information. CGMI is not the custodian of any annuities that may have been purchased through this account. Please contact the annuity company for your annuity beneficiary designation.

Primary Beneficiary	Contingent Beneficiary
THERESE M HANSEN	JAMES A C MCDERMOTT KATHERINE E MCDERMOTT

PORTFOLIO DETAILS

The values of your holdings are as of 12/31/06.

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.
Please Note: *unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Bank Deposit Program[SM]

Balances are FDIC insured up to $100,000 per institution, subject to combined total of all your deposits, including those outside this account.

Principal	Description	Current value	Accrued interest	Annualized % return	Anticipated income (annualized)
17,076.84	CITIBANK NA BANK DEPOSIT PROGRAM	$ 17,076.84		3.24%	$ 553.28
1,841.06	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	$ 1,841.06		3.24%	$ 59.65
Total Bank Deposit Program		$ 18,917.90	$ 0.00	3.24%	$ 612.93

4169

Common stocks & options

Citigroup Investment Research and Independent, third-party research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Citigroup Investment Research stock recommendations include an investment rating and risk rating. The Investment Rating Code (1, 2 or 3) is a function of Citigroup Investment Research's expectation of total return (forecast price appreciation and dividend yield within the next 12 months) and a Risk Rating. The Risk Rating (L, M, H or S) represents the stock's expected risk, taking into account price volatility and fundamental criteria. Please refer to the end of this statement for a guide describing Citigroup Investment Research ratings. Independent, third-party research ratings have been normalized by such third-party providers to a 1 (Buy), 2 (Hold), and 3 (Sell). Citigroup Investment Research is not the author of, does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of the independent, third-party research. Except as noted by an asterisk (*), each independent research provider was selected by an Independent Consultant as required under the Global Research Analyst Settlement.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
40	AMGEN INC **Rating:** Argus : 1 Morningstar : 1 S&P : 1	AMGN	08/21/00	$ 2,716.00	$ 67.87	$ 68.31	$ 2,732.40	$ 17.40* LT		
80	APPLIED MATERIALS INC DELAWARE **Rating:** Citigroup : 2H Argus : 1 Morningstar : 2 S&P : 1	AMAT	11/15/01	1,561.60	19.52	18.45	1,476.00	(85.60)*LT	1.084	16.00
1,000	ARCHER-DANIELS-MIDLAND CO **Rating:** Citigroup : 1M Morningstar : 2 S&P : 1	ADM	09/21/05	22,775.63	22.31	31.96	31,960.00	9,184.37 LT	1.251	400.00
470	CISCO SYS INC **Rating:** Citigroup : 1H Argus : 1 Morningstar : 2 S&P : 2	CSCO		Please provide		27.33	12,845.10	Not available		
300	COMCAST CORP CL A	CMCSA	03/12/04	8,953.96	29.20	42.33	12,699.00	3,745.04* LT		
1,000	CONAGRA FOODS INC **Rating:** Citigroup : 1M Morningstar : 2 S&P : 2	CAG	04/04/06	22,047.83	21.59	27.00	27,000.00	4,952.17 ST	2.665	720.00
600	E I DU PONT DE NEMOURS & CO **Rating:** Citigroup : 1M Argus : 1 Morningstar : 2 Buckingham : 2 S&P : 2	DD	09/13/06	24,822.74	40.62	48.71	29,226.00	4,403.26 ST	3.038	888.00

4170

JAMES MCDERMOTT *Account number*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
25	HOME DEPOT INC	HD		Please provide		$ 40.16	$ 1,004.00	Not available		
460	**Rating:** Citigroup : 2M		10/25/02	14,288.86	30.41	40.16	18,473.60	4,184.74* LT		
485	Argus : 1			14,288.86	31.063		18,477.60	4,184.74**	2.241	436.50
	Morningstar : 2									
	Buckingham : 2									
	S&P : 1									
200	ICOS CORP	ICOS	10/25/02	4,719.13	23.00	33.79	6,758.00	2,038.87* LT		
	Rating: S&P* : 2									
200	INTEL CORP	INTC		Please provide		20.25	4,050.00	Not available		
700	**Rating:** Citigroup : 1M		04/04/06	13,865.50	19.35	20.25	14,175.00	309.50 ST		
900	Argus : 2			13,865.50	19.806		18,225.00	309.50**	1.975	360.00
	Morningstar : 2									
	S&P : 2									
1,000	MATTEL INC DE	MAT	09/21/05	16,740.78	16.35	22.66	22,660.00	5,919.22 LT	2.868	650.00
	Rating: Citigroup : 2H									
	S&P : 3									
400	MERCK & CO INC	MRK	10/25/02	20,252.98	49.75	43.60	17,440.00	(2,812.98)*LT	3.486	608.00
	Rating: Citigroup : 2M									
	Argus : 3									
	Morningstar : 2									
	S&P : 1									
300	MICROSOFT CORP	MSFT		Please provide		29.86	8,958.00	Not available	1.339	120.00
	Rating: Citigroup : 2M									
	Argus : 1									
	Morningstar : 2									
	S&P : 2									
800	PEABODY ENERGY CORP	BTU	08/05/04	10,987.24	13.465	40.41	32,328.00	21,340.76* LT		
200	**Rating:** S&P* : 2		09/13/06	7,654.26	37.35	40.41	8,082.00	427.74 ST		
1,000				18,641.50	18.642		40,410.00	21,768.50	.593	240.00
1,000	PFIZER INC	PFE	09/13/06	28,709.22	28.18	25.90	25,900.00	(2,809.22) ST	4.478	1,160.00
	Rating: Citigroup : 2M									
	Argus : 2									
	Morningstar : 2									
	S&P : 2									

4171

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
1,000	TRIQUINT SEMICONDUCTOR INC Rating: S&P* : 2	TQNT	10/25/02	$ 8,293.22	$ 5.09	$ 4.50	$ 4,500.00	($ 793.22)*LT		
Total common stocks and options				$ 205,287.95			$ 282,267.10	$ 7,283.45** ST	1.98	
								$ 42,738.60** LT		$ 5,588.50
Total portfolio value				$ 224,305.85			$ 301,185.00	$ 7,283.45** ST	2.06	
								$ 42,738.60** LT		$ 6,211.43

**Unrealized Gain/Loss is only calculated when an original cost basis is available.

*Based on information supplied by client or other financial institution, not verified by us.

TRANSACTION DETAILS *All transactions appearing are based on trade date.*

Bank Deposit Program** activity

The term DEPOSIT typically refers to client initiated deposit of funds. The term AUTODEPOSIT typically refers to the "sweep" of funds into a Program Bank that results from proceeds of a transaction, a dividend from a stock or interest from a bond. Conversely, WITHDRAWAL is the term that refers to transactions that cause funds to be redeemed from your Program Bank, such as the payment for an investment purchase or the payment of FMA checks that you have written.

Opening balance $ 17,241.09

Date	Activity	Description	Amount
12/04/06	Autodeposit	BANK DEPOSIT PROGRAM	270.00
12/06/06	Autodeposit	BANK DEPOSIT PROGRAM	240.00
12/08/06	Autodeposit	BANK DEPOSIT PROGRAM	4.00
12/15/06	Autodeposit	BANK DEPOSIT PROGRAM	381.13
12/18/06	Autodeposit	BANK DEPOSIT PROGRAM	850.00
12/19/06	Autodeposit	BANK DEPOSIT PROGRAM	100.00
		BANK DEPOSIT PROGRAM INTEREST CREDITED (SEE DETAILS UNDER EARNINGS DETAILS)	51.68
		Closing balance	$ 18,917.90

EARNINGS DETAILS *The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.*

Other dividends

Date	Description	Comment	Taxable	Non-taxable	Amount
12/01/06	CONAGRA FOODS INC	CASH DIV ON 1000.0000 SHS X/D 10/26/06	$ 180.00		$ 180.00
12/01/06	INTEL CORP	CASH DIV ON 900.0000 SHS X/D 11/03/06	90.00		90.00
12/05/06	PFIZER INC	CASH DIV ON 1000.0000 SHS X/D 11/08/06	240.00		240.00

4172

Other dividends *continued*

Date	Description	Comment	Taxable	Non-taxable	Amount
12/07/06	APPLIED MATERIALS INC DELAWARE	CASH DIV ON 80.0000 SHS X/D 11/14/06	$ 4.00		$ 4.00
12/14/06	E I DU PONT DE NEMOURS & CO	CASH DIV ON 800.0000 SHS X/D 11/13/06	222.00		222.00
12/14/06	HOME DEPOT INC	CASH DIV ON 485.0000 SHS X/D 11/28/06	109.13		109.13
12/14/06	MICROSOFT CORP	CASH DIV ON 300.0000 SHS X/D 11/14/06	30.00		30.00
12/15/06	MATTEL INC DE	CASH DIV ON 1000.0000 SHS X/D 11/28/06	650.00		650.00
12/18/06	ARCHER-DANIELS-MIDLAND CO	CASH DIV ON 1000.0000 SHS X/D 11/15/06	100.00		100.00
Total other dividends earned			**$ 1,625.13**	**$ 0.00**	**$ 1,625.13**

Bank Deposit Program Interest℠

This section contains interest credited to your account. Accrued interest is not included. See Portfolio details section for accrued interest information.

Date	Description	Comment	Taxable	Non-taxable	Amount
12/29/06	CITIBANK NA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 12/01/06-01/01/07 32 DAYS AVERAGE YIELD 3.24 %.	$ 48.46		$ 48.46
12/29/06	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 12/01/06-01/01/07 32 DAYS AVERAGE YIELD 3.24 %.	3.22		3.22
Total Bank Deposit Program interest earned			**$ 51.68**	**$ 0.00**	**$ 51.68**

4173

STONE & YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-2300

Rollover Individual Retirement Account Statement

* 00079804 02 AT 0.545 02 TR 00285 X101BD05

IRA FBO JAMES MCDERMOTT SR
PERSHING LLC AS CUSTODIAN
ROLLOVER ACCOUNT
DTD 10/27/03
1820 9TH AVE W
SEATTLE WA 98119-2946

Your Investment Executive:
GREG SAIN
(818) 528-2117

Account Number:
Statement Period: 01/01/2006 - 01/31/2006

Valuation at a Glance

	This Period
Beginning Account Value	$107,595.07
Dividends/Interest	604.14
Change in Account Value	-2,136.64
Ending Account Value	$106,062.57
Estimated Annual Income	$15.81

Asset Allocation

	Value Prior Year-End	Value Last Period	Value This Period	Percent Allocation
Cash and Cash Equivalents	6,112.69	6,112.69	105.38	1%
Fixed Income	101,482.38	101,482.38	105,957.19	99%
Account Total	$107,595.07	$107,595.07	$106,062.57	100%

Asset Allocation percentages are rounded to the nearest whole percentage.

CALDER STATE SAS FOUNDATION

0000000000100024CSF101DP

Clearing Through Pershing ©2005 Investment Group Co. Solutions from The Bank of New York

One Pershing Plaza, Jersey City, New Jersey 07399

4174

[redacted]

Summary of Gains and Losses

	Realized		Unrealized
	This Period	Year-to-Date	This Period
Short-Term Gain/Loss	47.07	47.07	-3,850.58
Long-Term Gain/Loss	0.00	0.00	66.25
Net Gain/Loss	**47.07**	**47.07**	**-3,784.33**

This summary excludes transactions where cost basis information is not available.

Customer Service Information

Your Investment Executive: 880

GREG SAIN
GSAIN@SYLLC.COM
15260 VENTURA BLVD, SUITE 1520
SHERMAN OAKS CA 91403-5348

Contact Information

Telephone Number: (818) 528-2117
Fax Number: (818) 783-2731

Customer Service Information

Web Site: www.buybonds.com

Prior Year-End Fair Market Value: $107,595.07

Accrued Interest Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Accrued Interest Paid		
Asset Backed Securities	-27.53	-27.53
Total Accrued Interest Paid	**-$27.53**	**-$27.53**

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Interest Income		
Bond Interest	588.33	588.33
FDIC Insured Bank Deposits	15.81	15.81
Total Dividends, Interest and Expenses	**$604.14**	**$604.14**
Distributions		
Other Distributions	2,598.13	2,598.13
Total Distributions	**$2,598.13**	**$2,598.13**

4175

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash and Cash Equivalents 1.00% of Portfolio									
FDIC Insured Bank Deposits									
RESERVE INSURED DEPOSITS									
105.380	12/31/05	ANB802394	01/31/06	6,112.69	105.38	10.99	15.81	N/A	3.64%
Total FDIC Insured Bank Deposits				$6,112.69	$105.38	$10.99	$15.81		
Total Cash and Cash Equivalents				$6,112.69	$105.38	$10.99	$15.81		

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income 99.00% of Portfolio *(in CUSIP Sequence)*									
Asset Backed Securities									
CWMBS INC MTG PASS THRU CTF SER 2005-28 CL-1-A-7 "INV" Factor: 0.97875085 6.347% 11/25/35 B/E DTD 09/01/05 Moody Rating AAA S & P Rating AAA *Security Identifier:* 126694MR6									
50,000.000	09/15/05	100.0000	48,937.55	95.8010	46,882.66	-2,054.89	258.84		
Original Cost Basis: $50,000.00									
CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13_INV FLTR Factor: 0.59441499 5.446% 04/25/35 B/E DTD 04/01/05 CLB Moody Rating AAA S & P Rating AAA *Security Identifier:* 172973T90									
20,000.000	04/28/05	95.0000	11,293.89	92.2780	10,970.29	-323.60	53.96		
Original Cost Basis: $19,000.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" SER-2688 CL-2688-SE 10.0004% 10/15/33 B/E Factor: 0.18248306 DTD 10/15/03 *Security Identifier:* 31394X4U5									

4176

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" *(continued)*									
25,000.000	11/16/04	99.9990	4,562.07	101.4520	4,628.32	66.25	38.02		
Original Cost Basis: $15,500.36									
FNMA GTD REMIC PASS THRU CTF REMIC									
SER-2005-98 CL-98-SX 7.777% 12/25/34 B/E Factor: 0.87778226									
DTD 10/25/05									
Security Identifier: 31394UGN6									
20,000.000	11/25/05	97.2500	17,072.87	91.9450	16,141.54	-931.33	113.78		
Original Cost Basis: $18,310.65									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-2950 CL-2950-SV 7.161% 03/15/35 B/E Factor: 0.81794938									
DTD 03/15/05									
Security Identifier: 31395PXU1									
15,000.000	09/27/05	100.0000	12,269.25	95.3540	11,699.21	-570.04	73.21		
Original Cost Basis: $14,803.18									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-2968 CL-2968-SC 11.330% 12/15/34 B/E Factor: 0.64887184									
DTD 04/01/05 CLB									
Security Identifier: 31395RSP4									
10,000.000	04/25/05	99.0000	6,423.84	96.3990	6,255.06	-168.78	61.27		
Original Cost Basis: $9,900.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-3063 CL-3063-SY 0.000% 05/15/35 B/E Factor: 0.94904880									
DTD 11/15/05 CLB									
Security Identifier: 31396EJF4									
10,000.000	01/31/06	96.7500	9,182.05	98.8370	9,380.11	198.06	0.00		
Original Cost Basis: $9,182.05									
Total Asset Backed Securities			$109,741.52		$105,957.19	-$3,784.33	$599.08	$0.00	
Total Fixed Income			$109,741.52		$105,957.19	-$3,784.33	$599.08	$0.00	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$109,848.90	$106,062.57	-$3,784.33	$599.08	$15.81

Portfolio Holdings *(continued)*

Cost Basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. Original cost basis is provided under the corresponding tax lot.

Disclosures and Other Information
Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Transactions by Type of Activity

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Securities Bought and Sold							
01/31/06	01/31/06	PURCHASED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3063 CL-3063-SY 0.000% 05/15/33 B/E DTD 11/15/05 CL8 FACTOR 0.9490488000 REM BAL 9,490 YLD: 7.71735510 VARIABLE RATE	10,000.000	96.7500	-27.53	-9,209.58
Total Securities Bought and Sold							-$9,209.58

4178

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest							
01/17/06	01/13/06	MONEY MARKET FUND INCOME RECEIVED	RESERVE INSURED DEPO INTEREST REINVESTED				-15.81
01/17/06	01/13/06	MONEY MARKET FUND INTEREST RECEIVED	RESERVE INSURED DEPO INTEREST CREDITED				15.81
01/17/06		BOND INTEREST RECEIVED	25000 FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" SER-2688 CL-2688-SE 10.000% 10/15/33 B/E DTD 10/15/03 RD 12/30 PD 01/15/06				39.60
01/17/06		BOND INTEREST RECEIVED	15000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2950 CL-2950-SV 7.632% 03/15/35 B/E DTD 03/15/05 RD 12/30 PD 01/15/06				82.30
01/17/06		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2968 CL-2968-SC 11.330% 12/15/34 B/E DTD 04/01/05 CLB RD 12/30 PD 01/15/06				34.93
01/19/06		MONEY MARKET FUND INCOME RECEIVED	RESERVE INSURED DEPO INTREST REINVEST ADJ AS OF 01/17/06				15.81
01/25/06		BOND INTEREST RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 6.347% 11/25/35 B/E DTD 09/01/05 RD 12/30 PD 01/25/06				247.87
01/25/06		BOND INTEREST RECEIVED	20000 CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13_INV FLTR 6.007% 04/25/35 B/E DTD 04/01/05 CLB RD 12/30 PD 01/25/06				65.62
01/25/06		BOND INTEREST RECEIVED	20000 FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-98 CL-98-SX 7.777% 12/25/34 B/E DTD 10/25/05 RD 12/31 PD 01/25/06				118.01
Total Dividends and Interest							$604.14
Distributions							
01/17/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" SER-2688 CL-2688-SE 10.000% 10/15/33 B/E DTD 10/15/03 RD 12/30 PD 01/15/06				190.26
01/17/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2950 CL-2950-SV 7.632% 03/15/35 B/E DTD 03/15/05 RD 12/30 PD 01/15/06				669.10
01/17/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2968 CL-2968-SC 11.330% 12/15/34 B/E DTD 04/01/05 CLB RD 12/30 PD 01/15/06				198.55

Transactions by Type of Activity (continued)

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Distributions (continued)							
01/25/06		RETURN OF PRINCIPAL RECEIVED	30000 CWMBS INC MTG PASS-THRU CTF SER 2005-26 CL-1-A-7 "INV" 6.34796 11/25/35 B/E DTD 09/01/05 RD 12/30 PD 01/25/06				344.60
01/25/06		RETURN OF PRINCIPAL RECEIVED	20000 CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13 INV FLTR 6.007% 04/25/35 B/E DTD 04/01/05 CLB RD 12/30 PD 01/25/06				543.87
01/25/06		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER 2005-93 CL-SX 7.2774% 12/25/34 B/E DTD 10/25/05 RD 12/31 PD 01/25/06				651.75
Total Distributions							$2,598.13
FDIC Insured Bank Deposits							
01/18/06		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-1,214.74
01/19/06		MONEY MARKET INTEREST REINVESTED	RESERVE INSURED DEPO INTREST REINVEST ADJ AS OF 01/17/06				-15.81
01/26/06		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-1,971.72
01/31/06		MONEY MARKET WITHDRAWAL	RESERVE INS DEPOSITS				9,209.58
Total FDIC Insured Bank Deposits							$6,007.31
Total Value of all Transactions							$0.00

The price and quantity displayed may have been rounded.

4180

Bond Maturity Schedule

Bond Maturity	Market Value	Percentage of Bond Market Value
Over 10 years	105,957.19	100%
Total	$105,957.19	100%

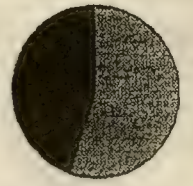
Bonds that are in default are not included. Please refer to your Portfolio Holdings section.

Percentages of bond market values are rounded to the nearest whole percentage.

Bond Quality

Bond Quality	Market Value	Percentage of Bond Market Value
AAA	57,852.95	55%
Not Rated	48,104.24	45%
Total	$105,957.19	100%

Bond quality ratings reflect Moody's or Standard and Poor's ratings. Bonds may be rated by other services. Bonds that are in default are not included. Please refer to your Portfolio Holdings section.



Percentages of bond market values are rounded to the nearest whole percentage.

41181

Rollover Individual Retirement Account Statement

Statement Period: 01/01/2006 - 01/31/2006

FDIC Insured Bank Deposits

Date	Activity Type	Description	Amount	Balance
FDIC Insured Bank Deposits				
RESERVE INSURED DEPOSITS				
Account Number: ANB802394	Activity Ending: 01/31/06			
12/31/05	Opening Balance			
01/13/06	Deposit	INTEREST CREDITED	6,112.69	6,112.69
01/13/06		APYE 3.46	15.81	6,128.50
01/13/06		NY COMMUNITY		6,128.50
01/13/06		A/O 01/13 $2,504.76		6,128.50
01/13/06		SUN WEST		6,128.50
01/13/06		A/O 01/13 $140.49		6,128.50
01/13/06		UNION FEDERAL		6,128.50
01/13/06		A/O 01/13 $3,483.25		6,128.50
01/18/06	Deposit	DEPOSIT	1,214.74	7,343.24
01/26/06	Deposit	DEPOSIT	1,971.72	9,314.96
01/31/06	Withdrawal	WITHDRAWAL	-9,209.58	105.38
01/31/06	Closing Balance			$105.38
Total FDIC Insured Bank Deposits				$105.38

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits are insured by the Federal Deposit Insurance Corporation (FDIC). Deposits are held at a Program Bank(s) in an account entitled "Pershing LLC as Agent for its Customers."

Retirement Account Transactions

	Tax Year - 2006		Tax Year - 2005	
	This Period	Year-to-Date	This Period	Year-to-Date
Contributions				
Rollover	0.00	0.00	0.00	44,086.82
Total Contributions	$0.00	$0.00	$0.00	$44,086.82

NOTE: The amounts shown in the Year-to-Date columns are aggregate amounts of all transactions conducted for a particular transaction type.

STO[redacted]&
YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-3700

Rollover Individual Retirement Account Statement

* 00073222 02 AT 0.545 02 TR 00265 X101PD05

IRA FBO JAMES MCDERMOTT SR
PERSHING LLC AS CUSTODIAN
ROLLOVER ACCOUNT
DTD 10/27/03
1820 9TH AVE W
SEATTLE WA 98119-2946

Your Investment Executive:
CRSC SAIN
(818) 528-2117

Account Number:
Statement Period: 02/01/2006 - 02/28/2006

Valuation at a Glance

	This Period
Beginning Account Value	$106,062.57
Dividends/Interest	606.95
Change In Account Value	-35.65
Ending Account Value	$106,633.87
Estimated Annual Income	$26.97

Asset Allocation

	Value Prior Year-End	Value Last Period	Value This Period	Percent Allocation
Cash and Cash Equivalents	6,112.69	105.38	2,797.87	3%
Fixed Income	101,482.38	105,957.19	103,836.00	97%
Account Total (Pie Chart)	$107,595.07	$106,062.57	$106,633.87	100%

Asset Allocation percentages are rounded to the nearest whole percentage.

Pie Chart allocation excludes all asset classes which net to a liability.

BALBAZ SAFER 800000000099310C3F101DP Clearing Through Pershing® A BNY Investment Group Co. One Pershing Plaza, Jersey City, New Jersey 07399

[redacted]

Summary of Gains and Losses

	Realized		Unrealized
	This Period	Year-to-Date	This Period
Short-Term Gain/Loss	19.15	66.22	-3,261.61
Long-Term Gain/Loss	0.00	0.00	-10.15
Net Gain/Loss	**19.15**	**66.22**	**-3,271.76**

This summary excludes transactions where cost basis information is not available.

Customer Service Information

Your Investment Executive: B80	Contact Information	Customer Service Information
GREG SAIN GSAIN@SYLLC.COM 15260 VENTURA BLVD, SUITE 1520 SHERMAN OAKS CA 91403-5346	**Telephone Number:** (818) 528-2117 **Fax Number:** (818) 783-2731	**Web Site:** www.buybonds.com

Prior Year-End Fair Market Value: $107,595.07

Accrued Interest Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Accrued Interest Paid		
Asset Backed Securities	0.00	-27.53
Total Accrued Interest Paid	**$0.00**	**-$27.53**

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Interest Income		
Bond Interest	595.79	1,184.12
FDIC Insured Bank Deposits	11.16	26.97
Total Dividends, Interest and Expenses	**$606.95**	**$1,211.09**
Distributions		
Other Distributions	2,085.54	4,683.67
Total Distributions	**$2,085.54**	**$4,683.67**

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash and Cash Equivalents 3.00% of Portfolio									
FDIC Insured Bank Deposits									
RESERVE INSURED DEPOSITS									
2,797.870	02/01/06	ANB802394	02/28/06	105.38	2,797.87	1.77	26.97	N/A	3.80%
Total FDIC Insured Bank Deposits				$105.38	$2,797.87	$1.77	$26.97		
Total Cash and Cash Equivalents				$105.38	$2,797.87	$1.77	$26.97		

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income 97.00% of Portfolio *(In CUSIP Sequence)*									
Asset Backed Securities									
CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" Factor: 0.97325861 6.347% 11/25/35 B/E DTD 09/01/05 Moody Rating AAA S & P Rating AAA *Security Identifier:* 126694MR6									
50,000.000 Original Cost Basis: $50,000.00	09/15/05	100.0000	48,662.94	94.8930	46,177.71	-2,485.23	231.65		
CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A15_INV FLTR Factor: 0.59441499 5.446% 04/25/35 B/E DTD 04/01/05 CLB Moody Rating AAA S & P Rating AAA *Security Identifier:* 172973T90									
20,000.000 Original Cost Basis: $19,000.00	04/28/05	84.9240	10,096.01	91.8200	10,915.84	819.83	48.56		
FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" SER-2688 CL-2688-SE 10.000% 10/15/33 B/E Factor: 0.18213623 DTD 10/15/03 *Security Identifier:* 31394K4U5									

4185

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" *(continued)*									
25,000.000	11/16/04	100.0000	4,553.40	99.7770	4,543.25	-10.15	34.15		
Original Cost Basis: $15,500.36									
FNMA GTD REMIC PASS THRU CTF REMIC									
SER-2005-98 CL-98-SX 7.777% 12/25/34 B/E Factor: 0.86305147									
DTD 10/25/05									
Security Identifier: 31394UGN6									
20,000.000	11/25/05	97.2500	16,786.36	91.1890	15,740.16	-1,046.20	100.68		
Original Cost Basis: $18,310.65									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-2950 CL-2950-SV 7.161% 03/15/35 B/E Factor: 0.79336963									
DTD 03/15/05									
Security Identifier: 31395PXU1									
15,000.000	09/27/05	100.0000	11,900.55	96.1310	11,440.11	-460.44	63.91		
Original Cost Basis: $14,803.18									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-2968 CL-2968-SC 11.3304% 12/15/34 B/E Factor: 0.62466205									
DTD 04/01/05 CLB									
Security Identifier: 31395RSP4									
10,000.000	04/25/05	99.0000	6,184.16	96.2240	6,010.75	-173.41	53.08		
Original Cost Basis: $9,900.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-3063 CL-3063-SY 6.160% 05/15/33 B/E Factor: 0.92241205									
DTD 11/15/05 CLB									
Security Identifier: 31396EJF4									
10,000.000	01/31/06	96.7500	8,924.34	97.6590	9,008.18	83.84	42.62		
Original Cost Basis: $9,182.05									
Total Asset Backed Securities			$107,107.76		$103,836.00	-$3,271.76	$574.65	$0.00	
Total Fixed Income			$107,107.76		$103,836.00	-$3,271.76	$574.65	$0.00	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$109,905.63	$106,633.87	-$3,271.76	$574.65	$26.97

Portfolio Holdings *(continued)*

Cost Basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. Original cost basis is provided under the corresponding tax lot.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Transactions by Type of Activity

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest							
02/15/06		BOND INTEREST RECEIVED	25000 FHLMC MULTICLASS MTG PARTN CTFS GTD "INV" SER 2688 CL-2688-SE 10.000% 10/15/33 B/E DTD 10/15/03 RD 01/31 PD 02/15/06				38.02
02/15/06		BOND INTEREST RECEIVED	15000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2950 CL-2950-SV 7.161% 03/15/35 B/E DTD 03/15/05 RD 01/31 PD 02/15/06				73.22
02/15/06		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2968 CL-2968-SC 11.330% 12/15/34 B/E DTD 04/01/05 CLB RD 01/31 PD 02/15/06				32.12

Account Number:
Clearing Through Pershing A BNY Mellon Company, One Pershing Plaza, Jersey City, New Jersey 07399

4187

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest *(continued)*							
02/15/06		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3063 CL-3063-SY 6.526% 05/15/33 B/E DTD 11/15/05 CLB RD 01/31 PD 02/15/06				51.62
02/16/06	02/15/06	MONEY MARKET FUND INTEREST RECEIVED	RESERVE INSURED DEPO INTEREST CREDITED				11.16
02/27/06		BOND INTEREST RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 6.347% 11/25/35 B/E DTD 09/01/05 RD 01/31 PD 02/25/06				235.85
02/27/06		BOND INTEREST RECEIVED	20000 CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13_INV FLTR 5.446% 04/25/35 B/E DTD 04/01/05 CLB RD 01/31 PD 02/25/06				59.28
02/27/06		BOND INTEREST RECEIVED	20000 FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-98 CL-98-SX 7.777% 12/25/34 B/E DTD 10/25/05 RD 01/31 PD 02/25/06				105.68
Total Dividends and Interest							$606.95
Distributions							
02/15/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD "INV" SER-2688 CL-2688-SE 10.000% 10/15/33 B/E DTD 10/15/03 RD 01/31 PD 02/15/06				8.67
02/15/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2950 CL-2950-SV 7.161% 03/15/35 B/E DTD 03/15/05 RD 01/31 PD 02/15/06				368.70
02/15/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2968 CL-2968-SC 11.330% 12/15/34 B/E DTD 04/01/05 CLB RD 01/31 PD 02/15/06				242.10
02/15/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3063 CL-3063-SY 6.526% 05/15/33 B/E DTD 11/15/05 CLB RD 01/31 PD 02/15/06				266.37
02/27/06		RETURN OF PRINCIPAL RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 6.347% 11/25/35 B/E DTD 09/01/05 RD 01/31 PD 02/25/06				274.61

Transactions by Type of Activity *(continued)*

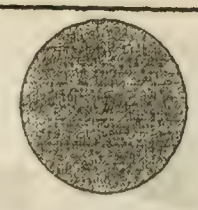
Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Distributions *(continued)*							
02/27/06		RETURN OF PRINCIPAL RECEIVED	20000 CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13_INV FLTR 5.446% 04/25/35 B/E DTD 04/01/05 CLB RD 01/31 PD 02/25/06				630.47
02/27/06		PRINCIPAL PAY DOWN RECEIVED	FNMA CTD REMIC PASS-THRU CTF REMIC SER 2005-98 CL-98-SX 7.777% 12/25/34 B/E DTD 10/25/05 RD 01/31 PD 02/25/06				294.62
Total Distributions							$2,085.54
FDIC Insured Bank Deposits							
02/16/06	02/15/06	MONEY MARKET INTEREST REINVESTED	RESERVE INSURED DEPO INTEREST REINVESTED				-11.16
02/16/06		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-1,080.82
02/28/06		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-1,600.51
Total FDIC Insured Bank Deposits							-$2,692.49
Total Value of all Transactions							$0.00

The price and quantity displayed may have been rounded.

Bond Maturity Schedule

Bond Maturity	Market Value	Percentage of Bond Market Value
Over 10 years	103,836.00	100%
Total	**$103,836.00**	**100%**

Bonds that are in default are not included. Please refer to your Portfolio Holdings section.



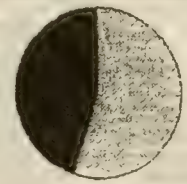
Percentages of bond market values are rounded to the nearest whole percentage.

4189

Bond Quality

Bond Quality	Market Value	Percentage of Bond Market Value
AAA	57,093.55	55%
Not Rated	46,742.45	45%
Total	$103,836.00	100%

Bond quality ratings reflect Moody's or Standard and Poor's ratings. Bonds may be rated by other services. Bonds that are in default are not included. Please refer to your Portfolio Holdings section.



Percentages of bond market values are rounded to the nearest whole percentage.

FDIC Insured Bank Deposits

Date	Activity Type	Description	Amount	Balance
FDIC Insured Bank Deposits				
RESERVE INSURED DEPOSITS				
Account Number: AN8802394	Activity Ending: 02/28/06			
02/01/06	Opening Balance			
02/15/06	Deposit		105.38	105.38
02/15/06		INTEREST CREDITED	11.16	116.54
02/15/06		APYE 3.63		116.54
02/15/06		NY COMMUNITY		116.54
02/15/06		A/O 02/15 $5.97		116.54
02/15/06		SUN WEST		116.54
02/15/06		A/O 02/15 $105.73		116.54
02/15/06		UNION FEDERAL		116.54
02/15/06		A/O 02/15 $4.84		116.54
02/16/06	Deposit	DEPOSIT	1,080.82	1,197.36
02/28/06	Deposit	DEPOSIT	1,600.51	2,797.87
02/28/06	Closing Balance			$2,797.87
Total FDIC Insured Bank Deposits				$2,797.87

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits are insured by the Federal Deposit Insurance Corporation (FDIC).

4190

Rollover Individual Retirement Account Statement

Statement Period: 02/01/2006 - 02/28/2006

Retirement Account Transactions

	Tax Year - 2006		Tax Year - 2005	
	This Period	Year-to-Date	This Period	Year-to-Date
Contributions				
Rollover	0.00	0.00	0.00	44,086.82
Total Contributions	$0.00	$0.00	$0.00	$44,086.82

NOTE: The amounts shown in the Year-to-Date columns are aggregate amounts of all transactions conducted for a particular transaction type.

Messages

THE MONEY MARKET DEPOSIT ACCOUNT IS AN FDIC INSURED BANK ACCOUNT AND IS NOT PROTECTED BY SIPC.

IT IS NOT TOO LATE OR EARLY TO CONSIDER CONTRIBUTIONS TO YOUR TRADITIONAL INDIVIDUAL RETIREMENT ACCOUNT (IRA) OR ROTH IRA FOR THE 2005 AND 2006 TAX YEARS; THE MAXIMUM CONTRIBUTION LIMIT IS $4,000 PER YEAR. CONTRIBUTIONS FOR THE 2005 TAX YEAR MUST BE MADE BY THE APRIL 17, 2006 DEADLINE. INDIVIDUALS WHO ARE THE AGE OF 50 AND OLDER MAY MAKE AN ADDITIONAL CATCH-UP CONTRIBUTION OF $500 OR $1,000 FOR THE TAX YEARS 2005 AND 2006, RESPECTIVELY.
WHEN MAKING YOUR ANNUAL CONTRIBUTION, PLEASE INDICATE IN WRITING THE TAX YEAR FOR WHICH THE CONTRIBUTION SHOULD BE APPLIED.

STONE &
YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-3900

[redacted]

Rollover Individual Retirement Account Statement

D0000000042507CSP30001

IRA FBO JAMES MCDERMOTT SR
PERSHING LLC AS CUSTODIAN
ROLLOVER ACCOUNT
DTD 10/27/03
1820 9TH AVE W
SEATTLE WA 98119-2946

Your Investment Executive:
GREG SAIN
(818) 528-2117

Account Number: .
Statement Period: 03/01/2006 - 03/31/2006

Valuation at a Glance

	This Period
Beginning Account Value	$106,633.87
Dividends/Interest	567.19
Change in Account Value	-3,296.16
Ending Account Value	$103,904.90
Estimated Annual Income	$33.15

Asset Allocation

	Value Prior Year-End	Value Last Period	Value This Period	Percent Allocation
Cash and Cash Equivalents	6,112.69	2,797.87	5,500.56	5%
Fixed Income	101,482.38	103,836.00	98,404.34	95%
Account Total (Pie Chart)	$107,595.07	$106,633.87	$103,904.90	100%

[redacted]

Asset Allocation percentages are rounded to the nearest whole percentage.

Pie Chart allocation excludes all asset classes which net to a liability.

4192

OLIVER EATES
FOR COMMUNICATION

Clearing Through Pershing A BNY Securities Group Co. Subsidiary of The Bank of New York
One Pershing Plaza, Jersey City, New Jersey 07399
PAR-02-CUTSHEET

[redacted]

Summary of Gains and Losses

	Realized		Unrealized
	This Period	Year-to-Date	This Period
Short-Term Gain/Loss	177.94	244.16	-5,840.34
Long-Term Gain/Loss	0.00	0.00	-275.07
Net Gain/Loss	177.94	244.16	-6,115.41

This summary excludes transactions where cost basis information is not available.

Customer Service Information

Your Investment Executive: B80	Contact Information	Customer Service Information
GREG SAIN GSAIN@SYLLC.COM 15260 VENTURA BLVD, SUITE 1520 SHERMAN OAKS CA 91403-5348	Telephone Number: (818) 528-2117 Fax Number: (818) 783-2731	Web Site: www.buybonds.com

Prior Year-End Fair Market Value: $107,595.07 will be furnished to the Internal Revenue Service.

Accrued Interest Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Accrued Interest Paid		
Asset Backed Securities	0.00	-27.53
Total Accrued Interest Paid	$0.00	-$27.53

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Interest Income		
Bond Interest	561.01	1,745.13
FDIC Insured Bank Deposits	6.18	33.15
Total Dividends, Interest and Expenses	$567.19	$1,778.28
Distributions		
Other Distributions	2,135.50	6,819.17
Total Distributions	$2,135.50	$8,819.17

4193

STONE & YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-2300

[redacted]

Rollover Individual Retirement Account Statement

Statement Period: 03/01/2006 - 03/31/2006

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash and Cash Equivalents 5.00% of Portfolio									
FDIC Insured Bank Deposits									
RESERVE INSURED DEPOSITS									
5,500.560	03/01/06	ANB802394	03/31/06	2,797.87	5,500.56	8.67	33.15	N/A	3.90%
Total FDIC Insured Bank Deposits				$2,797.87	$5,500.56	$8.67	$33.15		
Total Cash and Cash Equivalents				$2,797.87	$5,500.56	$8.67	$33.15		

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income 95.00% of Portfolio *(In CUSIP Sequence)*									
Asset Backed Securities									
GWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" Factor: 0.96290293 5.698% 11/25/35 B/E DTD 09/01/05 Moody Rating AAA S & P Rating AAA *Security Identifier* 126694MR6									
50,000.000	09/15/05	100.0000	48,145.16	93.0750	44,811.10	-3,334.06	228.61		
Original Cost Basis: $50,000.00									
CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13_INV FLTR Factor: 0.54016232 4.379% 04/25/35 B/E DTD 04/01/05 CLB Moody Rating AAA S & P Rating AAA *Security Identifier* 172973T90									
20,000.000	04/28/05	64.9240	9,174.54	88.5530	9,566.60	392.06	39.42		
Original Cost Basis: $19,000.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" SER 2688 CL-2688-SE 10.000% 10/15/33 B/E Factor: 0.17672204 DTD 10/15/03 *Security Identifier* 31394K4U5									

0000000000

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" *(continued)*									
25,000.000	11/16/04.	100.0000	4,418.05	93.7740	4,142.98	-275.07	36.82		
Original Cost Basis: $15,500.36									
FNMA GTD REMIC PASS THRU CTF REMIC									
SER-2005-98 CL-98-SX 6.166% 12/25/34 B/E Factor: 0.85712475									
DTD 10/25/05									
Security Identifier: 31394UGN6									
20,000.000	11/25/05.	97.2500	16,671.09	88.7440	15,212.94	-1,458.15	88.09		
Original Cost Basis: $18,310.65									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-2950 CL-2950-SV 5.856% 03/15/35 B/E Factor: 0.76291153									
DTD 03/15/05									
Security Identifier: 31395PXU1									
15,000.000	03/27/05.	100.0000	11,443.68	91.3170	10,450.02	-993.66	55.85		
Original Cost Basis: $14,803.18									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-2968 CL-2968-SC 11.330% 12/15/34 B/E Factor: 0.60869643									
DTD 04/01/05 CLB									
Security Identifier: 31395RSP4									
10,000.000	04/25/05.	99.0000	6,026.10	93.1580	5,670.49	-355.61	57.47		
Original Cost Basis: $9,900.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-3063 CL-3063-SY 5.504% 05/15/33 B/E Factor: 0.89313991									
DTD 11/15/05 CLB									
Security Identifier: 31396EJF4									
10,000.000	01/31/06.	96.7500	8,641.13	95.7320	8,550.21	-90.92	40.97		
Original Cost Basis: $9,182.05									
Total Asset Backed Securities			$104,519.75		$98,404.34	-$6,115.41	$547.23	$0.00	
Total Fixed Income			$104,519.75		$98,404.34	-$6,115.41	$547.23	$0.00	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$110,020.31	$103,904.90	-$6,115.41	$547.23	$33.15

Portfolio Holdings *(continued)*

Cost Basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. Original cost basis is provided under the corresponding tax lot.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Transactions by Type of Activity

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest							
03/15/06		BOND INTEREST RECEIVED	25000 FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" SER-2688 CL-2688-SE 10.000% 10/15/33 B/E DTD 10/15/03 RD 02/28 PD 03/15/06				37.94
03/15/06		BOND INTEREST RECEIVED	15000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2950 CL-2950-SV 7.161% 03/15/35 B/E DTD 03/15/05 RD 02/28 PD 03/15/06				66.38
03/15/06		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2968 CL-2968-SC 11.330% 12/15/34 B/E DTD 04/01/05 CLB RD 02/28 PD 03/15/06				27.29

4196

[redacted]

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest *(continued)*							
03/15/06		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER 3063 CL-3063-SY 6.160% 05/15/33 B/E DTD 11/15/05 CLB RD 02/28 PD 03/15/06				47.35
03/16/06	03/15/06	MONEY MARKET FUND INTEREST RECEIVED	RESERVE INS DEPOSITS INTEREST CREDITED				6.18
03/27/06		BOND INTEREST RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 6.347% 11/25/35 B/E DTD 09/01/05 RD 02/28 PD 03/25/06				237.11
03/27/06		BOND INTEREST RECEIVED	20000 CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13_INV FLTR 5.259% 04/25/35 B/E DTD 04/01/05 CLB RD 02/28 PD 03/25/06				49.71
03/27/06		BOND INTEREST RECEIVED	20000 FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-98 CL-98-SX 7.777% 12/25/34 B/E DTD 10/25/05 RD 02/28 PD 03/25/06				101.23
Total Dividends and Interest							$567.19
Distributions							
03/15/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" SER-2688 CL-2688-SE 10.000% 10/15/33 B/E DTD 10/15/03 RD 02/28 PD 03/15/06				135.35
03/15/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2950 CL-2950-SV 7.161% 03/15/35 B/E DTD 03/15/05 RD 02/28 PD 03/15/06				456.87
03/15/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2968 CL-2968-SC 11.330% 12/15/34 B/E DTD 04/01/05 CLB RD 02/28 PD 03/15/06				159.66
03/15/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3063 CL-3063-SY 6.160% 05/15/33 B/E DTD 11/15/05 CLB RD 02/28 PD 03/15/06				292.72
03/27/06		RETURN OF PRINCIPAL RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 6.347% 11/25/35 B/E DTD 09/01/05 RD 02/28 PD 03/25/06				517.78

0000000000

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Distributions *(continued)*							
03/27/06		RETURN OF PRINCIPAL RECEIVED	20000 CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13_4INV FLTR 5.259% 04/25/35 B/E DTD 04/01/05 CLB RD 02/28 PD 03/25/06				454.59
03/27/06		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-98 CL-98-SX 7.777% 12/25/34 B/E DTD 10/25/05 RD 02/28 PD 03/25/06				118.53
Total Distributions							**$2,135.50**
FDIC Insured Bank Deposits							
03/16/06	03/15/06	MONEY MARKET INTEREST REINVESTED	RESERVE INS DEPOSITS INTEREST REINVESTED				-6.18
03/16/06		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-1,223.56
03/28/06		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-1,472.95
Total FDIC Insured Bank Deposits							**-$2,702.69**
Total Value of all Transactions							**$0.00**

The price and quantity displayed may have been rounded.

Bond Maturity Schedule

Bond Maturity	Market Value	Percentage of Bond Market Value
Over 10 years	98,404.34	100%
Total	$98,404.34	100%

Bonds that are in default are not included. Please refer to your Portfolio Holdings section.

[redacted]

Percentages of bond market values are rounded to the nearest whole percentage.

4198

[redacted]

Bond Quality

Bond Quality	Market Value	Percentage of Bond Market Value
AAA	54,377.70	55%
Not Rated	44,026.64	45%
Total	$98,404.34	100%

Bond quality ratings reflect Moody's or Standard and Poor's ratings. Bonds may be rated by other services. Bonds that are in default are not included. Please refer to your Portfolio Holdings section.

[redacted]

Percentages of bond market values are rounded to the nearest whole percentage.

FDIC Insured Bank Deposits

Date	Activity Type	Description	Amount	Balance
FDIC Insured Bank Deposits				
RESERVE INSURED DEPOSITS				
Account Number: ANB802394	Activity Ending: 03/31/06			
03/01/06	Opening Balance			
03/15/06	Deposit	INTEREST CREDITED	2,797.87	2,797.87
03/15/06		APYE 3.79	6.18	2,804.05
03/15/06		NY COMMUNITY		2,804.05
03/15/06		A/O 03/15 $1,089.96		2,804.05
03/15/06		SOVEREIGN		2,804.05
03/15/06		A/O 03/15 $1,603.22		2,804.05
03/15/06		SUN WEST		2,804.05
03/15/06		A/O 03/15 $106.01		2,804.05
03/15/06		UNION FEDERAL		2,804.05
03/15/06		A/O 03/15 $4.86		2,804.05
03/16/06	Deposit	DEPOSIT	1,223.56	4,027.61
03/28/06	Deposit	DEPOSIT	1,472.95	5,500.56
03/31/06	Closing Balance			5,500.56
Total FDIC Insured Bank Deposits				$5,500.56

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits are insured by the Federal Deposit Insurance Corporation (FDIC).

STONE & YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-2300

[redacted]

Rollover Individual Retirement Account Statement

Statement Period: 03/01/2006 - 03/31/2006

Retirement Account Transactions

	Tax Year - 2006		Tax Year - 2005	
	This Period	Year-to-Date	This Period	Year-to-Date
Contributions				
Rollover	0.00	0.00	0.00	44,086.82
Total Contributions	$0.00	$0.00	$0.00	$44,086.82

NOTE: The amounts shown in the Year-to-Date columns are aggregate amounts of all transactions conducted for a particular transaction type.

Schedule of Realized Gains and Losses Year-to-Date

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
01/09/06	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 669.10	3139SPXU1	15,000.000	669.10	669.09	-0.01
01/09/06	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 196.56	3139SRSP4	10,000.000	196.56	198.54	1.98
01/12/06	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 633.82	31394UGN6	20,000.000	633.82	651.74	17.92
01/23/06	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 344.60	126694MR6	50,000.000	344.60	344.59	-0.01
01/23/06	04/28/05	RPP	CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 516.68	172973T90	20,000.000	516.68	543.87	27.19
01/31/06	04/28/05	RPP	CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 0.00	172973T90	20,000.000	Please Provide	0.00	N/A
02/07/06	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 368.70	3139SPXU1	15,000.000	368.70	368.69	-0.01
02/07/06	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 239.68	3139SRSP4	10,000.000	239.68	242.09	2.41

D0000000042507CSP30001

BALANZ RATED FOR COMMUNICATION

Account Number: IRA FBO JAMES MCDERMOTT SR

Clearing Through Pershing

PAR-02-CUTSHEET

© 2007 Securities Group Co. Solutions from The Bank of New York

One Pershing Plaza, Jersey City, New Jersey 07399
Pershing LLC, member NASD, NYSE, SIPC, Pershing Limited & Pershing Securities Ltd.

4200

[redacted]

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
02/13/06	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 286.51	31394UGN6	20,000.000	286.51	294.61	8.10
02/15/06	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 257.71	31396EJF4	10,000.000	257.71	266.36	8.65
02/23/06	04/28/05	RPP	CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 598.94	172973T90	20,000.000	598.94	630.46	31.52
02/24/06	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 274.61	126694MR6	50,000.000	274.61	274.61	0.00
02/28/06	04/28/05	RPP	CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: -598.94	172973T90	20,000.000	-630.46	-661.98	-31.52
03/07/06	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 456.87	31395PXU1	15,000.000	456.87	456.87	0.00
03/07/06	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 158.06	31395RSP4	10,000.000	158.06	159.65	1.59
03/07/06	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 283.21	31396EJF4	10,000.000	283.21	292.72	9.51
03/13/06	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 115.27	31394UGN6	20,000.000	115.27	118.53	3.26
03/21/06	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 517.78	126694MR6	50,000.000	517.78	517.78	0.00
03/24/06	04/28/05	RPP	CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 921.47	172973T90	20,000.000	921.47	1,085.05	163.58
Total Short Term						$6,209.11	$6,453.27	$244.16
Long Term								
01/09/06	11/16/04	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" Original Cost Basis: 190.26	31394K4U5	25,000.000	190.26	190.26	0.00
02/07/06	11/16/04	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" Original Cost Basis: 8.67	31394K4U5	25,000.000	8.67	8.67	0.00

42201

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
03/07/06	11/16/04	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" Original Cost Basis: 135.35	31394K4U5	25.000.000	135.35	135.35	0.00
Total Long Term						$334.28	$334.28	$0.00
Total Short Term and Long Term						$6,543.39	$6,787.55	$244.18

The information provided is meant as a general guide and should not be relied upon in the preparation of tax returns. No one associated with Pershing is authorized to give tax advice.
Cost basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. Original cost basis is provided under the security description.

Messages

THE MONEY MARKET DEPOSIT ACCOUNT IS AN FDIC INSURED BANK ACCOUNT AND IS NOT PROTECTED BY SIPC.

PERSHING HAS BEEN ADVISED BY YOUR INTRODUCING FINANCIAL ORGANIZATION THAT IT DOES NOT RECEIVE COMPENSATION FOR DIRECTING ORDER FLOW. SEE THE TERMS AND CONDITIONS SECTION OF YOUR STATEMENT FOR A DESCRIPTION OF PERSHING'S PAYMENT FOR ORDER FLOW PRACTICES.
FOR ADDITIONAL INFORMATION REGARDING ORDER ROUTING PRACTICES AND THE VENUES TO WHICH YOUR INTRODUCING FINANCIAL ORGANIZATION'S ORDERS ARE ROUTED, YOU MAY VISIT WWW.ORDERROUTINGDISCLOSURE.COM.
UPON WRITTEN REQUEST TO YOUR INTRODUCING FINANCIAL ORGANIZATION, YOU MAY OBTAIN THE IDENTITY OF THE VENUE TO WHICH YOUR ORDERS WERE ROUTED FOR THE SIX MONTHS PRIOR TO YOUR REQUEST AND THE TIME OF TRANSACTIONS THAT MAY HAVE RESULTED FROM SUCH ORDERS.

IT IS NOT TOO LATE OR EARLY TO CONSIDER CONTRIBUTIONS TO YOUR TRADITIONAL INDIVIDUAL RETIREMENT ACCOUNT (IRA) OR ROTH IRA FOR THE 2005 AND 2006 TAX YEARS; THE MAXIMUM CONTRIBUTION LIMIT IS $4,000 PER YEAR. CONTRIBUTIONS FOR THE 2005 TAX YEAR MUST BE MADE BY THE APRIL 17, 2006 DEADLINE. INDIVIDUALS WHO ARE THE AGE OF 50 AND OLDER MAY MAKE AN ADDITIONAL CATCH-UP CONTRIBUTION OF $500 OR $1,000 FOR THE TAX YEARS 2005 AND 2006, RESPECTIVELY.
WHEN MAKING YOUR ANNUAL CONTRIBUTION, PLEASE INDICATE IN WRITING THE TAX YEAR FOR WHICH THE CONTRIBUTION SHOULD BE APPLIED.

4202

STO[illegible] &
YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-3500

Individual Retirement Account Statement

* 00060740 02 AT 0.545 02 TR 00223 X101PD06

IRA FBO JAMES MCDERMOTT SR
PERSHING LLC AS CUSTODIAN
DTD 10/27/03
1820 9TH AVE W
SEATTLE WA 98119-2946

Your Investment Executive:
GREG SAIN
(818) 528-2117

Account Number:
Statement Period: 04/01/2006 - 04/30/2006

Valuation at a Glance

	This Period
Beginning Account Value	$103,904.90
Cash Deposits	5,000.00
Cash Withdrawals	-5,512.68
Dividends/Interest	579.44
Change in Account Value	-2,407.17
Ending Account Value	$101,564.49
Estimated Annual Income	$52.67

Asset Allocation

	Value Prior Year-End	Value Last Period	Value This Period	Percent Allocation	
Fixed Income	101,482.38	98,404.34	102,009.27	100%	Your Account is 100% invested in Fixed Income.
Cash and Cash Equivalents	6,112.69	5,500.56	-444.78	0%	
Account Total	$107,595.07	$103,904.90	$101,564.49	100%	

4203

Summary of Gains and Losses

	Realized		Unrealized
	This Period	Year-to-Date	This Period
Short-Term Gain/Loss	56.31	300.47	-7,860.00
Long-Term Gain/Loss	0.00	0.00	-956.13
Net Gain/Loss	**56.31**	**300.47**	**-8,816.13**

This summary excludes transactions where cost basis information is not available.

Customer Service Information

Your Investment Executive: BBO	Contact Information	Customer Service Information
GREG SAIN GSAIN@SYLLC.COM 15260 VENTURA BLVD, SUITE 1520 SHERMAN OAKS CA 91403-5348	**Telephone Number:** (818) 528-2117 **Fax Number:** (818) 783-2731	Web Site: www.buybonds.com

Prior Year-End Fair Market Value: $107,595.07 will be furnished to the Internal Revenue Service.

Accrued Interest Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Accrued Interest Paid		
Asset Backed Securities	-47.00	-74.53
Total Accrued Interest Paid	**-$47.00**	**-$74.53**

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Interest Income		
Bond Interest	559.92	2,305.05
FDIC Insured Bank Deposits	19.52	52.67
Total Dividends, Interest and Expenses	**$579.44**	**$2,357.72**
Distributions		
Other Distributions	2,773.46	9,592.63
Total Distributions	**$2,773.46**	**$9,592.63**

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash and Cash Equivalents 0.00% of Portfolio									
Cash Balance				0.00	-444.78				

4204

Portfolio Holdings *(continued)*

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash and Cash Equivalents *(continued)*									
FDIC Insured Bank Deposits									
RESERVE INSURED DEPOSITS									
	04/01/06	ANB802394	04/28/06	5,500.56	0.00	0.00	52.67	N/A	4.08%
Total FDIC Insured Bank Deposits				**$5,500.56**	**$0.00**	**$0.00**	**$52.67**		
Total Cash and Cash Equivalents				**$5,500.56**	**-$444.78**	**$0.00**	**$52.67**		

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income - 100.00% of Portfolio *(In CUSIP Sequence)*									
Asset Backed Securities									
CWMBS INC MTG PASS-THRU CTF SER 2005-26 CL-1-A-7 "INV" Factor: 0.95942594 5.309% 11/25/35 B/E DTD 09/01/05 Moody Rating AAA S & P Rating AAA *Security Identifier:* 126694MR6									
50,000.000 Original Cost Basis: $50,000.00	09/15/05	100.0000	47,971.31	91.3220	43,808.35	-4,162.96	190.79		
CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13_INV FLTR Factor: 0.52949108 3.856% 04/25/35 B/E DTD 04/01/05 CLB Moody Rating AAA S & P Rating AAA *Security Identifier:* 172973T90									
20,000.000 Original Cost Basis: $19,000.00	04/28/05	84.9240	8,993.29	84.8730	8,987.90	-5.39	30.63		
FHLMC MULTICLASS MTG PARTN CTFS GTD "INV" SER-2688 CL-2688-SE 10.000% 10/15/33 B/E Factor: 0.15548827 DTD 10/15/03 *Security Identifier:* 31394K4U5									

4205

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" *(continued)*									
25,000.000	11/16/04	100.0000	3,912.21	87.4660	3,421.85	-490.36	29.34		
Original Cost Basis: $15,500.36									
FNMA GTD REMIC PASS THRU CTF REMIC									
SER-2005-95 CL-95-WT INV FLTR Factor: 0.90121359									
0.000% 11/25/35 B/E DTD 10/01/05									
Security Identifier: 31394UER9									
10,000.000	04/18/06	96.9640	8,738.56	94.3890	8,506.47	-232.09	0.00		
Original Cost Basis: $8,738.56									
FNMA GTD REMIC PASS THRU CTF REMIC									
SER-2005-98 CL-98-SX 5.649% 12/25/34 B/E Factor: 0.83754252									
DTD 10/25/05									
Security Identifier: 31394UGN6									
20,000.000	11/25/05	97.2500	16,290.22	85.1850	14,269.21	-2,021.01	70.97		
Original Cost Basis: $18,310.65									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-2950 CL-2950-SV 5.143% 03/15/35 B/E Factor: 0.72731465									
DTD 03/15/05									
Security Identifier: 31395PXU1									
15,000.000	09/27/05	100.0000	10,909.73	88.6340	9,669.72	-1,240.01	42.08		
Original Cost Basis: $14,803.18									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-2968 CL-2968-SC 11.330% 12/15/34 B/E Factor: 0.57139655									
DTD 04/01/05 CLB									
Security Identifier: 31395RSP4									
10,000.000	04/25/05	99.0000	5,656.83	90.9430	5,196.45	-460.38	48.56		
Original Cost Basis: $9,900.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-3063 CL-3063-SY 4.945% 05/15/33 B/E Factor: 0.86338503									
DTD 11/15/05 CLB									
Security Identifier: 31396EJF4									

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FHLMC MULTICLASS MTG PARTN CTFS GTD *(continued)*									
10,000.000	01/31/06	96.7500	8,353.25	94.3880	8,149.32	-203.93	32.02		
Original Cost Basis: $9,182.05									
Total Asset Backed Securities			$110,825.40		$102,009.27	-$8,816.13	$444.39	$0.00	
Total Fixed Income			$110,825.40		$102,009.27	-$8,816.13	$444.39	$0.00	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$110,380.62	$101,564.49	-$8,816.13	$444.39	$52.67

Cost Basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. Original cost basis is provided under the corresponding tax lot.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

42207

Transactions by Type of Activity

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Securities Bought and Sold							
04/21/06	04/18/06	PURCHASED	FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-95 CL-95-WT INV FLTR 0.000% 11/25/35 B/E DTD 10/01/05 FACTOR 0.9296342000 REM BAL 9,296 YLD: 8.23123700	10,000.000	94.0000	-47.00	-8,785.56
Total Securities Bought and Sold							**-$8,785.56**
Cash Withdrawals and Deposits							
04/10/06		REFUND PREVIOUS YEAR EXCESS CONTRIBUTION	THRD-PARTY0071994900 JAMES MCDERMOTT SR				-500.00
04/10/06		PREVIOUS YEAR EXCESS CONTRIBUTION INCOME	CHECK PD:#0071994900 REMOVAL OF EXCESS LAST YEAR				-12.68
04/12/06	04/07/05	CURRENT YEAR CONTRIBUTION	A/O 04/07/2005				5,000.00
04/12/06	04/07/05	ROLLOVER CONTRIBUTION ADJUSTMENT	REV A/O 04/07/2005				-5,000.00
Total Cash Withdrawals and Deposits							**-$512.68**
Dividends and Interest							
04/17/06	04/13/06	MONEY MARKET FUND INTEREST RECEIVED	RESERVE INS DEPOSITS INTEREST CREDITED				16.68
04/17/06		BOND INTEREST RECEIVED	25000 FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" SER-2688 CL-2688-SE 10.000% 10/15/33 B/E DTD 10/15/03 RD 03/31 PD 04/15/06				36.82
04/17/06		BOND INTEREST RECEIVED	15000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2950 CL-2950-SV 5.856% 03/15/35 B/E DTD 03/15/05 RD 03/31 PD 04/15/06				55.85
04/17/06		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2968 CL-2968-SC 11.330% 12/15/34 B/E DTD 04/01/05 CLB RD 03/31 PD 04/15/06				25.48
04/17/06		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3063 CL-3063-SY 5.504% 05/15/33 B/E DTD 11/15/05 CLB RD 03/31 PD 04/15/06				40.97
04/21/06		MONEY MARKET FUND INTEREST RECEIVED	RESERVE INS DEPOSITS INTEREST CREDITED				2.84
04/25/06		BOND INTEREST RECEIVED	20000 CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13_INV FLTR 4.379% 04/25/35 B/E DTD 04/01/05 CLB RD 03/31 PD 04/25/06				45.70

4208

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest *(continued)*							
04/25/06		BOND INTEREST RECEIVED	10000 FNMA GTD REMIC PASS THRU CTF REMIC SER 2005-95 CL-95-WT INV FLTR 0.000% 11/25/35 B/E DTD 10/01/05 RD 03/31 PD 04/25/06				54.23
04/25/06		BOND INTEREST RECEIVED	20000 FNMA GTD REMIC PASS THRU CTF REMIC SER 2005-98 CL-98-SX 6.166% 12/25/34 B/E DTD 10/25/05 RD 03/31 PD 04/25/06				88.10
04/26/06		BOND INTEREST RECEIVED	50000 CWMBS INC MTG PASS-THRU CTF SER 2005-26 CL-1-A-7 "INV" 5.698% 11/25/35 B/E DTD 09/01/05 RD 03/31 PD 04/25/06				212.77
Total Dividends and Interest							$579.44
Distributions							
04/17/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD "INV" SER 2688 CL-2688-SE 10.000% 10/15/33 B/E DTD 10/15/03 RD 03/31 PD 04/15/06				505.84
04/17/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2950 CL-2950-SV 5.856% 03/15/35 B/E DTD 03/15/05 RD 03/31 PD 04/15/06				533.95
04/17/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2968 CL-2968-SC 11.330% 12/15/34 B/E DTD 04/01/05 CLB RD 03/31 PD 04/15/06				373.00
04/17/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER 3063 CL-3063-SY 5.504% 05/15/33 B/E DTD 11/15/05 CLB RD 03/31 PD 04/15/06				297.55
04/25/06		RETURN OF PRINCIPAL RECEIVED	20000 CITICORP MTG SECS INC REMIC PASS-THRU CTF SER 2005-3 CL-1A13_INV FLTR 4.379% 04/25/35 B/E DTD 04/01/05 CLB RD 03/31 PD 04/25/06				213.42
04/25/06		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER 2005-95 CL-95-WT INV FLTR 0.000% 11/25/35 B/E DTD 10/01/05 RD 03/31 PD 04/25/06				284.21

4209

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Distributions *(continued)*							
04/25/06		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER 2005-98 CL-98-SX 6.16696 12/25/34 B/E DTD 10/25/05 RD 03/31 PD 04/25/06				391.64
04/26/06		RETURN OF PRINCIPAL RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 5.698% 11/25/35 B/E DTD 09/01/05 RD 03/31 PD 04/25/06				173.85
Total Distributions							**$2,773.46**
FDIC Insured Bank Deposits							
04/10/06		MONEY MARKET WITHDRAWAL	RESERVE INS DEPOSITS				-512.68
04/17/06	04/13/06	MONEY MARKET INTEREST REINVESTED	RESERVE INS DEPOSITS INTEREST REINVESTED				-16.68
04/18/06		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-1,869.46
04/21/06		MONEY MARKET WITHDRAWAL	RESERVE INS DEPOSITS FULL PRINCIPAL REDEEMED				6,874.02
Total FDIC Insured Bank Deposits							**$5,500.56**
Total Value of all Transactions							**-$444.78**

The price and quantity displayed may have been rounded.

Bond Maturity Schedule

Bond Maturity	Market Value	Percentage of Bond Market Value
Over 10 years	102,009.27	100%
Total	**$102,009.27**	**100%**

Bonds that are in default are not included. Please refer to your Portfolio Holdings section.

Percentages of bond market values are rounded to the nearest whole percentage.

Bond Quality

Bond Quality	Market Value	Percentage of Bond Market Value
AAA	52,796.25	52%
Not Rated	49,213.02	48%
Total	$102,009.27	100%

Bond quality ratings reflect Moody's or Standard and Poor's ratings. Bonds may be rated by other services. Bonds that are in default are not included. Please refer to your Portfolio Holdings section.

Percentages of bond market values are rounded to the nearest whole percentage.

FDIC Insured Bank Deposits

Date	Activity Type	Description	Amount	Balance
FDIC Insured Bank Deposits				
RESERVE INSURED DEPOSITS				
Account Number ANB802394	Activity Ending: 04/28/06			
04/01/06	Opening Balance			
04/10/06	-Withdrawal	WITHDRAWAL	5,500.56	5,500.56
04/13/06	Deposit	INTEREST CREDITED	-512.68	4,987.88
04/13/06		APYE 3.92	16.68	5,004.56
04/13/06		NY COMMUNITY		5,004.56
04/13/06		A/O 04/13 $2,569.87		5,004.56
04/13/06		SOVEREIGN		5,004.56
04/13/06		A/O 04/13 $2,323.45		5,004.56
04/13/06		SUN WEST		5,004.56
04/13/06		A/O 04/13 $106.34		5,004.56
04/13/06		UNION FEDERAL		5,004.56
04/13/06		A/O 04/13 $4.90		5,004.56
04/18/06	Deposit	DEPOSIT	1,869.46	6,874.02

4211

FDIC Insured Bank Deposits *(continued)*

Date	Activity Type	Description	Amount	Balance
FDIC Insured Bank Deposits *(continued)*				
RESERVE INSURED DEPOSITS *(continued)*				
04/21/06	Withdrawal	WITHDRAWAL	-6,876.86	-2.84
04/21/06	Deposit	INTEREST POSTED	2.84	0.00
04/28/06	**Closing Balance**			**$0.00**
Total FDIC Insured Bank Deposits				**$0.00**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits are insured by the Federal Deposit Insurance Corporation (FDIC).

Retirement Account Transactions

	Tax Year - 2006		Tax Year - 2005	
	This Period	Year-to-Date	This Period	Year-to-Date
Contributions				
Participant	0.00	0.00	5,000.00	5,000.00
Rollover	0.00	0.00	-5,000.00	39,086.82
Total Contributions	**$0.00**	**$0.00**	**$0.00**	**$44,086.82**
Distributions				
Excess Contribution	-500.00	-500.00	0.00	0.00
Excess Contribution Income	-12.68	-12.68	0.00	0.00
Total Distributions	**-$512.68**	**-$512.68**	**$0.00**	**$0.00**

NOTE: The amounts shown in the Year-to-Date columns are aggregate amounts of all transactions conducted for a particular transaction type.

Messages

THE MONEY MARKET DEPOSIT ACCOUNT IS AN FDIC INSURED BANK ACCOUNT AND IS NOT PROTECTED BY SIPC.

IT IS NOT TOO EARLY TO CONSIDER CONTRIBUTIONS TO YOUR TRADITIONAL INDIVIDUAL RETIREMENT ACCOUNT (IRA) OR ROTH IRA FOR THE 2006 TAX YEAR; THE MAXIMUM CONTRIBUTION LIMIT IS $4,000. INDIVIDUALS WHO ARE THE AGE OF 50 AND OLDER MAY MAKE AN ADDITIONAL CATCH-UP CONTRIBUTION OF $1,000 FOR THE TAX YEAR 2006.
WHEN MAKING YOUR ANNUAL CONTRIBUTION, PLEASE INDICATE IN WRITING THE TAX YEAR FOR WHICH THE CONTRIBUTION SHOULD BE APPLIED.

STIFEL &
YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-2300

[redacted]

Individual Retirement Account Statement

* 00053849 02 AT 0.545 TR 00199 X101PD04 000000

IRA FBO JAMES MCDERMOTT SR
PERSHING LLC AS CUSTODIAN
DTD 10/27/03
1820 9TH AVE W
SEATTLE WA 98119-2946

Account Number: [redacted]
Statement Period: 05/01/2006 - 05/31/2006

Your Investment Executive:
GREG SAIN
(310) 689-3417

Valuation at a Glance

	This Period
Beginning Account Value	$101,564.49
Cash Deposits	512.68
Dividends/Interest	507.95
Change in Account Value	-3,059.70
Ending Account Value	$99,525.42
Estimated Annual Income	$62.77

FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation.

Asset Allocation

	Value [illegible]	Value Last Period	Value This Period	Percent Allocation
Cash and Cash Equivalents[1]	6,112.69	-444.78	2,503.60	3% [redacted]
Fixed Income	101,482.38	102,009.27	97,021.82	97% [redacted]
Account Total (Pie Chart)	$107,595.07	$101,564.49	$99,525.42	100%

[1] Includes FDIC insured bank deposits.

Asset Allocation percentages are rounded to the nearest whole percentage.

Pie Chart allocation excludes all asset classes which net to a liability.

4213

[redacted]

Summary of Gains and Losses

	Realized		Unrealized
	This Period	Year-to-Date	This Period
Short-Term Gain/Loss	19.45	319.92	-10,905.23
Long-Term Gain/Loss	12.53	12.53	-1,002.61
Net Gain/Loss	**31.98**	**332.45**	**-11,907.84**

This summary excludes transactions where cost basis information is not available.

Customer Service Information

Your Investment Executive: B80	Contact Information	Customer Service Information
GREG SAIN GSAIN@SYLLC.COM 10900 WILSHIRE BLVD LOS ANGELES CA 90024	**Telephone Number:** (310) 689-3417 **Fax Number:** (310) 689-3445	**Web Site:** www.buybonds.com

Prior Year-End Fair Market Value: $107,595.07 **will be furnished to the Internal Revenue Service.**

Accrued Interest Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Accrued Interest Paid		
Asset Backed Securities	0.00	-74.53
Total Accrued Interest Paid	**$0.00**	**-$74.53**

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Interest Income		
Bond Interest	507.85	2,812.90
FDIC Insured Bank Deposits	0.10	52.77
Total Dividends, Interest and Expenses	**$507.95**	**$2,865.67**
Distributions		
Other Distributions	1,927.75	11,520.38
Total Distributions	**$1,927.75**	**$11,520.38**

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash and Cash Equivalents 3.00% of Portfolio									
Cash Balance				-444.78	0.00				

A0047102CFF101D

BALBAR RATED Account Number:

Clearing Through Pershing® A BNY Mellon Group Co. One Pershing Plaza, Jersey City, New [redacted]7100

4214

Portfolio Holdings *(continued)*

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash and Cash Equivalents *(continued)*									
FDIC Insured Bank Deposits									
RESERVE INSURED DEPOSITS									
2,503.600	04/29/06	ANB802394	05/31/06	0.00	2,503.60	3.52	52.77	N/A	4.25%
Total FDIC Insured Bank Deposits				**$0.00**	**$2,503.60**	**$3.52**	**$52.77**		
Total Cash and Cash Equivalents				**-$444.78**	**$2,503.60**	**$3.52**	**$52.77**		

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income 97.00% of Portfolio *(In CUSIP Sequence)*									
Asset Backed Securities									
CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" Factor: 0.95568517 4.864% 11/25/35 B/E DTD 09/01/05 Moody Rating AAA S & P Rating AAA *Security Identifier:* 126694MR6									
50,000.000	09/15/05	100.0000	47,784.27	87.2600	41,696.54	-6,087.73	193.69		
Original Cost Basis: $50,000.00									
CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13_INV FLTR Factor: 0.52634230 3.404% 04/25/35 B/E DTD 04/01/05 CLB Moody Rating AAA S & P Rating AAA *Security Identifier:* 172973T90									
20,000.000	04/28/05	84.9240	8,939.81	83.9150	8,833.60	-106.21	29.86		
Original Cost Basis: $19,000.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" SER-2688 CL-2688-SE 10.0009% 10/15/33 B/E Factor: 0.15179777 DTD 10/15/03 *Security Identifier:* 31394K4U5									

4215

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" *(continued)*									
25,000.000	11/16/04	100.0000	3,794.95	91.6850	3,479.39	-315.56	31.62		
Original Cost Basis: $15,500.36									
FNMA GTD REMIC PASS THRU CTF REMIC									
SER-2005-95 CL-95-WT INV FLTR Factor: 0.89816363									
7.000% 11/25/35 B/E DTD 10/01/05									
Security Identifier: 31394UER9									
10,000.000	04/18/06	96.9640	8,708.99	91.7630	8,241.82	-467.17	52.39		
Original Cost Basis: $8,738.56									
FNMA GTD REMIC PASS THRU CTF REMIC									
SER-2005-98 CL-98-SX 5.202% 12/25/34 B/E Factor: 0.82229232									
DTD 10/25/05									
Security Identifier: 31394UGN6									
20,000.000	11/25/05	97.2500	15,993.60	83.4060	13,716.82	-2,276.78	71.29		
Original Cost Basis: $18,310.65									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-2950 CL-2950-SV 5.143% 03/15/35 B/E Factor: 0.68677720									
DTD 03/15/05									
Security Identifier: 31395PXU1									
15,000.000	09/27/05	100.0000	10,301.67	83.4340	8,595.09	-1,706.58	44.15		
Original Cost Basis: $14,803.18									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-2968 CL-2968-SC 11.330% 12/15/34 B/E Factor: 0.54096944									
DTD 04/01/05 CLB									
Security Identifier: 31395RSP4									
10,000.000	04/25/05	99.0000	5,355.60	88.2630	4,774.76	-580.84	51.08		
Original Cost Basis: $9,900.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-3063 CL-3063-SY 4.945% 05/15/33 B/E Factor: 0.83212053									
DTD 11/15/05 CLB									
Security Identifier: 31396EJF4									

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FHLMC MULTICLASS MTG PARTN CTFS GTD *(continued)*									
10,000.000	01/31/06	96.7500	8,050.77	92.3400	7,683.80	-366.97	34.29		
Original Cost Basis: $9,182.05									
Total Asset Backed Securities			$108,929.66		$97,021.82	-$11,907.84	$508.37	$0.00	
Total Fixed Income			$108,929.66		$97,021.82	-$11,907.84	$508.37	$0.00	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$111,433.26	$89,525.42	-$11,907.84	$508.37	$52.77

Cost Basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. Original cost basis is provided under the corresponding tax lot.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

4217

[redacted]

Transactions by Type of Activity

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Cash Withdrawals and Deposits							
05/02/06		CURRENT YEAR CONTRIBUTION	CURR-YEAR CONTRIBTN. PARTICIPANT				512.68
Total Cash Withdrawals and Deposits							**$512.68**
Dividends and Interest							
05/15/06		BOND INTEREST RECEIVED	25000 FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" SER-2688 CL-2688-SE 10.000% 10/15/33 B/E DTD 10/15/03 RD 04/28 PD 05/15/06				32.60
05/15/06		BOND INTEREST RECEIVED	15000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2950 CL-2950-SV 5.143% 03/15/35 B/E DTD 03/15/05 RD 04/28 PD 05/15/06				46.76
05/15/06		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2968 CL-2968-SC 11.330% 12/15/34 B/E DTD 04/01/05 CLB RD 04/28 PD 05/15/06				20.50
05/15/06		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3063 CL-3063-SY 4.945% 05/15/37 B/E DTD 11/15/05 CLB RD 04/28 PD 05/15/06				35.58
05/16/06	05/15/06	MONEY MARKET FUND INTEREST RECEIVED	RESERVE INS DEPOSITS INTEREST CREDITED				0.10
05/25/06		BOND INTEREST RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 5.303% 11/25/35 B/E DTD 09/01/05 RD 04/28 PD 05/25/06				202.59
05/25/06		BOND INTEREST RECEIVED	20000 CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13_INV FLTR 3.856% 04/25/35 B/E DTD 04/01/05 CLB RD 04/28 PD 05/25/06				38.40
05/25/06		BOND INTEREST RECEIVED	10000 FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-95 CL-95-WT INV FLTR 7.000% 11/25/35 B/E DTD 10/01/05 RD 04/28 PD 05/25/06				52.57
05/25/06		BOND INTEREST RECEIVED	20000 FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-98 CL-98-SX 5.649% 12/25/34 B/E DTD 10/25/05 RD 04/28 PD 05/25/06				78.85
Total Dividends and Interest							**$507.95**

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Distributions							
05/15/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD "INV" SER-2688 CL-2688-SE 10.000% 10/15/33 B/E DTD 10/15/03 RD 04/28 PD 05/15/06				117.26
05/15/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2950 CL-2950-SV 5.143% 03/15/35 B/E DTD 03/15/05 RD 04/28 PD 05/15/06				608.06
05/15/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2968 CL-2968-SC 11.330% 12/15/34 B/E DTD 04/01/05 CLB RD 04/28 PD 05/15/06				304.27
05/15/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3063 CL-3063-SY 4.945% 05/15/33 B/E DTD 11/15/05 CLB RD 04/28 PD 05/15/06				312.64
05/25/06		RETURN OF PRINCIPAL RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 5.303% 11/25/35 B/E DTD 09/01/05 RD 04/28 PD 05/25/06				187.04
05/25/06		RETURN OF PRINCIPAL RECEIVED	20000 CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13_INV FLTR 3.856% 04/25/35 B/E DTD 04/01/05 CLB RD 04/28 PD 05/25/06				62.98
05/25/06		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-95 CL-95-WT INV FLTR 7.000% 11/25/35 B/E DTD 10/01/05 RD 04/28 PD 05/25/06				30.50
05/25/06		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-98 CL-98-SX 5.649% 12/25/34 B/E DTD 10/25/05 RD 04/28 PD 05/25/06				305.00
Total Distributions							
FDIC Insured Bank Deposits							**$1,927.75**
05/03/06		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-67.90
05/16/06	05/15/06	MONEY MARKET INTEREST REINVESTED	RESERVE INS DEPOSITS INTEREST REINVESTED				-0.10

4219

[redacted]

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
FDIC Insured Bank Deposits *(continued)*							
05/16/06		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-1,477.67
05/26/06		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-957.93
Total FDIC Insured Bank Deposits							-$2,503.60
Total Value of all Transactions							$444.78

The price and quantity displayed may have been rounded.

Bond Maturity Schedule

Bond Maturity	Market Value	Percentage of Bond Market Value
Over 10 years	97,021.82	100%
Total	**$97,021.82**	**100%**

Bonds that are in default are not included. Please refer to your Portfolio Holdings section.

[redacted]

Percentages of bond market values are rounded to the nearest whole percentage.

Bond Quality

Bond Quality	Market Value	Percentage of Bond Market Value
AAA	50,530.14	52%
Not Rated	46,491.68	48%
Total	**$97,021.82**	**100%**

Bond quality ratings reflect Moody's or Standard and Poor's ratings. Bonds may be rated by other services. Bonds that are in default are not included. Please refer to your Portfolio Holdings section.

[redacted]

[redacted]

Percentages of bond market values are rounded to the nearest whole percentage.

4220

Statement Period: 05/01/2006 - 05/31/2006

FDIC Insured Bank Deposits

Date	Activity Type	Description	Amount	Balance
FDIC Insured Bank Deposits				
RESERVE INSURED DEPOSITS				
Account Number: AN8802394	Activity Ending: 05/31/06			
04/29/06	Opening Balance			
05/03/06	Deposit	DEPOSIT	0.00	0.00
05/15/06	Deposit	INTEREST CREDITED	67.90	67.90
05/15/06		APYE 4.09	0.10	68.00
05/15/06		STILLWATER		68.00
05/15/06		A/O 05/15 $68.00		68.00
05/16/06	Deposit	DEPOSIT		68.00
05/26/06	Deposit	DEPOSIT	1,477.67	1,545.67
			957.93	2,503.60
05/31/06	Closing Balance			$2,503.60
Total FDIC Insured Bank Deposits				$2,503.60

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits are insured by the Federal Deposit Insurance Corporation (FDIC).

Retirement Account Transactions

	Tax Year - 2006		Tax Year - 2005	
	This Period	Year-to-Date	This Period	Year-to-Date
Contributions				
Participant	512.68	512.68	0.00	5,000.00
Rollover	0.00	0.00	0.00	39,086.82
Total Contributions	**$512.68**	**$512.68**	**$0.00**	**$44,086.82**
Distributions				
Excess Contribution	0.00	-500.00	0.00	0.00
Excess Contribution Income	0.00	-12.68	0.00	0.00
Total Distributions	**$0.00**	**-$512.68**	**$0.00**	**$0.00**

NOTE: The amounts shown in the Year-to-Date columns are aggregate amounts of all transactions conducted for a particular transaction type.

4221

ST[illegible] &
YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-2300

Individual Retirement Account Statement

* 00035275 02 AB 0.554 TR 00173 X220ED03 001000

IRA FBO JAMES MCDERMOTT SR
PERSHING LLC AS CUSTODIAN
DTD 10/27/03
1820 9TH AVE W
SEATTLE WA 98119-2946

Account Number:
Statement Period: 06/01/2006 - 06/30/2006

Valuation at a Glance

	This Period
Beginning Account Value	$99,525.42
Dividends/Interest	469.13
Change in Account Value	-2,283.10
Ending Account Value	$97,711.45
Estimated Annual Income	$60.71

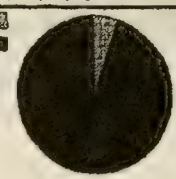
Your Investment Executive:
GREG SAIN
(310) 689-3417

FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation.

Asset Allocation

	Value Prior Year-End	Value Last Period	Value This Period	Percent Allocation
Cash and Cash Equivalents[1]	6,112.69	2,503.60	4,975.04	5%
Fixed Income	101,482.38	97,021.82	92,736.41	95%
Account Total (Pie Chart)	$107,595.07	$99,525.42	$97,711.45	100%

[1] Includes FDIC insured bank deposits.



Asset Allocation percentages are rounded to the nearest whole percentage.

Pie Chart allocation excludes all asset classes which net to a liability.

DALBAR RATED FOR PERFORMANCE

Clearing through Pershing, a BNY Securities Group Co. Member New York Stock Exchange, Inc. One Pershing Plaza, Jersey City, New Jersey 07399

[redacted]

Summary of Gains and Losses

	Realized		Unrealized
	This Period	Year-to-Date	This Period
Short-Term Gain/Loss	30.39	350.31	-12,070.38
Long-Term Gain/Loss	2.81	15.34	-2,153.82
Net Gain/Loss	**33.20**	**365.65**	**-14,224.20**

This summary excludes transactions where cost basis information is not available.

Customer Service Information

Your Investment Executive: 880	Contact Information	Customer Service Information
GREG SAIN GSAIN@SYLLC.COM 10900 WILSHIRE BLVD LOS ANGELES CA 90024	**Telephone Number:** (310) 689-3417 **Fax Number:** (310) 689-3445	**Web Site:** www.buybonds.com

Prior Year-End Fair Market Value: $107,595.07 **will be furnished to the Internal Revenue Service.**

Accrued Interest Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Accrued Interest Paid		
Asset Backed Securities	0.00	-74.53
Total Accrued Interest Paid	**$0.00**	**-$74.53**

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Interest Income		
Bond Interest	461.19	3,274.09
FDIC Insured Bank Deposits	7.94	60.71
Total Dividends, Interest and Expenses	**$469.13**	**$3,334.80**
Distributions		
Other Distributions	2,002.31	13,522.69
Total Distributions	**$2,002.31**	**$13,522.69**

S&Y ST[redacted] & YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-2300

[redacted]

Individual Retirement Account Statement

Statement Period: 06/01/2006 - 06/30/2006

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash and Cash Equivalents 5.00% of Portfolio									
FDIC Insured Bank Deposits									
RESERVE INSURED DEPOSITS									
4,975.040	06/01/06	AN8802394	06/30/06	2,503.60	4,975.04	8.94	60.71	N/A	4.37%
Total FDIC Insured Bank Deposits				**$2,503.60**	**$4,975.04**	**$8.94**	**$60.71**		
Total Cash and Cash Equivalents				**$2,503.60**	**$4,975.04**	**$8.94**	**$60.71**		

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income 95.00% of Portfolio *(In CUSIP Sequence)*									
Asset Backed Securities									
CWABS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" Factor: 0.95535981 4.460% 11/25/35 B/E DTD 09/01/05 Moody Rating AAA S & P Rating AAA *Security Identifier:* 126694MR6									
50,000.000	09/15/05	100.0000	47,768.00	86.2260	41,188.43	-6,579.57	171.62		
Original Cost Basis: $50,000.00									
CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13_INV FLTR Factor: 0.52634230 2.510% 04/25/35 B/E DTD 04/01/05 CLB Moody Rating AAA S & P Rating AAA *Security Identifier:* 172973T90									
20,000.000	04/28/05	84.9240	8,939.81	77.2250	8,129.36	-810.45	21.29		
Original Cost Basis: $19,000.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" SER-2688 CL-2688-SE 10.000% 10/15/33 B/E Factor: 0.14281020 DTD 10/15/03 *Security Identifier:* 31394K4U5									

4224

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" *(continued)*									
25,000.000	11/16/04	100.0000	3,570.26	84.7800	3,026.86	-543.40	28.76		
Original Cost Basis: $15,500.36									
FNMA GTD REMIC PASS THRU CTF REMIC									
SER-2005-95 CL-95-WT INV FLTR Factor: 0.87410403									
7.0004% 11/25/35 B/E DTD 10/01/05									
Security Identifier: 31394UER9									
10,000.000	04/18/06	96.9640	8,475.70	89.6410	7,835.56	-640.14	49.29		
Original Cost Basis: $8,738.56									
FNMA GTD REMIC PASS THRU CTF REMIC									
SER-2005-98 CL-98-SX 4.317% 12/25/34 B/E Factor: 0.80818934									
DTD 10/25/05									
Security Identifier: 31394UGN6									
20,000.000	11/25/05	97.2500	15,719.30	83.4070	13,481.73	-2,237.57	56.21		
Original Cost Basis: $18,310.65									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-2950 CL-2950-SV 3.750% 03/15/35 B/E Factor: 0.65455660									
DTD 03/15/05									
Security Identifier: 31395PXU1									
15,000.000	09/27/05	100.0000	9,818.36	78.3450	7,692.19	-2,126.17	29.66		
Original Cost Basis: $14,803.18									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-2968 CL-2968-SC 11.330% 12/15/34 B/E Factor: 0.51270212									
DTD 04/01/05 CLB									
Security Identifier: 31395RSP4									
10,000.000	04/25/05	99.0000	5,075.75	83.3970	4,275.78	-799.97	46.79		
Original Cost Basis: $9,900.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-3063 CL-3063-SY 3.854% 05/15/33 B/E Factor: 0.78484981									
DTD 11/15/05 CLB									
Security Identifier: 31396EJF4									

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FHLMC MULTICLASS MTG PARTN CTFS GTD *(continued)*									
10,000.000	01/31/06	96.7500	7,593.43	90.5460	7,106.50	-486.93	24.37		
Original Cost Basis: $9,182.05									
Total Asset Backed Securities			**$106,960.61**		**$92,736.41**	**-$14,224.20**	**$427.99**	**$0.00**	
Total Fixed Income			**$106,960.61**		**$92,736.41**	**-$14,224.20**	**$427.99**	**$0.00**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$111,935.65**	**$97,711.45**	**-$14,224.20**	**$427.99**	**$60.71**

Cost Basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. Original cost basis is provided under the corresponding tax lot.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

4226

Transactions by Type of Activity

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest							
06/15/06		BOND INTEREST RECEIVED	25000 FHLMC MULTICLASS MTG PARTN CTFS GTD "INV" SER-2688 CL-2688-SE 10.000% 10/15/33 B/E DTD 10/15/03 RD 05/31 PD 06/15/06				29.20
06/15/06		BOND INTEREST RECEIVED	15000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2950 CL-2950-SV 5.143% 03/15/35 B/E DTD 03/15/05 RD 05/31 PD 06/15/06				36.94
06/15/06		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2968 CL-2968-SC 11.330% 12/15/34 B/E DTD 04/01/05 CLB RD 05/31 PD 06/15/06				16.16
06/15/06		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3063 CL-3063-SY 4.945% 05/15/33 B/E DTD 11/15/05 CLB RD 05/31 PD 06/15/06				29.73
06/16/06	06/15/06	MONEY MARKET FUND INTEREST RECEIVED	RESERVE INS DEPOSITS INTEREST CREDITED				7.94
06/26/06		BOND INTEREST RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 4.864% 11/25/35 B/E DTD 09/01/05 RD 05/31 PD 06/25/06				193.71
06/26/06		BOND INTEREST RECEIVED	20000 CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13_INV FLTR 3.404% 04/25/35 B/E DTD 04/01/05 CLB RD 05/31 PD 06/25/06				31.77
06/26/06		BOND INTEREST RECEIVED	10000 FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-95 CL-95-WT INV FLTR 7.000% 11/25/35 B/E DTD 10/01/05 RD 05/31 PD 06/25/06				52.39
06/26/06		BOND INTEREST RECEIVED	20000 FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-98 CL-98-SX 5.202% 12/25/34 B/E DTD 10/25/05 RD 05/31 PD 06/25/06				71.29
Total Dividends and Interest							**$469.13**

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Distributions							
06/15/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD "INV" SER-2688-CL-2688-SE 10.000% 10/15/33 B/E DTD 10/15/03 RD 05/31 PD 06/15/06				224.69
06/15/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2950 CL-2950-SV 5.143% 03/15/35 B/E DTD 03/15/05 RD 05/31 PD 06/15/06				483.31
06/15/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2968 CL-2968-SC 11.330% 12/15/34 B/E DTD 04/01/05 CLB RD 05/31 PD 06/15/06				282.67
06/15/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3063 CL-3063-SY 4.945% 05/15/33 B/E DTD 11/15/05 CLB RD 05/31 PD 06/15/06				472.71
06/26/06		RETURN OF PRINCIPAL RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 4.864% 11/25/35 B/E DTD 09/01/05 RD 05/31 PD 06/25/06				16.27
06/26/06		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER 2005-95 CL-95-WT INV FLTR 7.000% 11/25/35 B/E DTD 10/01/05 RD 05/31 PD 06/25/06				240.60
06/26/06		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER 2005-98 CL-98-SX 5.202% 12/25/34 B/E DTD 10/25/05 RD 05/31 PD 06/25/06				282.06
Total Distributions							$2,002.31
FDIC Insured Bank Deposits							
06/16/06	06/15/06	MONEY MARKET INTEREST REINVESTED	RESERVE INS DEPOSITS INTEREST REINVESTED				-7.94
06/16/06		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-1,575.41
06/27/06		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				1,575.41
Total FDIC Insured Bank Deposits							-888.09
Total Value of all Transactions							-$2,471.44

The price and quantity displayed may have been rounded.

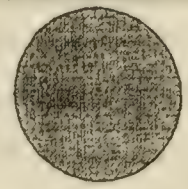
$0.00

4228

Bond Maturity Schedule

Bond Maturity	Market Value	Percentage of Bond Market Value
Over 10 years	92,736.41	100%
Total	$92,736.41	100%

Bonds that are in default are not included. Please refer to your Portfolio Holdings section.

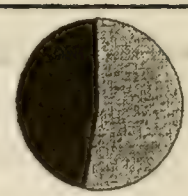


Percentages of bond market values are rounded to the nearest whole percentage.

Bond Quality

Bond Quality	Market Value	Percentage of Bond Market Value
AAA	49,317.79	53%
Not Rated	43,418.62	47%
Total	$92,736.41	100%

Bond quality ratings reflect Moody's or Standard and Poor's ratings. Bonds may be rated by other services. Bonds that are in default are not included. Please refer to your Portfolio Holdings section.



Percentages of bond market values are rounded to the nearest whole percentage.

4229

STO & YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-7300

Individual Retirement Account Statement

Statement Period: 06/01/2006 - 06/30/2006

FDIC Insured Bank Deposits

Date	Activity Type	Description	Amount	Balance
FDIC Insured Bank Deposits				
RESERVE INSURED DEPOSITS				
Account Number: ANB802394	Activity Ending: 06/30/06			
06/01/06	Opening Balance			
06/15/06	Deposit	INTEREST CREDITED	2,503.60	2,503.60
06/15/06		APYE 4.25	7.94	2,511.54
06/15/06		SOVEREIGN BANK		2,511.54
06/15/06		A/O 06/15 $2,443.27		2,511.54
06/15/06		STILLWATER NAT'		2,511.54
06/15/06		A/O 06/15 $68.27		2,511.54
06/16/06	Deposit	DEPOSIT		2,511.54
06/27/06	Deposit	DEPOSIT	1,575.41	4,086.95
			888.09	4,975.04
06/30/06	Closing Balance			
Total FDIC Insured Bank Deposits				$4,975.04
				$4,975.04

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits are insured by the Federal Deposit Insurance Corporation (FDIC).

Retirement Account Transactions

	Tax Year - 2006		Tax Year - 2005	
	This Period	Year-to-Date	This Period	Year-to-Date
Contributions				
Participant	0.00	$12.68	0.00	5,000.00
Rollover	0.00	0.00	0.00	39,086.82
Total Contributions	**$0.00**	**$512.68**	**$0.00**	**$44,086.82**
Distributions				
Excess Contribution	0.00	-500.00	0.00	0.00
Excess Contribution Income	0.00	-12.68	0.00	0.00
Total Distributions	**$0.00**	**-$512.68**	**$0.00**	**$0.00**

NOTE: The amounts shown in the Year-to-Date columns are aggregate amounts of all transactions conducted for a particular transaction type.

4230

[redacted]

Schedule of Realized Gains and Losses Year-to-Date

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
01/09/06	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 669.10	31395PXU1	15,000.000	669.10	669.09	-0.01
01/09/06	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 196.56	31395RSP4	10,000.000	196.56	198.54	1.98
01/12/06	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 633.82	31394UCN6	20,000.000	633.82	651.74	17.92
01/23/06	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 344.60	126694MR6	50,000.000	344.60	344.59	-0.01
01/23/06	04/28/05	RPP	CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 516.68	172973T90	20,000.000	516.68	543.87	27.19
01/31/06	04/28/05	RPP	*CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 0.00	172973T90	20,000.000	Please Provide	0.00	N/A
02/07/06	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 368.70	31395PXU1	15,000.000	368.70	368.69	-0.01
02/07/06	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 239.68	31395RSP4	10,000.000	239.68	242.09	2.41
02/13/06	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 286.51	31394UCN6	20,000.000	286.51	294.61	8.10
02/15/06	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 257.71	31396EJF4	10,000.000	257.71	266.36	8.65
02/23/06	04/28/05	RPP	CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 598.94	172973T90	20,000.000	598.94	630.46	31.52
02/24/06	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 274.61	126694MR6	50,000.000	274.61	274.61	0.00
02/28/06	04/28/05	RPP	CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: -598.94	172973T90	20,000.000	-630.46	-661.98	-31.52
03/07/06	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 456.87	31395PXU1	15,000.000	456.87	456.87	0.00

4231

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/07/06	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 158.06	31395RSP4	10,000.000	158.06	159.65	1.59
03/07/06	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 283.21	31396EJF4	10,000.000	283.21	292.72	9.51
03/13/06	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 115.27	31394UGN6	20,000.000	115.27	118.53	3.26
03/21/06	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 517.78	126694MR6	50,000.000	517.78	517.78	0.00
03/24/06	04/28/05	RPP	CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 921.47	172973T90	20,000.000	921.47	1,085.05	163.58
04/07/06	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 533.95	31395PXU1	15,000.000	533.95	533.95	0.00
04/07/06	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 369.27	31395RSP4	10,000.000	369.27	372.99	3.72
04/07/06	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 287.88	31396EJF4	10,000.000	287.88	297.54	9.66
04/12/06	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 380.87	31394UGN6	20,000.000	380.87	391.64	10.77
04/24/06	04/28/05	RPP	CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 181.25	172973T90	20,000.000	181.25	213.42	32.17
04/25/06	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 173.85	126694MR6	50,000.000	173.85	173.84	-0.01
04/28/06	09/15/05	RPP	2CWMBS INC MTG PASS THRU CTF Original Cost Basis: 0.00	126694MR6	50,000.000	Please Provide	0.00	N/A

4232

[redacted]

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
04/28/06	04/28/05	RPP	2CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 0.00	172973T90	20,000.000	Please Provide	0.00	N/A
05/05/06	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 608.06	31395PXU1	15,000.000	608.06	608.06	0.00
05/05/06	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 302.48	31396EJF4	10,000.000	302.48	312.64	10.16
05/11/06	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 29.57	31394UER9	10,000.000	29.57	30.49	0.92
05/11/06	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 296.62	31394UGN6	20,000.000	296.62	305.00	8.38
05/24/06	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 187.04	126694MR6	50,000.000	187.04	187.03	-0.01
06/07/06	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 483.31	31395PXU1	15,000.000	483.31	483.30	-0.01
06/07/06	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 457.34	31396EJF4	10,000.000	457.34	472.70	15.36
06/12/06	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 233.29	31394UER9	10,000.000	233.29	240.59	7.30
06/12/06	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 274.30	31394UGN6	20,000.000	274.30	282.05	7.75
06/22/06	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 16.27	126694MR6	50,000.000	16.27	16.26	-0.01
06/30/06	09/15/05	RPP	2CWMBS INC MTG PASS THRU CTF Original Cost Basis: 0.00	126694MR6	50,000.000	Please Provide	0.00	N/A
Total Short Term						**$11,024.46**	**$11,374.77**	**$350.31**

4233

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term								
01/09/06	11/16/04	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" Original Cost Basis: 190.26	31394K4U5	25,000.000	190.26	190.26	0.00
02/07/06	11/16/04	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" Original Cost Basis: 8.67	31394K4U5	25,000.000	8.67	8.67	0.00
03/07/06	11/16/04	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" Original Cost Basis: 135.35	31394K4U5	25,000.000	135.35	135.35	0.00
04/07/06	11/16/04	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" Original Cost Basis: 505.84	31394K4U5	25,000.000	505.84	505.84	0.00
05/05/06	11/16/04	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" Original Cost Basis: 117.26	31394K4U5	25,000.000	117.26	117.26	0.00
05/05/06	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 301.23	31395RSP4	10,000.000	301.23	304.27	3.04
05/24/06	04/28/05	RPP	CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 53.48	172973T90	20,000.000	53.48	62.97	9.49
05/26/06	04/28/05	RPP	ZCITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 0.00	172973T90	20,000.000	Please Provide	0.00	N/A
06/07/06	11/16/04	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" Original Cost Basis: 224.69	31394K4U5	25,000.000	224.69	224.68	-0.01
06/07/06	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 279.85	31395RSP4	10,000.000	279.85	282.67	2.82

4234

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
06/30/06	04/28/05	RPP	[2]CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 0.00	172973T90	20,000.000	Please Provide	0.00	N/A
Total Long Term						**$1,816.63**	**$1,831.97**	**$15.34**
Total Short Term and Long Term						**$12,841.09**	**$13,206.74**	**$365.65**

The information provided is meant as a general guide and should not be relied upon in the preparation of tax returns. No one associated with Pershing is authorized to give tax advice.

Cost basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. Original cost basis is provided under the security description.

[2]Realized gains and losses are not reported for securities for which cost basis or proceeds are not available.

Messages

THE MONEY MARKET DEPOSIT ACCOUNT IS AN FDIC INSURED BANK ACCOUNT AND IS NOT PROTECTED BY SIPC.

IT IS NOT TOO EARLY TO CONSIDER CONTRIBUTIONS TO YOUR TRADITIONAL INDIVIDUAL RETIREMENT ACCOUNT (IRA) OR ROTH IRA FOR THE 2006 TAX YEAR. THE MAXIMUM CONTRIBUTION LIMIT IS $4,000. INDIVIDUALS WHO ARE THE AGE OF 50 AND OLDER MAY MAKE AN ADDITIONAL CATCH-UP CONTRIBUTION OF $1,000 FOR THE TAX YEAR 2006. WHEN MAKING YOUR ANNUAL CONTRIBUTION, PLEASE INDICATE IN WRITING THE TAX YEAR FOR WHICH THE CONTRIBUTION SHOULD BE APPLIED.

4235

STO & YOUNGBERG
One Ivory Building
San Francisco, California 94111 (415) 445-2300

Individual Retirement Account Statement

* 00013509 02 MB 0.563 TR 00056 X220AD01 000100

IRA FBO JAMES MCDERMOTT SR
PERSHING LLC AS CUSTODIAN
DTD 10/27/03
1820 9TH AVE W
SEATTLE WA 98119-2946

Account Number:
Statement Period: 07/01/2006 - 07/31/2006

Valuation at a Glance

	This Period
Beginning Account Value	$97,711.45
Dividends/Interest	427.07
Change in Account Value	2,932.41
Ending Account Value	$101,070.93
Estimated Annual Income	$78.05

Your Investment Executive:
GREG SAIN
(310) 689-3417

FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation.

Asset Allocation

	Value Prior Year-End	Value Last Period	Value This Period	Percent Allocation
Cash and Cash Equivalents[1]	6,112.69	4,975.04	8,282.91	8%
Fixed Income	101,482.38	92,736.41	92,788.02	92%
Account Total (Pie Chart)	$107,595.07	$97,711.45	$101,070.93	100%

[1] Includes FDIC insured bank deposits.

Asset Allocation percentages are rounded to the nearest whole percentage.
Pie Chart allocation excludes all asset classes which net to a liability

4236

DALBAR RATED
A0025586C8722CD1
Clearing Through Pershing
One Pershing Plaza, Jersey City, New Jersey 07399

Summary of Gains and Losses

	Realized		Unrealized
	This Period	Year-to-Date	This Period
Short-Term Gain/Loss	32.73	383.04	-10,495.12
Long-Term Gain/Loss	43.90	59.24	-873.35
Net Gain/Loss	**76.63**	**442.28**	**-11,368.47**

This summary excludes transactions where cost basis information is not available.

Customer Service Information

Your Investment Executive: B80	Contact Information	Customer Service Information
GREG SAIN GSAIN@SYLLC.COM 10900 WILSHIRE BLVD LOS ANGELES CA 90024	**Telephone Number:** (310) 689-3417 **Fax Number:** (310) 689-3445	**Web Site:** www.buybonds.com

Prior Year-End Fair Market Value: $107,595.07 will be furnished to the Internal Revenue Service.

Accrued Interest Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Accrued Interest Paid		
Asset Backed Securities	0.00	-74.53
Total Accrued Interest Paid	**$0.00**	**-$74.53**

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Interest Income		
Bond Interest	409.73	3,683.82
FDIC Insured Bank Deposits	17.34	78.05
Total Dividends, Interest and Expenses	**$427.07**	**$3,761.87**
Distributions		
Other Distributions	2,880.80	16,403.49
Total Distributions	**$2,880.80**	**$16,403.49**

4237

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash and Cash Equivalents 8.00% of Portfolio									
FDIC Insured Bank Deposits									
RESERVE INSURED DEPOSITS									
8,282.910	07/01/06	AN8802394	07/31/06	4,975.04	8,282.91	13.23	78.05	N/A	4.49%
Total FDIC Insured Bank Deposits				**$4,975.04**	**$8,282.91**	**$13.23**	**$78.05**		
Total Cash and Cash Equivalents				**$4,975.04**	**$8,282.91**	**$13.23**	**$78.05**		

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income - 92.00% of Portfolio *(In CUSIP Sequence)*									
Asset Backed Securities									
CWMBS INC MTG PASS THRU CTF									
SER 2005-26 CL-1-A-7 "INV" Factor: 0.94654506									
4.356% 11/25/35 B/E DTD 09/01/05									
Moody Rating AAA S & P Rating AAA									
Security Identifier: 126694MR6									
50,000.000	09/15/05	100.0000	47,327.26	88.0880	41,689.63	-5,637.63	171.80		
Original Cost Basis: $50,000.00									
CITICORP MTG SECS INC REMIC PASSTHRU									
CTF SER 2005-3 CL-1A13 INV FLTR Factor: 0.51282097									
2.278% 04/25/35 B/E DTD 04/01/05 CLB									
Moody Rating AAA S & P Rating AAA									
Security Identifier: 172973T90									
20,000.000	04/28/05	84.9240	8,710.15	85.9190	8,812.21	102.06	19.47		
Original Cost Basis: $19,000.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD "INV"									
SER-2688 CL-2688-SE 10.000% 10/15/33 B/E Factor: 0.12774296									
DTD 10/15/03									
Security Identifier: 31394K4U5									

4238

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" *(continued)*									
25,000.000	11/16/04	100.0000	3,193.58	90.3120	2,884.18	-309.40	26.61		
Original Cost Basis: $15,500.36									
FNMA GTD REMIC PASS THRU CTF REMIC									
SER-2005-95 CL-95-WT INV FLTR Factor: 0.84900510									
7.000% 11/25/35 B/E DTD 10/01/05									
Security Identifier: 31394UER9									
10,000.000	04/18/06	96.9640	8,232.33	89.0660	7,561.75	-670.58	49.52		
Original Cost Basis: $8,738.56									
FNMA GTD REMIC PASS THRU CTF REMIC									
SER-2005-98 CL-98-SX 4.088% 12/25/34 B/E Factor: 0.78976579									
DTD 10/25/05									
Security Identifier: 31394UGN6									
20,000.000	11/25/05	97.2500	15,360.95	83.9690	13,263.17	-2,097.79	53.81		
Original Cost Basis: $18,310.65									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-2950 CL-2950-SY 2.954% 03/15/35 B/E Factor: 0.62810306									
DTD 03/15/05									
Security Identifier: 31395PXU1									
15,000.000	09/27/05	100.0000	9,421.56	83.8930	7,715.59	-1,705.97	23.20		
Original Cost Basis: $14,803.18									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-2968 CL-2968-SC 11.330% 12/15/34 B/E Factor: 0.48122062									
DTD 04/01/05 CLB									
Security Identifier: 31395RSP4									
10,000.000	04/25/05	99.0000	4,764.08	85.1600	4,098.07	-666.01	45.44		
Original Cost Basis: $9,900.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-3063 CL-3063-SY 3.231% 05/15/33 B/E Factor: 0.73866311									
DTD 11/15/05 CLB									
Security Identifier: 31396EJF4									

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FHLMC MULTICLASS MTG PARTN CTFS GTD *(continued)*									
10,000.000	01/31/06	96.7500	7,146.57	91.5630	6,763.42	-383.15	19.89		
Original Cost Basis: $9,182.05									
Total Asset Backed Securities			**$104,156.49**		**$92,788.02**	**-$11,368.47**	**$409.74**	**$0.00**	
Total Fixed Income			**$104,156.49**		**$92,788.02**	**-$11,368.47**	**$409.74**	**$0.00**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$112,439.40**	**$101,070.93**	**-$11,368.47**	**$409.74**	**$78.05**

Cost Basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. Original cost basis is provided under the corresponding tax lot.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

4240

[redacted]

Transactions by Type of Activity

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest							
07/17/06		BOND INTEREST RECEIVED	25000 FHLMC MULTICLASS MTG PARTN CTFS GTD "INV" SER-2688 CL-2688-SE 10.000% 10/15/33 B/E DTD 10/15/03 RD 06/30 PD 07/15/06				24.12
07/17/06		BOND INTEREST RECEIVED	15000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2950 CL-2950-SV 3.750% 03/15/35 B/E DTD 03/15/05 RD 06/30 PD 07/15/06				30.69
07/17/06		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2968 CL-2968-SC 11.330% 12/15/34 B/E DTD 04/01/05 CLB RD 06/30 PD 07/15/06				13.96
07/17/06		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3063 CL-3063-SY 3.854% 05/15/33 B/E DTD 11/15/05 CLB RD 06/30 PD 07/15/06				25.21
07/18/06	07/17/06	MONEY MARKET FUND INTEREST RECEIVED	RESERVE INS DEPOSITS INTEREST CREDITED				17.34
07/25/06		BOND INTEREST RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 4.460% 11/25/35 B/E DTD 09/01/05 RD 06/30 PD 07/25/06				177.64
07/25/06		BOND INTEREST RECEIVED	20000 CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13_INV FLTR 2.510% 04/25/35 B/E DTD 04/01/05 CLB RD 06/30 PD 07/25/06				28.96
07/25/06		BOND INTEREST RECEIVED	10000 FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-95 CL-95-WT INV FLTR 7.000% 11/25/35 B/E DTD 10/01/05 RD 06/30 PD 07/25/06				50.99
07/25/06		BOND INTEREST RECEIVED	20000 FNMA GTD REMIC PASS THRU CTF REMIC-SER-2005-98 CL-98-SX 4.317% 12/25/34 B/E DTD 10/25/05 RD 06/30 PD 07/25/06				58.16
Total Dividends and Interest							**$427.07**

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Distributions							
07/17/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" SER 2688 CL-2688-SE 10.000% 10/15/33 B/E DTD 10/15/03 RD 06/30 PD 07/15/06				376.68
07/17/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2950 CL-2950-SV 3.750% 03/15/35 B/E DTD 03/15/05 RD 06/30 PD 07/15/06				396.80
07/17/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2968 CL-2968-SC 11.330% 12/15/34 B/E DTD 04/01/05 CLB RD 06/30 PD 07/15/06				314.82
07/17/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER 3063 CL-3063-SY 3.854% 05/15/33 B/E DTD 11/15/05 CLB RD 06/30 PD 07/15/06				461.87
07/25/06		RETURN OF PRINCIPAL RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 4.460% 11/25/35 B/E DTD 09/01/05 RD 06/30 PD 07/25/06				440.74
07/25/06		RETURN OF PRINCIPAL RECEIVED	20000 CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13_INV FLTR 2.510% 04/25/35 B/E DTD 04/01/05 CLB RD 06/30 PD 07/25/06				270.43
07/25/06		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER 2005-95 CL-95-WT INV FLTR 7.000% 11/25/35 B/E DTD 10/01/05 RD 06/30 PD 07/25/06				250.99
07/25/06		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER 2005-98 CL-98-SX 4.317% 12/25/34 B/E DTD 10/25/05 RD 06/30 PD 07/25/06				368.47
Total Distributions							**$2,880.80**

Transactions by Type of Activity *(continued)*

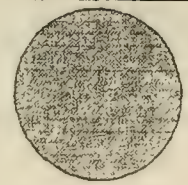
Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
FDIC Insured Bank Deposits							
07/18/06	07/17/06	MONEY MARKET INTEREST REINVESTED	RESERVE INS DEPOSITS INTEREST REINVESTED				-17.34
07/18/06		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-1,644.15
07/26/06		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-1,646.38
Total FDIC Insured Bank Deposits							**-$3,307.87**
Total Value of all Transactions							**$0.00**

The price and quantity displayed may have been rounded.

Bond Maturity Schedule

Bond Maturity	Market Value	Percentage of Bond Market Value
Over 10 years	92,788.02	100%
Total	**$92,788.02**	**100%**

Bonds that are in default are not included. Please refer to your Portfolio Holdings section.



Percentages of bond market values are rounded to the nearest whole percentage.

4243

Bond Quality

Bond Quality	Market Value	Percentage of Bond Market Value
AAA	50,501.84	54%
Not Rated	42,286.18	46%
Total	$92,788.02	100%

Bond quality ratings reflect Moody's or Standard and Poor's ratings. Bonds may be rated by other services. Bonds that are in default are not included. Please refer to your Portfolio Holdings section.

Percentages of bond market values are rounded to the nearest whole percentage.

FDIC Insured Bank Deposits

Date	Activity Type	Description	Amount	Balance
FDIC Insured Bank Deposits				
RESERVE INSURED DEPOSITS				
Account Number: ANB802394	Activity Ending: 07/31/06			
07/01/06	Opening Balance			
07/16/06	Deposit	INTEREST CREDITED	4,975.04	4,975.04
07/17/06		APYE 4.37	17.34	4,992.38
07/17/06		SOVEREIGN BANK		4,992.38
07/17/06		A/O 07/17 $4,992.38		4,992.38
07/18/06	Deposit	DEPOSIT		4,992.38
07/26/06	Deposit	DEPOSIT	1,644.15	6,636.53
07/31/06	**Closing Balance**		1,646.38	8,282.91
Total FDIC Insured Bank Deposits				**$8,282.91**
				$8,282.91

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits are insured by the Federal Deposit Insurance Corporation (FDIC).

4244

Retirement Account Transactions

	Tax Year - 2006		Tax Year - 2005	
	This Period	Year-to-Date	This Period	Year-to-Date
Contributions				
Participant	0.00	512.68	0.00	5,000.00
Rollover	0.00	0.00	0.00	39,086.82
Total Contributions	**$0.00**	**$512.68**	**$0.00**	**$44,086.82**
Distributions				
Excess Contribution	0.00	-500.00	0.00	0.00
Excess Contribution Income	0.00	-12.68	0.00	0.00
Total Distributions	**$0.00**	**-$512.68**	**$0.00**	**$0.00**

NOTE: The amounts shown in the Year-to-Date columns are aggregate amounts of all transactions conducted for a particular transaction type.

Messages

THE MONEY MARKET DEPOSIT ACCOUNT IS AN FDIC INSURED BANK ACCOUNT AND IS NOT PROTECTED BY SIPC.

IT IS NOT TOO EARLY TO CONSIDER CONTRIBUTIONS TO YOUR TRADITIONAL INDIVIDUAL RETIREMENT ACCOUNT (IRA) OR ROTH IRA FOR THE 2006 TAX YEAR; THE MAXIMUM CONTRIBUTION LIMIT IS $4,000. INDIVIDUALS WHO ARE THE AGE OF 50 AND OLDER MAY MAKE AN ADDITIONAL CATCH-UP CONTRIBUTION OF $1,000 FOR THE TAX YEAR 2006.
WHEN MAKING YOUR ANNUAL CONTRIBUTION, PLEASE INDICATE IN WRITING THE TAX YEAR FOR WHICH THE CONTRIBUTION SHOULD BE APPLIED.

4245

STONE & YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-2300

Individual Retirement Account Statement

* 00061684 02 AT 0.545 TR 00222 X101FD06 000000

IRA FBO JAMES MCDERMOTT SR
PERSHING LLC AS CUSTODIAN
DTD 10/27/03
1820 9TH AVE W
SEATTLE WA 98119-2946

Account Number:
Statement Period: 08/01/2006 - 08/31/2006

Valuation at a Glance

	This Period
Beginning Account Value	**$101,070.93**
Dividends/Interest	394.72
Change in Account Value	2,955.72
Ending Account Value	**$104,421.37**
Estimated Annual Income	**$106.87**

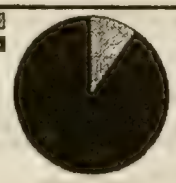
Your Investment Executive:
GREG SAIN
(310) 689-3417

FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation.

Asset Allocation

	Value Prior Year End	Value Last Period	Value This Period	Percent Allocation
Cash and Cash Equivalents[1]	6,112.69	8,282.91	10,562.88	10%
Fixed Income	101,482.38	92,788.02	93,858.49	90%
Account Total (Pie Chart)	**$107,595.07**	**$101,070.93**	**$104,421.37**	**100%**

[1] Includes FDIC insured bank deposits.



Asset Allocation percentages are rounded to the nearest whole percentage.
Pie Chart allocation excludes all asset classes which net to a liability.

4246

DALBAR RATED
A0069060CB010109
Clearing Through Pershing
One Pershing Plaza, Jersey City, New Jersey 07399

[redacted]

Summary of Gains and Losses

	Realized		Unrealized
	This Period	Year-to-Date	This Period
Short-Term Gain/Loss	19.34	402.48	-8,079.10
Long-Term Gain/Loss	1.55	60.79	-354.67
Net Gain/Loss	**20.89**	**463.27**	**-8,433.77**

This summary excludes transactions where cost basis information is not available.

Customer Service Information

Your Investment Executive: B80	Contact Information	Customer Service Information
GREG SAIN GSAIN@SYLLC.COM 10900 WILSHIRE BLVD LOS ANGELES CA 90024	**Telephone Number:** (310) 689-3417 **Fax Number:** (310) 689-3445	Web Site: www.buybonds.com

Prior Year-End Fair Market Value: $107,595.07 will be furnished to the Internal Revenue Service.

Accrued Interest Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Accrued Interest Paid		
Asset Backed Securities	0.00	-74.53
Total Accrued Interest Paid	**$0.00**	**-$74.53**

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Interest Income		
Bond Interest	365.90	4,049.72
FDIC Insured Bank Deposits	28.82	106.87
Total Dividends, Interest and Expenses	**$394.72**	**$4,156.59**
Distributions		
Other Distributions	1,885.25	18,288.74
Total Distributions	**$1,885.25**	**$18,288.74**

4247

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash and Cash Equivalents 10.00% of Portfolio									
FDIC Insured Bank Deposits									
RESERVE INSURED DEPOSITS									
10,562.880	08/01/06	ANB802394	08/31/06	8,282.91	10,562.88	19.69	106.87	N/A	4.639%
Total FDIC Insured Bank Deposits				**$8,282.91**	**$10,562.88**	**$19.69**	**$106.87**		
Total Cash and Cash Equivalents				**$8,282.91**	**$10,562.88**	**$19.69**	**$106.87**		

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income 90.00% of Portfolio *(In CUSIP Sequence)*									
Asset Backed Securities									
CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" Factor: 0.93709625 4.457% 11/25/35 B/E DTD 09/01/05 Moody Rating AAA S & P Rating AAA *Security Identifier:* 126694MR6									
50,000.000	09/15/05	100.0000	46,854.82	90.5990	42,449.99	-4,404.83	174.04		
Original Cost Basis: $50,000.00									
CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13_INV FLTR Factor: 0.51282097 2.503% 04/25/35 B/E DTD 04/01/05 CLB Moody Rating AAA S & P Rating AAA *Security Identifier:* 172973190									
20,000.000	04/28/05	84.9240	8,710.15	88.0700	9,032.83	322.68	21.40		
Original Cost Basis: $19,000.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" SER-2688 CL-2688-SE 10.00046 10/15/33 B/E Factor: 0.12468276 DTD 10/15/03 *Security Identifier:* 31394K4U5									

4248

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" *(continued)*									
25,000.000	11/16/04	99.9990	3,117.07	97.2120	3,030.17	-86.90	25.98		
Original Cost Basis: $15,500.36									
FNMA GTD REMIC-PASS THRU CTF REMIC									
SER-2005-95 CL-95-WT INV FLTR Factor: 0.84554694									
7.000% 11/25/35 B/E DTD 10/01/05									
Security Identifier: 31394UER9									
10,000.000	04/18/06	96.9640	8,198.80	92.1740	7,793.74	-405.06	49.32		
Original Cost Basis: $8,738.56									
FNMA GTD REMIC PASS THRU CTF REMIC									
SER-2005-98 CL-98-SX 4.310% 12/25/34 B/E Factor: 0.77240093									
DTD 10/25/05									
Security Identifier: 31394UGN6									
20,000.000	11/25/05	97.2500	15,023.21	87.6350	13,537.87	-1,485.34	55.49		
Original Cost Basis: $18,310.65									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-2950 CL-2950-SV 3.136% 03/15/35 B/E Factor: 0.59305991									
DTD 03/15/05									
Security Identifier: 31395PXU1									
15,000.000	09/27/05	100.0000	8,895.91	85.5060	7,428.61	-1,467.30	23.25		
Original Cost Basis: $14,803.18									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-2968 CL-2968-SC 11.330% 12/15/34 B/E Factor: 0.46562237									
DTD 04/01/05 CLB									
Security Identifier: 31395RSP4									
10,000.000	04/25/05	99.0000	4,609.66	86.3190	4,019.21	-590.45	43.96		
Original Cost Basis: $9,900.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-3063 CL-3063-SY 5.373% 05/15/33 B/E Factor: 0.71138394									
DTD 11/15/05 CLB									
Security Identifier: 31396EJF4									

4249

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FHLMC MULTICLASS MTG PARTN CTFS GTD *(continued)*									
10,000.000	01/31/06	96.7500	6,882.64	92.3000	6,566.07	-316.57	20.00		
Original Cost Basis: $9,182.05									
~~Total Asset Backed Securities~~			$102,292.26		$93,858.49	-$8,433.77	$413.44	$0.00	
Total Fixed Income			$102,292.26		$93,858.49	-$8,433.77	$413.44	$0.00	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$112,855.14	$104,421.37	-$8,433.77	$413.44	$106.87

Cost Basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. Original cost basis is provided under the corresponding tax lot.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

4250

Portfolio Holdings *(continued)*

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Transactions by Type of Activity

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest							
08/15/06		BOND INTEREST RECEIVED	25000 FHLMC MULTICLASS MTG PARTN CTFS GTD "INV" SER 2688 CL 2688-SE 10.000% 10/15/33 B/E DTD 10/15/03 RD 07/31 PD 08/15/06				17.27
08/15/06		BOND INTEREST RECEIVED	15000 FHLMC MULTICLASS MTG PARTN CTFS-GTD-SER-2950 CL-2950-SV 2.954% 03/15/35 B/E DTD 03/15/05 RD 07/31 PD 08/15/06				23.20
08/15/06		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2968 CL-2968-SC 11.350% 12/15/34 B/E DTD 04/01/05 CLB RD 07/31 PD 08/15/06				9.61
08/15/06		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER 3063 CL-3063-SY 3.231% 05/15/35 B/E DTD 11/15/05 CLB RD 07/31 PD 08/15/06				19.89
08/17/06	08/16/06	MONEY MARKET FUND INTEREST RECEIVED	RESERVE INS DEPOSITS INTEREST CREDITED				28.62
08/25/06		BOND INTEREST RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 4.356% 11/25/35 B/E DTD 09/01/05 RD 07/31 PD 08/25/06				171.89
08/25/06		BOND INTEREST RECEIVED	20000 CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13 INV FLTR 2.278% 04/25/35 B/E DTD 04/01/05 CLB RD 07/31 PD 08/25/06				20.70

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest *(continued)*							
08/25/06		BOND INTEREST RECEIVED	10000 FNMA GTD REMIC PASS THRU CTF REMIC SER 2005-95 CL-95-WT INV FLTR 7.000% 11/25/35 B/E DTD 10/01/05 RD 07/31 PD 08/25/06				49.53
08/25/06		BOND INTEREST RECEIVED	20000 FNMA GTD REMIC PASS THRU CTF REMIC SER 2005-98 CL-98-SX 4.088% 12/25/34 B/E DTD 10/25/05 RD 07/31 PD 08/25/06				53.81
Total Dividends and Interest							**$394.72**
Distributions							
08/15/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD "INV" SER-2688 CL-2688-SE 10.000% 10/15/33 B/E DTD 10/15/03 RD 07/31 PD 08/15/06				76.51
08/15/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2950 CL-2950-SV 2.954% 03/15/35 B/E DTD 03/15/05 RD 07/31 PD 08/15/06				525.65
08/15/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2968 CL-2968-SC 11.330% 12/15/34 B/E DTD 04/01/05 CLB RD 07/31 PD 08/15/06				155.98
08/15/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3063 CL-3063-SY 3.231% 05/15/33 B/E DTD 11/15/05 CLB RD 07/31 PD 08/15/06				272.79
08/25/06		RETURN OF PRINCIPAL RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 4.356% 11/25/35 B/E DTD 09/01/05 RD 07/31 PD 08/25/06				472.44
08/25/06		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-95 CL-95-WT INV FLTR 7.000% 11/25/35 B/E DTD 10/01/05 RD 07/31 PD 08/25/06				34.58
08/25/06		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-98 CL-98-SX 4.088% 12/25/34 B/E DTD 10/25/05 RD 07/31 PD 08/25/06				347.30
Total Distributions							**$1,885.25**

Transactions by Type of Activity *(continued)*

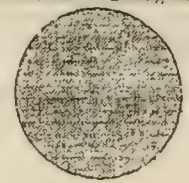
Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
FDIC Insured Bank Deposits							
08/16/06		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-1,100.90
08/17/06	08/16/06	MONEY MARKET INTEREST REINVESTED	RESERVE INS DEPOSITS INTEREST REINVESTED				-28.82
08/28/06		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-1,150.25
Total FDIC Insured Bank Deposits							**-$2,279.97**
Total Value of all Transactions							**$0.00**

The price and quantity displayed may have been rounded.

Bond Maturity Schedule

Bond Maturity	Market Value	Percentage of Bond Market Value
Over 10 years	93,858.49	100%
Total	**$93,858.49**	**100%**

Bonds that are in default are not included. Please refer to your Portfolio Holdings section.



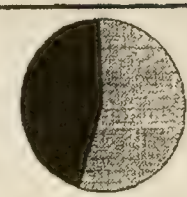
Percentages of bond market values are rounded to the nearest whole percentage.

4253

Bond Quality

Bond Quality	Market Value	Percentage of Bond Market Value
AAA	51,482.82	55%
Not Rated	42,375.67	45%
Total	**$93,858.49**	**100%**

Bond quality ratings reflect Moody's or Standard and Poor's ratings. Bonds may be rated by other services. Bonds that are in default are not included. Please refer to your Portfolio Holdings section.



Percentages of bond market values are rounded to the nearest whole percentage.

FDIC Insured Bank Deposits

Date	Activity Type	Description	Amount	Balance
FDIC Insured Bank Deposits				
RESERVE INSURED DEPOSITS				
Account Number: ANB802394	Activity Ending: 08/31/06			
08/01/06	Opening Balance		8,282.91	8,282.91
08/15/06	Deposit	INTEREST CREDITED	28.82	8,311.73
08/16/06	Deposit	DEPOSIT	1,100.90	9,412.63
08/16/06		APYE 4.53		9,412.63
08/16/06		SOVEREIGN BANK		9,412.63
08/16/06		A/O 08/16 $9,412.63		9,412.63
08/28/06	Deposit	DEPOSIT	1,150.25	10,562.88
08/31/06	**Closing Balance**			**$10,562.88**
Total FDIC Insured Bank Deposits				**$10,562.88**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits are insured by the Federal Deposit Insurance Corporation (FDIC).

4254

[redacted]

Retirement Account Transactions

	Tax Year - 2006		Tax Year - 2005	
	This Period	Year-to-Date	This Period	Year-to-Date
Contributions				
Participant	0.00	512.68	0.00	5,000.00
Rollover	0.00	0.00	0.00	39,086.82
Total Contributions	**$0.00**	**$512.68**	**$0.00**	**$44,086.82**
Distributions				
Excess Contribution	0.00	-500.00	0.00	0.00
Excess Contribution Income	0.00	-12.68	0.00	0.00
Total Distributions	**$0.00**	**-$512.68**	**$0.00**	**$0.00**

NOTE: The amounts shown in the Year-to-Date columns are aggregate amounts of all transactions conducted for a particular transaction type.

Messages

THE MONEY MARKET DEPOSIT ACCOUNT IS AN FDIC INSURED BANK ACCOUNT AND IS NOT PROTECTED BY SIPC.

IT IS NOT TOO EARLY TO CONSIDER CONTRIBUTIONS TO YOUR TRADITIONAL INDIVIDUAL RETIREMENT ACCOUNT (IRA) OR ROTH IRA FOR THE 2006 TAX YEAR; THE MAXIMUM CONTRIBUTION LIMIT IS $4,000. INDIVIDUALS WHO ARE THE AGE OF 50 AND OLDER MAY MAKE AN ADDITIONAL CATCH-UP CONTRIBUTION OF $1,000 FOR THE TAX YEAR 2006. WHEN MAKING YOUR ANNUAL CONTRIBUTION, PLEASE INDICATE IN WRITING THE TAX YEAR FOR WHICH THE CONTRIBUTION SHOULD BE APPLIED.

STONE & YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-2300

Individual Retirement Account Statement

* 00001362 03 MB 0.790 TR 00019 X220CF01 000100

IRA FBO JAMES MCDERMOTT SR
PERSHING LLC AS CUSTODIAN
DTD 10/27/03
1820 9TH AVE W
SEATTLE WA 98119-2946

Account Number:
Statement Period: 09/01/2006 - 09/30/2006

Valuation at a Glance

	This Period
Beginning Account Value	$104,421.37
Dividends/Interest	409.98
Change in Account Value	1,599.16
Ending Account Value	$106,430.51
Estimated Annual Income	$149.41

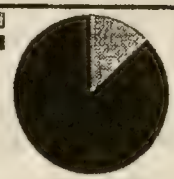
Your Investment Executive:
GREG SAIN
(310) 689-3417

FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation.

Asset Allocation

	Value Prior Year-End	Value Last Period	Value This Period	Percent Allocation
Cash and Cash Equivalents[1]	6,112.69	10,562.88	13,529.01	13%
Fixed Income	101,482.38	93,858.49	92,901.50	87%
Account Total (Pie Chart)	$107,595.07	$104,421.37	$106,430.51	100%

[1] Includes FDIC insured bank deposits.



Asset Allocation percentages are rounded to the nearest whole percentage.

Pie Chart allocation excludes all asset classes which net to a liability.

4256

[redacted]

Summary of Gains and Losses

	Realized		Unrealized
	This Period	Year-to-Date	This Period
Short-Term Gain/Loss	25.84	428.32	-1,835.02
Long-Term Gain/Loss	20.35	81.14	-5,045.84
Net Gain/Loss	**46.19**	**509.46**	**-6,880.86**

This summary excludes transactions where cost basis information is not available.

Customer Service Information

Your Investment Executive: B80

GREG SAIN
GSAIN@SYLLC.COM
10900 WILSHIRE BLVD
LOS ANGELES CA 90024

Contact Information
Telephone Number: (310) 689-3417
Fax Number: (310) 689-3445

Customer Service Information
Web Site: www.buybonds.com

Prior Year-End Fair Market Value: $107,595.07 **will be furnished to the Internal Revenue Service.**

Customer Account Information

Account Investment Objective: CAPITAL PRESERVATION

EMPHASIS ON PRESERVING EXISTING LEVEL OF ASSETS WITH A PREFERENCE FOR HOLDING CASH AND/OR CASH EQUIVALENTS (I.E. MMFS, CDS, TREASURY SECURITIES WITH MATURITIES OF ONE YEAR OR LESS)

Account Mailing Address:
IRA FBO JAMES MCDERMOTT SR
PERSHING LLC AS CUSTODIAN
DTD 10/27/03
1820 9TH AVE W
SEATTLE WA 98119-2946

Account Participant: James McDermott
Annual Income: $150,000 - $249,999
Net Worth: $500,000 - $749,999
Legal Address:
1820 9th Ave W
SEATTLE WA 98119-2946
Home Telephone Number:
Work Telephone Number:
E-mail Address:
Employment Status: EMPLOYED

4257

Customer Account Information *(continued)*

Occupation: OTHER
Employed by this broker-dealer:
Related to an employee of this broker-dealer:

Employed by another broker-dealer:
Related to an employee of another broker-dealer:

Please carefully read the Customer Account Information section. If any of the information is either missing or incorrect, please indicate any corrections on the statement and return this document to your introducing broker-dealer at the address listed above. You may also send a letter with any changes to the address listed above. If you are aware of any impending changes to the data displayed above, please notify your Investment Professional.

This information is being provided to you in accordance with the Securities and Exchange Commission Rule 17a-3.

The Customer information reflected on this statement was received from your financial institution and has not been verified by Pershing.

Accrued Interest Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Accrued Interest Paid		
Asset Backed Securities	0.00	-74.53
Total Accrued Interest Paid	**$0.00**	**-$74.53**

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Interest Income		
Bond Interest	367.44	4,417.16
FDIC Insured Bank Deposits	42.54	149.41
Total Dividends, Interest and Expenses	**$409.98**	**$4,566.57**
Distributions		
Other Distributions	2,556.15	20,844.89
Total Distributions	**$2,556.15**	**$20,844.89**

4258

[redacted]

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash and Cash Equivalents 13.00% of Portfolio									
FDIC Insured Bank Deposits									
RESERVE INSURED DEPOSITS									
13,529.010	09/01/06	ANB802394	09/29/06	10,562.88	13,529.01	22.25	149.41	N/A	4.62%
Total FDIC Insured Bank Deposits				**$10,562.88**	**$13,529.01**	**$22.25**	**$149.41**		
Total Cash and Cash Equivalents				**$10,562.88**	**$13,529.01**	**$22.25**	**$149.41**		

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income 87.00% of Portfolio *(In CUSIP Sequence)*									
Asset Backed Securities									
CWMBS INC MTG PASS THRU CTF									
SER 2005-26 CL-1-A-7 "INV" Factor: 0.92183123									
4.447% 11/25/35 B/E DTD 09/01/05									
Moody Rating AAA S & P Rating AAA									
Security Identifier: 126694MR6									
50,000.000	09/15/05	100.0000	46,091.57	92.1420	42,469.69	-3,621.88	165.15		
Original Cost Basis: $50,000.00									
CITICORP MTG SECS INC REMIC PASSTHRU									
CTF SER 2005-5 CL-1A13_INV FLTR Factor: 0.50655922									
2.482% 04/25/35 B/E DTD 04/01/05 CLB									
Moody Rating AAA S & P Rating AAA									
Security Identifier: 172973T90									
20,000.000	04/28/05	84.9240	8,603.80	89.6250	9,080.07	476.27	20.26		
Original Cost Basis: $19,000.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV"									
SER-2688 CL-2688-SE 10.000% 10/15/33 B/E Factor: 0.11778964									
DTD 10/15/03									
Security Identifier: 31394K4U5									
25,000.000	11/16/04	99.9990	2,944.74	97.8420	2,881.19	-63.55	23.72		
Original Cost Basis: $15,500.36									
FNMA GTD REMIC PASS THRU CTF REMIC									
SER-2005-95 CL-95-WT INV FLTR Factor: 0.82268436									
7.000% 11/25/35 B/E DTD 10/01/05									
Security Identifier: 31394UER9									

4259

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FHLMC MULTICLASS MTG PARTN CTFS GTD *(continued)*									
10,000.000	01/31/06	96.7500	6,568.39	92.0900	6,252.02	-316.37	18.45		
Original Cost Basis: $9,182.05									
Total Asset Backed Securities			$99,782.36		$92,901.50	-$6,880.86	$388.68	$0.00	
Total Fixed Income			$99,782.36		$92,901.50	-$6,880.86	$388.68	$0.00	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$113,311.37	$106,430.51	-$6,880.86	$388.68	$149.41

Cost Basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. Original cost basis is provided under the corresponding tax lot.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FNMA GTD REMIC PASS THRU CTF REMIC *(continued)*									
10,000.000	04/18/06	96.9640	7,977.11	93.4760	7,690.12	-286.99	46.39		
Original Cost Basis: $8,738.56									
FNMA GTD REMIC PASS THRU CTF REMIC									
SER-2005-98 CL-98-SX 4.2904% 12/25/34 B/E Factor: 0.75719060									
DTD 10/25/05									
Security Identifier: 31394UGN6									
20,000.000	11/25/05	97.2500	14,727.37	89.1170	13,495.71	-1,231.66	52.33		
Original Cost Basis: $18,310.65									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-2950 CL-2950-SV 3.136% 03/15/35 B/E Factor: 0.56048239									
DTD 03/15/05									
Security Identifier: 31395PXU1									
15,000.000	09/27/05	100.0000	8,407.25	84.9050	7,138.16	-1,269.09	21.24		
Original Cost Basis: $14,803.18									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-2968 CL-2968-SC 11.330% 12/15/34 B/E Factor: 0.45072067									
DTD 04/01/05 CLB									
Security Identifier: 31395RSP4									
10,000.000	04/25/05	99.0000	4,462.13	86.4070	3,894.54	-567.59	41.14		
Original Cost Basis: $9,900.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-3063 CL-3063-SY 3.373% 05/15/33 B/E Factor: 0.67890321									
DTD 11/15/05 CLB									
Security Identifier: 31396EJF4									

4261

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FHLMC MULTICLASS MTG PARTN CTFS GTD *(continued)*									
10,000.000	01/31/06	96.7500	6,568.39	92.0900	6,252.02	-316.37	18.45		
Original Cost Basis: $9,182.05									
Total Asset Backed Securities			$99,782.36		$92,901.50	-$6,880.86	$388.68	$0.00	
Total Fixed Income			$99,782.36		$92,901.50	-$6,880.86	$388.68	$0.00	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$119,911.97	$100,430.31	-$19,880.80	$388.68	$149.47

Cost Basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. Original cost basis is provided under the corresponding tax lot.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Pershing LLC, member NYSE, FINRA, SIPC, trademark of Pershing Investments LLC.

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Distributions							
09/15/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" SER-2688 CL-2688-SE 10.000% 10/15/33 B/E DTD 10/15/03 RD 08/31 PD 09/15/06				172.33
09/15/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTC PARTN CTFS GTD SER-2950 CL-2950-SV 3.136% 03/15/35 B/E DTD 03/15/05 RD 08/31 PD 09/15/06				488.66
09/15/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2968 CL-2968-SC 11.330% 12/15/34 B/E DTD 04/01/05 CLB RD 08/31 PD 09/15/06				149.02
09/15/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3063 CL-3063-SY 3.373% 05/15/33 B/E DTD 11/15/05 CLB RD 08/31 PD 09/15/06				324.81
09/25/06		RETURN OF PRINCIPAL RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 4.457% 11/25/35 B/E DTD 09/01/05 RD 08/31 PD 09/25/06				763.25
09/25/06		RETURN OF PRINCIPAL RECEIVED	20000 CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13_INV FLTR 2.503% 04/25/35 B/E DTD 04/01/05 CLB RD 08/31 PD 09/25/06				125.24
09/25/06		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-95 CL-95-WT INV FLTR 7.000% 11/25/35 B/E DTD 10/01/05 RD 08/31 PD 09/25/06				228.63
09/25/06		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-98 CL-98-SX 4.310% 12/25/34 B/E DTD 10/25/05 RD 08/31 PD 09/25/06				304.21
Total Distributions							**$2,556.15**
FDIC Insured Bank Deposits							
09/18/06		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-1,204.39
09/19/06	09/18/06	MONEY MARKET INTEREST REINVESTED	RESERVE INS DEPOSITS INTEREST REINVESTED				-42.54
09/26/06		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-1,719.20
Total FDIC Insured Bank Deposits							**-$2,966.13**
Total Value of all Transactions							**$0.00**

The price and quantity displayed may have been rounded.

Transactions by Type of Activity

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest							
09/15/06		BOND INTEREST RECEIVED	25000 FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" SER-2688 CL-2688-SE 10.0009% 10/15/33 B/E DTD 10/15/03 RD 08/31 PD 09/15/06				17.81
09/15/06		BOND INTEREST RECEIVED	15000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2950 CL-2950-SV 5.1369% 03/15/35 B/E DTD 03/15/05 RD 08/31 PD 09/15/06				23.25
09/15/06		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2968 CL-2968-SC 11.3309% 12/15/34 B/E DTD 04/01/05 CLB RD 08/31 PD 09/15/06				8.51
09/15/06		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3063 CL-3063-SY 3.3739% 05/15/33 B/E DTD 11/15/05 CLB RD 08/31 PD 09/15/06				20.00
09/19/06	09/18/06	MONEY MARKET FUND INTEREST RECEIVED	RESERVE INS DEPOSITS INTEREST CREDITED				42.54
09/25/06		BOND INTEREST RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 4.457% 11/25/35 B/E DTD 09/01/05 RD 08/31 PD 09/25/06				174.12
09/25/06		BOND INTEREST RECEIVED	20000 CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13_INV FLTR 2.503% 04/25/35 B/E DTD 04/01/05 CLB RD 08/31 PD 09/25/06				18.94
09/25/06		BOND INTEREST RECEIVED	10000 FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-95 CL-95-WT INV FLTR 7.000% 11/25/35 B/E DTD 10/01/05 RD 08/31 PD 09/25/06				49.32
09/25/06		BOND INTEREST RECEIVED	20000 FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-98 CL-98-SX 4.310% 12/25/34 B/E DTD 10/25/05 RD 08/31 PD 09/25/06				55.49
Total Dividends and Interest							**$403.98**

4264

FDIC Insured Bank Deposits

Date	Activity Type	Description	Amount	Balance
FDIC Insured Bank Deposits				
RESERVE INSURED DEPOSITS				
Account Number: ANB802394	Activity Ending: 09/29/06			
09/01/06	Opening Balance			
09/17/06	Deposit	INTEREST CREDITED	10,562.88	10,562.88
09/18/06	Deposit	DEPOSIT	42.54	10,605.42
09/18/06		APYE 4.63	1,204.39	11,809.81
09/18/06		SOVEREIGN BANK		11,809.81
09/18/06		A/O 09/18 $11,809.81		11,809.81
09/26/06	Deposit	DEPOSIT		11,809.81
09/29/06	**Closing Balance**		1,719.20	13,529.01
Total FDIC Insured Bank Deposits				**$13,529.01**
				$13,529.01

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits are insured by the Federal Deposit Insurance Corporation (FDIC).

Retirement Account Transactions

	Tax Year - 2006		Tax Year - 2005	
	This Period	Year-to-Date	This Period	Year-to-Date
Contributions				
Participant	0.00	512.68	0.00	5,000.00
Rollover	0.00	0.00	0.00	39,086.82
Total Contributions	**$0.00**	**$512.68**	**$0.00**	**$44,086.82**
Distributions				
Excess Contribution	0.00	-500.00	0.00	0.00
Excess Contribution Income	0.00	-12.68	0.00	0.00
Total Distributions	**$0.00**	**-$512.68**	**$0.00**	**$0.00**

NOTE: The amounts shown in the Year-to-Date columns are aggregate amounts of all transactions conducted for a particular transaction type.

Schedule of Realized Gains and Losses Year-to-Date

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
01/09/06	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 669.10	31395PXU1	15,000.000	669.10	669.09	-0.01

4265

Bond Maturity Schedule

Bond Maturity	Market Value	Percentage of Bond Market Value
Over 10 years	92,901.50	100%
Total	**$92,901.50**	**100%**

Bonds that are in default are not included. Please refer to your Portfolio Holdings section.

Percentages of bond market values are rounded to the nearest whole percentage.

Bond Quality

Bond Quality	Market Value	Percentage of Bond Market Value
AAA	51,549.76	55%
Not Rated	41,351.74	45%
Total	**$92,901.50**	**100%**

Bond quality ratings reflect Moody's or Standard and Poor's ratings. Bonds may be rated by other services. Bonds that are in default are not included. Please refer to your Portfolio Holdings section.

Percentages of bond market values are rounded to the nearest whole percentage.

4266

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
01/09/06	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 196.56	31395RSP4	10,000.000	196.56	198.54	1.98
01/12/06	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 633.82	31394UGN6	20,000.000	633.82	651.74	17.92
01/23/06	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 344.60	126694MR6	50,000.000	344.60	344.59	-0.01
01/23/06	04/28/05	RPP	CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 516.68	172973T90	20,000.000	516.68	543.87	27.19
01/31/06	04/28/05	RPP	CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 0.00	172973T90	20,000.000	Please Provide	0.00	N/A
02/07/06	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 368.70	31395PXU1	15,000.000	368.70	368.69	-0.01
02/07/06	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 239.68	31395RSP4	10,000.000	239.68	242.09	2.41
02/13/06	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 286.51	31394UGN6	20,000.000	286.51	294.61	8.10
02/15/06	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 257.71	31396EJF4	10,000.000	257.71	266.36	8.65
02/23/06	04/28/05	RPP	CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: $98.94	172973T90	20,000.000	598.94	630.46	31.52
02/24/06	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 274.61	126694MR6	50,000.000	274.61	274.61	0.00
02/28/06	04/28/05	RPP	CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: -598.94	172973T90	20,000.000	-630.46	-661.98	-31.52

4267

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/07/06	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 456.87	31395PXU1	15,000.000	456.87	456.87	0.00
03/07/06	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 158.06	31395RSP4	10,000.000	158.06	159.65	1.59
03/07/06	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 283.21	31396EJF4	10,000.000	283.21	292.72	9.51
03/13/06	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 115.27	31394UGN6	20,000.000	115.27	118.53	3.26
03/21/06	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 517.78	126694MR6	50,000.000	517.78	517.78	0.00
03/24/06	04/28/05	RPP	CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 921.47	172973T90	20,000.000	921.47	1,085.05	163.58
04/07/06	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 533.95	31395PXU1	15,000.000	533.95	533.95	0.00
04/07/06	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 369.27	31395RSP4	10,000.000	369.27	372.99	3.72
04/07/06	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 287.88	31396EJF4	10,000.000	287.88	297.54	9.66
04/12/06	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 380.87	31394UGN6	20,000.000	380.87	391.64	10.77
04/24/06	04/28/05	RPP	CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 181.25	172973T90	20,000.000	181.25	213.42	32.17
04/25/06	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 173.85	126694MR6	50,000.000	173.85	173.84	-0.01
04/28/06	09/15/05	RPP	ZCWMBS INC MTG PASS THRU CTF Original Cost Basis: 0.00	126694MR6	50,000.000	Please Provide	0.00	N/A
04/28/06	04/28/05	RPP	ZCITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 0.00	172973T90	20,000.000	Please Provide	0.00	N/A

4268

[redacted]

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
07/10/06	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 446.86	31396EJF4	10,000.000	446.86	461.86	15.00
07/12/06	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 243.37	31394UER9	10,000.000	243.37	250.98	7.61
07/12/06	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 358.34	31394UGN6	20,000.000	358.34	368.47	10.13
07/21/06	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 440.74	126694MR6	50,000.000	440.74	440.73	-0.01
07/28/06	09/15/05	RPP	‡CWMBS INC MTG PASS THRU CTF Original Cost Basis: 0.00	126694MR6	50,000.000	Please Provide	0.00	N/A
08/07/06	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 525.65	31395PXU1	15,000.000	525.65	525.64	-0.01
08/07/06	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 263.93	31396EJF4	10,000.000	263.93	272.79	8.86
08/11/06	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 33.53	31394UER9	10,000.000	33.53	34.58	1.05
08/11/06	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 337.75	31394UGN6	20,000.000	337.75	347.29	9.54
08/24/06	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 472.44	126694MR6	50,000.000	472.44	472.44	0.00
08/30/06	09/15/05	RPP	‡CWMBS INC MTG PASS THRU CTF Original Cost Basis: 0.00	126694MR6	50,000.000	Please Provide	0.00	N/A
09/08/06	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 488.66	31395PXU1	15,000.000	488.66	488.66	0.00
09/08/06	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 314.25	31396EJF4	10,000.000	314.25	324.80	10.55
09/12/06	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 221.69	31394UER9	10,000.000	221.69	228.62	6.93

4269

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
05/05/06	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 608.06	31395PXU1	15,000.000	608.06	608.06	0.00
05/05/06	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 302.48	31396EJF4	10,000.000	302.48	312.64	10.16
05/11/06	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 29.57	31394UER9	10,000.000	29.57	30.49	0.92
05/11/06	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 296.62	31394UGN6	20,000.000	296.62	305.00	8.38
05/24/06	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 187.04	126694MR6	50,000.000	187.04	187.03	-0.01
06/07/06	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 483.31	31395PXU1	15,000.000	483.31	483.30	-0.01
06/07/06	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 457.34	31396EJF4	10,000.000	457.34	472.70	15.36
06/12/06	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 233.29	31394UER9	10,000.000	233.29	240.59	7.30
06/12/06	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 274.30	31394UGN6	20,000.000	274.30	282.05	7.75
06/22/06	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 16.27	126694MR6	50,000.000	16.27	16.26	-0.01
06/30/06	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 0.00	126694MR6	50,000.000	Please Provide	0.00	N/A
07/10/06	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 396.80	31395PXU1	15,000.000	396.80	396.80	0.00

4270

[redacted]

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
06/30/06	04/28/05	RPP	2CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 0.00	172973T90	20,000.000	Please Provide	0.00	N/A
07/10/06	11/16/04	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" Original Cost Basis: 376.68	31394K4U5	25,000.000	376.68	376.68	0.00
07/10/06	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 311.67	31395RSP4	10,000.000	311.67	314.81	3.14
07/25/06	04/28/05	RPP	CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 229.66	172973T90	20,000.000	229.66	270.42	40.76
07/28/06	04/28/05	RPP	2CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 0.00	172973T90	20,000.000	Please Provide	0.00	N/A
08/07/06	11/16/04	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" Original Cost Basis: 76.51	31394K4U5	25,000.000	76.51	76.50	-0.01
08/07/06	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 154.42	31395RSP4	10,000.000	154.42	155.98	1.56
08/24/06	04/28/05	RPP	2CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 0.00	172973T90	20,000.000	Please Provide	0.00	N/A
08/30/06	04/28/05	RPP	2CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 0.00	172973T90	20,000.000	Please Provide	0.00	N/A
09/08/06	11/16/04	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" Original Cost Basis: 172.33	31394K4U5	25,000.000	172.33	172.32	-0.01
09/08/06	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 147.53	31395RSP4	10,000.000	147.53	149.01	1.48
09/22/06	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 763.25	126694MR6	50,000.000	763.25	763.25	0.00
09/22/06	04/28/05	RPP	CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 106.35	172973T90	20,000.000	106.35	125.23	18.88

4271

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
09/12/06	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 295.84	31394UGN6	20,000.000	295.84	304.20	8.36
Total Short Term						**$15,864.31**	**$16,292.63**	**$428.32**
Long Term								
01/09/06	11/16/04	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" Original Cost Basis: 190.26	31394K4U5	25,000.000	190.26	190.26	0.00
02/07/06	11/16/04	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" Original Cost Basis: 8.67	31394K4U5	25,000.000	8.67	8.67	0.00
03/07/06	11/16/04	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" Original Cost Basis: 135.35	31394K4U5	25,000.000	135.35	135.35	0.00
04/07/06	11/16/04	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" Original Cost Basis: 505.84	31394K4U5	25,000.000	505.84	505.84	0.00
05/05/06	11/16/04	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" Original Cost Basis: 117.26	31394K4U5	25,000.000	117.26	117.26	0.00
05/05/06	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 301.23	31395RSP4	10,000.000	301.23	304.27	3.04
05/24/06	04/28/05	RPP	CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 53.48	172973T90	20,000.000	53.48	62.97	9.49
05/26/06	04/28/05	RPP	CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 0.00	172973T90	20,000.000	Please Provide	0.00	N/A
05/07/06	11/16/04	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" Original Cost Basis: 224.69	31394K4U5	25,000.000	224.69	224.68	-0.01
06/07/06	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 279.85	31395RSP4	10,000.000	279.85	282.67	2.82

4272

STOEL & YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 645-2000

Individual Retirement Account Statement

* 00069586 02 AT 0.545 TR 00250 X101PD05 000000

IRA FBO JAMES MCDERMOTT SR
PERSHING LLC AS CUSTODIAN
DTD 10/27/03
1820 9TH AVE W
SEATTLE WA 98119-2946

Account Number:
Statement Period: 10/01/2006 - 10/31/2006

Valuation at a Glance

	This Period
Beginning Account Value	$106,430.51
Dividends/Interest	407.46
Change in Account Value	-212.90
Ending Account Value	$106,625.07
Estimated Annual Income	$195.75

Your Investment Executive:
GREG SAIN
(310) 689-3417

FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation.

Asset Allocation

	Value Prior Year-End	Value Last Period	Value This Period	Percent Allocation
Cash and Cash Equivalents[1]	6,112.69	13,529.01	835.12	1%
Fixed Income	101,482.38	92,901.50	105,789.95	99%
Account Total	**$107,595.07**	**$106,430.51**	**$106,625.07**	**100%**

[1] Includes FDIC insured bank deposits.

Asset Allocation percentages are rounded to the nearest whole percentage

4273

Summary of Gains and Losses

	Realized		Unrealized
	This Period	Year-to-Date	This Period
Short-Term Gain/Loss	19.51	447.83	-1,984.90
Long-Term Gain/Loss	1.87	83.01	-5,113.60
Net Gain/Loss	**21.38**	**530.84**	**-7,098.50**

This summary excludes transactions where cost basis information is not available.

Customer Service Information

Your Investment Executive: B80	Contact Information	Customer Service Information
GREG SAIN GSAIN@SYLLC.COM 10900 WILSHIRE BLVD LOS ANGELES CA 90024	**Telephone Number:** (310) 689-3417 **Fax Number:** (310) 689-3445	**Web Site:** www.buybonds.com

Prior Year-End Fair Market Value: $107,595.07 **will be furnished to the Internal Revenue Service.**

Accrued Interest Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Accrued Interest Paid		
Asset Backed Securities	-16.67	-91.20
Total Accrued Interest Paid	**-$16.67**	**-$91.20**

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Interest Income		
Bond Interest	361.12	4,778.28
FDIC Insured Bank Deposits	46.34	195.75
Total Dividends, Interest and Expenses	**$407.46**	**$4,974.03**
Distributions		
Other Distributions	1,727.82	22,572.71
Total Distributions	**$1,727.82**	**$22,572.71**

4274

S.Y STOXEN & YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-7300

Individual Retirement Account Statement

Statement Period: 10/01/2006 - 10/31/2006

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash and Cash Equivalents 1.00% of Portfolio									
FDIC Insured Bank Deposits									
RESERVE INSURED DEPOSITS									
835.120	09/30/06	ANB802394	10/31/06	13,529.01	835.12	26.46	195.75	N/A	4.63%
Total FDIC Insured Bank Deposits				**$13,529.01**	**$835.12**	**$26.46**	**$195.75**		
Total Cash and Cash Equivalents				**$13,529.01**	**$835.12**	**$26.46**	**$195.75**		

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income 99.00% of Portfolio *(In CUSIP Sequence)*									
Asset Backed Securities									
CWMBS INC MTG PASS THRU CTF									
SER 2005-26 CL-1-A-7 "INV" Factor: 0.90904449									
4.464% 11/25/35 B/E DTD 09/01/05									
Moody Rating AAA S & P Rating AAA									
Security Identifier: 126694MR6									
50,000.000	09/15/05	100.0000	45,452.23	91.6440	41,654.24	-3,797.99	169.11		
Original Cost Basis: $50,000.00									
CITICORP MTG SECS INC REMIC PASSTHRU									
CTF SER 2005-3 CL-1A13_INV FLTR Factor: 0.50655922									
2.519% 04/25/35 B/E DTD 04/01/05 CLB									
Moody Rating AAA S & P Rating AAA									
Security Identifier: 172973T90									
20,000.000	04/28/05	84.9240	8,603.80	90.0250	9,120.60	516.80	21.27		
Original Cost Basis: $19,000.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV"									
SER-2688 CL-2688-SE 10.000% 10/15/33 B/E Factor: 0.11294279									
DTD 10/15/03									
Security Identifier: 31394K4U5									

4275

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" *(continued)*									
25,000.000	11/16/04	99.9990	2,823.57	94.9070	2,679.77	-143.80	23.53		
Original Cost Basis: $15,500.36									
FNMA GTD REMIC PASS THRU CTF REMIC									
SER-2005-95 CL-95-WT INV FLTR Factor: 0.81691603									
7.000% 11/25/35 B/E DTD 10/01/05									
Security Identifier: 31394UER9									
10,000.000	04/18/06	96.9640	7,921.18	95.2140	7,778.18	-143.00	47.65		
Original Cost Basis: $8,738.56									
FNMA GTD REMIC PASS THRU CTF REMIC									
SER-2005-98 CL-98-SX 4.326% 12/25/34 B/E Factor: 0.74845672									
DTD 10/25/05									
Security Identifier: 31394UGN6									
20,000.000	11/25/05	97.2500	14,557.50	91.1030	13,637.33	-920.17	53.97		
Original Cost Basis: $18,310.65									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-2950 CL-2950-SV 3.183% 03/15/35 B/E Factor: 0.55071852									
DTD 03/15/05									
Security Identifier: 31395PXU1									
15,000.000	09/27/05	100.0000	8,260.79	86.1010	7,112.61	-1,148.18	21.91		
Original Cost Basis: $14,803.18									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-2968 CL-2968-SC 11.330% 12/15/34 B/E Factor: 0.43176432									
DTD 04/01/05 CLB									
Security Identifier: 31395RSP4									
10,000.000	04/25/05	99.0000	4,274.46	86.4830	3,734.03	-540.43	40.77		
Original Cost Basis: $9,900.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-3063 CL-3063-SY 3.410% 05/15/33 B/E Factor: 0.63900981									
DTD 11/15/05 CLB									
Security Identifier: 31396EJF4									
10,000.000	01/31/06	96.7500	6,182.42	91.5110	5,847.64	-334.78	18.16		
Original Cost Basis: $9,182.05									

4276

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FNMA GTD REMIC PASS THRU CTF REMIC TR-2006-111 CL-111-TJ "INV" Factor: 1.00000000 8.000% 06/25/36 B/E DTD 10/25/06 *Security Identifier:* 31396LSC5									
15,000.000 Original Cost Basis: $14,812.50	10/13/06	98.7500	14,812.50	94.8370	14,225.55	-586.95	100.00		
Total Asset Backed Securities			**$112,888.45**		**$105,789.95**	**-$7,098.50**	**$496.37**	**$0.00**	
Total Fixed Income			**$112,888.45**		**$105,789.95**	**-$7,098.50**	**$496.37**	**$0.00**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$113,723.57**	**$106,625.07**	**-$7,098.50**	**$496.37**	**$195.75**

Cost Basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. Original cost basis is provided under the corresponding tax lot.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

42777

Portfolio Holdings *(continued)*

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Transactions by Type of Activity

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Securities Bought and Sold							
10/30/06	10/13/06	PURCHASED	FNMA GTD REMIC PASS THRU CTF REMIC TR-2006-111 CL-111-TJ "INV" 6.000% 06/25/36 B/E DTD 10/25/06 FACTOR 1.0000000000 REM BAL 15,000 YLD: 8.6082570 VARIABLE RATE	15,000.000	98.7500	-16.67	-14,829.17
Total Securities Bought and Sold							**-$14,829.17**
Dividends and Interest							
10/16/06		BOND INTEREST RECEIVED	25000 FHLMC MULTICLASS MTG PARTN CTFS GTD "INV" SER-2688 CL-2688-SE 10.000% 10/15/33 B/E DTD 10/15/03 RD 09/29 PD 10/15/06				16.83
10/16/06		BOND INTEREST RECEIVED	15000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2950 CL-2950-SV 3.136% 03/15/35 B/E DTD 03/15/05 RD 09/29 PD 10/15/06				21.97
10/16/06		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2968 CL-2968-SC 11.330% 12/15/34 B/E DTD 04/01/05 CLB RD 09/29 PD 10/15/06				9.23
10/16/06		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3063 CL-3063-SY 3.373% 05/15/33 B/E DTD 11/15/05 CLB RD 09/29 PD 10/15/06				19.08
10/17/06	10/16/06	MONEY MARKET FUND INTEREST RECEIVED	RESERVE INS DEPOSITS INTEREST CREDITED				46.34

4278

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest *(continued)*							
10/25/06		BOND INTEREST RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 4.447% 11/25/35 B/E DTD 09/01/05 RD 09/29 PD 10/25/06				170.92
10/25/06		BOND INTEREST RECEIVED	20000 CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13_INV FLTR 2.482% 04/25/35 B/E DTD 04/01/05 CLB RD 09/29 PD 10/25/06				20.96
10/25/06		BOND INTEREST RECEIVED	10000 FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-95 CL-95-WT INV FLTR 7.000% 11/25/35 B/E DTD 10/01/05 RD 09/29 PD 10/25/06				47.99
10/25/06		BOND INTEREST RECEIVED	20000 FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-98 CL-98-SX 4.290% 12/25/34 B/E DTD 10/25/05 RD 09/29 PD 10/25/06				54.14
Total Dividends and Interest							**$407.46**
Distributions							
10/16/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" SER-2688 CL-2688-SE 10.000% 10/15/33 B/E DTD 10/15/03 RD 09/29 PD 10/15/06				121.17
10/16/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2950 CL-2950-SV 3.136% 03/15/35 B/E DTD 03/15/05 RD 09/29 PD 10/15/06				146.46
10/16/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2968 CL-2968-SC 11.330% 12/15/34 B/E DTD 04/01/05 CLB RD 09/29 PD 10/15/06				189.56
10/16/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3063 CL-3063-SY 3.373% 05/15/33 B/E DTD 11/15/05 CLB RD 09/29 PD 10/15/06				398.93
10/25/06		RETURN OF PRINCIPAL RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 4.447% 11/25/35 B/E DTD 09/01/05 RD 09/29 PD 10/25/06				639.34

4279

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Distributions *(continued)*							
10/25/06		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-95 CL-95-WT INV FLTR 7.0094% 11/25/35 B/E DTD 10/01/05 RD 09/29 PD 10/25/06				57.68
10/25/06		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-98 CL-98-SX 4.2904% 12/25/34 B/E DTD 10/25/05 RD 09/29 PD 10/25/06				174.68
Total Distributions							$1,727.82
FDIC Insured Bank Deposits							
10/17/06	10/16/06	MONEY MARKET INTEREST REINVESTED	RESERVE INS DEPOSITS INTEREST REINVESTED				-46.34
10/17/06		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-923.23
10/26/06		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-1,165.71
10/30/06		MONEY MARKET WITHDRAWAL	RESERVE INS DEPOSITS				14,829.17
Total FDIC Insured Bank Deposits							$12,693.89
Total Value of all Transactions							$0.00

The price and quantity displayed may have been rounded.

Bond Maturity Schedule

Bond Maturity	Market Value	Percentage of Bond Market Value
Over 10 years	105,789.95	100%
Total	**$105,789.95**	**100%**

Bonds that are in default are not included. Please refer to your Portfolio Holdings section.

Percentages of bond market values are rounded to the nearest whole percentage.

4280

STO[redacted] & YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-2300

Individual Retirement Account Statement

Statement Period: 10/01/2006 - 10/31/2006

Bond Quality

Bond Quality	Market Value	Percentage of Bond Market Value
AAA	50,774.84	48%
Not Rated	55,015.11	52%
Total	**$105,789.95**	**100%**

Bond quality ratings reflect Moody's or Standard and Poor's ratings. Bonds may be rated by other services. Bonds that are in default are not included. Please refer to your Portfolio Holdings section.

Percentages of bond market values are rounded to the nearest whole percentage.

FDIC Insured Bank Deposits

Date	Activity Type	Description	Amount	Balance
FDIC Insured Bank Deposits				
RESERVE INSURED DEPOSITS				
Account Number: AN8802394	Activity Ending: 10/31/06			
09/30/06	Opening Balance			
10/15/06	Deposit	INTEREST CREDITED	13,529.01	13,529.01
10/16/06		APYE 4.63	46.34	13,575.35
10/16/06		SOVEREIGN BANK		13,575.35
10/16/06		A/O 10/16 $13,575.35		13,575.35
10/17/06	Deposit	DEPOSIT	923.23	14,498.58
10/26/06	Deposit	DEPOSIT	1,165.71	15,664.29
10/30/06	Withdrawal	WITHDRAWAL	-14,829.17	835.12
10/31/06	**Closing Balance**			**$835.12**
Total FDIC Insured Bank Deposits				**$835.12**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits are insured by the Federal Deposit Insurance Corporation (FDIC).

4281

[redacted]

Retirement Account Transactions

	Tax Year - 2006		Tax Year - 2005	
	This Period	Year-to-Date	This Period	Year-to-Date
Contributions				
Participant	0.00	512.68	0.00	5,000.00
Rollover	0.00	0.00	0.00	39,086.82
Total Contributions	**$0.00**	**$512.68**	**$0.00**	**$44,086.82**
Distributions				
Excess Contribution	0.00	-500.00	0.00	0.00
Excess Contribution Income	0.00	-12.68	0.00	0.00
Total Distributions	**$0.00**	**-$512.68**	**$0.00**	**$0.00**

NOTE: The amounts shown in the Year-to-Date columns are aggregate amounts of all transactions conducted for a particular transaction type.

Messages

PERSHING KINDLY REQUESTS THAT YOU SUBMIT ANY DISTRIBUTION AND ROTH IRA CONVERSION REQUESTS FOR YOUR RETIREMENT ACCOUNT, REPORTABLE FOR THE 2006 TAX YEAR, BY DECEMBER 15, 2006. THIS WILL ALLOW SUFFICIENT TIME FOR PROCESSING RETIREMENT ACCOUNT DISTRIBUTION AND ROTH IRA CONVERSION REQUESTS THAT MUST BE COMPLETED BY YEAR-END.

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).

YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISTING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY FUND AND FDIC-INSURED BANK PRODUCT RATES." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEB SITE.

IT IS NOT TOO EARLY TO CONSIDER CONTRIBUTIONS TO YOUR TRADITIONAL INDIVIDUAL RETIREMENT ACCOUNT (IRA) OR ROTH IRA FOR THE 2006 TAX YEAR; THE MAXIMUM CONTRIBUTION LIMIT IS $4,000. INDIVIDUALS WHO ARE THE AGE OF 50 AND OLDER MAY MAKE AN ADDITIONAL CATCH-UP CONTRIBUTION OF $1,000 FOR THE TAX YEAR 2006.
WHEN MAKING YOUR ANNUAL CONTRIBUTION, PLEASE INDICATE IN WRITING THE TAX YEAR FOR WHICH THE CONTRIBUTION SHOULD BE APPLIED.

4282

STEPHAN & YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-2300

Individual Retirement Account Statement

* 00075591 02 AT 0.545 TR 00280 X1019D05 000000

IRA FBO JAMES MCDERMOTT SR
PERSHING LLC AS CUSTODIAN
DTD 10/27/03
1820 9TH AVE W
SEATTLE WA 98119-2946

Account Number: .
Statement Period: 11/01/2006 - 11/30/2006

Valuation at a Glance

	This Period
Beginning Account Value	$106,625.07
Dividends/Interest	485.46
Change in Account Value	5,635.99
Ending Account Value	$112,746.52
Estimated Annual Income	$223.85

Your Investment Executive:
GREG SAIN
(310) 689-3417

FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation.

Asset Allocation

	Value Prior Year-End	Value Last Period	Value This Period	Percent Allocation
Cash and Cash Equivalents[1]	6,112.69	835.12	3,903.76	3%
Fixed Income	101,482.38	105,789.95	108,842.76	97%
Account Total (Pie Chart)	$107,595.07	$106,825.07	$112,746.52	100%

[1] Includes FDIC insured bank deposits.

Asset Allocation percentages are rounded to the nearest whole percentage.

Pie Chart allocation excludes all asset classes which net to a liability.

DALBAR RATED
Clearing Through Pershing

4283

Summary of Gains and Losses

	Realized		Unrealized
	This Period	Year-to-Date	This Period
Short-Term Gain/Loss	37.60	485.43	355.68
Long-Term Gain/Loss	2.00	85.01	-1,857.84
Net Gain/Loss	**39.60**	**570.44**	**-1,502.16**

This summary excludes transactions where cost basis information is not available.

Customer Service Information

Your Investment Executive: B80	Contact Information	Customer Service Information
GREG SAIN	**Telephone Number:** (310) 689-3417	**Web Site:** www.buybonds.com
GSAIN@SYLLC.COM	**Fax Number:** (310) 689-3445	
10900 WILSHIRE BLVD		
LOS ANGELES CA 90024		

Prior Year-End Fair Market Value: $107,595.07 will be furnished to the Internal Revenue Service.

Accrued Interest Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Accrued Interest Paid		
Asset Backed Securities	0.00	-91.20
Total Accrued Interest Paid	**$0.00**	**-$91.20**

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Interest Income		
Bond Interest	457.36	5,235.64
FDIC Insured Bank Deposits	28.10	223.85
Total Dividends, Interest and Expenses	**$485.46**	**$5,459.49**
Distributions		
Other Distributions	2,583.18	25,155.89
Total Distributions	**$2,583.18**	**$25,155.89**

4284

STONE & YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-2300

Individual Retirement Account Statement

Statement Period: 11/01/2006 - 11/30/2006

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash and Cash Equivalents 3.00% of Portfolio									
FDIC Insured Bank Deposits									
RESERVE INSURED DEPOSITS									
3,903.760	11/01/06	ANB802394	11/30/06	835.12	3,903.76	4.73	223.85	N/A	4.62%
Total FDIC Insured Bank Deposits				**$835.12**	**$3,903.76**	**$4.73**	**$223.85**		
Total Cash and Cash Equivalents				**$835.12**	**$3,903.76**	**$4.73**	**$223.85**		

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income 97.00% of Portfolio *(In CUSIP Sequence)*									
Asset Backed Securities									
CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" Factor: 0.89784417 4.464% 11/25/35 B/E DTD 09/01/05 Moody Rating AAA S & P Rating AAA *Security Identifier:* 126694MR6									
50,000.000 Original Cost Basis: $50,000.00	09/15/05	100.0000	44,892.21	95.3570	42,807.86	-2,084.35	161.45		
CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13_INV FLTR Factor: 0.50655923 2.519% 04/25/35 B/E DTD 04/01/05 CLB Moody Rating AAA S & P Rating AAA *Security Identifier:* 172973T90									
20,000.000 Original Cost Basis: $19,000.00	04/28/05	84.9240	8,603.80	92.9490	9,416.83	813.03	20.56		
FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" SER-2688 CL-2688-SE 10.000% 10/15/33 B/E Factor: 0.10929164 DTD 10/15/03 *Security Identifier:* 31394K4U5									

4285

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" *(continued)*									
25,000.000	11/16/04	99.9990	2,732.29	99.8070	2,727.02	-5.27	22.01		
Original Cost Basis: $15,500.36									
FNMA GTD REMIC PASS THRU CTF REMIC									
SER-2005-95 CL-95-WT INV FLTR Factor: 0.79168155									
7.000% 11/25/35 B/E DTD 10/01/05									
Security Identifier: 31394UER9									
10,000.000	04/18/06	96.9640	7,676.50	99.0320	7,840.18	163.68	44.64		
Original Cost Basis: $8,738.56									
FNMA GTD REMIC PASS THRU CTF REMIC									
SER-2005-98 CL-98-SX 4.326% 12/25/34 B/E Factor: 0.73679740									
DTD 10/25/05									
Security Identifier: 31394UGN6									
20,000.000	11/25/05	97.2500	14,330.73	97.0460	14,300.65	-30.08	51.36		
Original Cost Basis: $18,310.65									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-2950 CL-2950-SV 3.183% 03/15/35 B/E Factor: 0.52958768									
DTD 03/15/05									
Security Identifier: 31395PXU1									
15,000.000	09/27/05	100.0000	7,943.83	95.2660	7,567.76	-376.07	20.37		
Original Cost Basis: $14,803.18									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-2968 CL-2968-SC 11.330% 12/15/34 B/E Factor: 0.41153317									
DTD 04/01/05 CLB									
Security Identifier: 31395RSP4									
10,000.000	04/25/05	99.0000	4,074.17	94.7450	3,899.07	-175.10	37.56		
Original Cost Basis: $9,900.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-3063 CL-3063-SY 3.410% 05/15/33 B/E Factor: 0.57924420									
DTD 11/15/05 CLB									
Security Identifier: 31396EJF4									
10,000.000	01/31/06	96.7500	5,604.19	96.0530	5,563.81	-40.38	15.91		
Original Cost Basis: $9,182.05									

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FNMA GTD REMIC PASS THRU CTF REMIC									
TR-2006-111 CL-111-TJ "INV" Factor: 0.97803888									
~~8.000% 06/25/36 8/6 DTD 10/25/96~~									
Security Identifier: 31396LSC5									
15,000.000	10/13/06	98.7500	14,487.20	100.3340	14,719.58	232.38	94.54		
Original Cost Basis: $14,812.50									
Total Asset Backed Securities			**$110,344.92**		**$108,842.76**	**-$1,502.16**	**$468.40**	**$0.00**	
Total Fixed Income			**$110,344.92**		**$108,842.76**	**-$1,502.16**	**$468.40**	**$0.00**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$114,248.68**	**$112,746.52**	**-$1,502.16**	**$468.40**	**$223.85**

Cost Basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. Original cost basis is provided under the corresponding tax lot.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

4287

Portfolio Holdings *(continued)*

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Transactions by Type of Activity

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest							
11/15/06		BOND INTEREST RECEIVED	25000 FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" SER-2688 CL-2688-SE 10.0000% 10/15/33 B/E DTD 10/15/03 RD 10/31 PD 11/15/06				16.36
11/15/06		BOND INTEREST RECEIVED	15000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2950 CL-2950-SV 3.183% 03/15/35 B/E DTD 03/15/05 RD 10/31 PD 11/15/06				21.91
11/15/06		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2968 CL-2968-SC 11.330% 12/15/34 B/E DTD 04/01/05 CLB RD 10/31 PD 11/15/06				8.94
11/15/06		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3063 CL-3063-SY 3.410% 05/15/33 B/E DTD 11/15/05 CLB RD 10/31 PD 11/15/06				18.16
11/17/06	11/16/06	MONEY MARKET FUND INTEREST RECEIVED	RESERVE INS DEPOSITS INTEREST CREDITED				28.10
11/27/06		BOND INTEREST RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 4.464% 11/25/35 B/E DTD 09/01/05 RD 10/31 PD 11/25/06				169.18
11/27/06		BOND INTEREST RECEIVED	20000 CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13_INV FLTR 2.519% 04/25/35 B/E DTD 04/01/05 CLB RD 10/31 PD 11/25/06				21.19

4288

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest *(continued)*							
11/27/06		BOND INTEREST RECEIVED	10000 FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-95 CL-95-WT INV FLTR 7.000% 11/25/35 B/E DTD 10/01/05 RD 10/31 PD 11/25/06				47.65
11/27/06		BOND INTEREST RECEIVED	20000 FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-98 CL-98-SX 4.326% 12/25/34 B/E DTD 10/25/05 RD 10/31 PD 11/25/06				53.97
11/27/06		BOND INTEREST RECEIVED	15000 FNMA GTD REMIC PASS THRU CTF REMIC TR-2006-111 CL-111-TJ "INV" 8.000% 06/25/36 B/E DTD 10/25/06 RD 10/31 PD 11/25/06				100.00
Total Dividends and Interest							**$485.46**
Distributions							
11/15/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD "INV" SER-2688 CL-2688-SE 10.000% 10/15/33 B/E DTD 10/15/03 RD 10/31 PD 11/15/06				91.28
11/15/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2950 CL-2950-SV 3.183% 03/15/35 B/E DTD 03/15/05 RD 10/31 PD 11/15/06				316.96
11/15/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2968 CL-2968-SC 11.330% 12/15/34 B/E DTD 04/01/05 CLB RD 10/31 PD 11/15/06				202.31
11/15/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3063 CL-3063-SY 3.410% 05/15/33 B/E DTD 11/15/05 CLB RD 10/31 PD 11/15/06				597.66
11/27/06		RETURN OF PRINCIPAL RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 4.464% 11/25/35 B/E DTD 09/01/05 RD 10/31 PD 11/25/06				560.02
11/27/06		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-95 CL-95-WT INV FLTR 7.000% 11/25/35 B/E DTD 10/01/05 RD 10/31 PD 11/25/06				252.34

4289

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Distributions *(continued)*							
11/27/06		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-98 CL-98-SX 4.3264% 12/25/34 B/E DTD 10/25/05 RD 10/31 PD 11/25/06				233.19
11/27/06		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC TR-2006-111 CL-111-TJ "INV" 8.0009% 08/25/36 B/E DTD 10/25/06 RD 10/31 PD 11/25/06				329.42
Total Distributions							**$2,583.18**
FDIC Insured Bank Deposits							
11/16/06		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-1,273.58
11/17/06	11/16/06	MONEY MARKET INTEREST REINVESTED	RESERVE INS DEPOSITS INTEREST REINVESTED				-28.10
11/28/06		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-1,766.96
Total FDIC Insured Bank Deposits							**-$3,068.64**
Total Value of all Transactions							**$0.00**

The price and quantity displayed may have been rounded.

Bond Maturity Schedule

Bond Maturity	Market Value	Percentage of Bond Market Value
Over 10 years	108,842.76	100%
Total	**$108,842.76**	**100%**

Bonds that are in default are not included. Please refer to your Portfolio Holdings section.

Percentages of bond market values are rounded to the nearest whole percentage.

4290

STONE & YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-2300

Individual Retirement Account Statement

Statement Period: 11/01/2006 - 11/30/2006

Bond Quality

Bond Quality	Market Value	Percentage of Bond Market Value
AAA	52,224.69	48%
Not Rated	56,618.07	52%
Total	**$108,842.76**	**100%**

Bond quality ratings reflect Moody's or Standard and Poor's ratings. Bonds may be rated by other services. Bonds that are in default are not included. Please refer to your Portfolio Holdings section.

Percentages of bond market values are rounded to the nearest whole percentage.

FDIC Insured Bank Deposits

Date	Activity Type	Description	Amount	Balance
FDIC Insured Bank Deposits				
RESERVE INSURED DEPOSITS				
Account Number: ANB802394	Activity Ending: 11/30/06			
11/01/06	Opening Balance			
11/15/06	Deposit	INTEREST CREDITED	835.12	835.12
11/16/06	Deposit	DEPOSIT	28.10	863.22
11/16/06		APYE 4.64	1,273.58	2,136.80
11/16/06		SOVEREIGN BANK		2,136.80
11/16/06		A/O 11/16 $2,136.80		2,136.80
11/28/06	Deposit	DEPOSIT		2,136.80
11/30/06	**Closing Balance**		1,766.96	3,903.76
Total FDIC Insured Bank Deposits				**$3,903.76**
				$3,903.76

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits are insured by the Federal Deposit Insurance Corporation (FDIC).

4291

Retirement Account Transactions

	Tax Year - 2006		Tax Year - 2005	
	This Period	Year-to-Date	This Period	Year-to-Date
Contributions				
Participant	0.00	512.68	0.00	5,000.00
Rollover	0.00	0.00	0.00	39,086.82
Total Contributions	**$0.00**	**$512.68**	**$0.00**	**$44,086.82**
Distributions				
Excess Contribution	0.00	-500.00	0.00	0.00
Excess Contribution Income	0.00	-12.68	0.00	0.00
Total Distributions	**$0.00**	**-$512.68**	**$0.00**	**$0.00**

NOTE: The amounts shown in the Year-to-Date columns are aggregate amounts of all transactions conducted for a particular transaction type.

Messages

WE HAVE CONSOLIDATED TRADE CONFIRMATIONS IN RESPONSE TO REQUESTS FROM INVESTORS LIKE YOU TO REDUCE THE VOLUME OF PAPER YOU RECEIVE FROM US. CONFIRMATIONS FOR TRADE EXECUTIONS NOW PRINT ON BOTH SIDES OF A SHEET OF PAPER - EACH SIDE OF A PAGE IS NUMBERED, AND UP TO EIGHT SHEETS ARE INCLUDED IN ONE ENVELOPE. THIS MEANS THAT YOU MAY RECEIVE UP TO 15 TRADE CONFIRMATIONS AND ONE TERMS AND CONDITIONS PAGE PER ENVELOPE - REDUCING THE VOLUME OF PAPER YOU RECEIVE.

PERSHING KINDLY REQUESTS THAT YOU SUBMIT ANY DISTRIBUTION AND ROTH IRA CONVERSION REQUESTS FOR YOUR RETIREMENT ACCOUNT, REPORTABLE FOR THE 2006 TAX YEAR, BY DECEMBER 15, 2006. THIS WILL ALLOW SUFFICIENT TIME FOR PROCESSING RETIREMENT ACCOUNT DISTRIBUTION AND ROTH IRA CONVERSION REQUESTS THAT MUST BE COMPLETED BY YEAR-END.

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).

YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISTING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY FUND AND FDIC-INSURED BANK PRODUCT RATES." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEB SITE.

IT IS NOT TOO EARLY TO CONSIDER CONTRIBUTIONS TO YOUR TRADITIONAL INDIVIDUAL RETIREMENT ACCOUNT (IRA) OR ROTH IRA FOR THE 2006 TAX YEAR; THE MAXIMUM CONTRIBUTION LIMIT IS $4,000. INDIVIDUALS WHO ARE THE AGE OF 50 AND OLDER MAY MAKE AN ADDITIONAL CATCH-UP CONTRIBUTION OF $1,000 FOR THE TAX YEAR 2006.
WHEN MAKING YOUR ANNUAL CONTRIBUTION, PLEASE INDICATE IN WRITING THE TAX YEAR FOR WHICH THE CONTRIBUTION SHOULD BE APPLIED.

4292

STONE &
YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-2300

[redacted]

Individual Retirement Account Statement

Account Number:
Statement Period: 12/01/2006 - 12/31/2006

IRA FBO JAMES MCDERMOTT SR
PERSHING LLC AS CUSTODIAN
DTD 10/27/03
1820 9TH AVE W
SEATTLE WA 98119-2946

Your Investment Executive:
GREG SAIN
(310) 689-3417

Valuation at a Glance

	This Period
Beginning Account Value	$112,748.52
Dividends/Interest	460.56
Change in Account Value	-3,585.36
Ending Account Value	$109,621.72
Estimated Annual Income	$237.02

FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation.

Asset Allocation

	Value Prior Year-End	Value Last Period	Value This Period	Percent Allocation
Cash and Cash Equivalents[1]	6,112.69	3,903.76	5,964.65	5%
Fixed Income	101,482.38	108,842.76	103,657.07	95%
Account Total (Pie Chart)	$107,595.07	$112,746.52	$109,621.72	100%

1 Includes FDIC insured bank deposits.

Asset Allocation percentages are rounded to the nearest whole percentage.

Pie Chart allocation excludes all asset classes which net to a liability.

4293

DALBAR AUDIT FOR COMMUNICATIONS

B0002379CSP30002

Clearing through Pershing A BNY Mellon Group Co. One Pershing Plaza, Jersey City, New Jersey 07399

[redacted]

Summary of Gains and Losses

	Realized		Unrealized
	This Period	Year-to-Date	This Period
Short-Term Gain/Loss	19.61	505.04	120.01
Long-Term Gain/Loss	4.87	89.88	-5,232.05
Net Gain/Loss	24.48	$94.92	-5,112.04

This summary excludes transactions where cost basis information is not available.

Customer Service Information

Your Investment Executive: B80	Contact Information	Customer Service Information
GREG SAIN GSAIN@SYLLC.COM 10900 WILSHIRE BLVD LOS ANGELES CA 90024	Telephone Number: (310) 689-3417 Fax Number: (310) 689-3445	Web Site: www.buybonds.com

Prior Year-End Fair Market Value: $107,595.07 will be furnished to the Internal Revenue Service.

Accrued Interest Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Accrued Interest Paid		
Asset Backed Securities	0.00	-91.20
Total Accrued Interest Paid	$0.00	-$91.20

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Interest Income		
Bond Interest	447.39	5,683.03
FDIC Insured Bank Deposits	13.17	237.02
Total Dividends, Interest and Expenses	$460.56	$5,920.05
Distributions		
Other Distributions	1,600.33	26,756.22
Total Distributions	$1,600.33	$26,756.22

4294

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash and Cash Equivalents 5.00% of Portfolio									
FDIC Insured Bank Deposits									
RESERVE INSURED DEPOSITS									
5,964.650	12/01/06	ANB802394	12/29/06	3,903.76	5,964.65	10.33	237.02	N/A	4.63%
Total FDIC Insured Bank Deposits				$3,903.76	$5,964.65	$10.33	$237.02		
Total Cash and Cash Equivalents				$3,903.76	$5,964.65	$10.33	$237.02		

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income 95.00% of Portfolio *(In CUSIP Sequence)*									
Asset Backed Securities									
CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" Factor: 0.89784417 4.414% 11/25/35 B/E DTD 09/01/05 Moody Rating AAA S & P Rating AAA *Security Identifier:* 126694MR6									
50,000.000	09/15/05	100.0000	44,892.21	91.3870	41,025.64	-3,866.57	165.15		
Original Cost Basis: $50,000.00									
CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13_INV FLTR Factor: 0.50655922 2.408% 04/25/35 B/E DTD 04/01/05 CLB Moody Rating AAA S & P Rating AAA *Security Identifier:* 172973T90									
20,000.000	04/28/05	84.9240	8,603.80	84.3640	8,547.07	-56.73	20.33		
Original Cost Basis: $19,000.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" SER-2688 CL-2688-SE 10.000% 10/15/33 B/E Factor: 0.10929164 DTD 10/15/03 *Security Identifier:* 31394X4U5									

4295

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" *(continued)*									
25,000.000	11/16/04	99.9990	2,732.29	97.6820	2,668.96	-63.33	22.77		
Original Cost Basis: $15,500.36									
FNMA GTD REMIC PASS THRU CTF REMIC									
SER-2005-95 CL-95-WT INV FLTR Factor: 0.78117401									
7.000% 11/25/35 B/E DTD 10/01/05									
Security Identifier: 31394UER9									
10,000.000	04/18/06	96.9640	7,574.61	97.4100	7,609.42	34.81	45.57		
Original Cost Basis: $8,738.56									
FNMA GTD REMIC PASS THRU CTF REMIC									
SER-2005-98 CL-98-SX 4.216% 12/25/34 B/E Factor: 0.73072135									
DTD 10/25/05									
Security Identifier: 31394UGN6									
20,000.000	11/25/05	97.2500	14,212.55	92.6180	13,535.59	-676.96	51.35		
Original Cost Basis: $18,310.65									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-2950 CL-2950-SV 3.042% 03/15/35 B/E Factor: 0.49364097									
DTD 03/15/05									
Security Identifier: 31395PXU1									
15,000.000	09/27/05	100.0000	7,404.63	94.8490	7,023.20	-381.43	18.77		
Original Cost Basis: $14,803.18									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-2968 CL-2968-SC 11.330% 12/15/34 B/E Factor: 0.39618144									
DTD 04/01/05 CLB									
Security Identifier: 31395RSP4									
10,000.000	04/25/05	99.0000	3,922.19	94.2790	3,735.16	-187.03	37.41		
Original Cost Basis: $9,900.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-3063 CL-3063-SY 3.300% 05/15/33 B/E Factor: 0.53959366									
DTD 11/15/05 CLB									
Security Identifier: 31396EJF4									
10,000.000	01/31/06	96.7500	5,220.57	97.2440	5,247.22	26.65	14.84		
Original Cost Basis: $9,182.05									

4296

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FNMA GTD REMIC PASS THRU CTF REMIC									
~~TR 2006-111 CL 111-TI "INV" Factor: 0.95907242~~									
8.000% 06/25/36 B/E DTD 10/25/06									
Security Identifier: 31396LSC5									
15,000.000	10/13/06	98.7500	14,206.26	99.1570	14,264.81	$8.55	95.91		
Original Cost Basis: $14,812.50									
Total Asset Backed Securities			$108,769.11		$103,657.07	-$5,112.04	$472.10	$0.00	
Total Fixed Income			$108,769.11		$103,657.07	-$5,112.04	$472.10	$0.00	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$114,733.76	$109,621.72	-$5,112.04	$472.10	$237.02

Cost Basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. Original cost basis is provided under the corresponding tax lot.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

42967

[redacted]

Portfolio Holdings *(continued)*

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization oth erwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by appl icable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. T he Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Transactions by Type of Activity

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest							
12/15/06		BOND INTEREST RECEIVED	25000 FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" SER-2688 CL-2688-SE 10.000% 10/15/33 B/E DTD 10/15/03 RD 11/30 PD 12/15/06				15.83
12/15/06		BOND INTEREST RECEIVED	15000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2950 CL-2950-SV 3.183% 03/15/35 B/E DTD 03/15/05 RD 11/30 PD 12/15/06				21.07
12/15/06		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2968 CL-2968-SC 11.330% 12/15/34 B/E DTD 04/01/05 CLB RD 11/30 PD 12/15/06				8.55
12/15/06		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3063 CL-3063-SY 3.410% 05/15/33 B/E DTD 11/15/05 CLB RD 11/30 PD 12/15/06				16.46
12/19/06	12/18/06	MONEY MARKET FUND INTEREST RECEIVED	RESERVE INS DEPOSITS INTEREST CREDITED				13.17
12/26/06		BOND INTEREST RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 4.464% 11/25/35 B/E DTD 09/01/05 RD 11/30 PD 12/25/06				167.10
12/26/06		BOND INTEREST RECEIVED	20000 CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13_INV FLTR 2.519% 04/25/35 B/E DTD 04/01/05 CLB RD 11/30 PD 12/25/06				21.27

4298

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest *(continued)*							
12/26/06		BOND INTEREST RECEIVED	10000 FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-95 CL-95-WT INV FLTR 7.000% 11/25/35 B/E DTD 10/01/05 RD 11/30 PD 12/25/06				46.18
12/26/06		BOND INTEREST RECEIVED	20000 FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-98 CL-98-SX 4.326% 12/25/34 B/E DTD 10/25/05 RD 11/30 PD 12/25/06				53.13
12/26/06		BOND INTEREST RECEIVED	15000 FNMA GTD REMIC PASS THRU CTF REMIC TR-2006-111 CL-111-TJ "INV" 8.000% 06/25/36 B/E DTD 10/25/06 RD 11/30 PD 12/25/06				97.80
Total Dividends and Interest							$460.56
Distributions							
12/15/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2950 CL-2950-SV 3.183% 03/15/35 B/E DTD 03/15/05 RD 11/30 PD 12/15/06				539.20
12/15/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2968 CL-2968-SC 11.330% 12/15/34 B/E DTD 04/01/05 CLB RD 11/30 PD 12/15/06				153.52
12/15/06		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3063 CL-3063-SY 3.410% 05/15/33 B/E DTD 11/15/05 CLB RD 11/30 PD 12/15/06				396.51
12/26/06		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-95 CL-95-WT INV FLTR 7.000% 11/25/35 B/E DTD 10/01/05 RD 11/30 PD 12/25/06				105.08
12/26/06		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-98 CL-98-SX 4.326% 12/25/34 B/E DTD 10/25/05 RD 11/30 PD 12/25/06				121.52
12/26/06		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC TR-2006-111 CL-111-TJ "INV" 8.000% 06/25/36 B/E DTD 10/25/06 RD 11/30 PD 12/25/06				284.50
Total Distributions							$1,600.33

[redacted]

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
FDIC Insured Bank Deposits							
12/18/06		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-1,151.14
12/19/06	12/18/06	MONEY MARKET INTEREST REINVESTED	RESERVE INS DEPOSITS INTEREST REINVESTED				-13.17
12/27/06		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-896.58
Total FDIC Insured Bank Deposits							-$2,060.89
Total Value of all Transactions							$0.00

The price and quantity displayed may have been rounded.

Bond Maturity Schedule

Bond Maturity	Market Value	Percentage of Bond Market Value
Over 10 years	103,657.07	100%
Total	$103,657.07	100%

[redacted]

Bonds that are in default are not included. Please refer to your Portfolio Holdings section.

Percentages of bond market values are rounded to the nearest whole percentage.

4300

Bond Quality

Bond Quality	Market Value	Percentage of Bond Market Value
AAA	49,572.71	48%
Not Rated	54,084.36	52%
Total	$103,657.07	100%

Bond quality ratings reflect Moody's or Standard and Poor's ratings. Bonds may be rated by other services. Bonds that are in default are not included. Please refer to your Portfolio Holdings section.

Percentages of bond market values are rounded to the nearest whole percentage.

FDIC Insured Bank Deposits

Date	Activity Type	Description	Amount	Balance
FDIC Insured Bank Deposits				
RESERVE INSURED DEPOSITS				
Account Number: ANB802394	Activity Ending: 12/29/06			
12/01/06	Opening Balance			
12/17/06	Deposit	INTEREST CREDITED	3,903.76	3,903.76
12/18/06	Deposit	DEPOSIT	13.17	3,916.93
12/18/06		APYE 4.63	1,151.14	5,068.07
12/18/06		SOVEREIGN BANK		5,068.07
12/18/06		A/O 12/18 $5,068.07		5,068.07
12/27/06	Deposit	DEPOSIT		5,068.07
12/29/06	Closing Balance		896.58	5,964.65
Total FDIC Insured Bank Deposits				$5,964.65
				$5,964.65

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits are insured by the Federal Deposit Insurance Corporation (FDIC).

4301

Retirement Account Transactions

	Tax Year - 2006		Tax Year - 2005	
	This Period	Year-to-Date	This Period	Year-to-Date
Contributions				
Participant	0.00	$12.68	0.00	5,000.00
Rollover	0.00	0.00	0.00	39,086.82
Total Contributions	**$0.00**	**$512.68**	**$0.00**	**$44,086.82**
Distributions				
Excess Contribution	0.00	-500.00	0.00	0.00
Excess Contribution Income	0.00	-12.68	0.00	0.00
Total Distributions	**$0.00**	**-$512.68**	**$0.00**	**$0.00**

NOTE: The amounts shown in the Year-to-Date columns are aggregate amounts of all transactions conducted for a particular transaction type. .

Schedule of Realized Gains and Losses Year-to-Date

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
01/09/06	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 669.10	31395PXU1	15,000.000	669.10	669.09	-0.01
01/09/06	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 196.56	31395RSP4	10,000.000	196.56	198.54	1.98
01/12/06	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 633.82	31394UGN6	20,000.000	633.82	651.74	17.92
01/23/06	09/15/05	RPP	GWMBS INC MTG PASS THRU CTF Original Cost Basis: 344.60	126694MR6	50,000.000	344.60	344.59	-0.01
01/23/06	04/28/05	RPP	CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 516.68	172973T90	20,000.000	516.68	543.87	27.19
01/31/06	04/28/05	RPP	2CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 0.00	172973T90	20,000.000	Please Provide	0.00	N/A
02/07/06	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 368.70	31395PXU1	15,000.000	368.70	368.69	-0.01
02/07/06	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 239.68	31395RSP4	10,000.000	239.68	242.09	2.41

4302

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
02/13/06	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 286.51	31394UGN6	20,000.000	286.51	294.61	8.10
02/15/06	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 257.71	31396EJF4	10,000.000	257.71	266.36	8.65
02/23/06	04/28/05	RPP	CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 598.94	172973T90	20,000.000	598.94	630.46	31.52
02/24/06	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 274.61	126694MR6	50,000.000	274.61	274.61	0.00
02/28/06	04/28/05	RPP	CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: -598.94	172973T90	20,000.000	-630.46	-661.98	-31.52
03/07/06	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 456.87	31395PXU1	15,000.000	456.87	456.87	0.00
03/07/06	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 158.06	31395RSP4	10,000.000	158.06	159.65	1.59
03/07/06	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 283.21	31396EJF4	10,000.000	283.21	292.72	9.51
03/13/06	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 115.27	31394UGN6	20,000.000	115.27	118.53	3.26
03/21/06	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 517.78	126694MR6	50,000.000	517.78	517.78	0.00
03/24/06	04/28/05	RPP	CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 921.47	172973T90	20,000.000	921.47	1,085.05	163.58
04/07/06	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 533.95	31395PXU1	15,000.000	533.95	533.95	0.00

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
04/07/06	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 369.27	31395RSP4	10,000.000	369.27	372.99	3.72
04/07/06	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 287.88	31396EJF4	10,000.000	287.88	297.54	9.66
04/12/06	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 380.87	31394UGN6	20,000.000	380.87	391.64	10.77
04/24/06	04/28/05	RPP	CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 181.25	172973T90	20,000.000	181.25	213.42	32.17
04/25/06	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 173.85	126694MR6	50,000.000	173.85	173.84	-0.01
04/28/06	09/15/05	RPP	4CWMBS INC MTG PASS THRU CTF Original Cost Basis: 0.00	126694MR6	50,000.000	Please Provide	0.00	N/A
04/28/06	04/28/05	RPP	4CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 0.00	172973T90	20,000.000	Please Provide	0.00	N/A
05/05/06	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 608.06	31395PXU1	15,000.000	608.06	608.06	0.00
05/05/06	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 302.48	31396EJF4	10,000.000	302.48	312.64	10.16
05/11/06	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 29.57	31394UER9	10,000.000	29.57	30.49	0.92
05/11/06	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 296.62	31394UGN6	20,000.000	296.62	305.00	8.38
05/24/06	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 187.04	126694MR6	50,000.000	187.04	187.03	-0.01
06/07/06	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 483.31	31395PXU1	15,000.000	483.31	483.30	-0.01
06/07/06	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 457.34	31396EJF4	10,000.000	457.34	472.70	15.36

4304

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
06/12/06	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 233.29	31394UER9	10,000.000	233.29	240.59	7.30
06/12/06	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 274.30	31394UGN6	20,000.000	274.30	282.05	7.75
06/22/06	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 16.27	126694MR6	50,000.000	16.27	16.26	-0.01
06/30/06	09/15/05	RPP	²CWMBS INC MTG PASS THRU CTF Original Cost Basis: 0.00	126694MR6	50,000.000	Please Provide	0.00	N/A
07/10/06	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 396.80	31395PXU1	15,000.000	396.80	396.80	0.00
07/10/06	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 446.86	31396EJF4	10,000.000	446.86	461.86	15.00
07/12/06	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 243.37	31394UER9	10,000.000	243.37	250.98	7.61
07/12/06	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 358.34	31394UGN6	20,000.000	358.34	368.47	10.13
07/21/06	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 440.74	126694MR6	50,000.000	440.74	440.73	-0.01
07/28/06	09/15/05	RPP	²CWMBS INC MTG PASS THRU CTF Original Cost Basis: 0.00	126694MR6	50,000.000	Please Provide	0.00	N/A
08/07/06	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 525.65	31395PXU1	15,000.000	525.65	525.64	-0.01
08/07/06	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 263.93	31396EJF4	10,000.000	263.93	272.79	8.86

4305

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
08/11/06	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 33.53	31394UER9	10,000.000	33.53	34.58	1.05
08/11/06	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 337.75	31394UGN6	20,000.000	337.75	347.29	9.54
08/24/06	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 472.44	126694MR6	50,000.000	472.44	472.44	0.00
08/30/06	09/15/05	RPP	*CWMBS INC MTG PASS THRU CTF Original Cost Basis: 0.00	126694MR6	50,000.000	Please Provide	0.00	N/A
09/08/06	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 488.66	3139SPXU1	15,000.000	488.66	488.66	0.00
09/08/06	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 314.25	31396EJF4	10,000.000	314.25	324.80	10.55
09/12/06	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 221.69	31394UER9	10,000.000	221.69	228.62	6.93
09/12/06	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 295.84	31394UGN6	20,000.000	295.84	304.20	8.36
10/06/06	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 385.97	31396EJF4	10,000.000	385.97	398.93	12.96
10/11/06	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 55.93	31394UER9	10,000.000	55.93	57.68	1.75
10/11/06	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 169.87	31394UGN6	20,000.000	169.87	174.67	4.80
11/07/06	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 578.23	31396EJF4	10,000.000	578.23	597.65	19.42
11/13/06	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 226.77	31394UGN6	20,000.000	226.77	233.18	6.41
11/13/06	10/13/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 325.30	31396LSC5	15,000.000	325.30	329.41	4.11

4306

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
11/27/06	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 244.68	31394UER9	10,000.000	244.68	252.34	7.66
12/07/06	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 383.62	31396EJF4	10,000.000	383.62	396.50	12.88
12/12/06	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 101.89	31394UER9	10,000.000	101.89	105.07	3.18
12/12/06	10/13/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 280.94	31396LSC5	15,000.000	280.94	284.49	3.55
Total Short Term						$18,617.51	$19,122.55	$505.04
Long Term								
01/09/06	11/16/04	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" Original Cost Basis: 190.26	31394K4U5	25,000.000	190.26	190.26	0.00
02/07/06	11/16/04	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" Original Cost Basis: 8.67	31394K4U5	25,000.000	8.67	8.67	0.00
03/07/06	11/16/04	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" Original Cost Basis: 135.35	31394K4U5	25,000.000	135.35	135.35	0.00
04/07/06	11/16/04	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" Original Cost Basis: 505.84	31394K4U5	25,000.000	505.84	505.84	0.00
05/05/06	11/16/04	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" Original Cost Basis: 117.26	31394K4U5	25,000.000	117.26	117.26	0.00
05/05/06	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 301.23	31395RSP4	10,000.000	301.23	304.27	3.04
05/24/06	04/28/05	RPP	CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 53.48	172973T90	20,000.000	53.48	62.97	9.49

4307

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
05/26/06	04/28/05	RPP	²CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 0.00	172973T90	20,000.000	Please Provide	0.00	N/A
06/07/06	11/16/04	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" Original Cost Basis: 224.69	31394K4U5	25,000.000	224.69	224.68	-0.01
06/07/06	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 279.85	31395RSP4	10,000.000	279.85	282.67	2.82
06/30/06	04/28/05	RPP	²CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 0.00	172973T90	20,000.000	Please Provide	0.00	N/A
07/10/06	11/16/04	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" Original Cost Basis: 376.68	31394K4U5	25,000.000	376.68	376.68	0.00
07/10/06	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 311.67	31395RSP4	10,000.000	311.67	314.81	3.14
07/25/06	04/28/05	RPP	CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 229.66	172973T90	20,000.000	229.66	270.42	40.76
07/28/06	04/28/05	RPP	²CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 0.00	172973T90	20,000.000	Please Provide	0.00	N/A
08/07/06	11/16/04	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" Original Cost Basis: 76.51	31394K4U5	25,000.000	76.51	76.50	-0.01
08/07/06	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 154.42	31395RSP4	10,000.000	154.42	155.98	1.56
08/24/06	04/28/05	RPP	²CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 0.00	172973T90	20,000.000	Please Provide	0.00	N/A
08/30/06	04/28/05	RPP	²CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 0.00	172973T90	20,000.000	Please Provide	0.00	N/A
09/08/06	11/16/04	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" Original Cost Basis: 172.33	31394K4U5	25,000.000	172.33	172.32	-0.01
09/08/06	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 147.53	31395RSP4	10,000.000	147.53	149.01	1.48

4308

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
09/22/06	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 763.25	126694MR6	50,000.000	763.25	763.25	0.00
09/22/06	04/28/05	RPP	CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 106.35	172973T90	20,000.000	106.35	125.23	18.88
09/28/06	09/15/05	RPP	2CWMBS INC MTG PASS THRU CTF Original Cost Basis: 0.00	126694MR6	50,000.000	Please Provide	0.00	N/A
10/06/06	11/16/04	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" Original Cost Basis: 121.17	31394K4U5	25,000.000	121.17	121.17	0.00
10/06/06	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 146.46	31395PXU1	15,000.000	146.46	146.45	-0.01
10/06/06	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 187.67	31395RSP4	10,000.000	187.67	189.56	1.89
10/23/06	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 639.34	126694MR6	50,000.000	639.34	639.33	-0.01
11/07/06	11/16/04	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" Original Cost Basis: 91.28	31394K4U5	25,000.000	91.28	91.27	-0.01
11/07/06	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 316.96	31395PXU1	15,000.000	316.96	316.96	0.00
11/07/06	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 200.29	31395RSP4	10,000.000	200.29	202.31	2.02
11/22/06	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 560.02	126694MR6	50,000.000	560.02	560.01	-0.01
11/28/06	09/15/05	RPP	2CWMBS INC MTG PASS THRU CTF Original Cost Basis: 0.00	126694MR6	50,000.000	Please Provide	0.00	N/A

4309

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
11/28/06	04/28/05	RPP	²CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 0.00	172973T90	20,000.000	Please Provide	0.00	N/A
12/07/06	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 539.20	31395PXU1	15,000.000	539.20	539.20	0.00
12/07/06	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 151.98	31395RSP4	10,000.000	151.98	153.51	1.53
12/12/06	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 118.18	31394UGN6	20,000.000	118.18	121.52	3.34
12/22/06	09/15/05	RPP	²CWMBS INC MTG PASS THRU CTF Original Cost Basis: 0.00	126694MR6	50,000.000	Please Provide	0.00	N/A
12/22/06	04/28/05	RPP	²CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 0.00	172973T90	20,000.000	Please Provide	0.00	N/A
12/27/06	09/15/05	RPP	²CWMBS INC MTG PASS THRU CTF Original Cost Basis: 0.00	126694MR6	50,000.000	Please Provide	0.00	N/A
Total Long Term						**$7,227.58**	**$7,317.46**	**$89.88**
Total Short Term and Long Term						**$25,845.09**	**$26,440.01**	**$594.92**

The information provided is meant as a general guide and should not be relied upon in the preparation of tax returns. No one associated with Pershing is authorized to give tax advice.

Cost basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. Original cost basis is provided under the security description.

²Realized gains and losses are not reported for securities for which cost basis or proceeds are not available.

Messages

IN ACCORDANCE WITH FEDERAL REGULATIONS, INDIVIDUAL RETIREMENT ACCOUNT (IRA) HOLDERS, WITH THE EXCEPTION OF ROTH IRAS, WHO ARE THE AGE OF 70 1/2 OR OLDER MUST TAKE THEIR REQUIRED MINIMUM DISTRIBUTION (RMD) FOR THE YEAR IN WHICH THEY REACH AGE 70 1/2 AND EACH CALENDAR YEAR THEREAFTER. RMD AMOUNTS MUST BE DISTRIBUTED BY DECEMBER 31 OF EACH YEAR, EXCEPT FOR IRA PARTICIPANTS WHO TURN 70 1/2 DURING THE CURRENT YEAR. THESE PARTICIPANTS MAY DELAY THEIR CURRENT-YEAR RMD UNTIL APRIL 1 OF THE FOLLOWING YEAR.

BEGINNING IN FEBRUARY, YOUR RMD INFORMATION WILL BE INCLUDED ON YOUR BROKERAGE ACCOUNT STATEMENT. SHOULD YOU NEED TO HAVE YOUR CURRENT-YEAR RMD AMOUNT CALCULATED PRIOR TO RECEIVING THIS INFORMATION, PLEASE CONTACT YOUR INVESTMENT PROFESSIONAL OR FINANCIAL ORGANIZATION FOR ASSISTANCE WITH YOUR CALCULATION REQUEST. WITH THE EXCEPTION OF INHERITED IRAS, WE WILL INFORM THE INTERNAL REVENUE SERVICE (IRS) OF ALL IRA PARTICIPANTS WHO ARE REQUIRED TO TAKE AN RMD FROM THEIR IRA.

4310

citigroup
SMITH BARNEY

Ref: 00008817 00055492

Individual Retirement Account
January 1 - January 31, 2006

443A055106792700000881 7 306031AA01 PCAFO026A
THERESE M HANSEN
CGM IRA CUSTODIAN
1820 9TH AVE W
SEATTLE WA 98119-2946

Account number

Your Broker/Dealer is
CITIGROUP GLOBAL MKTS INC.
Your Financial Advisor
DONNELLY/ADAMS/GRAY
TWO UNION SQUARE
601 UNION ST STE5200
SEATTLE WA 98101
206-626-4400
Email: william.l.donnelly@smithbarney.com
www.smithbarney.com

Citigroup Global Markets Inc., member NYSE, NASD, and other principal exchanges. Smith Barney is a division and service mark of Citigroup Global Markets Inc. and its affiliates and is used and registered throughout the world. CITIGROUP and the Umbrella Device are trademarks and service marks of Citicorp or its affiliates and are used and registered throughout the world. Citigroup Global Markets Inc. is a member of the Securities Investor Protection Corporation (SIPC).

Account value	Last period	This period	%
Cash balance	$ 51.85	$ 5.51	100.00
Money fund	3,447.17	0.00	
Common stocks & options	41,191.91	0.00	
Closed end funds	4,889.12	0.00	
Accrued interest on bonds/CDs	162.86	0.00	
Government bonds	12,758.75	0.00	
Total value	**$ 62,501.46**	**$ 5.51**	**100.00**
Total value (excluding accrued interest)	$ 62,338.60	$ 5.51	
Fair Market Value 12/31/05			$ 62,501.46

Cash, money fund, bank deposits	This period	This year
Opening balance	$ 3,498.82	
Securities bought and other subtractions	0.00	
Securities sold and other additions	691.64	
Withdrawals	(4,379.67)	(4,379.67)
Interest credited	170.00	
Dividends credited	19.28	
Money fund earnings credited	5.44	
Closing balance	$ 5.51	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for on our books and records, these funds may be used for our business purposes.

Earnings summary	This period	This year
Interest	$ 170.00	$ 170.00
Other dividends	19.73	19.73
Money fund earnings	5.44	5.44
Total	$ 195.17	$ 195.17

Portfolio summary	This period	This year
Beginning total value(excl. accr. int.)	$ 62,338.60	$ 62,338.60
Net security deposits/withdrawals	(60,395.46)	(60,395.46)
Net cash deposits/withdrawals	(4,379.67)	(4,379.67)
Beginning value net of deposits/withdrawals	(2,436.53)	(2,436.53)
Total value as of 1/31/2006(excl. accr. int.)	$ 5.51	$ 5.51
Total return	$ 2,442.04	$ 2,442.04

4311

Individual Retirement Account
January 1 - January 31, 2006

THERESE M HANSEN — Account number

Gain/loss summary

	This period	This year
Realized gain or (loss)	$ 247.12	$ 247.12 LT $ 0.00 ST
Unrealized gain or (loss) to date	0.00	

IRA ACTIVITY SUMMARY

We report to the IRS total contributions, rollovers, recharacterizations, and distributions. For your convenience, deductible and non-deductible contributions are reported separately, based on information you provided.

	2006	2005	Since inception*
Contributions			
Non-deductible	$ 0.00	$ 0.00	$ 2,000.00
Unspecified	0.00	0.00	6,000.00
Total contributions	$ 0.00	$ 0.00	$ 8,000.00
Rollovers and Transfers			
Rollover	0.00	0.00	88,467.82
Transfers out of your IRA (Does not include value of direct investments)	84,701.22	0.00	

*Note: This column is provided for informational purposes only. It reflects activity from your account opening date or 01/01/94, whichever is later, unless you provide details of prior activity. The column does not include incoming or outgoing transfers of cash and securities. Please contact your Financial Advisor if you wish to adjust this column. We are not responsible for any information you provide.

PRIMARY BENEFICIARIES

Please contact your Financial Advisor for the documents necessary to change or update your beneficiary information. CGMI is not the custodian of any annuities that may have been purchased through this account. Please contact the annuity company for your annuity beneficiary designation.

JAMES MCDERMOTT

4312

TRANSACTION DETAILS

All transactions appearing are listed on trade-date.

Investment activity

Date	Activity	Description	Quantity	Price	Amount
01/06/06	Sold	COSTCO WHOLESALE CORP NEW VSP 06/13/03 PRICE 34.948 We acted as your agent in this transaction.	-6	$ 49.86	$ 299.15
01/06/06	Sold	WILD OATS MKTS INC VSP 11/11/04 PRICE 7.338 We acted as your agent in this transaction.	-32	12.266	392.49
Total securities bought and other subtractions					$ 0.00
Total securities sold and other additions					$ 691.64

Other security activity

Date	Activity	Description	Quantity	Value
01/17/06	Delivered	GLOBALSANTAFE CORP ACCT-TRANSFRD-01 17 06 PERSHING LLC	-29	$ -1,670.40
01/17/06	Delivered	FLEXTRONICS INTL LTD USD ACCT-TRANSFRD-01 17 06 PERSHING LLC	-95	-1,027.90
01/17/06	Delivered	AMGEN INC ACCT-TRANSFRD-01 17 06 PERSHING LLC	-20	-1,564.40
01/17/06	Delivered	APPLIED MATERIALS INC DELAWARE ACCT-TRANSFRD-01 17 06 PERSHING LLC	-50	-968.00
01/17/06	Delivered	BEST BUY INC ACCT-TRANSFRD-01 17 06 PERSHING LLC	-21	-1,006.63
01/17/06	Delivered	CANON INC ADR ACCT-TRANSFRD-01 17 06 PERSHING LLC	-25	-1,551.50
01/17/06	Delivered	CISCO SYS INC ACCT-TRANSFRD-01 17 06 PERSHING LLC	-125	-2,335.00
01/17/06	Delivered	COMMERCE BANCORP INC N J ACCT-TRANSFRD-01 17 06 PERSHING LLC	-30	-993.60
01/17/06	Delivered	COOPER CAMERON CORP ACCT-TRANSFRD-01 17 06 PERSHING LLC	-60	-2,672.80

4313

Other security activity *continued*

Date	Activity	Description	Quantity	Value
01/17/06	Delivered	COSTCO WHOLESALE CORP NEW ACCT-TRANSFRD-01 17 06 PERSHING LLC	-24	$ -1,214.40
01/17/06	Delivered	D R HORTON INC ACCT-TRANSFRD-01 17 06 PERSHING LLC	-49	-1,939.42
01/17/06	Delivered	DELL INC ACCT-TRANSFRD-01 17 06 PERSHING LLC	-45	-1,387.10
01/17/06	Delivered	ELECTRONIC ARTS ACCT-TRANSFRD-01 17 06 PERSHING LLC	-30	-1,668.00
01/17/06	Delivered	FEDERAL NATL MTG ASSN GLOBAL DEBS-BK/ENTRY DTD 07/22/2002 DUE 07/15/2007 RATE 4.250 ACCT-TRANSFRD-01 17 06 PERSHING LLC	-8,000	-7,947.52
01/17/06	Delivered	FEDERAL NATL MTG ASSN GLOBAL DEBS-BK/ENTRY DTD 11/21/2003 DUE 12/15/2008 RATE 3.375 ACCT-TRANSFRD-01 17 06 PERSHING LLC	-5,000	-4,834.40
01/17/06	Delivered	GENENTECH INC ACCT-TRANSFRD-01 17 06 PERSHING LLC	-22	-1,965.92
01/17/06	Delivered	GILEAD SCIENCES INC ACCT-TRANSFRD-01 17 06 PERSHING LLC	-28	-1,653.68
01/17/06	Delivered	HARRIS & HARRIS GROUP INC ACCT-TRANSFRD-01 17 06 PERSHING LLC	-22	-341.66
01/17/06	Delivered	INTEL CORP ACCT-TRANSFRD-01 17 06 PERSHING LLC	-55	-1,403.60
01/17/06	Delivered	ISHARES MSCI EMERGING MKTS INDEX FD ACCT-TRANSFRD-01 17 06 PERSHING LLC	-24	-2,227.20
01/17/06	Delivered	ISHARES TR MSCI EAFE INDEX FD ACCT-TRANSFRD-01 17 06 PERSHING LLC	-24	-1,473.60

4314

Other security activity *continued*

Date	Activity	Description	Quantity	Value
01/17/06	Delivered	LEHMAN BROTHERS HOLDINGS INC ACCT-TRANSFRD-01 17 06 PERSHING LLC	-18	$ -2,426.58
01/17/06	Delivered	LOWES COMPANIES INC ACCT-TRANSFRD-01 17 06 PERSHING LLC	-22	-1,437.26
01/17/06	Delivered	MEDTRONIC INC ACCT-TRANSFRD-01 17 06 PERSHING LLC	-25	-1,470.00
01/17/06	Delivered	POWERSHARES EXCHANGE-TRADED FD LUX NANOTECH PORTFOLIO ACCT-TRANSFRD-01 17 06 PERSHING LLC	-82	-1,464.52
01/17/06	Delivered	STARBUCKS CORP ACCT-TRANSFRD-01 17 06 PERSHING LLC	-80	-2,491.20
01/17/06	Delivered	SYMANTEC CORP ACCT-TRANSFRD-01 17 06 PERSHING LLC	-84	-1,626.24
01/17/06	Delivered	TEVA PHARMACEUTICAL INDS LTD ADR ACCT-TRANSFRD-01 17 06 PERSHING LLC	-50	-2,138.00
01/17/06	Delivered	WELLPOINT INC ACCT-TRANSFRD-01 17 06 PERSHING LLC	-28	-2,134.44
01/17/06	Delivered	WILD OATS MKTS INC ACCT-TRANSFRD-01 17 06 PERSHING LLC	-78	-934.44
01/17/06	Delivered	YAHOO INC ACCT-TRANSFRD-01 17 06 PERSHING LLC	-55	-2,206.05
Net value of securities deposited/(withdrawn) + capital contributions				**$ -60,396.48**

Withdrawals

Date	Description	Reference no.	Amount
01/11/06	INVESTMENT AND MGMT SERVICES FROM 01/01/06 TO 01/08/06		23.91

Date	Description	Reference no	Amount
01/24/06	CREDIT CASH BALANCE ACCT-TRANSFRD-01 24 06 PERSHING LLC		3.19

continued

Withdrawals

Date	Description	Reference no	Amount
01/11/06	2006 TERMINATION FEE		50.00
01/17/06	CREDIT CASH BALANCE ACCT-TRANSFRD-01 17 06 PERSHING LLC		4,119.78
01/19/06	CREDIT CASH BALANCE ACCT-TRANSFRD-01 19 06 PERSHING LLC		178.70
01/26/06	CREDIT CASH BALANCE ACCT-TRANSFRD-01 26 06 PERSHING LLC		1.68
01/31/06	CREDIT CASH BALANCE ACCT-TRANSFRD-01 31 06 PERSHING LLC		2.41
	Total withdrawals		$ 4,379.57

Money fund activity

Opening money fund balance $ 3,447.17

Date	Activity	Description	Amount
01/03/06	Autoinvest	DREYFUS LIQUID ASSETS INC CLASS 2	51.65
01/17/06	Redemption	DREYFUS LIQUID ASSETS INC CLASS 2	-3,325.52

All transactions are traded at $1.00 per share.

Date	Activity	Description	Amount
01/18/06	Redemption	DREYFUS LIQUID ASSETS INC CLASS 2	-.45
01/19/06	Redemption	DREYFUS LIQUID ASSETS INC CLASS 2	-172.85
		Closing balance	$ 0.00

EARNINGS DETAILS

The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

Interest credited

Date	Description	Comment	Taxable	Non-taxable	Amount
01/17/06	FEDERAL NATL MTG ASSN GLOBAL DEBS-BK/ENTRY DTD 07/22/2002 DUE 07/15/2007 RATE 4.250	REG INT ON 8000 BND PAYABLE 01/15/06	$ 170.00		$ 170.00
Total interest earned			$ 170.00	$ 0.00	$ 170.00

Other dividends

Date	Description	Comment	Taxable	Non-taxable	Amount
01/13/06	GLOBALSANTAFE CORP	CASH DIV ON 29.0000 SHS TAX HELD BY FGN GOVTS .00 X/D 12/28/05	$ 6.53		$ 6.53
01/18/06	POWERSHARES EXCHANGE-TRADED FD LUX NANOTECH PORTFOLIO	REV DIV ON 82.0000 SHS X/D 12/16/05 FOR TAX PURPOSES, THIS TRANSACTION IS REPORTABLE IN 2005	-1.32		-1.32

4316

Other dividends *continued*

Date	Description	Comment	Taxable	Non-taxable	Amount
01/18/06	POWERSHARES EXCHANGE-TRADED FD LUX NANOTECH PORTFOLIO	CASH DIV ON 82.0000 SHS RECORD 12/20/05 PAY 12/30/05 X/D 12/16/05 FOR TAX PURPOSES, THIS TRANS- ACTION IS REPORTABLE IN 2005	$ .87		$ .87
01/20/06	COMMERCE BANCORP INC N J	CASH DIV ON 30.0000 SHS X/D 01/04/06	3.60		3.60
01/24/06	BEST BUY INC	CASH DIV ON 21.0000 SHS X/D 12/29/05	1.68		1.68
01/27/06	MEDTRONIC INC	CASH DIV ON 25.0000 SHS X/D 01/04/06	2.41		2.41
01/31/06	POWERSHARES EXCHANGE-TRADED FD LUX NANOTECH PORTFOLIO	CASH DIV ON 82.0000 SHS X/D 12/30/05	5.51		5.51
Total other dividends credited to account					$ 19.28
Total other dividends earned			$ 19.73	$ 0.00	$ 19.73
Total other dividends earned - 2005			$ -.45	$ 0.00	$ -.45

Money fund earnings

Date	Description	Comment	Taxable	Non-taxable	Amount
01/19/06	DREYFUS LIQUID ASSETS INC CLASS 2	ACCRUED DIVIDEND	$ 5.44		$ 5.44
Total earnings from money fund			$ 5.44	$ 0.00	$ 5.44

GAIN/LOSS DETAILS

Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Trades are allocated using the FIFO (first in-first-out) method. Day traders should therefore not rely on this section for day trading results. Your reinvestment activity has been summarized. Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information. Detailed information will be available at year-end in your 1099 Year-end summary.

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
COSTCO WHOLESALE CORP NEW VSP 08/13/03 PRICE 34.948	08/13/03	01/06/06 Sold	6	$ 34.948	$ 49.88	$ 209.70	$ 299.15	$ 89.45 LT

Individual Retirement Account
January 1 - January 31, 2006

THERESE M HANSEN — Account number

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
WILD OATS MKTS INC VSP 11/11/04 PRICE 7.338	11/11/04	01/06/06 Sold	32	$ 7.338	$ 12.268	$ 234.82	$ 392.49	$ 157.67 LT
Total Long Term this period								$ 247.12
Total realized gain or (loss) - this period						$ 444.52	$ 691.64	$ 247.12
Total Long Term - year-to-date								$ 247.12
Total Short Term - year-to-date								$ 0.00
Total realized gain or (loss) - year-to-date						$ 444.52	$ 691.64	$ 247.12

4318

citigroup
SMITH BARNEY

Ref: 00015830 00095913

Individual Retirement Account
May 1 - November 30, 2006

K06000015830 306334AJ01 PCAFO021A
THERESE M HANSEN
CGM IRA CUSTODIAN
1820 9TH AVE W
SEATTLE WA 98119-2946

Account number

Your Broker/Dealer is
CITIGROUP GLOBAL MKTS INC.
Your Financial Advisor
THE DONNELLY-ADAMS GROUP
TWO UNION SQUARE
601 UNION ST STE5200
SEATTLE WA 98101
206 343 2933
Email: william.j.donnelly@smithbarney.com
www.smithbarney.com

Branch Phone: 800 428 7837

Citigroup Global Markets Inc., member NYSE, NASD, and other principal exchanges. Smith Barney is a division and service mark of Citigroup Global Markets Inc. and its affiliates and is used and registered throughout the world. CITIGROUP and the Umbrella Device are trademarks and service marks of Citigroup or its affiliates and are used and registered throughout the world. Citigroup Global Markets Inc. is a member of the Securities Investor Protection Corporation (SIPC).

Account value

	Last period	This period	%
Cash balance	$ 0.00	$ 8.61	100.00
Total value	$ 0.00	$ 8.61	100.00
Fair Market Value 12/31/05			$ 62,501.46

Earnings summary

	This period	This year
Interest	$ 0.00	$ 170.00
Other dividends	0.00	33.92
Money fund earnings	0.00	5.44
Total	$ 0.00	$ 209.36

Additional summary information

	This period	This year
FRGN tax withheld	$ 0.00	$ .89

Cash, money fund, bank deposits

	This period	This year
Opening balance	$ 0.00	
Deposits	8.61	8.61
Withdrawals	0.00	(4,398.38)
Closing balance	$ 8.61	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for on our books and records, these funds may be used for our business purposes.

Portfolio summary

	This period	This year
Beginning total value (excl. accr. int.)	$ 0.00	$ 62,338.60
Net security deposits/withdrawals	0.00	(60,395.48)
Net cash deposits/withdrawals	8.61	(4,389.77)
Beginning value net of deposits/withdrawals	8.61	(2,446.63)
Total value as of 11/30/2006 (excl. accr. int.)	$ 8.61	$ 8.61
Total return	$ 0.00	$ 2,455.24

4319

Individual Retirement Account
May 1 - November 30, 2006

THERESE M HANSEN — Account number

Gain/loss summary

	This period	This year
Realized gain or (loss)	$ 0.00	$ 247.12 LT
		$ 0.00 ST
Unrealized gain or (loss) to date	0.00	

IRA ACTIVITY SUMMARY

We report to the IRS total contributions, rollovers, recharacterizations, and distributions. For your convenience, deductible and non-deductible contributions are shown on this statement separately, based on information you provided.

	2006	2005	Since Inception*
Contributions			
Non-deductible	$ 0.00	$ 0.00	$ 2,000.00
Unspecified	0.00	0.00	6,000.00
Total contributions	$ 0.00	$ 0.00	$ 8,000.00
Rollovers and Transfers			
Rollover	0.00	0.00	86,467.62
Transfers out of your IRA (Does not include value of direct investments)	64,719.93	0.00	

**Note: This column is provided for informational purposes only. The column does not include incoming or outgoing transfers of cash and securities. Please contact your Financial Advisor if you wish to adjust this column. We are not responsible for any information you provide.*

BENEFICIARIES

Please contact your Financial Advisor for the documents necessary to change or update your beneficiary information. CGMI is not the custodian of any annuities that may have been purchased through this account. Please contact the annuity company for your annuity beneficiary designation.

Primary Beneficiary	Contingent Beneficiary
JAMES MCDERMOTT	DENNIS HANSEN LA VONNE HANSEN

TRANSACTION DETAILS

All transactions appearing are based on trade-date.

Deposits

Date	Description	Amount
11/13/06	2005 IRS REFUND OF UNDISTRIBUTED CAPITAL GAINS-HARRIS & HARRIS GROUP INC	5.61

Date	Description	Amount

4320

December 31, 2006, year-to-date Page 1 of 14

PORTFOLIO SUMMARY

THERESE M HANSEN
1820 9TH AVENUE W
SEATTLE WA 98119-2946

800-662-2739 - Client Services
(800) 992-8327 - Brokerage Services
www.vanguard.com
(800) 662-6273 - Tele-Account

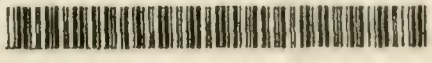
TOTAL OF ALL ACCOUNTS				Value on 12/31/2005	Value on 12/31/2006
				$ 15,274.96	$ 88,945.93
RETIREMENT PLANS		CUSIP	Ticker	Value on 12/31/2005	Value on 12/31/2006
Short-term investments				$ 1,025.98	$ 6,012.24
Federal Money Mkt Fund (IRA)		922906-30-0	VMFXX	$ 1,025.98	$ 6,012.24
Bonds				$ .00	$ 12,804.42
VBS bond holdings (IRA)	A4V-900933			$ .00	$ 12,804.42
Stocks				$ 14,248.98	$ 63,910.19
FTSE Social Index Inv (IRA)		921910-30-3	VFTSX	$ 11,123.39	$ 18,004.46
500 Index Fund Inv (IRA)		922908-10-8	VFINX	3,125.59	3,603.63
VBS stock holdings (IRA)	A4V-900933			.00	42,302.10
Other				$ .00	$ 6,219.08
VBS held mutual funds (IRA)	A4V-900933			$ .00	$ 6,219.08
Total retirement plans				$ 15,274.96	$ 88,945.93

IRA income year-to-date	$ 1,110.14
2006 contributions to IRA	$ 5,000.00
2006 distributions from IRA	0.00

Portfolio allocation	
Short-term investments	6.7%
Bonds	14.4
Stocks	71.9
Other	7.0
	100.0%

Vanguard Brokerage Services (VBS), a division of Vanguard Marketing Corporation (VMC), member SIPC, is the introducing firm for VBS accounts. Assets listed in this statement are held by separate entities. VBS holdings are held in custody by VBS's carrying firm, Pershing LLC, a BNY Securities Group member and a subsidiary of The Bank of New York Company, Inc. ("Pershing"). Vanguard Funds are not protected by SIPC and are held by Vanguard, which provides Vanguard fund data. Summary data is provided solely as a service and is for informational purposes only. Pershing is not liable for Vanguard fund data or valuations. Vanguard funds are offered by prospectus only and are distributed by VMC. Portfolio allocation consists of Vanguard funds and VBS holdings. For a complete list of your VBS holdings, refer to the "Holdings" section of the statement pages titled "Vanguard Brokerage Services".

018369947 4 1- 14
587499 4059 999A 03 10X



THE Vanguard GROUP

TRANSACTION DETAIL

THERESE M HANSEN

800-662-2739 - Client Services

TRANSACTION ACTIVITY

Vanguard is required to report the value of your IRA as of 12/31/2006 to the IRS

Vanguard 500 Index Fund Investor Shares (IRA)

Fund / Account no.

Trade date	Transaction description	Dollar amount	Share price	Shares transacted	Total shares owned
	Balance on 12/31/2005	**$ 3,125.59**	**$ 114.92**		**27.198**
3/17	Income dividend .49	10.83	120.35	.090	27.288
	Acct maint fee	-2.50			
6/23	Income dividend .48	10.60	114.60	.092	27.380
	Acct maint fee	-2.50			
9/22	Income dividend .52	11.74	121.08	.097	27.477
	Acct maint fee	-2.50			
12/28	Income dividend .65	15.36	130.43	.118	27.595
	Acct maint fee	-2.50			
	Balance on 12/31/2006	**$ 3,603.63**	**$ 130.59**		**27.595**

Year-to-date Income dividends	Short-term gains / Long-term gains	Contributions & Distributions	Year and type	Distribution payable date
$ 58.53	$ 0.00	$ 0.00	2006 contributions	N/A
	0.00	0.00	2006 distributions	

Vanguard FTSE Social Index Fund Investor Shares (IRA)

Fund / Account no. 0213 / 09964672575

Trade date	Transaction description	Dollar amount	Share price	Shares transacted	Total shares owned
	Balance on 12/31/2005	**$ 11,123.39**	**$ 8.25**		**1,348.290**
1/03	2006 employee contribution	416.74	8.37	49.790	1,398.080
2/03	2006 employee contribution	416.66	8.35	49.899	1,447.979
3/03	2006 employee contribution	416.66	8.51	48.961	1,496.940
4/04	2006 employee contribution	416.66	8.59	48.505	1,545.445
5/04	2006 employee contribution	416.66	8.58	48.562	1,594.007
6/02	2006 employee contribution	416.66	8.43	49.426	1,643.433
7/03	2006 employee contribution	416.66	8.38	49.721	1,693.154
8/04	2006 employee contribution	416.66	8.38	49.721	1,742.875
9/01	2006 employee contribution	416.66	8.55	48.732	1,791.607
10/04	2006 employee contribution	416.66	8.91	46.763	1,838.370
11/03	2006 employee contribution	416.66	8.96	46.502	1,884.872
12/04	2006 employee contribution	416.66	9.27	44.947	1,929.819
12/19	Income dividend .12	231.58	9.24	25.063	1,954.882
	Balance on 12/31/2006	**$ 18,004.46**	**$ 9.21**		**1,954.882**

Year-to-date Income dividends	Short-term gains / Long-term gains	Contributions & Distributions	Year and type	Distribution payable date
$ 231.58	$ 0.00	$ 5,000.00	2006 contributions	N/A
	0.00	0.00	2006 distributions	

TRANSACTION DETAIL

THERESE M HANSEN

TRANSACTION ACTIVITY (CONTINUED) Vanguard is required to report the value of your IRA as of 12/31/2006 to the IRS

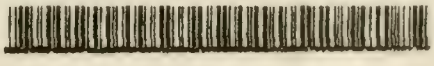
Vanguard Federal Money Market Fund (IRA) Fund / Account no.

Trade date	Transaction description	Dollar amount	Share price	Shares transacted	Total shares owned
	Balance on 12/31/2005	**$ 1,025.98**	**$ 1.00**		**1,025.980**
1/17	Sweep from brokerage	4,119.78	1.00	4,119.780	5,145.760
1/19	Sweep from brokerage	178.70	1.00	178.700	5,324.460
1/24	Sweep from brokerage	3.19	1.00	3.190	5,327.650
1/26	Sweep from brokerage	1.68	1.00	1.680	5,329.330
1/31	Sweep from brokerage	2.41	1.00	2.410	5,331.740
1/31	Income dividend	10.65	1.00	10.650	5,342.390
2/02	Sweep from brokerage	5.51	1.00	5.510	5,347.900
2/28	Income dividend	17.21	1.00	17.210	5,365.110
3/30	Sweep from brokerage	3.03	1.00	3.030	5,368.140
3/31	Income dividend	19.66	1.00	19.660	5,387.800
4/11	Sweep from brokerage	13.20	1.00	13.200	5,401.000
4/13	Sweep from brokerage	6.53	1.00	6.530	5,407.530
4/28	Income dividend	19.74	1.00	19.740	5,427.270
5/31	Income dividend	21.39	1.00	21.390	5,448.660
6/07	Sweep from brokerage	3.12	1.00	3.120	5,451.780
6/15	Sweep from brokerage	84.38	1.00	84.380	5,536.160
6/30	Income dividend	21.46	1.00	21.460	5,557.620
7/14	Sweep from brokerage	6.53	1.00	6.530	5,564.150
7/17	Sweep from brokerage	170.00	1.00	170.000	5,734.150
7/31	Income dividend	23.60	1.00	23.600	5,757.750
8/31	Sweep from brokerage	24.37	1.00	24.370	5,782.120
8/31	Income dividend	24.56	1.00	24.560	5,806.680
9/01	Sweep from brokerage	9.81	1.00	9.810	5,816.490
9/08	Sweep from brokerage	3.22	1.00	3.220	5,819.710
9/18	Sweep to brokerage	-0.60	1.00	-.600	5,819.110
9/29	Income dividend	24.15	1.00	24.150	5,843.260
10/13	Sweep from brokerage	6.53	1.00	6.530	5,849.790
10/31	Income dividend	24.97	1.00	24.970	5,874.760
11/30	Income dividend	24.29	1.00	24.290	5,899.050
12/06	Sweep from brokerage	3.28	1.00	3.280	5,902.330
12/15	Sweep from brokerage	84.38	1.00	84.380	5,986.710
12/29	Income dividend	25.53	1.00	25.530	6,012.240
	Balance on 12/31/2006	**$ 6,012.24**	**$ 1.00**		**6,012.240**

Year-to-date income dividends	Contributions & Distributions	Year and type	Annualized yield	Compound annual yield	Distribution payable date
$ 257.21	$ 0.00	2006 contributions	5.06%	5.18%	1/02/2007
	0.00	2006 distributions			

Your VBS transactions are swept to and from this Portfolio. VBS assets are held in custody by Pershing. Vanguard Funds are offered by prospectus only and distributed by Vanguard Marketing Corporation, a wholly owned subsidiary of The Vanguard Group, Inc.

018369947 4 3 - 14
587501 4059 999A D3 10X



Vanguard Brokerage Services(R)
A Division of Vanguard Marketing Corporation

IRA FBO THERESE M HANSEN
VFTC AS CUSTODIAN
1820 9TH AVENUE W
SEATTLE WA 98119-2946

(800) 992-8327 - Brokerage Services
Account number:

HOLDINGS SUMMARY	Value on 11/30/2006	% Holdings	Value on 12/31/2006	% Holdings
Stocks	$ 42,554.26	69.2	$ 42,302.10	69.0
Bonds	12,814.44	20.8	12,804.42	20.9
FundAccess(R)/other funds	6,159.94	10.0	6,219.08	10.1
Total account value	$ 61,528.64	100.0	$ 61,325.60	100.0

A CAUTION ABOUT WHEN TO FILE YOUR TAX RETURNS
If you invest in real estate investment trusts, registered investment companies, unit investment trusts, and certain foreign issues, you could receive a revised Vanguard(R) Brokerage Tax Information Statement (Form 1099)--even after you've filed your tax return.
IRS rules mandate a January 31 deadline for financial institutions to send the 1099 forms. But companies in which you have invested may provide their tax information to financial institutions after this date, or companies could change the tax information that they previously provided. Because of this, you could receive one--or more--revised Form 1099s.
You may receive revised forms despite the best efforts of Pershing LLC, our clearing agent, to collect the relevant information. Check with your tax advisor to decide when to file your return. You might want to wait until closer to the tax deadline before filing, in case you receive a revised form. If you have already submitted your tax return and receive a revised Form 1099, you might have to file an amended return.

VALUE SUMMARY	Value on 11/30/2006	Value on 12/31/2006
Long market value	$ 61,528.64	$ 61,325.60

INCOME SUMMARY	This period	Year-to-date
Dividends	$ 52.90	$ 224.06
Interest	84.38	338.76
Total income	$ 137.28	$ 562.82

HOLDINGS (This section reflects settled trades only)

Stocks	Quantity / Account type	Price per share	Current value	Estimated annual income	Estimated yield	Dividend status
GLOBALSANTAFE CORPORATION SHS ISINKYG3930E1017 SecurityID: GSF	29.00000 Cash	$ 58.780000	$ 1,704.62	$ 26.10	1.5%	Cash

Vanguard Brokerage Services (VBS), member SIPC, is the introducing firm for VBS accounts. Your assets are held by VBS's clearing firm, Pershing LLC, a BNY Securities Group member and a subsidiary of The Bank of New York Company, Inc. ("Pershing"). Your VBS transactions settle through your Vanguard money market account, held separately by Vanguard or in accordance with the settlement procedures defined by your employer sponsored retirement plan. Maintaining cash reserved put options requires that a segregated Vanguard money market fund be carried by our clearing firm. Prices listed reflect quotations on the statement date. Current prices and estimated annual income and yield are listed to help you track your account and are not suitable for tax purposes.

018369947 4 4 - 14
587502 4059 999A D3 10X

Vanguard Brokerage Services(R)
A Division of Vanguard Marketing Corporation

IRA FBO THERESE M HANSEN
VFTC AS CUSTODIAN
1820 9TH AVENUE W
SEATTLE WA 98119-2946

(800) 992-8327 - Brokerage Services
Account number:

Stocks (cont.)	Quantity / Account type	Price per share	Current value	Estimated annual income	Estimated yield	Dividend status
FLEXTRONICS INTL LTD ORD SHS ISIN#SG9999000020 SecurityID: FLEX	95.00000 Cash	11.480000	1,090.60	.00	0.0	Cash
AMGEN INC SecurityID: AMGN	20.00000 Cash	68.310000	1,366.20	.00	0.0	Reinvest
APPLIED MATERIALS INC SecurityID: AMAT	50.51600 Cash	18.450000	932.02	10.10	1.1	Reinvest
BEST BUY COMPANY INC SecurityID: BBY	21.10170 Cash	49.190000	1,037.99	8.44	0.8	Reinvest
CAMERON INTL CORP COM SecurityID: CAM	60.00000 Cash	53.050000	3,183.00	.00	0.0	Cash
CANON INC ADR REPSTG 5 SHS SecurityID: CAJ	37.00000 Cash	56.590000	2,093.83	24.12	1.2	Cash
CISCO SYSTEMS INC SecurityID: CSCO	125.00000 Cash	27.330000	3,416.25	.00	0.0	Reinvest
COMMERCE BANCORP INC N J SecurityID: CBH	30.30770 Cash	35.270000	1,068.95	15.76	1.5	Reinvest
COSTCO WHSL CORP NEW COM SecurityID: COST	24.23160 Cash	52.870000	1,281.12	12.60	1.0	Reinvest
D R HORTON INC SecurityID: DHI	49.95890 Cash	26.490000	1,323.41	29.97	2.3	Reinvest
DELL INC COM SecurityID: DELL	45.00000 Cash	25.090000	1,129.05	.00	0.0	Reinvest
ELECTRONIC ARTS SecurityID: ERTS	30.00000 Cash	50.360000	1,510.80	.00	0.0	Reinvest
GENENTECH INC COM NEW SecurityID: DNA	22.00000 Cash	81.130000	1,784.86	.00	0.0	Reinvest

018369947 4 5 - 14
587503 4059 999A D3 10X

Vanguard Brokerage Services®
A Division of Vanguard Marketing Corporation

IRA FBO THERESE M HANSEN
VFTC AS CUSTODIAN
1820 9TH AVENUE W
SEATTLE WA 98119-2946

(800) 992-8327 - Brokerage Services
Account number:

Stocks (cont.)	Quantity / Account type	Price per share	Current value	Estimated annual income	Estimated yield	Dividend status
GILEAD SCIENCES INC SecurityID: GILD	28.00000 Cash	64.930000	1,818.04	.00	0.0	Reinvest
INTEL CORP COM SecurityID: INTC	56.13160 Cash	20.250000	1,136.66	22.45	2.0	Reinvest
LEHMAN BROTHERS HOLDINGS INC COMMON SecurityID: LEH	36.24770 Cash	78.120000	2,831.67	17.39	0.6	Reinvest
LOWES COS INC SecurityID: LOW	44.23420 Cash	31.150000	1,377.90	8.84	0.6	Reinvest
MEDTRONIC INC SecurityID: MDT	25.16290 Cash	53.510000	1,346.47	11.07	0.8	Reinvest
STARBUCKS CORP COM SecurityID: SBUX	80.00000 Cash	35.420000	2,833.60	.00	0.0	Reinvest
SYMANTEC CORP SecurityID: SYMC	84.00000 Cash	20.850000	1,751.40	.00	0.0	Reinvest
TEVA PHARMACEUTICAL INDUSTRIES LTD ADR ISIN#US8816242098 SecurityID: TEVA	50.00000 Cash	31.080000	1,554.00	12.65	0.8	Cash
WELLPOINT INC SecurityID: WLP	28.00000 Cash	78.690000	2,203.32	.00	0.0	Reinvest
WILD OATS MKTS INC COM SecurityID: OATS	78.00000 Cash	14.380000	1,121.64	.00	0.0	Cash
YAHOO INC COM SecurityID: YHOO	55.00000 Cash	25.540000	1,404.70	.00	0.0	Reinvest
Total stocks			$ 42,302.10	$ 199.49	0.5%	

Vanguard Brokerage Services®
A Division of Vanguard Marketing Corporation

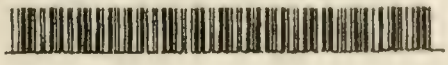
IRA FBO THERESE M HANSEN
VFTC AS CUSTODIAN
1820 9TH AVENUE W
SEATTLE WA 98119-2946

(800) 992-8327 - Brokerage Services
Account number:

Bonds	Quantity / Account type	Price per share	Current value	Estimated annual income	Estimated yield
FEDERAL NATL MTG ASSN DEB 4.250% 07/15/07 B/EDTD 07/22/02 1ST CPN DTE 01/15/03CPN PMT SEMI ANNUAL Moody Rating AAA S &P Rating AAA CUSIP: 31359MNP4 ACCRUED INT 156.78	8,000.00000 Cash	$ 99.469000	$ 7,957.52	$ 340.00	4.3%
FEDERAL NATL MTG NOTES 3.375% 12/15/08 B/EDTD 11/21/03 1ST CPN DTE 12/15/03CPN PMT SEMI ANNUAL Moody Rating AAA S &P Rating AAA CUSIP: 31359MTR4 ACCRUED INT 7.50	5,000.00000 Cash	96.938000	4,846.90	168.75	3.5
$ 164.28 is the total estimated accrued interest (INT) as detailed above.					
Total bonds			$ 12,804.42	$ 508.75	

FundAccess(R)/other funds	Quantity / Account type	Price per share	Current value	Estimated annual income	Estimated yield	Dividend status
HARRIS & HARRIS GROUP INC COM SecurityID: TINY	22.00000 Cash	$ 12.090000	$ 265.98	$ .00	0.0%	Reinvest
ISHARES TR MSCI EMERGING MKTS INDEX FD SecurityID: EEM	24.33730 Cash	114.310000	2,782.00	.00	0.0	Reinvest
ISHARES TR MSCI EAFE INDEX FD SecurityID: EFA	24.00000 Cash	73.260000	1,758.24	.00	0.0	Reinvest
POWERSHARES EXCHANGE TRADED FD TR LUX NANOTECH PORTFOLIO SecurityID: PXN	82.00000 Cash	17.230000	1,412.86	.00	0.0	Reinvest
Total FundAccess/other funds			$ 6,219.08	$ .00	0.0%	

018369947 4 7 - 14
587505 4059 999A D3 10X



Vanguard Brokerage Services(R)
A Division of Vanguard Marketing Corporation

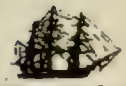
IRA FBO THERESE M HANSEN
VFTC AS CUSTODIAN
1820 9TH AVENUE W
SEATTLE WA 98119-2946

(800) 992-8327 - Brokerage Services
Account number:

BROKERAGE ACTIVITY (This section reflects settled trades only)

All transactions sweep to and from your Vanguard Federal Money Market Fund, account number 99646725 75 . Money market assets are not held by Pershing.

Settle date	Trade date	Transaction	Quantity/ Account type	Description	Price/ Commission	Amount
12/01	11/30	REINVEST CASH INCOME	.05880 Cash	COSTCO WHSL CORP NEW COM PURCHASED @ $53.360 RD 11/17 PD 12/01/06		$ -3.14
12/01	11/30	REINVEST CASH INCOME	.26700 Cash	INTEL CORP COM PURCHASED @ $20.935 RD 11/07 PD 12/01/06		-5.59
12/01	12/01	CASH DIVIDEND RECEIVED		24.1728 SHRS COSTCO WHSL CORP NEW COM RD 11/17 PD 12/01/06		3.14
12/01	12/01	CASH DIVIDEND RECEIVED		55.8646 SHRS INTEL CORP COM RD 11/07 PD 12/01/06		5.59
12/06	12/06	FOREIGN SECURITY DIVIDEND RECEIVED		50 SHRS TEVA PHARMACEUTICAL INDUSTRIES LTD ADR ISIN#US8816242098 RD 11/14 PD 12/06/06		3.91
12/06	12/06	FOREIGN TAX WITHHELD AT THE SOURCE		50 SHRS TEVA PHARMACEUTICAL INDUSTRIES LTD ADR ISIN#US8816242098 RD 11/14 PD 12/06/06		-.63
12/06	12/06	SWEEP TO MONEY MARKET		VANGUARD FED MNY MKT		-3.28
12/07	12/06	REINVEST CASH INCOME	.14060 Cash	APPLIED MATERIALS INC PURCHASED @ $17.920 RD 11/16 PD 12/07/06		-2.52
12/07	12/07	CASH DIVIDEND RECEIVED		50.3754 SHRS APPLIED MATERIALS INC RD 11/16 PD 12/07/06		2.52
12/15	12/15	INTEREST RECEIVED		5000 FEDERAL NATL MTG NOTES 3.375% 12/15/08 B/E DTD 11/21/03 RD 12/14 PD 12/15/06		84.38
12/15	12/15	SWEEP TO MONEY MARKET		VANGUARD FED MNY MKT		-84.38



Vanguard Brokerage Services(R)
A Division of Vanguard Marketing Corporation

IRA FBO THERESE M HANSEN
VFTC AS CUSTODIAN
1820 9TH AVENUE W
SEATTLE WA 98119-2946

(800) 992-8327 - Brokerage Services
Account number:

BROKERAGE ACTIVITY CONTINUED

All transactions sweep to and from your Vanguard Federal Money Market Fund, account number 99646725 75. Money market assets are not held by Pershing.

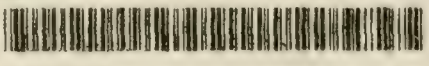
Settle date	Trade date	Transaction	Quantity/ Account type	Description	Price/ Commission	Amount
12/29	12/28	REINVEST CASH INCOME	.33730	ISHARES TR		-37.74
			Cash	MSCI EMERGING MKTS		
				INDEX FD PURCHASED @ $111.900		
				RD 12/22 PD 12/29/06		
12/29	12/29	CASH DIVIDEND RECEIVED		24 SHRS		37.74
				ISHARES TR		
				MSCI EMERGING MKTS INDEX FD		
				RD 12/22 PD 12/29/06		

TAX-EXEMPT INTEREST WILL NOW BE REPORTED TO THE IRS
Beginning in 2006, payments of tax-exempt interest will be reported to the IRS under the Tax Increase Prevention and Reconciliation Act of 2005. Before the new requirement, Vanguard Brokerage Services(R) had been reporting payments of tax-exempt interest to clients only.

MUTUAL FUND REDEMPTION FEE POLICIES
The policies for redeeming shares of mutual funds vary and are described in each fund's prospectus. Upon the request of a Vanguard Brokerage client who questions if a redemption fee applies, we will communicate a client's request to the fund. If a fund determines that a redemption fee should not apply, we will not charge the fee, or, if the client has already been charged, we will issue a credit to the client's account. Please be aware that a fund's review of a transaction may take time. If the fund determines that a redemption fee should not apply, Vanguard Brokerage also may not assess its own fees.

IF YOU ARE--OR WERE--A "CONTROL PERSON," PLEASE READ THIS
If your status as a control person has changed since you opened your brokerage account, you are required to inform us promptly in writing. Control persons include, but are not limited to: directors, 10% shareholders, officers, and policymaking executives of publicly traded companies.
If you have, or an immediate family member has, become a control person of a publicly traded company or companies as defined by SEC Rule 144, provide us with the name and stock trading symbols of that company or companies. If you are, or an immediate family member is, no longer a control person, let us know of the change in status.
Write: Vanguard Brokerage Services, P.O. Box 1170, Valley Forge, PA 19482-1170.

A NOTE ABOUT FOREIGN CURRENCY TRANSACTIONS
Pershing LLC, the clearing agent for Vanguard Brokerage Services, may automatically convert foreign currency to U.S. dollars, or U.S. dollars into foreign currency, in response to dividend payments and similar corporate actions, unless you instruct us otherwise. Pershing's currency conversion rate will not exceed, by more than 1%, the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, unless a particular rate is required by applicable law. This conversion rate could differ from rates in effect when you executed a transaction, incurred a charge, or received a credit. Pershing might execute a



THE Vanguard GROUP

Vanguard Brokerage Services®
A Division of Vanguard Marketing Corporation

IRA FBO THERESE M HANSEN
VFTC AS CUSTODIAN
1820 9TH AVENUE W
SEATTLE WA 98119-2946

(800) 992-8327 - Brokerage Services
Account number:

foreign currency transaction as principal for your account. Transactions converted by agents for Pershing, such as banks, will be billed at the rates such agents use.

BE AWARE OF YOUR DIVIDEND REINVESTMENT OPTIONS
You can have cash dividends reinvested automatically--and at no charge--through our dividend reinvestment service, or they can be deposited into your money market settlement account. Note that optional dividends, which stockholders can choose to receive either as cash or stock, will be processed according to the reinvestment instructions that you included in your brokerage account application or in any subsequent update.

FOR OUR AVERAGE COST CLIENTS

Average Cost Basis: The amount paid to purchase the shares redeemed, calculated by using the IRS-approved Average Cost Single Category method, with transaction and redemption fees incorporated where applicable.

Gain or Allowable Loss: The gain or loss realized by the redemption, calculated by subtracting the average cost from the redemption proceeds. Gains and losses are short-term if held a year or less, long-term if held more than a year.

FOR OUR BROKERAGE CLIENTS

Your Vanguard Brokerage Services ("VBS") account is carried by Pershing LLC, a BNY Securities Group member and a subsidiary of The Bank of New York Company, Inc. ("Pershing"), member NYSE, NASD, and SIPC, pursuant to a written agreement with Vanguard Marketing Corporation ("VMC"). VBS is a division of VMC. Your cash and/or securities (except Vanguard mutual funds, which are held directly by The Vanguard Group, Inc.) are held in custody at Pershing.

I. General Information and Key Terms

Advice: Pershing and VBS do not provide tax, investment, or legal advisory services, and no one associated with Pershing or VBS is authorized to render such advice.

Confirmations: You may have received confirmations for transactions or placed transactions that do not appear on your statement. If so, the transactions will appear on your next periodic statement. Such transactions must be considered by you when computing the value of your account.

Direct Participation Program ("DPP") and Real Estate Investment Trust ("REIT"): DPP and REIT securities are generally illiquid. The value of the security will be different than its purchase price. Any estimated value on your statement may not be realized when you seek to liquidate the security.

Dividend Reinvestment: Pershing combines the cash distribution from the accounts of all clients who have requested reinvestment in the same security. Pershing then uses these combined funds to purchase additional shares of the security in the open market and divides the new shares proportionately among the clients' accounts. Accounts are credited with the reinvestment amount in whole and fractional shares (rounded to three decimal places) at the average price paid for the allocated shares on the payable date (the date on which the distribution is credited to the account).

Errors and Omissions: This statement and any transaction stated will be deemed conclusive if you do not object in writing to VBS within ten business days after receipt. Any such objection should be sent to Vanguard Brokerage Services at P.O.Box 2600, Valley Forge, PA 19482, Attention: Compliance Department.

Financial Statement: A financial statement of Pershing is available for your inspection at any time upon request to VBS.

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 2:08
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HC (HAND DELIVERED)
(Office Use Only) (6)

PATRICK TIMOTHY MCHENRY
(Full Name)

PO BOX 360
CHERRYVILLE NC 28021
(Mailing Address)

202/225.2576
Daytime Telephone:

Filer Status	☒ Member of the U.S. House of Representatives	State: NC District: 10	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☒	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Patrick T. McHenry	5/11/07

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name McHenry | Page 2 of 6

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset												BLOCK C: Type of Income							BLOCK D: Amount of Income										BLOCK E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	• McHENRY-PUTNAM Partnership																														
	5524 Union Rd · GASTONIA, NC				X											X									X						
	109 W. 8th Ave, GASTONIA, NC				X											X									X						
	• McHENRY-McHENRY Partnership																														
	215 Robinson Rd · GASTONIA, NC						X									X										X					
	621 W. Garrison Blvd · GASTONIA, NC				X											X									X						
	• ALLIANCE BANK + TRUST, ACCT			X													X						X								
	• CITIZEN'S SOUTH BANK, ACCT	X CLOSED ACCOUNT												X							X										
	• 4211 Pete Brown Rd Charlotte, NC						X							X							X										
	• SCANA Stock				X										X								X								
	• ALLIANCE BANK + TRUST, Stock			X										X							X										
	• ING DIRECT Savings Acct				X												X						X								
	• LOAN to CAMPAIGN	X CAMPAIGN HAS REPAID LOAN												X							X										
	• LOCUST-Highway 200 LLC .00294% of total/LAND INCOME				X									X							X										P
	• 806 Requa Rd · Cherryville					X										X								X							P
	• IRENE McHENRY'S Estate (GRANDMOTHER)	X												ONE-TIME GIFT from Will									X								

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name McHenry | Page 3 of 6

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
	Citizen's South Bank, Acct				Closed Acct										
	LOAN to Campaign				7/06										
	Locust-Highway 200 LLC - Real Estate/Rawland	X			2/6/06		X								
	806 Requa Rd. Cherryville, NC Rental/Duplex	X			10/06			X							

McHenry for Congress Republican

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Mr. & Mrs. George LeCroy, Hendersonville, NC (previous property owners)	Mortgage - 215 Robison Rd				X						
	First Gaston Bank, Gastonia, NC	Mortgage - 621 N. Garrison	X									
	Citizen's South Bank, Gastonia, NC	Mortgage - 806 Regua Rd.			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	The Heritage Foundation	Jan. 29-31	DC-Baltimore-DC	Y	Y	N	None
	The David Horowitz Freedom Center	Nov. 18-19	DC-West Palm Beach-Charlotte	Y	Y	N	None

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
PARTNER	McHENRY-McHENRY PARTNERSHIP
PARTNER	McHENRY-PUTMAN PARTNERSHIP
SOLE PROPRIETOR	McHENRY REAL ESTATE (NO ASSETS)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2007 33-427 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 12:25 (9)
MC
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

JOHN M. McHugh
(Full Name)
PO Box 963 Plattsburgh, NY 12901 (518) 565-5461
(Mailing Address) (Daytime Telephone)

Filer Status	☒ Member of the U.S. House of Representatives	State: NY District: 23	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	John M. McHugh	5/10/07

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	STATE of New York	Legislative Pension	29,917.00

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples. Association of American Associations, Washington, DC	Speech	Feb. 2, 2006	$2,000
XYZ Magazine	Article	Aug. 13, 2006	$500
NONE			

This page may be copied if more space is required.

Name John M. McHugh | Page 4 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

			BLOCK A	BLOCK B												BLOCK C							BLOCK D										BLOCK E
				A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples*	SP	Mega Corp. Stock					X								X										X							P
			Simon & Schuster			Indefinite																Royalties									X			
			1st Bank of Paducah, KY accounts						X									X										X						
	KET BANK NA Stock					X										X								X									
	KET BANK NA CD					X													X						X								
	Community Savings CD					X													X						X								
	HSBC Savings					X													X					X									
	Congressional Federal Credit Union					X													X						X								
	Federal Employees State Credit Union				X														X					X									

For additional assets and unearned income, use next page.

4340

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JOHN M. McHugh

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	FEDERAL CREDIT UNION OFFICER ACCOUNT		X														X				X											

This page may be copied if more space is required.

Name | Page 6 of 9

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction									
			PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	**Asset**															
SP	*Example:*	Mega Corporation Common Stock	X			10–12–06		X								
	None															

This page may be copied if more space is required.

4342

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	NONE											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
NONE		

Use additional sheets if more space is required.

Name	John M. McHugh	Page 8 of 9

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	None						

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
MEMBER BOARD of Visitors	United States Military Academy at West Point

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2007 33-427 (mec)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED 2
LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 3:55
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

MIKE McINTYRE
(Full Name)

1701 NORTH CHESTNUT STREET
(Mailing Address)

LUMBERTON NC 28358

Daytime Telephone: 202-225-2731

Filer Status	[X] Member of the U.S. House of Representatives	State: NC District: 07	[ ] Officer or Employee	Employing Office:	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Mike McIntyre	5/15/07

4346

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name MIKE McINTYRE | Page 2 of 2

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

			Block A	Block B												Block C							Block D										Block E
				A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock					X								X										X							P
			Simon & Schuster			Indefinite												X				Royalties								X			
			1st Bank of Paducah, KY accounts						X																		X						
	IRA, BB&T; Lumberton NC						X									X								N	A							
SP	IRA, BB&T; Lumberton NC					X										X								N	A							
JT	Checking Acct, BB&T; Lumberton NC					X													X						X							
DC	BB&T Stock; Lumberton NC					X										X								X								

For additional assets and unearned income, use next page.

4347

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 2:35
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Cathy McMorris Rodgers
(Full Name)

4621 Mix Way Deer Lake WA 99148
(Mailing Address)

509-233-8974
Daytime Telephone

Filer Status
[X] Member of the U.S. House of Representatives — State: WA District: 05
[ ] Officer or Employee — Employing Office:

Report Type
[X] Annual (May 15)
[ ] Amendment
[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Cathy McMorris Rodgers	05, 14, 2007

4348

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Cathy McMorris Rodgers | Page 2 of 6

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year

				Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp Stock					X								X										X							P
			Simon & Schuster		Indefinite																	Royalties								X				
			1st Bank of Paducah, KY accounts						X									X									X							
	ROTH IRA AG EDWARDS "I" SHARES US TIPS				X												X							X									
	ROTH IRA, AG EDWARDS "I" SHARES S&P 500 INDEX					X											X							X									
	ROTH IRA, AG EDWARDS "I" SHARES LAHMAN AGGREGATE BOND				X												X							X									
	ROTH IRA, AG EDWARDS "I" SHARES MSCI EAFE INDEX					X											X							X									
	ROTH IRA AG EDWARDS "I" SHARES S&P MID CAP 400					X											X							X									
	ROTH IRA AG EDWARDS "I" SHARES SMALL-CAP 600					X											X							X									

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Cathy McMorris Rodgers | Page 3 of 6

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset												BLOCK C: Type of Income							BLOCK D: Amount of Income										BLOCK E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	ROTH IRA, A G EDWARDS Select Stocks, Bd Wish Bldt			X											X							X									
	ROTH IRA, A G EDWARDS Equities Index Algonn			X										X							X										P
	WA State Deferred Comp Bond Fund			X											X							X									
	WA State Deferred Comp Active U.S. Value Stock Fund				X									X							X										
	WA State Deferred Comp Active Int'l Comp Stock Fund				X									X							X										
	PERS III State of WA					X								X							X										
SP	FIDELITY DESTINY I							X							X		X	X						X							
SP	TEMPLETON GROWTH FUND CLASS A					X									X		X	X						X							
SP	8691 HUMPHRIES WAY SAN DIEGO CA 92117			X					X							X										X					
SP	ROTH IRA AMERICAN FUNDS				X									X	X		X	X			X		X								

This page may be copied if more space is required.

4350

Name Cathy McMorris Rodgers | Page 4 of 6

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
	ROTH IRA AG EDWARDS BARCLAYS I-Path Index ALGOMM Index Pref Debt	X			10-2-06	X									

This page may be copied if more space is required.

4351

Name Cathy McMorris Rodgers | Page 5 of 6

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
		STUDENT LOAN	X									
SP	WELLS FARGO MORTGAGE	MORTGAGE ON 3057 HUNBCHS SANDIEGO				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

4352

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
The Heritage Foundation Educational Conference	Jan. 30-31	DC - Baltimore, Maryland - DC	Y	Y	N	NONE
American Gas Assn Resources Conference	April 19-21	San Francisco, CA - Spokane, WA (ONE WAY ONLY)	Y	Y	N	NONE
Center for the New West	Nov. 30 - Dec. 3	Spokane - Sun Valley, ID - Spokane	Y	Y	Y	ONE

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name GERALD McNERNEY | Page 1 of 1

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

	Block A		Block B											Block C							Block D										Block E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Examples	SP	Mega Corp. Stock				X								X										X							P
DC			Simon & Schuster	Indefinite																	Royalties									X			
JT			1st Bank of Paducah, KY accounts					X								X										X							
			Bank of America Bank Rewards Pleasanton, CA			X												X						X									
			Bank of America, Checking Pleasanton, CA		X										X							X											
SP			Barry County, TX Duke Energy Natural Gas Royalties		X																Royalties			X									

For additional assets and unearned income, use next page.

4354

Name GERALD MCNARNEY | Page 1 of 1

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability B ($10,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	US DEPARTMENT of EDUCATION	PARENT LOAN		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

Name GERALD MCNERNEY	Page 1 of 1

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
CEO	HAWK POWER, INC (RESIGNED JAN 3, 2007)
Sole Proprietor	MCNerney Consulting (inactive as of JAN 3, 2007)
Sole Proprietor	No Sense Books (inactive)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2007 33-427 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2007 MAY -4 PM 1:54
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Michael R. McNulty
(Full Name)
86 West Street
(Mailing Address)
Green Island NY 12183
Daytime Telephone: 202 225 5076

Filer Status	☒ Member of the U.S. House of Representatives	State: NY District: 21	☐ Officer or Employee	Employing Office.
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☒	No ☐	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	M. McNulty	5-3-07

4357

Name McNULTY, MICHAEL R	Page 1 of 8

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	GREEN ISLAND INSURANCE	RENEWAL COMMISSION	$804.22
	NEW YORK STATE RETIREMENT SYSTEM	STATE RETIREMENT INC.	$14,863.82
	NEW YORK STATE OFFICE OF COURT ADMINISTRATION	SPOUSE SALARY	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Washington, DC	Speech	Feb. 2, 2006	$2,000
XYZ Magazine	Article	Aug. 13, 2006	$500
The Connell Company	SPEECH	May 16, 2006	$2,000 **

This page may be copied if more space is required.

Name McNULTY, MICHAEL R. | Page 3 of 8

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year

			Block A	Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify; For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock					X								X										X							P
			Simon & Schuster		Indefinite																	Royalties								X			
			1st Bank of Paducah, KY accounts						X								X										X						
	BANK OF AMERICA					X										X								X								
	WRIGHT PATMAN FEDERAL CREDIT UNION						X											X					X									

For additional assets and unearned income, use next page.

4360

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name McNulty, Michael R. | Page 4 of 8

	BLOCK A	BLOCK B												BLOCK C							BLOCK D										BLOCK E
	Asset and/or Income Source	Year-End Value of Asset												Type of Income							Amount of Income										Transaction
SP, DC, JT		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E

This page may be copied if more space is required.

Name McNulty, Michael R. | Page 5 of 8

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction									
			PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset															
SP	*Example:*	Mega Corporation Common Stock	X			10–12–06		X								

This page may be copied if more space is required.

4362

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	THE CONNELL COMPANY	MAY 16TH	ALBANY-NEWARK-DC	N	Y	N	Ø

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO. 2007 33 427 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A Page 1 of 6
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 2:29
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

(6)

Martin T. Meehan
(Full Name)

126 Belmont Avenue Lowell, MA 01852
(Mailing Address)

202-225-3411
Daytime Telephone:

Filer Status
☑ Member of the U.S. House of Representative — State: MA — District: 05
☐ Officer Or Employee — Employing Office:

Report Type
☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefitting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4 § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Martin T. Meehan	5/15/07

4366

SCHEDULE I - EARNED INCOME

Name Martin T. Meehan

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Lawrence General Hospital	Spouse Salary	N/A
Ellen Murphy & Associates	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Martin T. Meehan

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	Congressional Federal CU	$15,001 - $50,000	INTEREST	$1 - $200	
	Enterprise Bank	$1,001 - $15,000	INTEREST	$1 - $200	
	Fidelity IRA	*$15,001 - $50,000*	*DIVIDENDS/CAPITAL GAINS*	*$201 - $1,000*	
SP	Fidelity IRA	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	
JT	Riverbank	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
SP	Sovereign Bank	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	

4368

JT	Sovereign Bank	$50,001 - $100,000	INTEREST	$201 - $1,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Martin T. Meehan

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure–Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Andersontown News Group of Belfast, Ireland	Nov. 8-11	Boston, MA - Dublin, Ireland - Boston, MA	Y	Y	N	None

SCHEDULE VIII - POSITIONS

Name Martin T. Meehan

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Suffolk University Law School Board of Trustees (uncompensated)

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 5:56
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Kendrick B. Meek
(Full Name)

14441 N.W. 13th Court
(Mailing Address)

Miami, FL 33167

Daytime Telephone: 202-225-4506

Filer Status	☒ Member of the U.S. House of Representatives	State: FL District: 17	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[signature]	05/15/07

4372

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	District of Columbia Government	spouse salary	N/A
	Walkenhut Corp	spouse salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

Name Kendrick B. Meek | Page 3 of 6

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

			Block A	B: A None	B: B $1 – $1,000	B: C $1,001 – $15,000	B: D $15,001 – $50,000	B: E $50,001 – $100,000	B: F $100,001 – $250,000	B: G $250,001 – $500,000	B: H $500,001 – $1,000,000	B: I $1,000,001 – $5,000,000	B: J $5,000,001 – $25,000,000	B: K $25,000,001 – $50,000,000	B: L Over $50,000,000	C: NONE	C: DIVIDENDS	C: RENT	C: INTEREST	C: CAPITAL GAINS	C: EXCEPTED/BLIND TRUST	C: Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	D: I None	D: II $1 – $200	D: III $201 – $1,000	D: IV $1,001 – $2,500	D: V $2,501 – $5,000	D: VI $5,001 – $15,000	D: VII $15,001 – $50,000	D: VIII $50,001 – $100,000	D: IX $100,001 – $1,000,000	D: X $1,000,001 – $5,000,000	D: XI Over $5,000,000	E: P, S, E
SP		SP	Mega Corp. Stock					X								X									X							P
DC	*Examples:*		Simon & Schuster		Indefinite																	Royalties								X			
JT			1st Bank of Paducah, KY accounts						X								X										X						
SP	Equitable Mutual Fund Charlotte, N.C.					X										X							X									
SP	Pan American 401-K New Orleans, LA								X							X										X						
JT	Washington Mutual Bank Account					X										X							X									

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
SP	U.S. Dept. of Education	student loan			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
N/A		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
Harvard Law School: Charles Hamilton Houston Institute for Race and Justice	March 10 - March 11	DC - Boston - DC	Y	Y	N	None
Harvard University: Kennedy School of Government 2nd Annual Black Policy Conference	April 21 - April 22	DC - Boston - Miami	Y	N	N	None
Congressional Black Caucus Foundation	May 4 - May 8	DC - Miami - DC	N	Y	Y	None
Southern Christian Leadership Conference	May 27 - May 28	Miami - Tallahassee - Miami	Y	Y	N	none
Aspen Institute	June 1 - June 4	DC - New Orleans - Miami	Y	Y	N	None

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Chairman	Congressional Black Caucus Foundation 501(c)(3)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	N/A	

GPO: 2007 33-427 (mec)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 5:52
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED

MC (Office Use Only)

GREGORY W. MEEKS
(Full Name)

194-24 109th Road St. Albans, NY 11412
(Mailing Address) Daytime Telephone:

Filer Status: [X] Member of the U.S. House of Representatives — State: New York — District: 6 — [ ] Officer or Employee — Employing Office:

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

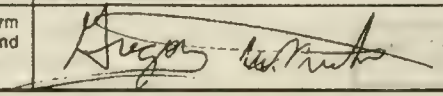
I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X] No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ] No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/15/07

4378

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Nassau County Executive Office	Spouse Salary	$79,000
	Alcoholic Council of NY	Consultant	$15,000

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: GREGORY W. MEEKS | Page 3 of 6

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

			Block A	Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock					X								X																		P
			Simon & Schuster		Indefinite																	Royalties									X			
			1st Bank of Paducah, KY accounts						X								X										X							
	Merrill Lynch Global						X								X																		S
	Merrill Lynch Latin American Fund			X															X															S
	Nicolas Applegate Core Growth						X										X																	S

For additional assets and unearned income, use next page.

SCHEDULEEIII

May 15, 2007

To: the Clerk, US House of Representatives
Legislative Resource Center
B-106 CHOB
Washington, DC 20515

Please be advised that schedule III under Block D for Amount of Income for Merrill Lynch Global, Merrill Lynch Latin American Fund and Nicolas Applegate Core Growth was liquidated for a total of $13,000. I did not indicate this on the form due to receiving one check for all three funds.

Sincerely,

GREGORY W. MEEKS

SCHEDULE IV — TRANSACTIONS

Name Gregory W. Meeks | Page 5 of 6

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction									
			PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	**Asset**															
SP	*Example:*	Mega Corporation Common Stock	X			10–12–06		X								
	Private Residence			X		9/13/06					X					
	Private Residence		X			10/14/06						X				

This page may be copied if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
Qatari Businessmen Assoc. & Gulf Centre For Strategic Studies	April 9 - 13	NY - Doha, Qatar - NY	Y	Y	N	None
Cong. Black Caucus Foundation, Inc.	May 4 - 5	Wash,DC - Miami, FL- Wash, DC	Y	Y	N	None
Carib News Foundation	Nov. 9 - 12	NY - Panama City, Panama-NY	Y	Y	Y	None
Congressional Economic Leadership Institute	Nov. 25 - 30	NY - Rome, Italy- NY	Y	Y	Y	None

This page may be copied if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED

HOUSE RESOURCE CENTER
2007 JUN 13 PM 4:04
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

MC

Gregory W. Meeks
(Full Name)

194-24 109th Road St. Albans, NY 11412
(Mailing Address) (Daytime Telephone:)

Filer Status	[X] Member of the U.S. House of Representatives	State: New York District: 6	[ ] Officer or Employee	Employing Office:	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	[ ] Annual (May 15)	[X] Amendment	[ ] Termination	Termination Date:	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Gregory W. Meeks	6/13/07

4384

SCHEDULE IV — TRANSACTIONS

Name: Gregory W. Meeks

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
SP	*Example:* Mega Corporation Common Stock	X			10–12–06		X								
	Private Residence		X		9/13/06					X					
	Private Residence	X			10/14/06						X				
	Merrill Lynch Global		X		10/18/06	X									
	Merrill Lynch Latin American Fund		X		10/18/06	X									
	NicolasApplegate Core Growth		X		10/18/06	X									

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: Gregory W. Meeks

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

			BLOCK A	BLOCK B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	BLOCK D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E: P, S, E
SP,	*Examples*	SP	Mega Corp. Stock					X								X										X								P
DC,			Simon & Schuster			Indefinite																Royalties								X				
JT			1st Bank of Paducah, KY accounts						X								X										X							
	Merrill Lynch Global			X											X				X				X										
	Merrill Lynch Latin American Fund			X															X				X										
	Nicolas Applegate Core Growth			X														X					X										

For additional assets and unearned income, use next page.

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A Pg 1 of 10

For use by Members, officers, and employees

HAND DELIVERED

(12) LEGISLATIVE RESOURCE CENTER

2007 MAY 14 PM 4:31

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC (Office Use Only)

CHARLES JOSEPH MELANCON
(Full Name)

P. O. Box 549
(Mailing Address)

985-369-7785
Daytime Telephone:

NAPOLEONVILLE, LA 70390

Filer Status	☒ Member of the U.S. House of Representatives	State: LA District: 3	☐ Officer or Employee	Employing Office:	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Charles Melancon	5/14/07

4387

Name CHARLES JOSEPH MELANCON	Page 2 of 10

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	STATE OF LA - DEPT. OF ELECTIONS	SPOUSE PER DIEM	$ 350

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name CHARLES JOSEPH MELANCON | Page 3 of 10

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

			Block A	Block B	Block C	Block D	Block E
				A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP	Examples:	SP	Mega Corp Stock	E	NONE	IV	P
DC			Simon & Schuster	B	Royalties	IX	
JT			1st Bank of Paducah, KY accounts	F	RENT	VI	
	SEE NEXT 4 PAGES						

For additional assets and unearned income, use next page.

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name	CHARLES JOSEPH MELANCON	Page 1 of 12

BLOCK A Asset and/or Income Source		BLOCK B Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P S E
JT	Capital One Bank - Savings				X											X							X								
JT	Capital One Bank - DDA			X												X						X									
JT	Capital One Bank - Savings			X												X						X									
JT	ML Bank Deposit Account			X												X						X									
JT	US Treasury Note			X												X							X								
JT	US Treasury Note	X														X						X									E
JT	Federal National Mtg Assn Note			X												X							X								
JT	US Treasury Bond			X												X								X							
JT	Tenn Valley Authority Note			X												X							X								
JT	NM Household Fin Corp BE			X												X							X								
JT	Lafayette LA Bond			X												X							X								
JT	Lafayette LA Bond			X												X							X								
JT	American Cap Inc Builder Fund			X										X			X						X								
JT	ML Bank Deposit Account			X												X						X									
JT	Altria Group, Inc.			X										X									X								
JT	Amer Intl Group, Inc.			X										X								X									
JT	Bellsouth Corp			X										X								X									
JT	BP PLC				X									X									X								
JT	Bristol-Myers Squibb Co			X										X								X									
JT	Cisco Systems, Inc.			X																	X										
JT	Exxon Mobil Corp				X									X									X								
JT	Genentech Inc.			X																	X										
JT	General Electric			X										X								X									
JT	Home Depot, Inc.			X										X								X									
JT	Intl Business Machines			X										X								X									
JT	Microsoft Corp			X										X								X									
JT	Oracle Corp			X																	X										

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name	CHARLES JOSEPH MELANCON	Page 5 of 19

BLOCK A Asset and/or Income Source		BLOCK B Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P S E
JT	Pfizer Inc			X										X								X									
JT	Shaw Group			X																	X										
JT	Sysco Corporation			X										X								X									
JT	Texas Instruments			X										X								X									
JT	Wal-Mart Stores, Inc.			X										X								X									
JT	Blackrock Fund, Inc.			X										X									X								
	Franklin Flex Cap Growth Fund					X															X										P
	Legg Mason Inv Grade Bond Fund			X												X							X								S
	Legg Mason High Yield Bond Fund			X												X							X								S
	Franklin Income Custodian Funds						X							X			X								X						
	Franklin Mutual Shares Fund					X								X			X								X						P
	Templeton Growth Fund						X							X			X								X						P
JT	Legg Mason Core Bond Fund				X											X								X							
JT	Franklin Floating Rate Funds					X										X								X							S
JT	Interpublic Group Companies			X																	X										
JT	Oracle Corp			X																	X										
JT	Pfizer Inc			X										X								X									
JT	Wal-Mart Stores, Inc.			X										X								X									
JT	Rental - Mini Storage - Napoleonville, LA				X										X												X				
JT	Rental - House - Napoleonville, LA				X										X										X						
JT	Rental - Condo - Baton Rouge, LA						X								X									X							
	ML Bank				X											X							X								
	Blackrock Stratgic			X										X			X						X								
	Great Plains Energy Income			X										X			X						X								
	Lehman Bros Hldgs Inc			X										X			X					X									
	Oneok Inc			X										X			X						X								
	XL Capital Ltd			X										X			X					X									

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name: CHARLES JOSEPH MELANCON

BLOCK A Asset and/or Income Source		BLOCK B Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P S E
	American Cap Income Builder				X									X			X						X								
	American Inc Fund of Am			X										X			X						X								
	Rydex Juno Fund			X										X			X					X									
	Thornburg Income Builder			X										X			X					X									
	American High Income			X										X			X						X								
	Fidelity Adv Strategic			X										X			X						X								
	Franklin Cust Fd Inc			X										X			X						X								
	John Hancock Strategic			X										X			X						X								
	Pioneer Strategic Income			X										X			X						X								
	MLJWH Strat Alloc Fd			X										X			X						X								
	MLJWH Strat Alloc Fd			X										X			X						X								
SP	ML Bank			X												X							X								
SP	Blackrock Stratgic			X										X			X						X								
SP	FPL Group Inc			X										X			X						X								
SP	Great Plains Energy Income			X										X			X					X									
SP	Ishares Trust Dow Jones			X										X			X						X								
SP	Lehman Bros Hldgs Inc			X										X			X					X									
SP	Marshall & Ilsey Corp			X										X			X					X									
SP	ML&CO Proceeds Select			X										X			X					X									
SP	ML&CO Proceeds Value			X																	X										
SP	Wachovia Corp			X										X			X						X								
SP	XL Capital			X										X			X						X								
	Thornburg Income Builder			X										X			X						X								
	Pioneer Strategic Income			X										X			X						X								
SP	Columbia Acorn Tr			X										X			X						X								
SP	Columbia Large Cap Growth Fund			X										X			X						X								
	Franklin Flex Cap Growth			X																	X										

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name	CHARLES JOSEPH MELANCON	Page 7 of 10

BLOCK A Asset and/or Income Source	BLOCK B Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
	A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P S E
George Putnam Fund of Boston				X																X										
Putnam Equity Income Fund				X									X			X						X								
Putnam Fund for Growth					X								X			X										X				
Putnam Income Fund				X									X			X					X									
Putnam Investors Fund				X									X			X					X									
Knights of Columbus - Annuity			X												X						X									
LA Public Employees Deferred Comp				X											X								X							

SCHEDULE IV — TRANSACTIONS

Name: CHARLES JOSEPH MELANSON

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
JT	U.S. TREASURY NOTE			X	2-15-06	X									
JT	FRANKLIN FLOATING RATE FUND		X		6-19-06	X									
	FRANKLIN FLEX CAP GROWTH FUND	X			2-7-06			X							
	LEGG MASON INV. GRADE BOND FUND		X		1-24-06	X									
	LEGG MASON HIGH YIELD BOND FUND		X		1-24-06	X									
	FRANKLIN MUTUAL SHARES FUND	X			2-7-06	X									
	TEMPLETON GROWTH FUND	X			2-7-06		X								

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	CITIGROUP MARGIN LOAN	MARGIN ACCOUNT			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
AMERICAN SUGAR ALLIANCE	Aug. 6-8	BATON ROUGE, LA - ASHEVILLE, NC - BATON ROUGE, LA	Y	Y	Y	NONE

This page may be copied if more space is required.

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 12:27
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED (4)
(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A Page 1 of 4
For use by Members, officers, and employees

John L. Mica
(Full Name)

2195 Via Tuscany Winter Park, FL 32789 — 202-225-4035
(Mailing Address) — Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: FL, District: 07	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[signature]	5/14/07

4397

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John L. Mica

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	1305 S Atlantic Ave, Unit 130, Cocoa Beach, FL	$250,001 - $500,000	None, personal residence	NONE	
	15 3rd Street NE Wash. DC, Units 2, 4, 5 & two garage units	$500,001 - $1,000,000	RENT	$15,001 - $50,000	
	2195 Via Tuscany, Winter Park FL	$500,001 - $1,000,000	None, personal residence	NONE	
	305 E Capitol St SE, Wash. DC, 50% interest rental unit	$250,001 - $500,000	RENT	$5,001 - $15,000	
	Commerce National Bank & Trust, Winter Park, FL	$1 - $1,000	INTEREST	$1 - $200	
	Fifth Third Bank, Maitland, FL	$15,001 - $50,000	INTEREST	$2,501 - $5,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John L. Mica

	First Commercial Bank, Winter Park, FL	$500,001 - $1,000,000	INTEREST	$15,001 - $50,000	
	Lot 21 & 22, Chetola Estates, Blowing Rock, NC	$500,001 - $1,000,000	None, personal residence	NONE	
JT	Metropolitan Life Annuity	$100,001 - $250,000	None	NONE	
	Park Lake Woods Condo Rental, units 8B, 9B, Maitland, Fl	$100,001 - $250,000	RENT	$5,001 - $15,000	
	Wachovia Bank, Winter Park, FL, Time Deposit (IRA)	$50,001 - $100,000	INTEREST reinvested	$2,501 - $5,000	
	Wright Patman Congressional Fed Credit Union	$1 - $1,000	INTEREST	$1 - $200	

SCHEDULE V - LIABILITIES

Name John L. Mica

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Washington Mutual Savings	Mortgage on Units 8B & 9B, Park Lake Woods Condominium	$15,001 - $50,000

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A — For use by Members, officers, and employees

INSIDE MAIL

2007 MAY -8 PM 3:32

(Office Use Only)

MC

7

MICHAEL H. MICHAUD
(Full Name)

1724 LONGWORTH HOUSE OFFICE BUILDING WASNINGTON, DC 20515
(Mailing Address)

202-225-6306
Daytime Telephone:

Filer Status
- [x] Member of the U.S. House of Representatives — State: ME — District: 02
- [ ] Officer Or Employee — Employing Office:

Report Type
- [x] Annual (May 15)
- [ ] Amendment
- [ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes [ ] No [x]
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes [ ] No [x]
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes [x] No [ ]
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes [x] No [ ]
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes [ ] No [x]
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? — Yes [ ] No [x]
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? — Yes [ ] No [x]
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes [ ] No [x]
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes [ ] No [x]
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [x]

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [x]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	Michael Michaud	5/7/07

4401

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MICHAEL H. MICHAUD

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
109 Main Street, East Millinocket, ME	$1,001 - $15,000	RENT	NONE	
111 Main Street, East Millinocket, ME	$15,001 - $50,000	RENT	NONE	
6.5 ACRES OF LAND, Midway, ME	$1,001 - $15,000	None	NONE	
Ameriprise One Financial Account	$1,001 - $15,000	INTEREST	$1 - $200	
Ameriprise Variable Universal Life	$15,001 - $50,000	None	NONE	
East Mill Federal Credit Union	$15,001 - $50,000	INTEREST	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MICHAEL H. MICHAUD

IRA - Ameripirse RVS - Midcap Value - A	$1,001 - $15,000	None	NONE	P
IRA - Ameriprise - Baron Partners	$1,001 - $15,000	None	NONE	P
IRA - Ameriprise - Fid Adv Divers Intl - T	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
IRA - Ameriprise - Fid Adv Dyn Cap Appr - T	$15,001 - $50,000	None	NONE	P
IRA - Ameriprise - Fid Adv High Inc Adv - T	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
IRA - Ameriprise - Fid Adv Small Cap - T	$1,001 - $15,000	None	NONE	P
IRA - Ameriprise - Wells S/Cap Disciplnd Inv	$15,001 - $50,000	None	NONE	P
IRA - Ameriprise RVS - Diversified Equity Inc - A	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
IRA - Ameriprise RVS - Oppenheimer Intl Bond - A	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
IRA - Ameriprise RVS Fundamental Value -A	$15,001 - $50,000	DIVIDENDS	NONE	S
IRA - Ameriprise RVS Int'l Select Value - A	$15,001 - $50,000	DIVIDENDS	$1 - $200	S
IRA - Ameriprise RVS Select Value - A	$15,001 - $50,000	DIVIDENDS	NONE	S
IRA - Ameriprise RVS Small Cap Val - A	$15,001 - $50,000	DIVIDENDS	NONE	S
M&M Partnership, 26 Greenwood Street, Augusta, ME	$50,001 - $100,000	RENT	$5,001 - $15,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MICHAEL H. MICHAUD

	Maine State Employees FCU	$1 - $1,000	INTEREST	$1 - $200	
	Maine State Retirement	$15,001 - $50,000	Retirement	N/A	

SCHEDULE IV - TRANSACTIONS

Name MICHAEL H. MICHAUD

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	IRA - Ameriprise RVS Baron Partners	P	10-13-06	$1,001 - $15,000
	IRA - Ameriprise RVS - Fid Adv Dyn Cap Appr - T	P	10-13-06	$15,001 - $50,000
	IRA - Ameriprise RVS - Fid Adv Small Cap - T	P	10-13-06	$1,001 - $15,000
	IRA - Ameriprise FVS - Fid Adv High Inc Adv - T	P	10-13-06	$1,001 - $15,000
	IRA - Ameriprise RVS - Fid Adv Divers Intl - T	P	10-13-06	$1,001 - $15,000
	IRA - Ameriprise RVS - Oppenheimer Intl Bond - A	P	10-13-06	$1,001 - $15,000
	IRA - Ameriprise RVS - Diversified Equity Inc - A	P	10-13-06	$15,001 - $50,000
	IRA - Ameripirse RVS - Midcap Value - A	P	10-13-06	$1,001 - $15,000
	IRA - Ameriprise - Wells S/Cap DisciplInd Inv	P	10-13-06	$1,001 - $15,000
	IRA - Ameriprise RVS Fundamental Value -A	S	10-13-06	$15,001 - $50,000
	IRA - Ameriprise RVS Int'l Select Value - A	S	10-13-06	$15,001 - $50,000
	IRA - Ameriprise RVS Small Cap Val - A	S	10-13-06	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name MICHAEL H. MICHAUD

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	IRA - Ameriprise RVS Select Value - A	S	10-13-06	$15,001 - $50,000

FOOTNOTES

Name MICHAEL H. MICHAUD

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	AMEX accounts changed name during year to Ameriprise	Ameriprise

LEGISLATIVE RESOURCE CENTER

2007 MAY 15 PM 4:22

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
AND DELIVERED

MC (Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A — Page 1 of 7
For use by Members, officers, and employees

Ralph Bradley Miller
(Full Name)

2306 Beechridge Road Raleigh, NC 27608 — 202-225-3032
(Mailing Address) — Daytime Telephone:

Filer Status				
	☑ Member of the U.S. House of Representatives	State: NC District: 13	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☑ No ☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and wilfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Ralph Bradley Miller	5/15/07

4408

SCHEDULE I - EARNED INCOME

Name Ralph Bradley Miller

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
North Carolina Community Foundation	Spouse Salary	N/A
Various Non-Profit Organizations	Spouse Consulting Fees for organizational development of non-profit organizations	N/A
Traveller's Insurance	Attorney Fees from structured settlement	$1,650

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ralph Bradley Miller

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	American Funds	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Equivest Self-funded Retirement	$15,001 - $50,000	CAPITAL GAINS	$201 - $1,000	S
SP	First Citizens Investor Services - Annuities	$15,001 - $50,000	INTEREST	$1 - $200	P
SP	First Citizens Investor Services - Mutual Fund	$15,001 - $50,000	DIVIDENDS	NONE	P
	Legislative Retirement System (North Carolina)	$1,001 - $15,000		NONE	
SP	SmithBarney Citigroup IRA Rollover	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	

	SmithBarney Citigroup Simplified Employee Pension Plan	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	
SP	U.S. Government Savings Bonds	$15,001 - $50,000	INTEREST	NONE	

SCHEDULE IV - TRANSACTIONS

Name Ralph Bradley Miller

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	First Citizens Investor Services - Annuities	P	12-22-06	$15,001 - $50,000
SP	First Citizens Investor Services - Mutual Fund	P	12-22-06	$15,001 - $50,000
SP	Equivest Self-funded Retirement	S	12-20-06	$15,001 - $50,000
SP	American Funds	S	12-20-06	$1,001 - $15,000
SP	Putnam Voyager Funds	S	12-20-06	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Ralph Bradley Miller

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Parker, Poe, Adams & Bernstein, L.L.P.	Legal Expense	$100,001 - $250,000

SCHEDULE VI - GIFTS

Name Ralph Bradley Miller

Report the source, a brief description, and the value of all gifts totaling more than $305 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Gus Machado Enterprises	Donation to Legal Expense Trust	$1,000
North Carolina and South Carolina UAW CAP, CAP Council	Donation to Legal Expense Trust	$2,000
Joe Crowley for Congress	Donation to Legal Expense Trust	$2,000

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2007 MAY -9 PM 12:03
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MC
(Office Use Only)

Candice Sue Miller
(Full Name)
28840 Old N River Rd
(Mailing Address)
Harrison Township MI 48045
202-225-2106
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State: MI District: 10	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Candice S. Miller	May-7-07

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	State of Michigan	Spouse Salary	N/A
	County of Macomb	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Washington, DC	Speech	Feb. 2, 2006	$2,000
XYZ Magazine	Article	Aug. 13, 2006	$500
None			

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Candice S. Miller | Page 4 of 9

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the ~~business, the nature of the business, and its~~ geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

				A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	C NONE	C DIVIDENDS	C RENT	C INTEREST	C CAPITAL GAINS	C EXCEPT EDB/LIND TRUST	C Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	D I None	D II $1 – $200	D III $201 – $1,000	D IV $1,001 – $2,500	D V $2,501 – $5,000	D VI $5,001 – $15,000	D VII $15,001 – $50,000	D VIII $50,001 – $100,000	D IX $100,001 – $1,000,000	D X $1,000,001 – $5,000,000	D XI Over $5,000,000	E P, S, or E
SP	Examples:	SP	Mega Corp. Stock					X								X																	P
DC			Simon & Schuster			Indefinite																Royalties									X			
JT			1st Bank of Paducah, KY accounts						X									X									X							
JT			Raymond James Inv. Acct																															
			TNL/Select Large Cap Growth			X										X							Y											E
			TNL/Select Global Growth			X										X							Y											E
			TNL/Putnam Equity			X										X							Y											E
			TNL/PPM American Value Equity			X										X							Y											E
			Raymond James IRA																															
			AIM Charter Fund Class B			X										X							X											E

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset — A: None	B: $1 – $1,000	C: $1,001 – $15,000	D: $15,001 – $50,000	E: $50,001 – $100,000	F: $100,001 – $250,000	G: $250,001 – $500,000	H: $500,001 – $1,000,000	I: $1,000,001 – $5,000,000	J: $5,000,001 – $25,000,000	K: $25,000,001 – $50,000,000	L: Over $50,000,000	BLOCK C: Type of Income — NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D: Amount of Income — I: None	II: $1 – $200	III: $201 – $1,000	IV: $1,001 – $2,500	V: $2,501 – $5,000	VI: $5,001 – $15,000	VII: $15,001 – $50,000	VIII: $50,001 – $100,000	IX: $100,001 – $1,000,000	X: $1,000,001 – $5,000,000	XI: Over $5,000,000	BLOCK E: Transaction — P, S, E
SP	Raymond James IRA																														
	Aim Charter Fund Class B				X																X										
SP	401K State of Michigan							X													X										
	401K State of Michigan						X														X										
	TSP						X														X										
	457 Macomb County			X																	X										
SP	State of Michigan																			Retirement	N/A										
JT	1st of Michigan IRA																														
	Eaton Vance TMI Growth					X															X										
	Eaton Vance Mich Mutual Muni				X																X										
SP	IRA 1st of Michigan																														
	Investment Co of America			X																	X										
	Princ Inv Sam Conserv Growth			X																	X										
	Princ Inv Sam Conserv Gro FDA			X																	X										
	IRA 1st of Michigan																														
	Investment Co of Amer Cl B			X																	X										
	Princ Inv Sam Conserv Cl A			X																	X										
JT	Accounts Huntington Bank				X																		X								

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name Candace S. Miller | Page 16 of 19

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction									
			PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset															
SP	*Example:*	Mega Corporation Common Stock	X			10–12–06		X								
	None.															

This page may be copied if more space is required.

Name Candice S Miller | Page 7 of 9

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	None											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
None		

Use additional sheets if more space is required.

4421

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	*Chicago Chamber of Commerce*	*Mar. 2*	*DC—Chicago—DC*	*N*	*N*	*N*	*None*
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
None	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	

GPO: 2007 33-427 (mac)

Use additional sheets if more space is required.

January 31 to February 28, 2007

RAYMOND JAMES

Linked Account Summary

Primary Account No: [redacted]

Total Relationship Value $83,614.98

MILLER,D&C
DONALD G MILLER &
CANDICE S MILLER JT/WROS
28840 OLD NORTH RIVER RD
HARRISON TOWNSHIP MI
48045-1622404

174

RALPH KOSS
Raymond James & Associates, Inc.
19991 HALL ROAD | SUITE 105 | MACOMB TWP, MI 48044 | (800) 598-0060 (586) 416-0664 |
(586) 416-0664
raymondjames.com/mountclemens | Ralph.Koss@RaymondJames.com

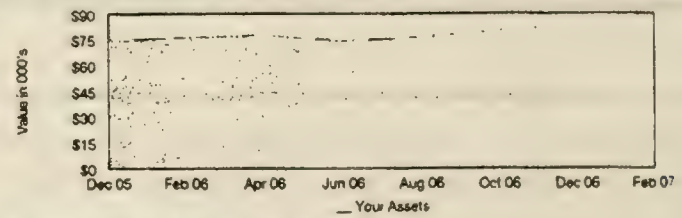
Raymond James Client Services | 800-647-SERV (7378) | Monday through Friday 8 a.m. to 6 p.m.

Online Account Access | raymondjames.com/investoraccess

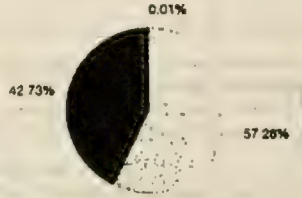
Linked Accounts

Account	Previous Statement Value	Current Value
[redacted] Investment	$ 34,999.74	$ 35,739.06
[redacted] Retirement	$ 38,672.34	$ 37,951.91
[redacted] Retirement	$ 10,112.38	$ 9,924.01
Total Relationship Value	$ 83,784.46	$ 83,614.98

Value Over Time



Portfolio Allocation



		Current Value	Percentage Allocation
Cash & Equivalents	$	11.43	0.01%
Equities	$	-	-
Mutual Funds	$	47,874.70	57.26%
Fixed Income	$	-	-
Annuities	$	35,728.85	42.73%
Alternative Investments	$	-	-

Activity

$	This Statement	Year to Date
Beginning Balance	$ 83,784.46	$ 83,070.99
Additions	$ 0.00	$ 0.04
Subtractions	$ 0.00	$ (100.00)
Change in Market Value	$ (169.48)	$ 643.95
Ending Balance	$ 83,614.98	$ 83,614.98
Purchases	$ 0.00	$ 0.00
Sales/Redemptions	$ 0.00	$ 100.00

10331040-41-1

4424

RAYMOND JAMES®

Understanding Your Statement

Primary Account No. [redacted]

The following information is related to the investments currently held in your account. Information regarding commissions, fees and other charges related to your transactions has been included on your confirmation. If you have questions regarding the information listed here or in any part of this statement or would like to update to your investment profile please contact your financial advisor. Please promptly report any inaccuracy or discrepancy in your account statement to the Client Services Department at the number listed on the Account Summary page and to your financial advisor. Any oral communications should be reconfirmed in writing to further protect your rights, including rights under the Securities Investor Protection Act (SIPA).

Cost Basis - While the cost basis information provided is believed to be accurate, it is provided for your information only and should not be relied upon for tax reporting purposes. In some cases, cost basis information may not be available or may have been estimated by you or your financial advisor. Gain/loss information may or may not reflect cost adjusted for return of principal or capital. If you have not reported cost information on securities deposited into your account, the opening date and value will not display. Mutual fund reinvestments of dividend or capital gain distributions are excluded from 'Amount Invested', but are included in 'Total Cost Basis'. Sold mutual fund shares that were purchased through reinvestments are combined and shown with a purchase date of 'various'. To have missing cost basis information added to your statement, please contact your financial advisor. Realized gains or losses for sales made in your account are provided at each quarter end and with your November statement.

NASD Disclosure - For additional background information on any firm or representative registered with the National Association of Securities Dealers (NASD), please contact the NASD at 800-289-9999 or nasd.com and request the public disclosure program brochure.

Annuities & Insurance - Annuities and life insurance contracts are not held by Raymond James & Associates, Inc. but are contracts between you and the insurance company. Values have been reported by the issuing insurance company to the Raymond James account you requested and may not reflect the same registration as your contract. Information is as of the stated valuation date and does not reflect any applicable surrender penalties or market value adjustments, which would impact the value you would receive [redacted] to surrender the contract. The valuation date for your insurance/annuity contracts may not coincide with the current statement end date.

Client Interest Program (CIP) - Free cash or CIP balances represent funds payable upon demand that are not segregated on our books of record and may be used in the operation of the firm's business until such time as they are segregated, as permissible under Securities and Exchange Commission regulations

Heritage Cash Trust - Share balances for the Heritage Cash Trust Money Market Fund and/or the Heritage Municipal Money Market Fund are held by Heritage Asset Management, Inc., not Raymond James & Associates, Inc. Balance information and share activity are shown for your convenience only

SIPC - Raymond James carries account protection equal to the amount of total net equity of securities held in custody for your account. The firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities customers of its members up to $500,000, including $100,000 in claims for cash awaiting investment (SIPC coverage). An explanatory brochure is available upon request by calling 202-371-8300 or visiting sipc.org. Excess protection is provided by Customer Asset Protection Company (CAPCO), a licensed Vermont insurer. This coverage does not protect against market fluctuations. Raymond James Bank Deposit Program balances are insured by the FDIC (subject to FDIC limitations and guidelines, information is available at fdic.gov), and are not eligible for SIPC or excess SIPC coverage. Raymond James & Associates, Inc. and Raymond James Financial Services, Inc. are affiliated with Raymond James Bank, FSB, a federally chartered savings bank, member FDIC. Unless otherwise specified, products purchased from or held at Raymond James & Associates are not insured by the FDIC, are not deposits or other obligations of Raymond James Bank, are not guaranteed by Raymond James Bank and are subject to investment risk, including possible loss of the principal invested.

4425

RAYMOND JAMES®

January 31 to February 28, 2007

Investment Account Summary

Account No: [redacted]

Closing Value $35,739.06

DONALD G MILLER &
CANDICE S MILLER JT/WROS
28840 OLD NORTH RIVER RD
HARRISON TOWNSHIP MI
48045-1622404

RALPH KOSS
Raymond James & Associates, Inc.
19991 HALL ROAD | SUITE 105 | MACOMB TWP, MI 48044 | (800) 598-0080 (586) 416-0684 |
(586) 416-0684
raymondjames.com/mountclemens | Ralph.Koss@RaymondJames.com

Raymond James Client Services | 800-647-SERV (7378) | Monday through Friday 8 a.m. to 8 p.m.

Online Account Access | raymondjames.com/investoraccess

Investment Objectives

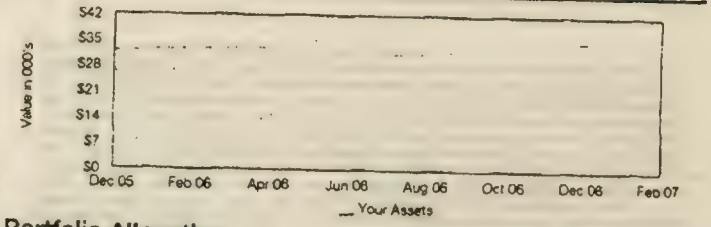
Primary Objective:
Growth with a medium risk tolerance and a time horizon exceeding 10 years

Secondary Objective:
Income with a medium risk tolerance and a time horizon exceeding 10 years.

Activity

	This Statement	Year to Date
Beginning Balance	$ 34,999.74	$ 35,030.48
Additions	$ 0.00	$ 0.00
Subtractions	$ 0.00	$ 0.00
Change in Market Value	$ 739.32	$ 708.58
Ending Balance	$ 35,739.06	$ 35,739.06
Purchases	$ 0.00	$ 0.00
Sales/Redemptions	$ 0.00	$ 0.00

Value Over Time



Portfolio Allocation

		Current Value	Percentage Allocation
Cash & Equivalents	$	10.21	0.02%
Equities	$	-	-
Mutual Funds	$	-	-
Fixed Income	$	-	-
Annuities	$	35,728.85	99.98%
Alternative Investments	$	-	-

Account owned by Raymond James & Associates Inc
Member New York Stock Exchange/SIPC
880 Carillon Parkway | St. Petersburg, FL 33716
raymondjames.com

10311040-41-1

4426

RAYMOND JAMES®

Your Portfolio
Investment Account No. [redacted]

Cash & Equivalents

Cash / Client Interest Program •

Description	(Symbol or CUSIP)	Value	Effective Yield	Estimated Annual Income
CASH HELD IN CLIENT INTEREST PROGRAM		$10.21		
Total for Cash / Client Interest Program		**$10.21**		$0.00

• Please See Client Interest Program on the Understanding Your Statement Page

Cash & Equivalents Total	**$10.21**		**$0.00**

Annuities §

Description (Contract Number)	Cash Surrender Value	Policy Value	Net Premium Contributed	Unrealized Gain or (Loss)	Additional Detail
JACKSON NATIONAL-PERSPECTIVE NON-QUALIFIED (009067383A)	$35,593.48	$35,728.85	$40,039.00	$(4,310.15)	OWNER: DONALD G MILLER ANNUITANT DONALD G MILLER ISSUED: 10/05/1999

Sub-Accounts	Units	Unit Price	Valuation Date	Value
JNL/SELECT LARGE CAP GROWTH	260.269300	$23.192440	02/26/2007	$6,036.28
JNL/SELECT GLOBAL GROWTH	363.430900	$21.864762	02/26/2007	$7,946.33
JNL/PUTNAM EQUITY	406.214400	$20.726173	02/26/2007	$8,419.27
JNL/PPM AMERICA VALUE EQUITY	644.033900	$20.692964	02/26/2007	$13,328.97

Annuities Total	**$35,728.85**	**$40,039.00**	**$(4,310.15)**

§ Please see Insurance & Annuities on Understanding Your Statement page

4427

RAYMOND JAMES®

Investment Account Summary

Account No: [redacted]

Closing Value $34,710.37

DONALD G MILLER &
CANDICE S MILLER JT/WROS
28840 OLD NORTH RIVER RD
HARRISON TOWNSHIP MI
48045-1622404

RALPH KOSS
Raymond James & Associates, Inc.
19991 HALL ROAD | SUITE 105 | MACOMB TWP, MI 48044 | (800) 598-0080 (586) 416-0664 |
(586) 416-0664
raymondjames.com/mountclemens | Ralph.Koss@RaymondJames.com

Raymond James Client Services | 800-647-SERV (7378) | Monday through Friday 8 a.m. to 6 p.m.

Online Account Access | raymondjames.com/investoraccess

Investment Objectives

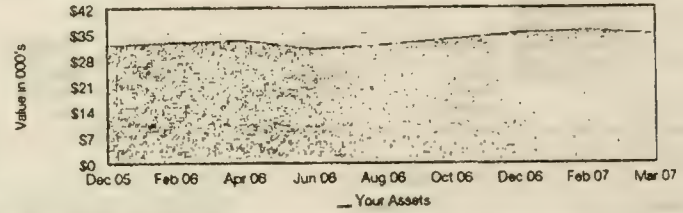
Primary Objective:
Growth with a medium risk tolerance and a time horizon exceeding 10 years.

Secondary Objective:
Income with a medium risk tolerance and a time horizon exceeding 10 years.

Activity

		This Statement		Year to Date
Beginning Balance	$	35,739.06	$	35,030.48
Additions	$	0.00	$	0.00
Subtractions	$	0.00	$	0.00
Change in Market Value	$	(1,028.69)	$	(320.11)
Ending Balance	$	34,710.37	$	34,710.37
Purchases	$	0.00	$	0.00
Sales/Redemptions	$	0.00	$	0.00

Value Over Time



Portfolio Allocation

		Current Value	Percentage Allocation
Cash & Equivalents	$	10.21	0.02%
Equities	$	-	-
Mutual Funds	$	-	-
Fixed Income	$	-	-
Annuities	$	34,700.16	99.98%
Alternative Investments	$	-	-

RAYMOND JAMES®

Your Portfolio

Investment Account No. [redacted]

Cash & Equivalents

Cash / Client Interest Program *

Description	(Symbol or CUSIP)	Value	Effective Yield	Estimated Annual Income
CASH HELD IN CLIENT INTEREST PROGRAM		$10.21		
Total for Cash / Client Interest Program		$10.21		$0.00

* Please See Client Interest Program on the Understanding Your Statement Page

Cash & Equivalents Total	$10.21	$0.00

Annuities §

Description (Contract Number)	Cash Surrender Value	Policy Value	Net Premium Contributed	Unrealized Gain or (Loss)	Additional Detail
JACKSON NATIONAL-PERSPECTIVE NON-QUALIFIED (009087383A) [redacted]	$34,664.77	$34,700.16	$40,039.00	$(5,338.84)	OWNER: DONALD G MILLER ANNUITANT. DONALD G MILLER ISSUED. 10/05/1999

Sub-Accounts	Units	Unit Price	Valuation Date	Value
JNL/SELECT LARGE CAP GROWTH	260.269300	$22.581303	03/28/2007	$5,877.22
JNL/SELECT GLOBAL GROWTH	363.430900	$21.285972	03/28/2007	$7,735.98
JNL/PUTNAM EQUITY	406.214400	$20.033657	03/28/2007	$8,137.96
JNL/PPM AMERICA VALUE EQUITY	644.033900	$20.108084	03/28/2007	$12,949.00

Annuities Total	$34,700.16	$40,039.00	$(5,338.84)

§ Please see Insurance & Annuities on Understanding Your Statement page

4429

RAYMOND JAMES®

February 28 to March 30, 2007

Retirement Account Summary

Account #: [redacted]

Closing Value $38,698.07

DONALD G MILLER IRA
RAYMOND JAMES & ASSOC INC CSDN
28840 OLD NORTH RIVER RD
HARRISON TOWNSHIP MI
48045-1622404

RALPH KOSS
Raymond James & Associates, Inc.
19991 HALL ROAD | SUITE 105 | MACOMB TWP, MI 48044 | (800) 598-0060 (586) 416-0664 |
(586) 416-0664
raymondjames.com/mountclemens | Ralph.Koss@RaymondJames.com

Raymond James Client Services | 800-647-SERV (7378) | Monday through Friday 8 a.m. to 6 p.m.

Online Account Access | raymondjames.com/investoraccess

Investment Objectives

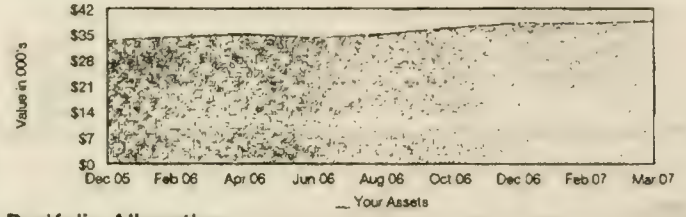
Primary Objective:
Growth with a medium risk tolerance and a time horizon exceeding 10 years.

Secondary Objective:
Income with a medium risk tolerance and a time horizon exceeding 10 years.

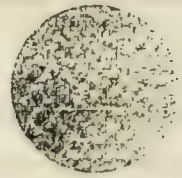
Activity

	This Statement	Year to Date
Beginning Balance	$ 37,951.91	$ 38,053.21
Additions	$ 0.00	$ 0.02
Subtractions	$ 0.00	$ (50.00)
Change in Market Value	$ 746.16	$ 694.84
Ending Balance	$ 38,698.07	$ 38,698.07
Purchases	$ 0.00	$ 0.00
Sales/Redemptions	$ 0.00	$ 50.00

Value Over Time



Portfolio Allocation



100.00%

		Current Value	Percentage Allocation
Cash & Equivalents	$	0.41	-
Equities	$	-	-
Mutual Funds	$	38,697.66	100.00%
Fixed Income	$	-	-
Annuities	$	-	-
Alternative Investments	$	-	-

[redacted]

4430

RAYMOND JAMES®

Your Portfolio

Retirement Account No. [redacted]

Cash & Equivalents

Raymond James Bank, FSB (Member FDIC)

Description	(Symbol or CUSIP)	Value	Effective Yield	Estimated Annual Income
RJ Bank Deposit Program		$0.41	4.64%	$0.01
Raymond James Bank, FSB Totals		$0.41		$0.01
Cash & Equivalents Total		$0.41		$0.01

Mutual Funds

Open-End Funds

Description	(Symbol)	Quantity	Amount Invested	Total Cost Basis	Price	Value	Effective Yield	Estimated Annual Income	Gain or (Loss)
AIM CHARTER FUND CLASS B M/F	(BCHTX)	2,572.983	$47,327.28	$54,720.22	$15.04	$38,697.66	0.15%	$58.61	$(16,022.56)
Open-End Funds Total			$47,327.28	$54,720.22		$38,697.66	0.15%	$58.61	$(16,022.56)
Mutual Funds Total				$54,720.22		$38,697.66	0.15%	$58.61	$(16,022.56)

4431

RAYMOND JAMES®

Your Activity

Retirement Account No. [redacted]

Activity Summary

Additions

Type	This Statement	Year to Date
Dividends at Heritage Cash Trust	$0.00	$0.02
Total Additions	**$0.00**	**$0.02**

Subtractions

Type	This Statement	Year to Date
Withdrawals	$0.00	$(50.00)
Total Subtractions	**$0.00**	**$(50.00)**

Sales / Redemptions

Type	This Statement	Year to Date
Sales	$0.00	$50.00
Total Sales/Redemptions	**$0.00**	**$50.00**

Cash Sweep Transfers

Type	This Statement
Transfers FROM	$0.41
Transfers TO	$(0.82)
Net Transfers	**$(0.41)**

Activity Detail

Date	Activity Category	Activity Type	Description (Symbol or CUSIP)	Quantity	Price	Amount	Cash Balance	Additional Detail
			Beginning Balance				$0.00	$0.00 RJ Bank Deposit Program Balance
03/23/2007	Cash Sweep	Transfer From	HERITAGE CASH TRUST (HCTXX)			$0.41	$0.00	FUNDS TO BROKERAGE CASH $0.00 Heritage Cash Trust Balance
03/24/2007	Cash Sweep	Transfer To	Cash			$0.41	$0.41	REC FDS FR HERITAGE CASH TRUST
03/24/2007	Cash Sweep	Transfer To	Raymond James Bank Deposit Program			$(0.41)	$0.00	$0.41 RJ Bank Deposit Program Balance

[redacted]

4432

RAYMOND JAMES®

Your Activity (continued)

Retirement Account No. [redacted]

Cash Sweep Activity Recap

Heritage Cash Trust

Date	Activity Type	Amount	Balance
02/28/2007	Beginning Balance		$0.41
03/23/2007	Transfer From	$(0.41)	$0.00

RJ Bank Deposit Program

Date	Activity Type	Amount	Balance
02/28/2007	Beginning Balance		$0.00
03/24/2007	Transfer To	$0.41	$0.41

Realized Capital Gains & Losses °

Long Term

Description	(Symbol or CUSIP)	Quantity	Opening Date	Opening Amount	Closing Date	Closing Amount	Gain or (Loss) Pct.	Gain or (Loss)
AIM CHARTER FUND CLASS B M/F	(BCHTX)	3.376	11/29/1999	$74.29	01/17/2007	$50.00	(32.69)%	$(24.29)
Net Long-Term Gain / Loss Total				$74.29		$50.00	(32.70)%	$(24.29)

° Please see Cost Basis on the Understanding Your Statement page

Summary of Gains & Losses

Short-Term Gain	$0.00
Short-Term Loss	$0.00
Long-Term Gain	$0.00
Long-Term Loss	$(24.29)
Net Gain / Loss Total	$(24.29)

[redacted]

4433

RAYMOND JAMES®

Your Retirement Plan Summary

Retirement Account No. [redacted]

Retirement Plan Summary

Activity Summary

Contribution Summary	Total
Contributions for 2007	$0.00
Contributions for 2006	$0.00
Rollover Contributions	$0.00

Distribution Summary	Year to Date
Gross Distributions	$0.00
Federal Withholding	$0.00
State Withholding	$0.00
Net Distributions	$0.00

Beneficiaries

Primary Beneficiaries

CANDICE S MILLER	100.00%

Contingent Beneficiaries

4434

This Page Intentionally Left Blank

RAYMOND JAMES®

Retirement Account Summary

[redacted]

Closing Value $10,119.11

CANDICE S MILLER IRA
RAYMOND JAMES & ASSOC INC CSDN
28840 OLD NORTH RIVER RD
HARRISON TOWNSHIP MI
48045-1622404

RALPH KOSS
Raymond James & Associates, Inc.
19991 HALL ROAD | SUITE 105 | MACOMB TWP, MI 48044 | (800) 598-0060 (586) 416-0664 |
(586) 416-0664
raymondjames.com/mountclemens | Ralph.Koss@RaymondJames.com

Raymond James Client Services | 800-647-SERV (7378) | Monday through Friday 8 a.m. to 6 p.m.

Online Account Access | raymondjames.com/investoraccess

Investment Objectives

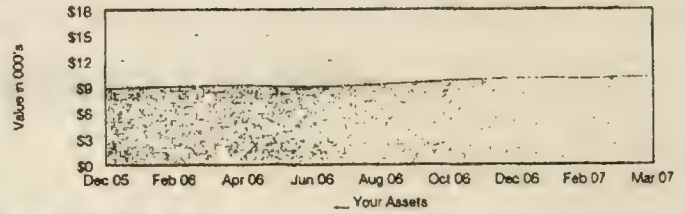
Primary Objective:
Growth with a medium risk tolerance and a time horizon exceeding 10 years.

Secondary Objective:
Income with a medium risk tolerance and a time horizon exceeding 10 years.

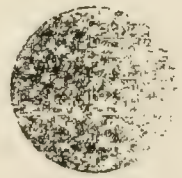
Activity

		This Statement		Year to Date
Beginning Balance	$	9,924.01	$	9,987.30
Additions	$	0.00	$	0.02
Subtractions	$	0.00	$	(50.00)
Change in Market Value	$	195.10	$	181.79
Ending Balance	$	10,119.11	$	10,119.11
Purchases	$	0.00	$	0.00
Sales/Redemptions	$	0.00	$	50.00

Value Over Time



Portfolio Allocation



100.00%

		Current Value	Percentage Allocation
Cash & Equivalents	$	0.81	-
Equities	$	-	-
Mutual Funds	$	10,118.30	100.00%
Fixed Income	$	-	-
Annuities	$	-	-
Alternative Investments	$	-	-

4436

RAYMOND JAMES®

Your Portfolio
Retirement Account No. [redacted]

Cash & Equivalents

Raymond James Bank, FSB (Member FDIC)

Description (Symbol or CUSIP)	Value	Effective Yield	Estimated Annual Income
RJ Bank Deposit Program	$0.81	4.64%	$0.03
Raymond James Bank, FSB Totals	$0.81		$0.03
Cash & Equivalents Total	$0.81		$0.03

Mutual Funds

Open-End Funds

Description (Symbol)	Quantity	Amount Invested	Total Cost Basis	Price	Value	Effective Yield	Estimated Annual Income	Gain or (Loss)
AIM CHARTER FUND CLASS B M/F (BCHTX)	872.759	$12,332.04	$14,299.01	$15.04	$10,118.30	0.15%	$14.80	$(4,180.71)
Open-End Funds Total		$12,332.04	$14,299.01		$10,118.30	0.15%	$14.80	$(4,180.71)
Mutual Funds Total			$14,299.01		$10,118.30	0.15%	$14.80	$(4,180.71)

4437

RAYMOND JAMES®

Your Activity

Retirement Account No. [redacted]

Activity Summary

Additions

Type	This Statement	Year to Date
Dividends at Heritage Cash Trust	$0.00	$0.02
Total Additions	**$0.00**	**$0.02**

Subtractions

Type	This Statement	Year to Date
Withdrawals	$0.00	$(50.00)
Total Subtractions	**$0.00**	**$(50.00)**

Sales / Redemptions

Type	This Statement	Year to Date
Sales	$0.00	$50.00
Total Sales/Redemptions	$0.00	$50.00

Cash Sweep Transfers

Type	This Statement
Transfers FROM	$0.81
Transfers TO	$(1.62)
Net Transfers	$(0.81)

Activity Detail

Date	Activity Category	Activity Type	Description (Symbol or CUSIP)	Quantity	Price	Amount	Cash Balance	Additional Detail
			Beginning Balance				$0.00	$0.00 RJ Bank Deposit Program Balance
03/23/2007	Cash Sweep	Transfer From	**HERITAGE CASH TRUST (HCTXX)**			$0.81	$0.00	FUNDS TO BROKERAGE CASH $0.00 Heritage Cash Trust Balance
03/24/2007	Cash Sweep	Transfer To	**Cash**			$0.81	$0.81	REC FDS FR HERITAGE CASH TRUST
03/24/2007	Cash Sweep	Transfer To	**Raymond James Bank Deposit Program**			$(0.81)	$0.00	$0.81 RJ Bank Deposit Program Balance

4438

RAYMOND JAMES®

Cash Sweep Activity Recap

Heritage Cash Trust

Date	Activity Type	Amount	Balance
02/28/2007	Beginning Balance		$0.81
03/23/2007	Transfer From	$(0.81)	$0.00

RJ Bank Deposit Program

Date	Activity Type	Amount	Balance
02/28/2007	Beginning Balance		$0.00
03/24/2007	Transfer To	$0.81	$0.81

Realized Capital Gains & Losses °

Long Term

Description	(Symbol or CUSIP)	Quantity	Opening Date	Opening Amount	Closing Date	Closing Amount	Gain or (Loss) Pct.	Gain or (Loss)
AIM CHARTER FUND CLASS B M/F	(BCHTX)	3.376	11/29/1999	$74.29	01/17/2007	$50.00	(32.69)%	$(24.29)
Net Long-Term Gain / Loss Total				$74.29		$50.00	(32.70)%	$(24.29)

° Please see Cost Basis on the Understanding Your Statement page

Summary of Gains & Losses

Short-Term Gain	$0.00
Short-Term Loss	$0.00
Long-Term Gain	$0.00
Long-Term Loss	$(24.29)
Net Gain / Loss Total	$(24.29)

RAYMOND JAMES®

Your Retirement Plan Summary

Retirement Account No. [redacted]

Retirement Plan Summary

Activity Summary

Contribution Summary	Total
Contributions for 2007	$0.00
Contributions for 2006	$0.00
Rollover Contributions	$0.00

Distribution Summary	Year to Date
Gross Distributions	$0.00
Federal Withholding	$0.00
State Withholding	$0.00
Net Distributions	$0.00

Beneficiaries

Primary Beneficiaries

DONALD G MILLER	100.00%

Contingent Beneficiaries

4440

This Page Intentionally Left Blank

Perspective Fixed & Variable Annuity

Quarterly Statement
For the Period of 01/01/2007 to 03/30/2007

www.jnl.com

JACKSON
NATIONAL LIFE INSURANCE COMPANY

Prepared for:
DONALD G MILLER
CANDICE S MILLER
28840 OLD N RIVER RD
HARRISON TWP MI 48045

Your Representative:
RALPH J KOSS
STE 105
19991 HALL RD
MACOMB MI 48044-4254

Broker/Dealer:
RAYMOND JAMES & ASSOCIATES INC

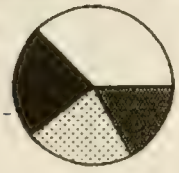
Activity Summary

	This Quarter	Year To Date	Since Inception
Value on 12/29/06	$34,811.12		
Total Investments	$0.00	$0.00	$40,639.00
Total Withdrawals	$0.00	$0.00	$0.00
Total Tax Withheld	$0.00	$0.00	$0.00
Net Change	($17.67)		
Value on 3/30/07	$34,793.45		

Contract Information as of 03/30/2007

Contract Number:	[redacted]
Type of Plan:	Non-qualified
Inception Date:	10/5/99
Death Benefit:	$57,146.45
Annuitant(s):	DONALD G MILLER
Owner(s):	DONALD G MILLER CANDICE S MILLER

Your Current Allocation



JNL/Select Large Cap Growth Fnd	16.96%
JNL/Select Global Growth Fund	22.34%
JNL/Putnam Equity	23.36%
JNL/PPM America Value Equity	37.34%
	100.00%

We've moved! Our new express mail address is listed below. Please continue to use our P.O. Box for all standard delivery mail.

The Perspective Fixed and Variable Annuity (VA200 Not available in all states. State variations may apply.) is issued by Jackson National Life Insurance Company®, Lansing, MI. Jackson National Life Distributors LLC, member NASD.
7601 Technology Way, Denver CO 80237

Please include your contract number on your check when sending additional premium.

Questions about your statement or contract?

Contact your representative indicated above.

Jackson Service Center, P.O. Box 17240, Denver, CO 80217-0204; or; Express mail: Jackson Service Center, 7601 Technology Way Denver, CO 80237

Visit us online at www.jnl.com

24-hour automated service: 1-800/766-4683
Service representatives available Mon. - Fri. 8am - 8pm ET

Perspective Fixed & Variable Annuity

Quarterly Statement

Contract No: [redacted]

Owner(s): DONALD G MILLER
CANDICE S MILLER

Your Perspective Fixed and Variable Annuity Holdings Summary

Portfolio Listed by sub-advisor	Future % Allocation	Number of Units	Unit Values at Quarter End	Market Values at Quarter End
PPM America				
JNL/PPM America Value Equity	30	644.0339	20.172493	$12,991.77
Putnam				
JNL/Putnam Equity	25	406.2144	20.005365	$8,126.47
Select				
JNL/Select Large Cap Growth Fnd	20	260.2693	22.675220	$5,901.66
JNL/Select Global Growth Fund	25	363.4309	21.389335	$7,773.55
Portfolio Allocation	**100%**		**Total Contract Value §**	**$34,793.45**

Your Quarterly Transaction Detail

Date	Portfolio	Transaction Type	Number of Units	Transaction Unit Values	Transaction Amount
3/30/07	No transactions this quarter				

§ This amount is your Contract Value as of the date of this statement. The Withdrawal Value of your Contract may be equal to or less than the Contract Value. Please see the Definitions section of your Contract for a description of how the Contract Value and Withdrawal Value are calculated.

Please review your statement carefully and notify our Service Center of any potential error within 30 days.

OPPENHEIMER

Oppenheimer & Co. Inc.
125 Broad Street
New York, NY 10004
(212) 668-8000
Member of All Principal Exchanges

STATEMENT OF ACCOUNT

SIPC

News and Information

Items for Attention
Assets maturing during the next 60 days appear at the end of the statement.

Tax Season Reminder: Don't forget that you have until April 16th to maximize your 2006 IRA contribution. Please contact your Financial Advisor about possibly increasing your IRA contributions or with any questions you may have concerning your retirement plan options.

For clients that may have received interest income from Municipal Bonds, or other assets such as Mutual Funds that contain municipal securities, the IRS has required that these amounts be reported on your 1099-INT for the 2006 tax year. Although the tax exempt reference has not been altered, please consult your tax advisor should you have specific tax filing concerns.

Account Number: B87-3108928
For the Period: 01/01/07 - 01/31/07

Tax ID Number: ON FILE
Last Statement: 12/31/06
Page 1 of 3

004163

OPPENHEIMER & CO INC CUSTODIAN
FBO CANDICE MILLER IRA
28840 OLD NORTH RIVER
HARRISON TOWNSHIP MI 48045

4444

Portfolio Summary

	This Period 01/31/07	Previous Period 12/31/06	Estimated Annual Income
Equities	$8,558.80	$0.00	$105.03
Mutual Funds	2,610.46	10,875.35	26.70
Total Asset Value	$11,169.26	$10,875.35	$131.73

Note: Value of unpriced securities and accrued interest is not reflected in Total Asset Value.

Financial Advisor
TREPANIER, ROBERT L - P23
(586) 726-5000

Internet Address: www.opco.com

Office Serving Your Account
12900 HALL ROAD SUITE 100
STERLING HEIGHTS MI 48313

Income Summary

Reportable	This Period	Year to Date
Dividends	$103.75	$103.75
Total Reportable	$103.75	$103.75

Cash Activity Summary

Opening Cash Balance	$0.00
Net Miscellaneous Credits/Debits	-103.75
Net Income Activity	103.75
Closing Cash Balance	$0.00

REGULATIONS

All transactions in your account are subject to the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearing house, if any, where the transactions are executed, and if not executed on an exchange, of the National Association of Securities Dealers, Inc.

2. **FREE CREDIT BALANCES**

Your closing cash balance is held unsegregated and may be used by us in the operation of our business subject to the limitations of Rule 15c3-3 under the Securities Exchange Act of 1934. You have the absolute right to receive, in the normal course of business, any free credit balance and any fully paid securities to which you are entitled, subject to any open commitments in any of your accounts.

3. **INTEREST/DIVIDENDS**

We are required by law to report annually to you and to the Internal Revenue Service (IRS) on Form 1099 certain interest and dividend income credited to your account. The income that we report is usually the amount printed in the Income Summary in the Year-to-Date section of the last statement that you receive for each calendar year, however, certain reclassifications may alter these amounts and categories, which the IRS requires on Form 1099. Money market dividends are not eligible for the dividend exclusion.

4. **SUBSTITUTE FOR 1099-B**

We are required to report to the IRS and to you on Form 1099-B or a substitute the proceeds realized from each disposition of securities as required from time to time by the IRS. We are using the appropriate sales trade confirmation as our report to you by printing the legend "Form 1099-B Substitute". We report gross proceeds for each transaction to the IRS. Please retain each sales confirmation for income tax purposes.

5. **OPTION ACCOUNTS**

a. *Random Method of Allocation*

Exercise assignment notices for option contracts are allocated pursuant to a manual procedure which randomly selects from among all client short option positions, including positions established on the day of assignment, those contracts which are subject to exercise. The writer of an American-style option is subject to being assigned an exercise at any time after he/she has written the option until the option expires. By contrast, the writer of a European-style option is subject to exercise assignment only during the exercise period. A more detailed description of our random allocation procedure is available upon request.

b. Transactions

Information with respect to commissions and other charges related to the execution of option transactions has been included on confirmations of such transactions previously furnished to you. A summary of such information will be made promptly available to you upon your request.

c. Investment Objectives

Please promptly advise us in writing of any material change in your financial situation and/or investment objectives of your accounts at Oppenheimer.

6. **MARGIN ACCOUNTS**

You are entitled to receive securities purchased on margin upon full payment of any indebtedness to us. We reserve the right to limit margin purchases in accordance with our policies as modified from time to time and in accordance with regulatory margin requirements. Any market increases and/or decreases will be marked-to-the-market on the basis of the daily closing price. If your account is not a cash account, this statement may be a combined statement of your general account and of a special memorandum account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the separate accounts as required by Regulation T is available for your inspection upon request.

7. **SHORT ACCOUNT BALANCES**

The proceeds of securities sold which you do not own (short sales) appear in your type 3 account in accordance with existing regulations. Any market increases and/or decreases from the original sale price will be marked-to-the-market on the basis of the daily closing price.

8. **DATES**

Dates shown on purchase and sale transactions are settlement dates.

You may have received confirmation for transactions which do not appear on your statement. If the settlement dates for the transactions shown on the confirmations are later than the date that appears at the top center of this statement, the transactions will appear on your next regular monthly statement.

9. **TRANSFER OF ACCOUNT**

We can assign your accounts to anyone unless you give us written notice to the contrary at the time. This right will inure to the benefit of anyone to whom we transfer your accounts.

10. **CALLABLE BONDS AND PREFERRED STOCK**

Corporate and municipal bonds and preferred stock held in our nominee name are held in bulk segregation. In the event of a call for less than an entire issue or series of these securities, the securities to be called will be automatically selected on a random basis from those held in bulk. The probability that your securities will be selected is proportional to the amount of your holdings relative to those of our other clients. A more detailed description of our random selection procedure is available upon request.

11. **OPEN ORDERS**

All previous open orders must be cancelled when a new open order is placed. You will be responsible for errors that occur because of your failure to cancel an open order. The price specified in buy and sell stop orders will be reduced by the amount of dividends or rights on the ex-dividend or ex-rights date.

12. **MULTI-TRADED SECURITIES**

Orders for options or other securities traded in more than one market will be executed in a market chosen by us unless you give us specific instructions to execute the order in a specific market.

13. **SECURITIES HELD BY YOU**

Securities which you may be holding in your personal possession (or your safe deposit box) will not appear on this statement.

14. **PORTFOLIO POSITIONS**

The amounts printed in the market value column of this section are month-end prices provided by us by outside quotation services for securities currently held by us in your account. Prices of municipal bonds, certain over-the-counter securities and federal obligations are approximations and are only for guidance purposes. For an actual quote, please contact your Financial Advisor. The prices used are based on the last reported recent transaction known to the quotation services and do not include estimated selling commissions. Oppenheimer cannot guarantee the accuracy or availability of the prices obtained from the quotation services or of the yields or values which are calculated on the basis of these prices.

15. **MONEY FUNDS**

The quantity represents shares owned as of the close of business on the statement period ending date. Average yield is a net annualized yield for the dividend period shown. The yield indicated fluctuates with short-term interest rates and should not be construed as representative of future results.

16. **TOTAL ACCOUNT EQUITY**

This figure represents the approximate total dollar value of all your accounts on the statement date, including all money funds, based on the combination of the settled money balances, if any, and the value of all settled security and option positions. See "Portfolio Positions", above, for a description of the means used to price securities.

17. **SECURITIES PROTECTION**

We are a member of the Securities Investor Protection Corporation (SIPC). This means that securities held by us in your account are protected up to a maximum of $500,000 per client, including up to a maximum of $100,000 for cash. In addition, securities in your account are covered up to $74.5 million per account under an excess insurance policy maintained by the firm.

18. **FINANCIAL STATEMENT**

A financial statement of Oppenheimer is available for your inspection at our main office. A copy will be mailed to you upon written request.

19. **ERRORS AND OMISSIONS**

Please notify us within ten business days if you believe there is any inaccuracy in any transaction or balance reflected on this statement. Please be sure to note your account number.

20. **AUTOMATED CUSTOMER ACCOUNT TRANSFERS**

Customer is hereby informed there are inherent costs incurred by Oppenheimer relating to the transfer of domestic security positions. Oppenheimer charges $75.00 for outgoing Automated Customer Account Transfers. In addition, there may be foreign custody charges that are passed along from the various custodial agents. These charges are substantial and range from $50.00 to $250.00 per item depending on what country the securities are held in.

21. **SERVICE FEES**

A service fee of $25.00 per calendar quarter will be charged to all accounts inactive during the prior 12-month period.

22. **CORRESPONDENT ACCOUNTS**

Customer funds or securities are located at Oppenheimer & Co. Inc.

23. **SELF-DIRECTED IRA FEE SCHEDULE**

Annual Fee (billed in December) - up to $40.00, Distribution Fee - $5.00, (each remittance under $250.00), Termination Fee - $50.00, Roth Conversions/Recharacterizations - No charge for first time. Subsequent Roth Conversions/Recharacterizations - $50.00 each. Special services not otherwise provided - As agreed upon.

OPPENHEIMER

Oppenheimer & Co. Inc.
125 Broad Street
New York, NY 10004
(212) 668-8000
Member of All Principal Exchanges

STATEMENT OF ACCOUNT

SIPC

OPPENHEIMER & CO INC CUSTODIAN
FBO CANDICE MILLER IRA
28840 OLD NORTH RIVER

Account Number [redacted]

Financial Advisor: TREPANIER, ROBERT L - F23

Period Ending: 01/31/07

Portfolio Holdings

Equities

Closed End Funds

Please note the following icon ■ appears to the right of the stock symbol of those securities which Oppenheimer has provided research coverage within the last 90 days. If you wish to access such research you may visit the Client Access web site (www.opco.com) or speak with your Financial Advisor.

Description	Account Type	Quantity	Symbol	Current Price	Current Value	Inc. Rate or Yield	Annual Income	Portfolio Percent
PRINCIPAL INVS SAM CONSERV GRWTH FD A OPEN END	REINV	502.572		17.03	8,558.80	0.20%	105	76.63
SUB-TOTAL CLOSED END FUNDS					8,558.80		105	76.63
TOTAL EQUITIES					8,558.80		105	76.63

Mutual Funds

Description	Account Type	Quantity	Symbol	Current Price	Current Value	Inc. Rate or Yield	Annual Income	Portfolio Percent
INVESTMENT CO OF AMER CL B OPEN END	REINV	77.187	AICBX	33.82	2,610.46	$0.34	26	23.37
TOTAL MUTUAL FUNDS					2,610.46		26	23.37

Total Portfolio Value	Current Value	Inc. Rate or Yield	Annual Income	Portfolio Percent
	$11,169.26	.37	131	100%

Transactions/Activity Detail

Date	Type	Quantity	Transaction	Description	Price/Entry	Amount
				** MISCELLANEOUS ACTIVITY **		
01-02	CASH	6.183	JOURNAL	WM CONS GROWTH PORTFOLIO CL A OPEN END DIVIDEND REINVESTMENT @ 16.780	ON 496.3890 SHARES	103.75 DEBIT
01-16	CASH	-502.572	JOURNAL	WM CONS GROWTH PORTFOLIO CL A OPEN END FUND MERGER NON TAXABLE	DTD 011207 PX 16.930	

4446

OPPENHEIMER & CO INC CUSTODIAN
FBO CANDICE MILLER IRA
28840 OLD NORTH RIVER

Account Number	Financial Advisor	Period Ending
887-3108928	TREPANIER, ROBERT L - F23	01/31/07

Date	Type	Quantity	Transaction	Description	Price/Entry	Amount
01-22	CASH	502.672	JOURNAL	PRINCIPAL INVS SAM CONSERV GRWTH FD A FUND MERGER		
				Net Miscellaneous Credits/Debits.....		$103.75 DEBIT

Date	Type	Quantity	Transaction	Description	Price/Entry	Amount
				** INCOME ACTIVITY **		
01-02	CASH		DIVIDENDS ON	WM CONS GROWTH PORTFOLIO CL A OPEN END DIVIDEND REINVESTMENT @ 16.780 ON 496.3890 SHARES		103.75 CREDIT
				Net Income Activity.....		$103.75 CREDIT

Cash and securities held by us in your client account(s) are protected in two ways. Through our membership in SIPC (Securities Investor Protection Corp), protection is provided up to $500,000, of which as much as $100,000 can be in cash. The firm supplements this by providing similar protection for the remainder of the cash and/or securities up to $75,000,000 that we hold on your behalf.

**** THIS IS THE END OF YOUR STATEMENT. WE THANK YOU FOR BEING A VALUED CLIENT. ****

4447

OPPENHEIMER

Oppenheimer & Co. Inc.
125 Broad Street
New York, NY 10004
(212) 668-8000
Member of All Principal Exchanges

STATEMENT OF ACCOUNT

SIPC

DONALD G MILLER AND
CANDICE MILLER JTTEN
28840 OLD NORTH RIVER ROAD

Page	Account Number	Financial Advisor	Period Ending
2 of 4	[redacted]	TREPANIER, ROBERT L - F23	03/31/07

Portfolio Holdings

Money Market Funds

Description	Account Type	Quantity	Symbol	Current Price	Current Value	Inc. Rate or Yield	Annual Income	Portfolio Percent
JP MORGAN MICH MUNI MMKT FD	CASH	27.28	WMIXX	1.00	27.28	3.20%		0.04
TOTAL MONEY MARKET FUNDS					27.28			0.04

Mutual Funds

Description	Account Type	Quantity	Symbol	Current Price	Current Value	Inc. Rate or Yield	Annual Income	Portfolio Percent
EATON VANCE TAX-MANAGED GROWTH FD B OPEN END	REINV	2,075.067	EMTGX	24.89	51,648.41		10	76.05
EATON VANCE TRADITIONAL MICH MUNICIPALS FD CL A OPEN END TAX FREE	REINV	256.288	ETMIX	9.68	2,480.96	$0.40	103	3.65
EV MICH MUNI FD CL B OPEN END TAX FREE	REINV	1,415.697	EVMIX	9.72	13,760.57	$0.33	472	20.26
TOTAL MUTUAL FUNDS					67,889.94		587	99.96

	Current Value	Inc. Rate or Yield	Annual Income	Portfolio Percent
Total Portfolio Value	$67,917.22	0.86	587	100%

4448

Transactions/Activity Detail

Date	Type	Quantity	Transaction	Description	Price/Entry	Amount
				** MISCELLANEOUS ACTIVITY **		
03-01	CASH	0.807	JOURNAL	EATON VANCE TRADITIONAL MICH MUNICIPALS FD CL A DIVIDEND REINVESTMENT @ 9.770 ON 255.4910 SHARES		7.88 DEBIT
03-01	CASH	3.686	JOURNAL	EV MICH MUNI FD CL B OPEN END DIVIDEND REINVESTMENT @ 9.810 ON 1412.0110 SHARES		36.16 DEBIT
				Net Miscellaneous Credits/Debits.....		$44.04 DEBIT

Date	Type	Quantity	Transaction	Description	Price/Entry	Amount
				** INCOME ACTIVITY **		
03-01	CASH		INTEREST ON	EATON VANCE TRADITIONAL MICH MUNICIPALS FD CL A DIVIDEND REINVESTMENT @ 9.770 ON 255.4910 SHARES		7.88 CREDIT
03-01	CASH		INTEREST ON	EV MICH MUNI FD CL B OPEN END DIVIDEND REINVESTMENT @ 9.810 ON 1412.0110 SHARES		36.16 CREDIT
03-16	CASH		DIVIDENDS ON	JPMORGAN TR II MICH MUN MMK P		.04 CREDIT
				Net Income Activity.....		$44.08 CREDIT

Date	Type	Quantity	Transaction	Description	Price/Entry	Amount
				** MONEY FUND ACTIVITY **		
03-16	CASH	0.04	RECEIVED	JPMORGAN TR II MICH MUN MMK P DIVIDEND SHARES REINVESTED		.04 DEBIT

The Total Money Funds purchased and redeemed represent the total of automatic daily sweeps into and out of your account as per your standing instructions.

Total Money Funds Purchased: .00
Total Money Funds Redeemed: .00

Net Money Fund Cash Activity..... $0.04 DEBIT

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

INSIDE MAIL

(5)

LEGISLATIVE RESOURCE CENTER
2007 JUN 13 PM 3:37
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

MC

GERALD Mark MCNERNEY
(Full Name)

312 CHOB
(Mailing Address)

202 225 1947
Daytime Telephone

Filer Status
- [x] Member of the U.S. House of Representatives — State: CA District: 11
- [ ] Officer or Employee — Employing Office:

Report Type
- [x] Annual (May 15)
- [ ] Amendment
- [ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [x] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [x]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [x] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes [ ] No [x]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [x] No [ ]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [x]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.** — Yes [ ] No [x]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [x] No [ ]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [x]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [x]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [x]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Gerald Mark McNerney	6/12/07

4450

Name GERALD MCNERNEY | Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
REGENTS - University of California	Lecture Series	$1500.00
GARNET ENERGY Company	Consulting	$1150.00

For payments to charity in lieu of honoraria, use Schedule II.

OPPENHEIMER

Oppenheimer & Co. Inc.
125 Broad Street
New York, NY 10004
(212) 668-8000
Member of All Principal Exchanges

STATEMENT OF ACCOUNT

SIPC

DONALD G MILLER AND
CANDICE MILLER JTTEN
28840 OLD NORTH RIVER ROAD

Page	Account Number	Financial Advisor	Period Ending
4 of 4	[redacted]	TREPANIER, ROBERT L - F23	03/31/07

Important Information

CUSTOMER AVAILABILITY OF OPPENHEIMER'S FINANCIAL STATEMENT

The Company's Audited Consolidated Statement of Financial Condition as at December 31, 2006, together with footnotes, prepared in accordance with SEC Rule 17a-5 has been posted on the Company's web-site - www.opco.com. Paper copies of this document are available at the offices of Oppenheimer & Co. Inc., upon request, by calling 1-800-221-5588. The part of the footnote relating to the Company's net capital requirements as at December 31, 2006 has been reproduced below for your information.

Net Capital Requirements: The Company is subject to the uniform net capital requirements of the Securities and Exchange Commission ("SEC") under Rule 15c3-1 (the "Rule"). Oppenheimer computes its net capital requirements under the alternative method provided for in the Rule which requires that Oppenheimer maintain net capital equal to 2% of aggregate customer related debit items, as defined. At December 31, 2006, Oppenheimer had net capital of $161,284,232 which exceeded required net capital by $137,233,527.

Cash and securities held by us in your client account(s) are protected in two ways. Through our membership in SIPC (Securities Investor Protection Corp), protection is provided up to $500,000, of which as much as $100,000 can be in cash. The firm supplements this by providing similar protection for the remainder of the cash and/or securities up to $75,000,000 that we hold on your behalf.

**** THIS IS THE END OF YOUR STATEMENT. WE THANK YOU FOR BEING A VALUED CLIENT. ****

4452

Oppenheimer & Co. Inc.
125 Broad Street
New York, NY 10004
(212) 668-8000
Member of All Principal Exchanges

STATEMENT OF ACCOUNT

Account Number [redacted]

Financial Advisor: TREPANIER, ROBERT L - F23

Period Ending: 03/31/07

OPPENHEIMER & CO INC CUSTODIAN
FBO DONALD MILLER IRA
28840 OLD NORTH RIVER RD

Portfolio Holdings

Equities

Closed End Funds

Please note the following icon ▣ appears to the right of the stock symbol of those securities which Oppenheimer has provided research coverage within the last 90 days. If you wish to access such research you may visit the Client Access web site (www.opco.com) or speak with your Financial Advisor.

Description	Account Type	Quantity	Symbol	Current Price	Current Value	Inc. Rate or Yield	Annual Income	Portfolio Percent
PRINCIPAL INVS SAM CONSERV GROWTH PORTFOLIO OPEN END	REINV	909.967		16.33	14,859.76	0.09%	88	56.39
PRINCIPAL INVS SAM CONSERV GRWTH FD A OPEN END	REINV	515.623		16.99	8,760.43	0.20%	107	33.24
SUB-TOTAL CLOSED END FUNDS					23,620.19		196	89.63
TOTAL EQUITIES					23,620.19		196	89.63

Mutual Funds

Description	Account Type	Quantity	Symbol	Current Price	Current Value	Inc. Rate or Yield	Annual Income	Portfolio Percent
INVESTMENT CO OF AMER CL B OPEN END	REINV	81.412	AICBX	33.56	2,732.18	$0.35	28	10.37
TOTAL MUTUAL FUNDS					2,732.18		28	10.37

	Current Value	Inc. Rate or Yield	Annual Income	Portfolio Percent
Total Portfolio Value	$26,352.37	0.85	224	100%

OPPENHEIMER & CO INC CUSTODIAN
FBO DONALD MILLER IRA
28840 OLD NORTH RIVER RD

Account Number	Financial Advisor	Period Ending
[redacted]	TREPANIER, ROBERT L - F23	03/31/07

Transactions/Activity Detail

Date	Type	Quantity	Transaction	Description	Price/Entry	Amount
				** MISCELLANEOUS ACTIVITY **		
03-06	CASH	0.239	JOURNAL	INVESTMENT CO OF AMER CL B OPEN END DIVIDEND REINVESTMENT @ 32.810 ON 81.1730 SHARES		7.83 DEBIT
03-26	CASH		FEE	IRA ANNUAL CUSTODIAN FEE		40.00 DEBIT
				Net Miscellaneous Credits/Debits.....		$47.83 DEBIT

Date	Type	Quantity	Transaction	Description	Price/Entry	Amount
				** INCOME ACTIVITY **		
03-06	CASH		DIVIDENDS ON	INVESTMENT CO OF AMER CL B OPEN END DIVIDEND REINVESTMENT @ 32.810 ON 81.1730 SHARES		7.83 CREDIT
				Net Income Activity.....		$7.83 CREDIT

Date	Type	Quantity	Transaction	Description	Price/Entry	Amount
				** MONEY FUND ACTIVITY **		

The Total Money Funds purchased and redeemed represent the total of automatic daily sweeps into and out of your account as per your standing instructions.	Total Money Funds Purchased:	.00
	Total Money Funds Redeemed:	.59 CREDIT

Net Money Fund Cash Activity..... $0.59 CREDIT

OPPENHEIMER

Oppenheimer & Co. Inc.
125 Broad Street
New York, NY 10004
(212) 668-8000
Member of All Principal Exchanges

STATEMENT OF ACCOUNT

SIPC

News and Information

Account Number: [redacted]
For the Period: 02/01/07 - 03/31/07

Tax ID Number: ON FILE
Last Statement: 01/31/07

Items for Attention
Assets maturing during the next 60 days appear at the end of the statement.

Your attention is directed to the last page of your statement for important information on the availability of Oppenheimer's Statement of Financial Condition as of December 31, 2006.

[redacted]

OPPENHEIMER & CO INC CUSTODIAN
FBO DONALD MILLER IRA
28840 OLD NORTH RIVER RD
HARRISON TWP MI 48045

Portfolio Summary

	This Period 03/31/07	Previous Period 01/31/07	Estimated Annual Income
Money Market Funds	$0.00	$0.59	$0.00
Equities	23,620.19	23,695.40	196.02
Mutual Funds	2,732.18	2,745.27	28.49
Cash Balance	-39.41	0.00	
Total Asset Value	$26,312.96	$26,441.26	$224.51

Note: Value of unpriced securities and accrued interest is not reflected in Total Asset Value.

Financial Advisor
TREPANIER, ROBERT L - F23
(586) 726-5000

Internet Address: www.opco.com

Office Serving Your Account
12900 HALL ROAD SUITE 100
STERLING HEIGHTS MI 48313

Income Summary

Reportable	This Period	Year to Date
Dividends	$7.83	$202.01
Total Reportable	$7.83	$202.01

Cash Activity Summary

Opening Cash Balance	$0.00
Net Miscellaneous Credits/Debits	-47.83
Net Income Activity	7.83
Net Money Fund Cash Activity	0.59
Closing Cash Balance	$-39.41

4455

OPPENHEIMER & CO. INC. ("OPPENHEIMER") STATEMENT OF ACCOUNTS

1. **REGULATIONS**

All transactions in your account are subject to the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearing house, if any, where the transactions are executed, and if not executed on an exchange, of the National Association of Securities Dealers, Inc.

2. **FREE CREDIT BALANCES**

Your closing cash balance is held unsegregated and may be used by us in the operation of our business subject to the limitations of Rule 15c3-3 under the Securities Exchange Act of 1934. You have the absolute right to receive, in the normal course of business, any free credit balance and any fully paid securities to which you are entitled, subject to any open commitments in any of your accounts.

3. **INTEREST/DIVIDENDS**

We are required by law to report annually to you and to the Internal Revenue Service (IRS) on Form 1099 certain interest and dividend income credited to your account. The income that we report is usually the amount printed in the Income Summary in the Year-to-Date section of the last statement that you receive for each calendar year, however, certain reclassifications may alter these amounts and categories, which the IRS requires on Form 1099. Money market dividends are not eligible for the dividend exclusion.

4. **SUBSTITUTE FOR 1099-B**

We are required to report to the IRS and to you on Form 1099-B or a substitute the proceeds realized from each disposition of securities as required from time to time by the IRS. We are using the appropriate sales trade confirmation as our report to you by printing the legend "Form 1099-B Substitute". We report gross proceeds for each transaction to the IRS. Please retain each sales confirmation for income tax purposes.

5. **OPTION ACCOUNTS**

a. Random Method of Allocation

Exercise assignment notices for option contracts are allocated pursuant to a manual procedure which randomly selects from among all client short option positions, including positions established on the day of assignment, those contracts which are subject to exercise. The writer of an American-style option is subject to being assigned an exercise at any time after he/she has written the option until the option expires. By contrast, the writer of a European-style option is subject to exercise assignment only during the exercise period. A more detailed description of our random allocation procedure is available upon request.

b. Transactions

Information with respect to commissions and other charges related to the execution of option transactions has been included on confirmations of such transactions previously furnished to you. A summary of such information will be made promptly available to you upon your request.

c. Investment Objectives

Please promptly advise us in writing of any material change in your financial situation and/or investment objectives of your accounts at Oppenheimer.

6. **MARGIN ACCOUNTS**

You are entitled to receive securities purchased on margin upon full payment of any indebtedness to us. We reserve the right to limit margin purchases in accordance with our policies as modified from time to time and in accordance with regulatory margin requirements. Any market increases and/or decreases will be marked-to-the-market on the basis of the daily closing price. If your account is not a cash account, this statement may be a combined statement of your general account and of a special memorandum account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the separate accounts as required by Regulation T is available for your inspection upon request.

7. **SHORT ACCOUNT BALANCES**

The proceeds of securities sold which you do not own (short sales) appear in your type 3 account in accordance with existing regulations. Any market increases and/or decreases from the original sale price will be marked-to-the-market on the basis of the daily closing price.

8. **DATES**

Dates shown on purchase and sale transactions are settlement dates.

You may have received confirmation for transactions which do not appear on your statement. If the settlement dates for the transactions shown on the confirmations are later than the date that appears at the top center of this statement, the transactions will appear on your next regular monthly statement.

9. **TRANSFER OF ACCOUNT**

We can assign your accounts to anyone unless you give us written notice to the contrary at the time. This right will inure to the benefit of anyone to whom we transfer your accounts.

10. **CALLABLE BONDS AND PREFERRED STOCK**

Corporate and municipal bonds and preferred stock held in our nominee name are held in bulk segregation. In the event of a call for less than an entire issue or series of these securities, the securities to be called will be automatically selected on a random basis from those held in bulk. The probability that your securities will be selected is proportional to the amount of your holdings relative to those of our other clients. A more detailed description of our random selection procedure is available upon request.

11. **OPEN ORDERS**

All previous open orders must be cancelled when a new open order is placed. You will be responsible for errors that occur because of your failure to cancel an open order. The price specified in buy and sell stop orders will be reduced by the amount of dividends or rights on the ex-dividend or ex-rights date.

12. **MULTI-TRADED SECURITIES**

Orders for options or other securities traded in more than one market will be executed in a market chosen by us unless you give us specific instructions to execute the order in a specific market.

13. **SECURITIES HELD BY YOU**

Securities which you may be holding in your personal possession (or your safe deposit box) will not appear on this statement.

14. **PORTFOLIO POSITIONS**

The amounts printed in the market value column of this section are month-end prices provided by us by outside quotation services for securities currently held by us in your account. Prices of municipal bonds, certain over-the-counter securities and federal obligations are approximations and are only for guidance purposes. For an actual quote, please contact your Financial Advisor. The prices used are based on the last reported recent transaction known to the quotation services and do not include estimated selling commissions. Oppenheimer cannot guarantee the accuracy or availability of the prices obtained from the quotation services or of the yields or values which are calculated on the basis of these prices.

15. **MONEY FUNDS**

The quantity represents shares owned as of the close of business on the statement period ending date. Average yield is a net annualized yield for the dividend period shown. The yield indicated fluctuates with short-term interest rates and should not be construed as representative of future results.

16. **TOTAL ACCOUNT EQUITY**

This figure represents the approximate total dollar value of all your accounts on the statement date, including all money funds, based on the combination of the settled money balances, if any, and the value of all settled security and option positions. See "Portfolio Positions", above, for a description of the means used to price securities.

17. **SECURITIES PROTECTION**

We are a member of the Securities Investor Protection Corporation (SIPC). This means that securities held by us in your account are protected up to a maximum of $500,000 per client, including up to a maximum of $100,000 for cash. In addition, securities in your account are covered up to $74.5 million per account under an excess insurance policy maintained by the firm.

18. **FINANCIAL STATEMENT**

A financial statement of Oppenheimer is available for your inspection at our main office. A copy will be mailed to you upon written request.

19. **ERRORS AND OMISSIONS**

Please notify us within ten business days if you believe there is any inaccuracy in any transaction or balance reflected on this statement. Please be sure to note your account number.

20. **AUTOMATED CUSTOMER ACCOUNT TRANSFERS**

Customer is hereby informed there are inherent costs incurred by Oppenheimer relating to the transfer of domestic security positions. Oppenheimer charges $75.00 for outgoing Automated Customer Account Transfers. In addition, there may be foreign custody charges that are passed along from the various custodial agents. These charges are substantial and range from $50.00 to $250.00 per item depending on what country the securities are held in.

21. **SERVICE FEES**

A service fee of $25.00 per calendar quarter will be charged to all accounts inactive during the prior 12-month period.

22. **CORRESPONDENT ACCOUNTS**

Customer funds or securities are located at Oppenheimer & Co. Inc.

23. **SELF-DIRECTED IRA FEE SCHEDULE**

Annual Fee (billed in December) - up to $40.00, Distribution Fee - $5.00, (each remittance under $250.00) Termination Fee - $50.00, Roth Conversions/Recharacterizations - No charge for first time. Subsequent Roth Conversions/Recharacterizations - $50.00 each. Special services not otherwise provided - As agreed upon.

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2007 MAY -8 AM 9:53
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Rep. Gary G. Miller
(Full Name)

2438 Rayburn House Office Building
(Mailing Address)

Washington, DC 20515

(202) 225-3201
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State: District:	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5-8-07

4457

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Rep. Gary G. Miller | Page 2 of 12

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), *provide information on each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income Check all columns that apply. Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

			BLOCK A	BLOCK B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	BLOCK D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E: P, S, E
SP	*Examples*	SP	Mega Corp. Stock					X								X										X								P
DC			Simon & Schuster			Indefinite																Royalties								X				
JT			1st Bank of Paducah, KY accounts						X								X										X							
			IRA's Mainstay Equity Index Fund				X									X									X									
			Hartford Int'l Opportunity			X														X					X									
			Hartford Midcap Fund Class B				X													X						X								
			Cisco Systems Inc			X																	X											
			Sprint Nextel Corp-formerly SPRINT CORP			X										X								X										
			Embarq Corp - SPIN OFF FROM SPRINT 5/18/06		X											X								X										

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Rep. Gary G. Miller

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset												BLOCK C: Type of Income							BLOCK D: Amount of Income										BLOCK E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	IRA's Continued																														
	Citibank Bank Account Deposit Program		X														X					X									
	SEP IRA																														
	Edward Jones Retirement MM			X											X								X								
	Bank of America Corp			X											X								X								
	Embarq Corp - spin off - formerely Freescale Semiconductor		X												X							X									
	General Electric			X											X								X								
	Home Depot Inc			X											X							X									
	Intel Corp			X											X							X									
	Microsoft Corp				X										X								X								
	Motorola Inc			X											X							X									
	Proctor & Gamble Co			X											X							X									
	Sprint Nextel Corp			X											X							X									
SP	IRA's																														
	Mainstay Equity Endex Fund				X										X								X								
	Hartford Int'l Opportunity			X														X				X									
	Hartford Midcap Fund Class			X														X						X							
SP	SEP IRA's																														
	Edward Jones Retirement MM		X												X							X									
	Hartford Dividend & Growth				X									X								X									

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Rep. Gary G. Miller | Page 4 of 12

BLOCK A: Asset and/or Income Source	SP, DC, JT	BLOCK B: Year-End Value of Asset											BLOCK C: Type of Income							BLOCK D: Amount of Income										BLOCK E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
Bank of America		X																												
Banco Popular				X												X					X									
IndyMac Bank		X																												
Pomona Bank & Trust/1st Fe										X						X												X		
Smith Barney Citigroup MM			X											X									X							
Edward Jones MM				X										X								X								
Sun America Life Insurance				X																	X									
Investment Company of America						X								X			X								X					
Foothill/Eastern Trans Corridor Agy CA Munibond					X											X							X							
Calif. Statewide Cmntys DEV Auth Wtr. & Wastewater REV Munibond					X											X							X							

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Rep Gary G. Miller

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	APN 239 193 11 Vacant Lant Industrial 5ac. Rialto,CA								X												X										
	Lot 15 Tract 23483 1+ acre Diamond Bar, CA								X												X										
	Lot 16 Tract 23483 1+ acre Diamond Bar, CA								X												X										
	Havadjia Holding,Inc Riverside Note Secured by Deed of Trust							X									X									X					
	G. Miller Development Co. Common Stock								X												X										
SP	Caroline Pacific LLC real estate investment Walnut, CA			X																	X										
	Carrari Ranch LLC real estate investment Walnut, CA			X																	X										
	APN 201 021 22,201 032 01, 200 051 42 & 200 051 70										X										X										
	4 parcels above make up 382 acres vacant land Rancho Cucamonga, CA																														
	APN 1077 422 01 Rancho Cucamonga	X																			X										S
	APN 1077 422 46 " " "	X																			X										S
	APN 0191 274 37 Fontana,CA	X																			X										S

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Rep Gary G. Miller

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset									BLOCK C Type of Income					BLOCK D Amount of Income										BLOCK E Transaction
		A None	B	C $1,001 – $15,000	D	E $50,001 – $100,000	F	G $250,001 – $500,000	H	I $1,000,001 – $5,000,000	J	K $25,000,001 – $50,000,000	L	DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	I None	II	III $201 – $1,000	IV	V $2,501 – $5,000	VI	VII $15,001 – $50,000	VIII	IX $100,001 – $1,000,000	X	XI Over $5,000,000	P, S, E
JT	Citibank Bank Program														X													
	Citigroup SB Money Fd Cash Port CLA	X												X						X								
	Ishares Goldman Sachs Natl Res Index Fund	X												X														S
	Ishares Goldmn Semicondctr	X												X														S
	Ishares Tr Goldmn Netwrkng	X																										S
	Ishares Nasdaq Biotchnlgy	X																										S
	Materials Slct Sctr Spdr	X												X						X								S
	Select Sector Spdr-Energy	X												X														S
	Ingersoll Rand Co LTD	X																		X								S
	American Intl Group Inc	X												X														S
	Bank of America Corp	X												X						X								S
	Burlington Northern SantaF	X												X														S
	Chicos Fas Inc	X																		X								S
	Deere & CO	X												X								X						S
	First American Corp	X												X														S
	Hartford Finl Svcs Group	X												X														S
	Home Depot	X												X						X								S
	Humana Inc	X																				X						S
	Kellogg Co	X												X														S
	MCI Inc	X																X										S
	Masco Corp DE	X												X														S

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Rep. Gary G. Miller

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset						BLOCK C Type of Income					BLOCK D Amount of Income						BLOCK E Transaction
		A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	P, S, E
	Pepsico Inc	X						X					X						S
	Proctor & Gamble Co	X						X											S
	Prudential Financial Inc	X						X											S
	United Parcel Service CL B	X						X					X						S
	Colgate Palmolive Co	X						X					X						PS
	Emerson Electric Co	X						X					X						PS
	International Paper Co	X						X					X						PS
	Johnson & Johnson	X						X					X						PS
	Powershares Exchange-Traded Dynamic Software Portfolio	X																	PS
	Nuveen Investments Inc	X						X											PS
	Starbucks Corp	X											X						PS
	Textron Inc	X						X					X						PS
	Vanguard Intl Equity Index Vanguard European MTF	X																	PS
	Verizon Communications	X						X											PS
	CA Muni Cash Trust Service							X											
	Chino Calif CMNTY FACS DIST SPL TAX MUNI BOND								X				X						P
	SACRAMENTO CALIF IMPT BD ACT 1915								X				X						P

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name Rep. Gary G. Miller | Page 8 of 12

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.		Type of Transaction			Date	Amount of Transaction									
		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	A $1,001 – $15,000	B $15,001 – $50,000	C $50,001 – $100,000	D $100,001 – $250,000	E $250,001 – $500,000	F $500,001 – $1,000,000	G $1,000,001 – $5,000,000	H $5,000,001 – $25,000,000	I $25,000,001 – $50,000,000	J Over $50,000,000
SP, DC, JT	Asset														
SP	*Example:* Mega Corporation Common Stock	X			10-12-03		X								
	CHINO CALIF CMNTY FACS DIST SPL TAX NO	X			3-23-06		x								
	SACRAMENTO CALIF IMPT BD ACT 1915	X			4-26-06		x								
	APN 1077 422 01 Rancho Cucamonga, CA		x		7-19-06	x									
	APN 1077 422 46 Rancho Cucamonga, CA		x		7-19-06							x			
	APN 0191 274 37 Fontana, CA		x		4-28-06							x			
	Bank of America Corp		x		12-08-06	x									
	Ingersoll Rand Co LTD Class A (Bermuda)		x		12-08-06	x									
	American INTL Group Inc		x		12-08-06	x									
	Burlington Northern Santa Fe		x		12-08-06	x									
	Chicos Fas Inc		x		7-14-06	x									
	Chicos Fas Inc	X			9-12-06	x									
			x		11-02-06	x									
	Colgate Palmolive Co	X			4-26-06	x									
			x		12-08-06	x									
	Deere & Co		x		12-08-06	x									
	Emerson Electric Co with due bill splt	X			6-23-06										
			x		12-08-06										
	First American Corp		x		4-26-06	x									
			x		12-08-06	x									
	Hardford Finl Svcs Group Inc		x		12-08-06	x									
	Home Depot Inc		x		4-27-06	x									

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name Rep. Gary G. Miller | Page 9 of 12

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–06		X								
	Humana Inc		x		9-12-06	x									
			x		10-31-06	x									
	International Paper Co	x			7-14-06	x									
			x		12-08-06	x									
	Ishares Goldman Sachs Natl Res Index Fund		x		12-08-06	x									
	Ishares Goldman Semiconductor		x		2-22-06	x									
			x		8-15-06	x									
	Ishares Dow Jones U.S.Home Construction Index	x			12-06-06	x									
			x		12-08-06	x									
	Ishares TR Goldman Networking Index Fund		x		12-08-06	x									
	Ishares Nasdaq Biotechnology Index Fund		x		12-08-06	x									
	Johnson & Johnson	x			6-29-06	x									
			x		12-08-06	x									
	Kellogg Co		x		12-08-06	x									
	Masco Corp DE		x		9-12-06	x									
	Nuveen Investments Inc Class A	x			10-13-06	x									
			x		12-08-06	x									
	Pepsico Inc		x		12-08-06	x									
	Powershares Exchange-Traded FD Dynamic softwar	x			4-19-06	x									
			x		12-08-06	x									
	Proctor & Gamble Co		x		12-08-06	x									
	Prudential Financial Inc		x		12-08-06	x									
	Materials Select Sector SPDR Fund		x		12-08-06	x									

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name Rep. Gary G. Miller | Page 10 of 12

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
	Select Sector SPDR-ENERGY		x		7-07-06	x									
			x		11-02-06	x									
	Starbucks Corp	x			8-03-06	x									
			x		12-08-06	x									
	Textron Inc	x			4-27-06	x									
			x		12-08-06	x									
	United Parcel Service CL B		x		12-08-06	x									
	Vanguard Intl Equity Index FD Vanguard European	x			5-26-06	x									
		x			6-22-06	x									
	Vanguard Intl Equity Index FD Vanguard European		x		12-08-06	x									
	Verizon Communications		x		12-08-06	x									

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
SP	Chase Credit Card Company	Revolving charge account	X									
	Fontana Library Company	Note was satisfied during the year							x			
	Church Haven Company LLC, Upland, CA	Note was satisfied during the year							x			

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	G. Miller Development Co. (Corporation)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO. 2007 33 427 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A — For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 4:11
OFFICE OF THE CLERK
HOUSE OF REPRESENTATIVES

(Office Use Only)

George Miller
(Full Name)

2205 Rayburn HOB Washington, DC 20515
(Mailing Address)

202-225-2095
Daytime Telephone:

Filer Status
☑ Member of the U.S. House of Representative — State: CA — District: 7
☐ Officer Or Employee — Employing Office:

Report Type
☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	George Miller	5-15-07

4469

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name George Miller

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	127 D St., SE, Wash., DC	$500,001 - $1,000,000	RENT	$15,001 - $50,000	
	Calpine Corp	$1 - $1,000		NONE	
SP	Citicorp	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Cong. Fed. Credit Union, Savings Acct., Wash., DC	$1,001 - $15,000	INTEREST	$1 - $200	
SP	EMC Corp	$1,001 - $15,000		NONE	
	GE Interest Plus Savings Acct.	$15,001 - $50,000	INTEREST	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name George Miller

SP	IRA Acct. - W.R. Hambrecht Co.	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
	IRA Acct. - W.R. Hambrecht Co.	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
	Pacificnet.com	$1 - $1,000		NONE	
SP	Sangamo Biosciences	$1 - $1,000		NONE	
	T. Rowe Price Media & Telefund	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
	T. Rowe Price New Era	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	P
	US Starcom	$1,001 - $15,000		NONE	S
	Vodafone	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Wells Fargo S.I.F.E. (formerly Stock Fund S.I.F.E. Trust), Walnut Creek, CA (IRA)	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	

SCHEDULE IV - TRANSACTIONS

Name George Miller

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	US Starcom	S	5-2-06	$1,001 - $15,000
	T.Rowe Price New Era	P	5-2-06	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name George Miller

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Cong. Fed. Credit Union - Home Equity	home equity on 127 D St., SE, Wash., DC	$1,000-$15,000
JT	Citicorp	mortgage on 127 D St., SE, Wash., DC, refinance	$100,001 - $250,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name George Miller

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Aspen Institute Congressional Program (Conf. On US Policy in Latin America)	Jan 8-13	San Francisco-Punta Mita, Mexico-San Francisco	Y	Y	Y	none
Machinists Non-Partisan Political League Education Fund	Mar 13	San Francisco-San Diego-San Francisco	N	N	N	none
Aspen Institute Congressional Program (Conf. On Political Islam)	May 28-June 4	Wash., DC-Istanbul-Wash., DC (George Miller); San Francisco-Istanbul-San Francisco (Cynthia Miller)	Y	Y	Y	1 day
Aspen Institute Congressional Program (Conf. On US-China Relations	Apr 9-14	San Francisco-Honolulu-San Francisco	Y	Y	Y	none
Aspen Institute Congressional Program (Conf. On US-Russia-Europe: Cooperative Efforts)	Aug 12-18	San Francisco-Krakow, Poland-San Francisco	Y	Y	Y	none
International Institute for Sustained Dialogue	Sept 14-17	Wash., DC-Athens, Greece-San Francisco	Y	Y	N	none

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A — For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2007 MAY 14 PM 2:15
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

Jefferson Bingham Miller
(Full Name)

2936 Fox Pond Trail Pace, FL 32571
(Mailing Address)

850-479-1183
Daytime Telephone:

(Clerk's Use Only)

Filer Status
☑ Member of the U.S. House of Representative — State: FL — District: 01
☐ Officer Or Employee — Employing Office:

Report Type
☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification

I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief

Signature of Reporting Individual

Date (Month, Day, Year) 5-14-07

4475

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jefferson Bingham Miller

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Alger Small Cap	$1,001 - $15,000		NONE	
	Alger Capital Appreciation	$1,001 - $15,000		NONE	
	Vanguard Health Care Fund	$15,001 - $50,000		NONE	
SP	Janus Advisors 40S	$0		$1,001 - $2,500	S
SP	FRS-Select U.S. Stock Market Index Fund	$50,001 - $100,000		NONE	
SP	Vanguard US Growth Fund (IRA)	$1,001 - $15,000		NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jefferson Bingham Miller

SP	Franklin Small-Mid Cap Growth 1 Fund A	$0		$1,001 - $15,000	S
SP	AmerFunds Euro PAC R4	$0		$1 - $1,000	S
SP	Vanguard Health Care Fund Int. (IRA)	$1,001 - $15,000		NONE	
SP	Fidelity Contra Fund	$0		$15,001 - $50,000	S
SP	Vanguard Total Stock Market Index Fund	$0		$1,001 - $15,000	S
JT	CH Energy - New York	$1,001 - $15,000		$1 - $200	
SP	Franklin Balance/Share Investment A	$0		$1,001 - $15,000	S
JT	Hwy 182, Chumuckla, 2.5 acres (unimproved)	$23,800- assessed value		NONE	
JT	Hwy 191, 1/2 Interest, 2.5 acres (unimproved)	$9,350- assessed value		NONE	
JT	Hwy 89, Milton, 405 acres, 1/2 Interest (unimproved)	$550,000- purchase price		NONE	
JT	7953 Malone Rd., Milton - Todd Brunner	$26,000		NONE	Other- Foreclosed (11-22-06)
JT	Malone Rd., Milton, Lot 1/2 Int., 1 acre, Lot 16	$10,000		NONE	
JT	7989 Malone Rd., Milton, 6 acres- Mark Wolverton	$45,000- sales price	INTEREST/Other: contract for deed	$2,501 - $5,000	
JT	Malone Rd., Milton, 1/2 Interest- Kline	$15,001 - $50,000	INTEREST/Other: contract for deed	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jefferson Bingham Miller

JT	7973 Malone Rd., Milton, 1/2 Interest- Browning	$15,001 - $50,000	INTEREST/Other: contract for deed	$1,001 - $2,500	
JT	Molino Bridge Rd., Santa Rosa County, 80 acres	$230,000		NONE	
JT	7954 Parker Rd., Milton, 2.14 acres, Lot 27- Lisa Griffin	$35,000- sales price	INTEREST/Other: contract for deed	$1,001 - $2,500	
JT	8012 Parker Rd., Milton- Kurtz	$21,600- sales price	INTEREST/Other: contract for deed	$1,001 - $2,500	
JT	7890 Parker Rd., Milton- William Semon	$35,000- sales price	Mortgage	$2,501 - $5,000	
JT	7906 Parker Rd., Milton, 2.96 acres- Jones	$22,000- sales price	INTEREST/Other: contract for deed	$1,001 - $2,500	
JT	Parker Rd., Milton, 2.4 acres; Lot 35- Randall Calderon, 1504 Monica St., Bakersfield, CA 93306	$10,000	Mortgage	$2,501 - $5,000	
JT	6628 Roeville Rd., Milton, 1/2 Interest, 9.4 acres- Luther Peaden	$15,001 - $50,000	Mortgage	$1,001 - $2,500	
	1/2 151 E. Hathaway Ave., Bronson, FL	$15,001 - $50,000		NONE	

SCHEDULE IV - TRANSACTIONS

Name Jefferson Bingham Miller

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Janus Advisors 40S	S	04-06	$3,000
SP	Franklin Small-Mid Cap Growth 1 Fund A	S	04-06	$6,700
SP	AmerFunds Euro PAC R4	S	04-06	$3,100
SP	Fidelity Contra Fund	S	04-06	$37,000
SP	Vanguard Total Stock Market Index Fund	S	04-06	$2,000
SP	Franklin Balance/Share Investment A	S	04-06	$2,600

SCHEDULE V - LIABILITIES

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Bank of Pensacola (Florida)	Real Estate Mortgage 1/2 int. 405 acres Hwy 89, Milton, FL	$100,001 - $500,000
JT	Bank of Pensacola (Florida)	Mortgage 80 acres Molino Bridge Road	$100,001 - $500,000
	Bank of Pensacola (Florida)	Signature	$20,000

SCHEDULE VIII - POSITIONS

Name Jefferson Bingham Miller

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Trustees	Rebuild Northwest Florida, Inc. (ex-officio)
Board of Directors	Florida FFA Foundation, Inc.
Board of Trustees	National Museum of Naval Aviation, Inc.

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 AM 9:48
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A
For use by Members, officers, and employees

Harry E. Mitchell
(Full Name)

1222 E. Verlea Drive Tempe, AZ 85282-2537
(Mailing Address)

(480)966-0074
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: AZ District: 5	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $300 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI. NEW MEMBER

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII. NEW MEMBER

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts– Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions– Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION – THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Harry E. Mitchell	5/14/07

05/11/2007 11:50 14808291848 CYPERT&SCHERRER PLC PAGE 03
4482

SCHEDULE I - EARNED INCOME

Name Harry E. Mitchell	Page 2 of 7

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
State of Arizona	Legislative salary	$8,948

05/11/2007 11:50 14088291948 CYPERT&SCHERRER PLC PAGE 04

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Harry E. Mitchell

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in the block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Tempe Schools Credit Union (savings & checking)	$15,001 - $50,000	INTEREST	$201 - $1,000	
SP	Arizona State Retirement (not self-directed)	$250,001 - $500,000	RETIREMENT	$15,001 - $50,000	
	Arizona State Retirement (not self-directed)	$250,001 - $500,000	RETIREMENT	$15,001 - $50,000	
	Elected Officials' Retirement Plan (not self-directed)	$100,001 - $250,000	RETIREMENT	$5,001 - $15,000	
	Federated Kaufman Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P
	Automated Cash Management Trust	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P

	Federated International Value Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Federated High Income Bond Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Federated Market Opportunity Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
	Federated International High Income Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Federated Strategic Value Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
	Rydex Inverse S&P 500-C	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
	Rydex US Govt Money Market-C	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	Rydex Commodities -C	$1,001 - $15,000	None	NONE	P
	Continental Airlines Conv Bond	None	INTEREST	$201 - $1,000	S
	Universal Health Svcs Bond	None	INTEREST	$201 - $1,000	S
	MCC Property Investments,LLC Real estate rental 1009-1019 Roosevelt, Tempe, AZ 3701 E. Washington St Phoenix, AZ	$100,001 - $250,000	RENT	$5,001 - $15,000	

05/11/2007 11:50 14808291948 CYPERT&SCHERRER PLC PAGE 05

SCHEDULE IV - TRANSACTIONS

Name Harry E. Mitchell

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Continental Airlines Conv Bond	S	5-31-06	$1,001 - $15,000
	Universal Health Svcs Bond	S	5-30-06	$1,001 - $15,000
	Alza Corp Bond (formerly Johnson & Johnson)	S	5-26-06	$1,001 - $15,000
	Rydex Dynamic S&P 500-C	S	various	$15,001 - $50,000
	Rydex Inverse Dynamic S&P 500-C (formerly Rydex Tempest 500 Fund)	S	various	$15,001 - $50,000
	Rydex S&P 500-C	S	11-13-06	$15,001 - $50,000
	Federated High Income Bond Fund	P	various	$1,001 - $15,000
	Federated Kaufman Fund	P	various	$1,001 - $15,000
	Federated International Value Fund	P	various	$1,001 - $15,000
	Federated International High Income Fund	P	various	$1,001 - $15,000
	Automated Cash Management Trust	P	various	$15,001 - $50,000
	Rydex US Govt Money Market-C	P	various	$15,001 - $50,000

05/11/2007 11:50 14808291848 CYPERT&SCHERRER PLC PAGE 07

SCHEDULE IV - TRANSACTIONS

Name Harry E. Mitchell	Page 5 of 7

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Rydex Inverse S&P 500-C	P	various	$15,001 - $50,000
	Rydex Commodities -C	P	2-13-08	$1,001 - $15,000
	Rydex Dynamic S&P 500-C	P	various	$15,001 - $50,000
	Rydex Inverse Dynamic S&P 500-C (formerly Rydex Tempest 500 Fund)	P	various	$15,001 - $50,000
	Rydex S&P 500-C	P	8-14-08	$15,001 - $50,000

05/11/2007 11:58 14808291848 CYPERT&SCHERRER PLC PAGE 09

SCHEDULE VIII - POSITIONS

Name Harry E. Mitchell

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member	Compadre High School Advisory Board
Member	Tempe/Kyrene Communities in Schools Board
Member	Jobs for Arizona Graduates Board
Vice President	Tempe Sister City Board of Directors
Member	Childsplay Board of Trustees
Operational Board of Directors	Rio Salado Town Lake Foundation
LLC Member	MCC Property Investments, LLC

05/11/2007 11:58 14808291948 CYPERT&SCHERRER PLC PAGE 09

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 5:34
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A — Page 1 of 10
For use by Members, officers, and employees

Representative Alan B. Mollohan
(Full Name)

2302 Rayburn Building Washington, DC 20515 — (202) 225-4172
(Mailing Address) — Daytime Telephone:

Filer Status
- ☑ Member of the U.S. House of Representatives — State: WV — District: 1st
- ☐ Officer Or Employee — Employing Office:

Report Type
- ☑ Annual (May 15)
- ☐ Amendment
- ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? — Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? — Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes ☐ No ☑

Exemptions- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Alan B. Mollohan	May 15, 2007

4489

SCHEDULE I - EARNED INCOME

Name Representative Alan B. Mollohan

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Remington, Inc., Washington, DC Property ownership & management	Spouse salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Representative Alan B. Mollohan

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	60 G St., SW, Washington, DC (rental property)	$250,001 - $500,000	RENT	$15,001 - $50,000	
JT	725 Mt. Vernon Ave., Fairmont, WV (rental property)	$50,001 - $100,000	RENT	$5,001 - $15,000	
SP	Remington, Inc., 601 24th St., NW, Washington, DC (property ownership & management), 1/4 interest	$1,000,001 - $5,000,000	Sub. S corp. Income	$50,001 - $100,000	
	Remington, Inc., Washington, DC, 1/4 interest	$1,000,001 - $5,000,000	Sub. S corp. Income	$50,001 - $100,000	
	MNM Holdings LLC, Morgantown, WV (WV real estate -- see footnote on last page), 1/3rd interest	$250,001 - $500,000	LLC income	$5,001 - $15,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Representative Alan B. Mollohan

	D&M Investments, Inc. , Morgantown, WV (owns Ramada Inn, Morgantown, WV -- inherited) 1/3rd interest	$100,001 - $250,000	None	NONE	
SP	D&M Investments, Inc. 1/3rd interest	$100,001 - $250,000	None	NONE	
JT	Courtland Road #2, house with adjacent lot, Canaan Valley, WV	$500,001 - $1,000,000	RENT	$15,001 - $50,000	
SP	Huntington National Bank, Fairmont, WV Certificate of Deposit	$50,001 - $100,000	INTEREST	$1,001 - $2,500	
SP	Paine Webber Putman Global Growth IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Coal, oil & gas/6.5 acres & 27 acres/ Gilmer Co., WV, 1/5th interest in each	$1 - $1,000	Royalty	$1 - $200	
SP	Oil & gas/35 acres/ Gilmer Co., WV, 3.33% interest	$1,001 - $15,000	Royalty	$1 - $200	
JT	Winterset Lot, Canaan Valley, WV	None	CAPITAL GAINS	$100,001 - $1,000,000	S
JT	Black Bear Lot, Canaan Valley, WV	$50,001 - $100,000	None	NONE	
JT	6 Peppervine Trail, Bald Head Island, NC	None	RENT/CAPITAL GAINS	$100,001 - $1,000,000	S
JT	521 Middle Island Lot, Bald Head Island, NC, 1/2 interest	None	CAPITAL GAINS	$50,001 - $100,000	S
JT	842 Middle Island Lot, Bald Head Island, NC	$1,000,001 - $5,000,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Representative Alan B. Mollohan

JT	949, 951 & 953 Middle Island Lots, Bald Head Island, NC, 1/2 interest	None	CAPITAL GAINS	$100,001 - $1,000,000	S
JT	15 Horsemint Trail, Bald Head Island, NC, 1/2 interest	$250,001 - $500,000	None	NONE	
JT	Two contract deposits for the purchase of condominium units #3402 & #3406 in The Preserve Condominium, Southport, NC (see footnote on last page-value is that of deposits only)	$50,001 - $100,000	None	NONE	
JT	Note receivable from Remington, Inc., Washington, DC	$1,000,001 - $5,000,000	None	NONE	
	M&M Partners LLC, Bridgeport, WV (owns Cooper Farm, Tucker County, WV) 1/2 interest	$250,001 - $500,000	LLC Income	$1,001 - $2,500	
SP	Citizens Bancshares, Inc. Stock (Citizens Bank of Weston, WV)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Oil & gas/242 acres/ Gilmer Co., WV, 5/32nd interest	$15,001 - $50,000	Royalty	$2,501 - $5,000	
JT	The Preserve Condominium Unit #2210, Southport, NC	None	CAPITAL GAINS	$100,001 - $1,000,000	PS

SCHEDULE IV - TRANSACTIONS

Name Representative Alan B. Mollohan

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	The Preserve Condominium Unit #2210, Southport, NC	P	8-30-06	$250,001 - $500,000
JT	The Preserve Condominum Unit #2210, Southport, NC	S	11-03-06	$500,001 - $1,000,000
JT	Winterset Lot, Canaan Valley, WV	S	8-26-06	$250,001 - $500,000
JT	6 Peppervine Trail, Bald Head Island, NC	S	12-15-06	$1,000,001 - $5,000,000
JT	521 Middle Island Lot, Bald Head Island, NC, 1/2 interest (includes Club membership)	S	1-20-06	$250,001 - $500,000
JT	949, 951 & 953 Middle Island Lots, Bald Head Island, NC, 1/2 interest	S	6-8-06	$500,001 - $1,000,000

SCHEDULE V - LIABILITIES

Name Representative Alan B. Mollohan

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	FNB Southeast Bank, Wilmington, NC	Mortgage on 15 Horsemint Trail, Bald Head Island, NC (1/2 interest)	$100,001 - $250,000
JT	FNB Southeast Bank, Wilmington, NC	Mortgage on 521 Middle Island Lot, Bald Head Island, NC (1/2 interest) (mortgage was paid in full when the property was sold)	$100,001 - $250,000
JT	Cooperative Bank, Wilmington, NC	Mortgage on 842 Middle Island Lot, Bald Head Island, NC	$500,001 - $1,000,000
JT	GMAC Mortgage Corp., Coraopolis, PA	Mortgage on 6 Peppervine Trail, Bald Head Island, NC (mortgage was paid in full when the property was sold)	$1,000,001 - $5,000,000
JT	United Bank of Virginia	Mortgage on apartment units of Remington, Inc., Washington, DC (1/2 interest)	$1,000,001 - $5,000,000
JT	United Bank of Virginia	Mortgage on 60 G Street, Washington, DC	$250,001 - $500,000
JT	Huntington National Bank, Fairmont, WV	Installment loan	$15,001 - $50,000
JT	Freedom Bank, Buckhannon, WV	Mortgage on Courtland Road #2 & adjoining lot	$100,001 - $250,000
JT	D&M Investments, Inc., Morgantown, WV (family-owned business)	Personal loan (this loan has been repaid, principal & interest)	$50,001 - $100,000

SCHEDULE V - LIABILITIES

Name Representative Alan B. Mollohan

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	FNB Southeast Bank, Wilmington, NC	Line of credit on 6 Peppervine Trail, Bald Head Island, NC (credit line was paid in full when the property was sold)	$500,001 - $1,000,000
	Ms. Carol Wilfong, Beverly, WV	Owner-financed purchase of Cooper Farm, Tucker County, WV (1/2 interest)	$250,001 - $500,000
JT	Cooperative Bank, Wilmington, NC	Mortgage on The Preserve Condominium Unit #2210 (mortgage was paid in full when the property was sold)	$100,001 - $250,000
JT	Cooperative Bank, Wilmington, NC	Mortgage on 949, 951 & 953 Middle Island Lots, Bald Head Island, NC (1/2 interest) (mortgage was paid in full when the properties were sold)	$500,001 - $1,000,000

SCHEDULE VIII - POSITIONS

Name Representative Alan B. Mollohan

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Secretary	Robert H. Mollohan Family Charitable Foundation, Inc., Fairmont, WV
Managing Member	MNM Holdings, LLC, Morgantown, WV
President	D&M Investments, Inc., Morgantown, WV

FOOTNOTES

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	MNM Holdings owns the property in Morgantown on which the Ramada Inn is sited, and an inherited farm in Jefferson County, West Virginia. In each instance in which my wife and/or I have a partial interest in a property, the value, income, and any related liability shown are for our interest only, and not for the entire property.	MNM Holdings LLC
2	Schedule III	Closing on these units did not occur in 2006; construction was not complete. It is not clear that disclosure of these contracts is required, but I am disclosing them nevertheless.	The Preserve Condominium contracts

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A — For use by Members, officers, and employees

HAND DELIVERED

2007 MAY 11 AM 9:59

(Office Use Only)

Rep. Dennis W. Moore
(Full Name)

8319 Mullen Road Lenexa, KS 66215
(Mailing Address)

202-225-2865
Daytime Telephone:

Filer Status

☑ Member of the U.S. House of Representative — State: KS — District: 03

☐ Officer Or Employee — Employing Office:

Report Type

☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Dennis Moore	5-04-07

4499

SCHEDULE I - EARNED INCOME

Name Rep. Dennis W. Moore

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Midwest Perinatal Associates	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rep. Dennis W. Moore

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	(Spouse) Commonwealth Allmerica Advantage IRA: Growth Opportunities	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
SP	(Spouse) Commonwealth Allmerica Advantage IRA: VIT Cap Growth	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
SP	(Spouse) Commonwealth Allmerica Advantage IRA: VIT Equity Index	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
SP	(Spouse) Commonwealth Allmerica Advantage IRA: Fidelity VIP Growth	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	AIM IRA: Financial Services Fund Investor Class	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	

4501

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rep. Dennis W. Moore

	AIM IRA: Small Cap Growth Fund Investor Class	$1,001 - $15,000	CAPITAL GAINS/DIVIDENDS	$1,001 - $2,500
	American Century Moriarty Erker And Moore SEP IRA: Prime Money Market	$50,001 - $100,000	INTEREST/DIVIDENDS	$1,001 - $2,500
	American Funds: Class A Mutal Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500
SP	Capital Federal Savings CD	$1,001 - $15,000	INTEREST	$201 - $1,000
	Commonwealth Allmerica Advantage IRA: Mid Cap Value	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000
	Commonwealth Allmerica Advantage IRA: VIP Equity Fund	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500
	Commonwealth Allmerica Advantage IRA: VIT Equity Index	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500
	Commonwealth Allmerica Advantage IRA: VIT Gap Growth	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500
	Commonwealth Allmerica Advantage IRA: VIT *International Equity*	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000
	Fidelity Cash Reserve IRA	$50,001 - $100,000	INTEREST	$2,501 - $5,000
	Fidelity Premiere Select Roth IRA: Amer New Economy Class F	$1,001 - $15,000	DIVIDENDS/INTEREST	$201 - $1,000
	ING Jo Co Retirement Plan (Previously managed by ICMA) Large Cap Growth Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rep. Dennis W. Moore

	ING Jo Co Retirement Plan Large Cap Value Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	ING Jo Co Retirement Plan Wagner Select Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	ING Johnson County Retirement Plan Stable Value Option	$1,001 - $15,000	INTEREST	$1 - $200	
SP	Midwest Perinatal Assocates PA 401K US Bank Stable Asset Fund (formerly US Bank ESPP)	$15,001 - $50,000	INTEREST	$201 - $1,000	
	Securities America NFS/FMTC Roth IRA: Amer New Eco Class F	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
	Sunco, INC Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	United States Army Association (Subscriber's Savings Acct)	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Vanguard SEP- IRA: Prime Money Market Fund	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	S-partial
	Vanguard SEP-IRA: Energy Fund Admiral Shares	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	P
	Vanguard SEP-IRA: Energy Fund Investor Shares	None	DIVIDENDS/CAPITAL GAINS	$1 - $200	PS

SCHEDULE IV - TRANSACTIONS

Name Rep. Dennis W. Moore

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Vanguard IRA: Prime Money Market	S	4-11-06	$50,001 - $100,000
	Vanguard IRA: Energy Fund Investor	P	4-11-06	$100,001 - $250,000
	Vanguard IRA: Energy Fund Investor	S	5-22-06	$100,001 - $250,000
	Vanguard IRA: Energy Fund Admiral	P	5-22-06	$100,001 - $250,000
	Securities America NFS/FMTC Roth IRA: Amer New Economy Class F	P	4-14-05	$1,001 - $15,000

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 3:14
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A Page 1 of 5

For use by Members, officers, and employees

Gwen. Moore
(Full Name)

P. O. Box 16646 Milwaukee, WI 53216
(Mailing Address)

202-225-4572
Daytime Telephone:

Filer Status

☑ Member of the U.S. House of Representatives — State: WI — District: 4th

☐ Officer Or Employee — Employing Office:

Report Type

☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Gwen Moore	May 15, 2007

4505

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name Gwen. Moore

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package. Employees may request a green envelope from the Clerk or use a plain envelope that is appropriately labeled.

Source	Activity	Date	Amount
Community Brainstorming Conference Milwaukee, WI	Honorarium	Nov. 26, 2006	$2,000
Council for Opportunity in Education Washinton, DC	Honorarium	Sept 7-8, 2006	$2,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Gwen. Moore

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Wisconsin Retirement Plan	Indefinite	None	NA	
	Wisconsin Deferred Compensation Plan assets held within plan is listed below:				
	Fidelity Contrafund	$1,001 - $15,000	shares reinvested	NONE	
	Vanguard Institutional Index Fund Plus	$1,001 - $15,000	shares reinvested	NONE	
	Vanguard Admiral Treasury Money Market	$ $1,001- $15,000	Dividends	NONE	

4507

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Gwen. Moore

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
CAN-DO Citizens for Affordable Housing in Newton Developmt Org.	Feb.26-27	Milwaukee-Boston-Milwaukee	Y	Y	N	None
National Council o f Negro Women-Denver Section	March 23-24	Milwaukee-Denver-Milwaukee	Y	Y	N	None
National Action Network	Apr. 7-8	DC-New York City-Milwaukee	Y	Y	Y	None
Council for Opportunity in Education	Sept 7-8	DC-New York City-DC	Y	Y	Y	None
Council for Opportunity in Education	Nov. 25-29	Milwaukee-San Juan, Puerto Rico-Milwaukee	Y	Y	Y	None

FOOTNOTES

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Rollover into existing plans in the Deferred Plan	DFA US Micro Cap Fund & MidCap Growth fund

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A

For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2007 JUN 14 PM 2:07

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

(23)

MC

JAMES P. MORAN, JR.
(Full Name)

175 Chain Bridge Road, McLean, Virginia 22101 202-225-4376
(Mailing Address) (Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives	State: Virginia District: 8th	[ ] Officer or Employee	Employing Office:	
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:	

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—*Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?*	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, *or who knowingly and willfully fails to file this report* may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	James P. Moran	6/13/07

4510

Name	James P. Moran, Jr.	Page ___ of ___

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Bennett Group, Inc., formerly RAB Management, Inc.	Spouse salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name James P. Moran, Jr. | Page ___ of ___

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

			Block A	Block B												Block C							Block D											Block E
				A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples*	SP	Mega Corp. Stock					X								X										X								P
			Simon & Schuster			Indefinite																Royalties									X				
			1st Bank of Paducah, KY accounts						X								X										X							
	See attachment																																	

For additional assets and unearned income, use next page.

[redacted] LUANN BENNETT

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

ASSET AND/OR INCOME SOURCE	VALUE OF ASSET	TYPE OF INCOME	AMOUNT OF INCOME	TRANSACTION
Goldman Sachs - account #28110				
Goldman Sachs Bank Deposit account	B	Interest	III	
Goldman Sachs Tax-Exempt Diversified Portfolio Money Market Fund	A	Interest	III	
Newport News, VA municipal bonds	A	Interest	V	S
Goldman Sachs - account #28113				
Amazon com Inc. common stock	C		I	S
American International Group Inc. common stock	C	Dividends	II	
Amgen Inc. common stock	C		I	S
Autodesk Inc common stock	C		I	P
C H. Robinson Worldwide Inc. common stock	C		I	P
Cerner Corp common stock	C		I	P
Commerce Bancorp Inc. NJ common stock	C	Dividends	II	
Countrywide Financial Corp. common stock	C	Dividends	II	P/S**
Dell Inc. common stock	C		I	P**/S
Ebay Inc. common stock	C		I	S
Expeditors International Wash. Inc. common stock	C	Dividends	II	P/S**
Genentech Inc. common stock	C		I	S
General Electric Co. common stock	C	Dividends	II	P
Genzyme Corp common stock	C		I	P
Goldman Sachs Bank Deposit account	C	Interest	II	
Google Inc. Class A common stock	C		I	P/S
Network Appliance Inc. common stock	C		I	S**
Progressive Corporation common stock	C	Dividends	II	S
Pulte Homes Inc common stock	C	Dividends	II	P
Qualcomm Inc common stock	C	Dividends	II	P/S
Salesforce.com, Inc. common stock	C		I	P/S**
Schlumberger Ltd common stock	C	Dividends	II	P
SLM Corp. common stock	C	Dividends	II	P/S**
Varian Medical Systems Inc. common stock	C		I	P**
Walgreen Co. common stock	C	Dividends	II	S**
Wellpoint, Inc. common stock	C		I	P
Yahoo! Inc. common stock	C		I	S
Zimmer Holdings Inc. common stock	C		I	P
Goldman Sachs - account #28119				
ABN Amro Hlds N.V. common stock	C		I	P**/S**
Aegon NV common stock	C		I	S**
Aeon Co. Ltd common stock	C	Dividends	II	P/S**

Aiful Corporation common stock	C	Dividends	II	P/S**
Alliance Boots PLC common stock	A	Dividends	III	S**
Allianz SE common stock	C	Dividends	II	
Amcor Ltd common stock	C	Dividends	II	S**
Anglo American PLC common stock	C	Dividends	II	S
Ashai Kasei Corporation common stock	C	Dividends	II	S**
Bank of Ireland common stock	C	Dividends	II	S
Barclays PLC common stock	C	Dividends	II	S**
BNP Paribas common stock	C	Dividends	II	S
BOC Hong Kong Ltd. stock	C	Dividends	II	P**
BP PLC common stock	C	Dividends	II	P**/S**
Cadbury Schweppes PLC common stock	C	Dividends	II	P
Canon Inc. common stock	C	Dividends	II	S
Centrica PLC common stock	C	Dividends	II	S**
Ciba Specialty Chemicals common stock	C	Dividends	II	P**/S**
Coca Cola Femsa SA common stock	C	Dividends	II	S**
Coca-Cola Amatil Limited common stock	C	Dividends	II	P
DBS Group Holdings common stock	C	Dividends	II	P/S
Deutsche Bank AG common stock	C	Dividends	II	S
Deutsche Telekom AG American Depositary stock	C	Dividends	II	S**
E.On AG common stock	C	Dividends	II	P**/S**
ENI S P.A. common stock	C	Dividends	II	S**
Fortis (NL) N.V. common stock	C	Dividends	II	
France Telecom SA common stock	C	Dividends	II	P/S
Fujifilm Holdings Corp. common stock	C		I	S
Funai Electric Co. common stock	C	Dividends	II	
GlaxoSmithKline PLC common stock	C	Dividends	II	P/S**
Goldman Sachs Bank Deposit account	C	Interest	II	
Hannover Ruckversicherungs Corp. common stock	C		I	S**
HBOS common stock	C	Dividends	II	P/S**
Hino Motors Ltd common stock	C		I	P/S**
HSBC Holdings PLC common stock	C		I	P/S**
Hutchison Whampoa common stock	C		I	P
Infineon Technologies AG common stock	C		I	S**
KAO Corp common stock	C	Dividends	II	P/S
Koninklijke Philips Electrs NV common stock	C	Dividends	II	S**
Lagardere Groupe common stock	C		I	P
Mediaset SPA common stock	C	Dividends	II	P/S**
Mitsubishi Corp. common stock	C		I	P
Mitsubishi UFJ Finl. Group, Inc. common stock	C	Dividends	II	P/S**
National Australia Bank Limited common stock	C	Dividends	II	
Nestle SA common stock	C	Dividends	II	P/S**

Nissan Motor Co. Ltd common stock	C	Dividends	II	S
Nomura Holdings, Inc. common stock	C		I	P
Novartis AG common stock	C	Dividends	II	P**
NTT Docomo, Inc. common stock	C	Dividends	II	P**/S**
Petroleo Brasileiro SA common stock	C	Dividends	II	S**
Peugeot SA common stock	C	Dividends	II	P/S
Reed Elsevier PLC common stock	C	Dividends	II	P
Rentokil Initial PLC common stock	C	Dividends	II	P/S**
Repsol YPF SA common stock	C	Dividends	II	P**/S
Ricoh Co. Ltd common stock	C	Dividends	II	P**/S**
Royal Dutch Shell PLC common stock	C	Dividends	II	P**/S**
Sabmiller PLC stock	C		I	P
Sanofi-Aventis common stock	C	Dividends	II	P/S**
Sekisui Homes Ltd common stock	C	Dividends	II	S**
Shinsei Bank Ltd Tokyo common stock	C	Dividends	II	P**/S**
Siemens AG common stock	C	Dividends	II	P/S**
Sumitomo Mitsui Fini Group common stock	C	Dividends	II	P/S
Svenska Cellulosa AB Class B common stock	C	Dividends	II	S
Swiss Reinsurance common stock	C	Dividends	II	S**
Tabcorp Holdings Ltd. common stock	C	Dividends	II	P/S**
TDK Corporation common stock	C	Dividends	II	P/S**
Telstra Corp. common stock	C		I	P**
Thomson SA common stock	C	Dividends	II	
Total SA common stock	C	Dividends	II	P**/S**
Toto Ltd common stock	C	Dividends	II	P**/S**
UBS AG common stock	C	Dividends	II	S
Unilever PLC common stock	C	Dividends	II	P**/S**
United Microelectronics Corp. common stock	C	Dividends	II	S**
United Overseas BK Ltd common stock	C	Dividends	II	P**/S**
UPM-Kymmene Corp common stock	C	Dividends	II	S**
Valeo common stock	C	Dividends	II	S**
Vodafone Group PLC common stock	C	Dividends	II	P**/S**
Goldman Sachs - account #28124				
Goldman Sachs Bank Deposit account	C	Interest	III	
Goldman Sachs High Yield Municipal Fund Class A	E	Dividends	IV	S
Goldman Sachs - account #31233				
AES Corp. common stock	C		I	P**/S**
Aetna Inc. common stock	C	Dividends	II	P/S
AON Corporation common stock	C	Dividends	II	P/S
Apache Corp. common stock	C		I	P
Bank of America Corp. common stock	C	Dividends	III	P/S**
Baxter International common stock	C	Dividends	II	P/S

Berkshire Hathaway common stock	C		I	P
Boston Scientific Corp. common stock	C		I	P/S
Chubb Corp common stock	C	Dividends	II	P/S
Cigna common stock	C	Dividends	II	P/S
ConocoPhillips common stock	C	Dividends	II	P
CSX Corp common stock	C	Dividends	II	P/S
El Paso Corp common stock	C	Dividends	II	P/S
Flextronics International Ltd. common stock	C		I	P/S**
General Electric Co. common stock	C		I	P
Genworth Financial Inc common stock Class A	C	Dividends	II	P/S
Goldman Sachs Bank Deposit account	C	Interest	II	
Hewlett-Packard Co common stock	C	Dividends	II	P/S
Honda Motor Ltd common stock	C	Dividends	II	P**/S
Interpublic Group common stock	C		I	P**
Kinetic Concepts Inc. common stock	C		I	P/S
Liberty Media Corp common stock Series A	C		I	P/S
Lyondell Chemical Company common stock	C	Dividends	II	S
Marsh & McLennan Co Inc. common stock	C	Dividends	II	P/S
Morgan Stanley common stock	C	Dividends	II	P**/S
Motorola Inc. common stock	C	Dividends	II	P/S
Pfizer Inc. common stock	C	Dividends	II	P/S**
Royal Dutch Shell PLC common stock Series A	C	Dividends	II	P**/S
Southwest Airlines Co common stock	C	Dividends	II	P/S
Sprint Nextel Corp. common stock	C	Dividends	II	P/S
Symantec Corp. common stock	C		I	P/S
Texas Instruments Inc. common stock	C		I	P
The Mosaic Company common stock	C		I	P/S
Time Warner Inc common stock	C	Dividends	II	P/S
U.S. Bancorp common stock	C	Dividends	III	S**
Unilever NV common stock	C	Dividends	III	P**/S**
Unumprovident Corporation common stock	C	Dividends	II	P**/S
Wal Mart Stores Inc. common stock	C		I	P
Walt Disney Co. common stock	C		I	P/S**
Washington Post Co. common stock Class B	C		I	P
Waste Management Inc common stock	C	Dividends	II	P**/S
Watson Pharmaceuticals Inc common stock	C		I	P/S
Other Cash Accounts				
BB&T checking accounts	F	Interest	III	
Scottrade				
India Fund Inc	A	Dividends	III	P/S
Margin cash account	B	Interest	III	
Bear, Stearns Securities				

Aames Investment Corporation common stock	A	Dividends	III	S
JER Investors Trust stock - financial real estate investment partnership, Virginia	A	Dividends	III	S
Investments in partnerships, S corporations, & LLC's				
Bennett & Owens partnership - sports management & real estate investment partnership, Washington, D.C.	E	Partnership Income	V	
NJ-H, Inc (Corporation) - partner in real estate investment partnership, Washington, D.C.	G		I	
Old Westbury Global Private Equity Fund, New York, NY	G	Interest, Dividends, Capital Gains	IX	
Prospect 34 General Partnership - real estate investment partnership, owning 3343 Prospect St., Washington, D.C.	A	Interest, Gain on Sale of Building, Rental Income	IX	S
Bennett Group, Inc. (S Corporation) - developer, Washington, D.C. (formerly RAB Management, Inc.)	I	S Corp. Income	VII	
Property held for production of income				
3252 Winchester Road, Delaplane, VA (50% interest in a farm)	I		I	
RAB JR. MARITAL TRUST FBO LUANN BENNETT				
Goldman Sachs - account #27866				
Baxter International common stock	A	Dividends	III	S
Carnival Corp common stock	A	Dividends	III	P/S
Goldman Sachs Tax-Exempt Diversified Portfolio Money Market Fund	A	Interest	III	
Hewlett-Packard Co. common stock	A	Dividends	III	S
Intel Corp. common stock	A	Dividends	III	P/S
JPMorgan Chase & Co common stock	A	Dividends	III	S
Morgan Stanley common stock	A	Dividends	III	S
The Pepsi Bottling Group common stock	A	Dividends	III	S
Tiffany & Co common stock	A	Dividends	III	S
Time Warner Inc common stock	A	Dividends	III	S
Tyco International Ltd. common stock	A	Dividends	III	S
Washington Mutual Inc common stock	A	Dividends	IV	S
Yum! Brands Inc common stock	A	Dividends	III	S
Goldman Sachs - account #27868				
Goldman Sachs Bank Deposit account	C	Interest	III	
Lamphere Schs Madison Heights bonds	E		I	P
Portsmouth, VA municipal bonds	E		I	P
Pre/Fairfax County, VA Water Authority bonds	E		I	P
Richmond, VA municipal bonds	E		I	P
Virginia Beach, VA municipal bonds	F	Interest	VI	S
Goldman Sachs - account #27876				
Goldman Sachs cash accounts	D	Interest	V	
Goldman Sachs Emerging Markets Equity Fund Class A	E	Dividends	III	P/S
Goldman Sachs High Yield Municipal Fund Class A	E	Dividends	V	S
Goldman Sachs Structured Small Cap Equity Fund Class A	F	Dividends	III	P
Goldman Sachs Tax-Exempt Diversified Portfolio Money Market Fund	A	Interest	III	

Goldman Sachs - account #39900				
AES Corp. common stock	C		I	P/S**
Aetna Inc. common stock	C		I	P/S
AON Corp. common stock	C	Dividends	II	P/S
Apache Corp. common stock	C		I	P
Bank of America common stock	C	Dividends	II	P/S**
Baxter International common stock	C		I	P/S
Berkshire Hathaway Inc. stock Class B	C		I	P
Boston Scientific Corp. common stock	C		I	P/S
Chubb Corp. common stock	C	Dividends	II	P/S**
Cigna Corp. common stock	C	Dividends	II	P/S
ConocoPhillips common stock	C	Dividends	II	P
CSX Corp. common stock	C	Dividends	II	P/S
El Paso Corp. common stock	C	Dividends	II	P/S**
General Electric common stock	C		I	P
Genworth Financial Inc. common stock Class A	C	Dividends	II	P/S**
Goldman Sachs Bank Deposit account	C	Interest	II	
Hewlett-Packard Co. common stock	C	Dividends	II	P/S**
Interpublic Group common stock	C		I	P
Kinetic Concepts Inc. common stock	C		I	P/S
Liberty Media Holding Corp. common stock Series A	C		I	P
Lyondell Chemical Co. common stock	C	Dividends	II	P/S
Marsh & McLennan Co. common stock	C	Dividends	II	P/S
Morgan Stanley common stock	C	Dividends	II	P/S
Motorola Inc. common stock	C	Dividends	II	P/S
Pfizer Inc. common stock	C	Dividends	II	P
Southwest Airlines Co. common stock	C	Dividends	II	P/S
Sprint Nextel Corp. common stock	C	Dividends	II	P/S
Symantec Corp. common stock	C		I	P/S
Texas Instruments Inc. common stock	C		I	P
The Mosaic Company common stock	C		I	P/S
Time Warner Inc. common stock	C	Dividends	II	P/S
U.S. Bancorp common stock	C	Dividends	II	P
Unumprovident Corp. common stock	C	Dividends	II	P/S**
Wal Mart Stores Inc. common stock	C		I	P
Walt Disney Co. common stock	C		I	P
Washington Post Co. common stock Class B	C		I	P
Waste Management Inc common stock	C	Dividends	II	P/S
Watson Pharmaceuticals Inc. common stock	C		I	P/S
Flextronics International Ltd. common stock	C		I	P
Honda Motors Ltd. common stock	C	Dividends	II	P
Royal Dutch Shell PLC common stock Series A	C	Dividends	II	P/S

Unilever NV common stock	C	Dividends	II	P
Goldman Sachs - account #39975				
Amdocs Limited ordinary shares	C		I	P
Carnival Corp common stock	C	Dividends	II	
Nil Holdings Inc. common stock Class B	C		I	
Schlumberger Ltd. common stock	C	Dividends	II	
Southern Copper Corp. common stock	C	Dividends	II	
Adidas AG common stock	C		I	P
Air Liquide common stock	C		I	P
Allied Insh Banks PLC ordinary shares	C	Dividends	II	P
America Movil SA common stock Series L	C	Dividends	II	P/S**
Bank of Yokohama Ltd. common stock	C	Dividends	II	P
Barclays PLC common stock	C	Dividends	II	P
Cadbury Schweppes PLC common stock	C	Dividends	II	P
Canadian Natural Resources common stock	C	Dividends	II	P
China Mobile Ltd. common stock	C		I	P
China Petroleum & Chemical Corp. stock	C	Dividends	II	P
E.On AG common stock	C		I	P
Embraer-Brazilian Aviation common stock	C	Dividends	II	P
ENI S.P.A. common stock	C	Dividends	II	P
France Telecom SA common stock	C		I	P
Goldman Sachs Bank Deposit account	C	Interest	II	
Kingfisher PLC common stock	C	Dividends	II	P
Komatsu Ltd. common stock	C	Dividends	II	P
Lloyds TSB Group PLC common stock	C	Dividends	II	P
Lukoil Oil Co common stock	C		I	P
Mediaset SPA common stock	C		I	P
Novartis AG common stock	C		I	P
Novo-Nordisk common stock	C		I	P/S
Puma AG common stock	C		I	P
Rio Tinto PLC stock	C	Dividends	II	P
Roche Holding AG stock Series B	C		I	P
Rogers Communications Inc. common stock Class B	C		I	P
Sabmiller PLC stock	C		I	P
SAP Aktiengesellschaft stock	C		I	P
Satyam Computer Services common stock	C	Dividends	II	P/S
Secom Ltd. common stock	C		I	P/S**
Sharp Corp. common stock	C	Dividends	II	P
Shinhan Financial Group common stock	C		I	P
Shiseido Co. Ltd. common stock	C	Dividends	II	P
Swiss Reinsurance common stock	C		I	P
Teva Pharmaceutical Ind. Ltd. stock	C	Dividends	II	P

Toyota Motor Corp. stock	C	Dividends	II	P/S
UBS AG common stock	C	Dividends	II	P
Vodafone Group PLC common stock	C	Dividends	III	P
Walmart de Mexico SA stock Series V	C		I	P
Ishares FTSE Xinhau China 25 Index Fd stock	C	Dividends	II	P
Goldman Sachs - account #39976				
Goldman Sachs Bank Deposit account	C	Interest	II	
Adobe Systems Inc. common stock	C		I	P
American Capital Strategies common stock	C	Dividends	II	P/S**
American Express Co. common stock	C	Dividends	II	P
Applied Materials, Inc. common stock	C	Dividends	II	P/S
Baxter International Inc. common stock	C		I	P
Bed Bath & Beyond Inc. common stock	C		I	P
Best Buy Co. common stock	C	Dividends	II	P
Boston Scientific Corp. common stock	C		I	P
Broadcom Corp. common stock Class A	C		I	P
Capital One Financial Corp. common stock	C	Dividends	II	P/S
Caremark RX Inc. common stock	C	Dividends	II	P/S
Chicago Mercantile Holdings Inc. common stock	C	Dividends	II	P
Cigna Corp common stock	C	Dividends	II	P
Coach Inc. common stock	C		I	P
Comcast Corp. common stock Class A	C		I	P
Corning Inc. common stock	C		I	P
Costco Wholesale Corp common stock	C	Dividends	II	P/S
CVS Corp. common stock	C	Dividends	II	P
Danaher Corp common stock	C	Dividends	II	P
Google Inc. common stock Class A	C		I	P
Hewlett-Packard Co. common stock	C		I	P
Humana Inc. common stock	C		I	P
J.C Penney Co. common stock	C	Dividends	II	P/S
MEMC Electronic Material common stock	C		I	P
Metlife Inc. common stock	C	Dividends	II	P
Microchip Technology common stock	C	Dividends	II	P
Monsanto Co. common stock	C	Dividends	II	P/S
NCR Corp. common stock	C		I	P
Nike common stock Class B	C	Dividends	II	P
Procter & Gamble Co. common stock	C	Dividends	II	P/S**
Qualcomm Inc. common stock	C	Dividends	II	P
St. Jude Medical Inc. common stock	C		I	P
Staples, Inc. common stock	C		I	P
Starwood Hotels & Resorts common stock	C		I	P/S
Sysco Corp. common stock	C	Dividends	II	P

Tenet Healthcare Corp common stock	C		I	P
The Hershey Co common stock	C	Dividends	II	P
TXU Corp common stock	C	Dividends	II	P
Valero Energy Corp. common stock	C	Dividends	II	P
Walt Disney Co. common stock	C		I	P/S
Wynn Resorts Ltd. common stock	C	Dividends	III	P
Xerox Corp. common stock	C		I	P
Alcon Inc. common stock	C		I	P
Honda Motors Ltd common stock	C	Dividends	II	P/S
Sanofi-Aventis common stock	C		I	P
Teva Pharmaceutical Ind. Ltd. stock	C	Dividends	II	P
Investment in partnerships				
Bennett Family LLC	I*	LLC Income	VIII	
Prospect 34 General Partnership - real estate investment partnership, owning 3343 Prospect St., Washington, D.C.	A	Interest, Gain on Sale of Building, Rental Income	IX	S
Cash Accounts				
BB&T checking account	E	Interest	III	
Property held for production of income				
3252 Winchester Road, Delaplane, VA (50% interest in a farm)	I		I	
BENNETT FAMILY LLC (all values reflect client's 1% interest)				
Bear, Stearns Securities				
Blackrock Provident Institutional Funds Municash shares	C	Dividends	II	
Harding Loevner Funds Inc. Emerging Market Portfolio	C	Dividends	II	S
JER Investors Trust, Inc. stock	C	Dividends	II	
***Interests in partnerships* & LLC's**				
Advisory Research Small/Mid Cap Value Equity Fund II, LP	C	Dividends, Capital Gains	III	P
EJF Health Care Fund, LP	C		I	P
FBR Life Sciences Fund, LLC	A	Interest, Dividends, Capital Gains	III	S
FBR Wolf Brook Limited Partnership (formerly FBR Weston LP)	C	Interest, Dividends, Capital Gains	III	
GlobeFlex International Partners QP, LP	C	Interest, Dividends, Capital Gains	IV	
Bessemer Trust				
Old Westbury Private Equity Fund 2001 LLC - Series A, New York, NY	C	LLC Income	III	
* Because these assets are privately held, the cost basis is used as the best estimate of market value				
**The purchases or sales of these assets are not recorded on Schedule IV because the total transaction amounts were less than $1,000.				

Name James P. Moran, Jr. | Page ___ of ___

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–06		X								
	See attachment														

This page may be copied if more space is required.

4522

[redacted] LUANN BENNETT

SCHEDULE IV - TRANSACTIONS

ASSET	TYPE OF TRANSACTION	DATE	AMOUNT OF TRANSACTION
Goldman Sachs - account #28110			
Newport News, VA municipal bonds	S	11/1/2006	D
Goldman Sachs - account #28113			
Adobe Systems Inc common stock	S	11/2/2006	B
AFLAC Inc common stock	S	9/13/2006	B
Amazon com Inc common stock	S	4/7/2006	B
Amgen Inc common stock	S	12/8/2006	B
Apollo Group Class A common stock	S	2/14/06 & 4/21/06	B
Autodesk Inc. common stock	P	12/12/2006	B
C.H. Robinson Worldwide Inc. common stock	P	12/28/2006	B
Cerner Corp. common stock	P	11/10/06 & 11/13/06	B
Cisco Systems, Inc. common stock	S	5/9/06, 11/13/06, & 12/15/06	B
Countrywide Financial Corp. common stock	P	4 purchases from January through May 2006	B
Dell Inc common stock	S	1/6/06 & 10/2/06	B
Ebay Inc common stock	S	5/11/06, 10/2/06, & 12/28/06	B
Electronic Arts common stock	S	6/6/06, 11/3/06, & 12/14/06	B
Expeditors International Wash Inc common stock	P	4/7/2006	B
Genentech Inc common stock	S	4/7/2006	B
General Electric Co. common stock	P	10/11/2006	B
Genzyme Corp common stock	P	4/28/06, 6/22/06, & 7/5/06	B
Getty Images, Inc. common stock	P/S	Purchase-3/31/06; Sale-9/18/2006	B/B
Google, Inc. Class A common stock	P/S	Purchases-6/6/06 & 9/28/06; Sale-1/3/2006	B/B
Maxim Integrated Products Inc common stock	S	6/6/06 & 9/26/06	B
Pixar Inc common stock	S	3/3/2006	B
Progressive Corporation common stock	S	4 sales during 2006	B
Pulte Homes Inc. common stock	P	6/7/06 & 10/19/06	B
Qualcomm Inc common stock	P/S	3 purchases & 2 sales during 2006	B/B
Salesforce.com, Inc. common stock	P	2/10/2006	B
Schlumberger Ltd common stock	P	3/13/06, 4/7/06, & 6/22/06	B
SLM Corp. common stock	P	4 purchases from April through November 2006	B
Starbucks Corp common stock	S	4/7/06, 8/23/06, & 12/5/06	B
Walt Disney Co. common stock	S	5/24/06, 7/5/06, & 10/27/06	B
Wellpoint, Inc. common stock	P	9/13/06 & 9/22/06	B
Xilinx Inc common stock	S	1/3/06, 5/11/06, & 6/16/06	B
XM Satellite Radio Holdings common stock	S	2/14/06 & 5/24/06	B
Yahoo! Inc. common stock	S	4 sales from February through July 2006	B
Zimmer Holdings Inc. common stock	P	8/30/2006	B
Goldman Sachs - account #28119			
Aeon Co. Ltd common stock	P	5/19/06, 6/14/06, & 6/21/06	B
Aiful Corporation common stock	P	4 purchases from January through March 2006	B
Ajinomoto Inc. common stock	S	6/28/06, 11/30/06, & 12/12/06	B
Anglo American PLC common stock	S	4 sales during 2006	B
BAA PLC common stock	S	5 sales in February & March 2006	B

Bank of East Asia Ltd common stock	S	5 sales from April through August 2006	B
Bank of Ireland common stock	S	4 sales from May through December 2006	B
Benetton Group SPA stock	S	4/28/2006	B
BNP Paribas common stock	S	6 sales during 2006	B
BT Group PLC common stock	S	5 sales during 2006	B
Cadbury Schweppes PLC common stock	P	6 purchases from July through November 2006	B
Canon Inc. common stock	S	5 sales during 2006	B
Coca Cola Amatil Ltd common stock	P	4 purchases in May & June 2006	B
D&S Group Holdings common stock	P/S	3 purchases & 4 sales during 2006	B/B
Deutsche Bank AG common stock	S	4 sales from May through November 2006	B
Deutsche Lufthansa AG common stock	S	7 sales in June & July 2006	B
Diageo PLC common stock	S	5 sales in June & July 2006	B
Endesa SA common stock	S	1/17/06, 1/18/06, & 1/24/06	B
Enel SPA common stock	P	5/5/06 & 5/18/06	B
Energias de Portugal SA common stock	S	4 sales in January & April 2006	B
France Telecom SA common stock	P/S	3 purchases & 4 sales during 2006	B/B
Fuji Heavy Ind. Ltd common stock	S	4 sales in February & April 2006	B
Fuji Photo common stock	P	1/24/06 & 8/7/06	B
Fujifilm Holdings Corp common stock	S	11/3/06, 12/4/06, & 12/12/06	B
GlaxoSmithKline PLC common stock	P	5 purchases during 2006	B
HBOS common stock	P	4 purchases during 2006	B
Heineken NV common stock	S	9 sales during 2006	B
Hino Motors Ltd common stock	P	10 purchases during 2006	B
HSBC Holdings PLC common stock	P	11/20/06 & 12/13/06	B
Hutchison Whampoa common stock	P	10/26/06, 10/31/06, & 11/6/06	B
J Sainsbury PLC common stock	S	9/19/06, 9/22/06, & 9/25/06	B
KAO Corp. common stock	P/S	Purchases-5/24/06 & 6/21/06; Sales-3/6/06 & 6/28/06	B/B
Lafarge SA common stock	S	10 sales during 2006	B
Lagardere Groupe common stock	P	7 purchases from June through August 2006	B
Mediaset SPA common stock	P	6 purchases during 2006	B
Minebea Co. Ltd common stock	S	11 sales during 2006	B
Mitsubishi Corp common stock	P	11/6/06 & 11/16/06	B
Mitsubishi UFJ Finl. Group, Inc common stock	P	4 purchases in June & November 2006	B
Nestle SA common stock	P	4/4/06, 4/7/06, & 5/23/06	B
Nissan Motor Co. Ltd common stock	S	5 sales from April through August 2006	B
Nomura Holdings, Inc common stock	P	12/12/06 & 12/13/06	B
Orix Corporation common stock	S	3/15/06 & 4/7/06	B
Peugeot S A common stock	P/S	4 purchases & 4 sales during 2006	B/B
Reed Elsevier PLC common stock	P	3/22/06, 4/28/06, & 6/26/06	B
Repsol YPF SA common stock	S	11/3/06 & 11/15/06	B
Rentokil Initial PLC common stock	P	10 purchases from May through October 2006	B
Rio Tinto PLC common stock	S	11/3/06 & 11/6/06	B
Sabmiller PLC stock	P	6 purchases in October & November 2006	B
Sanofi-Aventis common stock	P	5 purchases from August through December 2006	B
Siemens AG common stock	P	13 purchases from January through August 2006	B
Sumitomo Mitsui Financial Group stock	P/S	3 purchases & 5 sales during 2006	B/B
Svenska Cellulosa Class B common stock	S	1/26/06, 3/22/06, & 11/9/06	B
Tabcorp Holdings Ltd common stock	P	4/3/06, 4/13/06, & 5/18/06	B

TDK Corporation common stock	P	4 purchases in January & February 2006	B
Telecomunicacoes Brasileiras SA stock	S	4 sales during 2006	B
UBS AG common stock	S	8/23/06, 9/29/06 & 10/24/06	B
Unilever PLC common stock	P	4 purchases in April & October 2006	B
Volkswagon AG common stock	S	4 sales from March through August 2006	B
Wolters Kluwer common stock	S	3/22/06, 4/8/06, & 5/4/06	B
Goldman Sachs - account #28124			
Goldman Sachs High Yield Municipal Fund Class A	S	6/1/2006	B
Goldman Sachs - account #31233			
Aetna Inc common stock	P/S	9 purchases & 7 sales during 2006	B/B
Agilent Technologies Inc. common stock	S	10 sales from January through June 2006	B
AON Corporation common stock	P/S	15 purchases & 10 sales during 2006	B/B
Apache Corp common stock	P	8 purchases in October & November 2006	B
Bank of America Corp common stock	P	10 purchases from February through June 2006	B
Baxter International Inc common stock	P/S	10 purchases & 6 sales during 2006	B/B
Berkshire Hathaway Inc Class B common stock	P	9/21/2006	B
Boston Scientific Corp common stock	P/S	22 purchases & 7 sales during 2006	B/B
Chubb Corp common stock	P/S	12 purchases & 6 sales during 2006	B/B
Cigna Corp common stock	P/S	6 purchases & 12 sales during 2006	B/B
ConocoPhillips common stock	P	8 purchases from September through November 2006	B
CSX Corporation common stock	P/S	7 purchases & 21 sales during 2006	B/B
Diebold Inc. common stock	S	15 sales from March through June 2006	B
DirecTV Group common stock	S	27 sales from June through December 2006	B
El Paso Corp common stock	P/S	9 purchases & 6 sales during 2006	B/B
Flextronics International Ltd common stock	P	20 purchases during 2006	B
Freescale Semiconductor, Inc Class A common stock	S	29 sales during 2006	B
General Electric Co common stock	P	7 purchases in November & December 2006	B
Genworth Financial Inc. common stock	P/S	5 purchases & 5 sales during 2006	B/B
Hewlett-Packard Co common stock	P/S	2 purchases & 4 sales during 2006	B/B
Honda Motor Ltd (Amencan) common stock	S	10 sales in April & May 2006	B
JetBlue Airways Corp. common stock	P/S	12 purchases & 18 sales during 2006	B/B
Kinetic Concepts Inc. common stock	P/S	17 purchases & 9 sales during 2006	B/B
Laboratory Corp of America common stock	S	16 sales from January through August 2006	B
Liberty Media Corp common stock Series A	P/S	10 purchases & 13 sales during 2006	B/B
Lyondell Chemical Company common stock	P/S	9 purchases & 10 sales during 2006	B/B
Marsh & McLennan Co Inc. common stock	P/S	5 purchases & 11 sales during 2006	B/B
McDonalds Corp common stock	S	10 sales in February & March 2006	B
Morgan Stanley common stock	S	8 sales from June through November 2006	B
Motorola Inc common stock	P/S	15 purchases & 4 sales from June through December 2006	B/B
Pfizer Inc common stock	P	8 purchases during 2006	B
Royal Dutch Shell PLC Series A common stock	S	7 sales from June through October 2006	B
Southwest Airlines Co common stock	P/S	10 purchases & 11 sales during 2006	B/B
Sprint Nextel Corp common stock	P/S	21 purchase & 5 sales during 2006	B/B
Symantec Corporation common stock	P/S	5 purchases & 8 sales from March through October 2006	B/B
Texas Instruments Inc. common stock	P	7 purchases in November & December 2006	B
The Mosaic Company common stock	P/S	18 purchases & 19 sales during 2006	B/B
Thermo Electron Corp common stock	S	21 sales from January through June 2006	B
Time Warner Inc common stock	P/S	6 purchases & 6 sales during 2006	B/B

Tyco International Ltd common stock	S	11 sales from January through May 2006	B
Unumprovident Corporation common stock	S	7 sales from January through July 2006	B
Wal Mart Stores Inc. common stock	P	6 purchases in November & December 2006	B
Walt Disney Co common stock	P	8 purchases from January through March 2006	B
Washington Post Co. common stock Class B	P	12/14/06 & 12/28/06	B
Waste Management Inc common stock	S	11 sales from April through November 2006	B
Watson Pharmaceuticals Inc. common stock	P/S	6 purchases & 18 sales during 2006	B/B
Williams Companies, Inc. common stock	S	23 sales during 2006	B
Scottrade			
Apollo Group Class A common stock	S	1/6/2006	B
Apollo Investment Management common stock	S	2/21/2006	C
Apple Computer common stock	S	2/7/2006	B
FedEx Corp common stock	S	2/7/2006	B
Friedman Billings Ramsey Group common stock	S	2/7/2006	B
Harding Loevner Emerging Markets Fund	P/S	Purchase-2/7/06; Sale-5/22/06	C/C
India Fund Inc. common stock	P/S	Purchase-3/6/06; Sales-2/21/06 & 5/22/06	C/C
ING Russia Fund Class A shares	P/S	Purchase-2/7/2006; Sale-5/22/06	C/C
Matthews Korea Fund	S	2/16/2006	C
Profunds UltraJapan Fund	S	2/16/2006	C
T Rowe Price EMG Eur & Medit Fund	S	5/22/2006	C
T Rowe Price Latin America Fund	S	5/22/2006	C
Bear Stearns Securities			
Aames Investment Corporation common stock	S	3/3/2006	B
Atlas America Inc. common stock	S	3/3/2006	C
ECC Capital Corporation common stock	S	3/3/2006	B
Fieldstone Investment common stock	S	3/3/2006	C
JER Investors Trust stock - financial real estate investment partnership, Virginia	S	3/8/2006	C
New Century Financial Corp common stock	S	3/3/2006	B
Quanta Capital Holdings Ltd. common stock	S	11/21/2006	C
Investments in partnerships, S corporations, & LLC's			
Prospect 34 General Partnership - real estate investment partnership, owning 3343 Prospect St., Washington, D C.	S	8/17/06 & 11/13/06	F
RAB JR. MARITAL TRUST FBO LUANN BENNETT			
Goldman Sachs - account #27886			
Baxter International common stock	S	6/28/2006	C
Brunswick Corp common stock	S	6/28/2006	C
Carnival Corp. common stock	P/S	Purchases-3/16/06 & 5/18/06; Sale-6/28/2006	C/C
Costco Wholesale Corporation common stock	S	2/23/2006	B
Dell Inc. common stock	P/S	Purchases-1/3/06, 4/26/06, & 5/10/06; Sale-6/28/06	C/C
Hewlett-Packard Co. common stock	S	6/28/2006	D
Intel Corp common stock	P/S	4 purchases from January through June 2006; Sale-6/28/06	C/D
JPMorgan Chase & Co. common stock	S	6/28/2006	D
Lennar Corp. common stock Class A	P/S	Purchase-5/30/06; Sale-6/28/06	C/C
Liberty Global Inc. common stock Class A	S	6/21/06 & 6/28/2006	C
Liberty Global Inc. common stock Series C	P/S	Purchase-2/14/06; Sales-6/21/06 & 6/28/06	B/C
Liberty Media Corp. new common stock Series A	S	4/10/2006	B
Liberty Media Holding Corp. Capital common stock Series A	S	6/28/2006	B

Liberty Media Holding Corp. common stock Series A	S	5/15/2006	B
McDonalds Corp. common stock	S	6/28/2006	D
Morgan Stanley common stock	S	6/28/2006	D
National Semiconductor Corp. common stock	S	6/28/2006	C
The Pepsi Bottling Group, Inc. common stock	S	3/16/06 & 5/23/06	C
Schering-Plough Corp. common stock	P/S	Purchase-5/11/06; Sale-6/28/06	C/C
Sovereign Bancorp Inc. common stock	S	4/20/2006	D
Tiffany & Co. common stock	S	6/28/2006	C
Time Warner Inc. common stock	S	6/28/2006	C
Tyco International Ltd common stock	S	6/28/2006	D
Washington Mutual, Inc. common stock	S	6/28/2006	D
Yum! Brands Inc. common stock	S	6/28/2006	C
Goldman Sachs - account #27868			
Lamphere Schs, Madison Heights bonds	P	12/20/2006	D
Portsmouth, VA municipal bonds	P	12/15/2006	D
Pre/Fairfax County, VA Water Authority bonds	P	12/8/2006	D
Richmond, VA municipal bonds	P	12/14/2006	D
Virginia Beach, VA municipal bonds	S	3/30/2006	D
Goldman Sachs - account #27876			
Goldman Sachs Emerging Markets Equity Class A	P/S	Purchase-6/30/06; Sale-12/27/06	D/C
Goldman Sachs High Yield Municipal Fund Class A	S	6/1/2006	B
Goldman Sachs Structured Small Cap Equity Fund Class A	P	6/30/2006	E
Goldman Sachs - account #39900			
AES Corp. common stock	P	7/6/2006	B
Aetna Inc. common stock	P/S	8 purchases & 3 sales from July through November 2006	B/B
AON Corp. common stock	P/S	16 purchases & 4 sales from July through November 2006	B/B
Apache Corp. common stock	P	8 purchases in October & November 2006	B
Bank of America common stock	P	7/6/2006	B
Baxter International common stock	P/S	Purchase-7/6/06; 4 sales from August through November 2006	B/B
Berkshire Hathaway Inc. stock Class B	P	7/6/06 & 7/21/06	B
Boston Scientific Corp. common stock	P/S	6 purchases & 7 sales from July through December 2006	B/B
Chubb Corp. common stock	P	11 purchases from July through December 2006	B
Cigna Corp. common stock	P/S	Purchase-7/6/06; 7 sales from July through October 2006	B/B
ConocoPhillips common stock	P	8 purchases from September through November 2006	B
CSX Corp. common stock	P/S	6 purchases & 7 sales from July through December 2006	B/B
DirecTV Group Inc. common stock	P/S	Purchase-7/6/06; 26 sales from August through December 2006	B/B
El Paso Corp. common stock	P	9 purchases from July through October 2006	B
Flextronics International Ltd. common stock	P	14 purchases from July through December 2006	B
General Electric common stock	P	7 purchases in November & December 2006	B
Genworth Financial Inc. common stock Class A	P	4 purchases in July & December 2006	B
Hewlett-Packard Co. common stock	P	7/6/06 & 7/28/06	B
Interpublic Group common stock	P	7/6/2006	B
JetBlue Airways Corp. common stock	P/S	Purchase-7/6/06; 17 sales in August & December 2006	B
Kinetic Concepts Inc. common stock	P/S	4 purchases & 10 sales from August through November 2006	B/B
Laboratory Corporation of America common stock	P/S	Purchase-7/6/06, 8 sales in July & August 2006	B/B
Liberty Media Holding Corp. common stock Series A	P	5 purchases from July through October 2006	B
Lyondell Chemical Co. common stock	P/S	4 purchases & 5 sales from July through December 2006	B/B
Marsh & McLennan Co. common stock	P/S	2 purchases & 7 sales from July through December 2006	B/B

Morgan Stanley common stock	P/S	Purchase-7/6/06; 6 sales from August through November 2006	B/B
Motorola Inc. common stock	P/S	9 purchases & 4 sales from July through December 2006	B/B
Pfizer Inc common stock	P	7 purchases from July through December 2006	B
Southwest Airlines Co. common stock	P/S	11 purchases & 7 sales from July through December 2006	B/B
Sprint Nextel Corp. common stock	P/S	6 purchases & 5 sales from July through November 2006	B/B
Symantec Corp. common stock	P/S	2 purchases & 7 sales from July through October 2006	B/B
Texas Instruments Inc. common stock	P	7 purchases in November & December 2006	B
The Mosaic Company common stock	P/S	8 purchases & 17 sales from July through December 2006	B/B
Time Warner Inc. common stock	P/S	7 purchases & 3 sales from July through November 2006	B/B
U.S. Bancorp common stock	P	7/6/2006	B
Unumprovident Corp. common stock	P	7/6/06, 10/5/06, & 10/6/06	B
Wal Mart Stores Inc. common stock	P	6 purchases in November & December 2006	B
Walt Disney Co. common stock	P	7/6/2006	B
Washington Post Co common stock Class B	P	12/13/06, 12/26/06, & 12/28/06	B
Waste Management Inc common stock	P/S	5 purchases & 5 sales from July through December 2006	B/B
Watson Pharmaceuticals Inc. common stock	P/S	8 purchases & 14 sales from July through December 2006	B/B
Williams Companies Inc. common stock	P/S	Purchase-7/6/06; 12 sales from August through October 2006	B/B
Freescale Semiconductor, Inc. common stock	P/S	Purchase-7/6/06; 23 sales from September through November 2006	B/B
Honda Motors Ltd. common stock	P	5 purchases from July through December 2006	B
Royal Dutch Shell PLC common stock Series A	P/S	Purchase-7/6/06; 5 sales in October 2006	B/B
Unilever NV common stock	P	4 purchases in July & August 2006	B
Goldman Sachs - account #39975			
Amdocs Limited ordinary shares	P	7/6/2006	B
Carnival Corp common stock	P	9/27/06, 10/30/06, & 10/31/06	B
Nil Holdings Inc. common stock Class B	P	12/7/2006	B
Schlumberger Ltd. common stock	P	7/6/2006	B
Southern Copper Corp. common stock	P	7/6/2006	B
Adidas AG common stock	P	4 purchases in July & August 2006	B
Air Liquide common stock	P	7/11/06 & 9/13/06	B
Allied Irish Banks PLC ordinary shares	P	7/6/06 & 8/10/06	B
America Movil SA common stock Series L	P	7/6/2006	B
Bank Fukuoka Ltd. common stock	P/S	Purchase-7/12/06; 4 sales in October 2006	B/B
Bank of Yokohama Ltd. common stock	P	7/12/06 & 10/4/06	B
Barclays PLC common stock	P	7/6/2006	B
BP PLC common stock	P/S	Purchase-7/6/06; Sales-8/17/06, 9/7/06, & 9/8/06	B/B
Cadbury Schweppes PLC common stock	P	7/6/2006	B
Canadian Natural Resources common stock	P	7/6/06, 8/10/06, & 9/20/06	B
China Mobile Ltd. common stock	P	11/8/06 & 11/7/06	B
China Petroleum & Chemical Corp. stock	P	7/6/06, 10/30/06, & 11/20/06	B
E.On AG common stock	P	12/8/2006	B
Embraer-Brazilian Aviation common stock	P	7/6/2006	B
ENI S P.A. common stock	P	7/6/06, 8/10/06, & 10/2/06	B
Focus Media Holding Ltd common stock	P/S	Purchase-7/6/06; Sale-12/15/06	B/B
France Telecom SA common stock	P	7/6/2006	B
Glaxosmithkline PLC common stock	P/S	Purchase-7/6/06; Sales-10/24/06 & 10/25/06	B/B
Hoya Corp. common stock	P/S	Purchase-7/12/06; Sales-9/20/06 & 9/21/06	B/B
Ishares MSCI South Korea Index Fund stock	P/S	Purchase-7/6/06; Sales-10/23/06 & 10/25/06	B/B
Kingfisher PLC common stock	P	7/6/2006	B

Komatsu Ltd. common stock	P	8/16/06 & 10/20/06	B
Lloyds TSB Group PLC common stock	P	7/6/2006	B
Lukoil Oil Co. common stock	P	7/6/06 & 9/20/06	B
Mediaset SPA common stock	P	7/11/06 & 10/6/06	B
Millea Holdings Inc common stock	P/S	Purchase-7/6/06; Sale-11/10/06	B/B
Novartis AG common stock	P	7/6/06 & 9/29/06	B
Novo-Nordisk common stock	P/S	Purchase-7/6/06; Sale-9/19/06	B/B
Peugeot SA common stock	P/S	Purchase-7/11/06; Sale-8/2/06	B/B
Puma AG common stock	P	7/11/2006	B
Rio Tinto PLC stock	P	7/6/2006	B
Roche Holding AG stock Series B	P	7/6/06 & 12/5/06	B
Rogers Communications Inc. common stock Class B	P	7/6/06 & 8/1/06	B
Sabmiller PLC stock	P	4 sales from August through October 2006	B
Sanofi-Aventis common stock	P/S	Purchase-7/6/06; Sales-8/7/06 & 8/8/06	B/B
SAP Aktiengesellschaft stock	P	7/6/06 & 8/10/06	B
Satyam Computer Services common stock	P/S	2 purchases & 5 sales from July through October 2006	B/B
Secom Ltd. common stock	P	7/12/06 & 9/26/06	B
Sharp Corp. common stock	P	7/6/2006	B
Shaw Communications Inc. non-voting stock Class B	P/S	Purchase-7/6/06; Sale-10/18/06	B/B
Shinhan Financial Group common stock	P	7/6/06, 10/24/06, & 10/25/06	B
Shiseido Co. Ltd. common stock	P	7/12/2006	B
Swiss Reinsurance common stock	P	7/11/06 & 8/10/06	B
Tesco PLC common stock	P/S	Purchase-7/6/06; Sales-10/30/06 & 11/16/06	B/B
Teva Pharmaceutical Ind. Ltd. stock	P	7/6/06, 11/20/06, & 12/13/06	B
Toyota Motor Corp. stock	P/S	Purchases-7/6/06, 9/29/06, & 10/2/06; Sale-8/29/06	B/B
UBS AG common stock	P	7/6/2006	B
Vodafone Group PLC common stock	P	7/6/2006	B
Walmart de Mexico SA stock Series V	P	7/6/2006	B
Ishares FTSE Xinhau China 25 Index Fd. stock	P	10/24/06 & 10/25/06	B
Goldman Sachs - account #39876			
Adobe Systems Inc common stock	P	8/8/06, 9/7/06, & 9/15/06	B
American Capital Strategies common stock	P	Purchases-7/6/06 & 9/26/06; Sale-11/15/06	B
American Express Co. common stock	P	7/6/2006	B
Applied Materials, Inc. common stock	P/S	Purchase-7/6/06; Sale-10/19/06	B/B
Baxter International Inc. common stock	P	10/23/2006	B
Bed Bath & Beyond Inc common stock	P	9/18/2006	B
Best Buy Co. common stock	P	7/6/06 & 9/11/06	B
Boston Scientific Corp. common stock	P	7/6/06, 8/8/06, & 12/29/06	B
Broadcom Corp common stock Class A	P	7/6/2006	B
Capital One Financial Corp common stock	P/S	Purchase-7/6/06; Sale-11/27/06	B/B
Caremark RX Inc common stock	P/S	Purchases-7/6/06 & 12/22/06; Sale-11/3/06	B/B
Chicago Mercantile Holdings Inc. common stock	P	7/6/2006	B
Chubb Corp. common stock	P/S	Purchase-7/6/06, Sales-8/4/06 & 8/7/06	B/B
Cigna Corp common stock	P	7/6/2006	B
Coach Inc common stock	P	11/15/06 & 12/14/06	B
Comcast Corp. common stock Class A	P	7/6/2006	B
Corning Inc common stock	P	7/6/06 & 9/7/06	B
Costco Wholesale Corp common stock	P/S	Purchase-11/3/06, Sale-12/27/06	B/B

CVS Corp. common stock	P	7/6/06 & 7/28/06	B
Danaher Corp. common stock	P	7/6/2006	B
EMC Corp. common stock	P/S	Purchase-7/6/06; Sale-8/1/06	B/B
Glaxosmithkline PLC common stock	P/S	Purchase-7/6/06; Sales-11/15/06 & 12/8/06	B/B
Google Inc. common stock Class A	P	7/6/06, 10/18/06, & 10/20/06	B
Halliburton Co. common stock	P/S	Purchases-7/6/06 & 8/9/06; Sales-9/29/06, 10/19/06, & 10/23/06	B/B
Hewlett-Packard Co. common stock	P	10/2/06 & 11/15/06	B
Humana Inc. common stock	P	7/6/2006	B
J.C. Penney Co. common stock	P/S	Purchase-7/6/06; Sale-12/4/06	B/B
McDonalds Corp. common stock	P/S	Purchase-7/21/06; Sale-10/13/06	B/B
MEMC Electronic Material common stock	P	7/6/2006	B
Metlife Inc. common stock	P	7/6/06, 8/8/06, & 9/14/06	B
Microchip Technology common stock	P	7/6/2006	B
Monsanto Co. common stock	P/S	Purchase-7/6/06; Sale-10/17/06	B/B
NCR Corp. common stock	P	4 purchases in November & December 2006	B
Nike common stock Class B	P	8/7/2006	B
Paccar Inc. common stock	P/S	Purchase-7/6/06; 5 sales in October 2006	B/B
Procter & Gamble Co. common stock	P	7/6/2006	B
Qualcomm Inc. common stock	P	7/6/2006	B
St. Jude Medical Inc. common stock	P	7/27/2006	B
Staples, Inc. common stock	P	12/18/2006	B
Starwood Hotels & Resorts common stock	P/S	Purchase-7/6/06; Sale-11/16/06	B/B
Sysco Corp. common stock	P	9/12/06 & 9/13/06	B
Tenet Healthcare Corp. common stock	P	7/6/2006	B
The Hershey Co. common stock	P	7/6/06 & 10/20/06	B
TJX Companies Inc. common stock	P/S	Purchase-7/6/06; Sales-9/20/06, 10/2/06, & 10/5/06	B/B
TXU Corp. common stock	P	7/6/2006	B
United Parcel Service, Inc. common stock Class B	P/S	Purchase-7/6/06; Sale-8/3/06	B/B
Valero Energy Corp. common stock	P	7/6/06, 7/31/06, & 8/30/06	B
Walt Disney Co. common stock	P/S	Purchase-7/6/06; Sale-8/15/06	B/B
Wynn Resorts Ltd. common stock	P	7/6/2006	B
Xerox Corp. common stock	P	7/6/2006	B
Xilinx Inc. common stock	P/S	Purchase-7/6/06; Sale-7/25/06	B/B
Alcon Inc. common stock	P	7/31/06 & 9/28/06	B
Honda Motors Ltd. common stock	P/S	Purchase-7/6/06; Sale-8/31/06	B/B
Sanofi-Aventis common stock	P	10/16/2006	B
Teva Pharmaceutical Ind. Ltd. stock	P	7/6/2006	B
Investments in partnerships, S corporations, & LLC's			
Prospect 34 General Partnership - real estate investment partnership, owning 3343 Prospect St., Washington, D.C.	S	8/17/06 & 11/13/06	F
BENNETT FAMILY LLC (all values reflect client's 1% interest)			
Bear, Stearns Securities			
KKR Financial Corporation stock	S	3/10/2006	B
Harding Loevner Funds, Inc. Emerging Market Portfolio	S	1/24/2006	B
Interests in partnerships & LLC's			
Advisory Research Small/Mid Cap Value Equity Fund II, LP	P	1/1/2006	B
EJF Health Care Fund, LP	P	1/1/2006	B

FBR Life Sciences Fund, LLC	6	12/31/2006	B
L:\MAIN\CLIENTS\A-E\BENNETT\Financial Disclosure\2006\Attached Disclosure Schedules 2006.xls]Schedule III			

Name	James P. Moran, Jr.	Page ___ of ___

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Wright Patman Federal Credit Union	Line of Credit	X									
SP	Kevin S. Bennett Trust	Note payable					X					
SP	Bryan G. Bennett Trust	Note payable					X					
SP	Richard A. Bennett III Trust	Note payable					X					
SP	Branch Banking & Trust Co. of Va.	50% of Mortgage, 3252 Winchester Rd., Delaplane, VA					X					

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
Albert Dwoskin, McLean, Va.	Travel round-trip to Jamaica, lodging, food, and local travel (determination of personal friendship from Committee on Standards) for James Moran and LuAnn Bennett	$5000

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A For use by Members, officers, and employees

HAND DELIVERED

2007 MAY 11 P 1:59

(Office Use Only)

Jerry Moran
(Full Name)

2202 Rayburn HOB Washington, DC 20515
(Mailing Address)

2022252715
Daytime Telephone:

Filer Status ☑ Member of the U.S. House of Representatives State: KS District: 01 ☐ Officer Or Employee Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jerry Moran	May 10, 2007

4533

SCHEDULE I - EARNED INCOME

Name Jerry Moran

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
United School District 489 (Hays, KS)	spourse salary	n/a

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jerry Moran

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	BANK DEPOSIT SWEEP OPTION	1-1000	INTEREST	1-200	
JT	BOEING COMPANY STOCK	1001-15000	DIVIDENDS	1-200	
SP	CAPITAL INCOME BUILDER B, MUTUAL FUND	1001-15000	DIVIDENDS, CAPITAL GAINS	201-1000	
	CAPITAL INCOME BULDER A, MUTAL FUND	50,000-100,000	DIVIDENDS/25	2501-3000	
	CAPITAL WORLD GROWTH AND INCOME A, MUTUAL FUND	15,001-50,000	DIVIDENDS	2501-3000	
JT	CHEVRON TEXACO, STOCK	1001-15000	DIVIDENDS	201-1000	

JT	EXXON MOBIL, STOCK	50001-100000	DIVIDENDS	1001-2500	
SP	FIDELITY GROWTH OPPORTUNITY T, MUTUAL FUND	1001-15000		1001-15000	P
SP	Fidelity Growth Opportunity T, Mutual Fund	1001-15000	DIVIDENDS, CAPITAL GAINS/1-	1-200	
	FIDELITY GROWTH OPPORTUNITY T, MUTUAL FUND	1001-15000	DIVIDENDS, CAPITAL GAINS	1-200	
JT	HALLIBURTON COMPANY ,STOCK	1001-15000	DIVIDENDS	1-200	
	HANSTON INSURANCE AGENCY, STOCK (AT COST)	100000-250000		NONE	
	INCOME FUND OF AMERICA B, MUTUAL FUND	1001-15000	DIVIDENDS, CAPITAL GAINS	201-1000	
SP	INCOME FUND OF AMERICA B, MUTUAL FUND	1001-15000	DIVIDENDS, CAPITAL GAINS	201-1000	
SP	INCOME FUND OF AMERICA B, MUTUAL FUND	1001-15000	DIVIDENDS, CAPITAL GAINS	201-1000	
	INVESTMENT COMPANY OF AMERICA A, MUTUAL FUND	15001-50000	DIVIDENDS	1001-2500	
SP	INVESTMENT COMPANY OF AMERICA B, MUTUAL FUND	1001-15000	DIVIDENDS, CAPITAL GAINS	201-1000	
SP	MFS MASSACHUSETTS INV B, MUTUAL FUND	1001-15000		NONE	
	PFIZER INC, STOCK	1,001-15000	DIVIDENDS	1-200	

JT	SCHLUMBERGER LTD, STOCK	1001-150000	DIVIDENDS	1-200
JT	TRANSOCEAN SEDCO FOREX, STOCK	1001-15000		NONE

SCHEDULE IV - TRANSACTIONS

Name Jerry Moran

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	FIDELITY GROWTH OPPORTUNITY T, MUTUAL FUND	P	3-30-2006	1001-15000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Jerry Moran

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure–Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Kansas Bankers Association	August 2-4	Hays-Colorado Springs	Y	Y	Y	1 Day

SCHEDULE VIII - POSITIONS

Name Jerry Moran

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
TRUSTEE	FT HAYS STATE UNIVERSITY (HAYS, KANSAS)
GOVERNOR	BOARD OF GOVERNORS, UNIVERSITY OF KANSAS SCHOOL OF LAW (LAWRENCE, KS)
EXECUTIVE MEMBER	THE EISENHOWER FOUNDATION (ABILENE, KS)
COMMISSIONER	DWIGHT D. EISENHOWER MEMORIAL COMMISSION (WASHINGTON, DC)
ADVISORY BOARD MEMBER	SOCIETY OF ST. ANDREW
BOARD MEMBER	BOY SCOUTS
BOARD MEMBER	FHSU ENDOWMENT ASSOCIATION

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2007 JUN 12 PM 5:07
MC
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

PATRICK J. MURPHY
(Full Name)

6419 N. RADCLIFFE ST
(Mailing Address)

(215)850-7037
Daytime Telephone

BRISTOL, PA 19007

Filer Status	[X] Member of the U.S. House of Representatives	State: PA District: 8	[ ] Officer or Employee	Employing Office:	
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:	

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		

4541

Name PATRICK J. MURPHY | Page ___ of ___

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	*Spouse Salary*	NA
COZEN O'CONNOR, PHILADELPHIA, PA	SALARY	95,000
COZEN O'CONNOR, PHILADELPHIA, PA	SPOUSE SALARY	101,342
ICM, NEW YORK, NY	BOOK ADVANCE	100,000

For payments to charity in lieu of honoraria, use Schedule II.

4542

Name PATRICK J. MURPHY | Page of —

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Examples:	SP	Mega Corp. Stock				X							X										X							P
DC			Simon & Schuster		Indefinite																Royalties								X			
JT			1st Bank of Paducah, KY accounts					X								X									X							
			PA STATE EMPLOYEES CREDIT UNION	X											X							X										
SP			VANGUARD INVESTMENTS MUTUAL FUND ACCOUNT		X										X			X				X										
JT			PNC BANK ACCOUNTS	X													X					X										
SP			VANGUARD INVESTMENTS RETIREMENT ACCOUNT			X									X							N/A										
SP			COZEN O'CONNOR RETIREMENT TO VANGUARD		X										X							N/A										
SP			326 QUEEN STREET (RENTAL) PHILA, PA 19147	X															X								X					S

For additional assets and unearned income, use next page.

4543

Name PATRICK J. MURPHY | Page ___ of ___

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction									
			PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset															
SP	*Example:*	Mega Corporation Common Stock	X			10-12-06		X								
SP	VANGUARD STAR FUND			X		2-15-06	X									
SP	326 QUEEN STREET, PHILADELPHIA, PA (RENTAL CONDOMINIUM)			X		5-31-06		X								

This page may be copied if more space is required.

Name PATRICK J. MURPHY | Page __ of __

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	SALLIE MAE, WILKES-BARRE, PA	STUDENT LOAN	X									
	NELNET, DENVER, CO	STUDENT LOAN		X								
SP	SUNTECH SERVICES	STUDENT LOAN		X								
JT	MBNA CREDIT CARD, WILMINGTON, DE	CREDIT CARD		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED
HOUSE RESOURCE CENTER
2007 JUN 15 PM 12:31
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

PATRICK J. MURPHY
(Full Name)

6419 N. RADCLIFFE ST
BRISTOL, PA 19007
(Mailing Address)

(215)850-7037
Daytime Telephone:

Filer Status	[X] Member of the U.S. House of Representatives	State: PA District: 8	[ ] Officer or Employee	Employing Office:	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Pat Murphy	15 JUN 2007

4546

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(12)

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 3:18
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

TIMOTHY F. MURPHY
(Full Name)

504 WASHINGTON RD. (OFFICE)
PITTSBURGH, PA 15228
(Mailing Address)

412-344-5583
Daytime Telephone:

Filer Status	☒ Member of the U.S. House of Representatives	State: PA District: 18	☐ Officer or Employee	Employing Office:	
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:	

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Timothy F. Murphy	5-14-07

4547

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	INTERCARE PSYCHIATRIC SERVICES	SPOUSE SALARY	NA
	BOMBARDIER TRANSPORTATION	SPOUSE SALARY	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Washington, DC	Speech	Feb. 2, 2006	$2,000
XYZ Magazine	Article	Aug. 13, 2006	$500
NONE			

This page may be copied if more space is required.

Name Timothy F. Murphy | Page 4 of 12

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

				A	B	C	D	E	F	G	H	I	J	K	L																						
				None	$1 – $1,000	$1,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $250,000	$250,001 – $500,000	$500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	$25,000,001 – $50,000,000	Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	Examples:	SP	Mega Corp. Stock					X								X									X										P
			Simon & Schuster		Indefinite																	Royalties									X					
			1st Bank of Paducah, KY accounts						X									X									X									
JT	PIMCo Total Return					X											X							X												
JT	Masters Select Int'l					X											X								X										
JT	Harbor Cap Apprec					X											X							X											
JT	Oakmark I					X											X							X											
JT	Insured Cash Acct				X												X		X					X											
JT	PIMCo Low Duration					X											X							X											

For additional assets and unearned income, use next page.

4550

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Timothy F. Murphy | Page 5 of 12

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
JT	Vanguard Explorer			X											X							X									
JT	American Bedcon SmCap			X											X							X									
	SSgA Emerging Markets				X										X								X								S
	PIMCo Total Return			X											X								X								
	T. Rowe Price Int'l			X											X							X									P
	Artisan International					X									X								X								S
	Oakmark International				X										X								X								
	Growth Fund of America				X										X								X								
	Harbor Capital Apprec				X										X							X									
	TCW Select Equities				X									X							X										P
	Davis NY Venture				X										X								X								
	DWS Dreman High Ret Eq					X									X									X							P
	Premier Money Market			X											X							X									
	PIMCo Low Duration				X										X								X								
	Aston/Veredus Aggr Gwth				X									X																	
	Sentinel Small Company			X											X							X									S
	Ariel			X											X							X									S
	Third Avenue Value			X											X								X								S
	SSgA Emerging Markets			X											X							X									
	PIMCo Total Return			X											X								X								
	Artisan International			X											X							X									P
	Masters Select Int'l				X										X										X						S

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Timothy F. Murphy | Page 6 of 12

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset A None	B $1,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000	BLOCK C Type of Income NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	QUALIFIED DIVIDEND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Guth Fund of America			X									X																	P
	Harbor Capital Appree			X									X																	P
	DWS Dreman Hi Ret Eq			X									X																	
	Oakmark I			X									X									X								
	Premier Money Market			X									X																	P
	PIMCo Low Duration			X									X																	
	Artisan Mid Cap			X									X																	
	American Beacon SmCapValue			X									X									X								S
	Blue Ridge Energy		X											X						X										
SP	PIMCo Total Return			X									X									X								
SP	Masters Select Int'l				X								X										X							
SP	Harbor Capital Appree				X								X																	P
SP	Oakmark I			X									X																	
SP	Premier Money Market			X									X																	
SP	Vanguard Explorer			X									X																	
SP	American Beacon SmCapValue			X									X																	S
SP	Optimum Fixed Income			X									X																	
SP	Optimum International			X									X																	
SP	Optimum Large Cap Growth			X									X																	
SP	Optimum Large Cap Value			X									X																	
SP	Optimum Small Cap Growth			X										X							X									
SP	Optimum Small Cap Value			X									X									X								

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Timothy F. Murphy | Page 7 of 12

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset											BLOCK C: Type of Income						BLOCK D: Amount of Income										BLOCK E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	ESTATE/TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Optimum Fixed Income			X										X								X								P
SP	Optimum International			X										X								X								
SP	Optimum Large Cap Gwth			X										X								X								
SP	Optimum Large Cap Value			X										X								X								
SP	Insured Cash Account													X																
SP	Optimum Small Cap Gwth			X										X							X									
SP	Optimum Small Cap Value			X										X								X								
DC	Pimco Total Return			X										X									X							P
DC	Masters Select Int'l			X										X									X							
DC	Enterprise Growth			X										X								X								S
DC	Harbor Capital Apprec			X										X								X								
DC	Oakmark I			X										X								X								S
DC	Insured Cash Account				X									X			X					X								
DC	Vanguard Explorer			X										X								X								S
DC	American Beacon SmCap Value			X										X								X								

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name: Timothy E. Murphy | Page 2 of 12

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: A ($1,001 - $15,000)	B ($15,001 - $50,000)	C ($50,001 - $100,000)	D ($100,001 - $250,000)	E ($250,001 - $500,000)	F ($500,001 - $1,000,000)	G ($1,000,001 - $5,000,000)	H ($5,000,001 - $25,000,000)	I ($25,000,001 - $50,000,000)	J ($50,000,000 - Over $50,000,000)
SP	Example: Mega Corporation Common Stock	X			10-12-06		X								
	DWS Dreman High Ret Equity	X			2-7-06	X									
	TCW Select Equities	X			2-7-06		X								
	Ariel		X		2-7-06	X									
	Artisan International		X		2-7-06	X									
	Sentinel Small Company A		X		2-7-06	X									
	SSgA Emerging Markets		X		2-7-06	X									
	T. Rowe Price Intl Bond	X			2-23-06	X									
	Third Avenue Value		X		2-23-06	X									
	Artisan International		X		12-14-06	X									
	Growth Fund of America	X			2-7-06	X									
	American Beacon Small Cap Value		X		2-7-06	X									
	Masters Select International		X		2-7-06	X									
	Artisan International	X			9-6-06	X									
	DWS Dreman High Return Equity	X			9-6-06	X									
	Growth Fund of America	X			9-6-06	X									
	PIMCo Low Duration	X			9-6-06	X									

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

This page may be copied if more space is required.

05/15/2007 09:58 4122273218 THE FRAGASSO GROUP PAGE 02/03

SCHEDULE IV — TRANSACTIONS

Name: Timothy F. Murphy | Page 9 of 12

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date: (MO/DAY/YR)	Amount of Transaction: D $1,001 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001 - $250,000	F $250,001 - $500,000	G $500,001 - $1,000,000	H $1,000,001 - $5,000,000	I $5,000,001 - $25,000,000	J $25,000,001 - $50,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock	X			10-12-06		X								
SP	Harbor Capital Appreciation	X			2-7-06	X									
SP	American Beacon Small Cap Value		X		2-7-06	X									
SP	Optimum Large Cap Growth	X			4-18-06	X									
SP	Optimum Large Cap Value	X			4-18-06	X									
DC	Masters Select International		X		1-6-06	X									
DC	Oakmark I		X		5-1-06	X									
DC	Masters Select International		X		8-1-06	X									
DC	Oakmark I		X		8-1-06	X									
DC	American Beacon Small Cap Value		X		10-20-06	X									
DC	Harbor Capital Appreciation		X		10-20-06	X									
DC	Masters Select International		X		10-20-06	X									
DC	Oakmark I		X		10-20-06	X									

This page may be copied if more space is required.

05/15/2007 09:50 4122273218 THE FRAGASSO GROUP PAGE 03/03

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	NONE											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
NONE		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	NONE						

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
BOARD MEMBER	WESTERN PENNSYLVANIA HISTORICAL SOCIETY (NP)
BOARD MEMBER	PITTSBURGH CHILDREN'S MUSEUM (NP)
BOARD MEMBER	PITTSBURGH PUBLIC THEATER (NP)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

GPO: 2007 33-427 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE ...

2007 MAY 14 AM 9:31

OFFICE OF ...

(Office Use Only)

JOHN P. MURTHA, JR.
(Full Name)

2238 WOODCREST DRIVE
(Mailing Address)

JOHNSTOWN, PA 15905
Daytime Telephone:

Filer Status	[X] Member of the U.S. House of Representatives	State: PA District: 12TH	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics In Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/07/07

4559

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name JOHN P. MURTHA, JR. | Page 1 of 4

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

			Block A	B: A None	B: B $1 – $1,000	B: C $1,001 – $15,000	B: D $15,001 – $50,000	B: E $50,001 – $100,000	B: F $100,001 – $250,000	B: G $250,001 – $500,000	B: H $500,001 – $1,000,000	B: I $1,000,001 – $5,000,000	B: J $5,000,001 – $25,000,000	B: K $25,000,001 – $50,000,000	B: L Over $50,000,000	C: NONE	C: DIVIDENDS	C: RENT	C: INTEREST	C: CAPITAL GAINS	C: EXCEPTED/BLIND TRUST	C: Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	D: I None	D: II $1 – $200	D: III $201 – $1,000	D: IV $1,001 – $2,500	D: V $2,501 – $5,000	D: VI $5,001 – $15,000	D: VII $15,001 – $50,000	D: VIII $50,001 – $100,000	D: IX $100,001 – $1,000,000	D: X $1,000,001 – $5,000,000	D: XI Over $5,000,000	E: P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock					X								X									X								P
			Simon & Schuster		Indefinite																	Royalties								X			
			1st Bank of Paducah, KY accounts						X								X									X							
JT	CHARLES SCHWAB					X										X							X										
SP	CONGRESSIONAL FCU						X											X						X									
	WESBANCO, INC.							X								X									X								P (DIVIDEND REINVESTMENT)
	FIRST COMMONWEALTH BANK					X												X					N/A – IRA										
	CONGRESSIONAL FCU					X												X					N/A – IRA										
SP	CONGRESSIONAL FCU					X												X					N/A – IRA										

For additional assets and unearned income, use next page.

4560

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JOHN P. MURTHA, JR.

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	FIRST COMMONWEALTH BANK				X												X				N/A – IRA										
	CONGRESSIONAL FCU		X														X					X									
JT	FIRST COMMONWEALTH BANK			X													X					X									
	AXA FINANCIAL	X													X							X									S
	(SHARES PLUS EXISTING RIGHTS WERE SOLD 6/16/06 AT A LOSS. GROSS PROCEEDS LESS THAN $1,000.)																															
	PENNSYLVANIA STATE UNIVERSITY PRESS	(INDEFINITE)																		ROYALTIES		X										

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name JOHN P. MURTHA, JR.

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
	WESBANCO, INC.	X			1/03/06										
	WESBANCO, INC. QUARTERLY	X			4/01/06										
	WESBANCO, INC. DIVIDEND	X			7/01/06	X									
	WESBANCO, INC. REINVESTMENT	X			10/02/06										

This page may be copied if more space is required.

4562

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source		Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
HARPER'S MAGAZINE		OCT. 3	JOHNSTOWN PA-NEW YORK NEW YORK-JOHNSTOWN PA	N	N	N	NONE

This page may be copied if more space is required.

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 AM 10:30
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
MC (Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES
2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

MARILYN N. MUSGRAVE (Full Name)
15484 ROAD 18 1/2 (Mailing Address)
FORT MORGAN, CO 80701
Daytime Telephone: 202-225-4676

Filer Status	☒ Member of the U.S. House of Representatives	State: CO District: 4	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Marilyn N. Musgrave	5/10/07

4564

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	COLORADO FARM BUREAU MUTUAL INSURANCE COMPANY	SPOUSE SALARY	NA
	STEVE MUSGRAVE AGENCY	SPOUSE SELF EMPLOYMENT	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name MARILYN N. MUSGRAVE | Page 3 of 7

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

				A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP,		SP	Mega Corp. Stock					X								X																	P
DC,	*Examples:*		Simon & Schuster		Indefinite																	Royalties									X			
JT			1st Bank of Paducah, KY accounts						X									X										X						
	REAL ESTATE - 24867 3RD ST. GALETON, CO 80822							X										X							X								
	FARM BUREAU LIFE - DES MOINES, IA IRA - NOT SELF DIRECTED (NSD)						X											X					NA										
	PERA of COLO., DENVER, CO 403(b) - NSD					X												X					NA										E
JT	CHECKING ACCOUNT - FORT MORGAN STATE BANK					X												X						X									
JT	CHECKING ACCOUNT - FARMERS STATE BANK of FORT MORGAN					X												X						X									
SP	FBL - DES MOINES, IA IRA - NSD								X									X					NA										

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name MARILYN N. MUSGRAVE | Page 4 of 7

SP, DC, JT	BLOCK A: Asset and/or Income Source NSD = NON SELF DIRECTED FBL = FARM BUREAU LIFE	BLOCK B: Year-End Value of Asset A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C: Type of Income NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D: Amount of Income I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E: Transaction P, S, E
SP	T. ROWE PRICE - 100 E. PRATT ST. BALTIMORE, MD - 401(K) - NSD					X											X				NA										E
JT	FARM - 13484 RD 18½ FORT MORGAN, CO						X													CROP SALES							X				
SP	PRECIOUS METALS / COINS					X												X			X										P

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name MARILYN N. MUSGRAVE | Page 5 of 7

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–06		X								
	ROLLOVER - PERA [403b] to FBL [IRA]			X	6/13/06	X									
SP	ROLLOVER - T. ROWE PRICE [401k] to FBL [IRA]			X	5/15/06			X							
SP	COINS	X			5/13/06	X									

This page may be copied if more space is required.

4568

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	FORT MORGAN STATE BANK	MORTGAGE - GALETON PROPERTY		X								
JT	BANK of COLORADO	MORTGAGE - FARM				X						
SP	BANK of AMERICA	BUSINESS OPERATING LOAN		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
HERITAGE FOUNDATION	FEB 1-3	WASHINGTON, D.C. - BALTIMORE, MD	Y	Y	Y	NONE

This page may be copied if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2007 MAY 10 PM 1:20

(Office Use Only)

Sue Wilkins Myrick (Suellen)
(Full Name)

230 Cannon HOB
(Mailing Address)

(202) 225-1976
Daytime Telephone:

Filer Status	☒ Member of the U.S. House of Representatives	State: NC District: 9	☐ Officer or Employee	Employing Office:	
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:	

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☒	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Sue Wilkins Myrick	5/9/07

4571

Name Sue Myrick | Page 2 of 4

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

				A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Examples:	SP	Mega Corp. Stock					X								X										X								P
DC			Simon & Schuster			Indefinite																Royalties									X			
JT			1st Bank of Paducah, KY accounts						X									X									X							
JT	Edward Jones MM							X											X						X								
JT	HSBC MM						X												X						X								
JT	Bank of America MM					X													X				X										
JT	" " CD						X												X					X									
JT	" " CD						X												X					X									
JT	Janney Montgomery Scott MM					X													X					X									

For additional assets and unearned income, use next page.

4572

SCHEDULE IV — TRANSACTIONS

Name Sue Myrick | Page 3 of 4

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
SP	*Example:* Mega Corporation Common Stock	X			10–12–06		X								
JT	US Treasury Bill		X				X								

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Heidelberg College, Tiffin, Ohio
Advisory Board	NC Hero's Fund

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	0	

GPO: 2007 33-427 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2007 MAY 11 P 12:57

(9)

MC

(Office Use Only)

JERROLD LEWIS NADLER
(Full Name)

315 West 70th Street, Apt 3C
(Mailing Address)

New York, N.Y. 10023

(212) 367-7350
(202) 225-5135
Daytime Telephone

Filer Status	☒ Member of the U.S. House of Representatives	State: N.Y. District: 8	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes ☒ No ☐

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes ☐ No ☒

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes ☒ No ☐

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes ☒ No ☐

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes ☒ No ☐

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes ☐ No ☒

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.** — Yes ☒ No ☐

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes ☒ No ☐

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes ☐ No ☒

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes ☐ No ☒

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes ☐ No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jerrold Nadler	5/5/07

4575

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
New York City Comptroller's Office	Spouse Salary	NA
New York State Employee Retirement System	Pension	#19,291
New York ~~State~~ City Employee Retirement System	Spouse Pension Distribution	NA

For payments to charity in lieu of honoraria, use Schedule II.

4576

Name Jerrold Lewis Nadler | Page 3 of 7

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

			Block A	Block B	Block C	Block D	Block E
				A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP	*Examples*	SP	Mega Corp Stock		X		P
DC			Simon & Schuster	Indefinite			
JT			1st Bank of Paducah, KY accounts	X		X	
	Smith Barney Money Funds			C	DIVIDENDS	III	
	Citibank Accounts			D	INTEREST	III	
	HSBC Bank USA accounts			C	INTEREST	IV	
	Chase Bank accounts			C	INTEREST	VI	E
	Amerigas Partners, L.P.			C	Partnership income	VI	
	Histadrut Charitable Remainder Trust			B		I	

For additional assets and unearned income, use next page.

4577

Name Jerrold Lewis Nadler | Page 4 of 7

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction									
			PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset															
SP	*Example:*	Mega Corporation Common Stock	X			10–12–06		X								
	Chase Bank Certificate of Deposit		matured			11/22/06	X									

This page may be copied if more space is required.

4578

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Citibank	PLUS Student Loan		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Jewish Community Relations Council of New York (JCRC)	8/7-8/14/06	NYC-Tel Aviv + return	Y	Y	N	

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Nat'l Vice-President	Bnai Zion Foundation
Chair	East of Hudson Rail Freight Task Force
Member, N.Y. Board of Directors	~~Exec~~ Anti-Defamation League

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2007 33-427 (mac)

Use additional sheets if more space is required.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2007 MAY 14 PM 1:29
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Grace F. Napolitano
(Full Name)

1610 Longworth Bldg.
Washington, DC 20515
(Mailing Address)

202-225-5256
Daytime Telephone:

Filer Status	☒ Member of the U.S. House of Representatives	State: CA District: 38	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☒	No ☐	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Grace F. Napolitano	5/14/07

4582

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Ford Retirement Pension Plan	Pension	11,389.32
	State of California Employees Retirement System	Pension	5,643

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Washington, DC	Speech	Feb. 2, 2006	$2,000
XYZ Magazine	Article	Aug. 13, 2006	$500
N/A			

This page may be copied if more space is required.

Name Grace F. Napolitano | Page 4 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

			Block A	Block B	Block C	Block D	Block E
SP		SP	Mega Corp Stock	E	NONE	$50,001 – $100,000	P
DC	*Examples:*		Simon & Schuster	Indefinite			
JT			1st Bank of Paducah, KY accounts	F	RENT	$100,001 – $1,000,000	
			Loan to Napolitano for Congress	F	INTEREST	$1,000,001 – $5,000,000	
			The Girls (Sole Proprietor-Jewelry Sales)	C	DIVIDENDS	$2,501 – $5,000	
			Ford Motor Co. Delcam	D	DIVIDENDS	$15,001 – $50,000	
			Vistron	C	DIVIDENDS	$201 – $1,000	

For additional assets and unearned income, use next page.

4585

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Grace F. Napolitano | Page 5 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Napolitano for Congress						X								X												X				
	The Girls - Jewerly Sales			X										X											X						

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name Grace Napolitano | Page 6 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction									
			PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT		Asset														
SP	*Example:*	Mega Corporation Common Stock	X			10–12–06		X								
		N/A														

This page may be copied if more space is required.

4587

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example. First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	N/A											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
N/A		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
Examples: Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
Congressional Black Caucus Foundation	Feb. 10-12	DC - Houston - DC	Y	Y	N	N
Coca-Cola	Feb. 23-29	DC - Atlanta - DC	Y	Y	N	N
Brown University	Apr. 24-26	LA - Providence, RI - DC	N	N	N	N
Congressional Hispanic Caucus Institute (CHCI)	May 14-15	LA - Miami - DC	Y	Y	N	N
International Hispanic Network	May 5-6	DC - Dallas - Laredo - Dallas - LA	Y	Y	N	N
National Assn. of Latino Elected Officials	July 23	VA - Dallas - VA	Y	Y	N	N
CHCI / General Mills	Oct 23-24	LA - Minnesota - LA	Y	Y	N	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
N/A	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	N/A	

GPO: 2007 33-427 (mac)

Use additional sheets if more space is required.

4590

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 3:29
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(5)

MC AND DELIVERED
(Office Use Only)

Richard E. Neal
(Full Name)
2208 Rayburn House Office Building
(Mailing Address)
Washington, DC 20515
Daytime Telephone:

Filer Status	☒ Member of the U.S. House of Representatives	State: ____ District: ____	☐ Officer or Employee	Employing Office: ____
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date: ____

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☒	No ☐	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Richard E. Neal	May 15, 2007

4591

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Commonwealth of Massachusetts (University of Massachusetts)	Approved Teaching Fee	$19,990
	Commonwealth of Massachusetts	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Washington, DC	Speech	Feb. 2, 2006	$2,000
XYZ Magazine	Article	Aug. 13, 2006	$500
American Born Association	Speech	Feb. 1, 2006	$2,000

This page may be copied if more space is required.

Name Richard E. Neal | Page 4 of 5

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P) sales (S), or exchanges (E) exceeding $1000 in reporting year.

			Block A	Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock					X								X										X							P
			Simon & Schuster		Indefinite																	Royalties								X			
			1st Bank of Paducah, KY accounts						X								X										X						
	Sun America Money Market					X									X									X								
	Bank North						X										X							X								
	Springfield, MA Credit Union				X												X							X								
	Congressional Federal Credit Union				X												X							X								
	City of Springfield Retirement Fund						X								N/A							N/A										
	People's Bank (CD)						X										X								X								

For additional assets and unearned income, use next page.

4594

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Wachovia Corporation	Jan. 10 - Jan. 11	Hartford/Springfield — Miami, FL - Hartford/Springfield	Y	Y	N	None

This page may be copied if more space is required.

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2007 MAY -7 PM 2:19

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A — Page 1 of 40
For use by Members, officers, and employees

ROBERT RANDOLPH NEUGEBAUER
(Full Name)

429 CANNON HOB WASHINGTON, DC 20515 — 202-225-4005
(Mailing Address) — Daytime Telephone:

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives — State: TX, District: 19	☐ Officer Or Employee — Employing Office:	A $200 penalty shall be assessed against anyone who files more than 30 days late.
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts–	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Randy Neugebauer	5.1.07

4596

SCHEDULE I - EARNED INCOME

Name ROBERT RANDOLPH NEUGEBAUER

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
OLD TOWN, INC. Dba PRESITGE HOMES	SPOUSE SALARY	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT RANDOLPH NEUGEBAUER

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	(1) STATE NATIONAL BANK, LUBBOCK, TEXAS, ACCOUNTS	$100,001 - $250,000	INTEREST	$1 - $200	Other, Funds Deposited
JT	(2) PEOPLES BANK, LUBBOCK, TEXAS, ACCOUNTS	None	INTEREST	$201 - $1,000	Other, TIME DEPOSIT CLOSED
JT	(3)CONGRESSIONAL FEDERAL CREDIT UNION, WASHINGTON, DC, ACCOUNTS	$15,001 - $50,000	INTEREST	$201 - $1,000	Other, FUNDS ON DEPOSIT
JT	(4) 5725 86TH STREET, LUBBOCK, TEXAS VALUED AT PURCHASE PRICE 12/2002	$100,001 - $250,000	NONE	NONE	

SP	(5) RETIREMENT ACCOUNT--ING LIFE AND ANNUITY COMPANY HOLDINGS LISTED BELOW:				
	(a) ING PIMCO TOTAL RETURN PORTFOLIO	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	(b) PIONEER EQUITY INCOME VCT PORTFOLIO	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
	(c) LORD ABBETT MID-CAP VALUE PORTFOLIO	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	(6) RETIRMEMENT ACCOUNT - J P MORGAN CHASE BANK	None	DIVIDENDS	$1 - $200	Other, transfer to goldman sachs (14)(a)
JT	(7) OLD TOWN, INC., LUBBOCK, TEXAS, PROPERTY MANAGEMENT COMPANY - SEE FOOTNOTE 1 & 4 HOLDINGS:		ORDINARY INCOME; CAPITAL GAINS	$15,001 - $50,000	
	(a) REAL ESTATE 2716 85TH, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 02/2004	$50,001 - $100,000	RENT, CAPITAL GAIN	$2,501 - $5,000	
	(b) PARTNERSHIP INTEREST - SKYTOP HOLDINGS, LLC, HOUSTON, TEXAS, PRIVATE EQUITY FUND	$100,001 - $250,000	RENT, INTEREST, DIVIDENDS, ROYALTIES, CAPITAL GAIN	$15,001 - $50,000	
	(c) PARTNERSHIP INTEREST - LUBBOCK LAND COMPANY FIVE, LTD, LUBBOCK, TEXAS	$1 - $1,000	NONE	NONE	Other, MERGED FROM ASSET #10, < $1,000

	(d) PARTNERSHIP INTEREST - LUBBOCK LAND COMPANY EIGHT, LTD, LUBBOCK, TEXAS	$50,001 - $100,000	NONE	NONE	Other, MERGED FROM ASSET #10
JT	(8) OLD TOWN PROPERTIES, LTD, LUBBOCK, TEXAS - PARTNERSHIP HOLDS RENTAL PROPERTIES: HOLDINGS:				
	(a) 5717 88TH STREET, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 08/1995	$50,001 - $100,000	RENT	$2,501 - $5,000	
	(b) 5731 90TH STREET, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 08/1994	$50,001 - $100,000	RENT	$2,501 - $5,000	
	(c) 2013-2019 S. LOOP 289, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE 05/1894	$100,001 - $250,000	RENT	$5,001 - $15,000	
	(d) STATE NATIONAL BANK, LUBBOCK, TEXAS, ACCOUNTS	$15,001 - $50,000	INTEREST	$1 - $200	
	(e) HCSB, PLAINVIEW, TEXAS, ACCOUNTS	$50,001 - $100,000	INTEREST	$1,001 - $2,500	Other, TIME DEPOSIT OPENED
JT	(9) KINGDOM ENTERPRISES, LTD, LUBBOCK, TEXAS - INVESTMENT PARTNERSHIP HOLDINGS:				
	(a) STATE NATIONAL BANK, LUBBOCK, TEXAS, ACCOUNTS	$15,001 - $50,000	INTEREST	$1,001 - $2,500	

	(b) PEOPLES BANK, LORENZO, TEXAS, ACCOUNTS	None	INTEREST	$1,001 - $2,500	Other, TIME DEPOSIT CLOSED
	(c) MY LUBBOCK BANK, LUBBOCK, TEXAS, ACCOUNTS	None	INTEREST	$2,501 - $5,000	Other, TIME DEPOSIT CLOSED
	(d) U.S. TREASURY BILLS	$500,001 - $1,000,000	INTEREST	$5,001 - $15,000	PS
	(e) WATERHOUSE - MMF MUNIC PORTFOLIO	$1 - $1,000	INTEREST	$1 - $200	
	(f) INVESTMENT ACCOUNT - GOLDMAN SACHS HOLDINGS LISTED BELOW:				
	(1) GOLDMAN SACHS INSTITUTIONAL MONEY MARKET FUND	$15,001 - $50,000	INTEREST	$2,501 - $5,000	Other, DEPOSIT OF FUNDS
	(2) ILLINOIS ST UNEMPLOYMENT INS FD BOND	None	INTEREST, CAPITAL GAINS	$201 - $1,000	S
	(3) BELLEVUE SCHOOL DIST NO 405 WASH BOND	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
	(4) HOUSTON TEX WTR & SWR SYS REV BOND	$100,001 - $250,000	INTEREST, CAPITAL GAINS	$5,001 - $15,000	S A PORTION
	(5) TEXAS PUBLIC FINANCE AUTHORITY BOND	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
	(6) PENNSYLVANIA INTERGOVNMNTAL REV BOND	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
	(7) STATE OF TEXAS GO BOND	$100,001 - $250,000	INTEREST	$5,001 - $15,000	

	(8) CHICAGO ILL MET WTR RECLAMATN BOND	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
	(9) WINNEBAGO CNTY ILL REV BOND	$250,001 - $500,000	INTEREST	$5,001 - $15,000	
	(10) VANCOUVER WASHINGTON REV BOND	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
	(11) NORTH EAST TEXAS IND SCH DIST BOND	$250,001 - $500,000	INTEREST	$5,001 - $15,000	
	(12) CLARK COUNTY WASHINGTON SCHL BOND	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
	(13) ORANGE BEACH ALA REV BOND	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
	(14) CLARK CNTY NEVADA SCHOOL DIST BOND	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
	(15) BOULDER CITY NEV REV BOND	$50,001 - $100,000	NONE	NONE	P
	(g) INVESTMENT ACCOUNT - GOLDMAN SACHS HOLDINGS LISTED BELOW:				
	(1) GOLDMAN SACHS BANK DEPOSIT	$1,001 - $15,000	INTEREST	$1 - $200	Other, DEPOSIT OF FUNDS
	(2) GOLDMAN SACHS INSTITUTUTIONAL TAX EXEMPT MONEY MARKET	None	INTEREST	$1,001 - $2,500	Other, MONEY MARKET CLOSED
	(3) GOLDMAN SACHS HIGH YIELD MUNICIPAL FUND CLASS A	$250,001 - $500,000	INTEREST, DIVIDENDS, CAPITAL GAINS	$5,001 - $15,000	P

	(4) CLARK CNTY NV SCH DIST BOND	None	INTEREST	$1,001 - $2,500	S
	(5) KY ECONOMIC DEV AU HOSP BOND	None	INTEREST	$2,501 - $5,000	S
	(6) LIMITED PARTNER INVESTMENT IN GS GLOBAL MANAGER STRATEGIES ALPHA PLUS II PORTFOLIO CLASS 2 - THE LIMITED PARTNERSHIP IS A MANAGED PORTFOLIO FUND	$1,000,001 - $5,000,000	INTEREST, DIVIDENDS, CAPITAL GAIN	$15,001 - $50,000	
	(7) LIMITED LIABILITY COMPANY INVESTMENT IN LINN ENERGY, LLC - THE COMPANY IS A PUBLICLY TRADED ENTITY, OWNERSHIP IS LESS THAN .002%	$15,001 - $50,000	INTEREST, DIVIDENDS	$201 - $1,000	P
	(8) GS HEDGE FUND OPPORTUNITIES	$500,001 - $1,000,000	CAPITAL GAINS	$15,001 - $50,000	P
	(h) INVESTMENT IN LUBBOCK LAND COMPANY FIVE, LTD, LUBBOCK, TEXAS HOLDINGS:		Other: LAND DEVELOPMENT AND SALES, ORDINARY INCOME		
	(1) LAND - 2.02 ACRES, BLK E SEC 4, AB 490 TR D, LUBBOCK, TEXAS, VALUED AT PURCHASE PRICE ON 02/1998 - SEE FOOTNOTE 2	$1,001 - $15,000	NONE	NONE	
	(2) STATE NATIONAL BANK, LUBBOCK, TEXAS, ACCOUNTS	$1,001 - $15,000	INTEREST	$1,001 - $2,500	Other, FUNDS ON DEPOSIT

	(i) INVESTMENT IN LUBBOCK LAND COMPANY SIX, LTD, LUBBOCK, TEXAS HOLDINGS:	None	Other: LAND DEVELOPMENT AND SALES, ORDINARY INCOME		Other: PARTNER-SHIP DISSOLVED
	(1) 10 LOTS 493-506 REGAL PARK, NORTH SIDE OF 87TH STREET BETWEEN HOMESTEAD AND IOLA, LUBBOCK, TEXAS - VALUED AT PURCHASE IN 2005	None	LAND DEVELOPMENT AND SALES, ORDINARY INCOME	$50,001 - $100,000	S
	(2) STATE NATIONAL BANK, LUBBOCK, TEXAS, ACCOUNTS	None	NONE	NONE	Other, TIME DEPOSIT CLOSED
	(3) HALE COUNTY STATE BANK, PLAINVIEW, TEXAS, ACCOUNTS	None	INTEREST	$5,001 - $15,000	Other, TIME DEPOSIT CLOSED
	(j) INVESTMENT IN LUBBOCK LAND COMPANY SEVEN, LTD, LUBBOCK, TEXAS HOLDINGS:		Other: LAND DEVELOPMENT AND SALES, ORDINARY INCOME		Other, PARTNER-SHIP DISSOLVED
	(1) 62 LOTS 106TH TO 108TH STREET, FRANKFORD TO CHICAGO AVENUE, LUBBOCK, TEXAS, VALUED AT PURCHASE IN 2003 AND DEVELOPMENT COSTS IN 2004	None	Other: LAND DEVELOPMENT AND SALES, ORDINARY INCOME	$50,001 - $100,000	S
	(2) STATE NATIONAL BANK, LUBBOCK, TEXAS, ACCOUNTS	None	INTEREST	$1,001 - $2,500	Other, ACCOUNT CLOSED

	(k) INVESTMENT IN SOUTH FRANKFORD PTR, LTD, LUBBOCK, TEXAS HOLDINGS		Other: LAND DEVELOPMENT AND SALES, ORDINARY INCOME, CAPITAL GAINS		Other, PARTNER-SHIP DISSOLVED
	(1) LAND BLK E2 SEC 25 AB 269 TR B2 SW/4 98.87 ACRES, LUBBOCK, TEXAS - VALUED AT PURCHASE ON 10/2000	None	Other: LAND DEVELOPMENT AND SALES, ORDINARY INCOME, CAPITAL GAINS	$100,001 - $1,000,000	S
	(2) STATE NATIONAL BANK, LUBBOCK, TEXAS, ACCOUNTS	None	INTEREST	$1 - $200	Other, ACCOUNT CLOSED
	(l) LIMITED PARTNER INVESTMENT IN WINDROCK PRIVATE EQUITY, LTD, A LIMITED PARTNERSHIP LOCATED IN HOUSTON, TEXAS - THE LIMITED PARTNERSHIP IS A PRIVATE EQUITY FUND	$50,001 - $100,000	Other: PARTNERSHIP INCOME	$5,001 - $15,000	
	(m) LIMITED PARTNER INVESTMENT IN FREESTONE CHEMICAL PARTNERS, L.P., HOUSTON, TEXAS	None	NONE	NONE	Other, VALUE < $1,000 AND INCOME NEGATIVE
	(n) LIMITED PARTNER INVESTMENT IN FREESTONE SAWMILL PARTNERS, LP, HOUSTON, TEXAS	$1,001 - $15,000	NONE	NONE	

	(o) LAND BLK E2 SEC 25 AB 269 TR B SW/R 18.13 ACRES, LUBBOCK, TEXAS - VALUED AT PURCHASE PRICE 04/2002	$100,001 - $250,000	Other: FARM	$201 - $1,000	
	(p) INVESTMENTS AT JP MORGAN - CASH	$1 - $1,000	NONE	NONE	
	(q) INVESTMENT IN LUBBOCK LAND COMPANY EIGHT, LTD, LUBBOCK, TEXAS HOLDINGS:		Other: LAND DEVELOPMENT	$100,001 - $1,000,000	
	(1) LAND - BRYANT TRACT 20.134 ACRES, SEC 28 BLK AK LUBBOCK COUNTY, TEXAS - ,VALUED AT PURCHASE PRICE IN 2004-SEE FOOTNOTE 3	$50,001 - $100,000	NONE	NONE	Other, POR-TION TRANSFER TO LOTS-ASSET #9q2
	(2) LOTS 572-623 REGAL PARK, SOUTH SIDE OF 88TH PLACE TO 92ND ST, B/N HOMESTEAD AND IOLA VALUED AT PURCHASE PRICE 2004 AND DEVELOPMENT COSTS 2006 SEE FOOTNOTE 3	$250,001 - $500,000	ORDINARY INCOME	REPORTED IN TOTAL ABOVE	S, SOLD A PORTION OF LOTS
	(3) LOTS 506-571 REGAL PARK, SOUTH SIDE OF 87TH STREET TO 88TH PLACE B/N HOMESTEAD AND IOLA, VALUED AT PURCHASE PRICE 2005 AND DEVELOPMENT COSTS 2005	$50,001 - $100,000	ORDINARY INCOME	REPORTED IN TOTAL ABOVE	S, SOLD A PORTION OF LOTS

	(4) STATE NATIONAL BANK, LUBBOCK, TEXAS, ACCOUNTS	None	NONE	NONE	Other, TRANSFER TO ASSET #9q6
	(5) AMERICAN BANK OF COMMERCE, LUBBOCK, TEXAS, ACCOUNTS	$100,001 - $250,000	INTEREST	$1,001 - $2,500	Other, FUNDS TRANSFER FROM ASSET #9q5
	(r) LIMITED PARTNER INVESTMENT IN LUBBOCK LAND INVESTMENT I, LP, LUBBOCK, TEXAS - PARTNERSHIP INVESTS IN REAL ESTATE DEVELOPMENTS	$50,001 - $100,000	NONE	NONE	P
JT	(10) LUBBOCK LAND COMPANY S CORPORATION, LUBBOCK, TEXAS. SEE FOOTNOTE 4	None	Other: S CORP INCOME, MARKETING FEES, ORDINARY INCOME	$100,001 - $1,000,000	Other, MERGE INTO ASSET #7
	(a) PARTNERSHIP INTEREST - LUBBOCK LAND COMPANY FIVE, LTD, LUBBOCK, TEXAS	None	ORDINARY INCOME	REPORTED IN TOTAL ABOVE	Other, MERGE INTO ASSET # 7c
	(b) PARTNERSHIP INTEREST - LUBBOCK LAND COMPANY EIGHT, LTD, LUBBOCK, TEXAS	None	ORDINARY INCOME	REPORTED IN TOTAL ABOVE	Other, MERGED INTO ASSET #7d

JT	(11) LIMITED PARTNERSHIP INVESTMENT IN BLACKSTONE INVESTMENTS III, LTD., HOUSTON, TEXAS - THE PARTNERSHIP'S BUSINESS IS OIL & GAS PRODUCTION	$15,001 - $50,000	Other: PARTNERSHIP INCOME	$5,001 - $15,000	P
SP	(12) OIL AND GAS ROYALTY INTERESTS, VARIOUS HOLDINGS	$1,001 - $15,000	OIL & GAS ROYALTIES	$1,001 - $2,500	
SP	(13) GOLDMAN SACHS AND COMPANY HOLDINGS:		DIVIDENDS, CAPITAL GAINS		
	(a) CASH ON DEPOSIT	$1 - $1,000	INTEREST	$1 - $200	Other, MONEY MARKET FUNDS ON DEPOSITS
	(b) GOLDMAN SACHS INSTITUTIONAL LI ASSETS MMF	None	INTEREST	$1 - $200	Other, ACCOUNT CLOSED
	(c) GOLDMAN SACHS HIGH YIELD MUNICIPAL FUND	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	Other, MONEY MARKET FUNDS ON DEPOSIT
SP	(14) RETIREMENT ACCOUNT - GOLDMAN SACHS AND COMPANY HOLDINGS:				
	(a) CASH ON DEPOSIT	$1,001 - $15,000	INTEREST	$1 - $200	

	(b) GOLDMAN SACHS INSTITUTIONAL LI MMF	None	DIVIDENDS	$1 - $200	Other, ACCOUNT CLOSED
	(c) ISHARES MSCI EAFE INDEX MUTUAL FUND	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	P, Other, FUNDS ON DEPOSIT
JT	(15) 224 9TH STREET SE, WASHINGTON, DC - VALUED AT PURCHASE PRICE 06/2005	$500,001 - $1,000,000	RENT	$5,001 - $15,000	
	(16) RETIREMENT ACCOUNT - GOLDMAN SACHS HOLDINGS:				
	(a) GOLDMAN SACHS INSTITUTIONAL LI MMF	$1,001 - $15,000	DIVIDENDS	$1 - $200	Other, FUNDS ON DEPOSIT
	(b) CASH ON DEPOSIT	$1 - $1,000	INTEREST	$201 - $1,000	Other, FUNDS ON DEPOSIT
	(c) ABN AMRO HLDS NV	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS, PURCHASE PRICE AND SALES PRICE < $1,000
	(d) AEGON N V AMER REG	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	(e) AEON CO LTD	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	(f) AIFUL CORPORATION	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

	(g) AJINOMOTO INC	None	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	PS
	(h) ALLIANCE BOOTS PLC (FORMERLY BOOTS GROUP PLC)	None	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	PS, PURCHASE PRICE < $1,000
	(i) ALLIANZ SE (FORMERLY ALLIANZ AKTIENGESELLSCHAFT ADR CMN)	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$1 - $200	S, SALES PRICE < $1,000
	(j) ALPS ELECTRIC LTD	None	NONE	NONE	S, SALES PRICE < $1,000
	(k) AMCOR LTD ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	(l) ANGLO AMERICAN PLC	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$1,001 - $2,500	S
	(m) ASHAI KASEI CORP	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	S, SALES PRICE < $1,000
	(n) BAA PLC	None	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	S
	(o) BAE SYSTEMS PLC	None	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	S
	(p) BANCO BILBAO VIZCAYA SA	$1,001 - $15,000	NONE	NONE	P
	(q) BANCO SANTANDER CENTRAL HISPANO SA	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	SP, SALES PRICE < $1,000
	(r) BANK OF EAST ASIA LTD	None	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	S

	(s) BANK OF IRELAND	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	S
	(t) BARCLAYS PLC	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	S, SALES PRICE < $1,000
	(u) BENETTON GROU SPA	None	DIVIDENDS, CAPITAL GAINS	$1,001 - $2,500	S
	(v) BNP PARIBAS	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	S
	(w) BOC HONG KONG	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	(x) BP PLC	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	(y) BT GROUP PLC	None	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	SP, PURCHASE PRICE < $1,000
	(z) CADBURY SCHWEPPES P.L.C.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	(aa) CANON INC	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$1,001 - $2,500	S
	(bb) CENTRICA PLC	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	S
	(cc) CIBA SPECIALTY CHEMICALS	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$1 - $200	PS, SALES AND PURCHASE PRICES < $1,000
	(dd) CITIC PACIFIC LIMITED	$1,001 - $15,000	DIVIDENDS	$1 - $200	

	(ee) COCA COLA FEMSA	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	(ff) COCA-COLA AMATIL LIMITED	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	(gg) CREDIT SUISSE GROUP	$1,001 - $15,000	NONE	NONE	P
	(hh) DBS GROUP HOLDINGS	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$1,001 - $2,500	PS
	(ii) DEUTSCHE BANK	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	S
	(jj) DEUTSCHE LUFTHANSA AG	None	DIVIDENDS, CAPITAL GAINS	$1,001 - $2,500	S
	(kk) DEUTSCHE TELEKOM	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	(ll) DIAGEO PLC	None	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	S
	(mm) E.ON	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	PS, PURCHASE PRICE IS < $1,000
	(nn) ENDESA, S.A.	None	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	S
	(oo) ENEL SPA	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$1 - $200	PS
	(pp) ENERGIAS DE PORTUGAL	None	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	S
	(qq) ENI S.P.A.	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	S, SALES PRICE < $1,000

	(rr) ESC VODAFONE GRP	None	DIVIDENDS	$201 - $1,000	Other, P AND S < 1,000 , RECEIVED FROM MERGER OF VODAFONE GROUP #16nnnnn
	(ss) FORTIS (NL) N.V.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	(tt) FRANCE TELECOM	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$1 - $200	PS
	(uu) FRIENDS PROVIDENT PLC	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	(vv) FUJIFILM HOLDINGS CORPORATION (FROM FUJI PHOTO #16XX AS DISTRIBUTION)	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	S
	(ww) FUJI HEAVY IND LTD	None	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	S
	(xx) FUJI PHOTO	None	DIVIDENDS, CAPITAL GAINS	$1 - $200	SP
	(yy) FUNAI ELECTRIC CO, LTD.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	(zz) GKN PLC	None	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	S
	(aaa) GLAXOSMITHKLINE PLC	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	SP, SALES PRICE < $1,000

	(bbb) HANNOVER RUCKVESICHERUNGS CORP	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	SP, PURCHASE PRICE < $1,000
	(ccc) HBOS	$1,001 - $15,000	DVIDENDS	$1 - $200	P
	(ddd) HEINEKEN N V	None	DIVIDENDS, CAPITAL GAINS	$1,001 - $2,500	S
	(eee) HINO MOTORS LTD.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	(fff) HSBC HOLDINGS PLC	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	(ggg) HUTCHISON WHAMPOA	$1,001 - $15,000	NONE	NONE	P
	(hhh) INFINEON TECHNOLOGIES	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	SP, SALES PRICE AND PURCHASE PRICE < $1,000
	(iii) J SAINSBURY PLC	None	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	S
	(jjj) KAO CORP	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	SP
	(kkk) KONINKLIJKE PHILIPS ELECTRS	$1,001 - $15,000	DVIDENDS, CAPITAL GAINS	$201 - $1,000	S, SALES PRICE < $1,000
	(lll) KOREA ELECTRIC POWER CORP	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	S, SALES PRICE < $1,000
	(mmm) KT CORPORATION	$1,001 - $15,000	DIVIDENDS	$1 - $200	

	(nnn) LAFARGE SA	None	DIVIDENDS, CAPITAL GAINS	$1,001 - $2,500	S
	(ooo) LAGARDERE GROUPE	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	PS, SALES PRICE < $1,000
	(ppp) MARKS AND SPENCER	None	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	S
	(qqq) MEDIASET SPA	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	(rrr) MINEBEA CO LTD	None	DIVIDENDS, CAPITAL GAINS	$1,001 - $2,500	PS, PURCHASE PRICE < $1,000
	(sss) MITSUBISHI CORP	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	PS, SALES PRICE < $1,000
	(ttt) MITSUBISHI UFJ FINL	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	PS, SALES PRICE < $1,000
	(uuu) NATIONAL AUSTRALIA BANK LIMTED	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	(vvv) NEDBANK GROUP LTD	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	S
	(www) NESTLE SA	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	PS
	(xxx) NISSAN MOTOR CO	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	S
	(yyy) NOKIA COPR	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	(zzz) NOMURA HOLDINGS, INC.	$1,001 - $15,000	NONE	NONE	P

	(aaaa) NOVARTIS AG	$1,001 - $15,000	DIVIDENDS	$1 - $200	P, PURCHASE PRICE < $1,000
	(bbbb) NTT DOCOMO INC	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	(cccc) ORIX CORPORATION	$1 - $1,000	DIVIDENDS, CAPITAL GAINS	$1,001 - $2,500	S
	(dddd) PETROLEO BRASILEIRO SA	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	S, SALES PRICE < $1,000
	(eeee) PEUGEOT S.A.	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$1 - $200	PS
	(ffff) REED ELSEVIER PLC	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	(gggg) RENTOKIL INITIAL PLC	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	(hhhh) REPSOL YPF SA	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	PS, PURCHASE PRICE < $1,000
	(iiii) REXAM PLC	None	NONE	NONE	S, SALES PRICE < $1,000
	(jjjj) RICOH CO	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$1 - $200	PS, SALES PRICE < $1,000
	(kkkk) RIO TINTO PLC	None	CAPITAL GAINS	$201 - $1,000	PS

	(lllll) ROYAL DUTCH SHELL PLC	$1,001 - $15,000	DIVIDENDS	$1 - $200	P, PURCHASE PRICE < $1,000
	(mmmm) SABMILLER PLC	$1,001 - $15,000	NONE	NONE	P
	(nnnn) SANOFI AVENTIS	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$1 - $200	PS, SALES PRICE < $1,000
	(oooo) SCHERING AG	None	CAPITAL GAINS	$1 - $200	S, SALES PRICE < $1,000
	(pppp) SEKISUI HOMES LTD	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	S, SALES PRICE < $1,000
	(qqqq) SHINSEI BANK LTD	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$1 - $200	PS, SALES PRICE < $1,000
	(rrrr) SIEMENS AG	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$1 - $200	PS, SALES PRICE < $1,000
	(ssss) SK TELECOM CO	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	S, SALES PRICE < $1,000
	(tttt) SUMITOMO MITSUI FINL GROUP INC	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	SP, PURCHASE PRICE < $1,000
	(uuuu) SVENSKA CELLULOSA AB	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	S
	(vvvv) SWISS REINSURANCE SPON ADR	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	S, SALES PRICE < $1,000

	(wwww) TABCORP HLDGS LTD	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	(xxxx) TDK CORPORATION	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	(yyyy) TELECOMUNICACOES BRASILEIRAS	None	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	S
	(zzzz) TELEFONOS DE MEXICO	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	PS, PURCHASE PRICE < $1,000
	(aaaaa) TELSTRA CORP	$1,001 - $15,000	NONE	NONE	P
	(bbbbb) TEVA PHARMACEUTICAL IND LTD	$1,001 - $15,000	NONE	NONE	P
	(ccccc) THOMSON SA	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	(ddddd) TOTAL SA	$1,001 - $15,000	DIVIDENDS	$1 - $200	P, PURCHASE PRICE < $1,000
	(eeeee) TOTO LTD	$1,001 - $15,000	DIVIDENDS	$1 - $200	P, PURCHASE PRICE < $1,000
	(fffff) TRINITY CORPORATION	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	(ggggg) UBS AG CMN	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	S
	(hhhhh) UNILEVER PLC	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P

	(iiiii) UNITED MICROELECTRONICS	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	(jjjjj) UNITED OVERSEAS BK LTD	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	PS, PURCHASE PRICE < $1,000
	(kkkkk) UPM KYMMENE CORP	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	(lllll) VALEO	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$1 - $200	S, SALES PRICE < $1,000
	(mmmmm) VNU NV	None	CAPITAL GAINS	$201 - $1,000	S
	(nnnnn) VODAFONE GROUP PLC	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$1 - $200	PS, PURCHASE PRICE < $1,000
	(ooooo) VOLKSWAGEN A G	None	DIVIDENDS, CAPITAL GAINS	$1,001 - $2,500	S
	(ppppp) WOLTERS KLUWER	None	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	S

SCHEDULE IV - TRANSACTIONS

Name ROBERT RANDOLPH NEUGEBAUER

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	9 (d) U.S. TREASURY BILLS	PS	1-12-06, 4-27-06, 7-27-06, 9-7-06, 10-26-06, 12-7-06 purchased; 4-13-06, 7-27-06, 10-26-06, 12-7-06 matured	$1,000,001 - $5,000,000
JT	9 (f) (2) ILLINOIS ST UNEMPLOYMENT INS FD BOND	S	6-15-06	$100,001 - $250,000
JT	9 (f) (4) HOUSTON TEX WTR & SWR SYS REV BOND	S	5-31-06	$15,001 - $50,000
JT	9 (f) (15) BOULDER CITY NEV REV BOND	P	12-7-06	$50,001 - $100,000
JT	9 (g) (3) GOLDMAN SACHS HIGH YIELD MUNICIPAL FUND CLASS A	P	5-26-06, 8-15-06 & various reinvested dividends	$250,001 - $500,000
JT	9 (g) (4) CLARK CNTY NV SCH DIST BOND	S	3-31-06, 5-10-06	$100,001 - $250,000
JT	9 (g) (5) KY ECONOMIC DEV AU HOSP BOND	S	5-10-06	$100,001 - $250,000
JT	9 (g) (7) INVESTMENT IN LINN ENERGY, LLC	P	1-25-06	$1,001 - $15,000
JT	9 (i) INVESTMENT IN LUBBOCK LAND COMPANY SIX, LTD, LUBBOCK, TEXAS HOLDINGS:	Other	12-31-06	$250,001 - $500,000

SCHEDULE IV - TRANSACTIONS

Name ROBERT RANDOLPH NEUGEBAUER

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	9 (i) (1) LOTS 493-506 REGAL PARK, NORTH SIDE OF 87TH STREET BETWEEN HOMESTEAD AND IOLA, LUBBOCK, TEXAS - VALUED AT PURCHASE IN 2005	S	01-06 TO 12-06	$100,001 - $250,000
JT	9 (j) INVESTMENT IN LUBBOCK LAND COMPANY SEVEN, LTD, LUBBOCK, TEXAS HOLDINGS:	Other	12-31-06	$100,001 - $250,000
JT	9 (j) (1) 62 LOTS 106TH TO 108TH STREET, FRANKFORD TO CHICAGO AVENUE, LUBBOCK, TEXAS, VALUED AT PURCHASE IN 2003 AND DEVELOPMENT COSTS IN 2004	S	01-06 TO 12-06	$250,001 - $500,000
JT	9 (k) INVESTMENT IN SOUTH FRANKFORD PTR, LTD, LUBBOCK, TEXAS HOLDINGS	Other	12-31-06	$250,001 - $500,000
JT	9 (k) (1) LAND BLK E2 SEC 25 AB 269 TR B2 SW/4 98.87 ACRES, LUBBOCK, TEXAS - VALUED AT PURCHASE ON 10/2000	S	4-4-06	$500,001 - $1,000,000
JT	9 (q) (2) 15 LOTS OF LOTS 572-623 REGAL PARK, SOUTH SIDE OF 88TH PLACE TO 92ND ST, B/N HOMESTEAD AND IOLA, VALUED AT PURCHASE PRICE 2004 AND DEVELOPMENT COSTS 2006	S	01-06 TO 12-06	$100,001 - $250,000
JT	9 (q) (3) 41 LOTS OF LOTS 506-571 REGAL PARK, SOUTH SIDE OF 87TH STREET TO 88TH PLACE B/N HOMESTEAD AND IOLA, VALUED AT PURCHASE 2005 AND DEVELOPMENT COSTS 2005	S	01-06 TO 12-06	$500,001 - $1,000,000

SCHEDULE IV - TRANSACTIONS

Name ROBERT RANDOLPH NEUGEBAUER

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	9 (r) LUBBOCK LAND INVESTMENT I, LP	P	3-31-06	$50,001 - $100,000
JT	(11) BLACKSTONE INVESTMENTS III, LTD., HOUSTON, TEXAS - THE PARTNERSHIP'S BUSINESS IS OIL & GAS	P	11-1-06	$15,001 - $50,000
SP	(14) (c) ISHARES MSCI EAFE INDEX MUTUAL FUND	P	03-13-06	$15,001 - $50,000
	(16) (e) AEON CO LTD	P	5-24-06, 6-19-06, 6-26-06	$1,001 - $15,000
	(16) (f) AIFUL CORPORATION	P	1-23-06, 2-6-06, 2-23-06, 3-9-06	$1,001 - $15,000
	(16) (g) AJINOMOTO INC	PS	1-24-06, 4-6-06 PURCHASES; 11-30-06, 12-12-06 SALES	$1,001 - $15,000
	(16) (h) ALLIANCE BOOTS PLC	S	2-14-06, 6-20-06, 7-13-06, 8-16-06, 10-13-06	$1,001 - $15,000
	(16) (l) ANGLO AMERICAN PLC	S	1-19-06, 6-28-06, 10-12-06	$1,001 - $15,000
	(16) (n) BAA PLC	S	2-8-06, 2-10-06, 2-14-06, 2-15-06, 3-20-06	$1,001 - $15,000
	(16) (o) BAE SYSTEMS PLC	S	1-6-06, 1-9-06, 1-24-06	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name ROBERT RANDOLPH NEUGEBAUER

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	(16) (p) BANCO BILBAO VIZCAYA SA	P	12-6-06, 12-7-06	$1,001 - $15,000
	(16) (q) BANCO SANTANDER CENTRAL HISPANO SA	PS	4-12-06 PURCHASE; 12-1-06 SALE	$1,001 - $15,000
	(16) (r) BANK OF EAST ASIA LTD	S	4-13-06, 6-14-06, 6-29-06, 7-13-06, 8-7-06	$1,001 - $15,000
	(16) (s) BANK OF IRELAND	S	5-23-06, 10-31-06, 11-21-06, 12-4-06	$1,001 - $15,000
	(16) (u) BENETTON GROU SPA	S	5-8-06 THRU 5-11-06	$1,001 - $15,000
	(16) (v) BNP PARIBAS	S	1-24-06, 6-13-06, 8-9-06	$1,001 - $15,000
	(16) (w) BOC HONG KONG	P	6-26-06, 7-5-06	$1,001 - $15,000
	(16) (x) BP PLC	P	6-6-06, 9-18-06	$1,001 - $15,000
	(16) (y) BT GROUP PLC	S	1-24-06, 6-13-06, 6-29-06, 9-19-06, 10-6-06	$1,001 - $15,000
	(16) (z) CADBURY SCHWEPPES P.L.C.	P	7-13-06, 7-14-06, 7-17-06, 8-2-06, 8-3-06, 11-13-06	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	(16) (aa) CANON INC	S	3-27-06, 4-10-06, 5-9-06, 10-12-06	$1,001 - $15,000
	(16) (bb) CENTRICA PLC	S	3-6-06, 10-6-06, 10-9-06	$1,001 - $15,000
	(16) (ff) COCA-COLA AMATIL LIMITED	P	5-9-06, 5-16-06, 6-8-06, 6-9-06	$1,001 - $15,000
	(16) (gg) CREDIT SUISSE GROUP	P	10-30-06	$1,001 - $15,000
	(16) (hh) DBS GROUP HOLDINGS	PS	3-9-06, 4-5-06, 6-29-06 PURCHASES; 5-9-06, 11-21-06, 12-12-06 SALES	$1,001 - $15,000
	(16) (ii) DEUTSCHE BANK	S	5-23-06, 9-18-06, 11-8-06	$1,001 - $15,000
	(16) (jj) DEUTSCHE LUFTHANSA AG	S	4-6-06, 6-21-06, 6-22-06, 6-26-06, 6-29-06, 7-10-06, 7-11-06, 7-12-06, 7-13-06	$1,001 - $15,000
	(16) (ll) DIAGEO PLC	S	6-13-06, 6-26-06, 7-5-06, 7-6-06, 7-28-06	$1,001 - $15,000
	(16) (mm) E.ON	S	1-19-06, 9-13-06	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name ROBERT RANDOLPH NEUGEBAUER

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	(16) (nn) ENDESA, S.A.	S	1-17-06, 1-18-06, 1-24-06	$1,001 - $15,000
	(16) (oo) ENEL SPA	PS	5-10-06, 5-23-06 PURCHASES; 6-20-06, 6-26-06 SALES	$1,001 - $15,000
	(16) (pp) ENERGIAS DE PORTUGAL	S	1-20-06, 4-4-06, 4-7-06, 4-20-06	$1,001 - $15,000
	(16) (tt) FRANCE TELECOM	PS	3-9-06, 5-12-06 PURCHASES; 10-31-06, 11-3-06, 11-6-06 SALES	$1,001 - $15,000
	(16) (uu) FRIENDS PROVIDENT PLC	P	10-5-06, 10-6-06	$1,001 - $15,000
	(16) (ww) FUJI HEAVY IND LTD	S	2-7-06, 4-20-06, 4-21-06, 4-26-06	$1,001 - $15,000
	(16) (xx) FUJI PHOTO	PS	1-27-06, 8-10-06 PURCHASES; 5-23-06, 9-13-06 SALES	$1,001 - $15,000
	(16) (zz) GKN PLC	S	8-14-06, 9-29-06, 10-2-06	$1,001 - $15,000
	(16) (aaa) GLAXOSMITHKLINE PLC	P	3-6-06, 4-28-06, 9-21-06, 9-22-06, 11-24-06 PURCHASES	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	(16) (bbb) HANNOVER RUCKVESICHERUNGS CORP	S	10-13-06	$1,001 - $15,000
	(16) (ccc) HBOS	P	4-5-06, 4-7-06, 4-11-06, 12-15-06	$1,001 - $15,000
	(16) (ddd) HEINEKEN N V	S	3-14-06, 6-1-06, 6-20-06, 7-10-06, 7-11-06, 7-20-06, 7-25-06, 8-7-06, 11-6-06	$1,001 - $15,000
	(16) (eee) HINO MOTORS LTD.	P	2-8-06, 2-9-06, 3-9-06, 3-10-06, 3-28-06, 4-28-06, 5-3-06, 5-30-06, 11-9-06	$1,001 - $15,000
	(16) (fff) HSBC HOLDINGS PLC	P	11-24-06, 12-18-06	$1,001 - $15,000
	(16) (ggg) HUTCHISON WHAMPOA	P	10-31-06, 11-9-06	$1,001 - $15,000
	(16) (iii) J SAINSBURY PLC	S	9-19-06, 9-22-06, 9-25-06	$1,001 - $15,000
	(16) (jjj) KAO CORP	PS	5-30-06, 6-26-06 PURCHASES; 3-6-06 SALES	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	(16) (nnn) LAFARGE SA	S	1-24-06, 4-27-06, 5-3-06, 5-4-06, 5-18-06, 6-26-06, 7-10-06, 7-11-06, 9-13-06, 9-14-06	$1,001 - $15,000
	(16) (ooo) LAGARDERE GROUPE	P	6-26-06, 6-29-06, 6-30-06, 7-17-06, 7-18-06, 7-19-06, 8-29-06	$1,001 - $15,000
	(16) (ppp) MARKS AND SPENCER	S	1-19-06, 1-24-06	$1,001 - $15,000
	(16) (qqq) MEDIASET SPA	P	1-24-06, 1-30-06, 6-16-06, 6-23-06, 8-28-06, 9-22-06	$1,001 - $15,000
	(16) (rrr) MINEBEA CO LTD	S	2-7-06, 2-16-06, 2-17-06, 3-31-06, 4-3-06, 4-13-06, 8-24-06, 11-22-06, 11-24-06, 11-28-06, 11-29-06	$1,001 - $15,000
	(16) (sss) MITSUBISHI CORP	P	11-9-06, 11-21-06	$1,001 - $15,000
	(16) (ttt) MITSUBISHI UFJ FINL	P	6-16-06, 6-23-06, 11-8-06, 11-20-06	$1,001 - $15,000
	(16) (vvv) NEDBANK GROUP LTD	S	1-26-06, 1-27-06, 6-14-06	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name ROBERT RANDOLPH NEUGEBAUER

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	(16) (www) NESTLE SA	PS	4-7-06, 4-12-06 PURCHASES; 9-13-06, 11-8-06 SALES	$1,001 - $15,000
	(16) (xxx) NISSAN MOTOR CO	S	4-7-06, 4-27-06, 4-28-06, 5-23-06	$1,001 - $15,000
	(16) (zzz) NOMURA HOLDINGS, INC.	P	12-15-06, 12-18-06	$1,001 - $15,000
	(16) (bbbb) NTT DOCOMO INC	P	3-27-06	$1,001 - $15,000
	(16) (cccc) ORIX CORPORATION	S	3-15-06, 4-7-06	$1,001 - $15,000
	(16) (eeee) PEUGEOT S.A.	PS	4-6-06, 4-11-06, 8-28-06, 8-29-06 PURCHASES; 11-15-06, 11-16-06, 11-21-06 SALES	$1,001 - $15,000
	(16) (ffff) REED ELSEVIER PLC	P	3-27-06, 5-3-06, 6-29-06	$1,001 - $15,000
	(16) (gggg) RENTOKIL INITIAL PLC	P	5-12-06, 5-26-06, 5-30-06, 5-31-06, 6-1-06, 6-8-06, 6-9-06, 9-19-06, 9-20-06, 10-18-06	$1,001 - $15,000
	(16) (hhhh) REPSOL YPF SA	S	11-3-06, 11-15-06	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name ROBERT RANDOLPH NEUGEBAUER

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	(16) (jjjj) RICOH CO	P	1-25-06	$1,001 - $15,000
	(16) (kkkk) RIO TINTO PLC	PS	10-3-06 PURCHASE; 11-3-06, 11-6-06 SALES	$1,001 - $15,000
	(16) (mmmm) SABMILLER PLC	P	10-18-06, 10-23-06, 10-27-06, 11-2-06, 11-9-06	$1,001 - $15,000
	(16) (nnnn) SANOFI AVENTIS	P	8-14-06, 9-18-06, 10-17-06, 10-18-06, 12-6-06	$1,001 - $15,000
	(16) (qqqq) SHINSEI BANK LTD	P	1-18-06	$1,001 - $15,000
	(16) (rrrr) SIEMENS AG	P	1-25-06, 1-27-06, 3-8-06, 3-9-06, 3-24-06, 5-2-06, 5-3-06, 5-12-06, 5-15-06, 5-23-06, 5-26-06, 6-7-06, 8-16-06	$1,001 - $15,000
	(16) (tttt) SUMITOMO MITSUI FINL GROUP INC	PS	1-27-06, 2-22-06, 11-14-06 PURCHASES; 3-14-06, 3-15-06, 4-12-06, 5-23-06 SALES	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name ROBERT RANDOLPH NEUGEBAUER

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	(16) (uuuu) SVENSKA CELLULOSA AB	S	1-26-06, 3-22-06, 11-9-06	$1,001 - $15,000
	(16) (wwww) TABCORP HLDGS LTD	P	4-6-06, 4-19-06, 5-24-06	$1,001 - $15,000
	(16) (xxxx) TDK CORPORATION	P	1-19-06, 1-24-06, 2-10-06, 2-17-06	$1,001 - $15,000
	(16) (yyyy) TELECOMUNICACOES BRASILEIRAS	S	1-6-06, 1-9-06, 3-3-06, 10-17-06	$1,001 - $15,000
	(16) (zzzz) TELEFONOS DE MEXICO	S	5-23-06, 12-12-06, 12-13-06	$1,001 - $15,000
	(16) (aaaaa) TELSTRA CORP	P	11-29-06	$1,001 - $15,000
	(16) (bbbbb) TEVA PHARMACEUTICAL IND LTD	P	11-22-06	$1,001 - $15,000
	(16) (fffff) TRINITY CORPORATION	P	1-30-06, 1-31-06, 3-27-06	$1,001 - $15,000
	(16) (ggggg) UBS AG CMN	S	5-23-06, 9-29-06, 10-24-06	$1,001 - $15,000
	(16) (hhhhh) UNILEVER PLC	P	4-12-06, 5-2-06, 5-3-06, 10-12-06	$1,001 - $15,000
	(16) (iiiii) UNITED OVERSEAS BK LTD	PS	2-27-06, 2-28-06, 6-29-06 PURCHASES; 5-23-06, 12-4-06 SALES	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name ROBERT RANDOLPH NEUGEBAUER

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	(16) (mmmmm) VNU NV	S	1-3-06, 5-30-06	$1,001 - $15,000
	(16) (nnnnn) VODAFONE GROUP PLC	S	6-1-06	$1,001 - $15,000
	(16) (ooooo) VOLKSWAGEN A G	S	3-31-06, 4-11-06, 7-31-06, 8-15-06	$1,001 - $15,000
	(16)(ppppp) WOLTERS KLUWER	S	3-22-06, 4-6-06, 5-4-06	$1,001 - $15,000
	(16) (vv) FUJIFILM HOLDINGS CORPORATION	S	11-3-06, 12-4-06, 12-12-06	$1,001 - $15,000
	(9)(g)(8) GS HEDGE FUND OPPORTUNITIES	P	6-1-06	$500,001 - $1,000,000

SCHEDULE V - LIABILITIES

Name ROBERT RANDOLPH NEUGEBAUER

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	NOTE PAYABLE - WELLS FARGO BANK, NA	MORTGAGE ON 224 9TH STREET SE, WASHINGTON, DC	$500,001 - $1,000,000
JT	NOTE PAYABLE - CITIMORTGAGE, INC.	MORTGAGE ON 224 9TH STREET SE, WASHINGTON, DC	$50,001 - $100,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name ROBERT RANDOLPH NEUGEBAUER

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
HERITAGE FOUNDATION	JAN. 29-31	WASH., D.C.--BALTIMORE, MD--WASH., D.C.	Y	Y	Y	N/A

SCHEDULE VIII - POSITIONS

Name ROBERT RANDOLPH NEUGEBAUER

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
PARTNER	KINGDOM ENTERPRISES, LTD.
PARTNER	OLD TOWN PROPERTIES, LTD.

FOOTNOTES

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	The corporation is the general partner of Old Town Properties, Ltd., which is listed on Schedule III (Asset #8)	(7) OLD TOWN, INC.
2	Schedule III	As land is developed into Lots, it is reclassified as Lot Inventory. No land was reclassified during this reporting period, i.e. There is no decrease in acreage.	(9)(h)(1) LAND - 2.02 ACRES, BLK E SEC 4, AB 490
3	Schedule III	As land is developed into Lots, it is reclassified as Lot Inventory. A Portion of this inventory is listed as Lots 572-623 Regal Park (9)(q)(2) on Schedule III. This results in the decrease in the amount of land from 28.3 acres in 2005 to 20.134 acres this year, i.e. A portion of the land was reclassified from Undeveloped Acreage to Lot Inventory	(9)(q)(1)&(9)(q)(2) - LOTS 572-623 REGAL PARK
4	Schedule III	Lubbock Land Company, asset #10 merged into Old Town, Inc., asset #7 on 12/31/06. Lubbock Land Company, was the general partner in Lubbock Land Company Six and Lubbock Land Company Seven. The 2006 Income is included in Lubbock Land Company.	(10) LUBBOCK LAND COMPANY AND (7) OLD TOWN, INC

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2007 MAY 10 PM 1:18

(Office Use Only)

Eleanor Holmes Norton
(Full Name)

10 Ninth Street, SE
(Mailing Address)

Washington, DC 20003

Daytime Telephone: 202-225-8050

Filer Status	[X] Member of the U.S. House of Representatives	State: DC Distnct:	[ ] Officer or Employee	Employing Office:	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] *Termination*	Termination Date:	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [X] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes [X] No [ ]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? ***If yes, complete and attach Schedule V.*** — Yes [ ] No [X]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI** — Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.** — Yes [X] No [ ]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [X] No [ ]

IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX. — Yes [X] No [ ]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4. § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Eleanor Holmes Norton	05-10-07

4636

Name	Eleanor Holmes Norton	Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income *from any source* (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	*Keene State*	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Georgetown University Law Center	Approved Teaching Fee	$ 10,200
	Pitney Bowes	Retirement Fee	$ 12,000

For payments to charity in lieu of honoraria, use Schedule II.

Name Eleanor Holmes Norton | Page 1 of 1

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2005	$2,000
XYZ Magazine	Article	Aug. 13, 2005	$500
NONE			

This page may be copied if more space is required.

4638

Name Eleanor Holmes Norton | Page 1 of 4

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A

Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B

Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C

Type of Income

Check all columns that apply.

Check "None" if asset did not generate any income during the calendar year.

BLOCK D

Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E

Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year

			BLOCK A	BLOCK B											BLOCK C							BLOCK D										BLOCK E
				A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E, P
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock					X								X																
			Simon & Schuster		Indefinite																											
			1st Bank of Paducah, KY accounts						X								X					Royalties										
	Cong Federal Credit Un							X										X						X							
	Intergen Investors,Ltd					X												X					X								
	Boston Cap. Private Tax C				X													X					X								

For additional assets and unearned income, use next page.

4639

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Eleanor Holmes Norton

SP. DC. JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset												BLOCK C: Type of Income							BLOCK D: Amount of Income										BLOCK E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	MERRILL LYNCH:																														
	Mesquite TX Indpt Sch				X															OID				X							
	Du Page Cnty IL 1st				X															OID				X							
	Cook Co IL Chicago	X																		OID				X							S
	Victor Vy CA Jt Un High				X															OID				X							
	Mass St CPN				X															OID				X							
	Palatine IL Corp Purp				X															OID				X							
	Western IL Univ Revs				X															OID				X							
	Southern IL Univ RVS Hsg				X															OID				X							
	San Diego Cnty CA CTFS			X													X						X								
	Miami Beach FL				X												X							X							
	CMA Tax Exempt Fund					X									X								X								
	General Electric			X											X								X								
	Davis NY Venture Fund						X								X									X							P
	FPA Perennial Fund				X										X			X					X								
	John Hancock Venture III					X								X							X										
	Annuity																														

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Eleanor Holmes Norton

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P S E
	Royal Alliance - IRA																														
	John Hancock Life Venture Annuity							X						X							X										
	Tech Fndg Venture Ptnrs IV		X																	Ptnrshp Income			X								
	Tech Fndg Venture Ptnrs V		X																	Ptnrship Income				X							
	Fiserv Trust MMF			X													X					X										
	TIAA/CREF RETIREMENT: TIAA TRADITIONAL						X										X								X						S
	Metlife Common Stock			X											X							X									
	Metlife Annuity				X									X							X										

This page may be copied if more space is required.

4641

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Eleanor Holmes Norton

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P. S. E
	ALLIANZ HIGH FIVE ANNUITY (Formerly US Allianz High Five)																														
	OCC Opportunity			X														X					X								P/S
	Franklin Small Cap Value			X														X					X								P/S
	OCC Renaissance			X														X				X									P/S
	VK Mid Cap Growth			X														X						X							P/S
	Mutual Discovery Securities				X													X					X								P/S
	AIM International Equity				X													X					X								P/S
	Jennison 20/20 Focus				X													X				X									P/S
	VK Comstock			X														X					X								P/S
	Legg Mason Value			X														X					X								P/S
	Franklin Income Securities			X														X					X								P/S
	Franklin Real Estate			X														X					X								P/S
	VK Global Real Estate				X									X							X										P

This page may be copied if more space is required.

Name Eleanor Holmes Norton | Page 1 of 4

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence unless it is rented out.

SP, DC, JT	Asset	Type of Transaction			Date	Amount of Transaction									
		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
	MERRILL LYNCH:														
	Cook Co IL Chicago		X		12-1-06		X								
	Davis NY Venture Fund	X			12-5-06	X									

This page may be copied if more space is required.

4643

Name Eleanor Holmes Norton | Page 2 of 4

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
	TIAA TRADITIONAL		X		4-28-06		X								

This page may be copied if more space is required.

4644

Name Eleanor Holmes Norton | Page 3 of 4

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
	ALLIANZ HIGH FIVE ANNUITY:														
	Occ Opportunity	X			4-28-06	X									
	Franklin Small Cap Value	X			4-28-06	X									
	OCC Renaissance	X			4-28-06	X									
	VK Mid Cap Growth	X			4-28-06	X									
	Mutual Discovery Securities	X			4-28-06	X									
	AIM International Equity	X			4-28-06	X									
	Jennison 20/20 Focus	X			4-28-06	X									
	VK Comstock	X			4-28-06	X									
	Legg Mason Value	X			4-28-06	X									
	Franklin Income Securities	X			4-28-06	X									
	Franklin Real Estate	X			4-28-06	X									
	Mutual Discovery Securities	X			9-21-06	X									
	AIM International Equity	X			9-21-06	X									
	Jennison 20/20 Focus	X			9-21-06	X									
	VK Global Real Estate	X			9-21-06		X								

This page may be copied if more space is required.

4645

Name Eleanor Holmes Norton | Page 4 of 4

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction			Date	Amount of Transaction									
		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
	ALLIANZ HIGH FIVE ANNUITY:														
	Occ Opportunity		X		6-13-06	X									
	Franklin Small Cap Value		X		6-13-06	X									
	OCC Renaissance		X		6-13-06	X									
	VK Mid Cap Growth		X		6-13-06	X									
	Mututal Discovery Securities		X		6-13-06	X									
	AIM International Equity		X		6-13-06	X									
	Jennison 20/20 Focus		X		6-13-06	X									
	VK Comstock		X		6-13-06	X									
	Legg Mason Value		X		6-13-06	X									
	Franklin Income Securities		X		6-13-06	X									
	Franklin Real Estate														

This page may be copied if more space is required.

4646

Name	Eleanor Holmes Norton	Page 1 of 1

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	*First Bank of Wilmington, Delaware*	*Mortgage on 123 Main St., Dover, Del.*				X						
	NONE											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example *Mr. Joseph H. Smith, Anytown, Anystate*	*Silver Platter (determination on personal friendship received from Committee on Standards)*	*$325*
NONE		

...if more space is required.

Name	Eleanor Holmes Norton	Page 1 of 1

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
Rutgers University Center for American Women & Politics	4/3-4/4	DC-New Brunswick, NJ-DC	Y	N	N	None

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
SEE ATTACHED	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	Georgetown University Law Center	See attached approval and agreement

Use additional sheets if more space is required.

Congresswoman Eleanor Holmes Norton's
2006 Board Participation

Position	Name of Organization
Professor of Law*	Georgetown University
Member	Council on Foreign Relations
Member	Citizens Commission for Civil Rights
Member of the Board	Economic Policy Institute
Member of the Board	Environmental Law Institute
Member of the Board	Lawyers Committee for Civil Rights Under Law
Member of the Board	Washington Symphony
Chair	Women's Law and Public Policy Fellowship Program, Georgetown University
Member of the Board	Work in America Institute
Member of the Board	Good Samaritan Foundation

Advisory Boards

Advisory Board Member	Sewall-Belmont House
Advisory Board Member	Women & Politics Institute, American University
Advisory Board Member	The National Council of the Shakespeare Theater Company

U.S. House of Representatives

COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT
Washington, DC 20515

July 24, 2006

The Honorable Eleanor Holmes Norton
U.S. House of Representatives
2136 Rayburn House Office Building
Washington, D.C. 20515

Dear Colleague:

This is to notify you of Committee approval of your request of July 12, 2006 to teach a course for compensation at Georgetown University Law Center during the 2006-2007 academic year. Based on the information provided with your request, we understand that these teaching arrangements are substantially the same as those for which the Committee granted you approval in its letter of February 8, 2006, and that you have taught this course since 1991. You will be paid $10,200 for teaching the class. In addition, you explain that no official resources will be used to meet your teaching obligation, nor do you believe that it will interfere or conflict with your congressional responsibilities. Furthermore, enclosed with your request was correspondence from the Dean of the Georgetown University Law Center stating that the teaching arrangements for the class you teach comply with the guidelines set forth by this Committee.

The proposed arrangements appear to be similar to those that the Committee approved in the past pursuant to the guidelines it has issued on teaching by Members and senior staff. As noted in our prior correspondence, your teaching compensation is subject to the 15% outside earned income limit of House Rule 25, clauses 1-4. For 2006, that limit is $24,780. The income also must be reported on Schedule I of your annual Financial Disclosure Statement.

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,

Doc Hastings
Chairman

Howard L. Berman
Ranking Minority Member

DH/HLB: slo

GEORGETOWN UNIVERSITY LAW CENTER

Office of the Dean

June 29, 2006

The Honorable Eleanor Holmes Norton
U. S. House of Representatives
2136 Rayburn House Office Building
Washington, D. C. 20515

Dear Eleanor:

I am delighted that you have agreed to teach a seminar at the Law Center during the 2006-2007 academic year. This letter confirms the terms of your faculty appointment.

Consistent with Georgetown University's faculty leave policy, your leave of absence from the full-time faculty has been extended through June 30, 2007.

Your seminar will be offered as part of the regular J.D. program of instruction at the Law Center. Students will receive regular credit toward their law degrees for their attendance and participation in your seminar.

Your salary will be $10,200, which will be paid out of Law Center operating funds. No federal funds will be devoted to your salary. The compensation you will receive does not exceed the salary the Law Center pays to those whose professional qualifications are similar to yours and who provide a comparable level of instruction and amount of work. Melvinia Towns, administrative officer in charge of Law Center payroll, will contact you regarding arrangements for payment.

If you have any questions about the scheduling of your seminar, please contact Assistant Dean Mitchell Bailin at (202) 662-9041.

You are eligible to hire a student research assistant to assist in preparation of the seminar materials. The student will be paid out of Law Center operating funds.

Sincerely,

T. Alexander Aleinikoff
Dean

Understood and accepted.

ELEANOR HOLMES NORTON

07-27-06
Date

600 New Jersey Avenue, NW Washington DC 20001-2075
(202) 662-9000 FAX (202) 662-9444

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2007 MAY 15 PM 12:14

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A — For use by Members, officers, and employees

Devin Gerald Nunes
(Full Name)

1013 Longworth House Office Building Washington, DC 20515
(Mailing Address)

202-225-2523
Daytime Telephone:

Filer Status

☑ Member of the U.S. House of Representative — State: CA — District: 21

☐ Officer Or Employee — Employing Office:

Report Type

☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? — Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? — Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefitting you, your spouse, or dependent child? — Yes ☐ No ☑

Exemptions- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes ☐ No ☑

CERTIFICATION – THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Devin Nunes	

4653

SCHEDULE I - EARNED INCOME

Name Devin Gerald Nunes

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Tulare County Office of Education	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Devin Gerald Nunes

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	AG Edwards, Savings Accounts	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	AO Winery, LLC	$50,001 - $100,000	None	NONE	P
JT	Bank of America, Savings Accounts	$1,001 - $15,000	INTEREST	$1 - $200	
	Sale of Farmland, Tulare County	None	SALE /Other: (Please specify)	$50,001 - $100,000	S

4655

SCHEDULE IV - TRANSACTIONS

Name Devin Gerald Nunes

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	AO Winery, LLC	P	2-1-06	$50,001 - $100,000
	Sale of Farmland, Tulare County	S	7-15-06	$100,001 - $250,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Devin Gerald Nunes

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Congressional Economic Leadership Institute	Nov 24 - Dec 3, 2006	Fresno-Rome-Geneva-DC	Y	Y	Y	2 days

SCHEDULE VIII - POSITIONS

Name Devin Gerald Nunes

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Limited Partner	AO Winery, LLC

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 2:53
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

(15)

MC

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A
For use by Members, officers, and employees

James Louis Oberstar
(Full Name)

2365 RHOB Washington, DC 20515 (202)225-6211
(Mailing Address) Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: MN, District: 08	☐ Officer Or Employee — Employing Office:	**A $200 penalty shall be assessed against anyone who files more than 30 days late.**
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts–	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☑ No ☐
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☑ No ☐

CERTIFICATION – THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	James L. Oberstar	May 15, 2007

4659

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James L. Oberstar

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	AES CORP	$1,001 - $15,000	None	NONE	
SP	AES CORP	$100,001 - $250,000	CAPITAL GAINS	$15,001 - $50,000	S
SP	Allianz Nacm Intl	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	P
SP	Allianz Opcap Value fund	$250,001 - $500,000	DIVIDENDS/CAPITAL GAINS	$15,001 - $50,000	PS
SP	Anheuser Busch	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Apple Computer	$15,001 - $50,000			P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James L. Oberstar

SP	Applied Materials	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Archstone-Smith	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	
SP	Artisian Mid Cap Fund	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	P
SP	Automatic Data Processing	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Blackboard Inc.	$50,001 - $100,000	CAPITAL GAINS	$15,001 - $50,000	S
	Blackboard, Inc.	$1,001 - $15,000	None	NONE	
SP	Boston Partners Small Cap Value II Fund	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	P
SP	Boston Scientific	None	None	NONE	S
SP	Calamos Growth & Income Fund	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	P
	Cepheid Inc.	$1,001 - $15,000	None	NONE	P
SP	Cephid Inc.	$100,001 - $250,000	None	NONE	PS
SP	Cohen & Steers Quality Income Realty Fund	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	
SP	Compuware Corp.	None	None	NONE	S
	Congressional Federal Credit Union	$15,001 - $50,000	INTEREST	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME | Name James L. Oberstar

	Conpuware Corp	$1,001 - $15,000	None	NONE	
SP	Cortex Pharmaceuticals	$15,001 - $50,000	None	NONE	
SP	Danka Business Systems	$15,001 - $50,000	None	NONE	P
SP	Dell	None	None	NONE	S
SP	Diamond Hill Large Cap Fund	$100,001 - $250,000	DIVIDENDS	$201 - $1,000	P
SP	Disney	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Dodge & Cox Stock Fund	$100,001 - $250,000	DIVIDENDS	$5,001 - $15,000	P
SP	Drugstore.com	$100,001 - $250,000	None	NONE	P
	DWS Rreef Real Estate (formerly Scudder RREEF Real Estate)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	EP Medsystem	$1,001 - $15,000	None	NONE	S
SP	Exxon Mobil	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
SP	Fargo Electronics	None	None/CAPITAL GAINS	$50,001 - $100,000	S
SP	Felicity St Charles, LLC	$100,001 - $250,000	RENT	$5,001 - $15,000	
SP	Financing Corp FICO Strips	$100,001 - $250,000	INTEREST	$5,001 - $15,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James L. Oberstar

SP	First Commonwealth Financial	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	FPL Group	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
SP	General Electric	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
	Guitar Center Inc	$1,001 - $15,000	None	NONE	
SP	Home Depot	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Inter Digital Communications Corp	$50,001 - $100,000	None	NONE	P
SP	International Game Tech	None	CAPITAL GAINS	$1,001 - $2,500	S
SP	Ishares Iboxx Inv Grade (formerly - Ishares Trust Goldman Sachs)	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
SP	Janus Money Market Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	S
SP	Jefferson Pilot Annuity	$250,001 - $500,000	None	NONE	
SP	JKO Properties, LLC	$100,001 - $250,000	RENT	$5,001 - $15,000	
SP	John Hancock Regional Bank Fund	$500,001 - $1,000,000	DIVIDENDS	$50,001 - $100,000	P
SP	Johnson & Johnson	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	
SP	Julius Baer Intl Equity Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	PS

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James L. Oberstar

SP	Kelmoore Strategy Eagle Fund	None	DIVIDENDS	$5,001 - $15,000	S
SP	Kurth Bypass Trust	N/A	EXCEPTED TRUST	N/A	
SP	Kurth QTIP A Trust	N/A	EXCEPTED TRUST	N/A	
	Lucent Technologies	None	None	NONE	S
SP	Lucent Technologies	None	None	NONE	S
SP	Marsico Growth Fund	$100,001 - $250,000	None	NONE	P
SP	Martha Stewart Living	$1,001 - $15,000	None	NONE	
SP	Met West Total Return Bond Fund	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	P
SP	Microsoft	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
SP	Oakmark International	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	PS
SP	Pain Therapeutics	$100,001 - $250,000	None	NONE	P
SP	Penwest Pharmaceuticals	$100,001 - $250,000	None	NONE	P
SP	Pimco High Yield Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Pioneer Cullen Value A	$15,001 - $50,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James L. Oberstar

SP	Pioneer Growth Fund	None	DIVIDENDS	$201 - $1,000	S
SP	POZEN Inc	$250,001 - $500,000	None	NONE	P
	Pozen Inc	$1,001 - $15,000	None	NONE	
SP	Prime Fund Daily Money Class	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	P
SP	Proctor & Gamble	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
SP	Saul Centers REIT	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
SP	Sirius Satellite Radio	None	CAPITAL GAINS	$50,001 - $100,000	PS
	Sirius Satellite Radio	None	CAPITAL GAINS	$201 - $1,000	S
SP	St Paul Travelers	None	CAPITAL GAINS	$2,501 - $5,000	S
SP	Starbucks	$15,001 - $50,000	None	NONE	
SP	Stericycle	$15,001 - $50,000	None	NONE	
SP	Strayer Education	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Sunrise Senior Living	$15,001 - $50,000	None	NONE	
SP	TCW Galileo Total Return Bond Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James L. Oberstar

SP	Third Avenue Real Estate Value Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	PS
SP	U.S. Treasury Notes	$250,001 - $500,000	INTEREST	$15,001 - $50,000	PS
SP	Universal Display Corp	$250,001 - $500,000	None	NONE	P
	Universal Display Corp	$1,001 - $15,000	None	NONE	
SP	Vanguard Windsor II Fund	None	DIVIDENDS/CAPITAL GAINS	$15,001 - $50,000	S
	Wachovia Bank	$100,001 - $250,000	INTEREST	$2,501 - $5,000	
SP	Wachovia Bank	$50,001 - $100,000	INTEREST	$2,501 - $5,000	
SP	Wal-Mart	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
SP	Washington Mutual	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
SP	Western Asset Core Plus Inst. Fund	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	P
SP	XM Satellite Radio	$1,001 - $15,000	None	NONE	
	XM Satellite Radio	None	None/CAPITAL GAINS	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Name James L. Oberstar

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	AES CORP	S	07-24-06 08-01-06	$50,001 - $100,000
SP	Allianz Nacm Intl	P	11/16/06 div reinvest	$50,001 - $100,000
SP	Allianc Opcap Value Fund	PS	Qtrly Div reinvest Monthly sales	$15,001 - $50,000
SP	Apple Computer	P	05-12-06	$15,001 - $50,000
SP	Artisian Mid-Cap	P	Mo Div Reinv	$1,001 - $15,000
SP	Blackboard, Inc	S	8-31-06 12-7-06	$50,001 - $100,000
SP	Boston Partners Small Cap	P	div reinv	$1,001 - $15,000
SP	Boston Scientific	S	01-18-06	$1,001 - $15,000
SP	Calamos Growth & Income Fd	P	Div reinv	$1,001 - $15,000
SP	Cepheid Inc	PS	P 5-1-06 S 9-6-06	$15,001 - $50,000
SP	Compuware	S	02-01-06	$100,001 - $250,000
SP	Danka Business Sys PLC	P	9-27-06	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name James L. Oberstar

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Dell	S	5-12-06	$15,001 - $50,000
SP	Diamond Hill Large Cap	P	P 6-29-06 Div Reinv	$50,001 - $100,000
SP	Dodge & Cox Stock Fund	P	P 6-29-06 Div Reinv	$50,001 - $100,000
SP	Drugstore. Com	P	6-15-06 5-1-06 5-2-06	$50,001 - $100,000
SP	EP Medsystems	S	7-24-06	$1,001 - $15,000
SP	Fargo Electronics	S	6-5-06	$100,001 - $250,000
SP	US Treasury Notes	PS	P 5-15-06 S 8-25-06 S 5-15-06	$100,001 - $250,000
SP	Prime Fund Daily Money Class	P	Monthly	$100,001 - $250,000
SP	Inter Digital Communications Corp	P	6-29-06	$50,001 - $100,000
SP	International Game Technology	S	5-12-06	$15,001 - $50,000
SP	Janus Money Market	S	Monthly	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name James L. Oberstar

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	John Hancock Regional Bank Fund	P	12-21-06 Div reinv	$100,001 - $250,000
SP	Julius Baer Intl Equity Fund	PS	S 6-29-06 Div reinv	$15,001 - $50,000
SP	Kelmore Strategy Eagle	S	11-16-06	$50,001 - $100,000
SP	Lucent Technologies	S	4-4-06 2-1-06	$100,001 - $250,000
SP	Marisco Growth	P	6-29-06	$1,001 - $15,000
SP	Metropolitan West Total Return	P	6-29-06 Div Reinv	$1,001 - $15,000
	Sirius Satellite Radio	S	03-08-06	$1,001 - $15,000
	Lucent Technologies	S	04-04-06	$1,001 - $15,000
	Cepheid Inc.	P	05-01-06	$1,001 - $15,000
SP	Oakmark International	PS	S 6-29-06 Div Reinv	$1,001 - $15,000
SP	Pain Therapeutics	P	2-1-06 2-27-06 3-1-06 12-7-06	$100,001 - $250,000

SCHEDULE IV - TRANSACTIONS

Name James L. Oberstar

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Penwest Pharmaceuticals	P	2-27-06 7-24-06 8-1-06	$100,001 - $250,000
SP	Pioneer Growth	S	11-16-06	$15,001 - $50,000
SP	Pioneer Cullen Value A	P	11-16-06	$15,001 - $50,000
SP	Pozen Inc	P	4-25-06 8-31-06	$15,001 - $50,000
SP	Sirius Satellite Radio	PS	P 06-07-06 S 9-22-06 S 2-27-06 S 3-1-06 S 2-1-06	$250,001 - $500,000
SP	St Paul Travelers	S	2-12-06	$15,001 - $50,000
SP	TCW Galileo Total Return Bond Fd	P	6-29-06 Div reinv	$1,001 - $15,000
SP	Third Avenue Real Estate	PS	S 6-29-06 Div reinv	$1,001 - $15,000
SP	Universal Display Corp	P	2-27-06 3-1-06 4-25-06	$50,001 - $100,000
SP	Vanguard Windsor II	S	6-29-06	$100,001 - $250,000

SCHEDULE IV - TRANSACTIONS

Name James L. Oberstar

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Western Asset Core Plus	P	Div reinv P 6-29-06	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name James Louis Oberstar

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure–Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Mineta Transportation Institute at San Jose University	Jun. 23-26	DC/San Jose, CA/DC	Y	Y	Y	1

SCHEDULE VIII - POSITIONS

Name James Louis Oberstar

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Trustees (position by federal statute)	John F. Kennedy Center for the Performing Arts
Board of Trustees (position by federal statute)	International Institute for Surface Transportation Policy Studies
Honorary Board Member	George Washington University Medical Center, Cancer Center Advisory Board
National Advisory Council Member	Active Living by Design - University of North Carolina

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A Page 1 of 4
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2007 MAY 14 PM 2:14
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Rep. David R. Obey
(Full Name)

2314 Rayburn House Office Building Washington, DC 20515
(Mailing Address)

202-225-3365
Daytime Telephone:

(Office Use Only)

Filer Status
☑ Member of the U.S. House of Representatives State: WI District: 07
☐ Officer Or Employee Employing Office:

Report Type
☑ Annual (May 15) ☐ Amendment ☐ Termination Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5-11-07

4674

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rep. David R. Obey

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Fidelity Trust-Asset Manager	$50,001 - $100,000	(IRA)	NONE	
	The Vandguard Group-Growth Index Fund	$1,001 - $15,000	(Roth IRA)	NONE	
SP	Wright Patman Congressional Federal Credit Union	$1,001 - $15,000	(IRA)	NONE	
SP	The Vandguard Group-Growth and Income	$1,001 - $15,000	(IRA)	NONE	
SP	The Vandguard Group-US Growth Fund Investor	$1,001 - $15,000	(Roth IRA)	NONE	
SP	U.S. Savings Bond Series EE	$1,001 - $15,000	(No Income Yet Derived)	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rep. David R. Obey

JT	Wright Patman Congressional Federal Credit Union	$15,001 - $50,000	INTEREST	$201 - $1,000	

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Rep. David R. Obey

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The Congressional Program of the Aspen Institute - Conference on Education Reform	Feb. 21-26	DC-Montego Bay, Jamaica-DC	Y	Y	Y	None

7th DISTRICT, WISCONSIN

DISTRICT OFFICES:
FIRST STAR PLAZA
401 5TH STREET
SUITE 409A
WAUSAU, WI 54403-5466
PHONE: 715-842-5606

1401 TOWER AVENUE
SUITE 307
SUPERIOR, WI 54880-1563
PHONE: 715-398-4426

WASHINGTON OFFICE:
2314 RAYBURN HOUSE OFFICE BUILDING
PHONE: 202-225-3365

www.obey.house.gov

DAVID R. OBEY

Congress of the United States
House of Representatives
Washington, DC 20515-4907

CHAIRMAN
APPROPRIATIONS COMMITTEE

CHAIRMAN
SUBCOMMITTEE ON
LABOR, HEALTH AND HUMAN SERVICES
AND EDUCATION

SELECT INTELLIGENCE
OVERSIGHT PANEL

DEMOCRATIC STEERING
AND POLICY COMMITTEE

May 14, 2007

Re: Financial Disclosure

I insist that when this form is filed it also contain the enclosed attachment, the standard letter of invitation from the Aspen Institution which makes clear that at their conferences no lobbyists or anyone they represent are either allowed to attend or to finance in anyway the conferences involved.

David R. Obey
Member of Congress

Attachment

THE ASPEN INSTITUTE
Congressional Program

FACT SHEET

The Aspen Institute Congressional Program, established in 1983 by former U.S. Senator Dick Clark, is a nongovernmental, nonpartisan educational program for members of the United States Congress. It provides lawmakers with a stronger grasp of critical public policy issues by convening high-level conferences in which legislators are brought together with internationally recognized academics, experts and leaders to study their ideas and to explore various policy alternatives. Political neutrality is essential to the educational mission of the program. There is no identification with a political or party viewpoint and no endorsement of specific legislation. Current projects include: Political Islam; U.S.-Russia-Europe: Cooperative Efforts; U.S. Policy in Latin America; U.S.-China Relations; and The Challenge of Education Reform.

- **Participation.** Since the program's inception, 305 members of Congress (including 32% of the current Congress), 180 international parliamentarians, political and government leaders, and over 700 scholar/experts have been involved. Participation is limited to these individuals, their spouses and foundation representatives. No lobbyists, congressional staff or outside observers are permitted.

- **Funding.** Since its inception in 1983, the Aspen Institute Congressional Program has been funded solely by established foundations. Funding is not accepted from lobbyists, governments, corporations, private citizens or special interests; and honoraria are not paid to members of Congress or scholars. Legislators feel free to engage openly because of their confidence in the integrity of the program. In 2006, funding is provided by the following foundations: Carnegie Corporation (foundation) of New York, the Ford Foundation, the William and Flora Hewlett Foundation, the W. K. Kellogg Foundation, the John D. and Catherine T. MacArthur Foundation, Pew Charitable Trusts, The Packard Foundation, the Charles S. Mott Foundation, the Rockefeller Brothers Fund, and the Asia Foundation.

- **Venues.** Members of Congress face massive demands on their time. They have little opportunity in the course of their normal activities for in-depth study of critical issues. Convening conferences outside Washington gives lawmakers an opportunity to devote time, over a four-day period, for serious learning and reflection without interruption. To supplement the annual conferences, 25-30 breakfast meetings are held each year in the Capitol featuring distinguished scholars and international experts with both academic and practical experience on program topics.

Dick Clark, Program Director
(202) 736-5825
www.aspeninstitute.org/congressional

10/00

CONGRESSIONAL PROGRAM

What is the nature and purpose of the program?

The Aspen Institute's Congressional Program is a nongovernmental, nonpartisan educational program for Members of the United States Congress. It provides lawmakers with a stronger grasp of critical public policy issues by convening high-level conferences in which legislators are brought together with top academics, experts and leaders to study their ideas, and to weigh systematically the relative advantages and disadvantages of various policy alternatives.

What is the history of the program?

The Congressional Program was established in 1985 by former Senator Dick Clark to focus on the most pressing and important foreign policy issue of the time: U.S.-Soviet relations. That project expanded to include Eastern Europe and later the successor states of the former Soviet Union. Similar initiatives addressed U.S. policy toward Indochina and southern Africa, and post-Cold War issues including the United Nations and peacekeeping, international economics, and the environment and sustainable development. The program conducts one domestic policy project focusing on issues that affect the well being of children in America. In 1997, the program launched a new three-year initiative on U.S.-China relations. A report is published after each meeting, containing the scholars' papers, a brief summary of the discussions, the agenda and a list of participants.

How is the integrity of the program maintained?

A number of measures have been adopted to ensure that our educational mission is not compromised.

The Aspen Institute receives support from a variety of sources, however, the Congressional Program is funded solely by philanthropic foundations. No government, corporate, private or special interest money is accepted. Honoraria are not paid to Members or speakers. No lobbyists are permitted to attend the meetings, and press coverage is not allowed. Legislators are free to engage in a valuable educational experience without fear of influence by lobbyists or concern over expenditure of public funds.

Why travel outside the capital?

Members of Congress face massive demands on their time. They have little opportunity in the course of their normal activities for in-depth study of critical issues. Holding conferences outside the capital gives lawmakers an opportunity to get away from the daily demands of the office and devote time for serious learning and reflection without interruption. The conferences are supplemented by occasional breakfast and dinner meetings in Washington with leading political figures and analysts, and selected books and articles are distributed from time to time to keep Members abreast of the latest literature on specific topics.

Is the program nonpartisan in its approach?

Yes. Political neutrality is essential to the educational purpose of the program. There is no identification with a political or party viewpoint and no endorsement of specific legislation. A diverse group of lawmakers from both Houses of Congress and both parties is invited and a broad range of views is represented. The conferences are designed to probe the root causes of problems facing lawmakers to create a context in which they may make sound policy judgments. The program does not make or endorse policy recommendations.

Who has participated?

Since the program's inception, 178 Members of Congress, 150 foreign parliamentarians, international political and government leaders, and over 300 distinguished scholars have also been involved.

What is the impact of the program?

Members are exposed to the various viewpoints of leading scholars, as well as the perceptions and interpretations of leaders and experts from other cultures and regions. Thus, the program conveys a knowledge base on specific subject areas, and establishes a network of personal contacts with experts and practitioners, whom Members of Congress may call upon as resources. Additionally, Members often develop new working relationships with other lawmakers as a result of their participation in the program. The unique educational experience provides the necessary background for lawmakers to weigh their legislative priorities and gain a deeper understanding of vital public policy issues.

* * * * * *

For further information on the Congressional Program, contact Dick Clark, Program Director at (202) 736-5825.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A Page 1 of 6

For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2007 MAY 10 PM 2:15
(Office Use Only) CLERK
U.S. HOUSE OF REPRESENTATIVES

4682

John Walter Olver (Full Name)	
P.O. Box 28 Amherst, MA 01004 (Mailing Address)	202-225-5335 (Daytime Telephone)

Filer Status

- ☑ Member of the U.S. House of Representatives — State: MA — District: 01
- ☐ Officer Or Employee — Employing Office:

Report Type

- ☑ Annual (May 15)
- ☐ Amendment
- ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☑ No ☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts–	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION – THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	John W. Olver	10 May 07

SCHEDULE I - EARNED INCOME

Name John Walter Oliver

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Amherst College – Amherst, MA	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John Walter Olver

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	120 acres of unimproved land at 117 Central Street, Plainfield, MA	$50,001 - $100,000		NONE	
JT	25 acres of unimproved land at Beachlake, Berlin Township, PA	$50,001 - $100,000		NONE	
JT	27 acres of unimproved land on Buffam Road, Pelham, MA	$50,001 - $100,000		NONE	
JT	33 Myrtle Street, Boston, MA	$100,001 - $250,000	RENT	$5,001 - $15,000	
JT	45 acres of unimproved land on North Valley Road, Pelham, MA	$15,001 - $50,000		NONE	
JT	AMCAP mutual fund	$15,001 - $50,000	DIVIDENDS, CAPITAL GAINS	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John Walter Oliver

SP	Bank of America 1 South Pleasant Street, Amherst, MA	$50,001 - $100,000	INTEREST	$201 - $1,000	
JT	Canada Southern Petroleum (sold during 2006 -- see TRANSACTIONS)	$1,001 - $15,000		NONE	S
JT	Green Century Balanced Fund (purchased during 2006 -- see TRANSACTIONS)	$1,001 - $15,000	DIVIDENDS/CAPI TAL GAINS	$1 - $200	P
JT	Hewlett-Packard Company stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Magellan Petroleum Company stock (sold during 2006 -- see TRANSACTIONS)	$1,001 - $15,000		NONE	S
	Massachusetts state employee pension	$100,001 - $250,000	PENSION	$15,001 - $50,000	
SP	Monsanto Co. Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Pfizer, Inc. Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Powershares Exchange Traded Fund - Clean Energy (purchased during 2006 -- see TRANSACTIONS)	$1,001 - $15,000	DIVIDENDS/CAPI TAL GAINS	$1 - $200	P
SP	Scudder New Europe mutual fund	$1,001 - $15,000	DIVIDENDS, CAPITAL GAINS	$201 - $1,000	
SP	Texas Instruments, Inc. Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name John Walter Oliver

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Green Century Balanced Fund	P	07-20-06	$1,001 - $15,000
JT	Powershares Exchange Traded Fund - Clean Energy	P	07-05-06	$1,001 - $15,000
JT	Canada Southern Petroleum	S	06-30-06	$1,001 - $15,000
JT	Magellan Petroleum Company	S	06-30-06	$1,001 - $15,000

SCHEDULE IX - AGREEMENTS

Name John Walter Oliver

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
06-14-91	Massachusetts State Employee Life Insurance Program	Continuing benefits as a state employee
06-14-91	Massachusetts State Employee Retirement Program	Retirement effective November 20, 1992

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 AM 10:50
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
HC (Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A For use by Members, officers, and employees

Solomon P. Ortiz
(Full Name)

316 Escando Ave. Ranch Viejo, TX 78575
(Mailing Address)

(202)225-7742
Daytime Telephone:

Filer Status

- ☑ Member of the U.S. House of Representatives — State: TX — District: 27
- ☐ Officer Or Employee — Employing Office:

Report Type

- ☑ Annual (May 15)
- ☐ Amendment
- ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts– Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions– Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION – THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		MAY 15 07

4688

SCHEDULE I - EARNED INCOME

Name Solomon P. Ortiz

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
NONE	NONE	NONE

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Solomon P. Ortiz

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	1011 Ennis Joslin Unit 108 Corpus Christi, TX	$15,001 - $50,000	RENT	$1,001 - $2,500	P
JT	2104 Lago Viejo South Rancho Viejo, TX	$15,001 - $50,000	RENT	NONE	P
	4601 Carmen Unit 2104 Rancho, Viejo, TX	$250,001 - $500,000	RENT	NONE	P
	649 McClendon St Corpus Christi, TX	$50,001 - $100,000	RENT	$2,501 - $5,000	P
JT	800 Balboa Rd Rancho Viejo, TX	$100,001 - $250,000	RENT	NONE	P
JT	Amtex Security, INC. P.O. BOX 1837 Corpus Christi, TX 78403-1837	$100,001 - $250,000	Interest Income& Capital Gains	$15,001 - $50,000	S

4690

	AXA Equitable Life Insurance Policy P.O. BOX 4956 Syracuse, NY 13221-4956	$15,001 - $50,000	INTEREST	$1,001 - $2,500	P
	AXA Equitable Life Insurance Policy P.O. BOX 4956 Syracuse, NY 13221-4956	$1,001 - $15,000	INTEREST	$201 - $1,000	P
	AXA Equitable Life Insurance Policy P.O. BOX 4956 Syracuse, NY 13221-4956	$100,001 - $250,000	INTEREST	$2,501 - $5,000	P
	Bank of America (Checking Account)	$1,001 - $15,000		NONE	
	China Optical LLC 1209 Orange St. Wilmington, DE 19801	$15,001 - $50,000	NONE	NONE	PS
	Congressional Federal Credit Union P.O. Box 23267 Washington, D.C. 20026-3267	$15,001 - $50,000	INTEREST	$201 - $1,000	
	Corus Bank-CD 3959 N Lincoln Ave. Chicago, IL 60613	$15,001 - $50,000	INTEREST	$201 - $1,000	P
	Corus Bank-CD 3959 N Lincoln Ave. Chicago, IL 60613	$50,001 - $100,000	INTEREST	$1,001 - $2,500	P
	Deferred Annuity-IRA AXA Equitable P.O. BOX 4956 Syracuse, NY 13221-4956	$50,001 - $100,000	INTEREST	$1,001 - $2,500	P

	Deferred Annuity-Non Qualified AXA Equitable P.O. BOX 4956 Syracuse, NY 13221-4956	$100,001 - $250,000	INTEREST	$5,001 - $15,000	P
	Deferred Annuity-Non Qualified AXA Equitable P.O. BOX 4956 Syracuse, NY 13221-4956	$15,001 - $50,000	INTEREST	$1,001 - $2,500	P
	Texas County & District Retirement	None	Defined Benefit Retirement Plan	$15,001 - $50,000	

SCHEDULE IV - TRANSACTIONS

Name Solomon P. Ortiz

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	800 Balboa Rd Rancho Viejo, TX	P	1/28/2005	$100,001 - $250,000
	China Optical LLC	P	7/14/2005	$15,001 - $50,000

SCHEDULE V - LIABILITIES

Name	

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability

SCHEDULE VIII - POSITIONS

Name Solomon P. Ortiz

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Congressinal Hispanic Caucus Institute

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 4:12
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC AND DELIVERED 17
(Office Use Only)

FRANK PALLONE JR.
(Full Name)

1197 OCEAN AVENUE
(Mailing Address)

LONG BRANCH NJ 07740

Daytime Telephone: (202) 225-4671

Filer Status	☒ Member of the U.S. House of Representatives	State: NJ District: 6	☐ Officer or Employee	Employing Office:	
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:	

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☒	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Frank Pallone	May 15, 2007

4696

Name PALLONE, Frank J. JR | Page 1 of 11

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

				A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock					X								X									X							P
			Simon & Schuster			Indefinite																Royalties								X			
			1st Bank of Paducah, KY account						X									X									X						
JT	WACHOVIA SECURITIES																																
	Cisco, stock					X									X				X			X										S-partial
	Nokia, stock					X										X						X										
	Phoenix, stock					X										X			X				X									S-partial
	Time Warner, stock					X										X						X										

For additional assets and unearned income, use next page.

4697

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Pallone, Frank J. Jr. Page 2 of 11

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
DC	BlackRock St Bd Fund	X																X			X										S
DC	Evergreen MM Fund	X																X			X										S
DC	Cisco Systems Stk	X																X			X										S
DC	G.E. Stock	X																X			X										S
DC	Goldman Sachs Stock	X																X							X						S
DC	Lauder Estee Stock	X																X						X							S
DC	Pfizer Stock	X																X			X										S
DC	Disney Stock	X																X			X										S
DC	Home Depot Stock	X																X			X										S
DC	Intel Stock	X																X			X										S
DC	Microsoft Stock	X																X					X								S
DC	Vertex Pharm Stock	X																X							X						S
DC	Evergreen Agg Gr Fund	X																X			X										S
DC	Brandywine Ultra Sm Fd			X										X							X										P
DC	Brandywine Agg Gr Fd			X										X							X										P
DC	Dodge + Cox Fund			X											X			X				X									P+S (part) *
DC	FPA Cap Fund			X											X			X					X								P+S (part) *
DC	Julius Baer Int'l Fd			X											X			X				X									P+S (part) *
DC	Nuveen Invt Fund			X											X			X				X									P+S (part) *
DC	PIMCO Total Ret			X											X			X					X								P+S (part) *
DC	TCW Galileo Fund			X											X			X				X									P+S (part) *
DC	VanKampen Comst Fd			X											X			X					X								P+S (part) *

This page may be copied if more space is required.

4698

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name PALLONE, Frank J. JR | Page 3 of 11

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPT/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
DC	WT/CRM Mid Cap Val Fd			X											X			X				X									P+S (part.)
DC	Western Asset Core Fd			X											X			X						X							P+S (part.)
DC	Hotchkiss+Wiley Fd			X										X							X										P
DC	Legg Mason Value Fd			X										X							X										P
DC	Wells Fargo Adv Fd			X										X							X										P
SP	WACHOVIA SECURITIES IRA																														
	Disney Walt Stock			X											X							X									
	Gen Elec. Stock				X										X									X							
	Lauder Estee Stock			X											X							X									
	Medco Health Stock			X										X																	
	Merck Stock			X											X								X								
	Texas Inst Stock			X											X							X									
	Unilever Stock			X											X							X									
	Cap. Income Bldr Fd	X																X						X							S
	Century Sm Cap Fd	X																X				X									P+S
	Europacific Fund	X																X							X						S
	Evergreen Growth	X																X						X							S
	Goldman Sachs Gr Fd	X																X			X										P+S
	Goldman Sachs MMPS			X											X							X									P+S
	MFS Bond Fund	X																X			X										S
	Putnam Int'l Fund	X																X								X					S

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Pallone, Frank J. Jr. | Page 4 of 11

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Touchstone Sm Cap Fd	X																X			X										P+S
SP	Vanguard Windsor	X																X				X									P+S
	Baron Select Fund			X										X							X										P
	BlackRock HC Value Fd			X											X							X									P
	Bridgeway Ultra SIC			X										X							X										P
	Constellation Sands Fd			X										X							X										P
	Diamond Hills Fd			X										X							X										P
	Dodge + Cox Fund			X											X							X									P
	Hotchkiss + Wiley Fd			X										X							X										P
	Janus Mid Cap Fund			X											X								X								P
	Julius Baer Int'l Fd			X											X								X								P
	Kinetics Small Cap Fd			X											X								X								P
	Munder Sm Cap Fd			X											X								X								P
	Gartmore (Nationwide) Sm Cap Fd			X											X								X								P
	Nuveen Int'l Val Fd			X											X								X								P
	Pioneer Cullen Fd			X											X								X								P
	SunAmerica Sm Cap			X										X							X										P
	CRM Mid Cap Fund			X										X							X										P
V	Wells Fargo Select			X										X							X										P

This page may be copied if more space is required.

4700

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (If needed)

Name Pallone, Frank J. Jr | Page 5 of 11

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset — A: None	B: $1 – $1,000	C: $1,001 – $15,000	D: $15,001 – $50,000	E: $50,001 – $100,000	F: $100,001 – $250,000	G: $250,001 – $500,000	H: $500,001 – $1,000,000	I: $1,000,001 – $5,000,000	J: $5,000,001 – $25,000,000	K: $25,000,001 – $50,000,000	L: Over $50,000,000	BLOCK C: Type of Income — NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPT/ED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D: Amount of Income — I: None	II: $1 – $200	III: $201 – $1,000	IV: $1,001 – $2,500	V: $2,501 – $5,000	VI: $5,001 – $15,000	VII: $15,001 – $50,000	VIII: $50,001 – $100,000	IX: $100,001 – $1,000,000	X: $1,000,001 – $5,000,000	XI: Over $5,000,000	BLOCK E: Transaction — P, S, E
SP	Wachovia ROTH IRA																															
	Evergreen Growth Fd			X										X							X											
	Franklin Dynatech			X										X							X											
	Wachovia ROTH IRA																															
	Evergreen Growth			X										X							X											
	Franklin Dynatech			X										X							X											

This page may be copied if more space is required.

* = sales were below $1,000 therefore not reported on schedule III

SCHEDULE IV — TRANSACTIONS

Name Pallone Frank J. Jr. | Page 6 of 11

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction			Date	Amount of Transaction									
		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
JT	Cisco Sys Inc		X		1-11-06	X									
JT	Phoenix Co		X		1-11-06	X									
DC	Blackrock Strat Bd Tr		X		2-3-06	X									
DC	Walt Disney		X		2-3-06	X									
DC	Evergreen Agg Grw A		X		2-3-06		X								
DC	Goldman Sachs Grp Inc		X		2-3-06	X									
DC	Home Depot		X		2-3-06	X									
DC	Intel Corp		X		2-3-06	X									
DC	GE Co.		X		2-3-06		X								
DC	Blackrock Strat Bd Tr		X		2-3-06	X									
DC	GE Co.		X		2-3-06	X									
DC	Goldman Sachs		X		2-3-06	X									
DC	Home Depot		X		2-3-06	X									
DC	Microsoft		X		2-3-06	X									
DC	Microsoft		X		2-3-06	X									
DC	Pfizer		X		2-3-06	X									

This page may be copied if more space is required.

4702

SCHEDULE IV — TRANSACTIONS

Name Pallone, Frank J. Jr. Page 7 of 11

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
DC	Vertex Pharm Inc		X		2-3-06	X									
DC	Blackrock Strat Bd Tr		X		2-3-06	X									
DC	Cisco Sys Inc		X		2-3-06	X									
DC	Evergreen Agg Grw-A		X		2-3-06	X									
DC	Goldman Sachs		X		2-3-06	X									
DC	Lauder Estee		X		2-3-06	X									
DC	Pfizer Inc		X		2-3-06	X									
DC	GE Co		X		2-3-06	X									
DC	Dodge + Cox Fund	X			2-6-06	X									
DC	FPA Cap Fund	X			2-6-06	X									
DC	Bridgeway Ultra Small Co	X			2-6-06	X									
DC	Bridgeway Aggressive	X			2-6-06	X									
DC	Hotchkiss + Wiley	X			2-6-06	X									
DC	Julius Baer Int'l	X			2-6-06	X									
DC	Legg Mason Valve Trust	X			2-6-06	X									
DC	PIMCO Total Return	X			2-6-06		X								

This page may be copied if more space is required.

4703

SCHEDULE IV — TRANSACTIONS

Name Pallone, Frank J. Jr | Page 8 of 11

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
DC	Nuveen Tradewinds	X			2-6-06	X									
DC	TCW Galileo Divers.	X			2-6-06	X									
DC	VanKampen Comstock	X			2-6-06	X									
DC	WT/CRM Mid Cap Value	X			2-6-06	X									
DC	Wells Fargo Adv	X			2-6-06	X									
DC	Western Asset Core	X			2-6-06		X								
SP	Cap Income Builder		X		2-3-06	X									
	Century Small Cap	X			2-6-06	X									
	Century Small Cap		X		10-16-06	X									
	Europacific Growth		X		2-3-06		X								
	Evergreen Growth		X		2-3-06		X								
	Goldman Sachs Growth	X			2-6-06	X									
	MFS Bond		X		2-3-06	X									
	Putnam Int'l		X		2-3-06		X								
	Goldman Sachs Growth		X		7-17-06	X									

This page may be copied if more space is required.

4704

SCHEDULE IV — TRANSACTIONS

Name Pallone Frank J Jr. | Page 9 of 11

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
SP	Touchstone Small Cap	X			2-6-06	X									
SP	Touchstone Small Cap		X		8-14-06	X									
SP	Vanguard Windsor	X			2-6-06	X									
SP	Vanguard Windsor		X		8-14-06	X									
SP	Baron Select Fund	X			7-18-06	X									
SP	Blackrock Large Cap Fund	X			5-25-06	X									
SP	Bridgeway Ultra Small	X			2-6-06	X									
	Constell Sands Cap Inst Fund	X			2-6-06	X									
	Diamond Hill Small Cap	X			2-6-06	X									
	Dodge + Cox Int'l Stk	X			2-6-06	X									
	HotchKiss + Wiley	X			2-6-06	X									
	Janus Mid Cap Value	X			2-6-06	X									
	Julius Baer Int'l Equity	X			2-6-06	X									
	Kinetics s/c Opportunity	X			8-15-06	X									
	Munder MIC Core Growth	X			7-18-06	X									
	Nuveen Tradewinds Int'l Value	X			2-6-06	X									

This page may be copied if more space is required.

4705

SCHEDULE IV — TRANSACTIONS

Name Fallon, Frank J. Jr. | Page 10 of 11

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction									
			PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset															
SP	*Example:*	Mega Corporation Common Stock	X			10–12–06		X								
SP	Nationwide Mutual S|C (formerly Gartmore)		X			10-17-06	X									
	Pioneer Cullen Value		X			5-25-06	X									
	SunAmerica Focus Sm Cap		X			8-15-06	X									
	CRM Mid Cap Value		X			2-6-06	X									
↓	Wells Fargo Adv End Select		X			2-6-06	X									

This page may be copied if more space is required.

4706

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Honorary Member of Board	RAINBOW FOUNDATION
Honorary Member of Board	Ranney School Tinton Falls NJ
Member of Board	Zimmerli Museum, Rutgers

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2007 33-427 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2007 MAY 10 PM 1:26
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

William James Pascrell, Jr.
(Full Name)

441 Ninth Avenue
(Mailing Address)

Paterson, New Jersey 07514
Daytime Telephone: 973-523-5152

Filer Status	[X] Member of the U.S. House of Representatives	State: New Jersey District: 8th	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	William J Pascrell Jr	

4708

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	State of New Jersey (H)	Pension	52,763
	Estate of Henry Viglino (W)	Executive Fee	9,000

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name William James Pascrell, Jr | Page 3 of 8

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

				Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock					X								X										X							P
			Simon & Schuster			Indefinite																Royalties								X			
			1st Bank of Paducah, KY accounts						X									X									X						
JT	State of New Jersey - Pension									X												Pension							X				
JT	Wachovia Securities																																
	Cash Account				X														X					X									
	Evergreen Lexicon AP						X									X								X									
	Putnam Tax Exempt				X											X								X									
JT	Washington Mutual - CD							X											X							X							

For additional assets and unearned income, use next page.

4710

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name William James Pascall | Page 4 of 8

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset												BLOCK C: Type of Income							BLOCK D: Amount of Income										BLOCK E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
JT	Evergreen Fund - Municipal Money Market			X											X								X								
	Fidelity Magellan			X											X									X							
SP	Fidelity Magellan						X								X										X						
	Transamerica Life Annuity							X									X							X							
	Washington IRA -			X													X						X								
SP	Hudson United Bank																														
	Certificate of Deposit				X												X						X								
SP	Transamerica Life Annuity						X										X							X							
SP	Transamerica Life Annuity				X												X						X								
SP	Transamerica Life Annuity			X													X						X								
	Transamerica Life Annuity					X											X							X							
	Valic - IRA - Fixed Income Fund						X																		X						
SP	Valic - IRA - Fixed Income Fund					X											X							X							
JT	Ryan Beck																														
	NJ Economic Development Auth			X													X						X								
	Amgen Inc			X											X						X										
	Pimco Municipal Income Fund				X										X								X								
	Prudential Financial						X										X								X						
JT	Prudential Financial							X									X									X					
JT	Prudential Financial					X											X								X						
SP	Merrill Lynch																														
	ML Bank			X													X				X										

This page may be copied if more space is required.

4711

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name William James Pezzull, Jr. | Page 5 of 8

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Retirement Reserves		X													X						X									
	Blackrock Fundamental			X												X							X								
JT	Hudson United Bank - TD North							X								X									X						
JT	US Savings Bonds (2 of 2)					X										X								X							
	TD Bank North																														
	IRA			X												X							X								
W	IRA			X												X							X								
JT	Jenner Montgomery Scott																														
	Jersey City C/O				X											X							X								
	Passaic County C/O				X											X							X								
	Pollution Profit C/O				X											X							X								
	NJ Building Authority				X											X							X								
	Mutual Fund				X											X							X								
	NJ Money Fund			X												X					X										
	Enberg Corp		X											X							X										
	General Electric			X										X									X								
	Republic Bankcorp		X											X							X										
	Sprint / Nextel			X										X							X										
	Turbosonic Energy			X										X									X								

This page may be copied if more space is required.

4712

SCHEDULE IV — TRANSACTIONS

Name: William James Pezzell, IV | Page 6 of 8

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.		Type of Transaction			Date	Amount of Transaction									
		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset														
SP	Example: Mega Corporation Common Stock	X			10–12–06		X								
SP	Shore Pirates, LLC (Rental Real Estate)	X			4/21/06					X					

This page may be copied if more space is required.

4713

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Fox News	Jan 22	Peterson- NYC- Peterson	N	N	N	None
	Fox News	Jan 29	Peterson- NYC- Peterson	N	N	N	None
	Fox News	Apr 2	Peterson- NYC- Peterson	N	N	N	None
	Fox News	Apr 16	Peterson- NYC- Peterson	N	N	N	None
	Fox News	May 7	Peterson- NYC- Peterson	N	N	N	None
	Fox News	May 21	Peterson- NYC- Peterson	N	N	N	None
	Fox News	July 3	Peterson- NYC- Peterson	N	N	N	None
	Fox News	Aug 13	Peterson- NYC- Peterson	N	N	N	None
	Fox News	Sept 3	Peterson- NYC- Peterson	N	N	N	None
	Fox News	Sept 24	Peterson- NYC- Peterson	N	N	N	None
	Fox News	Oct 3	Peterson- NYC- Peterson	N	N	N	None
	Fox News	Oct 28	Peterson- NYC- Peterson	N	N	N	None
	Fox News	Nov 26	Peterson- NYC- Peterson	N	N	N	None
	Fox News	Dec 17	Peterson- NYC- Peterson	N	N	N	None

This page may be copied if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
Fox News	Dec 21	Peterson-NYC-Peterson	N	N	N	None
Bloomberg TV	Jan 6	Peterson-NYC-Peterson	N	N	N	None
CNBC	Feb 22	Peterson-Englewood Cliffs-Peterson	N	N	N	None
CNBC	July 5	Peterson-Englewood Cliffs-Peterson	N	N	N	None
MSNBC	July 6	Peterson-Secaucus-Peterson	N	N	N	None
MSNBC	Dec 22	Peterson-Secaucus-Peterson	N	N	N	None

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2007 MAY -9 P 12:22

(Office Use Only)

Edward L. Pastor
(Full Name)

1151 West Thomas Road Phoenix, AZ 85013-4206
(Mailing Address)

202-225-4065
Daytime Telephone:

Filer Status
☑ Member of the U.S. House of Representatives — State: AZ District: 4
☐ Officer Or Employee — Employing Office:

Report Type
☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION – THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification

I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.

Signature of Reporting Individual: X Edward L Pastor

Date (Month, Day, Year): May 9 2007

4716

SCHEDULE I - EARNED INCOME

Name Edward L. Pastor

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Isaac School District Phoenix, AZ	Spouse consulting fees	$8,700
State of Arizona Retirement System	Spouse Pension	$35,600
Elected Officials' Retirement System	County Supervisor Pension	$11,504
US House of Representatives	Salary	$163,448

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Edward L. Pastor

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	AmerUS Group Life Insurance Cash Value	$15,001 - $50,000	Dividends/Premiums paid	$201 - $1,000	
	AZ State Elected Officials Retirement System Pension Fund	$50,001 - $100,000	Interest/Capital Gains	$5,001 - $15,000	
SP	AZ State Employee Federal Credit Union, Phoenix, AZ Savings	$50,001 - $100,000	Interest	$2,501 - $5,000	
SP	AZ State Employees Federal Credit Union (IRA)	$1,001 - $15,000	Interest	N/A	
SP	AZ State Retirement System Pension Fund	$100,001 - $250,000	Pension	$15,001 - $50,000	
	Chase Bank, Phx, AZ (IRA's)	$1,001 - $15,000	Interest	N/A	

	Congressional Federal Credit Union - Savings	$1,001 - $15,000	Interest	$1 - $200
SP	Guardian Park Avenue Fund A/Prudential	$15,001 - $50,000	Capital Gains	N/A
SP	Nationwide Retirement Services, Phx, AZ	$15,001 - $50,000	Capital Gains, Interest	N/A
JT	Residential lot, Guadalupe, AZ	$1,001 - $15,000	Capital Gain	N/A
SP	RS Investment Fund	$1,001 - $15,000	Capital Gains	N/A
SP	T.I.A.A.-C.R.E.F. Pension Fund	$50,001 - $100,000	Interest, Capital Gains	N/A
	Thrift Savings Plan, Washington, DC	$250,001 - $500,000	Capital Gains, Interest, Contributions	N/A

SCHEDULE V - LIABILITIES

Name Edward L. Pastor

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Washington Mutual Bank Milwaukee, WI	Mortgage on 1015 W Campbell Ave. Phoenix, AZ	$50,001 - $100,000
	Wells Fargo Bank Des Moines, IA	Mortgage on 649 C St. #304 Washington, DC	$50,001 - $100,000
JT	Chase Bank Phoenix, AZ	Home Equity Line of Credit on 1151 W Thomas Road, Phx, AZ	$1,001 - $10,000
JT	Bank of America Greensboro, NC	Mortgage on 1151 W Thomas Rd Phoenix, AZ	$1,001 - $10,000

4720

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Edward L. Pastor

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Association of Airport Executives	Jan 8-11	Phx-Honolulu-Kona-Honolulu-Phx	Y	Y	Y	None
The Aspen Institute	Feb 21-26	Phx-Dallas-Montego Bay-Dallas-Phx	Y	Y	Y	None
US-Mexico Chamber Education Foundation Foundation	Nov 30-Dec 2	Phx-Mexico City-Phx	Y	Y	Y	None

SCHEDULE VIII - POSITIONS

Name Edward L. Pastor

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member	Congressional Hispanic Caucus Washington, DC
Member	Congressional Hispanic Caucus Institute Washington, DC
Board of Trustees	Neighborhood Housing Services of America Oakland, CA

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 12:38
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED
(Office Use Only)

Ronald E. Paul
(Full Name)

101 Blossom
(Mailing Address)

Lake Jackson, TX 77566

Daytime Telephone: (979) 265-3034

Filer Status	[X] Member of the U.S. House of Representatives	State: Texas District: 14	[ ] Officer or Employee	Employing Office:	
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:	

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Ron Paul	5-10-2007

4723

Name Ronald E. Paul	Page 2 of 9

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Ronald E. Paul, M.D. Defined Benefit Pension Plan	Medical Pension	$18,720

For payments to charity in lieu of honoraria, use Schedule II.

4724

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Ronald E. Paul | Page 3 of 9

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

			Block A	Block B											Block C							Block D										Block E
				A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP		SP	Mega Corp. Stock					X							X										X							P
DC	*Examples:*		Simon & Schuster		Indefinite																	Royalties								X			
JT			1st Bank of Paducah, KY accounts						X								X										X						
	Carona Ltd. (Farmland Rental Prop. Hwy 332 LJ, TX)									X						Partnership Income						X											
	58 Flag Lake Plaza LJ, TX (CARR, Ltd)								X							Partnership Income											X						
	Dundee Bancorp Inc. Class A Common					X											X						X										
	Vista Gold Corp CS					X											X						X										
	Newmont Corp C Stock								X								X									X							

For additional assets and unearned income, use next page.

4725

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Ronald E. Paul

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income						BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Kinross Common Stock				X										X										X						
	Alumina Common Stock				X										X								X								
	Agnico Eagle Mines CS					X									X								X								
	Claude Res Inc C Stk			X											X						X										
	IAM Gold Corp					X									X							X									
	Mag Silver Corp				X										X						X										
	Apollo Gold Corp			X											X						X										
	Pan American Silver					X									X						X										
	Pan American Silver Wrts			X											X						X										
	Golden Star Res Ltd			X											X						X										
	Great Basin Gold Ltd			X											X						X										
	Eldorado Gold Corp				X										X						X										
	Golden Cycle Gold Corp					X									X						X										
	Wesdome Gold Mines Ltd			X											X						X										
	ISIN (formerly River Gold Mines Ltd)																														
	Barrick Gold Corp CS						X								X									X							
	Includes previous +																														
	(formerly Placer Dome Inc Stock)split)																														
	Virginia Mines Inc Com			X											X						X										
	(formerly partial of Mines DOR VA Inc)																														

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Ronald E. Paul

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Goldcorp Inc Class A						X								X									X							
	(Includes previous Goldcorp plus Glamis Gold split to Goldcorp and partial Mines DOR VA Inc split to Goldcorp)																															
	Metalline Mining Co			X											X						X										P
	Saskatchewan Wheat Pool Inc ISIN			X											X						X										P
	Lexam Explorations Inc			X											X						X										P
	Coeur D'Alene Mines Corp			X											X						X										P
	Silver Wheaton Corp Com ISIN				X										X						X										P
	Petrol Oil & Gas Inc		X												X						X										P
	Prudent Bear Mutual Fund, Dallas, TX			X													X					X										
	Oppenheimer Real Asset Mutual Fund	X																X					X									S
	Rydex Dynamic Venture Mutual Fd, Rockville		MD	X													X					X										
	Condominium, 5300 Holmes Run Pkwy						X							X							X											

This page may be copied if more space is required.

4727

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Ronald E. Paul

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Acreage - 9BT Archer					X								X							X										
	Oyster Creek, TX																															
	Partial Sale - #1	X																X							X						S
	Partial Sale - #2	X																X							X						S
	First Nat'l Bank of LJ			X													X					X										
	Defined Benefit Acct																															
	First Nat'l Bank of LJ					X											X							X								
	Savings																															
	Congressional Federal		X														X					X										
	Credit Union, Wash DC																															
	Texas Dow Employees						X										X								X							
	Credit Union, LJ, TX																															
	Mutual Securities Inc.			X													X						X									
	Agora Hills, CA																															
	2422 Bluewater Hwy						X									X										X						
	Surfside, TX																															
	Texas Gulf Bank, N.A.					X											X							X								
	LJ, TX																															
	Rydex-Ursa Mutual Fund				X												X						X									
	Rockville, MD																															

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name Ronald E. Paul

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
	Coeur D'Alene Mines Corp	X			1-3-06	X									
	Silver Wheaton Corp Com ISIN	X			1-3-06	X									
	Metalline Mining Co	X			2-14-06	X									
	Saskatchewan Wheat Pool Inc ISIN	X			2-14-06	X									
	Lexam Explorations Inc	X			3-1-06	X									
	Petrol Oil & Gas Inc	X			3-1-06	X									
	Oppenheimer Real Asset Mutual Fund		X		2-3-06	X									
	Acreage 9 BT Archer, Oyster Creek, #1		X		2-13-06	X									
	Texas (PARTIAL SALE) #2		X		5-22-06		X								

This page may be copied if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
Liberal Institute of the University of Prague	May 26,06 -June 3	Houston-Prague-Houston	Y	Y	Y	5
Synergy Productions	Dec 7-9	Houston-Cancun-Houston	Y	Y	Y	None

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	Ronald E. Paul, M.D. Association
Partner	Carona Limited
Partner	CARR, Ltd.
Honoray Chairman	Foundation for Rational Economics and Education, Inc
Honorary Chairman	The Liberty Committee (Discontinued November 2006)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

GPO 2007 33-427 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 5:04
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
HLC (Office Use Only)
(9)

Donald Milford Payne
(Full Name)

21 Bock Avenue Newark,, NJ 07112
(Mailing Address)

(202)2253436
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: NJ District: 10	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Donald M Payne	5-15-07

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Donald Milford Payne

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
(Smith Barney IRA) Core Fixed Income	None	DIVIDENDS	$1,001 - $2,500	S
(Smith Barney IRA) Smith Barney Bank Deposit Program	$15,001 - $50,000	INTEREST	$1 - $200	
(Smith Barney IRA) CG Capital Market Large Cap Value	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	SP
(Smith Barney IRA) CG Capitol Markets International Equity	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	SP
(Smith Barney IRA) CG Large Cap Growth	$15,001 - $50,000	None	NONE	SP
(Smith Barney IRA) CG Small Cap Value	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S

4733

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Donald Milford Payne

	(Smith Barney IRA) High Yield	None	DIVIDENDS	$1,001 - $2,500	SP
	(Smith Barney IRA) International Fixed Income	None	DIVIDENDS	$201 - $1,000	S
	(Smith Barney IRA) Small Cap Growth	$1,001 - $15,000	None	NONE	S
	(Smith Barney IRA) Smith Barney CD	$1,001 - $15,000	INTEREST	$1 - $200	P
	(Smith Barney IRA) Smith Barney CD	$1,001 - $15,000	INTEREST	$1 - $200	P
	(Smith Barney IRA) Smith Barney CD	$1,001 - $15,000	INTEREST	$1 - $200	P
	(Smith Barney Shearson IRA) Smith Barney CD	$1,001 - $15,000	INTEREST	$1 - $200	P
	19 Bock Avenue, Newark, New Jersey	$100,001 - $250,000	RENT	$15,001 - $50,000	
	CitiStreet	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
	Laser Master International Stock	$1,001 - $15,000	None	NONE	
	Medco Health Solutions, Inc. Stock	$1,001 - $15,000	None	NONE	
	Merck & Co. Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Prudential Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Schering Plough Corp. Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Donald Milford Payne

	Wachovia Stock	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	
	World Space Stock	$1 - $1,000	/None	NONE	

SCHEDULE IV - TRANSACTIONS

Name Donald Milford Payne

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	(Smith Barney IRA) Smith Barney CD	P	11-15-06	$1,001 - $15,000
	(Smith Barney IRA) Smith Barney CD	P	11-15-06	$1,001 - $15,000
	(Smith Barney IRA) Smith Barney CD	P	11-15-06	$1,001 - $15,000
	(Smith Barney IRA) Smith Barney CD	P	11-17-06	$1,001 - $15,000
	(Smith Barney IRA) Core Fixed Income	S/S	2-02-06 11-09-06	$15,001 - $50,000
	(Smith Barney IRA Capitol Markets High Yield	S/S	2-02-06 11-09-06	$15,001 - $50,000
	(Smith Barney IRA) International Fixed Income	S/S	2-02-06 11-09-06	$1,001 - $15,000
	(Smith Barney IRA) Small Cap Value	S/S	2-02-06 11-9-06	$1,001 - $15,000
	(Smith Barney IRA) CG Capital Markets Large Cap Value)	S/S	2-02-06 11-09-06	$15,001 - $50,000
	(Smith Barney IRA) International Equity Fund	S	2-02-06	$1,001 - $15,000
	(Smith Barney IRA) Large Cap Growth	S	2-02-06	$1,001 - $15,000
	(Smith Barney IRA) Small Cap Growth	S/S	2-02-06 11-09-06	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Donald Milford Payne

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	(Smith Barney IRA) International Equity Fund	P	11-09-06	$1,001 - $15,000
	(Smith Barney IRA) Large Cap Value	P	12-15-06	$1,001 - $15,000
	(Smith Barney IRA) Large Cap Growth	P	11-09-06	$1,001 - $15,000
	(Smith Barney IRA) International Equity Fund	S	2-02-06	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Donald Milford Payne

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Smith Barney	Loan	$50,001 - $100,000
	Sovereign Bank	Mortgage on 19 Bock	$15,001 - $50,000
	PNC Bank	Home Equity Loan on 19 Bock	$15,001 - $50,000
	Sovereign Bank	Home Equity Loan on 19 Bock	$50,001 - $100,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Donald Milford Payne

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Aspen Institute	April 9-14	Newark-Hawaii-Newark	Y	Y	Y	None
Congressional Black Caucus Institute	August 11-13	Newark-Memphis-Newark	Y	Y	N	None
Carib News Foundation	November 9-12	Newark-Miami-Panama-Miami-Newark	Y	N	N	None
Council for Opportunity in Education	November 26-29	Newark-San Juan-Newark	Y	Y	N	None
Johnson & Johnson	November 29-December 4	Newark-Kenya-Newark	Y	Y	N	None

SCHEDULE VIII - POSITIONS

Name Donald Milford Payne

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Directors	Newark Day Center
Board of Directors	Newark YMWCA
Board of Directors	Discovery Channel Foundation

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2007 MAY -9 PM 5:00

(Office Use Only) MC

Stevan E. Pearce
(Full Name)

P.O. Box 15866
(Mailing Address)

(202) 225-2365
Daytime Telephone:

Washington, DC 20003-0866

Filer Status	☒	Member of the U.S. House of Representatives	State: NM District: 02	☐ Officer or Employee	Employing Office:
Report Type	☒	Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Stevan Pearce	8 May 2007

4741

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Lea County State Bank	Spouse Director Fee	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Stevan E. Pearce | Page 3 of 6

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP					X								X																		P
					Indefinite																Royalties												
								X									X									X							
	Trinity Industries, Inc. Equip. Rental Hobbs, NM	Stock										X			X							X											
	Elodra, LLC Equip. Rental Hobbs, NM	Stock							X												Partnership		X										
	Grea, LTD Equip. Rental Hobbs, NM	Stock					X														Partnership	X											
	LFT, LLC Equip. Rental Hobbs, NM	Stock								X											Partnership					X							
SP	Lea County Bancshares Banking	Stock									X					X		X										X					
SP	Wells Fargo Banking	Stock			X											X								X									

For additional assets and unearned income, use next page.

4743

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Stevan E Pearce | Page 4 of 6

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
SP	USAA SEP/IRA FDIC Ins. NM Dep. Acct					X								X			X									X					
SP	USAA Simple IRA FDIC Ins. NM Dep. Acct					X								X			X								X						
	Thrift Savings Plan						X										X										X				
	Lea County State Bank Hobbs, NM Accts					X											X							X							
	CFCU Washington, DC Accts							X									X									X					
	USAA Federal San Antonio, TX Accts							X									X								X						
	College Sc. 529 Plans Custom Choice Portfolio Acct				X									X								X									

This page may be copied if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
Roycroft Corporation	Aug. 8–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
The Heritage Foundation	Jan 29-31	DC-Baltimore-DC	Y	Y	Y	None

This page may be copied if more space is required.

4745

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	Trinity Industries, Inc.
Member	Exedra, LLC
Member	Grea, LTD
Member	LFT, LLC
Director / Vice President	Pearce Museum of Art and Antiquities
Director	NRA 4-H Youth Foundation
Director / President	Stevan and Cynthia Pearce Charitable Foundation

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	N/A	

GPO 2007 33-427 (mac)

Use additional sheets if more space is required.

4746

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A Page 1 of 22
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 4:18
(Office Use Only) CLERK
U.S. HOUSE OF REPRESENTATIVES

Nancy Pelosi
(Full Name)

235 Montgomery Street, Suite 610 San Francisco, CA 94104 — 415-788-8600
(Mailing Address) — Daytime Telephone:

Filer Status
- ☑ Member of the U.S. House of Representatives — State: CA — District: 08
- ☐ Officer Or Employee — Employing Office:

Report Type
- ☑ Annual (May 15)
- ☐ Amendment
- ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? — Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? — Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Nancy Pelosi	May 15, 2007

4747

SCHEDULE I - EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Nancy Pelosi	None	N/A
Financial Leasing	Spouse Salary	N/A
Fairfield Associates	Spouse Management Fee	N/A
Forty Five Belden Corporation	Spouse Management Fee	N/A
Isolep Enterprises	Spouse Management Fee	N/A
Yerac Associates	Spouse Management Fee	N/A

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name Nancy Pelosi

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package. Employees may request a green envelope from the Clerk or use a plain envelope that is appropriately labeled.

Source	Activity	Date	Amount
NONE			

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nancy Pelosi

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	1600 Atlas Peak Road, Napa, CA - Real Estate Investment	$500,001 - $1,000,000	RENT	$15,001 - $50,000	
SP	235 Second Twelve Inc. - LLC	$100,001 - $250,000	PARTNERSHIP INCOME/(LOSS)	($1-$200)	
SP	25 Point Lobos, San Francisco, CA - Commercial property	$1,000,001 - $5,000,000	RENT	$100,001 - $1,000,000	
SP	45 Belden Place, San Francisco, CA - Four-story commercial building	$1,000,001 - $5,000,000	RENT	$100,001 - $1,000,000	
JT	723 Mule Ears Court, Norden, CA - Townhome	$1,000,001 - $5,000,000	NONE	NONE	

4750

SP	820 Sir Francis Drake Blvd., San Anselmo, CA - Commercial Property	$1,000,001 - $5,000,000	RENT	$100,001 - $1,000,000	
SP	Access Technology Partners, LP	$100,001 - $250,000	PARTNERSHIP INCOME/(LOSS)	($15,001-$50,000)	
SP	Active, LLC	$15,001 - $50,000	PARTNERSHIP INCOME/(LOSS)	($1-$200)	
SP	Agile Software Corp. - Public Common Stock	$15,001 - $50,000	NONE	NONE	
SP	Alcatel Lucent Ads - Public Common Stock (Formerly Lucent Technologies)	$1,001 - $15,000	NONE	NONE	
SP	Alliance Gaming Corp. - Public Common Stock (Currently Bally Technologies)				
SP	American International Group Inc. - Public Common Stock	$250,001 - $500,000	DIVIDENDS	$1,001 - $2,500	P
SP	Americas Doctors.com - Preferred Stock	$1,001 - $15,000	NONE	NONE	
SP	Apple Computer - Public Common Stock	$500,001 - $1,000,000	NONE	NONE	P
SP	Aristotle, LLC	$15,001 - $50,000	PARTNERSHIP INCOME/(LOSS)	($1-$200)	
SP	Ashlar, Inc. - Common Stock	$1 - $1,000	NONE	NONE	
SP	AT&T - Public Common Stock	$250,001 - $500,000	DIVIDENDS	$5,001 - $15,000	
SP	Auberge du Soleil, Rutherford, CA - Owns resort hotel	$1,000,001 - $5,000,000	PARTNERSHIP INCOME	$100,001 - $1,000,000	

SP	Avalon Pharmaceuticals, Inc. - Public Common Stock	$15,001 - $50,000	NONE	NONE	
SP	Avaya - Public Common Stock	$1 - $1,000	NONE	NONE	
SP	Bally Technologies Inc. - Public Common Stock (Formerly Alliance Gaming Corp.)	$0	CAPITAL GAIN/(LOSS)	($100,001- $1,000,000)	S
SP	Bank of America, San Francisco, CA	$100,001 - $250,000	INTEREST	$1 - $200	
JT	Bank of America, San Francisco, CA	$1,001 - $15,000	NONE	NONE	
SP	Bank of America, Washington, DC	$50,001 - $100,000	INTEREST	$1 - $200	
SP	Beacon Education Management - Common Stock	$1,001 - $15,000	NONE	NONE	
SP	BF Enterprises - Public Common Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Biz360, Inc. - Preferred Stock	$15,001 - $50,000	NONE	NONE	INCREASE INVEST.
SP	Borel Estate Company - Owns shopping center	$100,001 - $250,000	RENT	$15,001 - $50,000	
SP	Briazz - Public Common Stock	$1 - $1,000	NONE	NONE	
SP	Broadcom Corp. - Public Common Stock	$1,001 - $15,000	NONE	NONE	
JT	Broadway Property, San Francisco, CA - Real Estate Option	$1,000,001 - $5,000,000	NONE	NONE	
SP	Builders Info. Group. - Preferred Stock	$1 - $1,000	NONE	NONE	

SP	Bullhorn, LLC	$15,001 - $50,000	PARTNERSHIP INCOME/(LOSS)	($1-$200)	INCREASE INVEST.
SP	Cardiac Science Corp.- Public Common Stock	$50,001 - $100,000	NONE	NONE	
SP	Cisco Systems, Inc. - Common Stock	$15,001 - $50,000	NONE	NONE	
SP	City Car Services, LLC	$500,001 - $1,000,000	PARTNERSHIP INCOME/(LOSS)	($15,001-$50,000)	
SP	CMGI, Inc. - Common Stock	$1,001 - $15,000	NONE	NONE	
SP	Co-Nect - Common Stock	$1 - $1,000	NONE	NONE	
SP	Collab.Net, LLC	$1,001 - $15,000	PARTNERSHIP INCOME/(LOSS)	($1-$200)	
SP	Collaborative Group Investors, LP	$1 - $1,000	PARTNERSHIP INCOME	$201 - $1,000	
SP	ComCast - Public Common Stock	$250,001 - $500,000	NONE	NONE	
SP	Command Audio - Preferred Stock	$1,001 - $15,000	NONE	NONE	
	Congressional Credit Union, Washington, DC	$1,001 - $15,000	NONE	NONE	
SP	Critical Path Inc. - Public Common Stock	$1 - $1,000	NONE	NONE	
SP	Cryocor, Inc - Public Common Stock	$1,001 - $15,000	NONE	NONE	
SP	Currenex, LLC	$50,001 - $100,000	NONE	NONE	

SP	Digital Fountain - Preferred Stock	$1,001 - $15,000	NONE	NONE	
SP	Douglas Emmett Inc. - Public Common Stock	$0	CAPITAL GAIN	$15,001 - $50,000	PS
SP	Dover Saddlery, Inc. - Public Common Stock	$15,001 - $50,000	NONE	NONE	
SP	Dreamworks Animation - Public Common Stock	$0	CAPITAL GAIN/(LOSS)	($50,001-$100,000)	S
SP	Ebay Inc - Public Common Stock	$500,001 - $1,000,000	NONE	NONE	P
SP	EDI Associates, Mill Valley, CA - Hotel Investment	$250,001 - $500,000	PARTNERSHIP INCOME/(LOSS)	($5,001-$15,000)	
SP	Emulex-Public Common Stock	$15,001 - $50,000	NONE	NONE	
SP	Evident - Common Stock	$1 - $1,000	NONE	NONE	
SP	Fastnet - Common Stock	$1 - $1,000	NONE	NONE	
SP	Financial Leasing Services, S.F., CA - Investment Company	$100,001 - $250,000	NONE	NONE	
SP	Fortunet Inc.com - Public Common Stock	$15,001 - $50,000	NONE	NONE	P
SP	Forty-Five Belden Corp., S.F., CA - Investment Company	$1,000,001 - $5,000,000	PARTNERSHIP INCOME/(LOSS)	($100,001-$1,000,000)	
SP	General Electric Co. - Public Common Stock	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	P
SP	Genitope Corp. - Public Common Stock	$15,001 - $50,000	NONE	NONE	

SP	Getty Images, Inc. - Public Common Stock	$50,001 - $100,000	NONE	NONE	
SP	Golub Capital Partners	$1,001 - $15,000	PARTNERSHIP INCOME	$1,001 - $2,500	INCREASE INVEST.
SP	Granite Ventures, LP	$250,001 - $500,000	PARTNERSHIP INCOME/(LOSS)	($1,001-$2,500)	INCREASE INVEST.
SP	Hemosense, Inc. - Common Stock	$1,001 - $15,000	NONE	NONE	
SP	iBiquity Digital Coporation - Preferred Stock	$1,001 - $15,000	NONE	NONE	
SP	Infospace, Inc. - Public Common Stock	$15,001 - $50,000	NONE	NONE	
SP	Internap Delaware - Common Stock (Currently Internap Network Services)				
SP	Internap Network Services - Public Common Stock (Formerly Internap Delaware)	$100,001 - $250,000	NONE	NONE	
SP	Internet Cap. Group - Public Stock	$1 - $1,000	NONE	NONE	
SP	Intraop Medical Corp. - Public Common Stock (Formerly Intraop, LLC)	$1,001 - $15,000	NONE	NONE	
SP	Intraop, LLC - Common Stock (Currently Intraop Med. Corp)				
SP	J. Crew Group Inc. - Public Common Stock	$250,001 - $500,000	NONE	NONE	P
SP	Japan Partners, LP	$1,001 - $15,000	PARTNERSHIP INCOME/(LOSS)	($15,001-$50,000)	

SP	Johnson & Johnson Public Common Stock	$250,001 - $500,000	DIVIDENDS	$5,001 - $15,000	
SP	Learning Technologies, Inc. - Preferred Stock	$1,001 - $15,000	NONE	NONE	
SP	Liberate Technologies - Public Common Stock	$1 - $1,000	NONE	NONE	
SP	Lions Gate Limited Partnership (Cordevalle) - Golf Development Partnership	$500,001 - $1,000,000	NONE	NONE	
SP	Lucent Technologies - Public Common Stock (Currently Alcatel Lucent Ads)				
SP	Marquetry Antiques Partnership	$50,001 - $100,000	PARTNERSHIP INCOME	$15,001 - $50,000	
SP	McGrath Rentcorp. Inc. - Public Common Stock	$250,001 - $500,000	DIVIDENDS	$5,001 - $15,000	
SP	Microsoft Corp. - Public Common Stock	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	
SP	MontaVista, LLC	$15,001 - $50,000	PARTNERSHIP INCOME/(LOSS)	($1-$200)	
SP	Morningstar, Inc - Common Stock	$100,001 - $250,000	NONE	NONE	
SP	Mosher Partners, LP, Sacramento, CA - Real Estate Partnership	$250,001 - $500,000	PARTNERSHIP INCOME/(LOSS)	($2,501-$5,000)	
SP	MTI Cephus Partners	$50,001 - $100,000	PARTNERSHIP INCOME/(LOSS)	($50,001-$100,000)	
SP	Nautilus Leasing Services - Container leasing company	$50,001 - $100,000	NONE	NONE	

SP	Navis Investors, LP	$1,001 - $15,000	PARTNERSHIP INCOME	$201 - $1,000	
SP	Net2Phone, Inc. - Public Common Stock	$0	CAPITAL GAIN/(LOSS)	($201-$1,000)	S
SP	New River Pharmaceuticals - Public Common Stock	$500,001 - $1,000,000	NONE	NONE	
SP	Niman Ranch, LLC	$50,001 - $100,000	PARTNERSHIP INCOME/(LOSS)	($201-$1,000)	
SP	Nine Forty Five Battery, LLC, San Francisco, CA - Real Estate Partnership	$1,000,001 - $5,000,000	RENT	$100,001 - $1,000,000	INCREASE INVEST.
SP	Nine Hundred One Battery, San Francisco, CA - Real Estate Partnership	$15,001 - $50,000	RENT	$2,501 - $5,000	
SP	Oakwood Homes Corp - Public Common Stock	$1 - $1,000	NONE	NONE	
SP	Oakwood Homes Corp. - Bonds	$100,001 - $250,000	CAPITAL GAIN	$100,001 - $1,000,000	PARTIAL SALE
SP	Odyssey, LLC	$50,001 - $100,000	PARTNERSHIP INCOME/(LOSS)	($5,001-$15,000)	INCREASE INVEST.
SP	Oracle Corp. - Public Common Stock	$100,001 - $250,000	NONE	NONE	P
SP	Overstock.Com - Public Common Stock	$15,001 - $50,000	NONE	NONE	
SP	Pacific Island Resources, LLC	$1 - $1,000	NONE	NONE	
SP	Pfizer, Inc. - Public Common Stock	$0	CAPITAL GAIN/(LOSS)	($100,001- $1,000,000)	S
SP	Pfizer, Inc. - Public Common Stock	$0	DIVIDENDS	$5,001 - $15,000	S

SP	Piatti Restaurant Co., Tiburon, CA - Restaurant Operating Company	$1,000,001 - $5,000,000	PARTNERSHIP INCOME	$15,001 - $50,000	
SP	Picture IQ Corp- Common Stock	$1 - $1,000	NONE	NONE	
SP	Plato Learning, Inc. - Common Stock	$1 - $1,000	NONE	NONE	
SP	Polycom - Public Common Stock	$0	CAPITAL GAIN	$50,001 - $100,000	S
SP	Potomac Investment Assoc, Gathersberg, MD - Real Estate Partnership	$1,001 - $15,000	PARTNERSHIP INCOME	$15,001 - $50,000	
SP	Powerwave Tech Inc. - Public Common Stock	$100,001 - $250,000	NONE	NONE	P
SP	QualComm, Inc. - Public Common Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Redenvelope - Public Common Stock	$50,001 - $100,000	NONE	NONE	
SP	REOF VI - Real Estate Partnership	$0	PARTNERSHIP INCOME	$50,001 - $100,000	S
SP	Robert Half Intl., Inc. - Public Common Stock	$100,001 - $250,000	DIVIDENDS	$201 - $1,000	
SP	Salesforce.com, LLC - Common Stock	$100,001 - $250,000	NONE	NONE	
SP	Schnitzer Stl. Inds. - Public Common Stock	$0	CAPITAL GAIN	$100,001 - $1,000,000	PS
SP	Schnitzer Stl. Inds. - Public Common Stock	$0	DIVIDENDS	$201 - $1,000	PS
SP	Shutterfly - Common Stock	$1,001 - $15,000	NONE	NONE	

SP	Sonus Networks Inc. - Public Stock	$1,001 - $15,000	NONE	NONE	
SP	Speakeasy, Inc. - Preferred Stock	$15,001 - $50,000	NONE	NONE	
SP	Starbucks Corporation - Public Common Stock	$250,001 - $500,000	NONE	NONE	P
SP	Stoneridge, LLC	$500,001 - $1,000,000	PARTNERSHIP INCOME/(LOSS)	($2,501-$5,000)	
SP	Sun Microsystems - Public Common Stock	$1,001 - $15,000	NONE	NONE	
SP	Support Soft Inc. - Common Stock	$1,001 - $15,000	NONE	NONE	
SP	TeraOp, LLC	$15,001 - $50,000	PARTNERSHIP INCOME/(LOSS)	($1-$200)	
SP	Thirteen Hundred One Sansome, LLC - Real Estate Partnership	$1,000,001 - $5,000,000	RENT	$100,001 - $1,000,000	
SP	Tom's of Maine, LLC	$0	PARTNERSHIP INCOME	$15,001 - $50,000	S
SP	Traffic.com Inc. - Public Common Stock	$15,001 - $50,000	NONE	NONE	P
SP	Tripath Technology, Inc. - Common Stock	$1 - $1,000	NONE	NONE	
SP	Trivium, LLC	$15,001 - $50,000	NONE	NONE	
SP	Unicru, LLC	$15,001 - $50,000	NONE	NONE	
SP	Union Bank of California, San Francisco - Money Market	$1,001 - $15,000	INTEREST	$1 - $200	

SP	Union Bank of California, San Francisco, CA	$1,001 - $15,000	NONE	NONE	
SP	Vanguard Airlines, Inc. - Public Common Stock	$1 - $1,000	NONE	NONE	
SP	Vizu, LLC	$15,001 - $50,000	PARTNERSHIP INCOME/(LOSS)	($1-$200)	P
JT	Wells Fargo Bank, San Francisco, CA	$1,001 - $15,000	INTEREST	$1 - $200	
SP	Yantra Investors, LP	$0	PARTNERSHIP INCOME	$1 - $200	S
SP	Yerac Associates - Investments	$500,001 - $1,000,000	PARTNERSHIP INCOME/(LOSS)	($5,001-$15,000)	
JT	Zinfandel Lane, St. Helena, CA	$5,000,001 - $25,000,000	VINEYARD	$50,001 - $100,000	

SCHEDULE IV - TRANSACTIONS

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	American International Group Inc. - Public Common Stock	P	8-3-06	$250,001 - $500,000
SP	Apple Computer - Public Common Stock	P	9-8-06	$500,001 - $1,000,000
SP	Bally Technologies Inc. - Public Common Stock	S	5-15-06	$250,001 - $500,000
SP	Biz360, Inc. - Preferred Stock	P	1-31-06 8-15-06 11-8-06	$1,001 - $15,000
SP	Bullhorn, LLC	P	4-20-06	$1,001 - $15,000
SP	Douglas Emmett Inc. - Public Common Stock	P	10-23-06	$100,001 - $250,000
SP	Douglas Emmett Inc. - Public Common Stock	S	11-20-06 12-5-06	$250,001 - $500,000
SP	Dreamworks Animation - Public Common Stock	S	12-29-06	$250,001 - $500,000
SP	Ebay Inc. - Public Common Stock	P	11-21-06 11-28-06	$500,001 - $1,000,000
SP	Fortunet Inc.	P	1-31-06	$15,001 - $50,000
SP	General Electric Co. - Public Common Stock	P	1-23-06	$50,001 - $100,000

SCHEDULE IV - TRANSACTIONS

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Golub Capital Partners	P	7-25-06	$1,001 - $15,000
SP	Granite Ventures, LP	P	4-18-06	$15,001 - $50,000
SP	J. Crew Group Inc. - Public Common Stock	P	6-30-06 7-10-06	$250,001 - $500,000
SP	Net2Phone, Inc. - Public Common Stock	S	4-10-06	$1,001 - $15,000
SP	Nine Forty Five Battery, LLC	P	7-5-06	$500,001 - $1,000,000
SP	Oakwood Homes Corp. - Bonds	S	6-9-06	$100,001 - $250,000
SP	Odyssey, LLC	P	3-21-06	$1,001 - $15,000
SP	Oracle Corp. - Public Common Stock	P	9-13-06	$100,001 - $250,000
SP	Pfizer, Inc. - Public Common Stock	S	12-7-06	$100,001 - $250,000
SP	Polycom - Public Common Stock	S	10-3-06	$250,001 - $500,000
SP	Powerwave Tech Inc. - Public Common Stock	P	7-25-06 8-2-06 8-14-06	$100,001 - $250,000

SCHEDULE IV - TRANSACTIONS

Name Nancy Pelosi

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	REOF VI, LLC	S	2-10-06	$100,001 - $250,000
SP	Schnitzer Stl. Inds. - Public Common Stock	P	10-3-06	$250,001 - $500,000
SP	Schnitzer Stl. Inds. - Public Common Stock	S	11-9-06	$500,001 - $1,000,000
SP	Starbucks Corporation - Public Common Stock	P	10-3-06	$250,001 - $500,000
SP	Tom's of Maine, LLC	S	5-25-06	$15,001 - $50,000
SP	Traffic.com Inc. - Public Common Stock	P	1-25-06	$15,001 - $50,000
SP	Vizu, LLC	P	9-5-06	$15,001 - $50,000
SP	Yantra Investors, LP	S	5-31-06	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Union Bank of California	Mortgage on 11 Zinfandel Lane, St. Helena, CA	$1,000,001 - $5,000,000
JT	Union Bank of California	Mortgage on 723 Mule Ears Court, Norden, CA	$500,001 - $1,000,000
SP	KeyBank Real Estate Capital	Mortgage on 45 Belden Place, San Francisco, CA	$1,000,001 - $5,000,000
SP	Wachovia Securities	Mortgage on 820 Sir Francis Drake Blvd., San Anselmo, CA	$1,000,001 - $5,000,000
SP	Wachovia Securities	Mortgage on 25 Point Lobos Avenue, San Francisco, CA	$1,000,001 - $5,000,000
JT	Union Bank of California	Mortgage on 1600 Atlas Peak Road, Napa, CA	$250,001 - $500,000
SP	Union Bank of California	Line of Credit	$1,000,001 - $5,000,000
SP	Wells Fargo Investments	Margin Account	$1,000,001 - $5,000,000
SP	W.R. Hambrecht & Co.	Margin Account	$500,001 - $1,000,000
SP	Bank of America	Line of Credit	$250,001 - $500,000

SCHEDULE VI - GIFTS

Name Nancy Pelosi

Report the source, a brief description, and the value of all gifts totaling more than $305 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
NONE		

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Nancy Pelosi

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
NONE			N	N	N	

SCHEDULE VIII - POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
CFO & Secretary	The Paul & Nancy Pelosi Charitable Foundation
Honorary Advisory Board	The Women & Politics Institute at American University
Congressional Advisory Board	International Women's Democracy Center
Trustee	United States Botanic Garden
Advisory Board	Kennedy Center
Honorary Advisory Board	Women & Politics Institute
Trustee	Lead America
Honorary Board of Advisors	National Student Leadership Foundation

SCHEDULE IX - AGREEMENTS

Name Nancy Pelosi

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
NONE		

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 3:28
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
81
MC HAND DELIVERED
(Office Use Only)

Michael Richard Pence
(Full Name)
1317 Longworth House Office Bldg
Washington, DC 20515
(Mailing Address)
202-225-3021
Daytime Telephone

Filer Status	☒ Member of the U.S. House of Representatives — State: IN District: 06	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☒	No ☐
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Michael R Pence	5-15-07

4769

Name Michael Richard Perce	Page 2 of 7

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Immanuel Christian School	spouse salary	NA
	Self-employed Artist	spouse salary	NA
	Community Church of Greenwood	spouse speech	1,000

For payments to charity in lieu of honoraria, use Schedule II.

4770

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Michael Richard Pence | Page 3 of 7

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

				Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	*Examples*	SP	Mega Corp. Stock					X								X										X								P
			Simon & Schuster			Indefinite																Royalties												
			1st Bank of Paducah, KY accounts						X									X									X							
DC/JT	Central IN School Emp. FCU				X														X				X										
DC	American Funds - UTMA (uniform transfer to Minor Accounts)					X										X				X				X									
DC	American Funds - UTMA (uniform transfer to Minor Accounts)					X										X				X				X									
DC	American Funds - UTMA (uniform transfer to Minor Accounts)					X										X				X				X									
DC/SP	American Funds - 529 Educational Plan							X								X				X						X							
DC	Hilliard Lyons Savings Bond	X																	X							X							Redeemed

For additional assets and unearned income, use next page.

4771

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Michael Richard Pence | Page 4 of 7

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P S E
DC	U.S. Federal Savings Bonds			X										X							X											

This page may be copied if more space is required.

4772

SCHEDULE IV — TRANSACTIONS

Name Michael Richard Pence | Page 5 of 7

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
DC	Hilliard Lyons Savings Bond (Bond was redeemed on 7-7-06 then rolled into DC America Funds UTMA Account)			X	7-7-06	X									

This page may be copied if more space is required.

4773

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	N/A											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
National Rifle Association	2006 Charlton Heston Courage Under Fire Award - Mounted Remington Rifle (Commemorative Item)	$480

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
The Heritage Foundation	1/29 - 1/31	DC – Baltimore – DC	Y	Y	Y	none

This page may be copied if more space is required.

MICHAEL R. PENCE
SIXTH DISTRICT, INDIANA

COMMITTEES:
JUDICIARY
SUBCOMMITTEES:
CONSTITUTION, CIVIL RIGHTS, AND CIVIL LIBERTIES
COURTS, THE INTERNET, AND INTELLECTUAL PROPERTY
FOREIGN AFFAIRS
SUBCOMMITTEE:
MIDDLE EAST AND SOUTH ASIA – RANKING MEMBER

HAND DELIVERED

Congress of the United States
House of Representatives
Washington, DC 20515–1406

WASHINGTON OFFICE:
1317 LONGWORTH HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225–3021
FAX: (202) 225–3382

DISTRICT OFFICES:
1134 MERIDIAN PLAZA
ANDERSON, IN 46016
(765) 640–2919
FAX: (765) 640–2922

204 SOUTH WALNUT STREET
MUNCIE, IN 47305
(765) 747–5566
FAX: (765) 747–5586

50 NORTH 9TH STREET
RICHMOND, IN 47374
(765) 962–2863
FAX: (765) 962–3275

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 3:28
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

May 15, 2007

The Clerk, U.S. House of Representatives
B-106 Cannon House Office Building
Washington, DC 20515

To Whom It May Concern:

During the 2001-2005 calendar years, I inadvertently did not report United States saving bonds that were given to my children as gifts on multiple years. Each individual bond is of nominal value and given for birthdays and other special occasions. I would like to amend my Financial Disclosure Statements from 2001-2005 to add these assets to Schedule III as their collective total value. These bonds have not earned any income for any of the reporting years. They have now been correctly reported on Schedule III on my 2006 disclosure.

I also need to add to Schedule III & IV a Hilliard Lyons savings bond for my son Michael that was valued at $3000. The bond did not earn income during these previous reporting years, but on 7-7-06 the Hilliard Lyons savings bond matured and was then redeemed and rolled into his American Funds account. The interest it earned at that time has been correctly reported on my 2006 Financial Disclosure.

These assets have been correctly reported on my 2006 disclosure filed on May 15, 2007. Thank you for your help in this matter.

Sincerely,

Mike Pence
Member of Congress

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A — For use by Members, officers, and employees — Page 1 of 5

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 3:39
OFFICE OF THE CLERK
HOUSE OF REPRESENTATIVES
(Office Use Only)

5

Collin C. Peterson
(Full Name)

26192 Floyd Lake Point Road Detroit Lakes, MN 56501-7607 — (Mailing Address)

202-225-2165 — Daytime Telephone:

Filer Status

☑ Member of the U.S. House of Representatives — State: MN — District: 7th

☐ Officer Or Employee — Employing Office:

Report Type

☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/15/2007

4777

SCHEDULE I - EARNED INCOME

Name Collin C. Peterson	Page 2 of 5

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Peterson Fox, Inc.	Directors Fee	$9,700
Hall for Congress	Musician Fee	$800

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Collin C. Peterson

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Lakewest Properties-partnership	$1,001 - $15,000	RENT/Interest Cap Gains	$0-200	
+	Peterson Fox, Inc.-common stock	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
	Thief River Farm	$15,001 - $50,000	RENT	$1,001 - $2,500	

4779

SCHEDULE V - LIABILITIES

Name Collin C. Peterson

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Peterson Fox, Inc. 50% ownership	demand loan created when stock issued 1/1/90	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Collin C. Peterson

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Pheasants Forever	Jan. 11-13	Stuttgart, AR	Y	Y	N	3 days
American Sugarbeet Growers	Jan. 27-30	MSP-Orange County- Long BeachWashington, DC	Y	Y	N	4 days
National Farmers Union	Mar. 4-5	Omaha-Denver-Fargo	Y	Y	N	2 days
American Sugar Alliance	Aug 7-9	Detroit Lakes, MN-Asheville, NC- Detroit Lakes, MN	Y	Y	N	2 days

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2005

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 6:59
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED (6)
(Office Use Only)

John E. Peterson
(Full Name)

248 N. Main St, Pleasantville, PA 814-589-5241
(Mailing Address) (Daytime Telephone)

Filer Status	☒ Member of the U.S. House of Representatives	State: PA District: 05	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	John E Peterson	15 May, 2007

4782

Name John E Peterson	Page 2 of 6

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Commonwealth of Pennsylvania	Pension	17,345

For payments to charity in lieu of honoraria, use Schedule II.

4783

Name John E. Peterson | Page 3 of 6

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Examples	SP					X								X										X							P
DC					Indefinite																Royalties									X			
JT								X								X										X							
	Prudential Investments-Life						X															X											
	-Global Fund					X																X											
	-Value Fund				X																	X											
	-Equity Fund				X																	X											
	- Natural Resources Fund					X																X											
SP	Prudential					X															IRA	X											

For additional assets and unearned income, use next page.

4784

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John E Peterson | Page 4 of 6

BLOCK A: Asset and/or Income Source	SP, DC, JT	BLOCK B: Year-End Value of Asset												BLOCK C: Type of Income							BLOCK D: Amount of Income										BLOCK E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
Prudential					X															IRA	X										
Merrill:						X														IRA	X										
Goldman Sachs				X																	X										
Templeton World				X																	X										
Templeton Foreign				X																	X										
Van Kampen				X																	X										
Aim Basic				X																	X										
American Growth Fund				X																	X										
AXA Enterprise				X																	X										
AXA " Small				X																	X										
AXA Enterprise				X																	X										
Merrill Stocks				X																	X										
National City Stocks							X																	X							
American Express Growth Fund					X																	X									
State Farm Money Market Fund					X																										
Old Country Svgs Bk Time							X														X										

This page may be copied if more space is required.

Name John E Peterson | Page 5 of 6

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-05		X								
JT	Sale of real property - Single Family Home		X		5-29-06			X							

This page may be copied if more space is required.

4786

Name John E Peterson | Page 6 of 6

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Northwest Savings Bank	Prime Line of Credit		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

4787

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

INSIDE MAIL

LEGISLATIVE RESOURCE CENTER
2007 APR 30 AM 11:42
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

11

MC

THOMAS E. PETRI
(Full Name)

N5329 DONELLY LA
(Mailing Address)
FOND DU LAC WISCONSIN 54935

920-922-1180
Daytime Telephone

Filer Status
[X] Member of the U.S. House of Representatives — State: ... District: ...
[ ] Officer or Employee — Employing Office: ...

Report Type
[ ] Annual (May 15)
[ ] Amendment
[ ] Termination — Termination Date: ...

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e aggregating more than ~~$305 and not otherwise exempt~~)? **If yes, complete and attach Schedule VI.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Thomas E. Petri	April 27, 2007

4788

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
AMERICAN COUNCIL OF TRUSTEES AND ALUMNI	SPOUSE SALARY	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Washington, DC	Speech	Feb. 2, 2006	$2,000
XYZ Magazine	Article	Aug. 13, 2006	$500
SOCIETY INSURANCE, FOND DU LAC, WISCONSIN	ANNUAL MEETING AS MEMBER OF BOARD	MARCH 13, 2006	$500
" " " "	BOARD MEETING AS MEMBER	MARCH 13, 2006	$500
" " " "	ANNUAL OR INVESTMENT COMMITTEE OF BOARD AS MEMBER OR	AUGUST 21, 2006	$500
" " " "	BOARD MEETING AS MEMBER	OCTOBER 16, 2006	$500
" " " "	" " " "	DECEMBER 18, 2006	$500

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name T. E. Pope, | Page 4 of 11

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

				Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock					X								X										X							P
			Simon & Schuster			Indefinite																Royalties								X			
			1st Bank of Paducah, KY accounts						X									X									X						
	Wisconsin Retirement Fund								X								X		X	X							X						
	Wells Fargo Advantage Funds							X									X		X	X						X							P
	James Worldwide Fund								X								X		X		X						X						P
	Janus Funds							X									Y		X	X							X						P
	Aim Funds								X								Y		X	X							X						P
	Bond Fund of America					X											X		X	X			X										P

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name T. E. Petri | Page 5 of 11

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	IN4 Int'l Fund Cl. A #13			X											X		X	X					X								P
	U.S. Bank Stock										X				X														X			
	W4 with Corp Stock				X										X								X								P
	American Express Stock						X								X									X							P
	Wisconsin Corp. Stock										X				X												X				P
	Boeing Corp. Stock					X									X										X						P
	Associated Bancorp, Stock			X											X								X								P
	Marshall & Ilsley Corp, Stock								X						X											X					
	Berkshire Hathaway, Stock									X				X							X										
	Lloyd's of London								X						X		X	X											X		
	U.S. Bank Trust, Cash		X														X					X									
	U.S. Bank Trust, Treasuries Fd.				X												X							X							
	Other Cash Equivalents				X												X							X							
	Marshall Lmsk Cash Equiv.				X												X								X						
	U.S. Treasury Bonds							X									X									X					P
	Heritage Underwriting, Stock					X								X							X										
	Equitas, Trust				X									X							X	X									
	Capital Fund, N. 5327 IN North Ca				X									X							X										
	Gold Abbot High Yield Bond Fd				X												X						X								
	Hawkins-Elston Co, Stock		X												X							X									
	Corning Corp., Stock							X						X							X										P
	E. Bay Corp., Stock							X						X							X										P

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name T. E. Peton | Page 6 of 11

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Washington Post Corp, Stock							X							X									X						
	Southern Shell Boats, Inc.						X							X							X										P
	Peton Jaffray Corp, Stock				X										X		X	X					X								P
	Anchorage Fund, Inc, Stock			X			X								X											X					P
	Evergreen Int'l Fund							X							X																P
	McGraw Hill Pub. Co, Stock							X							X									X							P
	W. Wrigley Co, Stock			X				X							X		X						X								P
	Northwest Mutual Life Assn						X								X																P
	Monitor Co, Inc.						X								X																P
	OSH Kosh Truck Corp.						X								X																P
	WP S Ry. Corp.						X								X																P

This page may be copied if more space is required.

4793

SCHEDULE IV — TRANSACTIONS

Name T.E. PETRI | Page 7 of 11

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
	WELLS FARGO ADVANTAGE FUNDS	X			IRA DIVIDEND REINVESTMENT	X									
	JANUS WORLDWIDE FUND	X			"	X									
	JANUS FUND	X			"	X									
	AIM FUND	X			"	X									
	BOND FUND OF AMERICA	X			"	X									
	IVY INT'L FUND CL. A & B	X			"	X									
	WACHOVIA CORP, STOCK	X			DIVIDEND REINVESTMENT	LESS THAN 1000									
	AMERICAN EXPRESS, STOCK	X			"	X									
	WALGREEN CORP, STOCK	X			"	X									
	BANCORP, STOCK	X				LESS THAN 1000									
	ASSOCIATED BANKSHARES, STOCK	X			"	LESS THAN 1000									
	U.S. TREASURY BONDS	X			1/24/06		X								
	CORNING CORP, STOCK	X			10/13/06				X						
	EBAY CORP, STOCK	X			10/13/06			X							
	AMERIPRISE FIN., INC, STOCK	X			DIVIDEND REINVESTMENT	X									
	MC GRAW HILL PUB CORP, STOCK	X			10/13			X							

This page may be copied if more space is required.

4794

SCHEDULE IV — TRANSACTIONS

Name T. E. Pgtr1 | Page 8 of 11

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.		Type of Transaction			Date	Amount of Transaction									
SP, DC, JT	Asset	PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–06		X								
	W. Wrigley Co, Stock	X			10/13/06				X						
	Manitowoc Co, Inc., Stock	X			10/19/06				X						
	Oshkosh Truck Corp, Stock	X			10/19/06				X						
	UPS Roseburg Corp, Stock	X			10/13/06				X						
	McGraw Hill Pub. Co, Stock	✓			11/16/06	X									

This page may be copied if more space is required.

4795

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	MERRILL LYNCH	LOAN SECURED BY STOCK							X			

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
AMERICAN RECREATION COALITION, WASHINGTON, D.C.	CRYSTAL EAGLE BY STEUBEN & BASE- 2006 COLLEGIAN AWARD RECIPIENT FOR DEDICATION TO OUTDOOR OPPORTUNITY	$600

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
WISCONSIN & UPPER MIDWEST AMERICAN ASSOC. OF COLLEGE REGISTRARS & ADMISSIONS OFFICERS	NOV. 3	APPLETON, WI - MINNEAPOLIS & MN - APPLETON, WI.	N	Y	N	NONE

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
PARTNER	LLOYD'S UNDERWRITING INSURANCE RISKS, LONDON, ENGLAND
DIRECTOR	SOCIETY INSURANCE (A MUTUAL COMPANY), FOND DU LAC, WISCONSIN

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2007 33-427 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 4:55
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
MC
(Office Use Only)

Charles W "Chip" Pickering, Jr.
(Full Name)
229 CANNON HOB/US House of Representatives
Washington, DC 20515
(Mailing Address)
Daytime Telephone: 202/225-5031

Filer Status
- [X] Member of the U.S. House of Representatives — State: MS District: 3
- [ ] Officer or Employee — Employing Office:

Report Type
- [X] Annual (May 15)
- [ ] Amendment
- [ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Charles W. Pickering Jr.	5/15/07

4799

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Wm. Fundraising & Event Planning, Inc.	Spouse Salary	N/A
	Leisha Pickering Event Planning	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

4800

Name Charles "Whip" Pickering, JR | Page 2 of 4

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

	BLOCK A	BLOCK B											BLOCK C							BLOCK D										BLOCK E
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples.*		Mega Corp. Stock			X								X										X							P
			Simon & Schuster		Indefinite															Royalties									X			
			1st Bank of Paducah, KY accounts				X									X										X						
	BankCorp South S:L (ms)	X															X				X										
	Washington Mutual Investment Fund			X											X						X										
	The First Bancshares, Inc. (Lamar County, MS)			X											X						X										
SP	US Treasury Bonds, Boatmans Bank, Memphis, TN				X												X					X									
SP	CD, Bank of Bartlett Memphis, TN				X												X					X									
	BancorpSouth (Laurel, MS)				X												X					X									

For additional assets and unearned income, use next page.

4801

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Charles N. "Chip" Pickering, JR | Page 3 of 4

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset											BLOCK C: Type of Income							BLOCK D: Amount of Income										BLOCK E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
DC	Solomon Smith Barney Mutual Funds ED-IRA (non self-directed) see note*			X										X								X									
	Neight Patman Cong. Federal Credit Union, WDC			X													X						X								
	Nilton Investments, LLC, Taylorsville, MS (investment in company engaged in oil & gas exploration in the state of MS								X											income from oil & GAS production									X		
	* note: This investment is 5 separate IRA education accounts for each of my 5 sons. The accounts range in value for an aggregate of approx 2,500. The funds are invested in a NASDAQ 100 Trust Fund, Money Tax Ex 500 Fund Class A, and a SB Money Funds																														

This page may be copied if more space is required.

4802

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member	Wilten Investments, LLC (uncompensated position)
Board Member	Baptist Children's Home, Clinton, MS (uncompensated position)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2007 33-427 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2007 MAY 14 PM 12:56
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

JOSEPH RUSSELL PITTS
(Full Name)

905 MITCHELL FARM LANE, KENNETT SQUARE, PA 19348 610-444-4581
(Mailing Address) (Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives	State: PA District: 16	[ ] Officer or Employee	Employing Office.	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Joseph R. Pitts	May 15, 2007

4804

Name JOSEPH RUSSELL PITTS	Page 2 of 5

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
COMMONWEALTH OF PENNSYLVANIA	LEGISLATIVE PENSION	$90,867

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name JOSEPH RUSSELL PITTS | Page 3 of 5

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), *provide information on each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock				X								X									X								P
			Simon & Schuster	Indefinite																	Royalties									X			
			1st Bank of Paducah, KY accounts					X									X									X							
	National Penn Bank				X													X				X											
	Comm of PA Deferred Comp (adm by Copeland Co)					X															n/a	n/a											
	IRA (adm by Putnam Inv)								X												n/a	n/a											
SP	TIAA/CREF						X														n/a	n/a											

For additional assets and unearned income, use next page.

4806

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Heritage Foundation	Jan 29-31	Phila-Baltimore-Wash. D.C.	Y	Y	Y	None

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
ADVISORY BOARD MEMBER	COUNTY CORRECTIONS GOSPEL MISSION
ADVISORY BOARD MEMBER	EASTERN COLLEGE ADVISORY BOARD
ADVISORY BOARD MEMBER	CROSSLINKS
ADVISORY BOARD MEMBER	CONGRESSIONAL YOUTH LEADERSHIP COUNCIL
ADVISORY BOARD MEMBER	INTERNATIONAL HEALTH SERVICES BOARD

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 AM 10: 17
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

(5)

MC

Todd Russell Platts
(Full Name)

1240 Oakdale Drive
(Mailing Address)

York PA 17403

Daytime Telephone: (717) 600-1919

Filer Status

☒ Member of the U S House of Representatives — State: PA District: 19

☐ Officer or Employee — Employing Office:

Report Type

☒ Annual (May 15)

☐ Amendment

☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	Todd R. Platts	5/14/07

4809

Name Todd R. Platts	Page 2 of 5

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Comm. of PA, State Employees' Retirement System	Legislative Pension	$4510

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: Todd R. Platts | Page 3 of 5

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), *provide information on each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

				Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify; For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP,	*Examples*	SP	Mega Corp. Stock					X								X																	P
DC,			Simon & Schuster			Indefinite																Royalties												
JT			1st Bank of Paducah, KY accounts						X									X									X				X			
DC	T. Rowe Price Blue Chip Fund					X											X							X									
	Heritage Diversified Growth Fund					X														X					X								
	MFS Capital Opportunities Fund			X												X							X										S
	Alliance Acc Resources Fund			X																X				X									S
	Alliance PEA Target Fund			X																X				X									S
	Alliance RCM Global Technology			X												X							X										S

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Todd R. Platts | Page 4 of 5

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset												BLOCK C: Type of Income							BLOCK D: Amount of Income										BLOCK E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Thornburg Value Fund			X														X					X								P
	Allianz NFJ Dividend Value Fund			X											X			X					X								P
	Growth Fund of America Fund			X											X			X				X									P
	AFBA 5 Star Science & Technology Fund			X										[redacted]				X			[redacted]	X									P
	Heritage Money Mkt (Cash Trust)		X												X							X									
	Community Banks (Acct #1)			X										X							X										
	Community Banks (Acct #2)			X										X							X										

This page may be copied if more space is required.

4812

SCHEDULE IV — TRANSACTIONS

Name Todd R. Platts | Page 5 of 5

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
	MFS Capital Opportunities Fund (Mut. Fund Shares)		X		11-27-06	X									
	Alliance OCC Renaissance Fund (Mut. Fund Shares)		X		11-27-06	X									
	Alliance PEA Target Fund (Mut. Fund Shares)		X		11-27-06	X									
	Alliance RCM Global Technology (Mut. Fund Shares)		X		11-27-06	X									
	Thornburg Value Fund (Mut. Fund Shares)	X			11-27-06	X									
	Alliance NFJ Dividend Value Fund (Mut. Fund Shares)	X			11-27-06	X									
	Growth Fund of America Fund (Mut. Fund Shares)	X			11-28-06	X									
	AFBA 5 Star Science + Technology Fund (Mut. Fund Shares)	X			11-27-06	X									

This page may be copied if more space is required.

HAND DELIVERED
2007 JUN 14 AM 9:30
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

(6)

MC

PAGE 03/09
06/14/2007 09:35 2814460252

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A Page 1 of 8
For use by Members, officers, and employees

TED POE
(Full Name)

PO BOX 608 HUMBLE, TX 77347
(Mailing Address)

202-225-6565
Daytime Telephone

Filer Status
☑ Member of the U.S. House of Representatives — State: TX — District: 02
☐ Officer Or Employee — Employing Office:

Report Type
☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		10 June 07

4814

SCHEDULE I - EARNED INCOME

Name TED POE

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
HARRIS COUNTY, TEXAS	RETIREMENT PENSION	$75,833.76
TEXAS COUNTY AND DISTRICT RETIREMENT SYSTEM	RETIREMENT PENSION	$57,229.08
NORTHLAND CHRISTIAN SCHOOL, HOUSTON, TX	SPOUSE SALARY	$20,851.82
HUMBLE INDEPENDENT SCHOOL DISTRICT, HUMBLE,TX	SPOUSE SALARY	$16,082.10

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name TED POE

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. *Exclude: Your personal residence(s) (unless there is rental income);* any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership (income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	CONGRESSIONAL FCU (CDS)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	FRANKLIN TEMPLETON	None	None	NONE	
	HARRIS COUNTY FCU	$1,001 - $15,000	INTEREST	$1 - $200	
	MARLIN OIL CORP	$1 - $1,000		NONE	
SP	MORNINGSTAR ADP	$15,001 - $50,000	Other: (Market Value Change)	$201 - $1,000	

SCHEDULE V - LIABILITIES

Name TED POE

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	CHASE MANHATTAN BANK	REVOLVING CHARGE ACCOUNT	$10,001 - $15,000
JT	CHASE MANHATTAN BANK	REVOLVING CHARGE ACCOUNT	$10,001 - $15,000
JT	DISCOVER	REVOLVING CHARGE ACCOUNT	$10,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name TED POE

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure–Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
THE CHILD CENTER, INC	APRIL 18	HOUSTON-ST.LOUIS-HOUSTON	N	Y	N	NONE
NY CRIME VICTIMS BOARD	APR 20-21	HOUSTON-CLEVELAND-ROCHESTER,NY-HOUSTON	Y	Y	N	NONE
HOPE CHAPTER, PARENTS OF MURDERED CHILDREN	APR 21-22	DC-MINNEAPOLIS-DC	Y	N	N	NONE
TEXAS GANG INVESTIGATORS ASSN	JUNE 28	HOUSTON-SAN ANTONIO-HOUSTON	N	N	N	NONE
NY STATE ASSN OF CHIEFS OF POLICE	JUL 16-17	DC-NEWARK-DC	Y	N	N	NONE
FLORIDA BAY COUNTY DOMESTIC VIOLENCE TASK FORCE	OCT 23-24	HOUSTON-PENSACOLA-HOUSTON	Y	N	N	NONE

SCHEDULE VIII - POSITIONS

Name TED POE

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
BOARD MEMBER	NATIONAL CHILDREN'S ALLIANCE
BOARD MEMBER	CHILDREN'S ASSESSMENT CENTER

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A

For use by Members, officers, and employees

Page 1 of

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2007 MAY -4 PM 3:19

(Office Use Only) RECEIVED THE CLERK U.S. HOUSE OF REPRESENTATIVES

The Honorable Earl Pomeroy (Full Name)	
1501 Longworth House Office Building Washington, DC 20515 (Mailing Address)	202-225-2611 (Daytime Telephone)

Filer Status: ☑ Member of the U.S. House of Representatives — State: ND — District: 00 — ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) — ☐ Amendment — ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	E. Pomeroy	5/2/07

4820

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name The Honorable Earl Pomeroy

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	AIG Sun America Mutual Funds, Blue Chip Growth Fund B	$1,001 - $15,000	None	N/A	
DC	AIG Sun America Mutual Funs, Blue Chip Growth Fund B	$1,001 - $15,000	None	N/A	
	Jefferson Pilot Financial Insurance	$1,001 - $15,000	INTEREST	$1 - $200	
	PERS - Fidelity Investments - FiD Diversified Intl	$15,001 - $50,000	None	N/A	
	PERS - Fidelity Investments Fid Blue Chip Growth	$1,001 - $15,000	None	N/A	
	PERS - Fidelity Investments Spartan US Eq Index	$15,001 - $50,000	None	N/A	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name The Honorable Earl Pomeroy

	PERS - Fidelity Investments, Allnz NFJ SmCpVal Ad	$15,001 - $50,000	None	N/A
	Tweedy, Browne Fund Inc., Browne Value Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500
	Tweedy, Browne Fund Inc., Global Value Fund (IRA)	$50,001 - $100,000	DIVIDENDS	$201 - $1,000

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A Page 1 of 7
For use by Members, officers, and employees

HAND DELIVERED
HOUSE RESOURCE CENTER
2007 JUN 14 PM 4:40
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HC

Jon Christopher Porter, Sr
(Full Name)

P.O. Box 26087 Las Vegas, NV 89126
(Mailing Address)

202 225 3252
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: NV District: 03	☐ Officer Or Employee	Employing Office: Congressman Jon Porter
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☑ No ☐
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4 § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		6/14/07

4823

SCHEDULE I - EARNED INCOME

Name Jon Christopher Porter, Sr

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Spread the Word Nevada	Spouse Salary	N/A
2nd Amendments	Misc	$1,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jon Christopher Porter, Sr

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	Jon C. And Laurie B Porter Trust-LLC Real Estate *See Attached	$1,000,001 - $5,000,000	RENT	$50,001 - $100,000	
	Jon Porter LLC's *See Attached	None	RENT	$15,001 - $50,000	
	Farmers New World Life	$100,001 - $250,000	Other: SEP	NONE	
	Farmers New World Life	$1,001 - $15,000	Other: Annunity	NONE	
	Farmers Credit	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Boulder Dam Credit Union	$50,001 - $100,000	INTEREST	$50,001 - $100,000	

4825

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jon Christopher Porter, Sr

	Wright Patman Credit Union	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	Jon Porter For Congress 2000 Race	$100,001 - $250,000	INTEREST	NONE	
	Farmers Insurance Deferred-Chris Porter *See Attached				
	Edward Jones Mutual Funds				
	Growth Fund for AM	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
	Washington Mutual	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Euro-Pacific Growth Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	

SCHEDULE V - LIABILITIES

Name Jon Christopher Porter, Sr

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Boulder Dam Credit Union	See Attached	$250,001 - $500,000
	AMX Centurian Bank	Rental/Expenses/Pers	$15,001 - $50,000
SP	National City Bank	Visa	$10,001 - $15,000

SCHEDULE VIII - POSITIONS

Name Jon Christopher Porter, Sr

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
*See Attached LLC's	

SCHEDULE IX - AGREEMENTS

Name Jon Christopher Porter, Sr

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
1983	Nevada Public Employees Retirement System	Upon Retirement
1983-2003	Farmers Insurance Group	Contract Value-Deferral Basis

June 14, 2007
Jon C Porter
Financial Disclosure Statement

Jon C Porter and Laurie B Porter Trust and LLC's

Real Estate	Value	Type	Income	Loan Amt	Liablilties
1312 A Capri Drive, Boulder City, NV -1312 A Capri Drive, LLC	F	Rent	VI		
1312 C Capri Drive, Boulder City, NV -1312 C Capri Dr, LLC	F	Rent	VI		
1324 A Georgia Ave, Boulder City, NV -1324 A Georgia Ave, LLC	F	Rent	VI	C	Boulder Dam Credit Union
1328 A Georgia Ave, Boulder City, NV -1328 A Georgia, LLC	F	Rent	VI		
1328 B Georgia Ave, Boulder City, NV -1328 B Georgia, LLC	F	Rent	VI		
1328 C Georgia Ave, Boulder City, NV -1328 C Georgia, LLC	F	Rent	VI		
1328 D Georgia Ave, Boulder City, NV -1328 D Georgia Ave, LLC	F	Rent	VI		
779 San Remo Way, Boulder City, NV -779 San Remo, LLC	G	Rent	VI	F	Boulder Dam Credit Union
667 Ave A, Boulder City, NV -667 Ave A, LLC	G	Rent	VII	F	Boulder Dam Credit Union
Totals	**I**	**Rent**	**VIII**	**G**	

All Properties professionally managed

Jon C Porter

Family Real Estate (Sub-Lease Bette Porter)

	Value	Type	Income	Loan Amt	Liabilities
509 Tara Court, LLC			V		
521 Nevada Way, LLC			VI		
527 Nevada Way, LLC			VI		
1310 Wyoming Street, LLC			0		
1309 A Darlene Way, LLC			V		
1309 C Darlene Way, LLC			V		
1309 D Darlene Way, LLC			V		
1311 B Darlene Way, LLC			V		
1311 D Darlene Way, LLC			V		
Totals	**-0-**	**All Rent**	***VII**	**-0-**	**-0-**

*Income reflects gross amount prior to expenses
All properties in Bette Porter family trust. See trust distribution explanation attached
All properties professionally managed

Jon Christopher Porter, Sr.
P.O. Box 26087
Las Vegas, NV 89126

May 14, 2003

Committee on Standards of Official Conduct
B-106 Cannon HOB
Washington, DC 20515-6612

Dear Committee Members:

Please find the enclosed U.S. House of Representatives Financial Disclosure Statement and additional information for the record.

I am a beneficiary of the R&B Porter Trust, a trust agreement by and between my parents Ronald Devere Porter and Bette Ilene Porter. The R&B Porter Trust was divided into two separate trusts by the death of Ronald D. Porter. The two trusts are (1) the Surviving Grantor's Trust and (2) the Unified Credit Equivalent Distribution Trust. The Surviving Grantor's Trust is a revocable trust of which Bette Porter is the trustee and current beneficiary. My interest in the Surviving Grantor's Trust is strictly contingent, similar to that of a child named in his parent's will. The trustee can change or amend the Surviving Grantor's Trust, including changing the named beneficiaries of the trust, as she sees fit. I am one of two contingent beneficiaries of the Surviving Grantor's Trust.

I am also a beneficiary, along with my brother, of the Unified Credit Equivalent Distribution Trust. Neither my brother or I are entitled to the assets of the Unified Equivalent Trust until the death of our mother. This trust, unlike the Surviving Grantor's Trust, is irrevocable.

Laurie Porter is also a named beneficiary in her parent's revocable trust. As both of Laurie Porter's parents are still living, the trust is completely revocable, and her parents can change the beneficiaries at any time without any right of legal recourse by Laurie. Laurie Porter's interests in this revocable trust are strictly contingent, being similar to any child named an heir in their parent's will.

Laurie Porter is also the named beneficiary of the Laurie Beth Porter Irrevocable Trust. The only property held in the Laurie Beth Porter Irrevocable Trust is one policy of insurance issued by Sun Life Insurance Company. The death benefit of the policy is $400,000. Laurie Porter's right to the proceeds of the insurance policy vest on the death of both her parents.

Thank you for this opportunity.

Sincerely,

Jon C. Porter, Sr.

JCP 7/14/04 JCP 5/15/06

JCP 5/16/05 JCP 6/14/07

21 B 21 2640

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 3:17
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
MC

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A
For use by Members, officers, and employees

(Office Use Only)

David E. Price
(Full Name)

2200 N. Lakeshore Drive Chapel Hill, NC 27514
(Mailing Address)

202.225.1784
Daytime Telephone:

Filer Status
☑ Member of the U.S. House of Representatives — State: NC — District: 04
☐ Officer Or Employee — Employing Office:

Report Type
☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☑ No ☐
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	David E. Price	5/15/07

SCHEDULE I - EARNED INCOME

Name David E. Price

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
North Carolinians Against Gun Violence Education Fund	Spouse Salary	N/A
Westview Press	Book Royalties	$3,170.05
North Carolina Local Governmental Employees Retirement System	Spouse Pension	N/A

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package. Employees may request a green envelope from the Clerk or use a plain envelope that is appropriately labeled.

Source	Activity	Date	Amount
Duke University	Appearance	04/30/2006	$250

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David E. Price

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	Metropolitan Life Insurance Policy	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	NW Mutual Life Insurance Policy	$15,001 - $50,000	DISTRIBUTION	$201 - $1,000	
JT	TIAA/CREF Retirement Plan	$500,001 - $1,000,000	Retirement Plan	N/A	
JT	Charlotte - Mecklenburg Hospital Bonds	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
JT	General Motors Acceptance Corp. Bonds	$15,001 - $50,000	INTEREST	$1,001 - $2,500	Matured (part)
JT	NC Community Health Systems Bonds - St. Joseph	$1,001 - $15,000	INTEREST	$201 - $1,000	

JT	NC Eastern Municipal Power Agency Bonds	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	NC Educational Facilities Bonds - Wake Forest University	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	NC Health Facilities Bonds - Duke University	$15,001 - $50,000	INTEREST	$201 - $1,000	
JT	NC Health Facilities Bonds - WakeMed	$15,001 - $50,000	INTEREST	$201 - $1,000	
JT	NC Hospital Bonds - Gaston County	$15,001 - $50,000	INTEREST	$201 - $1,000	
JT	NC Hospital Bonds - Pitt County	$15,001 - $50,000	INTEREST	$201 - $1,000	
JT	Raleigh, NC Municipal Bonds	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	RDU Airport Authority Bonds	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	Smith Barney Bank Deposit Program	$100,001 - $250,000	INTEREST	$2,501 - $5,000	
JT	Wake County, NC Hospital Bonds	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	Winston - Salem Municipal Bonds	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	3M Corporation Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
JT	Air Products & Chemicals Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Alcatel-Lucent Stock	$1,001 - $15,000	DIVIDENDS	NONE	S (part), E (merger)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David E. Price

JT	Alcoa Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Alltel Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	Amgen Stock	$1,001 - $15,000	DIVIDENDS	NONE	P (part)
JT	Apache Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Bank of America Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
JT	BB&T Stock	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
JT	Biovail Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Black & Decker Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Briggs & Stratton Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Caterpillar	$1,001 - $15,000	DIVIDENDS	NONE	P
JT	Chevron Texaco Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
JT	Cisco Systems Stock	$15,001 - $50,000	DIVIDENDS	NONE	
JT	Citigroup Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	Coca-Cola Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David E. Price

JT	Corning, Inc. Stock	$1,001 - $15,000	DIVIDENDS	NONE	S (part)
JT	Devon Energy Stock	$15,001 - $50,000	DIVIDENDS	$1 - $200	
JT	DTE Energy Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	EMC Stock	$1,001 - $15,000	DIVIDENDS	NONE	S (part)
JT	Emerson Electric Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
JT	Energy Transfer Partners Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
JT	Enterprise Products Partners Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
JT	Exxon Mobil Stock	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	S (part)
JT	Fifth Third Bancorp Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	First Data Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P (part)
JT	General Electric Stock	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
JT	Home Depot Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
JT	Intel Corp. Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Jos Bank Clothiers Stock	$1,001 - $15,000	DIVIDENDS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David E. Price

JT	Kimberly - Clark Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Lowes Cos. Stock	$15,001 - $50,000	DIVIDENDS	$1 - $200	P (part)
JT	McDonalds Corp. Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
JT	Motorola Inc. Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Nokia Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	North Fork Bancorp Stock	None	DIVIDENDS	$1 - $200	S
JT	NY Comm. Bancorp Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	Oracle Corp. Stock	$1,001 - $15,000	DIVIDENDS	NONE	
JT	PepsiCo Inc. Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
JT	Plains All-American Pipeline Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
JT	Pool Corporation Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Proctor & Gamble Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	Prudential Financial Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Regions Financial Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

JT	Staples Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	TCF Financial Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	TECO Energy Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	Teva Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Tuesday Morning Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P (part)
JT	Tyco Int'l Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Wells Fargo Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P (part)
JT	Western Union Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	E (spinoff)
JT	Windstream Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	E (spinoff)
JT	Xerox Stock	$1,001 - $15,000	DIVIDENDS	NONE	
JT	XTO Energy Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
JT	Yum Brands Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name David E. Price

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Caterpillar Stock	P	11-29-06	$1,001 - $15,000
JT	Energy Transfer Partners Stock	P	08-14-06	$1,001 - $15,000
JT	Enterprise Products Partners Stock	P	06-01-06	$1,001 - $15,000
JT	Plains All-American Pipeline Stock	P	08-14-06	$1,001 - $15,000
JT	Lowes Cos. Stock	P	01-18-06	$1,001 - $15,000
JT	Tuesday Morning Stock	P	06-01-06	$1,001 - $15,000
JT	Amgen Stock	P	06-01-06	$1,001 - $15,000
JT	Wells Fargo Stock	P	11-29-06	$1,001 - $15,000
JT	First Data Stock	P	12-27-06	$1,001 - $15,000
JT	North Fork Bancorp Stock	S	6-19-06	$1,001 - $15,000
JT	EMC Corp Stock	S	11-29-06	$1,001 - $15,000
JT	Lucent Technologies Stock	S	11-29-06	< $1000

SCHEDULE IV - TRANSACTIONS

Name David E. Price

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Corning, Inc. Stock	S	11-29-06	$1,001 - $15,000
JT	Lowes Cos. Stock	P	6-01-06	$1,001 - $15,000
JT	Exxon Mobil Stock	S	7-06-06	$15,001 - $50,000
JT	Exxon Mobil Stock	S	8-21-06	$1,001 - $15,000
JT	Exxon Mobil Stock	S	11-29-06	$1,001 - $15,000
JT	Exxon Mobile Stock	S	12-27-06	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name David E. Price

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Yale Divinity School	Oct. 10-11	Raleigh-New Haven-Raleigh	Y	Y	Y	None
The Aspen Institute	Aug. 12-18	Raleigh-Krakow, Poland-Raleigh	Y	Y	Y	None

SCHEDULE VIII - POSITIONS

Name David E. Price

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Professor on Leave	Duke University
Advisory Board Member	American Political Science Association, Congressional Fellowship Program
Advisory Council Member	Health Sector Advisory Council, Fuqua School of Business, Duke University
Advisory Board Member	Center for the Study of the American South, UNC-Chapel Hill
Consulting Editor	Current Magazine
Advisory Board Member	Yale University Divinity School
Advisory Board Member	The Aspen Institute-Rodel Fellowship in Public Leadership
Advisory Committee Member	The Aspen Institute-Congressional Program

SCHEDULE IX - AGREEMENTS

Name David E. Price	Page 14 of 14

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
N/A	Duke University	Unpaid Leave of Absence

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 AM 11:00
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A — For use by Members, officers, and employees

Thomas Edmunds Price
(Full Name)

424 Cannon HOB Washington, DC 20515 — 202-225-4501
(Mailing Address) — Daytime Telephone:

Filer Status: ☑ Member of the U.S. House of Representatives — State: GA — District: 06 — ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) — ☐ Amendment — ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Thomas Price	May 14, 2007

4847

SCHEDULE I - EARNED INCOME

Name Thomas Edmunds Price

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Chattahoochee Associates	Spouse Fees	N/A
Fulton County, Georgia	Spouse Fees	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Edmunds Price

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	Wachovia Bank Accounts	$50,001 - $100,000	INTEREST	$2,501 - $5,000	
JT	Bank of North Georgia	$100,001 - $250,000	INTEREST	$15,001 - $50,000	
JT	Wachovia Corporation stock (formerly SouthTrust Bank stock)	$100,001 - $250,000	DIVIDENDS	$5,001 - $15,000	E
SP	BB&T Bank stock (formerly Main Street Bank stock)	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	E
JT	Integrity Bank Shares (Alpharetta, GA)	$250,001 - $500,000	None	NONE	
JT	Morgan Stanley	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500 (CG<1000)	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Edmunds Price

	RMC III (real estate acquisition/investment, Roswell, GA)	$100,001 - $250,000	INTEREST/Limited Partnership	$5,001 - $15,000	
	Diagnostic Ventures Roswell (medical office building, Roswell, GA)	$100,001 - $250,000	INTEREST/Limited Partnership	$15,001 - $50,000	
	North Fulton Professional Building (medical office building, Roswell, GA)	$250,001 - $500,000	INTEREST/Limited Partnership	$15,001 - $50,000	
	Chattahoochee Associates (medical office building, Roswell, GA)	$100,001 - $250,000	INTEREST/Limited Partnership	$5,001 - $15,000	
	Baja Group, LLC (condo construction, Roswell, GA)	$100,001 - $250,000	Limited Partnership	NONE	
	Resurgens, P.C. (medical practice)	$1 - $1,000	Partnership	NONE	
	Emory University Retirement Plan	$1,001 - $15,000	None	NONE	
	Aetna Health Savings/HSA Account (tax-free)	$15,001 - $50,000	INTEREST	$201 - $1,000	
JT	Carolina Properties (North Carolina apartment units)	$50,001 - $100,000	INTEREST/Limited Partnership	$1 - $200	
JT	Public Storage, Inc. shares (formerly Shurgard Income Properties)	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$15,001 - $50,000	PS
JT	A. G. Edwards (see attachment)	$500,001 - $1,000,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$15,001 - $50,000	PS
JT	Minnesota Life Annuities	$500,001 - $1,000,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thomas Edmunds Price

JT	Fidelity GV Capital #3913 (see attachment)	$1,000,001 - $5,000,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$100,001 - $1,000,000	PS
JT	Fidelity GV Capital #4016 (see attachment)	$250,001 - $500,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$15,001 - $50,000	PS
	Fidelity IRA #6610 (see attachment)	$500,001 - $1,000,000	None	NONE	PS
SP	Fidelity IRA #2800, #9584, #6571 (see attachments)	$1,000,001 - $5,000,000	None	NONE	PS
DC	College Fund of Nebraska (529 Fund)	$50,001 - $100,000	INTEREST	$201 - $1,000	P
JT	Real Estate Property - vacant lot, St. Simons, GA	$1,000,001 - $5,000,000	None	NONE	

SCHEDULE IV - TRANSACTIONS

Name Thomas Edmunds Price

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	A. G. Edwards	PS	see attachment	$15,001 - $50,000
	Public Storage, Inc. Shares (formerly Shurgard Income Properties)	PS	8-22-06	$15,001 - $50,000
	Fidelity GV Capital #3913	PS	see attachment	$500,001 - $1,000,000
	Fidelity GV Capital #4016	PS	see attachment	$100,001 - $250,000
	Fidelity IRA #6610	PS	see attachment	$250,001 - $500,000
SP	Fidelity IRA #2800, #9584, #6571	PS	see attachments	$250,001 - $500,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Thomas Edmunds Price | Page 7 of 8

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The Commonwealth Fund	Jan. 12-14	Atlanta-Ft. Lauderdale-Atlanta	Y	Y	N	None
The Heritage Foundation	Jan. 29-31	DC-Baltimore-DC	Y	Y	N	None

SCHEDULE VIII - POSITIONS

Name Thomas Edmunds Price

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Directors	Arthritis Foundation of Georgia
Managing Partner	Chattahoochee Associates (Professional Building)
Delegate	AMA - House of Delegates
Limited Partner	See Schedule III
Board of Trustees	Mount Vernon Presbyterian School

Attachment p.1 AGE 1/4

P. 03

Account #: [redacted]0223 | THOMAS E PRICE & ELIZABETH CLARK-PRICE

[redacted]

EDWARDS.
FULLY INVESTED IN OUR CLIENTS—
Member SIPC A.G. Edwards & Sons, Inc.

FOR THE PERIOD: December 1, 2006 - December 31, 2006

Primary Investment Objective - Aggressive Appreciation

[redacted]

	This Period	Year to Date
Beginning Balance	$143,718.03	
Income	3,460.80	21,828.31
Tax Withheld	-40.97	-174.27
Security Redemptions		20,000.00
Ending Balance	$147,138.86	
Net Change	$3,418.83	

[redacted]

	This Period	Year to Date
Taxable		
Dividends	2,656.13	15,703.28
Interest		497.47
Money Fund	804.77	5,831.46
Total Income	$3,460.80	$21,832.21

[redacted]

Quantity	Description	Symbol	% of Account	Current Price	Current Value	Estimated Annual Income	% Yield	Type
Cash & Money Fund								
	CASH BALANCE				75.28			
	CENTENNIAL MONEY MKT TR*			1.00	147,063.58	6,937	4.71	C
	Total Cash & Money Fund		99.99		$147,138.86	$6,937	4.71	
Equities								
100	ABBOTT LABORATORIES	ABT	0.53	46.71	4,671.00	116	2.42	C
400	ALLSTATE CORP	ALL	2.86	65.11	26,044.00	560	2.15	C
364	ALTRIA GROUP INC	MO	3.68	85.62	32,954.86	1,321	4.01	C
219	AMERICAN INTERNATIONAL GROUP INC	AIG	1.72	71.66	15,693.54	145	0.92	C
1,305	AMGEN INC	AMGN	9.73	68.31	89,144.55			C
953	AQUA AMERICA INC	WTR	2.32	22.78	21,353.74	429	2.02	C
220	AUTOMATIC DATA PROCESSING INC	ADP	1.16	48.25	10,615.00	202	1.86	C
160	BANK OF AMERICA CORP	BAC	0.83	53.39	8,542.40	358	4.19	C
2	BERKSHIRE HATHAWAY INC CLASS B	BRK/B	0.60	3,666.00	7,332.00			C

MAY-11-2007 12:54

4855

Attachment p.2 PAGE 2,

ACCOUNT #: [redacted] | THOMAS S PRICE & ELIZABETH CLARK-PRICE

[redacted]

EDWARDS.
FULLY INVESTED IN OUR CLIENTS.

FOR THE PERIOD: December 1, 2006 - December 31, 2006

[redacted]

	Quantity	Description	Symbol	% of Account	Current Price	Current Value	Estimated Annual Income	% Yield	Type
EQUITIES									
◆	500	BOEING COMPANY	BA	4.86	88.84	44,420.00	700	1.58	C
◆	550	CBL & ASSOC PPTYS INC	CBL	2.61	43.35	23,842.50	1,111	4.66	C
◆	200	COLGATE-PALMOLIVE COMPANY	CL	1.43	65.24	13,048.00	256	1.96	C
◆	500	CONAGRA FOODS INC	CAG	1.48	27.00	13,500.00	360	2.67	C
◆	500	ELAN CORP PLC ADR REPRESENTING SHARES	ELN	0.81	14.75	7,375.00			C
◆	400	EMERSON ELECTRIC COMPANY	EMR	1.93	44.09	17,636.00	420	2.38	C
◆	350	EXELON CORPORATION	EXC	2.37	61.89	21,661.50	616	2.84	C
◆	411	EXXON MOBIL CORP	XOM	3.44	78.63	31,484.93	528	1.67	C
◆	106	FED. HOME LOAN MTG CORP VOTING COMMON STK	FRE	0.80	67.90	7,333.20	216	2.95	C
◆	152	FANNIE MAE VOTING SHARES	FNM	0.99	59.39	9,027.28	168	1.76	C
◆	660	FIRST DATA CORP	FDC	1.53	25.52	14,036.00	66	0.47	C
◆	1,000	FRIEDMAN BILLINGS RAMSEY GROUP INC CL A NEW	FBR	0.87	8.00	8,000.00	200	2.50	C
◆	330	GENERAL ELECTRIC COMPANY	GE	1.34	37.21	12,279.30	370	3.01	C
◆	300	HARLAND JOHN H COMPANY	JH	1.66	50.20	15,060.00	210	1.39	C
◆	636	HOME DEPOT INC	HD	2.36	40.16	21,485.40	482	2.24	C
◆	1,000	HOMEBANC CORP GA	HMB	0.46	4.23	4,730.00	800	16.91	C

Attachment p. 3

AGE

P. 05

Account #: [redacted] | THOMAS E PRICE & ELIZABETH CLARK-PRICE

[redacted]

EDWARDS.
FULLY INVESTED IN OUR CLIENTS'...
Member SIPC A.G. Edwards & Sons, Inc.

For the Period: December 1, 2006 - December 31, 2006

Page 4 of 8

[redacted] continued

	Quantity	Description	Symbol	% of Account	Current Price	Current Value	Estimated Annual Income	% Yield	Type
Equities									
♦	935	INTEL CORP	INTC	2.07	20.25	18,933.75	374	1.98	C
♦	340	JOHNSON & JOHNSON	JNJ	2.45	66.02	22,446.80	510	2.27	C
♦	697	MCDONALDS CORP	MCD	3.36	44.33	30,888.01	697	2.26	C
♦	680	MERCK & COMPANY INC	MRK	3.29	43.60	30,084.00	1,048	3.49	C
♦	220	MONSANTO COMPANY NEW	MON	1.26	52.53	11,556.60	110	0.95	C
♦	142	PEPSICO INC	PEP	0.97	62.55	8,882.10	170	1.91	C
♦	1,082	PFIZER INCORPORATED	PFE	3.01	25.90	27,905.80	1,232	4.49	C
♦	356	PROCTER & GAMBLE COMPANY	PG	2.50	64.27	22,880.12	441	1.93	C
	382	ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHARES	RDS/A	2.98	70.79	27,041.78	935	3.46	C
♦	200	SCHERING PLOUGH CORP	SGP	0.62	23.64	4,728.00	44	0.93	C
♦	650	SONY CORP ADR NEW 7/74	SNE	3.08	42.83	28,287.80	134	0.47	C
♦	200	SUNTRUST BANKS INC	STI	1.85	84.45	16,890.00	488	2.89	C
♦	520	WAL-MART STORES INC	WMT	2.63	46.18	24,013.60	348	1.45	C
♦	550	WESTERN UNION COMPANY	WU	1.35	22.42	12,331.00	6	0.05	C
		Total Equities		83.81		$767,559.75	$10,162	2.11	
		Total Account Value				$814,868.74	$23,888	2.53	

♦ Research Report Available

MAY-11-2007 12:55

4857

EDWARDS
HELP INVESTED IN OUR CLIENTS.

A.G. Edwards & Sons, Inc., One North Jefferson, St. Louis, Mo. 63103
Member SIPC

IMPORTANT TAX DOCUMENT

Account Number [redacted]3 THOMAS E PRICE & Tax Period 01/01/06 to 12/31/06

CAPITAL TRANSACTIONS SUMMARY

SECURITY TRANSACTIONS

DATE	ENTRY	QUANTITY	DESCRIPTION	CUSIP	AMOUNT
01/26	BOUGHT	500	CONAGRA FOODS INC	205887102	10,432.30
05/23	REDEMPTION	20,000	FIRSTBANK PR 6.65 052306	33761KTJ4	20,000.00 *
01/26	BOUGHT	500	HOMEBANC CORP GA	43738R109	4,210.74
01/26	BOUGHT	500	HOMEBANC CORP GA	43738R109	4,210.87
01/26	SOLD	644	SCIENTIFIC ATLANTA INC	808655104	27,191.88 *

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if this income is taxable and the IRS determines that it has not been reported. These amounts are included in the 1099-B totals in Box 2 and represent the total proceeds you will include on your Schedule D and will be compared to your cost or other basis to compute your actual gain or loss.

TOTAL GROSS PROCEEDS FROM SECURITIES - REPORTED 47,191.88 *

*****END OF STATEMENT*****

[redacted]

Attachment p. 4 ACE

Portfolio Statement
As of 12/31/2006
12/29/2006 Prices

Thomas Price & Elizabeth Clark Joint WROS Acct #: [redacted]3913

[redacted]

Description	Quantity	Cost Basis	Current Value
Managed Assets			
Cash			
Fidelity Cash		304,092	304,092
General Bonds			
Fidelity U.S. Bond Index	1,599.475	17,437	17,370
Montgomery Short Duration	27,082.409	267,659	267,574
		285,096	284,945
Large Cap Value Stocks			
DWS Dreman High Return	2,541.898	119,483	130,298
Home Depot Inc	597	0	23,976
Hotchkis & Wiley Large Cap Value	2,132.795	48,980	53,768
Oakmark I	8,545.918	227,558	392,429
		396,021	600,470
Large Cap Growth Stocks			
Marsico Focus	10,743.881	183,506	207,249
Van Kampen Select Growth B	4,000	0	21,640
		183,506	228,889
Small/Mid Cap Growth Stocks			
Navellier Mid Cap Growth	6,191.349	127,813	191,498
International Stocks			
Fidelity Diversified International	929.535	27,735	34,346
First Eagle SoGen Overseas A	12,295.385	178,141	308,368
		205,877	342,715
Emerging Market Stocks			
Oppenheimer Developing Markets	2.728	110	112
Templeton Developing Markets	403.019	7,979	11,397
		8,089	11,510
Commodities			
Pimco Commodity Real Return	3,433.162	52,601	47,687
		1,563,094	2,011,805

Attachment P.S.

Equity 3913-1/5

Portfolio Statement
As of 12/31/2006
12/29/2006 Prices

Thomas Price & Elizabeth Clark Joint WROS Acct #[redacted]3913

Description	Quantity	Cost Basis	Current Value
Unmanaged Assets			
Small/Mid Cap Growth Stocks			
United Surgical Partners Intl Inc	6,053	0	171,603
		0	171,603
		1,563,094	2,183,407

Attachment p.6 Hearing 5713

Attachment p.7 Fidelity #3913

Transaction Ledger Report
From 01/01/2006 to 12/31/2006

Thomas Price & Elizabeth Clark Joint WROS Acct #: [redacted]3913

[redacted]

Trade Date	Portfolio Description	Portfolio Account Number	Security Symbol	Activity	Quantity	Net Amount
01/31/2006	Clark, Thomas Price & El	[redacted]3913	FCASH	Interest		457.05
01/31/2006	Clark, Thomas Price & El	[redacted]3913	FBIDX	Dividend	31.044	337.45
01/31/2006	Clark, Thomas Price & El	[redacted]3913	MNSGX	Dividend	38.51	380.48
02/23/2006	Clark, Thomas Price & El	[redacted]3913	OBPOX	Sell	(6,763.031)	114,768.64
02/24/2006	Clark, Thomas Price & El	[redacted]3913	CASH	Money Transfer		(114,768.64)
02/24/2006	Clark, Thomas Price & El	[redacted]3913	KDHSX	Buy	2,446.571	(114,768.64)
02/27/2006	Clark, Thomas Price & El	[redacted]3913	FCASH	Money Transfer		(114,768.64)
02/28/2006	Clark, Thomas Price & El	[redacted]3913	FCASH	Interest		458.63
02/28/2006	Clark, Thomas Price & El	[redacted]3913	FBIDX	Dividend	28.528	309.81
02/28/2006	Clark, Thomas Price & El	[redacted]3913	MNSGX	Dividend	38.729	382.26
03/01/2006	Clark, Thomas Price & El	[redacted]3913	TEDMX	Sell	(3.836)	100.00
03/02/2006	Clark, Thomas Price & El	[redacted]3913	CASH	Money Transfer		(100.00)
03/02/2006	Clark, Thomas Price & El	[redacted]3913	ODMAX	Buy	2.472	(100.00)
03/03/2006	Clark, Thomas Price & El	[redacted]3913	FCASH	Money Transfer		(100.00)
03/07/2006	Clark, Thomas Price & El	[redacted]3913	TEDMX	Dividend	2.265	58.53
03/17/2006	Clark, Thomas Price & El	[redacted]3913	FCASH	Money Transfer		(3,134.00)
03/17/2006	Clark, Thomas Price & El	[redacted]3913		Management Fee		(3,134.00)
03/23/2006	Clark, Thomas Price & El	[redacted]3913	CASH	Money Transfer		(89.55)
03/23/2006	Clark, Thomas Price & El	[redacted]3913	HD	Dividend		89.55
03/27/2006	Clark, Thomas Price & El	[redacted]3913	KDHSX	Dividend	9.484	446.01
03/31/2006	Clark, Thomas Price & El	[redacted]3913	FCASH	Interest		497.61
03/31/2006	Clark, Thomas Price & El	[redacted]3913	FBIDX	Dividend	32.401	347.34
03/31/2006	Clark, Thomas Price & El	[redacted]3913	MNSGX	Dividend	42.455	417.76
04/28/2006	Clark, Thomas Price & El	[redacted]3913	FCASH	Interest		510.30
04/28/2006	Clark, Thomas Price & El	[redacted]3913	FBIDX	Dividend	31.351	334.52
04/28/2006	Clark, Thomas Price & El	[redacted]3913	MNSGX	Dividend	37.28	367.21
05/24/2006	Clark, Thomas Price & El	[redacted]3913	USPI	Transfer of Securities	(33)	
05/31/2006	Clark, Thomas Price & El	[redacted]3913	FCASH	Interest		550.69
05/31/2006	Clark, Thomas Price & El	[redacted]3913	FBIDX	Dividend	32.709	347.04
05/31/2006	Clark, Thomas Price & El	[redacted]3913	MNSGX	Dividend	41.521	407.74
06/16/2006	Clark, Thomas Price & El	[redacted]3913	FCASH	Money Transfer		(3,148.00)
06/16/2006	Clark, Thomas Price & El	[redacted]3913		Management Fee		(3,148.00)
06/19/2006	Clark, Thomas Price & El	[redacted]3913	HWLAX	Buy	1,592.847	(36,364.69)
06/19/2006	Clark, Thomas Price & El	[redacted]3913	MFOCX	Buy	4,164.697	(73,048.78)
06/19/2006	Clark, Thomas Price & El	[redacted]3913	MNSGX	Buy	14,339.904	(140,531.06)
06/19/2006	Clark, Thomas Price & El	[redacted]3913	NPMDX	Buy	180.231	(5,201.47)
06/19/2006	Clark, Thomas Price & El	[redacted]3913	PCRRX	Buy	2,414.211	(33,992.09)
06/19/2006	Clark, Thomas Price & El	[redacted]3913	FBIDX	Sell	(6,846.705)	72,438.14
06/19/2006	Clark, Thomas Price & El	[redacted]3913	TAREX	Sell	(8,794.492)	268,407.90
06/20/2006	Clark, Thomas Price & El	[redacted]3913	CASH	Money Transfer		(51,707.95)
06/22/2006	Clark, Thomas Price & El	[redacted]3913	CASH	Money Transfer		(89.55)
06/22/2006	Clark, Thomas Price & El	[redacted]3913	HD	Dividend		89.55
06/22/2006	Clark, Thomas Price & El	[redacted]3913	PCRRX	Dividend	16.202	226.67
06/23/2006	Clark, Thomas Price & El	[redacted]3913	CASH	Deposit		81,900.00
06/23/2006	Clark, Thomas Price & El	[redacted]3913	CASH	Money Transfer		(81,900.00)
06/26/2006	Clark, Thomas Price & El	[redacted]3913	KDHSX	Dividend	10.884	494.90

Attachment p.8 Fidelity #3913 Page 4/5

Transaction Ledger Report
From 01/01/2006 to 12/31/2006

Thomas Price & Elizabeth Clark Joint WROS Acct # [redacted]3913

Trade Date	Portfolio Description	Portfolio Account Number	Security Symbol	Activity	Quantity	Net Amount
06/30/2006	Clark, Thomas Price & El	[redacted]3913	FCASH	Interest		640.20
06/30/2006	Clark, Thomas Price & El	[redacted]3913	FBIDX	Dividend	22.416	237.39
06/30/2006	Clark, Thomas Price & El	[redacted]3913	MNSGX	Dividend	64.305	630.19
07/14/2006	Clark, Thomas Price & El	[redacted]3913	HWLAX	Dividend	10.16	227.39
07/31/2006	Clark, Thomas Price & El	[redacted]3913	FCASH	Interest		1,055.70
07/31/2006	Clark, Thomas Price & El	[redacted]3913	FBIDX	Dividend	6.167	65.99
07/31/2006	Clark, Thomas Price & El	[redacted]3913	MNSGX	Dividend	88.881	873.70
08/31/2006	Clark, Thomas Price & El	[redacted]3913	FCASH	Interest		1,059.51
08/31/2006	Clark, Thomas Price & El	[redacted]3913	FBIDX	Dividend	6.221	67.31
08/31/2006	Clark, Thomas Price & El	[redacted]3913	MNSGX	Dividend	97.662	963.92
09/19/2006	Clark, Thomas Price & El	[redacted]3913	FCASH	Money Transfer		(3,301.00)
09/19/2006	Clark, Thomas Price & El	[redacted]3913		Management Fee		(3,301.00)
09/21/2006	Clark, Thomas Price & El	[redacted]3913	CASH	Money Transfer		(89.55)
09/21/2006	Clark, Thomas Price & El	[redacted]3913	HD	Dividend		89.55
09/21/2006	Clark, Thomas Price & El	[redacted]3913	PCRRX	Dividend	10.928	149.49
09/25/2006	Clark, Thomas Price & El	[redacted]3913	KDHSX	Dividend	10.292	500.79
09/29/2006	Clark, Thomas Price & El	[redacted]3913	FCASH	Interest		1,024.91
09/29/2006	Clark, Thomas Price & El	[redacted]3913	FBIDX	Dividend	5.984	65.05
09/29/2006	Clark, Thomas Price & El	[redacted]3913	MNSGX	Dividend	98.746	976.60
10/25/2006	Clark, Thomas Price & El	[redacted]3913	PCRRX	Sell	(3,538.143)	51,409.22
10/26/2006	Clark, Thomas Price & El	[redacted]3913	CASH	Money Transfer		(51,409.22)
10/31/2006	Clark, Thomas Price & El	[redacted]3913	FCASH	Interest		1,084.27
10/31/2006	Clark, Thomas Price & El	[redacted]3913	FBIDX	Dividend	6.289	68.49
10/31/2006	Clark, Thomas Price & El	[redacted]3913	MNSGX	Dividend	99.073	979.83
11/16/2006	Clark, Thomas Price & El	[redacted]3913	KDHSX	Long Gain	46.559	2,340.98
11/30/2006	Clark, Thomas Price & El	[redacted]3913	FCASH	Money Transfer		(51,409.22)
11/30/2006	Clark, Thomas Price & El	[redacted]3913	PCRRX	Buy	3,346.954	(51,409.22)
11/30/2006	Clark, Thomas Price & El	[redacted]3913	FCASH	Interest		1,197.92
11/30/2006	Clark, Thomas Price & El	[redacted]3913	FBIDX	Dividend	6.07	66.65
11/30/2006	Clark, Thomas Price & El	[redacted]3913	MNSGX	Dividend	102.881	1,019.55
12/08/2006	Clark, Thomas Price & El	[redacted]3913	FDIVX	Short Gain	0.474	17.23
12/08/2006	Clark, Thomas Price & El	[redacted]3913	FDIVX	Dividend	8.53	310.15
12/08/2006	Clark, Thomas Price & El	[redacted]3913	FDIVX	Long Gain	58.999	2,145.21
12/11/2006	Clark, Thomas Price & El	[redacted]3913	ODMAX	Dividend	0.031	1.25
12/11/2006	Clark, Thomas Price & El	[redacted]3913	ODMAX	Short Gain	0.052	2.09
12/11/2006	Clark, Thomas Price & El	[redacted]3913	ODMAX	Long Gain	0.173	6.93
12/12/2006	Clark, Thomas Price & El	[redacted]3913	USPI	Receipt of Securities	19	
12/12/2006	Clark, Thomas Price & El	[redacted]3913	USPI	Receipt of Securities	19	
12/12/2006	Clark, Thomas Price & El	[redacted]3913	USPI	Transfer of Securities	(220)	
12/12/2006	Clark, Thomas Price & El	[redacted]3913	USPI	Transfer of Securities	(37)	
12/12/2006	Clark, Thomas Price & El	[redacted]3913	USPI	Transfer of Securities	(19)	
12/12/2006	Clark, Thomas Price & El	[redacted]3913	USPI	Transfer of Securities	(19)	
12/12/2006	Clark, Thomas Price & El	[redacted]3913	USPI	Transfer of Securities	(19)	
12/12/2006	Clark, Thomas Price & El	[redacted]3913	USPI	Transfer of Securities	(19)	
12/12/2006	Clark, Thomas Price & El	[redacted]3913	USPI	Transfer of Securities	(19)	
12/12/2006	Clark, Thomas Price & El	[redacted]3913	USPI	Transfer of Securities	(19)	
12/12/2006	Clark, Thomas Price & El	[redacted]3913	USPI	Transfer of Securities	(19)	

4862

Attachment p.9 Fidelity #3913 Page 5 of 5

Transaction Ledger Report
From 01/01/2006 to 12/31/2006

Thomas Price & Elizabeth Clark Joint WROS Acct # [redacted]3913

Trade Date	Portfolio Description	Portfolio Account Number	Security Symbol	Activity	Quantity	Net Amount
12/12/2006	Clark, Thomas Price & El	[redacted]3913	USPI	Transfer of Securities	(19)	
12/12/2006	Clark, Thomas Price & El	[redacted]3913	USPI	Transfer of Securities	(19)	
12/12/2006	Clark, Thomas Price & El	[redacted]3913	USPI	Transfer of Securities	(37)	
12/12/2006	Clark, Thomas Price & El	[redacted]3913	USPI	Transfer of Securities	(19)	
12/13/2006	Clark, Thomas Price & El	[redacted]3913	FCASH	Money Transfer		(3,429.00)
12/13/2006	Clark, Thomas Price & El	[redacted]3913		Management Fee		(3,429.00)
12/14/2006	Clark, Thomas Price & El	[redacted]3913	CASH	Money Transfer		(134.33)
12/14/2006	Clark, Thomas Price & El	[redacted]3913	SGOVX	Short Gain	40.452	1,006.86
12/14/2006	Clark, Thomas Price & El	[redacted]3913	SGOVX	Dividend	383.42	9,543.33
12/14/2006	Clark, Thomas Price & El	[redacted]3913	SGOVX	Long Gain	927.331	23,081.28
12/14/2006	Clark, Thomas Price & El	[redacted]3913	HD	Dividend		134.33
12/14/2006	Clark, Thomas Price & El	[redacted]3913	OAKMX	Dividend	76.385	3,511.41
12/14/2006	Clark, Thomas Price & El	[redacted]3913	OAKMX	Long Gain	352.543	16,206.38
12/15/2006	Clark, Thomas Price & El	[redacted]3913	MFOCX	Dividend	11.187	213.67
12/15/2006	Clark, Thomas Price & El	[redacted]3913	MFOCX	Long Gain	258.83	4,943.65
12/18/2006	Clark, Thomas Price & El	[redacted]3913	KDHSX	Dividend	18.108	932.04
12/19/2006	Clark, Thomas Price & El	[redacted]3913	TEDMX	Dividend	5.215	144.05
12/19/2006	Clark, Thomas Price & El	[redacted]3913	TEDMX	Long Gain	16.215	447.87
12/19/2006	Clark, Thomas Price & El	[redacted]3913	USPI	Transfer of Securities	(19)	
12/19/2006	Clark, Thomas Price & El	[redacted]3913	USPI	Transfer of Securities	(19)	
12/27/2006	Clark, Thomas Price & El	[redacted]3913	PCRRX	Dividend	75.28	1,041.88
12/28/2006	Clark, Thomas Price & El	[redacted]3913	HWLAX	Short Gain	1.186	30.03
12/28/2006	Clark, Thomas Price & El	[redacted]3913	HWLAX	Dividend	9.391	237.77
12/28/2006	Clark, Thomas Price & El	[redacted]3913	HWLAX	Long Gain	80.601	2,040.82
12/28/2006	Clark, Thomas Price & El	[redacted]3913	USPI	Transfer of Securities	(19)	
12/29/2006	Clark, Thomas Price & El	[redacted]3913	FCASH	Interest		1,074.13
12/29/2006	Clark, Thomas Price & El	[redacted]3913	FBIDX	Dividend	6.305	68.47
12/29/2006	Clark, Thomas Price & El	[redacted]3913	MNSGX	Dividend	104.587	1,033.32
12/29/2006	Clark, Thomas Price & El	[redacted]3913	NPMDX	Dividend	12.285	377.16
						(267,534.90)

4863

Portfolio Statement
As of 12/31/2006
12/29/2006 Prices

Thomas Price & Elizabeth Clark NONBILLABLE - Joint WROS Acct #: [redacted]4016

[redacted]

Description	Quantity	Cost Basis	Current Value
Cash			
Fidelity Cash		28,568	28,568
Large Cap Value Stocks			
MBIA Inc	250	8,556	18,265
Pfizer Inc	400	9,800	10,360
		18,356	28,625
Small/Mid Cap Value Stocks			
Agrium Inc	675	8,368	21,256
American Power Conversion Corporati	400	5,998	12,236
Analogic Corp	250	8,800	14,035
Arch Cap Group Ltd.	350	5,338	23,664
AVX Corporation	700	8,655	10,353
Bel Fuse Inc	400	7,444	13,916
Brookline Bancorp	1,150	16,770	15,146
Capital Southwest Corporation	75	4,388	9,468
Electro Scientific Inds Inc	675	11,659	13,595
Electronics for Imaging	825	13,813	21,929
Encana Corp	500	10,125	22,975
Hutchison Whampoa Ltd Adr	450	14,123	22,869
JAKKS Pacific Inc	800	13,400	17,472
Leapfrog Enterprisesinc Cl A	650	8,610	6,162
Legg Mason, Inc.	237	7,872	22,527
Millea Hldgs Inc Adr	812	15,030	29,126
Newalliance Bancshares Inc	1,300	18,470	21,320
Radian Group	260	7,608	14,017
St. Joe Company	325	12,184	17,410
Sycamore Technologies	2,575	10,480	9,682
Tellabs Incorporated	1,050	6,500	10,773
		215,634	349,929
Small/Mid Cap Growth Stocks			
Parexel International Corp.	475	4,379	13,761
		266,937	420,884

Attachment p. 10 Pricing #401k p. 1

Attachment p.11 Fidelity 401

Transaction Ledger Report

From 01/01/2006 to 12/31/2006

Thomas Price & Elizabeth Clark NONBILLABLE - Joint WROS Acct #[redacted]4016

[redacted]

Trade Date	Portfolio Description	Portfolio Account Number	Security Symbol	Activity	Quantity	Net Amount
01/03/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(67 66)
01/03/2006	Clark, Thomas Price & El	[redacted]4016	ALOG	Dividend		25.00
01/03/2006	Clark, Thomas Price & El	[redacted]4016	LM	Dividend		42.66
01/12/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(31.56)
01/12/2006	Clark, Thomas Price & El	[redacted]4016	AGU	Dividend		37.13
01/12/2006	Clark, Thomas Price & El	[redacted]4016		Other Expense		(5.57)
01/17/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(70.00)
01/17/2006	Clark, Thomas Price & El	[redacted]4016	MBI	Dividend		70.00
01/20/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(108.00)
01/20/2006	Clark, Thomas Price & El	[redacted]4016	SUP	Dividend		108.00
01/27/2006	Clark, Thomas Price & El	[redacted]4016	FCASH	Money Transfer		(1,227.18)
01/27/2006	Clark, Thomas Price & El	[redacted]4016		Management Fee		(1,227.18)
01/31/2006	Clark, Thomas Price & El	[redacted]4016	FCASH	Interest		35 21
02/02/2006	Clark, Thomas Price & El	[redacted]4016	BELFB	Dividend		20 00
02/14/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(20.00)
02/15/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(327.75)
02/15/2006	Clark, Thomas Price & El	[redacted]4016	BRKL	Dividend		97 75
02/15/2006	Clark, Thomas Price & El	[redacted]4016	BRKL	Dividend		230.00
02/15/2006	Clark, Thomas Price & El	[redacted]4016	PRXL	Sell	(225)	5,290 59
02/20/2006	Clark, Thomas Price & El	[redacted]4016	NAL	Dividend		71.50
02/21/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(5,362.09)
02/24/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(26.25)
02/24/2006	Clark, Thomas Price & El	[redacted]4016	AVX	Dividend		26.25
02/28/2006	Clark, Thomas Price & El	[redacted]4016	FCASH	Interest		35 84
03/15/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(40 00)
03/15/2006	Clark, Thomas Price & El	[redacted]4016	APCC	Dividend		40 00
03/17/2006	Clark, Thomas Price & El	[redacted]4016	FCASH	Money Transfer		(895 00)
03/17/2006	Clark, Thomas Price & El	[redacted]4016		Management Fee		(895.00)
03/23/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(9.70)
03/23/2006	Clark, Thomas Price & El	[redacted]4016	RDN	Dividend		9.70
03/31/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(90 25)
03/31/2006	Clark, Thomas Price & El	[redacted]4016	ECA	Dividend		45.00
03/31/2006	Clark, Thomas Price & El	[redacted]4016	FCASH	Interest		50.94
03/31/2006	Clark, Thomas Price & El	[redacted]4016	JOE	Dividend		52 00
03/31/2006	Clark, Thomas Price & El	[redacted]4016		Other Expense		(6.75)
04/04/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(25.00)
04/04/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(25.00)
04/04/2006	Clark, Thomas Price & El	[redacted]4016	ALOG	Dividend		25.00
04/10/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(42.66)
04/10/2006	Clark, Thomas Price & El	[redacted]4016	LM	Dividend		42.66
04/17/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(77.50)
04/17/2006	Clark, Thomas Price & El	[redacted]4016	MBI	Dividend		77.50
04/21/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(108 00)
04/21/2006	Clark, Thomas Price & El	[redacted]4016	SUP	Dividend		108.00
04/25/2006	Clark, Thomas Price & El	[redacted]4016	FCASH	Money Transfer		(25.00)

Attachment P. 12

Plaintiff # 401B Page 3 of 5

Transaction Ledger Report

From 01/01/2006 to 12/31/2006

Thomas Price & Elizabeth Clark NONBILLABLE - Joint WROS Acct # [redacted]4016

Trade Date	Portfolio Description	Portfolio Account Number	Security Symbol	Activity	Quantity	Net Amount
04/28/2006	Clark, Thomas Price & El	[redacted]4016	FCASH	Interest		51.97
05/01/2006	Clark, Thomas Price & El	[redacted]4016	FCASH	Money Transfer		(1,283.02)
05/01/2006	Clark, Thomas Price & El	[redacted]4016	BELFB	Dividend		20.00
05/01/2006	Clark, Thomas Price & El	[redacted]4016		Management Fee		(1,303.02)
05/15/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(175.75)
05/15/2006	Clark, Thomas Price & El	[redacted]4016	BRKL	Dividend		97.75
05/15/2006	Clark, Thomas Price & El	[redacted]4016	NAL	Dividend		78.00
05/17/2006	Clark, Thomas Price & El	[redacted]4016	PFE	Buy	400	(9,800.00)
05/19/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(26.25)
05/19/2006	Clark, Thomas Price & El	[redacted]4016	AVX	Dividend		26.25
05/22/2006	Clark, Thomas Price & El	[redacted]4016	FCASH	Money Transfer		(9,800.00)
05/31/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(40.00)
05/31/2006	Clark, Thomas Price & El	[redacted]4016	CSWC	Dividend		40.00
05/31/2006	Clark, Thomas Price & El	[redacted]4016	FCASH	Interest		44.15
06/02/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(451.29)
06/02/2006	Clark, Thomas Price & El	[redacted]4016	HUWHY	Dividend		451.29
06/14/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(40.00)
06/14/2006	Clark, Thomas Price & El	[redacted]4016	APCC	Dividend		40.00
06/16/2006	Clark, Thomas Price & El	[redacted]4016	FCASH	Money Transfer		(839.00)
06/16/2006	Clark, Thomas Price & El	[redacted]4016		Management Fee		(839.00)
06/20/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(9.70)
06/20/2006	Clark, Thomas Price & El	[redacted]4016	RDN	Dividend		9.70
06/20/2006	Clark, Thomas Price & El	[redacted]4016	ACGL	Sell	(450)	25,910.19
06/20/2006	Clark, Thomas Price & El	[redacted]4016	CSWC	Sell	(25)	2,437.67
06/20/2006	Clark, Thomas Price & El	[redacted]4016	CMOS	Sell	(1,550)	5,889.80
06/20/2006	Clark, Thomas Price & El	[redacted]4016	ECA	Sell	(100)	4,692.05
06/20/2006	Clark, Thomas Price & El	[redacted]4016	HUWHY	Sell	(125)	5,543.57
06/20/2006	Clark, Thomas Price & El	[redacted]4016	RDN	Sell	(225)	13,432.10
06/20/2006	Clark, Thomas Price & El	[redacted]4016	SUP	Sell	(175)	3,193.65
06/20/2006	Clark, Thomas Price & El	[redacted]4016	SUP	Sell	(100)	1,823.94
06/20/2006	Clark, Thomas Price & El	[redacted]4016	SUP	Sell	(400)	7,283.77
06/20/2006	Clark, Thomas Price & El	[redacted]4016	SCMR	Sell	(1,000)	3,939.87
06/20/2006	Clark, Thomas Price & El	[redacted]4016	TLAB	Sell	(250)	3,594.88
06/23/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Withdrawal		(81,900.00)
06/23/2006	Clark, Thomas Price & El	[redacted]4016	FCASH	Money Transfer		(4,158.51)
06/30/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(103.00)
06/30/2006	Clark, Thomas Price & El	[redacted]4016	ECA	Dividend		60.00
06/30/2006	Clark, Thomas Price & El	[redacted]4016	FCASH	Interest		20.55
06/30/2006	Clark, Thomas Price & El	[redacted]4016	JOB	Dividend		52.00
06/30/2006	Clark, Thomas Price & El	[redacted]4016		Other Expense		(9.00)
07/03/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(67.66)
07/03/2006	Clark, Thomas Price & El	[redacted]4016	ALOG	Dividend		25.00
07/03/2006	Clark, Thomas Price & El	[redacted]4016	LM	Dividend		42.66
07/06/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(31.56)
07/06/2006	Clark, Thomas Price & El	[redacted]4016	AGU	Dividend		37.13
07/06/2006	Clark, Thomas Price & El	[redacted]4016		Other Expense		(5.57)
07/07/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(194.58)
07/07/2006	Clark, Thomas Price & El	[redacted]4016	MLEA	Dividend		209.23

Attachment p.13

Fidelity #4016 Page 4/5

Transaction Ledger Report

From 01/01/2006 to 12/31/2006

Thomas Price & Elizabeth Clark NONBILLABLE - Joint WROS Acct # [redacted]4016

Trade Date	Portfolio Description	Portfolio Account Number	Security Symbol	Activity	Quantity	Net Amount
07/07/2006	Clark, Thomas Price & El	[redacted]4016		Other Expense		(14.65)
07/12/2006	Clark, Thomas Price & El	[redacted]4016	FCASH	Money Transfer		(980.94)
07/12/2006	Clark, Thomas Price & El	[redacted]4016		Management Fee		(980.94)
07/17/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(77.50)
07/17/2006	Clark, Thomas Price & El	[redacted]4016	MBI	Dividend		77.50
07/31/2006	Clark, Thomas Price & El	[redacted]4016	FCASH	Interest		9.06
08/01/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(20.00)
08/01/2006	Clark, Thomas Price & El	[redacted]4016	BELFB	Dividend		20.00
08/14/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(104.25)
08/14/2006	Clark, Thomas Price & El	[redacted]4016	AVX	Dividend		26.25
08/14/2006	Clark, Thomas Price & El	[redacted]4016	NAL	Dividend		78.00
08/15/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(327.75)
08/15/2006	Clark, Thomas Price & El	[redacted]4016	BRKL	Dividend		97.75
08/15/2006	Clark, Thomas Price & El	[redacted]4016	BRKL	Dividend		230.00
08/31/2006	Clark, Thomas Price & El	[redacted]4016	FCASH	Interest		9.05
09/05/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(96.00)
09/05/2006	Clark, Thomas Price & El	[redacted]4016	PFE	Dividend		96.00
09/13/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(40.00)
09/13/2006	Clark, Thomas Price & El	[redacted]4016	APCC	Dividend		40.00
09/19/2006	Clark, Thomas Price & El	[redacted]4016	FCASH	Money Transfer		(682.80)
09/19/2006	Clark, Thomas Price & El	[redacted]4016	RDN	Dividend		5.20
09/19/2006	Clark, Thomas Price & El	[redacted]4016		Management Fee		(688.00)
09/20/2006	Clark, Thomas Price & El	[redacted]4016	PRXL	Sell	(125)	4,293.75
09/25/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(4,293.75)
09/29/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(94.50)
09/29/2006	Clark, Thomas Price & El	[redacted]4016	ECA	Dividend		50.00
09/29/2006	Clark, Thomas Price & El	[redacted]4016	FCASH	Interest		11.27
09/29/2006	Clark, Thomas Price & El	[redacted]4016	JOE	Dividend		52.00
09/29/2006	Clark, Thomas Price & El	[redacted]4016		Foreign Tax Paid		(7.50)
10/13/2006	Clark, Thomas Price & El	[redacted]4016	MLEA	Split	487.5	
10/16/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(127.27)
10/16/2006	Clark, Thomas Price & El	[redacted]4016	LM	Dividend		49.77
10/16/2006	Clark, Thomas Price & El	[redacted]4016	MBI	Dividend		77.50
10/18/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(171.93)
10/18/2006	Clark, Thomas Price & El	[redacted]4016	ALOG	Dividend		25.00
10/18/2006	Clark, Thomas Price & El	[redacted]4016	HUWHY	Dividend		146.93
10/19/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(19.06)
10/19/2006	Clark, Thomas Price & El	[redacted]4016	MLEA	Sell	(0.5)	19.06
10/25/2006	Clark, Thomas Price & El	[redacted]4016	FCASH	Money Transfer		(1,005.24)
10/25/2006	Clark, Thomas Price & El	[redacted]4016		Management Fee		(1,005.24)
10/31/2006	Clark, Thomas Price & El	[redacted]4016	FCASH	Interest		22.35
11/01/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(20.00)
11/01/2006	Clark, Thomas Price & El	[redacted]4016	BELFB	Dividend		20.00
11/13/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(26.25)
11/13/2006	Clark, Thomas Price & El	[redacted]4016	AVX	Dividend		26.25
11/15/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(97.75)
11/15/2006	Clark, Thomas Price & El	[redacted]4016	BRKL	Dividend		97.75
11/20/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(78.00)

Attachment p.14

Fidelity #4016

Transaction Ledger Report

From 01/01/2006 to 12/31/2006

Thomas Price & Elizabeth Clark NONBILLABLE - Joint WROS Acct # [redacted]4016

Trade Date	Portfolio Description	Portfolio Account Number	Security Symbol	Activity	Quantity	Net Amount
11/20/2006	Clark, Thomas Price & El	[redacted]4016	NAL	Dividend		78.00
11/20/2006	Clark, Thomas Price & El	[redacted]4016	CCRN	Sell	(375)	7,762.64
11/24/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(7,762.64)
11/30/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(70.00)
11/30/2006	Clark, Thomas Price & El	[redacted]4016	CSWC	Dividend		70.00
11/30/2006	Clark, Thomas Price & El	[redacted]4016	FCASH	Interest		23.52
12/05/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(96.00)
12/05/2006	Clark, Thomas Price & El	[redacted]4016	FPE	Dividend		96.00
12/08/2006	Clark, Thomas Price & El	[redacted]4016	CSWC	Sell	(100)	14,669.21
12/13/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(13,989.21)
12/13/2006	Clark, Thomas Price & El	[redacted]4016	APCC	Dividend		40.00
12/13/2006	Clark, Thomas Price & El	[redacted]4016		Management Fee		(720.00)
12/18/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(96.69)
12/18/2006	Clark, Thomas Price & El	[redacted]4016	MLEA	Dividend		103.97
12/18/2006	Clark, Thomas Price & El	[redacted]4016		Other Expense		(7.28)
12/28/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(5.20)
12/28/2006	Clark, Thomas Price & El	[redacted]4016	RDN	Dividend		5.20
12/29/2006	Clark, Thomas Price & El	[redacted]4016	CASH	Money Transfer		(94.50)
12/29/2006	Clark, Thomas Price & El	[redacted]4016	ECA	Dividend		50.00
12/29/2006	Clark, Thomas Price & El	[redacted]4016	FCASH	Interest		77.59
12/29/2006	Clark, Thomas Price & El	[redacted]4016	JOE	Dividend		52.00
12/29/2006	Clark, Thomas Price & El	[redacted]4016		Foreign Tax Paid		(7.50)
						(41,401.88)

Portfolio Statement
As of 12/31/2006
12/29/2006 Prices

Thomas Price IRA Acct #: [redacted]6610

[redacted]

Description	Quantity	Cost Basis	Current Value
Cash			
Cash		1,193	1,193
Fidelity Cash Reserves		67,632	67,632
		68,826	68,826
General Bonds			
Fidelity U.S. Bond Index	2,070.038	22,791	22,481
Montgomery Short Duration	30,488.032	306,096	301,222
		328,887	323,702
Large Cap Value Stocks			
Dreyfus Premier Intrisc	1,218.657	26,420	28,638
Small/Mid Cap Value Stocks			
Ivy Value Cl A	0.001	0	0
Large Cap Growth Stocks			
Marsico Focus	9,647.4	174,115	186,098
Small/Mid Cap Growth Stocks			
Navellier Mid Cap Growth	418.064	10,280	12,931
International Stocks			
Fidelity Diversified International	3,953.581	102,347	146,085
Emerging Market Stocks			
Oppenheimer Developing Markets	2.472	100	102
Templeton Developing Markets	3,151.764	60,793	89,132
		60,893	89,234
Commodities			
Pimco Commodity Real Return	9,916.17	153,495	137,736
		925,263	993,250

Attachment p. 15

P. Price IRA #6610 p. 1

Attachment p.12 Fidelity IRA #6610 Page 2/4

Transaction Ledger Report

From 01/01/2006 to 12/31/2006

Thomas Price IRA Acct # [redacted]6610

[redacted]

Trade Date	Portfolio Description	Portfolio Account Number	Security Symbol	Activity	Quantity	Net Amount
01/03/2006	Price, Thomas	[redacted]6610	CASH	Money Transfer		(344.60)
01/05/2006	Price, Thomas	[redacted]6610	CASH	Money Transfer		(631.71)
01/31/2006	Price, Thomas	[redacted]6610	CASH	Money Transfer		(462.31)
01/31/2006	Price, Thomas	[redacted]6610	FDRXX	Dividend		345.03
01/31/2006	Price, Thomas	[redacted]6610	FBIDX	Dividend		462.31
01/31/2006	Price, Thomas	[redacted]6610	MNSGX	Dividend		642.49
02/03/2006	Price, Thomas	[redacted]6610	CASH	Money Transfer		(642.49)
02/28/2006	Price, Thomas	[redacted]6610	CASH	Money Transfer		(422.73)
02/28/2006	Price, Thomas	[redacted]6610	FDRXX	Dividend		330.18
02/28/2006	Price, Thomas	[redacted]6610	FBIDX	Dividend		422.73
02/28/2006	Price, Thomas	[redacted]6610	MNSGX	Dividend		643.24
03/01/2006	Price, Thomas	[redacted]6610	TEDMX	Sell	(3.836)	100.00
03/02/2006	Price, Thomas	[redacted]6610	CASH	Money Transfer		(100.00)
03/02/2006	Price, Thomas	[redacted]6610	ODMAX	Buy	2.472	(100.00)
03/03/2006	Price, Thomas	[redacted]6610	CASH	Money Transfer		(543.24)
03/07/2006	Price, Thomas	[redacted]6610	TEDMX	Dividend		524.80
03/08/2006	Price, Thomas	[redacted]6610	CASH	Money Transfer		(524.80)
03/17/2006	Price, Thomas	[redacted]6610	FDRXX	Money Transfer		(1,628.00)
03/17/2006	Price, Thomas	[redacted]6610		Management Fee		(1,628.00)
03/31/2006	Price, Thomas	[redacted]6610	CASH	Money Transfer		(472.32)
03/31/2006	Price, Thomas	[redacted]6610	FDRXX	Dividend		380.32
03/31/2006	Price, Thomas	[redacted]6610	FBIDX	Dividend		472.32
03/31/2006	Price, Thomas	[redacted]6610	MNSGX	Dividend		700.66
04/05/2006	Price, Thomas	[redacted]6610	CASH	Money Transfer		(700.66)
04/28/2006	Price, Thomas	[redacted]6610	CASH	Money Transfer		(453.22)
04/28/2006	Price, Thomas	[redacted]6610	FDRXX	Dividend		385.77
04/28/2006	Price, Thomas	[redacted]6610	FBIDX	Dividend		453.22
04/28/2006	Price, Thomas	[redacted]6610	MNSGX	Dividend		613.75
05/03/2006	Price, Thomas	[redacted]6610	CASH	Money Transfer		(613.75)
05/31/2006	Price, Thomas	[redacted]6610	CASH	Money Transfer		(468.30)
05/31/2006	Price, Thomas	[redacted]6610	FDRXX	Dividend		420.55
05/31/2006	Price, Thomas	[redacted]6610	FBIDX	Dividend		468.30
05/31/2006	Price, Thomas	[redacted]6610	MNSGX	Dividend		679.40
06/05/2006	Price, Thomas	[redacted]6610	CASH	Money Transfer		(679.40)
06/16/2006	Price, Thomas	[redacted]6610	FDRXX	Money Transfer		(1,642.00)
06/16/2006	Price, Thomas	[redacted]6610		Management Fee		(1,642.00)
06/19/2006	Price, Thomas	[redacted]6610	BSLYX	Buy	1,218.657	(26,420.48)
06/19/2006	Price, Thomas	[redacted]6610	MPOCX	Buy	3,025.827	(53,073.00)
06/19/2006	Price, Thomas	[redacted]6610	MNSGX	Buy	10,418.543	(102,101.72)
06/19/2006	Price, Thomas	[redacted]6610	NPMDX	Buy	130.946	(3,779.09)
06/19/2006	Price, Thomas	[redacted]6610	PCRRX	Buy	1,754.026	(24,696.68)
06/19/2006	Price, Thomas	[redacted]6610	FDIVX	Sell	(951.384)	31,671.58
06/19/2006	Price, Thomas	[redacted]6610	FBIDX	Sell	(9,203.073)	97,368.51
06/19/2006	Price, Thomas	[redacted]6610	PCRDX	Sell	(174.335)	2,449.41
06/19/2006	Price, Thomas	[redacted]6610	TEDMX	Sell	(249.376)	5,807.96
06/20/2006	Price, Thomas	[redacted]6610	FDRXX	Money Transfer		(72,773.51)

4870

Attachment p.17

Fidelity IRA #6610

Transaction Ledger Report
From 01/01/2006 to 12/31/2006

Thomas Price IRA Acct # [redacted]6610

Trade Date	Portfolio Description	Portfolio Account Number	Security Symbol	Activity	Quantity	Net Amount
06/22/2006	Price, Thomas	[redacted]6610	PCRRX	Dividend		638.20
06/23/2006	Price, Thomas	[redacted]6610	CASH	Money Transfer		(638.20)
06/30/2006	Price, Thomas	[redacted]6610	CASH	Money Transfer		(319.09)
06/30/2006	Price, Thomas	[redacted]6610	BSLYX	Short Gain		127.47
06/30/2006	Price, Thomas	[redacted]6610	BSLYX	Dividend		174.27
06/30/2006	Price, Thomas	[redacted]6610	BSLYX	Long Gain		825.52
06/30/2006	Price, Thomas	[redacted]6610	FDRXX	Dividend		324.09
06/30/2006	Price, Thomas	[redacted]6610	FBIDX	Dividend		319.09
06/30/2006	Price, Thomas	[redacted]6610	MNSGX	Dividend		865.19
07/06/2006	Price, Thomas	[redacted]6610	CASH	Money Transfer		(1,992.45)
07/31/2006	Price, Thomas	[redacted]6610	CASH	Money Transfer		(87.31)
07/31/2006	Price, Thomas	[redacted]6610	FDRXX	Dividend		156.01
07/31/2006	Price, Thomas	[redacted]6610	FBIDX	Dividend		87.51
07/31/2006	Price, Thomas	[redacted]6610	MNSGX	Dividend		1,005.53
08/03/2006	Price, Thomas	[redacted]6610	CASH	Money Transfer		(1,005.53)
08/31/2006	Price, Thomas	[redacted]6610	CASH	Money Transfer		(88.82)
08/31/2006	Price, Thomas	[redacted]6610	FDRXX	Dividend		165.59
08/31/2006	Price, Thomas	[redacted]6610	FBIDX	Dividend		88.82
08/31/2006	Price, Thomas	[redacted]6610	MNSGX	Dividend		1,105.66
09/06/2006	Price, Thomas	[redacted]6610	CASH	Money Transfer		(1,105.66)
09/19/2006	Price, Thomas	[redacted]6610	FDRXX	Money Transfer		(1,652.00)
09/19/2006	Price, Thomas	[redacted]6610		Management Fee		(1,652.00)
09/21/2006	Price, Thomas	[redacted]6610	PCRRX	Dividend		418.96
09/22/2006	Price, Thomas	[redacted]6610	CASH	Money Transfer		(418.96)
09/29/2006	Price, Thomas	[redacted]6610	CASH	Money Transfer		(85.51)
09/29/2006	Price, Thomas	[redacted]6610	FDRXX	Dividend		162.96
09/29/2006	Price, Thomas	[redacted]6610	FBIDX	Dividend		85.51
09/29/2006	Price, Thomas	[redacted]6610	MNSGX	Dividend		1,116.13
10/04/2006	Price, Thomas	[redacted]6610	CASH	Money Transfer		(1,116.13)
10/31/2006	Price, Thomas	[redacted]6610	CASH	Money Transfer		(89.69)
10/31/2006	Price, Thomas	[redacted]6610	FDRXX	Dividend		171.65
10/31/2006	Price, Thomas	[redacted]6610	FBIDX	Dividend		89.69
10/31/2006	Price, Thomas	[redacted]6610	MNSGX	Dividend		1,115.70
11/03/2006	Price, Thomas	[redacted]6610	CASH	Money Transfer		(1,115.70)
11/30/2006	Price, Thomas	[redacted]6610	CASH	Money Transfer		(86.93)
11/30/2006	Price, Thomas	[redacted]6610	FDRXX	Dividend		171.09
11/30/2006	Price, Thomas	[redacted]6610	FBIDX	Dividend		86.93
11/30/2006	Price, Thomas	[redacted]6610	MNSGX	Dividend		1,156.59
12/05/2006	Price, Thomas	[redacted]6610	CASH	Money Transfer		(1,156.59)
12/08/2006	Price, Thomas	[redacted]6610	CASH	Money Transfer		(11,346.78)
12/08/2006	Price, Thomas	[redacted]6610	FDIVX	Short Gain		79.07
12/08/2006	Price, Thomas	[redacted]6610	FDIVX	Dividend		1,423.29
12/08/2006	Price, Thomas	[redacted]6610	FDIVX	Long Gain		9,844.42
12/11/2006	Price, Thomas	[redacted]6610	ODMAX	Dividend		1.25
12/11/2006	Price, Thomas	[redacted]6610	ODMAX	Short Gain		2.09
12/11/2006	Price, Thomas	[redacted]6610	ODMAX	Long Gain		6.93
12/13/2006	Price, Thomas	[redacted]6610	FDRXX	Money Transfer		(1,697.73)
12/13/2006	Price, Thomas	[redacted]6610		Management Fee		(1,708.00)

Attachment p.18

Fidelity Ian #6610

Transaction Ledger Report

From 01/01/2006 to 12/31/2006

Thomas Price IRA Acct #: [redacted]6610

Trade Date	Portfolio Description	Portfolio Account Number	Security Symbol	Activity	Quantity	Net Amount
12/14/2006	Price, Thomas	[redacted]6610	BSLYX	Dividend		251.04
12/14/2006	Price, Thomas	[redacted]6610	BSLYX	Long Gain		1,096.79
12/15/2006	Price, Thomas	[redacted]6610	MPOCX	Dividend		196.81
12/15/2006	Price, Thomas	[redacted]6610	MPOCX	Long Gain		4,553.57
12/18/2006	Price, Thomas	[redacted]6610	CASH	Money Transfer		(4,750.38)
12/19/2006	Price, Thomas	[redacted]6610	CASH	Money Transfer		(1,347.83)
12/19/2006	Price, Thomas	[redacted]6610	TEDMX	Dividend		1,189.79
12/19/2006	Price, Thomas	[redacted]6610	TEDMX	Long Gain		3,699.23
12/20/2006	Price, Thomas	[redacted]6610	CASH	Money Transfer		(4,889.02)
12/27/2006	Price, Thomas	[redacted]6610	PCRRX	Dividend		3,076.79
12/28/2006	Price, Thomas	[redacted]6610	CASH	Money Transfer		(3,076.79)
12/29/2006	Price, Thomas	[redacted]6610	CASH	Money Transfer		(88.97)
12/29/2006	Price, Thomas	[redacted]6610	FDRXX	Dividend		230.99
12/29/2006	Price, Thomas	[redacted]6610	FBIDX	Dividend		88.97
12/29/2006	Price, Thomas	[redacted]6610	MNSGX	Dividend		1,167.80
12/29/2006	Price, Thomas	[redacted]6610	NPMDX	Dividend		25.52
						(155,325.24)

Fidelity SP IRA #2800 %

Attachment #p.19

Portfolio Statement

As of 12/31/2006
12/29/2006 Prices

Elizabeth Clark-Price IRA Rollover Acct # [redacted]2800

[redacted]

Description	Quantity	Cost Basis	Current Value
Cash			
Fidelity Cash Reserves		7,713	7,713
General Bonds			
Fidelity U.S. Bond Index	477.477	5,233	5,185
Montgomery Short Duration	4,801.307	48,144	47,437
		53,377	52,622
Large Cap Value Stocks			
Hotchkis & Wiley Large Cap Value	603.844	13,910	15,223
Large Cap Growth Stocks			
Marsico Focus	1,147.976	20,693	22,144
Small/Mid Cap Growth Stocks			
Navellier Mid Cap Growth	40.717	1,037	1,259
International Stocks			
Fidelity Diversified International	270.817	7,260	10,007
Emerging Market Stocks			
Oppenheimer Developing Markets	2.728	110	112
Templeton Developing Markets	233.245	4,609	6,596
		4,720	6,709
Commodities			
Pimco Commodity Real Return	971.905	14,802	13,500
		123,512	129,178

Attachment p. 20 Fidelity SP IRA #2800

Transaction Ledger Report

From 01/01/2006 to 12/31/2006

Elizabeth Clark-Price IRA Rollover Acct #[redacted]2800

[redacted]

Trade Date	Portfolio Description	Portfolio Account Number	Security Symbol	Description	Activity	Quantity	Net Amount
01/31/2006	Clark-Price, Elizabeth	[redacted]2800	FDRXX	Fidelity Cash Reserves	Dividend		46.40
01/31/2006	Clark-Price, Elizabeth	[redacted]2800	FBIDX	Fidelity U.S. Bond Index	Dividend	9.268	100.74
01/31/2006	Clark-Price, Elizabeth	[redacted]2800	MNSGX	Montgomery Short Duration	Dividend	10.237	101.14
02/28/2006	Clark-Price, Elizabeth	[redacted]2800	FDRXX	Fidelity Cash Reserves	Dividend		43.47
02/28/2006	Clark-Price, Elizabeth	[redacted]2800	FBIDX	Fidelity U.S. Bond Index	Dividend	8.516	92.48
02/28/2006	Clark-Price, Elizabeth	[redacted]2800	MNSGX	Montgomery Short Duration	Dividend	10.295	101.61
03/01/2006	Clark-Price, Elizabeth	[redacted]2800	TEDMX	Templeton Developing Markets	Sell	(3.836)	100.00
03/02/2006	Clark-Price, Elizabeth	[redacted]2800	CASH	Cash	Money Transfer		(100.00)
03/02/2006	Clark-Price, Elizabeth	[redacted]2800	ODMAX	Oppenheimer Developing Markets	Buy	2.472	(100.00)
03/03/2006	Clark-Price, Elizabeth	[redacted]2800	FDRXX	Fidelity Cash Reserves	Money Transfer		(100.00)
03/07/2006	Clark-Price, Elizabeth	[redacted]2800	TEDMX	Templeton Developing Markets	Dividend	1.414	36.55
03/17/2006	Clark-Price, Elizabeth	[redacted]2800	FDRXX	Fidelity Cash Reserves	Money Transfer		(213.00)
03/17/2006	Clark-Price, Elizabeth	[redacted]2800		Cash	Management Fee		(213.00)
03/31/2006	Clark-Price, Elizabeth	[redacted]2800	FDRXX	Fidelity Cash Reserves	Dividend		49.40
03/31/2006	Clark-Price, Elizabeth	[redacted]2800	FBIDX	Fidelity U.S. Bond Index	Dividend	9.673	103.69
03/31/2006	Clark-Price, Elizabeth	[redacted]2800	MNSGX	Montgomery Short Duration	Dividend	11.287	111.06
04/28/2006	Clark-Price, Elizabeth	[redacted]2800	FDRXX	Fidelity Cash Reserves	Dividend		49.48
04/28/2006	Clark-Price, Elizabeth	[redacted]2800	FBIDX	Fidelity U.S. Bond Index	Dividend	9.359	99.86
04/28/2006	Clark-Price, Elizabeth	[redacted]2800	MNSGX	Montgomery Short Duration	Dividend	9.912	97.63
05/31/2006	Clark-Price, Elizabeth	[redacted]2800	FDRXX	Fidelity Cash Reserves	Dividend		53.36
05/31/2006	Clark-Price, Elizabeth	[redacted]2800	FBIDX	Fidelity U.S. Bond Index	Dividend	9.764	103.60
05/31/2006	Clark-Price, Elizabeth	[redacted]2800	MNSGX	Montgomery Short Duration	Dividend	11.039	108.40
06/16/2006	Clark-Price, Elizabeth	[redacted]2800	FDRXX	Fidelity Cash Reserves	Money Transfer		(214.00)
06/16/2006	Clark-Price, Elizabeth	[redacted]2800		Cash	Management Fee		(214.00)
06/19/2006	Clark-Price, Elizabeth	[redacted]2800	HWLAX	Hotchkis & Wiley Large Cap Value	Buy	163.192	(3,725.68)
06/19/2006	Clark-Price, Elizabeth	[redacted]2800	MFOCX	Marsico Focus	Buy	426.686	(7,484.08)
06/19/2006	Clark-Price, Elizabeth	[redacted]2800	MNSGX	Montgomery Short Duration	Buy	1,469.168	(14,397.85)
06/19/2006	Clark-Price, Elizabeth	[redacted]2800	NPMDX	Navellier Mid Cap Growth	Buy	18.465	(532.91)
06/19/2006	Clark-Price, Elizabeth	[redacted]2800	PCRRX	Pimco Commodity Real Return	Buy	247.344	(3,482.60)
06/19/2006	Clark-Price, Elizabeth	[redacted]2800	FDIVX	Fidelity Diversified International	Sell	(60.401)	2,010.76
06/19/2006	Clark-Price, Elizabeth	[redacted]2800	FBIDX	Fidelity U.S. Bond Index	Sell	(2,043.883)	21,624.28
06/19/2006	Clark-Price, Elizabeth	[redacted]2800	TEDMX	Templeton Developing Markets	Sell	(17.474)	406.96
06/20/2006	Clark-Price, Elizabeth	[redacted]2800	FDRXX	Fidelity Cash Reserves	Money Transfer		(5,581.12)
06/22/2006	Clark-Price, Elizabeth	[redacted]2800	PCRRX	Pimco Commodity Real Return	Dividend	4.34	60.71
06/30/2006	Clark-Price, Elizabeth	[redacted]2800	FDRXX	Fidelity Cash Reserves	Dividend		45.25
06/30/2006	Clark-Price, Elizabeth	[redacted]2800	FBIDX	Fidelity U.S. Bond Index	Dividend	6.692	70.87
06/30/2006	Clark-Price, Elizabeth	[redacted]2800	MNSGX	Montgomery Short Duration	Dividend	13.862	135.85
07/14/2006	Clark-Price, Elizabeth	[redacted]2800	HWLAX	Hotchkis & Wiley Large Cap Value	Dividend	2.877	64.38
07/31/2006	Clark-Price, Elizabeth	[redacted]2800	FDRXX	Fidelity Cash Reserves	Dividend		32.96
07/31/2006	Clark-Price, Elizabeth	[redacted]2800	FBIDX	Fidelity U.S. Bond Index	Dividend	1.841	19.70
07/31/2006	Clark-Price, Elizabeth	[redacted]2800	MNSGX	Montgomery Short Duration	Dividend	15.758	154.90
08/31/2006	Clark-Price, Elizabeth	[redacted]2800	FDRXX	Fidelity Cash Reserves	Dividend		33.72
08/31/2006	Clark-Price, Elizabeth	[redacted]2800	FBIDX	Fidelity U.S. Bond Index	Dividend	1.857	20.09
08/31/2006	Clark-Price, Elizabeth	[redacted]2800	MNSGX	Montgomery Short Duration	Dividend	17.313	170.88
09/19/2006	Clark-Price, Elizabeth	[redacted]2800	FDRXX	Fidelity Cash Reserves	Money Transfer		(216.00)
09/19/2006	Clark-Price, Elizabeth	[redacted]2800		Cash	Management Fee		(216.00)

Attachment p. 17 Fidelity SP 1424 #9584 Y:

Portfolio Statement

As of 12/31/2006
12/29/2006 Prices

Elizabeth Clark-Price SEP IRA Acct # [redacted]9584

[redacted]

Description	Quantity	Cost Basis	Current Value
Cash			
Fidelity Cash Reserves		30,779	30,779
General Bonds			
Fidelity U.S. Bond Index	3,570.276	39,129	38,773
Montgomery Short Duration	35,705.857	358,267	352,774
US Home Fin Corp Ser B 08/31/2000 12.25% Par 11,000.00 (1.00)	11,000	0	11,000
		397,396	402,547
Large Cap Value Stocks			
Hotchkis & Wiley Large Cap Value	9,492.318	218,885	239,301
Large Cap Growth Stocks			
Marsico Focus	3,406.596	60,227	65,713
Small/Mid Cap Growth Stocks			
Navellier Mid Cap Growth	290.095	7,342	8,973
International Stocks			
Fidelity Diversified International	2,201.247	59,429	81,336
Emerging Market Stocks			
Oppenheimer Developing Markets	2.728	110	112
Templeton Developing Markets	2,173.991	42,961	61,480
		43,071	61,593
Commodities			
Pimco Commodity Real Return	8,296.108	127,082	115,233
		944,211	1,005,475

Attachment p. 22 Fidelity SP IRA #9584

Transaction Ledger Report
From 01/01/2006 to 12/31/2006

Elizabeth Clark-Price SEP IRA Acct #[redacted]9584

[redacted]

Trade Date	Portfolio Description	Portfolio Account Number	Security Symbol	Description	Activity	Quantity	Net Amount
01/31/2006	Clark-Price, Elizabeth	[redacted]9584	FDRXX	Fidelity Cash Reserves	Dividend		176.97
01/31/2006	Clark-Price, Elizabeth	[redacted]9584	FBIDX	Fidelity U.S. Bond Index	Dividend	69.295	753.24
01/31/2006	Clark-Price, Elizabeth	[redacted]9584	MNSGX	Montgomery Short Duration	Dividend	79.61	786.55
02/28/2006	Clark-Price, Elizabeth	[redacted]9584	FDRXX	Fidelity Cash Reserves	Dividend		165.78
02/28/2006	Clark-Price, Elizabeth	[redacted]9584	FBIDX	Fidelity U.S. Bond Index	Dividend	63.678	691.54
02/28/2006	Clark-Price, Elizabeth	[redacted]9584	MNSGX	Montgomery Short Duration	Dividend	80.061	790.20
03/01/2006	Clark-Price, Elizabeth	[redacted]9584	TEDMX	Templeton Developing Markets	Sell	(3.836)	100.00
03/02/2006	Clark-Price, Elizabeth	[redacted]9584	CASH	Cash	Money Transfer		(100.00)
03/02/2006	Clark-Price, Elizabeth	[redacted]9584	ODMAX	Oppenheimer Developing Markets	Buy	2.472	(100.00)
03/03/2006	Clark-Price, Elizabeth	[redacted]9584	FDRXX	Fidelity Cash Reserves	Money Transfer		(100.00)
03/07/2006	Clark-Price, Elizabeth	[redacted]9584	TEDMX	Templeton Developing Markets	Dividend	13.185	340.70
03/17/2006	Clark-Price, Elizabeth	[redacted]9584	FDRXX	Fidelity Cash Reserves	Money Transfer		(1,652.00)
03/17/2006	Clark-Price, Elizabeth	[redacted]9584		Cash	Management Fee		(1,652.00)
03/31/2006	Clark-Price, Elizabeth	[redacted]9584	FDRXX	Fidelity Cash Reserves	Dividend		187.13
03/31/2006	Clark-Price, Elizabeth	[redacted]9584	FBIDX	Fidelity U.S. Bond Index	Dividend	72.325	775.32
03/31/2006	Clark-Price, Elizabeth	[redacted]9584	MNSGX	Montgomery Short Duration	Dividend	87.755	863.51
04/28/2006	Clark-Price, Elizabeth	[redacted]9584	FDRXX	Fidelity Cash Reserves	Dividend		183.66
04/28/2006	Clark-Price, Elizabeth	[redacted]9584	FBIDX	Fidelity U.S. Bond Index	Dividend	69.981	746.70
04/28/2006	Clark-Price, Elizabeth	[redacted]9584	MNSGX	Montgomery Short Duration	Dividend	77.063	759.07
05/31/2006	Clark-Price, Elizabeth	[redacted]9584	FDRXX	Fidelity Cash Reserves	Dividend		200.22
05/31/2006	Clark-Price, Elizabeth	[redacted]9584	FBIDX	Fidelity U.S. Bond Index	Dividend	73.011	774.65
05/31/2006	Clark-Price, Elizabeth	[redacted]9584	MNSGX	Montgomery Short Duration	Dividend	85.831	842.86
06/16/2006	Clark-Price, Elizabeth	[redacted]9584	FDRXX	Fidelity Cash Reserves	Money Transfer		(1,656.00)
06/16/2006	Clark-Price, Elizabeth	[redacted]9584		Cash	Management Fee		(1,656.00)
06/19/2006	Clark-Price, Elizabeth	[redacted]9584	HWLAX	Hotchkis & Wiley Large Cap Value	Buy	1,093.562	(24,966.01)
06/19/2006	Clark-Price, Elizabeth	[redacted]9584	MFOCX	Marsico Focus	Buy	2,859.253	(50,151.29)
06/19/2006	Clark-Price, Elizabeth	[redacted]9584	MNSGX	Montgomery Short Duration	Buy	9,835.404	(96,386.96)
06/19/2006	Clark-Price, Elizabeth	[redacted]9584	NPMDX	Navellier Mid Cap Growth	Buy	123.737	(3,571.05)
06/19/2006	Clark-Price, Elizabeth	[redacted]9584	PCRRX	Pimco Commodity Real Return	Buy	1,657.465	(23,337.11)
06/19/2006	Clark-Price, Elizabeth	[redacted]9584	FDIVX	Fidelity Diversified International	Sell	(490.953)	16,343.82
06/19/2006	Clark-Price, Elizabeth	[redacted]9584	FBIDX	Fidelity U.S. Bond Index	Sell	(15,282.908)	161,693.17
06/19/2006	Clark-Price, Elizabeth	[redacted]9584	TEDMX	Templeton Developing Markets	Sell	(162.865)	3,793.12
06/20/2006	Clark-Price, Elizabeth	[redacted]9584	FDRXX	Fidelity Cash Reserves	Money Transfer		(16,582.31)
06/22/2006	Clark-Price, Elizabeth	[redacted]9584	PCRRX	Pimco Commodity Real Return	Dividend	37.044	518.24
06/30/2006	Clark-Price, Elizabeth	[redacted]9584	FDRXX	Fidelity Cash Reserves	Dividend		174.09
06/30/2006	Clark-Price, Elizabeth	[redacted]9584	FBIDX	Fidelity U.S. Bond Index	Dividend	50.037	529.89
06/30/2006	Clark-Price, Elizabeth	[redacted]9584	MNSGX	Montgomery Short Duration	Dividend	105.578	1,034.66
07/14/2006	Clark-Price, Elizabeth	[redacted]9584	HWLAX	Hotchkis & Wiley Large Cap Value	Dividend	45.221	1,012.05
07/31/2006	Clark-Price, Elizabeth	[redacted]9584	FDRXX	Fidelity Cash Reserves	Dividend		138.20
07/31/2006	Clark-Price, Elizabeth	[redacted]9584	FBIDX	Fidelity U.S. Bond Index	Dividend	13.766	147.30
07/31/2006	Clark-Price, Elizabeth	[redacted]9584	MNSGX	Montgomery Short Duration	Dividend	117.183	1,151.91
08/31/2006	Clark-Price, Elizabeth	[redacted]9584	FDRXX	Fidelity Cash Reserves	Dividend		141.42
08/31/2006	Clark-Price, Elizabeth	[redacted]9584	FBIDX	Fidelity U.S. Bond Index	Dividend	13.885	150.24
08/31/2006	Clark-Price, Elizabeth	[redacted]9584	MNSGX	Montgomery Short Duration	Dividend	128.76	1,270.86
09/19/2006	Clark-Price, Elizabeth	[redacted]9584	FDRXX	Fidelity Cash Reserves	Money Transfer		(1,677.00)
09/19/2006	Clark-Price, Elizabeth	[redacted]9584		Cash	Management Fee		(1,677.00)

Attachment p.23 Fidelity SP IRA p.9584

Transaction Ledger Report

From 01/01/2006 to 12/31/2006

Elizabeth Clark-Price SEP IRA Acct #: [redacted]9584

Trade Date	Portfolio Description	Portfolio Account Number	Security Symbol	Description	Activity	Quantity	Net Amount
09/21/2006	Clark-Price, Elizabeth	[redacted]9584	PCRRX	Pimco Commodity Real Return	Dividend	24.983	341.77
09/29/2006	Clark-Price, Elizabeth	[redacted]9584	FDRXX	Fidelity Cash Reserves	Dividend		134.75
09/29/2006	Clark-Price, Elizabeth	[redacted]9584	FBIDX	Fidelity U.S. Bond Index	Dividend	13.358	145.20
09/29/2006	Clark-Price, Elizabeth	[redacted]9584	MNSGX	Montgomery Short Duration	Dividend	130.192	1,287.60
10/31/2006	Clark-Price, Elizabeth	[redacted]9584	FDRXX	Fidelity Cash Reserves	Dividend		135.48
10/31/2006	Clark-Price, Elizabeth	[redacted]9584	FBIDX	Fidelity U.S. Bond Index	Dividend	14.038	152.87
10/31/2006	Clark-Price, Elizabeth	[redacted]9584	MNSGX	Montgomery Short Duration	Dividend	130.624	1,291.87
11/30/2006	Clark-Price, Elizabeth	[redacted]9584	FDRXX	Fidelity Cash Reserves	Dividend		131.29
11/30/2006	Clark-Price, Elizabeth	[redacted]9584	FBIDX	Fidelity U.S. Bond Index	Dividend	13.55	148.78
11/30/2006	Clark-Price, Elizabeth	[redacted]9584	MNSGX	Montgomery Short Duration	Dividend	135.638	1,344.17
12/08/2006	Clark-Price, Elizabeth	[redacted]9584	FDIVX	Fidelity Diversified International	Short Gain	1.122	40.80
12/08/2006	Clark-Price, Elizabeth	[redacted]9584	FDIVX	Fidelity Diversified International	Dividend	20.2	734.47
12/08/2006	Clark-Price, Elizabeth	[redacted]9584	FDIVX	Fidelity Diversified International	Long Gain	139.717	5,080.12
12/11/2006	Clark-Price, Elizabeth	[redacted]9584	ODMAX	Oppenheimer Developing Markets	Dividend	0.031	1.25
12/11/2006	Clark-Price, Elizabeth	[redacted]9584	ODMAX	Oppenheimer Developing Markets	Short Gain	0.052	2.09
12/11/2006	Clark-Price, Elizabeth	[redacted]9584	ODMAX	Oppenheimer Developing Markets	Long Gain	0.173	6.93
12/13/2006	Clark-Price, Elizabeth	[redacted]9584	FDRXX	Fidelity Cash Reserves	Money Transfer		(1,713.00)
12/13/2006	Clark-Price, Elizabeth	[redacted]9584		Cash	Management Fee		(1,713.00)
12/15/2006	Clark-Price, Elizabeth	[redacted]9584	MFOCX	Marsico Focus	Dividend	3.547	67.75
12/15/2006	Clark-Price, Elizabeth	[redacted]9584	MFOCX	Marsico Focus	Long Gain	82.068	1,567.50
12/19/2006	Clark-Price, Elizabeth	[redacted]9584	TEDMX	Templeton Developing Markets	Dividend	28.133	777.04
12/19/2006	Clark-Price, Elizabeth	[redacted]9584	TEDMX	Templeton Developing Markets	Long Gain	87.47	2,415.93
12/27/2006	Clark-Price, Elizabeth	[redacted]9584	PCRRX	Pimco Commodity Real Return	Dividend	181.913	2,517.67
12/28/2006	Clark-Price, Elizabeth	[redacted]9584	HWLAX	Hotchkis & Wiley Large Cap Value	Short Gain	5.279	133.66
12/28/2006	Clark-Price, Elizabeth	[redacted]9584	HWLAX	Hotchkis & Wiley Large Cap Value	Dividend	41.795	1,058.24
12/28/2006	Clark-Price, Elizabeth	[redacted]9584	HWLAX	Hotchkis & Wiley Large Cap Value	Long Gain	358.727	9,082.97
12/29/2006	Clark-Price, Elizabeth	[redacted]9584	FDRXX	Fidelity Cash Reserves	Dividend		132.47
12/29/2006	Clark-Price, Elizabeth	[redacted]9584	FBIDX	Fidelity U.S. Bond Index	Dividend	14.074	152.84
12/29/2006	Clark-Price, Elizabeth	[redacted]9584	MNSGX	Montgomery Short Duration	Dividend	137.891	1,362.36
12/29/2006	Clark-Price, Elizabeth	[redacted]9584	NPMDX	Navellier Mid Cap Growth	Dividend	0.576	17.67
							(1,186.12)

Attachment p 4 of 4 Lucas SP IRA #6571

Portfolio Statement

As of 12/31/2006
12/29/2006 Prices

Elizabeth Clark-Price IRA Acct #: [redacted]6571

[redacted]

Description	Quantity	Cost Basis	Current Value
Cash			
Cash		246	246
Fidelity Cash Reserves		3,657	3,657
		3,903	3,903
General Bonds			
Fidelity U.S. Bond Index	70.42	775	765
Montgomery Short Duration	773.73	7,764	7,644
		8,539	8,409
Large Cap Value Stocks			
Hotchkis & Wiley Large Cap Value	190.848	4,378	4,811
Large Cap Growth Stocks			
Marsico Focus	998.909	18,209	19,269
Small/Mid Cap Growth Stocks			
Navellier Mid Cap Growth	6.728	171	208
International Stocks			
Fidelity Diversified International	45.75	1,202	1,690
Emerging Market Stocks			
Oppenheimer Developing Markets	2.472	100	102
Templeton Developing Markets	49.511	957	1,400
		1,057	1,502
Commodities			
Pimco Commodity Real Return	170.922	2,629	2,374
		40,087	42,167

Attachment p.25 Fidelity SP IRA #6571

Transaction Ledger Report

From 01/01/2006 to 12/31/2006

Elizabeth Clark-Price IRA Acct #: [redacted]6571

[redacted]

Trade Date	Portfolio Description	Portfolio Account Number	Security Symbol	Description	Activity	Quantity	Net Amount
01/05/2006	Clark-Price, Elizabeth	[redacted]6571	CASH	Cash	Money Transfer		(16.82)
01/31/2006	Clark-Price, Elizabeth	[redacted]6571	CASH	Cash	Money Transfer		(15.73)
01/31/2006	Clark-Price, Elizabeth	[redacted]6571	FDRXX	Fidelity Cash Reserves	Dividend		12.68
01/31/2006	Clark-Price, Elizabeth	[redacted]6571	FBIDX	Fidelity U.S. Bond Index	Dividend		15.73
01/31/2006	Clark-Price, Elizabeth	[redacted]6571	MNSGX	Montgomery Short Duration	Dividend		17.12
02/03/2006	Clark-Price, Elizabeth	[redacted]6571	CASH	Cash	Money Transfer		(17.12)
02/28/2006	Clark-Price, Elizabeth	[redacted]6571	CASH	Cash	Money Transfer		(14.38)
02/28/2006	Clark-Price, Elizabeth	[redacted]6571	FDRXX	Fidelity Cash Reserves	Dividend		12.03
02/28/2006	Clark-Price, Elizabeth	[redacted]6571	FBIDX	Fidelity U.S. Bond Index	Dividend		14.38
02/28/2006	Clark-Price, Elizabeth	[redacted]6571	MNSGX	Montgomery Short Duration	Dividend		17.11
03/01/2006	Clark-Price, Elizabeth	[redacted]6571	TEDMX	Templeton Developing Markets	Sell	(3.836)	100.00
03/02/2006	Clark-Price, Elizabeth	[redacted]6571	CASH	Cash	Money Transfer		(100.00)
03/02/2006	Clark-Price, Elizabeth	[redacted]6571	ODMAX	Oppenheimer Developing Markets	Buy	2.472	(100.00)
03/03/2006	Clark-Price, Elizabeth	[redacted]6571	FDRXX	Fidelity Cash Reserves	Money Transfer		(82.89)
03/07/2006	Clark-Price, Elizabeth	[redacted]6571	TEDMX	Templeton Developing Markets	Dividend		7.64
03/08/2006	Clark-Price, Elizabeth	[redacted]6571	CASH	Cash	Money Transfer		(7.64)
03/17/2006	Clark-Price, Elizabeth	[redacted]6571	FDRXX	Fidelity Cash Reserves	Money Transfer		(70.00)
03/17/2006	Clark-Price, Elizabeth	[redacted]6571		Cash	Management Fee		(70.00)
03/31/2006	Clark-Price, Elizabeth	[redacted]6571	CASH	Cash	Money Transfer		(16.07)
03/31/2006	Clark-Price, Elizabeth	[redacted]6571	FDRXX	Fidelity Cash Reserves	Dividend		13.82
03/31/2006	Clark-Price, Elizabeth	[redacted]6571	FBIDX	Fidelity U.S. Bond Index	Dividend		16.07
03/31/2006	Clark-Price, Elizabeth	[redacted]6571	MNSGX	Montgomery Short Duration	Dividend		18.66
04/05/2006	Clark-Price, Elizabeth	[redacted]6571	CASH	Cash	Money Transfer		(18.66)
04/28/2006	Clark-Price, Elizabeth	[redacted]6571	CASH	Cash	Money Transfer		(15.42)
04/28/2006	Clark-Price, Elizabeth	[redacted]6571	FDRXX	Fidelity Cash Reserves	Dividend		13.92
04/28/2006	Clark-Price, Elizabeth	[redacted]6571	FBIDX	Fidelity U.S. Bond Index	Dividend		15.42
04/28/2006	Clark-Price, Elizabeth	[redacted]6571	MNSGX	Montgomery Short Duration	Dividend		16.34
05/03/2006	Clark-Price, Elizabeth	[redacted]6571	CASH	Cash	Money Transfer		(16.34)
05/31/2006	Clark-Price, Elizabeth	[redacted]6571	CASH	Cash	Money Transfer		(15.93)
05/31/2006	Clark-Price, Elizabeth	[redacted]6571	FDRXX	Fidelity Cash Reserves	Dividend		15.15
05/31/2006	Clark-Price, Elizabeth	[redacted]6571	FBIDX	Fidelity U.S. Bond Index	Dividend		15.93
05/31/2006	Clark-Price, Elizabeth	[redacted]6571	MNSGX	Montgomery Short Duration	Dividend		18.11
06/05/2006	Clark-Price, Elizabeth	[redacted]6571	CASH	Cash	Money Transfer		(18.11)
06/16/2006	Clark-Price, Elizabeth	[redacted]6571	FDRXX	Fidelity Cash Reserves	Money Transfer		(69.00)
06/16/2006	Clark-Price, Elizabeth	[redacted]6571		Cash	Management Fee		(69.00)
06/19/2006	Clark-Price, Elizabeth	[redacted]6571	HWLAX	Hotchkis & Wiley Large Cap Value	Buy	26.551	(606.15)
06/19/2006	Clark-Price, Elizabeth	[redacted]6571	MFOCX	Marsico Focus	Buy	69.42	(1,217.62)
06/19/2006	Clark-Price, Elizabeth	[redacted]6571	MNSGX	Montgomery Short Duration	Buy	239.026	(2,342.45)
06/19/2006	Clark-Price, Elizabeth	[redacted]6571	NPMDX	Navellier Mid Cap Growth	Buy	3.004	(86.70)
06/19/2006	Clark-Price, Elizabeth	[redacted]6571	PCRRX	Pimco Commodity Real Return	Buy	40.241	(566.60)
06/19/2006	Clark-Price, Elizabeth	[redacted]6571	FDIVX	Fidelity Diversified International	Sell	(11.009)	366.49
06/19/2006	Clark-Price, Elizabeth	[redacted]6571	FBIDX	Fidelity U.S. Bond Index	Sell	(313.072)	3,312.30
06/20/2006	Clark-Price, Elizabeth	[redacted]6571	FDRXX	Fidelity Cash Reserves	Money Transfer		(1,140.73)
06/22/2006	Clark-Price, Elizabeth	[redacted]6571	PCRRX	Pimco Commodity Real Return	Dividend		11.00
06/23/2006	Clark-Price, Elizabeth	[redacted]6571	CASH	Cash	Money Transfer		(11.00)
06/30/2006	Clark-Price, Elizabeth	[redacted]6571	CASH	Cash	Money Transfer		(10.85)

Attachment p-2L Fidelity SP. IRA #6571

Transaction Ledger Report
From 01/01/2006 to 12/31/2006

Elizabeth Clark-Price IRA Acct # [redacted]6571

Trade Date	Portfolio Description	Portfolio Account Number	Security Symbol	Description	Activity	Quantity	Net Amount
06/30/2006	Clark-Price, Elizabeth	[redacted]6571	FDRXX	Fidelity Cash Reserves	Dividend		13 54
06/30/2006	Clark-Price, Elizabeth	[redacted]6571	FBIDX	Fidelity U S. Bond Index	Dividend		10 85
06/30/2006	Clark-Price, Elizabeth	[redacted]6571	MNSGX	Montgomery Short Duration	Dividend		22.53
07/06/2006	Clark-Price, Elizabeth	[redacted]6571	CASH	Cash	Money Transfer		(22 53)
07/14/2006	Clark-Price, Elizabeth	[redacted]6571	HWLAX	Hotchkis & Wiley Large Cap Value	Dividend		21.36
07/19/2006	Clark-Price, Elizabeth	[redacted]6571	CASH	Cash	Money Transfer		(21 36)
07/31/2006	Clark-Price, Elizabeth	[redacted]6571	CASH	Cash	Money Transfer		(2 98)
07/31/2006	Clark-Price, Elizabeth	[redacted]6571	FDRXX	Fidelity Cash Reserves	Dividend		11 51
07/31/2006	Clark-Price, Elizabeth	[redacted]6571	FBIDX	Fidelity U.S. Bond Index	Dividend		2 98
07/31/2006	Clark-Price, Elizabeth	[redacted]6571	MNSGX	Montgomery Short Duration	Dividend		25 53
08/03/2006	Clark-Price, Elizabeth	[redacted]6571	CASH	Cash	Money Transfer		(25 53)
08/31/2006	Clark-Price, Elizabeth	[redacted]6571	CASH	Cash	Money Transfer		(3 02)
08/31/2006	Clark-Price, Elizabeth	[redacted]6571	FDRXX	Fidelity Cash Reserves	Dividend		11.96
08/31/2006	Clark-Price, Elizabeth	[redacted]6571	FBIDX	Fidelity U.S. Bond Index	Dividend		3.02
08/31/2006	Clark-Price, Elizabeth	[redacted]6571	MNSGX	Montgomery Short Duration	Dividend		28.08
09/06/2006	Clark-Price, Elizabeth	[redacted]6571	CASH	Cash	Money Transfer		(28 08)
09/19/2006	Clark-Price, Elizabeth	[redacted]6571	FDRXX	Fidelity Cash Reserves	Money Transfer		(68.00)
09/19/2006	Clark-Price, Elizabeth	[redacted]6571		Cash	Management Fee		(68.00)
09/21/2006	Clark-Price, Elizabeth	[redacted]6571	PCRRX	Pimco Commodity Real Return	Dividend		7 22
09/22/2006	Clark-Price, Elizabeth	[redacted]6571	CASH	Cash	Money Transfer		(7.22)
09/29/2006	Clark-Price, Elizabeth	[redacted]6571	CASH	Cash	Money Transfer		(2.91)
09/29/2006	Clark-Price, Elizabeth	[redacted]6571	FDRXX	Fidelity Cash Reserves	Dividend		11 63
09/29/2006	Clark-Price, Elizabeth	[redacted]6571	FBIDX	Fidelity U.S. Bond Index	Dividend		2 91
09/29/2006	Clark-Price, Elizabeth	[redacted]6571	MNSGX	Montgomery Short Duration	Dividend		28 33
10/04/2006	Clark-Price, Elizabeth	[redacted]6571	CASH	Cash	Money Transfer		(28 33)
10/31/2006	Clark-Price, Elizabeth	[redacted]6571	CASH	Cash	Money Transfer		(3.05)
10/31/2006	Clark-Price, Elizabeth	[redacted]6571	FDRXX	Fidelity Cash Reserves	Dividend		12 06
10/31/2006	Clark-Price, Elizabeth	[redacted]6571	FBIDX	Fidelity U.S. Bond Index	Dividend		3.05
10/31/2006	Clark-Price, Elizabeth	[redacted]6571	MNSGX	Montgomery Short Duration	Dividend		28.30
11/03/2006	Clark-Price, Elizabeth	[redacted]6571	CASH	Cash	Money Transfer		(28.30)
11/30/2006	Clark-Price, Elizabeth	[redacted]6571	CASH	Cash	Money Transfer		(2.96)
11/30/2006	Clark-Price, Elizabeth	[redacted]6571	FDRXX	Fidelity Cash Reserves	Dividend		11 81
11/30/2006	Clark-Price, Elizabeth	[redacted]6571	FBIDX	Fidelity U.S. Bond Index	Dividend		2 96
11/30/2006	Clark-Price, Elizabeth	[redacted]6571	MNSGX	Montgomery Short Duration	Dividend		29.36
12/05/2006	Clark-Price, Elizabeth	[redacted]6571	CASH	Cash	Money Transfer		(29.36)
12/08/2006	Clark-Price, Elizabeth	[redacted]6571	CASH	Cash	Money Transfer		(131.31)
12/08/2006	Clark-Price, Elizabeth	[redacted]6571	FDIVX	Fidelity Diversified International	Short Gain		0 92
12/08/2006	Clark-Price, Elizabeth	[redacted]6571	FDIVX	Fidelity Diversified International	Dividend		16 47
12/08/2006	Clark-Price, Elizabeth	[redacted]6571	FDIVX	Fidelity Diversified International	Long Gain		113 92
12/11/2006	Clark-Price, Elizabeth	[redacted]6571	ODMAX	Oppenheimer Developing Markets	Dividend		1.25
12/11/2006	Clark-Price, Elizabeth	[redacted]6571	ODMAX	Oppenheimer Developing Markets	Short Gain		2 09
12/11/2006	Clark-Price, Elizabeth	[redacted]6571	ODMAX	Oppenheimer Developing Markets	Long Gain		6 93
12/13/2006	Clark-Price, Elizabeth	[redacted]6571	FDRXX	Fidelity Cash Reserves	Money Transfer		(61.73)
12/13/2006	Clark-Price, Elizabeth	[redacted]6571		Cash	Management Fee		(72 00)
12/15/2006	Clark-Price, Elizabeth	[redacted]6571	MFOCX	Marsico Focus	Dividend		20.38
12/15/2006	Clark-Price, Elizabeth	[redacted]6571	MFOCX	Marsico Focus	Long Gain		471.49
12/18/2006	Clark-Price, Elizabeth	[redacted]6571	CASH	Cash	Money Transfer		(491.87)
12/19/2006	Clark-Price, Elizabeth	[redacted]6571	TEDMX	Templeton Developing Markets	Dividend		18.69

Attachment p.24 Fidelity SP IRA #6571

Transaction Ledger Report

From 01/01/2006 to 12/31/2006

Elizabeth Clark-Price IRA Acct #: [redacted]6571

Trade Date	Portfolio Description	Portfolio Account Number	Security Symbol	Description	Activity	Quantity	Net Amount
12/19/2006	Clark-Price, Elizabeth	[redacted]6571	TEDMX	Templeton Developing Markets	Long Gain		58 11
12/20/2006	Clark-Price, Elizabeth	[redacted]6571	CASH	Cash	Money Transfer		(76.80)
12/27/2006	Clark-Price, Elizabeth	[redacted]6571	PCRRX	Pimco Commodity Real Return	Dividend		53 03
12/28/2006	Clark-Price, Elizabeth	[redacted]6571	CASH	Cash	Money Transfer		(53.03)
12/28/2006	Clark-Price, Elizabeth	[redacted]6571	HWLAX	Hotchkis & Wiley Large Cap Value	Short Gain		2.81
12/28/2006	Clark-Price, Elizabeth	[redacted]6571	HWLAX	Hotchkis & Wiley Large Cap Value	Dividend		22.23
12/28/2006	Clark-Price, Elizabeth	[redacted]6571	HWLAX	Hotchkis & Wiley Large Cap Value	Long Gain		190 77
12/29/2006	Clark-Price, Elizabeth	[redacted]6571	CASH	Cash	Money Transfer		(3.03)
12/29/2006	Clark-Price, Elizabeth	[redacted]6571	FDRXX	Fidelity Cash Reserves	Dividend		13 67
12/29/2006	Clark-Price, Elizabeth	[redacted]6571	FBIDX	Fidelity U.S. Bond Index	Dividend		3 03
12/29/2006	Clark-Price, Elizabeth	[redacted]6571	MNSGX	Montgomery Short Duration	Dividend		29.63
12/29/2006	Clark-Price, Elizabeth	[redacted]6571	NPMDX	Navellier Mid Cap Growth	Dividend		0 41
							(2,601.89)

Attachment Final Page p.2:

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A

For use by Members, officers, and employees

MAY 09 2007

2007 MAY 11 PM 1:25

MC (Office Use Only)

DEBORAH D. PRYCE (Full Name)

2920, DORCHESTER ROAD (Mailing Address)

COLUMBUS, OH 43221

Daytime Telephone: 614-469-5614

Filer Status

[X] Member of the U.S. House of Representatives — State: OH District: 15th

[ ] Officer or Employee — Employing Office:

Report Type

[X] Annual (May 15)

[ ] Amendment

[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/6/07

4882

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb 2, 2005	$2,000
XYZ Magazine	Article	Aug. 13, 2005	$500
Ohio State University Alumni Association	Speech	4-6-06	$100

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name DEBORAH D. PRYCE | Page 3 of 8

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year

			BLOCK A: Asset and/or Income Source	BLOCK B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify For Example, Partnership Income or Farm Income)	BLOCK D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E: P, S, E
SP, DC, JT	*Examples*	SP	Mega Corp Stock					X								X									X								P
			Simon & Schuster			Indefinite												X				Royalties								X			
			1st Bank of Paducah, KY accounts						X								X									X							
	CONGRESSIONAL FEDERAL CREDIT UNION					X												X					X									
	OHIO SAVINGS BANK			X																													S
	JP MORGAN CHASE (2542 sh @ $48.07)								X							X										X							
	NATIONAL FINANCIAL SERVICES (5/3 BANK) (IRA RETIREMENT			X																													E
	MERRILL LYNCH (IRA RETIREMENT)						X									(SEE BROKER STATEMENT)																		
	FEDERATED CAPITAL RESERVES					X																		X									P

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name DEBORAH D. PRYCE

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	TAX EXEMPT FUND DAILY MONEY CLASS		X														X					X										P
	CHARING ROAD ASSOC.					X										X					X											
	MASS MUTUAL LIFE INS.	X													X						X											S
	NORTHWESTERN MUTUAL LIFE			X											X						X											
	601 PENNSYLVANIA AVE NW 202							X													X											
	COLLEGE ADVANTAGE SAVINGS			X											X		X				X											

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name DEBORAH D. PRYCE | Page 5 of 8

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
	OHIO SAVINGS BANK		X		01-31-06	X LESS THAN $1,000									
	NATIONAL FINANCIAL SERVICES (IRA)		X		01-31-06	X ROLLED OVER TO MERRELL LYNCH IRA									
	MERRILL LYNCH (IRA) -FROM NATIONAL SVC.	X			01-31-06	X ROLLED OVER FROM NATIONAL FIN. SVC. IRA									
	TAX EXEMPT FUND DAILY MONEY CLASS	X			01-03-06	X LESS THAN $1,000									
	FEDERATED CAPITAL RESERVES	X			03-01-06	X									
	MASS MUTUAL LIFE INSURANCE		X		03-01-06	X									

This page may be copied if more space is required.

4886

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	SKY BANK	MTG ON CHARING RD. COLS, OH		X								
	BANK OF AMERICA	MTG ON PENNSYLVANIA AVE.					X					

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
NONE		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
NONE							

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
BOARD MEMBER	TRUSTEE OF THE NATIONAL FUND FOR THE US BONTANICAL GARDENS
BOARD MEMBER	KENNEDY CENTER
BOARD OF ADVISORS	CORNERSTONE COMMUNITY SCHOOL
FOUNDER	HOPE STREET KIDS

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
1978	STATE OF OHIO PUBLIC EMPLOYEE RETIREMENT CHAPTER 145.01 OHIO REVISED CODE	QUALIFIED RETIREMENT PLAN TO BEGIN AT RETIREMENT AGE 65

Use additional sheets if more space is required.

Merrill Lynch
Pierce, Fenner & Smith Inc.
Member, Securities Investor Protection Corporation (SIPC)

Statement of Retirement Account

ACCOUNT #	F/A#	PAGE #	
[redacted]	9699	1	

STATEMENT PERIOD
09/30/06 TO 12/29/06

FINANCIAL ADVISOR	ACCOUNT TYPE
THOMAS C PULERI (614) 428-2571	IRA

OFFICE SERVING YOUR ACCOUNT:
2 EASTON OVAL SUITE 100
COLUMBUS OH 43219

ST300R04 06363 H37418 0001859

MLPF& S CUST FPO
DEBORAH PRYCE IRA
FBO DEBORAH PRYCE
2920 S DORCHESTER RD
UPPER ARLNGTN OH 43221-3038

***** ACCOUNT SUMMARY *****

PRICED PORTFOLIO		DIVIDEND/INTEREST	
AS OF 12/29/06. . . .	$29,988.48	THIS STATEMENT.....	$256.08CR
AS OF 09/29/06. . . .	$28,270.85	THIS YEAR	$868.36CR

***** CONTRIBUTION INFORMATION *****

TAX YEAR 2005	TAX YEAR 2006
$.00	$.00

***** DISTRIBUTION INFORMATION *****

TAX YEAR 2005	TAX YEAR 2006
$.00	$.00

***** MONEY ACCOUNT QUARTERLY DIVIDEND/INTEREST RECAP *****

MONEY ACCOUNT	OCTOBER	NOVEMBER	DECEMBER	CURRENT MONTH'S YIELD %
RETIREMENT RESERVES CL I	$1.40	$1.40	$1.50	4.94
ML BANK USA RASP	$2.82	$2.75	$3.44	1.50

***** DAILY ACCOUNT ACTIVITY *****

DATE	TRANSACTION	DESCRIPTION	PRICE	AMOUNT
09 30	OPENING BALANCE			$.82CR
12 05	Received	70 ML RETIREMENT RSRVS CL I		
12 05	Redeemed	70 ML RETIREMENT RSRVS CL I		$70.00CR
12 05	Journal Entry	ANNUAL ACCOUNT FEE 010106-123106 $ 70.68		$70.68
12 15	*Dividend	BLACKROCK GLOBAL ALLOCATION FD INC INSTL PAY DATE 12/14/2006		$123.94CR
12 15	Reinvestment	BLACKROCK GLOBAL ALLOCATION FD INC INSTL		$123.94
12 15	*Lg Tm Cap Gain	BLACKROCK GLOBAL ALLOCATION FD INC INSTL PAY DATE 12/14/2006		$74.86CR
12 15	Reinvestment	BLACKROCK GLOBAL ALLOCATION FD INC INSTL		$74.86
12 15	*Sh Tm Cap Gain	BLACKROCK GLOBAL ALLOCATION FD INC INSTL PAY DATE 12/14/2006		$43.97CR
12 15	Reinvestment	BLACKROCK GLOBAL ALLOCATION FD INC INSTL		$43.97

Please advise your Financial Advisor immediately of any discrepancies on your statement or if you contemplate changing your address. When making inquiries, please mention your account number and address all correspondence to the office servicing your account. Please retain this statement for your tax records. See reverse side for explanation of key terms.

CODE 5029R (R6-05)

Merrill Lynch

Merrill Lynch
Pierce, Fenner & Smith Inc.
Member, Securities Investor Protection Corporation (SIPC)

Statement of Retirement Account

ACCOUNT #	F/A#	PAGE #	
[redacted]	9699	2	

MLPF& S CUST FPO
DEBORAH PRYCE IRA

DAILY ACCOUNT ACTIVITY

DATE	TRANSACTION	DESCRIPTION	PRICE	AMOUNT
12 15	Divd Reinv	2 BLACKROCK GLOBAL ALLOCATION FD INC INSTL REINV AMOUNT $43.97 REINV PRICE $18.10000 QUANTITY BOT 2.4290 AS OF 12/13		
12 15	Divd Reinv	4 BLACKROCK GLOBAL ALLOCATION FD INC INSTL REINV AMOUNT $74.86 REINV PRICE $18.10000 QUANTITY BOT 4.1360 AS OF 12/13		
12 15	Divd Reinv	6 BLACKROCK GLOBAL ALLOCATION FD INC INSTL REINV AMOUNT $123.94 REINV PRICE $18.10000 QUANTITY BOT 6.8480 AS OF 12/13		
12 15	Journal Entry	1 BLACKROCK GLOBAL ALLOCATION FD INC INSTL FULL SHARE ACCUM SHARE VALUE $18.18		
12 15	Journal Entry	1 BLACKROCK GLOBAL ALLOCATION FD INC INSTL FULL SHARE ACCUM SHARE VALUE $18.18		
12 29	CLOSING BALANCE			$.14CR

CURRENT PORTFOLIO

QUANTITY	INVESTMENT DESCRIPTION	CURRENT PRICE	MARKET VALUE	CURR. YIELD	EST. INCOME
429.5850	MFS EMERGING GROWTH FUND CLASS A	37.350	$16044		
619.3880	BLACKROCK GLOBAL ALLOCATION FD INC INSTL	18.210	$11279	2.05	$231
TOTALS FOR PRICED INVESTMENTS			$27323		$231

MONEY ACCOUNT	OPENING BALANCE AS OF 09/30	CLOSING BALANCE AS OF 12/29	DIVIDENDS/INTEREST THIS STMT.	DIVIDENDS/INTEREST YEAR TO DATE
RETIREMENT RESERVES CL I	$373.20	$307.50	$4.30	$16.34
ML BANK USA RASP	$2,348.83	$2,357.84	$9.01	$35.13

FOR PURPOSES OF CALCULATING REQUIRED MINIMUM DISTRIBUTIONS OR COMPLETING IRS FORM 8606, YOU ARE REQUIRED TO USE THE VALUE OF YOUR ACCOUNT AS OF 12/31/06. THIS VALUE SHOULD INCLUDE YOUR PRICED PORTFOLIO TOTAL AND THE VALUE OF CERTAIN LIMITED PARTNERSHIPS AND OTHER DIRECT INVESTMENTS AS WELL AS ANY SECURITIES THAT WE ARE UNABLE TO PRICE - MARKED "UNAVAILABLE". THE "YEAR-END PLAN VALUE" SHOWN CONSISTS OF YOUR PRICED PORTFOLIO TOTAL PLUS THE ESTIMATED VALUE OF LIMITED PARTNERSHIPS OR OTHER DIRECT INVESTMENTS REPORTED ON YOUR YEAR-END STATEMENT.

YEAR-END PLAN VALUE AS OF 12/31/06: $29,988.48

FOR IRA, IRRA, SEP/IRA, SIMPLE/IRA, ROTH IRA AND COVERDELL ESA ACCOUNTS, THE YEAR-END PLAN VALUE REPRESENTS THE VALUATION WE MUST FURNISH TO YOU AND THE INTERNAL REVENUE SERVICE AS PART OF THE IRS FORM 5498 REPORTING REQUIREMENTS.

Please advise your Financial Advisor immediately of any discrepancies on your statement or if you contemplate changing your address. When making inquiries, please, mention your account number and address all correspondence to the office servicing your account. Please retain this statement for your tax records. See reverse side for explanation of key terms

CODE 5029R (A6-06)

Merrill Lynch

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A

For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2007 MAY 15 PM 3:47

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

ADAM HUGHES PUTNAM
(Full Name)

P. O. BOX 2426 BARTOW, FL 33831-2426
(Mailing Address)

202-225-1252
Daytime Telephone

Filer Status	[XX] Member of the U.S. House of Representatives	State: FLORIDA District: 12	[ ] Officer or Employee	Employing Office:
Report Type	[XX] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from *any source* in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the *Ethics in Government* Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions. (See 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[signature]	May 15, 2007

4892

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ADAM HUGHES PUTNAM

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	CITRUS AND CHEMICAL BANK STOCK	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	
	PUTNAM GROVES, INC. OWNERSHIP SHARE BOOK VALUE	$1,000,001 - $5,000,000	S CORPORATION INCOME	$1,000,001 - $5,000,000	
	DUDLEY PUTNAM, INC. OWNERSHIP SHARE BOOK VALUE	$15,001 - $50,000	NONE	NONE	
	UNITED STATES SAVINGS BONDS	$1,001 - $15,000	INTEREST	DEFERRED TO MATURITY	
	FLORIDA PREPAID COLLEGE PLAN	$15,001 - $50,000	NONE	NONE	P
	ROOM IN 21 7TH ST NE, WASHINGTON, D.C.	$250,001 - $500,000	RENT	$5,001 - $15,000	

	CITRUS AND CHEMICAL BANK ACCOUNTS	$1,000,001 - $5,000,000	INTEREST	$50,001 - $100,000	PS
	PARTIAL INTEREST OFFICE & SHOP 2310 80 FOOT ROAD BARTOW, FL 33830	$15,001 - $50,000	RENT	$201 - $1,000	
	SUNTRUST BANK ACCOUNTS	$1,001 - $15,000	INTEREST	$1 - $200	
	MFS GROWTH ALLOCATION CLASS A	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
	GOLDMAN SACHS TR EMERGING MKTS EQTY INSTL CL	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	PS
	PIMCO COMMODITY REALRETURN STRATEGY FD-INS	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	T ROWE PRICE REAL ESTATE FD COM	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P
	T ROWE PRICE BLUE CHIP GROWTH	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
	T ROWE PRICE EQUITY INCOME	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
	STI CLASSIC FUNDS:				
	TAX EXEMPT MONEY MARKET	$1,001 - $15,000	DIVIDENDS/INTEREST	$1 - $200	
	CAPITAL APPRECIATION I SHS #510	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	PS
	MID-CAP EQUITY I SHS #520 fka FUND TRUST SHARES	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ADAM HUGHES PUTNAM

	MID-CAP VALUE EQUITY I SHS #412	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	PS
	SMALL CAP GROWTH STK I SHS #614	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	PS
	SMALL CAP VALUE EQUITY I SHS #588	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	PS
	LARGE CAP RELATIVE VALUE I SHS #983	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	PS
	CAPITAL APPRECIATION CL C	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
	EMERGING GROWTH STOCK I SHS #593	$1,001 - $15,000	None	NONE	PS
	SMALL CAP VALUE EQUITY CL C	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
	INTERNATIONAL EQUITY I SHS #540	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	PS
	AGGRESSIVE GROWTH STOCK I SHS #558	$1,001 - $15,000	None	NONE	
	LARGE CAP VALUE EQUITY C	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	LARGE CAP QUAN EQUITY I SHS #666 FKA STRATEGIC QUAN EQUITY I SHS #666	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
	SMALL CAP GROWTH CL C	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	PRIME QUALITY MONEY MARKET	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	
	MID CAP EQUITY CL C	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	

	VANGUARD FUNDS:				
	VANGUARD HIGH YIELD T/E FUND	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
	VANGUARD INT TERM T/E FUND	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	P
	VANGUARD LONG TERM T/E FUND	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
	VANGUARD LTD TERM T/E FUND	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	P
	VANGUARD T/E MONEY MARKET FUND	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	VANGUARD GROWTH INDEX FUND	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	P
	VANGUARD MID-CAP INDEX FUND	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	P
	VANGUARD SMALL CAP GROWTH INDEX FUND	$50,001 - $100,000	DIVIDENDS	$1 - $200	P
	VANGUARD SMALL CAP VALUE INDEX FUND	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	P
	VANGUARD TOTAL INT'L INDEX FUND	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	P
	VANGUARD VALUE INDEX FUND	$100,001 - $250,000	DIVIDENDS	$5,001 - $15,000	P

SCHEDULE IV - TRANSACTIONS

Name ADAM HUGHES PUTNAM

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	FLORIDA PREPAID COLLEGE PLAN	P	MONTHLY	$1,001 - $15,000
	CITRUS AND CHEMICAL BANK ACCOUNTS	PS	04-11-06 S 08-31-06 P 11-30-06 S	$1,000,001 - $5,000,000
	GOLDMAN SACHS TR EMERGING MKTS EQTY INSTL CL	PS	09-20-06 P 12-11-06 P 12-21-06 S	$1,001 - $15,000
	PIMCO COMMODITY REALRETURN STRATEGY FD-INS	P	09-19-06	$1,001 - $15,000
	T ROWE PRICE REAL ESTATE FD COM	P	09-19-06 12-20-06	$1,001 - $15,000
	T ROWE PRICE BLUE CHIP GROWTH	P	03-16-06	$15,001 - $50,000
	CAPITAL APPRECIATION I SHS #510	PS	5 TRANSACTIONS ON VARIOUS DATES THROUGHOUT YEAR	$1,001 - $15,000
	MID-CAP VALUE EQUITY I SHS #412	PS	02-21-06 S 09-19-06 S 12-12-06 P	$1,001 - $15,000
	SMALL CAP GROWTH STK I SHS #614	PS	02-21-06 S 06-21-06 P 09-19-06 P 12-12-06 P	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name ADAM HUGHES PUTNAM

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	T ROWE PRICE EQUITY INCOME	P	03-16-06 03-31-06 06-30-06 09-29-06	$15,001 - $50,000
	LARGE CAP RELATIVE VALUE I SHS #983	PS	06-21-06 S 09-19-06 S 12-12-06 P 12-21-06 S	$1,001 - $15,000
	EMERGING GROWTH STOCK I SHS #593	PS	06-21-06 P 09-19-06 S	$1,001 - $15,000
	INTERNATIONAL EQUITY I SHS #540	PS	5 TRANSACTIONS ON VARIOUS DATES THROUGHOUT YEAR	$1,001 - $15,000
	VANGUARD HIGH YIELD T/E FUND	P	11 TRANSACTION ON VARIOUS DATES THROUGHOUT YEAR	$1,001 - $15,000
	VANGUARD GROWTH INDEX FUND	P	01-20-06 03-17-06 06-23-06 09-22-06	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name ADAM HUGHES PUTNAM

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	VANGUARD INT TERM T/E FUND	P	11 TRANSACTIONS ON VARIOUS DATES THROUGHOUT YEAR	$1,001 - $15,000
	VANGUARD LONG TERM T/E FUND	P	11 TRANSACTIONS ON VARIOUS DATES THROUGHOUT YEAT	$1,001 - $15,000
	VANGUARD LTD TERM T/E FUND	P	11 TRANSACTIONS ON VARIOUS DATES THROUGHOUT YEAR	$1,001 - $15,000
	VANGUARD MID-CAP INDEX FUND	P	02-13-06	$100,001 - $250,000
	VANGUARD SMALL CAP GROWTH INDEX FUND	P	01-20-06 03-17-06	$1,001 - $15,000
	VANGUARD SMALL CAP VALUE INDEX FUND	P	01-20-06 03-17-06	$1,001 - $15,000
	VANGUARD TOTAL INT'L INDEX FUND	P	01-20-06	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name ADAM HUGHES PUTNAM

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	VANGUARD VALUE INDEX FUND	P	01-20-06 03-17-06 06-23-06 09-22-06	$15,001 - $50,000
	SMALL CAP VALUE EQUITY I SHS #588	PS	02-21-06 S 12-12-06 P	$1,001 - $15,000
	MFS GROWTH ALLOCATION CLASS A	P	12-27-06	$1,001 - $15,000

4900

SCHEDULE V - LIABILITIES

Name ADAM HUGHES PUTNAM

Report liabilities of over $10,000 owed to any one creditor at any tim during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage n your personal residenc (unless all or part of it is rented ut); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	COUNTRYWIDE HOME LOANS	MORTGAGE ON 21 7TH ST NE	$250,001 - $500,000

SCHEDULE VIII - POSITIONS

Name ADAM HUGHES PUTNAM

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
BOARD MEMBER	POLK COUNTY FAMILY CAREGIVER, INC.
BOARD MEMBER	SUCCESS BY 6
ADVISORY BOARD MEMBER	VOLUNTEERS IN SERVICE TO THE ELDERLY
BOARD OF GOVERNORS	CENTER FOR FLORIDA HISTORY, FLORIDA SOUTHERN COLLEGE
BOARD MEMBER	YOUNG PATRIOTS' FOUNDATION
GENERAL BOARD MEMBER	PUTNAM GROVES, INC.

FOOTNOTES

Name ADAM HUGHES PUTNAM

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	INHERITED PROPERTY - FIRST YEAR RENTED	PARTIAL INTEREST OFFICE & SHOP 2310 80 FOOT RD.

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 4:50
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(6) AND DELIVERED
(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A
For use by Members, officers, and employees

GEORGE P. RADANOVICH
(Full Name)

438 CANNON HOUSE OFFICE BUILDING WASHINGTON, DC 20515
(Mailing Address)

202-225-4540
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives	State: CA District: 19	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☑ No ☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	George Radanovich	5/15/07

SCHEDULE I - EARNED INCOME

Name GEORGE P. RADANOVICH

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Woodward & McDowell Political Consulting Palo Alto, California	Spouse Salary	n/a

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name GEORGE P. RADANOVICH

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	401(k) Managed by Woodward & McDowell	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	P
SP	ABG-Bond Fund (Ethie Weaver Radanovich Trust) *	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	S
SP	ABG-Equity Fund (Ethie Weaver Radanovich Trust) *	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	S
DC	American Funds: Growth Fund of America, Class B	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	American Funds: Growth Fund of America, Class B	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	American Funds: Investment Co. Of America, Class B	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

* Inheritance by spouse

4906

JT	PIMCO: Renaissance Fund, Class C	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Van Kampen Investments: Higher Education 529 Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	Wright Patman Congressional Credit Union (various accounts)	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name GEORGE P. RADANOVICH

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	401(k) Managed by Woodward & McDowell	P	various	$1,001 - $15,000
SP	ABG-Bond Fund (Ethie Weaver Radanovich Trust)	S	various	$50,001 - $100,000
SP	ABG-Equity Fund (Ethie Weaver Radanovich Trust)	S	various	$15,001 - $50,000

4908

SCHEDULE VI - GIFTS

Name GEORGE P. RADANOVICH

Report the source, a brief description, and the value of all gifts totaling more than $305 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Congressional Staff	Shotgun (Christmas gift from congressional staff)	$650

HAND DELIVERED

TIVE RESOURCE CENTER

2007 JUN 12 AM 11:30

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES
2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

Nick Joe Rahall, II
(Full Name)

1801 Harper Road
(Mailing Address)

Daytime Telephone:

Beckley, WV 25801
(202)225-3452

Filer Status
[X] Member of the U.S. House of Representatives — State: WV — District: 3rd
[ ] Officer or Employee — Employing Office:

Report Type
[X] Annual (May 15)
[ ] Amendment
[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [X] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes [X] No [ ]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [X] No [ ]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.** — Yes [X] No [ ]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [X] No [ ]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Nick Joe Rahall, II	June 11, 2007

4910

SCHEDULE I — EARNED INCOME

List the source; type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Enviance, Inc.	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization *in lieu of* an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples. Association of American Associations, Washington, DC	Speech	Feb. 2, 2006	$2,000
XYZ Magazine	Article	Aug. 13, 2006	$500
NONE			

This page may be copied if more space is required.

Name Nick Joe Rahall, II | Page 4 of 15

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the *income earned for the account*. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, *please specify the method used.*
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. *Dividends*, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

	BLOCK A	BLOCK B												BLOCK C							BLOCK D											BLOCK E
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples*																														
SP	Mega Corp. Stock					X								X										X							P
DC	Simon & Schuster		Indefinite																	Royalties								X			
JT	1st Bank of Paducah, KY accounts						X									X									X						
JT	EGR Industries, Inc. –							X								X										X					
	Investment Bldg & Land –																														
	Harper Road, CO–Mac store (lessee)																														
	Cellular One, Beckley, WV (lessee)																														
	1/2 with brother																														

For additional assets and unearned income, use next page.

4913

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nick Joe Rahall, II

SP DC JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P S F
	Hilton Head SC Time - Share Property - 2 week peak season			X												X								X							
	Wachovia Securities Account - See Attachment 1									X					X		X	X										X			Attach.12
SP	Incentive Stock Options - 31,225 sh Enviance Corporation			X										X							X										
	Morgan Stanley Access #1 Acct. See Attachments 2 and 3 (Acct. *****8600256)					X									X		X	X								X					Attach.13
	Morgan Stanley Access #2 Acct. See Attachments 4 and 5 (Acct. *****3487256)					X									X		X	X								X					Attach.14

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nick Joe Rahall, II

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Morgan Stanley Access #3 Acct. See Attachments 6 and 7 (Acct. *****2048256)							X							X		X	X									X				Attach.15
	Morgan Stanley IRA Account. See Attachment 8				X										X		X	X						X							Attach.16
	Congressional Fed Credit Union Account		X														X					X									
	5.38% Interest SNI Development Company Partnership real estate rental, NY						X													Partnership						X					
	2.80% Interest Cambridge Heights Apartments Partnership, real estate rental, MS		X											X						Partnership	X										

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Nick Joe Rahall, II

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P. S. E
	.006449% Interest SB Partners Limited Partnership, real estate rental, FL, MO, SC, MN, PA			X																Partnership			X								
	Wachovia Securities SEP IRA - Attachment 11						X								X		X	X							X						Attach.18
	Portfolio Strategy Fund Partnership - Attachment 9 - (investments - other) NY					X								X						Partnership	X										
	Morgan Stanley Dean Witter Account #****15916 Attachment 9				X										X		X	X								X						Attach.17
	Wachovia Bank N. A. Checking Account			X													X					X										

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nick Joe Rahall, II

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	10 Acres Real Estate - 3533 Marathon Avenue Castle Hayne, NC							X						X							X									
	Nick Rahall Charitable Remainder Unit Trust (5% Unitrust Interest) Attachment 10								X											Trust						X				

This page may be copied if more space is required.

Name Nick Joe Rahall, II | Page 9 of 15

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. *Include transactions that resulted in a loss.* Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
	Wachovia Securities Account – Attachment 12	X	X		See Attached					X					
	Morgan Stanley Dean Witter Access #1 (Account *****8600256) – Attachment 13	X	X		See Attached			X							
	Morgan Stanley Dean Witter Access #2 (Account *****3487256) – Attachment 14	X	X		See Attached			X							
	Morgan Stanley Dean Witter Access #3 (Account *****2048256) – Attachment 15	X	X		See Attached						X				
	Morgan Stanley IRA Account Attachment 16	X			Various	X									
	Morgan Stanley (Account ****15916) Attachment 17		X		Various			X							

This page may be copied if more space is required.

4918

SCHEDULE IV — TRANSACTIONS

Name Nick Joe Rahall, II

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example.* Mega Corporation Common Stock	X			10-12-06		X								
	Wachovia Securities SEP IRA:	X	X		Various			X							
	Attachment 18														

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Citi Cards	Cash Advances & Credit Charges		X								
	Chase Manhattan Mortgage Corp. Columbus, OH	Mortgage on 3533 Marathon Avenue, NC					X					
	American Express	Cash Advances & Credit Charges		X								
	Chase #4776	Cash Advances & Credit Charges	X									
	Bank of America	Cash Advances & Credit Charges		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
NONE		

Use additional sheets if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	Chase #2130	Cash Advances & Credit Charges	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
Roycroft Corporation	Aug 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
National Motor Freight Traffic Assoc.	2/3-7/06	Washington, DC to Tampa, FL roundtrip	Y	Y	Y	0
National Motor Freight Traffic Assoc.	3/17-20/06	Washington, DC to Myrtle Beach, SC and return	N	Y	N	0
U.S. Former Members of Congress	11/18-19/06	White Sulphur Springs, WV (no travel expenses)	Y	Y	Y	0

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: *Positions held in any religious, social, fraternal, or political entities;* positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Vice President & Secretary	E G R Industries, Inc.
Member (Uncompensated)	Professional Advisory Board of ALSAC/St. Jude's Children's Research Hospital
Member (Uncompensated)	American Task Force for Lebanon Board of Directors
Partner (Uncompensated)	Atlantic Equine Services, LLC (Family Partnership)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2007 33-427 (mac)

Use additional sheets if more space is required.

Nick J. Rahall II

Attachment to Financial Disclosure Statement

2006

Please note that assets belonging to dependent child Suzanne Rahall have been excluded this year, since she is no longer a "dependent" within the meaning of section 152 of the Internal Revenue Code.

WACHOVIA SECURITIES

NICK JOE RAHALL II

ACCOUNT STATEMENT

Sub / Branch / Rep / Account No.
001 / BK / BK08 /

December 1 - December 31, 2006

Attachment 1 - p.1

Your Financial Advisor:

EDWARD G RAHALL
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802
304-252-6221

Portfolio Summary

Portfolio Assets	Value on Nov 30	Value on Dec 31	Est. Ann. Income	% Total Assets
Cash and Money Market Funds	0.00	0.00	0	
Bank Deposit Sweep Option *	368,957.55	396,554.03	14,672	26.96
Stocks and Options	913,807.00	921,863.00	14,193	62.67
Fixed Income Securities	153,473.25	152,495.30	3,830	10.37
Open End Mutual Funds	0.00	0.00	0	
Closed End Mutual Funds	0.00	0.00	0	
Portfolio Value	**$1,436,237.80**	**$1,470,912.33**	**$32,695**	**100%**

*The Bank Deposit Sweep Option consists of monies held in an interest-bearing deposit account at Wachovia Bank, N.A. These assets are not held in your securities brokerage account and therefore not covered by SIPC. Such monies are eligible for FDIC insurance, up to $100,000 per depositor ($250,000 for IRA accounts) in accordance with FDIC rules.

As a Wachovia Securities Client, you can easily simplify your finances by combining all your investing and banking into a single, easy-to-manage relationship. By upgrading to the Command Asset Program, you'll have access to many more features and benefits to help you manage your finances. You'll see all your investing and banking activity on one comprehensive monthly statement.

It's as simple as talking with Your Financial Advisor. Ask them today about the Command Asset Program.

4925

Stocks and Options

Stocks

Description	Symbol	Quantity	Price or Adj Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann Yield (%)
AMERICAN EXPRESS COMPANY Acquired 03/13/95	AXP	1,500	11.00	16,509.00	60.6700	91,005.00	74,496.00	900.00	0.98
AMGEN INC Acquired 06/14/90	AMGN	3,000	1.58	4,749.99	68.3100	204,930.00	200,180.01	N/A	N/A
BRISTOL MYERS SQUIBB CO Acquired 07/12/83	BMY	1,000	4.87	4,871.60	26.3200	26,320.00	21,448.40	1,120.00	4.25
CITRIX SYSTEM INC Acquired 12/22/06	CTXS	150	26.92	4,163.40	27.0500	4,057.50	- 105.90	N/A	N/A
COCA-COLA COMPANY Acquired 12/28/93	KO	1,000	22.25	22,544.75	48.2500	48,250.00	25,705.25	1,240.00	2.56
HOME DEPOT INC Acquired 05/20/93	HD	3,200	10.50	33,619.20	40.1600	128,512.00	94,892.80	2,880.00	2.24
JOHNSON & JOHNSON Acquired 08/07/03	JNJ	1,000	50.43	50,680.00	66.0200	66,020.00	15,340.00	1,500.00	2.27
MCDONALDS CORP Acquired 08/22/94	MCD	2,000	13.18	26,705.20	44.3300	88,660.00	61,954.80	2,000.00	2.25
RED ROBIN GOURMET BURGERS INC Acquired 11/07/06 Acquired 11/10/06	RRGB	125 125	35.41 33.73	4,531.25 4,321.50	35.8500	4,481.25 4,481.25	- 50.00 159.75	N/A	N/A
Total		250		$8,852.75	35.8500	$8,962.50	$109.75	N/A	N/A
WAL-MART STORES INC Acquired 01/15/85	WMT	3,500	1.27	4,527.99	46.1800	161,630.00	157,102.01	2,345.00	1.45
3M CO Acquired 11/25/92	MMM	1,200	24.76	29,718.21	77.9300	93,516.00	63,797.79	2,208.00	2.36
Total Stocks				$206,942.09		$921,863.00	$714,920.91	$14,193.00	1.54
Total Stocks and Options				$206,942.09		$921,863.00	$714,920.91	$14,193.00	1.54

WACHOVIA SECURITIES

NICK JOE RAHALL II

Sub / Branch / Rep / Account No.
001 / BK / BK08 /

December 1 - December 31, 2006

Fixed Income Securities

Municipal Bonds

Description	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Accrued Interest	Est. Ann. Income	Est. Ann. Yield (%)
WEST VIRGINIA ST CAP APPREC INFRASTUCTURE A OY=4.9% FGIC INSURED CPN 0.000% DUE 11/01/10 DTD 05/25/99 Moody AAA , S&P AAA CUSIP 956553NU1 Acquired 08/03/05	25,000	83.08	21,804.51	86.5770	21,644.25	- 160.26	N/A	N/A	N/A
WEST VIRGINIA ECONOMIC DEV AUTH LEASE REV DEPT OF ENVIROMENTAL PROTN CPN 4.500% DUE 11/01/14 DTD 11/01/02 FC 05/01/03 CALL 11/01/12 @ 102.000 Moody A1 , S&P A+ CUSIP 95648MDD8 Acquired 11/17/05	20,000	101.46	20,292.80	104.5850	20,917.00	624.20	145.00	900.00	4.30
RALEIGH COUNTY W VA BLDG COMMN UNIV FACS REV MOUNTAIN ST B/Q B/E OID CPN 4.400% DUE 12/01/14 DTD 04/15/04 FC 06/01/04 CALL 12/01/12 @ 102.000 Moody BAA3 CUSIP 751062AL4 Acquired 01/04/06	20,000	99.00	19,814.23	98.1090	19,621.80	- 192.43	68.40	880.00	4.48
KANAWHA PUTNAM CNTY HUNTINGTON CHARLESTON WV S/F MTG 84A OY=11.5% CPN 0.000% DUE 12/01/16 DTD 09/19/84 Moody AAA , S&P AAA CUSIP 483686BF7							N/A	N/A	N/A
Acquired 01/15/96	50,000	31.25	28,414.35	66.7350	33,367.50	4,953.15			
Acquired 05/23/96	25,000	30.93	14,062.85		16,683.75	2,620.90			
Total	75,000		$42,477.20	66.7350	$50,051.25	$7,574.05	N/A	N/A	N/A

4927

Fixed Income Securities

Municipal Bonds continued

Description	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Accrued Interest	Est. Ann. Income	Est. Ann. Yield (%)
PRINCETON W VA HOSP REV RFDG-PRINCETON CMNTY HOSP B/E CPN 6.000% DUE 05/01/18 DTD 05/01/93 FC 11/01/93 CALL 12/28/06 @ 100.000 Moody B2 , S&P B CUSIP 742501AS8 Acquired 01/21/00	20,000	94.25	19,043.53	100.0310	20,006.20	962.67	193.40	1,200.00	5.99
WV ST WTR DEV AUTH WTR DEV REV LN PG SER A-1 B/E OID AMBAC CPN 4.250% DUE 11/01/18 DTD 09/01/03 FC 05/01/04 CALL 11/01/12 @ 102.000 Moody AAA , S&P AAA CUSIP 956695RU6 Acquired 05/02/05	20,000	100.01	20,003.40	101.2740	20,254.80	251.40	137.00	850.00	4.19
Total Municipal Bonds	**160,000**		**$143,435.67**		**$152,495.30**	**$9,059.63**	**$543.80**	**$3,830.00**	**2.51**
Total Fixed Income Securities			**$143,435.67**		**$152,495.30**	**$9,059.63**	**$543.80**	**$3,830.00**	**2.51**

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
12/01				BEGINNING BALANCE			368,957.55
12/01	Cash	DIVIDEND		MCDONALDS CORP 120106 2,000		2,000.00	
12/01	Cash	INTEREST		RALEIGH COUNTY W VA BLDG COMMN UNIV FACS REV MOUNTAIN ST B/Q B/E OID CPN 4.400% DUE 12/01/14 DTD 04/15/04 FC 06/01/04 120106 20,000 CUSIP 751062AL4		440.00	371,397.55

Morgan Stanley

ACTIVE ASSETS® ACCESS ACCOUNT

FOR YEAR ENDING DECEMBER 31, 2006

Your Financial Advisor
PEAR/HANDO/FELDMAN/ADAMS
MORGAN STANLEY
1775 EYE STREET, NW SUITE 200
WASHINGTON, DC 20006
(202) 862-9000

Your Investment Manager
BRANDES INVESTMENT PARTNERS, LLC

Brandes Investments

BBMJGHN
00206396 02 AV 0.555 02 TR 1043 -000

THE HONORABLE NICK JOE RAHALL
301 E PRINCE STREET
BECKLEY WV 25801-4058

642

Account Number

AAA Customer Service
1-800-869-3326
Householding Anniv. Date:
05/29/2003

Access Your Account at:
www.morganstanley.com/online

Attachment 2 - p.1

Asset Summary

	Value	% of Assets
MMF and Bank Deposits*	$2,471.62	3.0%
Stocks	77,993.42	97.0
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	0.00	0.0
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	0.00	0.0
Asset Value	$80,465.04	100.0%
Cash	3.15	
Total Asset Value	**$80,468.19**	

Income Summary

	Year To Date
MMF and Bank Deposits*	$134.43
Stocks	1,264.87
Municipal Bonds	0.00
Corporate Fixed Income	0.00
Government Securities	0.00
Mutual Funds	0.00
Unit Investment Trusts	0.00
Certificates of Deposit	0.00
Other	0.00
Total Income	**$1,399.30**
Taxable Income	$1,399.30
Tax Exempt Income	$0.00

*Eligible for FDIC insurance; FDIC rules apply. Not SIPC insured.

Activity Summary

Total Asset Value January 01, 2006 **$65,418.17**

Cash/Money Market Funds/Bank Deposits* Activity for 2006

Credits To Your Account		
Dividend and Interest	1,399.30	
Deposits	0.00	
Sales Proceeds/Redemptions	33,248.66	
Other Credits	34.89	
Total Credits	34,682.85	
Debits To Your Account		
Checking	0.00	
Debit Card	0.00	
Withdrawals	0.00	
Funds to Purchase Securities	-35,059.42	
Other Debits	-1,043.88	
Total Debits	-36,103.30	

Changes In Asset Value for 2006

Value of Priced Assets 1/01/06	$61,517.15	
Securities Bought	35,059.42	
Securities Received	0.00	
Securities Sold/Redeemed	-33,248.66	
Securities Delivered	0.00	
Transactions at Fund Company	0.00	
Change in Value of Priced Assets	14,665.51	
Value of Priced Assets 12/31/06	77,993.42	
Net Change in Asset Value		16,476.27
Total Asset Value as of December 31 2006		**$80,468.19**

Investments and services are offered through Morgan Stanley DW Inc., member SIPC.

4929

Morgan Stanley

ACTIVE ASSETS® ACCESS ACCOUNT
FOR YEAR ENDING DECEMBER 31, 2006

THE HONORABLE NICK JOE RAHALL
301 E PRINCE STREET

Account Number

ASSET DETAILS

Cash/Money Market Funds/Bank Deposits	Amount	Pct of Assets	Est Yrly Income	APY	Annualized 30 Day Yields
CASH	3.15	---	---	---	---
ACTIVE ASSETS MONEY TRUST	2,471.62	3.0%	120	---	4.89%
Total Money Market Funds	2,471.62	3.0%	120	---	---
Total Cash/MMF/Bank Deposits	2,474.77	3.0%	120	---	---
Net Change Since January 1, 2006	-1,428.45				

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
52	AGERE SYS INC NEW	19.17	996.84	1.3%	0	0.00%	AGR	
195	ALCATEL-LUCENT ADS	14.22	2,772.90	3.5%	32	1.18%	ALU	
10	ALTRIA GROUP INC	85.82	858.20	1.1%	34	4.00%	MO	Next Dividend Payable 01/10/07
20	AMERN AXLE & MFG HLDGS INC	18.99	379.80	0.5%	12	3.15%	AXL	Next Dividend Payable 03/07
25	AT&T INC	35.75	893.75	1.2%	35	3.97%	T	Next Dividend Payable 02/07
35	BELLSOUTH CORP	47.11	1,648.85	2.1%	40	2.46%	BLS	Next Dividend Payable 02/07
100	BOSTON SCIENTIFIC CORP	17.18	1,718.00	2.2%	0	0.00%	BSX	
95	BRISTOL MYERS SQUIBB CO	26.32	2,500.40	3.2%	106	4.25%	BMY	Next Dividend Payable 02/07
90	CHEMTURA CORPORATION	9.63	866.70	1.1%	18	2.07%	CEM	Next Dividend Payable 02/07
10	CITIGROUP INC	55.70	557.00	0.7%	19	3.51%	C	Next Dividend Payable 02/07
55	CONSECO INC NEW	19.98	1,098.90	1.4%	0	0.00%	CNO	
30	COOPER TIRE & RUBBER	14.30	429.00	0.6%	12	2.93%	CTB	Next Dividend Payable 03/07
80	DANA CORP	1.39	111.20	0.1%	0	0.00%	DCNAQ	
80	DELL INC	25.09	2,007.20	2.5%	0	0.00%	DELL	
40	DOW CHEMICAL CO	39.90	1,596.00	2.0%	60	3.75%	DOW	Next Dividend Payable 01/30/07
70	EASTMAN KODAK CO	25.80	1,806.00	2.2%	35	1.93%	EK	Next Dividend Payable 06/07
65	EL PASO CORPORATION	15.28	993.20	1.2%	10	1.04%	EP	Next Dividend Payable 01/02/07
35	ELECTR DATA SYSTEMS CORP NEW	27.55	964.25	1.2%	7	.72%	EDS	Next Dividend Payable 03/07
40	FIFTH 3RD BANCORP OHIO	40.93	1,637.20	2.0%	64	3.90%	FITB	Next Dividend Payable 01/18/07
390	FORD MOTOR CO NEW	7.51	2,928.90	3.6%	0	0.00%	F	
40	GANNETT COMPANY INC DE	60.46	2,418.40	3.0%	49	2.05%	GCI	Next Dividend Payable 01/02/07
195	GATEWAY INC	2.01	391.95	0.5%	0	0.00%	GTW	
90	GENERAL MOTORS CORP	30.72	2,764.80	3.4%	90	3.25%	GM	Next Dividend Payable 03/07
90	GOODYEAR TIRE & RUBBER	20.99	1,889.10	2.3%	0	0.00%	GT	
85	H & R BLOCK INC	23.04	1,958.40	2.4%	45	2.34%	HRB	Next Dividend Payable 01/02/07
55	HUNTSMAN CORP	18.97	1,043.35	1.3%	0	0.00%	HUN	
27	IDEARC INC	28.65	773.55	1.0%	0	0.00%	IAR	
120	INTEL CORP	20.25	2,430.00	3.0%	48	1.97%	INTC	Next Dividend Payable 03/07
25	INTL BUSINESS MACHINES CORP	97.15	2,428.75	3.0%	30	1.23%	IBM	Next Dividend Payable 03/07
60	KROGER CO	23.07	1,384.20	1.7%	15	1.12%	KR	Next Dividend Payable 03/07

THE HONORABLE NICK JOE RAHALL
301 S PRINCE STREET

Account Number

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
30	LEAR CORP	29.53	885.90	1.1%	0	0.00%	LEA	
15	LOEWS CORP DELAWARE	41.47	622.05	0.8%	3	.60%	LTR	Next Dividend Payable 03/07
35	MARSH & MCLENNAN COS INC	30.66	1,073.10	1.3%	23	2.21%	MMC	Next Dividend Payable 02/07
30	MCCLATCHY CO-CL A (HLDG CO)	43.30	1,299.00	1.6%	21	1.66%	MNI	Next Dividend Payable 01/02/07
10	MERCK & CO	43.60	436.00	0.5%	15	3.48%	MRK	Next Dividend Payable 01/02/07
140	MICRON TECH INC	13.96	1,954.40	2.4%	0	0.00%	MU	
60	MICROSOFT CORP	29.86	1,791.60	2.2%	24	1.33%	MSFT	Next Dividend Payable 03/07
20	NATIONWIDE FIN SVCS INC CL A	54.20	1,084.00	1.3%	18	1.69%	NFS	Next Dividend Payable 01/16/07
100	PFIZER INC	25.90	2,590.00	3.2%	116	4.47%	PFE	Next Dividend Payable 03/07
85	POPULAR INC	17.95	1,525.75	1.9%	54	3.56%	BPOP	Next Dividend Payable 01/02/07
60	SAFEWAY INC COM NEW	34.56	2,073.60	2.6%	13	.66%	SHY	Next Dividend Payable 01/19/07
185	SANMINA CORP	3.45	638.25	0.8%	0	0.00%	SANM	
100	SARA LEE CORP	17.03	1,703.00	2.1%	40	2.34%	SLE	Next Dividend Payable 01/08/07
100	SCHERING PLOUGH CORP	23.64	2,364.00	2.9%	22	.93%	SGP	Next Dividend Payable 02/07
265	SOLECTRON CP	3.22	853.30	1.1%	0	0.00%	SLR	
51	SUPERVALU INC	35.75	1,823.25	2.3%	33	1.84%	SVU	Next Dividend Payable 03/07
185	TENET HEALTHCARE CORP	6.97	1,289.45	1.6%	0	0.00%	THC	
50	TRIBUNE CO DELAWARE NEW	30.78	1,539.00	1.9%	36	2.33%	TRB	Next Dividend Payable 03/07
65	UTSTARCOM INC	8.75	568.75	0.7%	0	0.00%	UTSI	
55	VERIZON COMMUNICATIONS	37.24	2,048.20	2.5%	89	4.35%	VZ	Next Dividend Payable 02/07
75	VISTEON CORP	8.48	636.00	0.8%	0	0.00%	VC	
19	WHIRLPOOL CORP	83.02	1,577.38	2.0%	32	2.07%	WHR	Next Dividend Payable 03/07
15	WYETH	50.92	765.80	0.9%	15	2.06%	WYE	Next Dividend Payable 03/07
115	XEROX CORP	16.95	1,949.25	2.4%	0	0.00%	XRX	
160	3COM CORPORATION	4.11	657.60	0.8%	0	0.00%	COMS	
Total Stocks			$77,993.42	97.0%	$1,327	1.70%		
Net Change Since January 1, 2005			$16,476.27					

Asset Summary	Value	Est Yrly Income
Total Asset Value	$80,468.19	$1,446
Total Net Change In Priced Assets Since January 1, 2005	$16,476.27	

SECURITY MARKS AT LEFT

Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2006 Copy B For Recipient

Morgan Stanley DW Inc.
Harborside Financial Center
Jersey City, NJ 07311
Identification Number: 94-1671384

THE HONORABLE NICK JOE RAHALL
301 E PRINCE STREET
BECKLEY WV 25801-4058

Taxpayer ID Number:
Account Number:

Customer Service: 800-869-3326

Attachment 3 - p.1

4932

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

IRS BOX	2006 FORM 1099-DIV - DIVIDENDS AND DISTRIBUTIONS OMB NO. 1545-0110	
1a.	TOTAL ORDINARY DIVIDENDS	$1,399.30
1b.	QUALIFIED DIVIDENDS	$1,264.87
2a.	TOTAL CAPITAL GAIN DISTRIBUTIONS	$0.00
2b.	UNRECAP. SEC. 1250 GAIN	$0.00
2d.	COLLECTIBLES (28%) GAIN	$0.00
3.	NON-DIVIDEND DISTRIBUTIONS	$0.00
4.	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
5.	INVESTMENT EXPENSES	$0.00
6.	FOREIGN TAX PAID	$0.80
8.	CASH LIQUIDATION DISTRIBUTIONS	$0.00
9.	NON-CASH LIQUIDATION DISTRIBUTIONS	$0.00

IRS BOX	2006 FORM 1099-OID - ORIGINAL ISSUE DISCOUNT OMB NO. 1545-0117	
1.	ORIGINAL ISSUE DISCOUNT FOR 2006	$0.00*
2.	OTHER PERIODIC INTEREST	$0.00
4.	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
6.	OID ON U.S. TREASURY OBLIGATIONS	$0.00*
7.	INVESTMENT EXPENSES	$0.00

*This may not be the correct figure to report on your income tax return. See instructions on the back.

IRS BOX	2006 FORM 1099-INT - INTEREST INCOME OMB NO. 1545-0112	
1.	INTEREST INCOME	$0.00
3.	INTEREST ON U.S. SAVINGS BONDS AND TREASURY OBLIGATIONS	$0.00
4.	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
5.	INVESTMENT EXPENSES	$0.00
6.	FOREIGN TAX PAID	$0.00
8.	TAX-EXEMPT INTEREST	$0.00
9.	SPECIFIED PRIVATE ACTIVITY BOND INTEREST	$0.00

IRS BOX	2006 FORM 1099-MISC - MISCELLANEOUS INCOME OMB NO. 1545-0115	
2.	ROYALTIES	$0.00
3.	OTHER INCOME	$0.00
4.	FEDERAL INCOME TAX WITHHELD	$0.00
8.	SUBSTITUTE PAYMENTS IN LIEU OF DIVIDENDS OR INTEREST	$0.00

IRS BOX	2006 FORM 1099-B - PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715	
2.	GROSS PROCEEDS LESS COMMISSIONS ON STOCKS, BONDS, ETC	$33,968.14
4.	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
8.	PROFIT OR (LOSS) REALIZED IN 2006	$0.00
9.	UNREALIZED PROFIT OR (LOSS) ON OPEN CONTRACTS 12/31/2005	$0.00
10.	UNREALIZED PROFIT OR (LOSS) ON OPEN CONTRACTS 12/31/2006	$0.00
11.	AGGREGATE PROFIT OR (LOSS)	$0.00

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

REALIZED GAIN/(LOSS) SUMMARY

This Gain and Loss Summary is provided for informational purposes only. It is important that you consult with a professional tax advisor regarding your individual tax situation, as Morgan Stanley DW, Inc. (Morgan Stanley) cannot provide you with tax advice. Although Morgan Stanley is providing this information as an additional service, we do not guarantee that it is sufficient for tax reporting purposes, and are not liable for your reliance upon or use of this information. The Gain and Loss Summary is not a substitute 1099 form (or any other appropriate tax form), has not been supplied to the IRS and should not be filed with your taxes.

If cost basis information is not available, gain or (loss) will not be reflected on this Summary. For securities not purchased through Morgan Stanley, including securities purchased elsewhere and later transferred to Morgan Stanley, cost basis information may not be provided. To correct any information supplied by Morgan Stanley, please inform your Financial Advisor. To add cost basis information, you may either inform your Financial Advisor or input the data directly yourself through our client-only Web site, ClientServ. Morgan Stanley will adjust cost basis as appropriate in accordance with tax laws. However, Morgan Stanley does not adjust for all events and you should contact your tax advisor to determine the appropriate information to be used in the preparation of your tax return.

Short Term

DESCRIPTION	SYMBOL	QUANTITY	DATE ACQUIRED	DATE SOLD	TOTAL COST ORIGINAL/ ADJUSTED	PROCEEDS	REALIZED GAIN/(LOSS)	ADDITIONAL INFORMATION
ALBERTSONS INC	ABS	0.000	07/27/2005	06/05/2006	$84.76	$98.91	$14.15	
ALBERTSONS INC	ABS	20.000	07/27/2005	06/05/2006	$348.81	$407.00	$58.19	
AVAYA INC	AV	50.000	07/06/2005	05/08/2006	$400.21	$639.98	$239.77	
AVON PRODUCTS INC	AVP	25.000	11/21/2005	05/24/2006	$661.87	$786.56	$124.69	
CONVERGYS CORPORATION	CVG	50.000	06/22/2005	06/15/2006	$664.29	$952.64	$288.35	
ELI LILLY & CO	LLY	10.000	11/29/2005	07/31/2006	$505.40	$565.09	$59.69	
GENERAL MOTORS CORP	GM	15.000	01/04/2006	07/26/2006	$286.86	$480.79	$193.93	
HANESBRANDS INC	HBI	0.000	05/12/2006	09/05/2006	$0.00	$10.86	$10.86	Cash in Lieu
HANESBRANDS INC	HBI	4.000	05/12/2006	09/11/2006	$80.61	$86.99	$6.38	
HANESBRANDS INC	HBI	8.000	06/15/2006	09/11/2006	$179.04	$173.98	$(5.06)	
IDEARC INC	IAR	0.000	11/17/2006	11/17/2006	$0.00	$21.25	$21.25	Cash in Lieu
KNIGHT RIDDER INC	KRI	10.000	11/29/2005	06/28/2006	$400.00	$400.00	$0.00	
LEXMARK INTL INC NEW A	LXK	10.000	11/03/2005	05/23/2006	$413.87	$512.51	$98.64	
LEXMARK INTL INC NEW A	LXK	15.000	11/03/2005	09/25/2006	$620.81	$884.06	$263.25	
MARSH & MCLENNAN COS INC	MMC	20.000	11/29/2005	11/17/2006	$832.60	$844.80	$12.20	
MATTEL INC	MAT	10.000	11/01/2005	09/15/2006	$151.41	$191.82	$40.41	
MATTEL INC	MAT	75.000	11/01/2005	10/18/2006	$1,135.58	$1,636.23	$500.65	
MCCLATCHY CO-CL A (HLDG CO)	MNI	0.000	06/28/2006	06/28/2006	$0.00	$4.65	$4.65	Cash in Lieu
NEWS CORP LTD CL A DEL	NWS'A	80.000	01/18/2006	05/26/2006	$1,289.20	$1,520.01	$230.81	
SUN MICROSYSTEMS INC	SUNW	160.000	05/03/2005	01/09/2006	$610.83	$731.95	$121.12	
SUN MICROSYSTEMS INC	SUNW	125.000	05/03/2005	02/01/2006	$477.21	$579.98	$102.77	
SUN MICROSYSTEMS INC	SUNW	10.000	05/10/2005	02/01/2006	$38.17	$46.40	$8.23	
SUPERVALU INC	SVU	0.000	06/05/2006	06/05/2006	$0.00	$23.82	$23.82	Cash in Lieu
WHIRLPOOL CORP	WHR	0.000	04/04/2006	04/04/2006	$0.00	$71.74	$71.74	Cash in Lieu
Total Short Term						**$11,472.02**	**$2,490.49**	

CONTINUED ON NEXT PAGE

REALIZED GAIN/(LOSS) SUMMARY(continued)

Long Term

DESCRIPTION	SYMBOL	QUANTITY	DATE ACQUIRED	DATE SOLD	TOTAL COST ORIGINAL/ ADJUSTED	PROCEEDS	REALIZED GAIN/(LOSS)	ADDITIONAL INFORMATION
ALBERTSONS INC	ABS	40.000	06/24/2004	02/03/2006	$1,078.46	$1,000.01	$(78.45)	
ALBERTSONS INC	ABS	0.000	06/24/2004	06/05/2006	$26.36	$24.73	$(1.63)	
ALBERTSONS INC	ABS	5.000	06/24/2004	06/05/2006	$108.45	$101.75	$(6.70)	
ALBERTSONS INC	ABS	40.000	12/29/2004	06/05/2006	$758.74	$814.00	$55.26	
ALBERTSONS INC	ABS	0.000	12/29/2004	06/05/2006	$184.50	$197.89	$13.39	
ALCATEL-LUCENT ADS	ALU	0.200	06/24/2004	12/01/2006	$3.88	$2.60	$(1.28)	
ALTRIA GROUP INC	MO	10.000	06/24/2004	07/21/2006	$486.88	$791.97	$305.09	
AMR CORP DE	AMR	30.000	06/24/2004	03/20/2006	$342.00	$817.76	$475.76	
AMR CORP DE	AMR	35.000	06/24/2004	05/04/2006	$399.00	$979.58	$580.58	
AT&T INC	T	50.000	06/24/2004	08/14/2006	$1,188.67	$1,502.45	$313.78	
AT&T INC	T	20.000	06/24/2004	09/21/2006	$475.47	$645.16	$169.69	
BELLSOUTH CORP	BLS	20.000	06/24/2004	08/07/2006	$502.00	$806.80	$304.80	
BELLSOUTH CORP	BLS	35.000	06/24/2004	08/30/2006	$878.50	$1,424.45	$545.95	
DYNEGY INC (HLDG CO) CL A	DYN	110.000	10/21/2005	12/11/2006	$484.00	$733.29	$249.29	
ELECTR DATA SYSTEMS CORP NEW	EDS	20.000	07/09/2004	03/14/2006	$369.91	$546.41	$176.50	
HEWLETT PACKARD	HPQ	30.000	06/24/2004	03/13/2006	$636.00	$997.58	$361.58	
IKON OFFICE SOLUTIONS INC	IKN	55.000	01/11/2005	11/17/2006	$596.33	$872.80	$276.47	
JPMORGAN CHASE & CO	JPM	10.000	06/24/2004	09/11/2006	$377.50	$452.86	$75.36	
JPMORGAN CHASE & CO	JPM	15.000	06/24/2004	09/25/2006	$566.25	$704.37	$138.12	
JPMORGAN CHASE & CO	JPM	15.000	06/24/2004	10/03/2006	$566.25	$707.98	$141.73	
KROGER CO	KR	35.000	06/24/2004	06/13/2006	$640.13	$690.37	$50.24	
KROGER CO	KR	15.000	06/24/2004	07/20/2006	$274.34	$338.28	$63.94	
KROGER CO	KR	10.000	08/22/2004	07/20/2006	$155.44	$225.52	$70.08	
LEXMARK INTL INC NEW A	LXK	10.000	11/03/2005	11/22/2006	$413.87	$680.19	$268.32	
MAYTAG CORP	MYG	0.000	12/17/2004	04/04/2006	$386.79	$365.84	$(20.95)	
MAYTAG CORP	MYG	40.000	12/17/2004	04/04/2006	$436.16	$420.00	$(16.16)	
MICRON TECH INC	MU	30.000	08/19/2004	05/04/2006	$344.83	$510.05	$165.22	
SAFEWAY INC COM NEW	SWY	25.000	06/24/2004	06/12/2006	$635.84	$607.81	$(28.03)	
SAFEWAY INC COM NEW	SWY	35.000	06/24/2004	08/04/2006	$890.18	$1,009.44	$119.26	
SAFEWAY INC COM NEW	SWY	15.000	06/24/2004	09/07/2006	$381.50	$455.23	$73.73	
SCHERING PLOUGH CORP	SGP	25.000	06/24/2004	07/31/2006	$468.25	$514.34	$46.09	
SUN MICROSYSTEMS INC	SUNW	105.000	05/10/2005	10/26/2006	$400.83	$563.64	$162.81	
SUN MICROSYSTEMS INC	SUNW	115.000	07/22/2005	10/26/2006	$444.98	$617.32	$172.34	
SYNOPSYS INC	SNPS	35.000	01/07/2005	02/03/2006	$632.40	$784.08	$151.68	
XEROX CORP	XRX	35.000	06/15/2004	11/07/2006	$504.78	$589.57	$84.79	
Total Long Term						**$22,496.12**	**$5,456.65**	
Total Short And Long Term						**$33,969.14**	**$7,947.14**	

Morgan Stanley

ACTIVE ASSETS® ACCESS ACCOUNT

FOR YEAR ENDING DECEMBER 31, 2006

Your Financial Advisor
PEAR/HANDO/FELDMAN/ADAMS
MORGAN STANLEY
1775 EYE STREET, NW, SUITE 200
WASHINGTON, DC 20006
(202) 862-9000

Your Investment Manager:
LAZARD ASSET MANAGEMENT, LLC

SBHJGVH
00206397 02 AV 0.555 02 TR 1063 -000

THE HONORABLE NICK JOE RAHALL
301 E PRINCE STREET
BECKLEY WV 25801-4058

642

Account Number

AAA Customer Service
1-800-869-3328
Householding Anniv. Date:
05/29/2003

Access Your Account at:
www.morganstanley.com/online

Attachment 4 - p.1

Asset Summary

	Value	% of Assets
MMF and Bank Deposits*	$3,327.73	3.7%
Stocks	85,270.10	96.3
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	0.00	0.0
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	0.00	0.0
Asset Value	$88,597.83	100.0%
Cash	2.24	
Total Asset Value	**$88,600.07**	

Income Summary

	Year To Date
MMF and Bank Deposits*	$185.28
Stocks	2,051.83
Municipal Bonds	0.00
Corporate Fixed Income	0.00
Government Securities	0.00
Mutual Funds	0.00
Unit Investment Trusts	0.00
Certificates of Deposit	0.00
Other	0.00
Total Income	**$2,237.11**
Taxable Income	$2,237.11
Tax Exempt Income	$0.00

*Eligible for FDIC insurance; FDIC rules apply. Not SIPC insured.

Activity Summary

Total Asset Value January 01, 2006		$70,905.91
Cash/Money Market Funds/Bank Deposits* Activity for 2006		
Credits To Your Account		
Dividend and Interest	2,237.11	
Deposits	0.00	
Sales Proceeds/Redemptions	29,928.21	
Other Credits	40.93	
Total Credits	32,206.25	
Debits To Your Account		
Checking	0.00	
Debit Card	0.00	
Withdrawals	0.00	
Funds to Purchase Securities	-33,394.77	
Other Debits	-1,160.28	
Total Debits	-34,555.05	

Changes in Asset Value for 2006	
Value of Priced Assets 1/01/06	$67,575.23
Securities Bought	33,394.77
Securities Received	0.00
Securities Sold/Redeemed	-29,928.21
Securities Delivered	0.00
Transactions at Fund Company	0.00
Change in Value of Priced Assets	14,228.31
Value of Priced Assets 12/31/06	85,270.10
Net Change in Asset Value	17,694.87
Total Asset Value as of December 31 2006	$88,600.07

Investments and services are offered through Morgan Stanley DW Inc., member SIPC.

4935

Morgan Stanley

ACTIVE ASSETS® ACCESS ACCOUNT
FOR YEAR ENDING DECEMBER 31, 2006

THE HONORABLE NICK JOE RAHALL
301 E PRINCE STREET

Account Number

ASSET DETAILS

Cash/Money Market Funds/Bank Deposits	Amount	Pct of Assets	Est Yrly Income	APY	Annualized 30 Day Yield%
CASH	2.24	---	---	---	---
ACTIVE ASSETS MONEY TRUST	5,327.73	5.7%	162	---	4.89%
Total Money Market Funds	$5,327.73	5.7%	162		
Total Cash/MMF/Bank Deposits	$5,329.97	5.7%	$162		
Net Change Since January 1, 2006	$1,346.89				

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
35	ADIDAS-SALOMON AG SPONS ADR	24.94	872.97	1.0%	0	0.00%	ADDYY	
55	AUTOMATIC DATA PROCESSING INC	49.25	2,708.75	3.1%	50	1.86%	ADP	Next Dividend Payable 01/01/07
51	BANK OF AMERICA CORP	53.39	2,722.89	3.1%	114	4.19%	BAC	Next Dividend Payable 03/07
16	BARCLAYS PLC ADR	58.14	872.10	1.0%	30	3.50%	BCS	
15	BP PLC ADS	67.10	1,006.50	1.1%	34	3.43%	BP	
30	BRISTOL MYERS SQUIBB CO	26.32	789.60	0.9%	33	4.25%	BMY	Next Dividend Payable 02/07
30	CADBURY SCHW PLC ADR 10 ORD NH	42.93	1,287.90	1.5%	28	2.18%	CSG	
15	CANON INC ADR NEW	56.59	848.85	1.0%	9	1.15%	CAJ	
10	CHEVRON CORP	73.53	735.30	0.8%	20	2.82%	CVX	Next Dividend Payable 03/07
30	CISCO SYS INC	27.33	819.90	0.9%	0	0.00%	CSCO	
10	CONOCOPHILLIPS	71.95	719.50	0.8%	14	2.00%	COP	Next Dividend Payable 03/07
30	CREDIT SUISSE GROUP	69.85	2,095.50	2.4%	32	1.53%	CS	
45	CRH PLC ADR	42.50	1,912.50	2.2%	18	.99%	CRH	
33	DIAGEO PLC SPON ADR NEW	79.31	2,617.23	3.0%	74	2.86%	DEO	
15	ENI SPA AMER DEP RCPT	67.28	1,009.20	1.1%	34	3.41%	E	
20	ERICSSON LM TEL ADR CL B NEW	40.23	804.60	0.9%	8	1.03%	ERIC	
42	EXXON MOBIL CORP	76.63	3,218.46	3.6%	53	1.67%	XOM	Next Dividend Payable 03/07
70	FIRST DATA CORP	25.52	1,786.40	2.0%	8	.47%	FDC	Next Dividend Payable 01/12/07
15	GALLAHER GP PLC ADR	89.95	1,349.25	1.5%	38	2.86%	GLH	
40	GENERAL ELECTRIC CO	37.21	1,488.40	1.7%	44	3.00%	GE	Next Dividend Payable 01/25/07
27	GLAXOSMITHKLINE PLC ADS	52.76	1,530.04	1.7%	50	3.29%	GSK	Next Dividend Payable 01/04/07
62	HEINEKEN NV ADR	33.75	1,947.91	2.2%	12	.62%	HINKY	
20	HOME DEPOT INC	40.16	803.20	0.9%	18	2.24%	HD	Next Dividend Payable 03/07
40	HONEYWELL INTERNATIONAL INC	45.24	1,809.60	2.0%	36	2.00%	HON	Next Dividend Payable 03/07
20	HOYA CORP SPONS ADR	38.94	778.82	0.9%	8	1.12%	HOCPY	
13	HSBC HOLDINGS PLC SPON ADR NEW	91.65	1,574.75	1.8%	57	4.14%	HBC	
30	INTL BUSINESS MACHINES CORP	97.15	2,914.50	3.3%	36	1.23%	IBM	Next Dividend Payable 03/07
25	JOHNSON & JOHNSON	66.02	1,650.50	1.9%	37	2.27%	JNJ	Next Dividend Payable 03/07
37	JPMORGAN CHASE & CO	48.30	1,787.10	2.0%	50	2.81%	JPM	Next Dividend Payable 01/07
20	LLOYDS TSB GROUP PLC	45.33	906.60	1.0%	50	5.58%	LYG	

THE HONORABLE NICK JOE RAHALL
301 E PRINCE STREET

Account Number

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
60	MELLON FINCL CORP	42.15	2,529.00	2.9%	52	2.08%	MEL	Next Dividend Payable 02/07
115	MICROSOFT CORP	29.86	3,433.90	3.9%	46	1.33%	MSFT	Next Dividend Payable 03/07
115	MITSUBISHI UFJ FINCL GRP ADS	12.45	1,431.75	1.6%	8	.57%	MTU	
5	MITSUI MARINE&FIRE INS LTD ADR	109.26	546.30	0.6%	5	1.05%	MTSQY	
15	NESTLE SPON ADR REP REG SHR	88.67	1,330.18	1.5%	17	1.33%	NSRGY	
65	NISSAN MTR CO LTD SPND ADR	24.27	1,577.55	1.8%	30	1.91%	NSANY	
80	NOKIA CP ADR	20.32	1,625.60	1.8%	26	1.61%	NOK	
139	NOMURA HLDGS INC	18.94	2,556.90	2.9%	56	2.21%	NMR	
29	NOVARTIS AG ADR	57.44	1,665.76	1.9%	21	1.30%	NVS	
45	ORACLE CORP	17.14	771.30	0.9%	0	0.00%	ORCL	
30	SANOFI AVENTIS ADS	46.17	1,385.10	1.6%	24	1.77%	SNY	
15	SEPRACOR INC	61.58	923.70	1.0%	0	0.00%	SEPR	
85	SINGAPORE TELECOM LTD ADR NEW	21.37	1,817.13	2.1%	62	3.41%	SGAPY	
85	SOCIETE GENERALE SP ADR	33.91	1,187.06	1.3%	34	2.88%	SCGLY	
35	SUEZ	51.96	1,818.60	2.1%	33	1.84%	SZE	
70	SUMITOMO MITSUI FINL GRP ADR	10.23	716.73	0.8%	1	.19%	SMFJY	
10	SWISS REINSURANCE CO SPON ADR	84.86	848.69	1.0%	16	1.98%	SWCEY	
80	TESCO PLC SPONSORED ADR	23.75	1,900.00	2.1%	37	1.96%	TSCDY	
25	TNT N.V. ADS EURO 0.48	43.08	1,077.00	1.2%	15	1.47%	TNT	
26	TOTAL FINA ELF SA	71.92	1,869.92	2.1%	24	1.30%	TOT	
30	UBS AG NEW	60.33	1,809.90	2.0%	37	2.08%	UBS	
40	UNITED TECHNOLOGIES CORP	62.52	2,500.80	2.8%	42	1.69%	UTX	Next Dividend Payable 03/07
74	VODAFONE GP PLC ADS NEW	27.78	2,055.72	2.3%	92	4.51%	VOD	Next Dividend Payable 02/02/07
35	WYETH	50.92	1,782.20	2.0%	36	2.04%	WYE	Next Dividend Payable 03/07
35	ZURICH FINANCIAL SVC	26.87	940.45	1.0%	7	.83%	ZFSVY	
Total Stocks			$85,270.10	96.3%	$1,741	2.04%		
Net Change Since January 1, 2006			$17,694.87					

Asset Summary	Value	Est Yrly Income
Total Asset Value	$88,600.87	$1,905
Total Net Change In Priced Assets Since January 1, 2006	$17,694.87	

4 - p.3

4937

Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2006 Copy B For Recipient

Morgan Stanley DW Inc.
Harborside Financial Center
Jersey City, NJ 07311
Identification Number: 94-1671384

THE HONORABLE NICK JOE RAHALL
301 E PRINCE STREET
BECKLEY WV 25801-4058

Taxpayer ID Number:
Account Number:

Customer Service: 800-869-3326

Attachment 5 - p.1

4938

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

IRS BOX	2006 FORM 1099-DIV - DIVIDENDS AND DISTRIBUTIONS OMB NO. 1545-0110	
1a.	TOTAL ORDINARY DIVIDENDS	$2,237.11
1b.	QUALIFIED DIVIDENDS	$2,051.83
2a.	TOTAL CAPITAL GAIN DISTRIBUTIONS	$0.00
2b.	UNRECAP. SEC. 1250 GAIN	$0.00
2d.	COLLECTIBLES (28%) GAIN	$0.00
3.	NON-DIVIDEND DISTRIBUTIONS	$6.12
4.	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
5.	INVESTMENT EXPENSES	$0.00
6.	FOREIGN TAX PAID	$97.16
8.	CASH LIQUIDATION DISTRIBUTIONS	$0.00
9.	NON-CASH LIQUIDATION DISTRIBUTIONS	$0.00

IRS BOX	2006 FORM 1099-OID - ORIGINAL ISSUE DISCOUNT OMB NO. 1545-0117	
1.	ORIGINAL ISSUE DISCOUNT FOR 2006	$0.00*
2.	OTHER PERIODIC INTEREST	$0.00
4.	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
6.	OID ON U.S. TREASURY OBLIGATIONS	$0.00*
7.	INVESTMENT EXPENSES	$0.00

*This may not be the correct figure to report on your income tax return. See instructions on the back.

IRS BOX	2006 FORM 1099-INT - INTEREST INCOME OMB NO. 1545-0112	
1.	INTEREST INCOME	$0.00
3.	INTEREST ON U.S. SAVINGS BONDS AND TREASURY OBLIGATIONS	$0.00
4.	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
5.	INVESTMENT EXPENSES	$0.00
6.	FOREIGN TAX PAID	$0.00
8.	TAX-EXEMPT INTEREST	$0.00
9.	SPECIFIED PRIVATE ACTIVITY BOND INTEREST	$0.00

IRS BOX	2006 FORM 1099-MISC - MISCELLANEOUS INCOME OMB NO. 1545-0115	
2.	ROYALTIES	$0.00
3.	OTHER INCOME	$0.00
4.	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
8.	SUBSTITUTE PAYMENTS IN LIEU OF DIVIDENDS OR INTEREST	$0.00

IRS BOX	2006 FORM 1099-B - PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715	
2.	GROSS PROCEEDS LESS COMMISSIONS ON STOCKS, BONDS, ETC	$29,959.17
4.	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
8.	PROFIT OR (LOSS) REALIZED IN 2006	$0.00
9.	UNREALIZED PROFIT OR (LOSS) ON OPEN CONTRACTS 12/31/2005	$0.00
10.	UNREALIZED PROFIT OR (LOSS) ON OPEN CONTRACTS 12/31/2006	$0.00
11.	AGGREGATE PROFIT OR (LOSS)	$0.00

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

REALIZED GAIN/(LOSS) SUMMARY

This Gain and Loss Summary is provided for informational purposes only. It is important that you consult with a professional tax advisor regarding your individual tax situation, as Morgan Stanley DW, Inc. (Morgan Stanley) cannot provide you with tax advice. Although Morgan Stanley is providing this information as an additional service, we do not guarantee that it is sufficient for tax reporting purposes, and are not liable for your reliance upon or use of this information. The Gain and Loss Summary is not a substitute 1099 form (or any other appropriate tax form), has not been supplied to the IRS and should not be filed with your taxes.

If cost basis information is not available, gain or (loss) will not be reflected on this Summary. For securities not purchased through Morgan Stanley, including securities purchased elsewhere and later transferred to Morgan Stanley, cost basis information may not be provided. To correct any information supplied by Morgan Stanley, please inform your Financial Advisor. To add cost basis information, you may either inform your Financial Advisor or input the data directly yourself through our client-only Web site, ClientServ. Morgan Stanley will adjust cost basis as appropriate in accordance with tax laws. However, Morgan Stanley does not adjust for all events and you should contact your tax advisor to determine the appropriate information to be used in the preparation of your tax return.

Short Term

DESCRIPTION	SYMBOL	QUANTITY	DATE ACQUIRED	DATE SOLD	TOTAL COST ORIGINAL/ ADJUSTED	PROCEEDS	REALIZED GAIN/(LOSS)	ADDITIONAL INFORMATION
ARKEMA SPONS ADR	ARKAY	0.000	05/26/2006	05/26/2006	$0.00	$23.07	$23.07	Cash in Lieu
CISCO SYS INC	CSCO	25.000	08/03/2006	11/28/2006	$438.75	$659.53	$220.78	
CITIGROUP INC	C	20.000	01/12/2006	07/19/2006	$980.27	$942.67	$(37.60)	
CONOCOPHILLIPS	COP	15.000	01/12/2006	03/24/2006	$922.85	$928.64	$5.79	
DELL INC	DELL	35.000	05/09/2006	11/16/2006	$871.88	$852.42	$(9.46)	
GALLAHER GP PLC ADR	GLH	10.000	05/22/2006	12/14/2006	$634.18	$911.54	$277.36	
GOLDEN WEST FINCL CORP DEL	GDW	10.000	08/12/2005	05/09/2006	$644.47	$739.63	$95.16	
SIEMENS AKTIENGESELLSCHAFT	SI	5.000	01/17/2006	06/15/2006	$430.45	$393.46	$(36.99)	
SIEMENS AKTIENGESELLSCHAFT	SI	10.000	01/17/2006	07/11/2006	$860.90	$842.87	$(18.03)	
SOCIETE GENERALE SP ADR	SCGLY	0.000	10/23/2006	10/23/2006	$0.00	$7.89	$7.89	Sale-Foreign Rights
Total Short Term						**$6,311.72**	**$527.97**	

Long Term

DESCRIPTION	SYMBOL	QUANTITY	DATE ACQUIRED	DATE SOLD	TOTAL COST ORIGINAL/ ADJUSTED	PROCEEDS	REALIZED GAIN/(LOSS)	ADDITIONAL INFORMATION
BANK OF AMERICA CORP	BAC	15.000	11/29/1995	12/08/2006	$218.61	$773.06	$554.45	
BARCLAYS PLC ADR	BCS	10.000	05/17/2002	01/23/2006	$368.91	$422.66	$53.75	
BARCLAYS PLC ADR	BCS	10.000	05/17/2002	07/24/2006	$368.91	$448.54	$79.63	
BP PLC ADS	BP	15.000	04/05/2004	01/12/2006	$784.83	$1,030.75	$246.12	
CADBURY SCHW PLC ADR 10 ORD NW	CSG	5.000	06/19/1995	01/12/2006	$75.94	$198.75	$122.81	
CANON INC ADR NEW	CAJ	15.000	12/24/2003	02/01/2006	$686.13	$917.26	$231.13	
CHEVRON CORP	CVX	15.000	06/23/2005	09/08/2006	$787.70	$964.77	$177.07	

CONTINUED ON NEXT PAGE

4939

REALIZED GAIN/(LOSS) SUMMARY (continued)

DESCRIPTION	SYMBOL	QUANTITY	DATE ACQUIRED	DATE SOLD	TOTAL COST ORIGINAL/ ADJUSTED	PROCEEDS	REALIZED GAIN/(LOSS)	ADDITIONAL INFORMATION
CISCO SYS INC	CSCO	45.000	02/24/2005	09/05/2006	$776.50	$994.47	$218.97	
CISCO SYS INC	CSCO	25.000	02/24/2005	10/17/2006	$430.83	$606.31	$175.48	
CISCO SYS INC	CSCO	10.000	02/24/2005	11/28/2006	$172.33	$283.81	$91.48	
CITIGROUP INC	C	15.000	01/03/1995	05/11/2006	$78.59	$740.28	$661.69	
CITIGROUP INC	C	13.000	01/03/1995	07/19/2006	$68.11	$612.74	$544.63	
ENI SPA AMER DEP RCPT	E	10.000	10/28/1998	09/11/2006	$188.50	$580.14	$391.64	
FIRST DATA CORP	FDC	20.000	10/29/2002	05/11/2006	$663.46	$928.96	$265.50	
GOLDEN WEST FINCL CORP DEL	GDW	10.000	01/21/2005	05/09/2006	$632.40	$739.63	$107.23	
HOME DEPOT INC	HD	35.000	12/15/2003	08/02/2006	$1,217.25	$1,190.57	$(26.68)	
HSBC HOLDINGS PLC SPON ADR NEW	HBC	10.000	06/15/1995	03/10/2006	$240.46	$854.35	$613.89	
JOHNSON & JOHNSON	JNJ	10.000	10/14/1998	10/12/2006	$396.74	$649.54	$252.80	
JPMORGAN CHASE & CO	JPM	15.000	08/14/2002	02/28/2006	$427.62	$615.14	$187.52	
KAO CORP SPONS ADR	KCRPY	3.000	08/12/1999	03/29/2006	$797.19	$807.99	$10.80	
NESTLE SPON ADR REP REG SHR	NSRGY	5.000	09/18/1996	01/23/2006	$139.69	$373.92	$234.23	
ORACLE CORP	ORCL	35.000	10/07/2003	07/21/2006	$426.65	$532.58	$105.93	
ORACLE CORP	ORCL	45.000	10/07/2003	08/03/2006	$548.55	$669.47	$120.92	
ORACLE CORP	ORCL	40.000	10/07/2003	11/28/2006	$487.60	$756.12	$268.52	
ORACLE CORP	ORCL	20.000	09/15/2004	11/28/2006	$225.26	$378.06	$152.80	
SCHERING AG	SHR	5.000	08/04/2004	03/27/2006	$284.83	$518.96	$232.13	
SCHERING AG	SHR	5.000	08/04/2004	04/18/2006	$284.83	$519.91	$235.08	
SWISS REINSURANCE CO SPON ADR	SWCEY	10.000	09/12/2003	11/16/2006	$665.00	$829.99	$164.99	
TOTAL FINA ELF SA	TOT	5.000	11/21/1996	02/24/2006	$151.43	$634.93	$483.50	
UNILEVER PLC (NEW) ADS	UL	15.000	08/16/2000	03/27/2006	$407.45	$609.27	$201.82	
VIVENDI ADS RPSTG 1 ORD	V	45.000	02/16/2005	05/09/2006	$1,450.81	$1,606.37	$155.56	
VODAFONE GP PLC ADS NEW	VOD	0.375	05/13/2003	07/28/2006	$8.59	$8.01	$(0.58)	
WELLS FARGO & CO NEW	WFC	25.000	11/14/2002	01/12/2006	$1,187.64	$1,576.98	$389.34	
WESTERN UN CO	WU	15.000	10/29/2002	10/05/2006	$226.65	$295.17	$68.52	
Total Long Term						**$23,647.48**	**$7,772.67**	
Total Short And Long Term						**$29,959.18**	**$8,300.64**	

4940

Morgan Stanley

CUSTOM PORTFOLIO ACCOUNT
FOR YEAR ENDING DECEMBER 31, 2006

Attachment 6 + p.1

Your Financial Advisor
PEAR/HANDO/FELDMAN/ADAMS
MORGAN STANLEY
1775 EYE STREET, NW SUITE 200
WASHINGTON, DC 20006
(202) 862-9000

$BKNJGWH
00206399 02 AV 0.565 52 TR 1063 -000
THE HONORABLE NICK JOE RAHALL
301 E PRINCE STREET
BECKLEY WV 25801-4058 642

Account Number

AAA Customer Service
1-800-869-3328
Householding Anniv. Date:
05/28/2003

Access Your Account at:
www.morganstanley.com/online

Asset Summary

	Value	% of Assets
MMF and Bank Deposits*	$28,793.51	7.4%
Stocks	0.00	0.0
Municipal Bonds	299,493.45	77.5
Corporate Fixed Income	0.00	0.0
Government Securities	59,951.15	15.5
Mutual Funds	0.00	0.0
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	0.00	0.0
Asset Value	$388,237.91	100.0%
Cash	0.00	
Total Asset Value	$388,237.91	

Income Summary

	Year To Date
MMF and Bank Deposits*	$799.21
Stocks	0.00
Municipal Bonds	15,431.88
Corporate Fixed Income	0.00
Government Securities	2,158.75
Mutual Funds	0.00
Unit Investment Trusts	0.00
Certificates of Deposit	0.00
Other	0.00
Total Income	$18,389.84
Taxable Income	$2,957.96
Tax Exempt Income	$15,431.88

Activity Summary

Total Asset Value January 01, 2006		$390,928.56
Cash/Money Market Funds/Bank Deposits* Activity for 2006		
Credits To Your Account		
Dividend and Interest	18,389.84	
Deposits	0.00	
Sales Proceeds/Redemptions	341,151.22	
Other Credits	27.17	
Total Credits	359,568.23	
Debits To Your Account		
Checking	0.00	
Debit Card	0.00	
Withdrawals	0.00	
Funds to Purchase Securities	-334,923.59	
Other Debits	-16,068.54	
Total Debits	-350,992.13	

Changes in Asset Value for 2006	
Value of Priced Assets 1/01/06	$370,711.35
Securities Bought	334,923.59
Securities Received	0.00
Securities Sold/Redeemed	-341,151.22
Securities Delivered	0.00
Transactions at Fund Company	0.00
Change in Value of Priced Assets	-5,039.12
Value of Priced Assets 12/31/06	359,444.60
Net Change in Asset Value	-11,266.75
Total Asset Value as of December 31 2006	$388,237.91

*Eligible for FDIC insurance; FDIC rules apply. Not SIPC insured.

Investments and services are offered through Morgan Stanley DW Inc., member SIPC.

4941

Morgan Stanley

CUSTOM PORTFOLIO ACCOUNT
FOR YEAR ENDING DECEMBER 31, 2006

THE HONORABLE NICK JOE RAHALL
301 E PRINCE STREET

Account Number

		Current Price	Value	Pct of Assets	Est Yrly Income	Current Yield	Accruing Interest	Additional Information
Government Securities								
Federal Agencies								
25,000	FED HOME LN BK 5.150% JUN/DEC 07 DUE 12/07/07 CALLABLE @100.00 ON 06/07/07	99.844	24,961.00	6.4%	1,287	5.15%	85	YIELD TO MATURITY 5.322% MOODY AAA ISSUE 12/07/06 COUPON 06/07/07
5,000	FED FARM CR BK 5.300% JAN/JUL 10 DUE 01/10/08 CALLABLE @100.00 ON 01/10/07	99.875	4,993.75	1.2%	265	5.30%	59	YIELD TO MATURITY 5.425% MOODY AAA S&P AAA ISSUE 10/10/06 COUPON 01/10/07
	Total Federal Agencies		29,954.75	7.6%	1,552	5.18%	145	
Total Government Securities			$54,951.35	15.5%	$1,552	2.56%	$145	
Net Change Since January 1, 2006			-$4,587.69					

Asset Summary	Value	Est Yrly Income
Total Asset Value	$388,237.91	$12,945
Total Net Change In Priced Assets Since January 1, 2006	-$11,264.75	

Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2006 Copy B For Recipient

Morgan Stanley DW Inc.
Harborside Financial Center
Jersey City, NJ 07311
Identification Number: 94-1671384

THE HONORABLE NICK JOE RAHALL
301 E PRINCE STREET
BECKLEY WV 25801-4058

Taxpayer ID Number:
Account Number:

Customer Service: 800-869-3326

Attachment 7 - p.1

4943

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

IRS BOX	2006 FORM 1099-DIV - DIVIDENDS AND DISTRIBUTIONS OMB NO. 1545-0110	
1a.	TOTAL ORDINARY DIVIDENDS	$799.21
1b.	QUALIFIED DIVIDENDS	$0.00
2a.	TOTAL CAPITAL GAIN DISTRIBUTIONS	$0.00
2b.	UNRECAP. SEC. 1250 GAIN	$0.00
2d.	COLLECTIBLES (28%) GAIN	$0.00
3.	NON-DIVIDEND DISTRIBUTIONS	$0.00
4.	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
5.	INVESTMENT EXPENSES	$0.00
6.	FOREIGN TAX PAID	$0.00
8.	CASH LIQUIDATION DISTRIBUTIONS	$0.00
9.	NON-CASH LIQUIDATION DISTRIBUTIONS	$0.00

IRS BOX	2006 FORM 1099-OID - ORIGINAL ISSUE DISCOUNT OMB NO. 1545-0117	
1.	ORIGINAL ISSUE DISCOUNT FOR 2006	$0.00*
2.	OTHER PERIODIC INTEREST	$0.00
4.	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
6.	OID ON U.S. TREASURY OBLIGATIONS	$0.00*
7.	INVESTMENT EXPENSES	$0.00

*This may not be the correct figure to report on your income tax return. See instructions on the back.

IRS BOX	2006 FORM 1099-INT - INTEREST INCOME OMB NO. 1545-0112	
1.	INTEREST INCOME	$2,158.75
3.	INTEREST ON U.S. SAVINGS BONDS AND TREASURY OBLIGATIONS	$1,195.94
4.	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
5.	INVESTMENT EXPENSES	$0.00
6.	FOREIGN TAX PAID	$0.00
8.	TAX-EXEMPT INTEREST	$15,873.55
9.	SPECIFIED PRIVATE ACTIVITY BOND INTEREST	$0.00

IRS BOX	2006 FORM 1099-MISC - MISCELLANEOUS INCOME OMB NO. 1545-0115	
2.	ROYALTIES	$0.00
3.	OTHER INCOME	$0.00
4.	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
8.	SUBSTITUTE PAYMENTS IN LIEU OF DIVIDENDS OR INTEREST	$0.00

IRS BOX	2006 FORM 1099-B - PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715	
2.	GROSS PROCEEDS LESS COMMISSIONS ON STOCKS, BONDS, ETC	$140,709.55
4.	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
8.	PROFIT OR (LOSS) REALIZED IN 2006	$0.00
9.	UNREALIZED PROFIT OR (LOSS) ON OPEN CONTRACTS 12/31/2005	$0.00
10.	UNREALIZED PROFIT OR (LOSS) ON OPEN CONTRACTS 12/31/2006	$0.00
11.	AGGREGATE PROFIT OR (LOSS)	$0.00

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

REALIZED GAIN/(LOSS) SUMMARY

This Gain and Loss Summary is provided for informational purposes only. It is important that you consult with a professional tax advisor regarding your individual tax situation, as Morgan Stanley DW, Inc. (Morgan Stanley) cannot provide you with tax advice. Although Morgan Stanley is providing this information as an additional service, we do not guarantee that it is sufficient for tax reporting purposes, and are not liable for your reliance upon or use of this information. The Gain and Loss Summary is not a substitute 1099 form (or any other appropriate tax form), has not been supplied to the IRS and should not be filed with your taxes.

If cost basis information is not available, gain or (loss) will not be reflected on this Summary. For securities not purchased through Morgan Stanley, including securities purchased elsewhere and later transferred to Morgan Stanley, cost basis information may not be provided. To correct any information supplied by Morgan Stanley, please inform your Financial Advisor. To add cost basis information, you may either inform your Financial Advisor or input the data directly yourself through our client-only Web site, ClientServ. Morgan Stanley will adjust cost basis as appropriate in accordance with tax laws. However, Morgan Stanley does not adjust for all events and you should contact your tax advisor to determine the appropriate information to be used in the preparation of your tax return.

Short Term

DESCRIPTION	SYMBOL	QUANTITY	DATE ACQUIRED	DATE SOLD	TOTAL COST ORIGINAL/ ADJUSTED	PROCEEDS	REALIZED GAIN/(LOSS)	ADDITIONAL INFORMATION
FHLB 5.160 11-22-06		25,000.000	05/03/2006	11/22/2006	$25,000.00	$25,000.00	$0.00	
FHLB 5.190 12-26-06		50,000.000	05/03/2006	12/26/2006	$50,000.00	$50,000.00	$0.00	
PR PUB BLDGS-K BE 4.000 7-01-26		25,000.000	12/22/2005	02/13/2006	$25,237.00 $25,216.39	$25,130.75	$(85.64)	
US TSY BILL 000 1-05-06		50,000.000	12/06/2005	01/03/2006	$50,000.00	$50,000.00 S	$0.00	
US TSY BILL 000 2-09-06		50,000.000	12/06/2005	02/07/2006	$50,000.00	$50,000.00 S	$0.00	
US TSY BILL 000 3-02-06		25,000.000	12/20/2005	02/28/2006	$25,000.00	$25,000.00 S	$0.00	
US TSY BILL 000 5-04-06		50,000.000	02/13/2006	05/02/2006	$50,000.00	$50,000.00 S	$0.00	
US TSY BILL 000 5-04-06		25,000.000	04/21/2006	05/02/2006	$25,000.00	$25,000.00 S	$0.00	
W.VA HOSP FIN BE 5.000 8-01-07		40,000.000	11/18/2005	03/23/2006	$41,139.20 $40,908.97	$40,578.80	$(330.17)	
Total Short Term						**$340,709.55**	**$(415.81)**	

S This amount is not reported on your Form 1099-B. The difference between your cost and this amount is reported as Interest on Form 1099-INT

Morgan Stanley

PORTFOLIO ARCHITECT ACCOUNT
FOR MONTH ENDING DECEMBER 31, 2006

Your Financial Advisor
PEARMANDO/FELDMAN/ADAMS
MORGAN STANLEY
1775 EYE STREET, NW SUITE 200
WASHINGTON, DC 20006
(202) 862-8000

THE HONORABLE NICK JOE RAHALL
301 E PRINCE STREET
BECKLEY WV 25801-4058

Account Number

IRA Rollover
Dated 02/25/03
Householding Anniv. Date:
05/29/2003

Access Your Account at:
www.morganstanley.com/online

Asset Summary

	Value	% of Assets
MMF and Bank Deposits*	$239.24	0.5%
Stocks	0.00	0.0
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	43,447.17	99.5
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	0.00	0.0
Asset Value	$43,686.41	100.0%
Cash	0.00	
Total Asset Value	$43,686.41	

Income Summary

	This Month	Year-To-Date
MMF and Bank Deposits*	$0.87	$16.62
Stocks	0.00	0.00
Municipal Bonds	0.00	0.00
Corporate Fixed Income	0.00	0.00
Government Securities	0.00	0.00
Mutual Funds	281.02	1,002.94
Unit Investment Trusts	0.00	0.00
Certificates of Deposit	0.00	0.00
Other	0.00	0.00
Total Income	$281.89	$1,019.56

*Eligible for FDIC insurance; FDIC rules apply. Not SIPC insured.

Activity Summary

Total Asset Value November 30 2006		$43,161.15
Cash/Money Market Funds/Bank Deposits* Activity for December		
Closing Balance 11/30	$256.36	
Credits To Your Account		
Dividends and Interest	281.89	
Deposits	0.00	
Sales Proceeds/Redemptions	0.00	
Other Credits	780.49	
Total Credits	1,062.38	
Debits To Your Account		
Withdrawals	0.00	
Funds to Purchase Securities	-1,061.50	
Other Debits	0.00	
Total Debits	-1,061.50	
Closing Balance 12/31	239.24	
Net Change Cash/Money Market Funds/Bank Deposits* Activity		0.88
Changes in Asset Value for December		
Value of Priced Assets 11/30	$42,922.79	
Securities Bought	1,061.50	
Securities Received	0.00	
Securities Sold/Redeemed	0.00	
Securities Delivered	0.00	
Transactions at Fund Company	0.00	
Change in Value of Priced Assets	-537.12	
Value of Priced Assets 12/31	43,447.17	
Net Change in Asset Value		524.38
[illegible]		[illegible]

THE HONORABLE NICK JOE RAHALL
301 E PRINCE STREET

ASSET DETAILS

Cash/Money Market Funds/Bank Deposits	Amount	Pct of Assets	Est Yrly Income	APY	Annualized 30 Day Yields
CASH	.00	---	---	---	---
ACTIVE ASSETS MONEY TRUST	28,793.31	7.4%	1,407	---	4.89%
Total Money Market Funds	28,793.31	7.4%	1,407		
Total Cash/MMF/Bank Deposits	28,793.31	7.4%	1,407		
Net Change Since January 1, 2006	18,576.19				

Municipal Bonds		Current Price	Value	Pct of Assets	Est Yrly Income	Current Yield	Accruing Interest	Additional Information
55,000	KANAWHA CNTY W VA BRD EDUC PUB SCH UNLTD TAX 4.700% MAY/NOV 01 DUE 05/01/07	100.344	55,189.20	14.2%	2,585	4.68%	430	YIELD TO MATURITY 3.6600% MOODY AAA S&P AAA INSURED ISSUE 09/01/00 FED TAX EXEMPT CUSIP 485836CC6
60,000	WEST VIRGINIA ST HOSP FIN AUTH HOSP REV REF DEPT HLTH 5.000% FEB/AUG 01 DUE 08/01/07 CALLABLE EXTRAORDINARY	100.75*	60,451.80	15.6%	3,000	4.96%	1,250	YIELD TO MATURITY 3.6930% MOODY AAA INSURED ISSUE 08/01/97 FED TAX EXEMPT CUSIP 9566ZZRS5
20,000	WEST VIRGINIA ST HTR DEV AUTH HTR DEV REV LOAN PROG IV-SER B 3.750% MAY/NOV 01 DUE 11/01/07 CALLABLE EXTRAORDINARY	100.133	20,026.60	5.2%	750	3.74%	125	YIELD TO MATURITY 5.5880% MOODY AAA S&P AAA INSURED ISSUE 12/20/05 FED TAX EXEMPT CUSIP 956695VY3
25,000	OHIO CNTY WV BRD OF ED UNLTD TAX 5.400% JUN/DEC 01 DUE 06/01/08 ESCROWED TO MATURITY	102.447	25,611.75	6.6%	1,350	5.27%	112	YIELD TO MATURITY 5.6100% MOODY AAA S&P AAA INSURED ISSUE 06/01/98 FED TAX EXEMPT CUSIP 677513CM9
20,000	BARBOUR CNTY W VA BRD ED 5.000% JUN/DEC 01 DUE 06/01/08	101.930	20,386.00	5.3%	1,000	4.90%	83	YIELD TO MATURITY 5.5880% S&P AA- ISSUE 06/15/00 FED TAX EXEMPT CUSIP 067257BH6

"The "Current Price" and "Value" figures shown for Fixed Income securities are representative values, which may not reflect the value that could actually be obtained in the market. See "Pricing of Securities" at the end of this statement.

THE HONORABLE NICK JOE RAHALL
301 E PRINCE STREET

Account Number

Municipal Bonds		Current Price	Value	Pct of Assets	Est Yrly Income	Current Yield	Accruing Interest	Additional Information
10,000	WEST VIRGINIA ST WTR DEV AUTH WTR DEV REV LOAN PROG IV-SER B 3.750% MAY/NOV 01 DUE 11/01/08 CALLABLE EXTRAORDINARY	100.206	10,020.60	2.6%	375	3.74%	62	YIELD TO MATURITY 3.6310% MOODY AAA S&P AAA INSURED ISSUE 12/20/05 FED TAX EXEMPT CUSIP 956696VZ0
40,000	WEST VA HIGHER ED POL COMMN REV REF-UNIV FACS SER A 5.000% APR/OCT 01 DUE 04/01/09	102.788	41,115.20	10.6%	2,000	4.86%	500	YIELD TO MATURITY 3.6950% MOODY AAA S&P AAA INSURED ISSUE 08/01/03 FED TAX EXEMPT CUSIP 95639RAU3
20,000	WEST VIRGINIA ST GEN OBLIG ST ROAD 5.125% JUN/DEC 01 DUE 06/01/13 PREREFUNDED AT 101.00 06/01/09	104.308	20,861.60	5.4%	1,025	4.91%	85	YIELD TO CALL 3.6450% MOODY AA3 S&P AAA ISSUE 07/01/99 FED TAX EXEMPT CUSIP 956553RG8
20,000	WEST VA HIGHER ED POL COMMN REV REF-UNIV FACS SER A 5.000% APR/OCT 01 DUE 04/01/10	103.931	20,786.20	5.4%	1,000	4.81%	250	YIELD TO MATURITY 3.7030% MOODY AAA S&P AAA INSURED ISSUE 08/01/03 FED TAX EXEMPT CUSIP 95639RAV1
25,000	PUERTO RICO PUB BLDGS AUTH REV GTD REV REF SER-K VARIABLE 4.000% JAN/JUL 01 DUE 07/01/26 CALLABLE @100.00 ON 07/01/07	100.178	25,044.50	6.4%	1,000	3.99%	500	YIELD TO CALL 3.6400% MOODY AAA S&P AAA INSURED ISSUE 06/10/04 FED TAX EXEMPT CUSIP 745235XA4 FLOATER
Total Municipal Bonds			$299,493.45	77.3%	$14,085	4.70%	$3,399	
Net Change Since January 1, 2006			$53,420.85					

Government Securities		Current Price	Value	Pct of Assets	Est Yrly Income	Current Yield	Accruing Interest	Additional Information
Treasury Securities								
30,000	UNITED STATES TREASURY BILL ZERO COUPON DUE 01/04/07	99.988	29,996.40	7.7%	0	0.00%	0	MOODY AAA ISSUE 07/06/06 CUSIP 912795YH7

***The "Current Price" and "Value" figures shown for Fixed Income securities are representative values, which may not reflect the value that could actually be obtained in the market. See "Pricing of Securities" at the end of this statement.*

4947

THE HONORABLE NICK JOE RAHALL
301 E PRINCE STREET

Account Number

ASSET DETAILS

Cash/Money Market Funds/Bank Deposits	Amount	Pct of Assets	Est Yrly Income	APY	Annualized 30 Day Yields
CASH	.00	---	---	---	---
LIQUID ASSET FUND	239.24	0.5%	11	---	4.76%
Total Money Market Funds	239.24	0.5%	11		
Total Cash/MMF/Bank Deposits	$239.24	0.5%	$11		
Net Change Since Last Statement	$.48				

Mutual Funds	Current Price	Value	Pct of Assets	Est Yrly Income	Distribution Rate	Fund Plan Number	Symbol	Dividend/ Cap Gains Option
Morgan Stanley Mutual Funds								
299.184 FOCUS GROWTH FD D	28.5900	8,553.67	19.6%	N/A	N/A	174944825	AMODX	Reinv/Reinv
Other Mutual Funds								
222.958 MSIF CORE FIXED INC PORT INST	10.6200	2,367.81	5.4%	N/A	N/A		MPSFX	Reinv/Reinv
807.725 MSIF TR LTD DURATION INST	10.2500	8,279.16	19.0%	N/A	N/A		MPLDX	Reinv/Reinv
125.821 MSIF TR HI YIELD PORT INST	10.5600	1,307.55	3.0%	N/A	N/A		MPHYX	Reinv/Reinv
597.204 VAN KAMPEN COMSTOCK I	19.2400	11,490.20	26.3%	N/A	N/A		ACSDX	Reinv/Reinv
518.279 VAN KAMPEN GROWTH & INCOME I	22.0900	11,448.78	26.2%	N/A	N/A		ACGMX	Reinv/Reinv
Total Other Mutual Funds		34,893.50	79.9%	N/A	N/A			
Total Mutual Funds		43,447.17	99.5%	N/A	N/A			
Net Change Since Last Statement		$524.38						

Asset Summary	Value	Est Yrly Income
Total Asset Value	$43,686.41	$11
Total Net Change in Priced Assets Since Last Statement	$524.38	

THE HONORABLE NICK JOE RAHALL
301 E PRINCE STREET

Account Number

You have chosen to invest in "Portfolio Architect Account" on a Non-Discretionary basis. As a result, there will be no automatic rebalancing of your portfolio. All investment decisions rest with you. Morgan Stanley DW Inc. suggests that you adhere to the portfolio that has been recommended to you, based upon your investment preferences and risk tolerance. If there are differences between your actual portfolio and the recommended portfolio, please contact your Financial Advisor to make any desired adjustments.
Please be advised that your quarterly fee for your Morgan Stanley "Portfolio Architect Account" is $109.22 and will be automatically debited from your account on the 10th business day of the quarter.

CREDITS TO YOUR ACCOUNT

Dividends and Interest

Date	Activity	Description	Amount	Income Category
12-04	Dividend	MSIF TR LTD DURATION INST DIV PAYMENT	31.90	Mutual Funds
12-15	Dividend	VAN KAMPEN COMSTOCK I DIV PAYMENT	61.67	Mutual Funds
12-13	Dividend	VAN KAMPEN GROWTH & INCOME I DIV PAYMENT	49.15	Mutual Funds
12-21	Dividend	MSIF CORE FIXED INC PORT INST DIV PAYMENT	32.22	Mutual Funds
12-21	Dividend	MSIF TR LTD DURATION INST DIV PAYMENT	73.69	Mutual Funds
12-21	Dividend	MSIF TR HI YIELD PORT INST DIV PAYMENT	33.01	Mutual Funds
12-29	Dividend	LIQUID ASSET FUND	.87	MMF and Bank Deposits
		Total Dividends and Interest	314.51	

See Income Summary on page 1 for the month-to-date and year-to-date amounts per income category.

Other Credits

Date	Activity	Description	Amount	Additional Information
12-04	Rebate	ILAF 1201 FEE CREDIT	0.01	
12-15	S/T Capital Gain	VAN KAMPEN COMSTOCK I	7.42	
12-13	L/T Capital Gain	VAN KAMPEN COMSTOCK I	265.30	
12-13	S/T Capital Gain	VAN KAMPEN GROWTH & INCOME I	53.87	
12-13	L/T Capital Gain	VAN KAMPEN GROWTH & INCOME I	454.59	
		Total Other Credits	781.19	Year-to-date 81,197.32

Morgan Stanley

ACTIVE ASSETS ACCOUNT®
FOR YEAR ENDING DECEMBER 31, 2006

Your Financial Advisor
PEAR/HANDO/FELDMAN/ADAMS
MORGAN STANLEY
1775 EYE STREET, NW SUITE 200
WASHINGTON, DC 20006
(202) 862-9000

#BWNJQWN
00206398 02 AV D.555 02 TR 1063 -000
THE HONORABLE NICK JOE RAHALL
301 E PRINCE STREET
BECKLEY WV 25801-4058

642

Account Number

AAA Customer Service
1-800-869-3326
Householding Anniv. Date:
05/29/2003

Access Your Account at:
www.morganstanley.com/online

Asset Summary

	Value	% of Assets
MMF and Bank Deposits*	$1,630.79	1.1%
Stocks	48,316.00	33.0
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	0.00	0.0
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	96,593.93	65.9
Asset Value	$146,540.72	100.0%
Cash	0.00	
Total Asset Value	$146,540.72	

Income Summary

	Year To Date
MMF and Bank Deposits*	$220.07
Stocks	1,475.00
Municipal Bonds	0.00
Corporate Fixed Income	0.00
Government Securities	0.00
Mutual Funds	0.00
Unit Investment Trusts	0.00
Certificates of Deposit	0.00
Other	0.00
Total Income	$1,695.07
Taxable Income	$1,475.00
Tax-Exempt Income	$220.07

*Eligible for FDIC insurance; FDIC rules apply. Not SIPC insured.

Activity Summary

Total Asset Value January 01, 2006 $274,899.03

Cash/Money Market Funds/Bank Deposits* Activity for 2006

Credits To Your Account	
Dividend and Interest	1,695.07
Deposits	0.00
Sales Proceeds/Redemptions	82,831.44
Other Credits	0.00
Total Credits	84,526.51
Debits To Your Account	
Checking	0.00
Debit Card	0.00
Withdrawals	-100,000.00
Funds to Purchase Securities	0.00
Other Debits	0.00
Total Debits	-100,000.00

Changes in Asset Value for 2006

Value of Priced Assets 1/01/06	$257,794.76
Securities Bought	0.00
Securities Received	0.00
Securities Sold/Redeemed	-82,831.44
Securities Delivered	0.00
Transactions at Fund Company	0.00
Change in Value of Priced Assets	-30,885.38
Value of Priced Assets 12/31/06	144,909.93
Net Change in Asset Value	-112,884.82
Total Asset Value as of December 31 2006	$146,540.72

Investments and services are offered through Morgan Stanley DW Inc., member SIPC.

SECURITY MARKS AT LEFT

4950

Morgan Stanley

ACTIVE ASSETS ACCOUNT®
FOR YEAR ENDING DECEMBER 31, 2006

THE HONORABLE NICK JOE RAHALL
301 E PRINCE STREET

Account Number

ASSET DETAILS

Cash/Money Market Funds/Bank Deposits	Amount	Pct of Assets	Est Yrly Income	APY	Annualized 30 Day Yields
CASH	.00	---	---	---	---
ACTIVE ASSETS TAX FREE TRUST	1,680.79	1.1%	51	---	3.16%
Total Money Market Funds	1,680.79	1.1%	51	---	
Total Cash/MMF/Bank Deposits	$1,680.79	1.1%	$51		
Net Change Since January 1, 2006	151,473.49				

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
2,350	MOTOROLA INC	20.56	48,316.00	33.0%	470	.97%	MOT	Next Dividend Payable 01/16/07
Total Stocks			$48,316.00	33.0%	$470	.97%		
Net Change Since January 1, 2006			-87,554.00					

Other		Est. NAV	Valuation Est.	Pct of Assets	Fund Number	Date	Additional Information
Managed Futures							
43:609	PRT STRATEG FUND	(EST.VAL) 2,215.09	96,593.93	65.9%	0	12/28	
Total Other			$96,593.93	65.9%			
Net Change Since January 1, 2006			23,350.82				

Asset Summary	Value	Est Yrly Income
Total Asset Value	$146,540.72	$521
Total Net Change in Priced Assets Since January 1, 2006	112,084.82	

Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2006 Copy B For Recipient

Morgan Stanley DW Inc.
Harborside Financial Center
Jersey City, NJ 07311
Identification Number: 94-1671384

THE HONORABLE NICK JOE RAHALL
301 E PRINCE STREET
BECKLEY WV 25801-4058

Taxpayer ID Number:
Account Number:

Customer Service: 800-869-3326

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

IRS BOX	2006 FORM 1099-DIV - DIVIDENDS AND DISTRIBUTIONS OMB NO. 1545-0110	
1a.	TOTAL ORDINARY DIVIDENDS	$1,475.00
1b.	QUALIFIED DIVIDENDS	$1,475.00
2a.	TOTAL CAPITAL GAIN DISTRIBUTIONS	$0.00
2b.	UNRECAP. SEC. 1250 GAIN	$0.00
2d.	COLLECTIBLES (28%) GAIN	$0.00
3.	NON-DIVIDEND DISTRIBUTIONS	$0.00
4.	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
5.	INVESTMENT EXPENSES	$0.00
6.	FOREIGN TAX PAID	$0.00
8.	CASH LIQUIDATION DISTRIBUTIONS	$0.00
9.	NON-CASH LIQUIDATION DISTRIBUTIONS	$0.00

IRS BOX	2006 FORM 1099-OID - ORIGINAL ISSUE DISCOUNT OMB NO. 1545-0117	
1.	ORIGINAL ISSUE DISCOUNT FOR 2006	$0.00*
2.	OTHER PERIODIC INTEREST	$0.00
4.	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
6.	OID ON U.S. TREASURY OBLIGATIONS	$0.00*
7.	INVESTMENT EXPENSES	$0.00

*This may not be the correct figure to report on your income tax return. See instructions on the back.

IRS BOX	2006 FORM 1099-INT - INTEREST INCOME OMB NO. 1545-0112	
1.	INTEREST INCOME	$0.00
3.	INTEREST ON U.S. SAVINGS BONDS AND TREASURY OBLIGATIONS	$0.00
4.	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
5.	INVESTMENT EXPENSES	$0.00
6.	FOREIGN TAX PAID	$0.00
8.	TAX-EXEMPT INTEREST	$220.07
9.	SPECIFIED PRIVATE ACTIVITY BOND INTEREST	$5.41

IRS BOX	2006 FORM 1099-MISC - MISCELLANEOUS INCOME OMB NO. 1545-0115	
2.	ROYALTIES	$0.00
3.	OTHER INCOME	$0.00
4.	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
8.	SUBSTITUTE PAYMENTS IN LIEU OF DIVIDENDS OR INTEREST	$0.00

IRS BOX	2006 FORM 1099-B - PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715	
2.	GROSS PROCEEDS LESS COMMISSIONS ON STOCKS, BONDS, ETC	$82,831.44
4.	**FEDERAL INCOME TAX WITHHELD**	**$0.00**
8.	PROFIT OR (LOSS) REALIZED IN 2006	$0.00
9.	UNREALIZED PROFIT OR (LOSS) ON OPEN CONTRACTS 12/31/2005	$0.00
10.	UNREALIZED PROFIT OR (LOSS) ON OPEN CONTRACTS 12/31/2006	$0.00
11.	AGGREGATE PROFIT OR (LOSS)	$0.00

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

REALIZED GAIN/(LOSS) SUMMARY

This Gain and Loss Summary is provided for informational purposes only. It is important that you consult with a professional tax advisor regarding your individual tax situation, as Morgan Stanley DW, Inc. (Morgan Stanley) cannot provide you with tax advice. Although Morgan Stanley is providing this information as an additional service, we do not guarantee that it is sufficient for tax reporting purposes, and are not liable for your reliance upon or use of this information. The Gain and Loss Summary is not a substitute 1099 form (or any other appropriate tax form), has not been supplied to the IRS and should not be filed with your taxes.

If cost basis information is not available, gain or (loss) will not be reflected on this Summary. For securities not purchased through Morgan Stanley, including securities purchased elsewhere and later transferred to Morgan Stanley, cost basis information may not be provided. To correct any information supplied by Morgan Stanley, please inform your Financial Advisor. To add cost basis information, you may either inform your Financial Advisor or input the data directly yourself through our client-only Web site, ClientServ. Morgan Stanley will adjust cost basis as appropriate in accordance with tax laws. However, Morgan Stanley does not adjust for all events and you should contact your tax advisor to determine the appropriate information to be used in the preparation of your tax return.

Long Term

DESCRIPTION	SYMBOL	QUANTITY	DATE ACQUIRED	DATE SOLD	TOTAL COST ORIGINAL/ ADJUSTED	PROCEEDS	REALIZED GAIN/(LOSS)	ADDITIONAL INFORMATION
GENERAL ELECTRIC CO	GE	2,000.000	08/07/2003	05/17/2006	$55,866.63 E	$69,092.62	$13,225.99	
MOTOROLA INC	MOT	600.000	07/23/2004	05/17/2006	$8,325.49 E	$12,681.58	$4,356.09	
MOTOROLA INC	MOT	50.000	07/23/2004	05/17/2006	$693.79 E	$1,057.24	$363.45	
Total Long Term						**$82,831.44**	**$17,945.53**	

E This transaction was executed elsewhere and the securities were later transferred to your Morgan Stanley DW Inc. account. The trade history for this transaction was provided to Morgan Stanley DW Inc. by your prior financial institution.

WACHOVIA SECURITIES

NICK RAHALL CHARITABLE
REMAINDER UNIT TRUST
U/A DTD 12/16/99
NICK J RAHALL II TTEE

Sub / Branch / Rep / Account No.
001 / BK / BK08 /

CRUT

ACCOUNT STATEMENT

December 1 - December 31, 2006

Attachment 10 - p.1

Your Financial Advisor:
EDWARD G RAHALL
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802
304-252-6221

Portfolio Summary

Portfolio Assets	Value on Nov 30	Value on Dec 31	Est. Ann Income	% Total Assets
Cash and Money Market Funds	346.58	- 16,014.00	0	
Bank Deposit Sweep Option *	149,366.81	121,246.33	4,486	25.84
Stocks and Options	36,322.00	67,587.00	1,131	13.96
Fixed Income Securities	283,581.98	295,392.50	18,491	61.00
Open End Mutual Funds	0.00	0.00	0	
Closed End Mutual Funds	0.00	0.00	0	
Portfolio Value	**$469,617.37**	**$468,211.83**	**$24,108**	**100%**

Negative values are not included in "Percent of total assets"

*The Bank Deposit Sweep Option consists of monies held in an interest-bearing deposit account at Wachovia Bank, N.A. These assets are not held in your securities brokerage account and therefore not covered by SIPC. Such monies are eligible for FDIC insurance, up to $100,000 per depositor ($250,000 for IRA accounts) in accordance with FDIC rules

As a Wachovia Securities Client, you can easily simplify your finances by combining all your investing and banking into a single, easy-to-manage relationship. By upgrading to the Command Asset Program, you'll have access to many more features and benefits to help you manage your finances. You'll see all your investing and banking activity on one comprehensive monthly statement.

It's as simple as talking with Your Financial Advisor. Ask them today about the Command Asset Program.

4954

10 - p.2

Investment Objective/Risk Tolerance: MODERATE GROWTH & INCOME

Cash Activity Summary

Total Cash/Money Market/Sweep Funds on Nov 30:	**$149,713.39**	
	Current Period	Year to Date
Deposits	0.00	0.00
Income and Distributions	2,284.74	20,828.91
Securities Sold and Redeemed	4,450.86	344,560.57
Other Additions	1,128.56	85,293.44
Net Additions to Cash	**$7,864.16**	**$450,682.92**
Withdrawals by Check	0.00	- 3,310.00
Securities Purchased	- 52,345.22	- 363,492.20
Other Subtractions	0.00	- 26,008.35
Net Subtractions from Cash	**- $52,345.22**	**- $392,810.55**
Total Cash/Money Market/Sweep Funds on Dec 31:	**$105,232.33**	

Year to date totals in the cash activity summary are based upon activity shown on this and past month's statements. This information is presented for informational purposes and should not be used for tax reporting.

Gain/Loss Summary

Realized Gain/Loss	This Period	Year to Date
Short-term	31.86	4,888.77
Long-term	0.00	- 58.21
Total Realized Gain/Loss	**$31.86**	**$4,830.56**

Income Summary

	Current Period	Year to Date
Money Market/Sweep Funds	428.50	2,830.87
Interest	1,736.24	15,876.87
Qualified Dividends	120.00	2,021.17
Partnership Distributions	0.00	100.00
Total Taxable Income	**$2,284.74**	**$20,828.91**
Total Federally Tax-Exempt Income	**$0.00**	**$0.00**
Total Account Income	**$2,284.74**	**$20,828.91**
Miscellaneous Information		
Accrued Interest on Purchases	-8.00	-307.08
Return of Principal	1,128.56	80,544.73
Gross Proceeds	4,450.86	349,309.28
Foreign Withholding	0.00	-8.35

The above totals may not match the cash activity summary or detailed activity in this statement, due to reclassification activity or other tax corrections. These figures represent your account as of this month end. Remember, you may have certain products that are not included in these figures and whose income is only available on the tax forms sent to you at year end. Reclassifications and other tax reporting requirements may alter these numbers both during and after year end. Rely only on your tax documents for tax reporting information. Contact your tax advisor if you have any questions about the tax consequences of your brokerage activity.

4955

10 - p.3

4956

Portfolio Assets

This section includes estimated or unrealized gains or losses for your information only and should not be used for tax purposes. If acquisition information is not available, the gain/loss information may not be displayed and section and summary totals may not reflect your complete portfolio. Cost basis information provided by the account owner is not verified by First Clearing, LLC and should not be relied upon for legal or tax purposes. Factored bonds (GNMA, CMO, etc.) will be adjusted for paydown of principal. Systematic investments in mutual funds and reinvested dividends for mutual funds and stocks have been consolidated for each position. Unit cost data for systematic investments and dividend reinvestment securities is provided for informational purposes only and is a non-weighted average. To update your cost information or provide omitted cost information, contact Your Financial Advisor. Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current Market Value	Estimated Annual Income	Estimated Current Yield(%)
Cash	- 16,014.00		
Total Cash and Money Market Funds	**- $16,014.00**		

Bank Deposit Sweep Option

The Bank Deposit Sweep Option consists of monies held in an interest-bearing deposit account at Wachovia Bank, N.A. These assets are not held in your securities brokerage account and therefore not covered by SIPC. Such monies are eligible for FDIC insurance, up to $100,000 per depositor ($250,000 for IRA accounts) in accordance with FDIC rules. If you have questions about your sweep option, including rates, please contact Your Financial Advisor.

Description	Current Market Value	Estimated Annual Income	Annual Percentage Yield Earned*
BANK DEPOSIT SWEEP OPTION Interest Period 12/01/06 - 12/31/06	121,246.33	4,486.11	3.70
Total Bank Deposit Sweep Option	**$121,246.33**		

* APY measures the total amount of interest paid on an account based on the interest rate and the frequency of compounding. The annual percentage yield is expressed as an annualized rate, based on a 365- or 366-day year (as applicable).

Curious how your securities are performing? The "Unrealized Gain/Loss" column tells you how much each stock has increased or decreased in value since you bought it (based on cost data supplied by you or by outside services, which may not be complete). Revisions to this information (because of corporate mergers, tenders, and other reorganizations, for example) may be necessary from time to time. To update your cost information or provide omitted costs, contact Your Financial Advisor.

Please note that the unrealized gain/loss information presented here does not incorporate amounts or situations that you may use to adjust basis
- Cost basis for fixed income tax lots has been amortized (for securities purchased at a premium) or accreted (for securities purchased at a discount), when possible, for applicable securities
- Partial return of principal or capital payments may not adjust original cost basis information
- Marked-to-market information is not available or provided

Stocks and Options

Stocks

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
BRUNSWICK CORP	BC								
Acquired 04/27/06		150	38.44	5,890.50	31.9000	4,785.00	- 1,105.50	180.00	1.88
Acquired 07/12/06		50	29.64	1,573.00		1,595.00	22.00		
Acquired 12/14/06		100	32.22	3,314.00		3,190.00	- 124.00		
Total		300		$10,777.50	31.9000	$9,570.00	- $1,207.50	$180.00	1.88
CRANE COMPANY	CR								
Acquired 12/12/06		150	35.89	5,508.00	36.6400	5,496.00	- 12.00	90.00	1.63
ELI LILLY & CO	LLY								
Acquired 12/08/06		125	53.65	6,820.75	52.1000	6,512.50	- 308.25	212.50	3.26
FIRST DATA CORP	FDC								
Acquired 04/05/06		100	25.83	2,632.81	25.5200	2,552.00	- 80.81	24.00	0.47
Acquired 10/03/06		100	23.17	2,408.00		2,552.00	144.00		
Total		200		$5,040.81	25.5200	$5,104.00	$63.19	$24.00	0.47
GANNETT CO INC DEL	GCI								
Acquired 09/29/04		100	84.30	8,515.50	60.4600	6,046.00	- 2,469.50	372.00	2.05
Acquired 04/20/05		100	77.08	7,793.50		6,046.00	- 1,747.50		
Acquired 03/27/06		100	58.64	5,958.00		6,046.00	88.00		
Total		300		$22,267.00	60.4600	$18,138.00	- $4,129.00	$372.00	2.05
GLAXOSMITHKLINE PLC-ADR	GSK								
Acquired 12/18/06		125	52.24	6,647.50	52.7600	6,595.00	- 52.50	217.12	3.29
LIFEPOINT HOSPS INC	LPNT								
Acquired 12/19/05		150	37.67	5,745.40	33.7000	5,055.00	- 690.40	N/A	N/A
Acquired 01/10/06		50	31.64	1,623.00		1,685.00	62.00		
Acquired 12/15/06		100	33.15	3,409.77		3,370.00	- 39.77		
Total		300		$10,778.17	33.7000	$10,110.00	- $668.17	N/A	N/A

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann Yield (%)
PEABODY ENERGY CORP Acquired 12/21/06	BTU	150	40.51	6,212.20	40.4100	6,061.50	- 150.70	36.00	0.59
Total Stocks				**$74,051.93**		**$67,587.00**	**- $6,464.93**	**$1,131.62**	**1.67**
Total Stocks and Options				**$74,051.93**		**$67,587.00**	**- $6,464.93**	**$1,131.62**	**1.67**

Fixed Income Securities

Corporate Bonds

Description	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Accrued Interest	Est. Ann. Income	Est. Ann Yield (%)
DOLE FOODS CO GLOBAL NOTES CALLABLE CPN 8.625% DUE 05/01/09 DTD 04/30/02 FC 11/01/02 Moody B3 , S&P B CUSIP 256605AJ5 Acquired 11/01/06	15,000	100.00	15,000.00	99.5000	14,925.00	- 75.00	208.50	1,293.75	8.66
LANDRYS RESTAURANTS INC SENIOR NOTES CALLABLE CPN 7.500% DUE 12/15/14 DTD 12/28/04 FC 06/15/05 CALL 12/15/09 @ 103.750 Moody B1 , S&P B CUSIP 51508LAB9 Acquired 06/17/05	25,000	98.25	24,562.50	97.7500	24,437.50	- 125.00	73.00	1,875.00	7.67
UNION CARBIDE GLOBAL NOTES NON CALL LIFE CPN 7.875% DUE 04/01/23 DTD 04/01/93 FC 10/01/93 Moody A2 , S&P BBB CUSIP 905572AD5 Acquired 10/05/04	25,000	100.12	25,029.77	107.6890	26,922.25	1,892.48	481.25	1,968.75	7.31
Total Corporate Bonds	**65,000**		**$64,592.27**		**$66,284.75**	**$1,692.48**	**$762.75**	**$5,137.50**	**7.75**

5-d - 10

4958

Fixed Income Securities

Government Asset Backed Securities

Description	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Accrued Interest	Est. Ann. Income	Est. Ann. Yield (%)
GNMA 05-5 EA REMIC MULTICLASS CMO CPN 5.500% DUE 07/20/34 DTD 01/01/05 FC 02/20/05 REMAIN BAL 21,022.75 DEC FACTOR .42045506 CUSIP 38374KNM1 Acquired 06/16/05	50,000	99.75	20,970.19	93.8650	19,733.00	- 1,237.19	90.00	1,156.25	5.85
Total Government Asset Backed Securities			**$20,970.19**		**$19,733.00**	**- $1,237.19**	**$90.00**	**$1,156.25**	5.86

Total Remaining Balance on all Government Asset Backed Securities $21,022.75

Mortgage-Backed Securities

Description	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Accrued Interest	Est. Ann. Income	Est. Ann. Yield (%)
FHLMC 2760 EE RETAIL LOTTERY REMIC MULTICLASS CMO CPN 5.500% DUE 05/15/33 DTD 03/01/04 REMAIN BAL 15,000.00 DEC FACTOR 1.00000000 CUSIP 31394T4E2 Acquired 05/03/04	15,000	99.00	14,856.00	95.4580	14,318.70	- 537.30	64.20	825.00	5.76
FHLMC 2646 LL RETAIL LOTTERY REMIC MULTICLASS CMO CPN 5.000% DUE 07/15/33 DTD 07/01/03 REMAIN BAL 12,000.00 DEC FACTOR 1.00000000 CUSIP 31393VZT1 Acquired 07/14/03	12,000	100.00	12,000.00	89.4280	10,731.36	- 1,268.64	46.68	600.00	5.59
GNMA 03-116 JV REMIC MULTICLASS CMO CPN 5.500% DUE 12/20/33 DTD 12/01/03 FC 01/20/04 REMAIN BAL 5,680.74 DEC FACTOR .40576772 CUSIP 38374EZS9 Acquired 08/08/05	14,000	99.50	5,652.34	96.2590	5,468.23	- 184.11	24.36	312.44	5.71

Fixed Income Securities

Mortgage-Backed Securities continued

Description	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Accrued Interest	Est. Ann. Income	Est. Ann. Yield (%)
BANK OF AMER 04-1 1A4 MULTICLASS CMO CPN 5.500% DUE 02/25/34 DTD 01/01/04 Moody AAA , S&P AAA REMAIN BAL 8,234.19 DEC FACTOR .58815683 CUSIP 05948XV32 Acquired 07/26/05	14,000	99.25	8,172.43	90.1190	7,420.57	- 751.86	35.28	452.88	6.10
GNMA 04-69 GB REMIC MULTICLASS CMO SEQUENTIAL PAYER CPN 5.500% DUE 04/20/34 DTD 08/01/04 REMAIN BAL 5,930.13 DEC FACTOR .23720533 CUSIP 38374H6L9 Acquired 09/17/04	25,000	99.75	5,915.30	97.3760	5,774.52	- 140.78	25.50	326.15	5.64
GNMA 04-102 MA REMIC MULTICLASS CMO CPN 5.500% DUE 04/20/34 DTD 11/01/04 REMAIN BAL 19,053.04 DEC FACTOR .76212172 CUSIP 38374JZ66 Acquired 12/02/04	25,000	99.75	19,005.41	97.5080	18,578.24	- 427.17	81.50	1,047.91	5.64
GNMA 2005-85 JB REMIC MULTICLASS CMO CPN 5.500% DUE 01/20/35 DTD 11/01/05 REMAIN BAL 25,000.00 DEC FACTOR 1.00000000 CUSIP 38374MHS1 Acquired 10/21/05	25,000	99.25	24,818.50	98.2070	24,551.75	- 266.75	107.00	1,375.00	5.60
GNMA 06-25 DB REMIC MULTICLASS CMO CPN 6.000% DUE 12/20/35 DTD 05/30/06 REMAIN BAL 16,000.00 DEC FACTOR 1.00000000 CUSIP 38374M4P1 Acquired 12/29/06	16,000	100.00	16,006.00	99.0550	15,848.80	- 157.20	74.72	960.00	6.05

NICK RAHALL CHARITABLE
REMAINDER UNIT TRUST
U/A DTD 12/16/99
NICK J RAHALL II TTEE

Sub / Branch / Rep / Account No.
001 / BK / BK08 /

December 1 - December 31, 2006

Fixed Income Securities

Mortgage-Backed Securities continued

Description	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Accrued Interest	Est. Ann. Income	Est. Ann. Yield (%)
FHLMC 3184 LN REMIC MULTICLASS CMO CPN 6.000% DUE 01/15/36 DTD 07/01/06 REMAIN BAL 17,279.00 DEC FACTOR .86395028 CUSIP 31396UXJ4 Acquired 08/28/06	20,000	100.00	17,279.00	99.3250	17,162.37	- 116.63	80.60	1,036.74	6.04
FHLMC 3216 DD RETAIL LOTTERY BOND REMIC MULTICLASS CMO CPN 6.000% DUE 08/15/36 DTD 09/01/06 REMAIN BAL 20,000.00 DEC FACTOR 1.00000000 CUSIP 31397AUV3 Acquired 09/12/06	20,000	99.82	19,971.00	99.2560	19,851.20	- 119.80	93.40	1,200.00	6.04
BANC OF 2006-5 2A1 AMERICA FDG CORP MULTICLASS CMO CPN 6.000% DUE 09/25/36 DTD 09/01/06 Moody AAA , S&P AAA REMAIN BAL 19,777.55 DEC FACTOR .98887764 CUSIP 05950NAR9 Acquired 09/13/06	20,000	99.62	19,703.38	99.5460	19,687.76	- 15.62	92.40	1,186.65	6.02
Total Mortgage-Backed Securities			**$163,379.36**		**$159,393.50**	**- $3,985.86**	**$725.64**	**$9,322.77**	**5.85**

Total Remaining Balance on all Mortgage-Backed Securities $163,954.65

Fixed Income Securities

Certificates of Deposit

Description	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Accrued Interest	Est. Ann. Income	Est. Ann. Yield (%)
BANKWEST INC CD DEPOSIT NOTES ACT/365 CALLABLE FDIC INSURED CPN 5.500% DUE 08/30/11 DTD 08/30/06 FC 11/30/06 CALL 11/30/06 @ 100.000 CUSIP 06652CAX9 Acquired 08/25/06	25,000	100.00	25,000.00	99.8960	24,974.00	- 26.00	109.25	1,375.00	5.50
UNITED MIZRAHI BANK CD LOS ANGELES CA ACT/365 FDIC INSURED CPN 6.000% DUE 11/14/20 DTD 11/14/05 FC 05/14/06 CUSIP 91102PAC4 Acquired 11/21/05	25,000	99.79	24,948.75	100.0290	25,007.25	58.50	185.00	1,500.00	5.99
Total Certificates of Deposit	**50,000**		**$49,948.75**		**$49,981.25**	**$32.50**	**$294.25**	**$2,875.00**	**5.75**
Total Fixed Income Securities			**$298,890.57**		**$295,392.50**	**- $3,498.07**	**$1,872.64**	**$18,491.52**	**6.26**

10 p.9
4962

Morgan Stanley

ACTIVE ASSETS ACCOUNT®
FOR MONTH ENDING DECEMBER 31, 2006

6 RUT

Your Financial Advisor
PEAR/HANDO/FELDMAN/ADAMS
MORGAN STANLEY
1775 EYE STREET, NW SUITE 200
WASHINGTON, DC 20006
(202) 862-9000

Account Number

AAA Customer Service
1-800-869-3326
Householding Anniv. Date:
05/29/2003

Access Your Account at:
www.morganstanley.com/online

#BWNJGMH
00868626 03 AV 0.749 03 TR 1086 -000
NICK J RAHALL II TTEE
NICK RAHALL CHAR REM UNITRUST U/A
DTD 12/18/1999
301 E PRINCE STREET
BECKLEY WV 25801-4058

642

Asset Summary

	Value	% of Assets
MMF and Bank Deposits*	$0.00	0.0%
Stocks	0.00	0.0
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	0.00	0.0
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	43,693.40	100.0
Asset Value	$43,693.40	100.0%
Cash	0.00	
Total Asset Value	$43,693.40	

Income Summary

	This Month	Year-To-Date
MMF and Bank Deposits*	$0.00	$0.00
Stocks	0.00	0.00
Municipal Bonds	0.00	0.00
Corporate Fixed Income	0.00	0.00
Government Securities	0.00	0.00
Mutual Funds	0.00	0.00
Unit Investment Trusts	0.00	0.00
Certificates of Deposit	0.00	0.00
Other	0.00	0.00
Total Income	$0.00	$0.00
Taxable Income	$0.00	$0.00
Tax Exempt Income	$0.00	$0.00

Eligible for FDIC insurance; FDIC rules apply. Not SIPC insured.

Activity Summary

Total Asset Value November 30 2006		$41,864.89
Cash/Money Market Funds/Bank Deposits* Activity for December		
Closing Balance 11/30	$0.00	
Credits To Your Account		
Dividend and Interest	0.00	
Deposits	0.00	
Sales Proceeds/Redemptions	0.00	
Other Credits	0.00	
Total Credits	0.00	
Debits To Your Account		
Checking	0.00	
Debit Card	0.00	
Withdrawals	0.00	
Funds to Purchase Securities	0.00	
Other Debits	0.00	
Total Debits	0.00	
Closing Balance 12/31	0.00	
Net Change Cash/Money Market Funds/Bank Deposits* Activity		0.00
Changes in Asset Value for December		
Value of Priced Assets 11/30	$41,864.89	
Securities Bought	0.00	
Securities Received	0.00	
Securities Sold/Redeemed	0.00	
Securities Delivered	0.00	
Transactions at Fund Company	0.00	
Change in Value of Priced Assets	1,828.51	
Value of Priced Assets 12/31	43,693.40	
Net Change in Asset Value		1,828.51
Total Asset Value as of December 31 2006		$43,693.40

Investments and services are offered through Morgan Stanley DW Inc., member SIPC.

4963

NICK J RAHALL II TTEE
NICK RAHALL CHAR REM UNITRUST U/A

Account Number

ASSET DETAILS

Cash/Money Market Funds/Bank Deposits	Amount	Pct of Assets	Est Yrly Income	APY	Annualized 30 Day Yields
CASH	.00	---	---	---	---
Total Cash/MMF/Bank Deposits	[illegible]	0			
Net Change Since Last Statement	.00				

Other	Est. NAV	Valuation Est.	Pct of Assets	Fund Number	Date	Additional Information
Managed Futures						
951.993 MS SPECTRUM STRATEGC (EST.VAL)	17.16	16,336.19	37.4%	0	12/28	
1,152.204 MS SPECTRUM TECH (EST.VAL)	23.68	27,357.21	62.6%	0	12/28	
Total Other		[illegible]	100.0%			
Net Change Since Last Statement		[illegible]				

Asset Summary	Value	Est Yrly Income
Total Asset Value	[illegible]	0
Total Net Change in Priced Assets Since Last Statement	[illegible]	

WACHOVIA SECURITIES

NICK JOE RAHALL II SEP IRA
FCC AS CUSTODIAN
U/A DTD 12/17/86

Sub / Branch / Rep / Account No.
001 / BK / BK08 /

ACCOUNT STATEMENT

December 1 - December 31, 2006

Attachment 11 - p.1

Your Financial Advisor:
EDWARD G RAHALL
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802
304-252-6221

Portfolio Summary

Portfolio Assets	Value on Nov 30	Value on Dec 31	Est Ann Income	% Total Assets
Cash and Money Market Funds	77.50	0.00	0	
Bank Deposit Sweep Option *	2,716.13	1,138.31	42	0.84
Stocks and Options	8,324.00	8,122.00	792	5.98
Fixed Income Securities	96,073.63	96,030.43	4,261	70.69
Open End Mutual Funds	28,153.31	28,078.84	19	20.67
Closed End Mutual Funds	0.00	0.00	0	
Unit Investment Trusts	0.00	2,476.49	199	1.82
Portfolio Value	**$135,344.57**	**$135,846.07**	**$5,313**	**100%**

*The Bank Deposit Sweep Option consists of monies held in an interest-bearing deposit account at Wachovia Bank, N.A. These assets are not held in your securities brokerage account and therefore not covered by SIPC. Such monies are eligible for FDIC insurance, up to $100,000 per depositor ($250,000 for IRA accounts) in accordance with FDIC rules.

As an established Wachovia Securities Client, your relationship is very important to us and we appreciate the trust you have placed in us to help manage your finances. Your Financial Advisor can assist you in many ways that you may not be aware of such as retirement planning, asset consolidation, and simplifying your investing and banking into a single, easy-to-manage relationship with the Command Asset Program.

Talk with Your Financial Advisor today and discover all the benefits available to you from Wachovia Securities.

WSJSSR.T 008459 364234248455 YNNNN NNNNN NNNNNNNN 000002

WACHOVIA SECURITIES

NICK JOE RAHALL II SEP IRA
FCC AS CUSTODIAN
U/A DTD 12/17/86

ACCOUNT STATEMENT

Sub / Branch / Rep / Account No
001 / BK / BK08 / [redacted]

December 1 - December 31, 2006

Investment Objective/Risk Tolerance: MODERATE GROWTH & INCOME

Cash Activity Summary

Total Cash/Money Market/Sweep Funds on Nov 30:	**$2,793.63**	
	Current Period	Year to Date
Deposits	0.00	5,000.00
Income and Distributions	1,760.36	5,331.19
Securities Sold and Redeemed	0.00	35,136.84
Net Additions to Cash	**$1,760.36**	**$45,468.03**
Withdrawals by Check	0.00	0.00
Securities Purchased	- 3,409.66	- 49,811.47
Other Subtractions	- 6.02	- 6.02
Net Subtractions from Cash	**- $3,415.68**	**- $49,817.49**
Total Cash/Money Market/Sweep Funds on Dec 31:	**$1,138.31**	

Year to date totals in the cash activity summary are based upon activity shown on this and past month's statements. This information is presented for informational purposes and should not be used for tax reporting.

Income Summary

	Current Period	Year to Date
Money Market/Sweep Funds	4.56	126.89
Interest	937.50	3,399.12
Dividends and ST Capital Gains	178.91	849.54
Long Term Capital Gains	639.39	639.39
Partnership Distributions	0.00	302.50
Total Income	**$1,760.36**	**$5,317.44**
Miscellaneous Information		
Accrued Interest on Purchases	0.00	-154.97
Gross Proceeds	0.00	35,136.84
Foreign Withholding	-6.02	-6.02

This is a non-reportable account. This account income will NOT be provided to the IRS at year end, but is being presented to you for informational purposes only.

The above totals may not match the cash activity summary or detailed activity in this statement, due to reclassification activity or other tax corrections. These figures represent your account as of this month end. Remember, you may have certain products that are not included in these figures and whose income is only available on the tax forms sent to you at year end. Reclassifications and other tax reporting requirements may alter these numbers both during and after year end. Rely only on your tax documents for tax reporting information. Contact your tax advisor if you have any questions about the tax consequences of your brokerage activity.

4966

Portfolio Assets

Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Bank Deposit Sweep Option

The Bank Deposit Sweep Option consists of monies held in an interest-bearing deposit account at Wachovia Bank, N.A. These assets are not held in your securities brokerage account and therefore not covered by SIPC. Such monies are eligible for FDIC insurance, up to $100,000 per depositor ($250,000 for IRA accounts) in accordance with FDIC rules. If you have questions about your sweep option, including rates, please contact Your Financial Advisor.

Description	Current Market Value	Estimated Annual Income	Annual Percentage Yield Earned*
BANK DEPOSIT SWEEP OPTION Interest Period 12/01/06 - 12/31/06	1,138.31	42.11	3.70
Total Bank Deposit Sweep Option	**$1,138.31**		

* APY measures the total amount of interest paid on an account based on the interest rate and the frequency of compounding. The annual percentage yield is expressed as an annualized rate, based on a 365- or 366-day year (as applicable).

Stocks and Options

Stocks

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
BUCKEYE PARTNERS L P UNIT LTD PARTNERSHIP INT	BPL	100	46.4800	4,648.00	310.00	6.66
PRECISION DRILLING TRUST	PDS	150	23.1600	3,474.00	482.25	13.88
Total Stocks				**$8,122.00**	**$792.25**	**9.75**
Total Stocks and Options				**$8,122.00**	**$792.25**	**9.75**

4967

Fixed Income Securities

Corporate Bonds

Description	Quantity	Current Price	Current Market Value	Est. Accrued Interest	Est. Ann. Income	Est. Ann. Yield (%)
FLEMING COMPANIES INC GLOBAL NOTES SERIES D CALLABLE CPN 10.625% DUE 07/31/07 DTD 01/31/02 FC 07/31/02 Moody B3 , S&P NR CUSIP 339130AT3	3,000	0.1210	3.63	N/A	N/A	N/A
FORD MOTOR CO NOTES NON CALL LIFE CPN 7.250% DUE 10/01/08 DTD 10/02/96 FC 04/01/97 Moody B3 , S&P B CUSIP 345370BQ2	5,000	100.9480	5,047.40	88.60	362.50	7.18
DOLE FOODS CO GLOBAL NOTES CALLABLE CPN 8.625% DUE 05/01/09 DTD 04/30/02 FC 11/01/02 Moody B3 , S&P B CUSIP 256605AJ5	10,000	99.5000	9,950.00	139.00	862.50	8.66
GOODYEAR TIRE & RUBBER NOTES CPN 7.857% DUE 08/15/11 DTD 08/15/01 FC 02/15/02 Moody B2 , S&P B- CUSIP 382550AH4	5,000	100.5000	5,025.00	146.25	392.85	7.81
CHIQUITA BRANDS INTL SENIOR NOTES CALLABLE CPN 7.500% DUE 11/01/14 DTD 09/28/04 FC 05/01/05 CALL 11/01/09 @ 103.750 Moody CAA2, S&P B- CUSIP 170032AQ9	5,000	91.0000	4,550.00	60.40	375.00	8.24

4968

Fixed Income Securities

Corporate Bonds continued

Description	Quantity	Current Price	Current Market Value	Est. Accrued Interest	Est. Ann. Income	Est. Ann. Yield (%)
LANDRYS RESTAURANTS INC SENIOR NOTES CALLABLE CPN 7.500% DUE 12/15/14 DTD 12/28/04 FC 06/15/05 CALL 12/15/09 @ 103.750 Moody B1 , S&P B CUSIP 51508LAB9	25,000	97.7500	24,437.50	73.00	1,875.00	7.67
UNION CARBIDE GLOBAL NOTES NON CALL LIFE CPN 7.875% DUE 04/01/23 DTD 04/01/93 FC 10/01/93 Moody A2 , S&P BBB CUSIP 905572AD5	5,000	107.6890	5,384.45	96.25	393.75	7.31
Total Corporate Bonds	**58,000**		**$54,397.98**	**$603.50**	**$4,261.60**	**7.83**

Government Bonds

Description	Quantity	Current Price	Current Market Value	Est. Accrued Interest	Est. Ann. Income	Est. Ann. Yield (%)
FICO STRIP SR 1 11/11/11 INTEREST PMT DUE 11/11/11 DTD 05/18/88 Moody NR , S&P NR CUSIP 31771CBY0	20,000	79.0910	15,818.20	N/A	N/A	N/A
US TREAS STRIPS 08/13 INTEREST PMT DUE 08/15/13 CUSIP 912833DE7	35,000	73.7550	25,814.25	N/A	N/A	N/A
Total Government Bonds	**55,000**		**$41,632.45**			
Total Fixed Income Securities			**$96,030.43**	**$603.50**	**$4,261.60**	**4.44**

4969

WACHOVIA SECURITIES

NICK JOE RAHALL II SEP IRA
FCC AS CUSTODIAN
U/A DTD 12/17/86

Sub / Branch / Rep / Account No.
001 / BK / BK06 /

ACCOUNT STATEMENT

December 1 - December 31, 2006

Open End Mutual Funds

Estimated annual income and yield refer to dividends and interest income only, and typically do not reflect total return.

Description	Symbol	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
ALLIANCEBERNSTEIN FDS SMALL CAP GROWTH CL-B	QUABX	239.55200	22.5300	5,397.10	N/A	N/A
JOHN HANCOCK LARGE CAP SELECT FUND CL B	JHLBX	928.64400	18.8900	17,542.08	N/A	N/A
JOHN HANCOCK II LIFESTYLE GROWTH FUND CL-C	JCLGX	349.16200	14.7200	5,139.66	19.20	0.37
Total Open End Mutual Funds				**$28,078.84**	**$19.20**	**0.07**

Unit Investment Trusts

Equity Trusts

Description	Quantity	Current Price	Current Market Value	Est. Ann. Income	Est. Ann. Yield (%)
CLAYMORE SECURITIES DELTA GLOBAL CANADIAN ENERGY SERIES 5 REIN	251.67600	9.8400	2,476.49	199.07	8.03
Total Equity Trusts			**$2,476.49**	**$199.07**	**8.04**
Total Unit Investment Trusts			**$2,476.49**	**$199.07**	**8.04**

4970

2006 ENHANCED 1099

Your Financial Advisor :
EDWARD G RAHALL
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802
304-252-8221

NICK JOE RAHALL II

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

As of Date: 2/02/07

Your Federal Identification Number:

Attachment 12 - p.1

Reportable Tax Information

Tax reporting requirements can create differences with the amounts previously reported in monthly statements.

1099-B Proceeds from Broker and Barter Exchange Transactions for 2006 — OMB NO. 1545-0715

Description (Box 7)	Cusip (Box 1b)	Price	Quantity	Trade Date (Box 1a)	Amount	IRS Box	Transaction Description	Reported On
AMERICAN EXPRESS CO	025816109	53.03000	250.00000	01/25/2006	13,013.09	2	SALE	1099-B
AMERICAN EXPRESS CO	025816109	54.30000	250.00000	02/23/2006	13,362.08	2	SALE	1099-B
AMERIPRISE FINANCIAL INC	03076C106	41.21000	128.00000	01/03/2006	5,160.21	2	SALE	1099-B
BRISTOL MYERS SQUIBB CO	110122108	25.02000	150.00000	09/27/2006	3,628.38	2	SALE	1099-B
BRISTOL MYERS SQUIBB CO	110122108	24.32000	150.00000	10/20/2006	3,523.38	2	SALE	1099-B
BRISTOL MYERS SQUIBB CO	110122108	24.72000	200.00000	10/31/2006	4,788.79	2	SALE	1099-B
BUFFALO WILD WINGS INC	119848109	39.16200	100.00000	05/26/2006	3,825.07	2	SALE	1099-B
BUFFALO WILD WINGS INC	119848109	38.71000	100.00000	06/23/2006	3,794.48	2	SALE	1099-B
BUFFALO WILD WINGS INC	119848109	38.68350	25.00000	06/23/2006	949.46	2	SALE	1099-B
CEPHALON INC	156708109	64.13800	100.00000	04/18/2006	6,322.60	2	SALE	1099-B
CHECKFREE CORP	162813109	35.40400	150.00000	08/25/2006	5,219.43	2	SALE	1099-B
CITRIX SYSTEMS INC	177376100	32.83200	125.00000	08/17/2006	4,009.87	2	SALE	1099-B
DIGITAL RIVER INC	25388B104	41.92000	100.00000	08/23/2006	4,099.87	2	SALE	1099-B
HOME DEPOT INC	437076102	39.91000	250.00000	01/27/2006	9,772.69	2	SALE	1099-B
HOME DEPOT INC	437076102	40.08000	50.00000	12/15/2006	1,912.93	2	SALE	1099-B
ITRON INC	465741106	43.25350	100.00000	01/10/2006	4,234.21	2	SALE	1099-B
KOS PHARMACEUTICALS INC	500648100	48.00010	100.00000	05/11/2006	4,708.86	2	SALE	1099-B
MICROS SYS INC	594901100	44.08000	125.00000	08/30/2006	5,395.33	2	SALE	1099-B
P.F. CHANGS CHINA BISTRO	69333Y108	35.28200	300.00000	09/27/2006	10,409.77	2	SALE	1099-B

THIS IS YOUR FORMS 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if the income is taxable and the IRS determines that it has not been reported.

2006 ENHANCED 1099

WACHOVIA SECURITIES

Sub / Branch / Rep / Account No
001 / BK / BK08 /

NICK JOE RAHALL II

Your Financial Advisor :
EDWARD G RAHALL
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802
304-252-6221

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

As of Date: 2/02/07

Your Federal Identification Number:

Reportable Tax Information

1099-B Proceeds from Broker and Barter Exchange Transactions for 2006 *Continued*

OMB NO. 1545-0715

Description (Box 7)	Cusip (Box 1b)	Price	Quantity	Trade Date (Box 1a)	Amount	IRS Box	Transaction Description	Reported On
QUALITY SYSTEMS INC	747582104	30.65000	150.00000	04/19/2006	4,506.35	2	SALE	1099-B
RADIANT SYS INC	75025N102	10.30180	500.00000	12/14/2006	5,053.24	2	SALE	1099-B
RED ROBIN GOURMET BURGER	75689M101	39.25010	100.00000	01/10/2006	3,902.88	2	SALE	1099-B
RED ROBIN GOURMET BURGER	75689M101	38.94100	150.00000	01/12/2006	5,749.97	2	SALE	1099-B
RED ROBIN GOURMET BURGER	75689M101	44.06000	100.00000	07/11/2006	4,314.86	2	SALE	1099-B
RED ROBIN GOURMET BURGER	75689M101	38.22010	125.00000	08/11/2006	4,673.36	2	SALE	1099-B
TEVA PHARMACEUTICAL ADR	881624209	35.59200	150.00000	08/08/2006	5,194.13	2	SALE	1099-B
WRIGLEY WM JR CO	982526105	66.74000	100.00000	01/03/2006	6,579.79	2	SALE	1099-B
WRIGLEY WM JR CO	982526105	64.15000	200.00000	02/23/2006	12,655.10	2	SALE	1099-B
TOTAL PROCEEDS FROM BROKER AND BARTER EXCHANGE					160,760.18	2		

THIS IS YOUR FORMS 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if the income is taxable and the IRS determines that it has not been reported.

YT163035 006858 035112717163 YNNNN NNNNN NNNNNN 000003

2006 ENHANCED 1099

WACHOVIA SECURITIES

Sub / Branch / Rep / Account No
001 / BK / BK08 / *

NICK JOE RAHALL II

Your Financial Advisor :
EDWARD G RAHALL
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802
304-252-6221

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

As of Date: 2/02/07

Federal Non-Reportable Information

Purchase Activity Detail

Description	Cusip	Date	Activity	Quantity	Price	Amount
BUFFALO WILD WINGS INC	119848109	05/24/2006	PURCHASE	100.00000	36.18800	-3,709.80
BUFFALO WILD WINGS INC	119848109	06/08/2006	PURCHASE	125.00000	36.40000	-4,852.50
CEPHALON INC	156708109	03/28/2006	PURCHASE	100.00000	59.89600	-6,083.60
CHECKFREE CORP	162813109	08/24/2006	PURCHASE	150.00000	33.57600	-5,160.90
CITRIX SYSTEMS INC	177376100	07/20/2006	PURCHASE	125.00000	29.91600	-3,843.50
CITRIX SYSTEMS INC	177376100	12/22/2006	PURCHASE	150.00000	28.92600	-4,163.40
DIGITAL RIVER INC	25388B104	06/06/2006	PURCHASE	100.00000	38.95600	-3,986.60
KOS PHARMACEUTICALS INC	500648100	04/11/2006	PURCHASE	100.00000	44.54000	-4,545.00
MICROS SYS INC	594901100	07/21/2006	PURCHASE	125.00000	37.72990	-4,828.74
P.F. CHANGS CHINA BISTRO	69333Y108	04/11/2006	PURCHASE	100.00000	44.13400	-4,504.40
P.F. CHANGS CHINA BISTRO	69333Y108	05/24/2006	PURCHASE	100.00000	41.72400	-4,263.40
P.F. CHANGS CHINA BISTRO	69333Y108	07/13/2006	PURCHASE	100.00000	34.13200	-3,507.20
QUALITY SYSTEMS INC	747582104	04/11/2006	PURCHASE	150.00000	29.24100	-4,490.15
RADIANT SYS INC	75025N102	12/12/2006	PURCHASE	500.00000	9.37460	-4,831.80
RALEIGH C 4.4% 12/1/2014	751062AL4	01/04/2006	PURCHASE	20,000.00000	99.00000	-19,806.00
RED ROBIN GOURMET BURGER	75689M101	01/10/2006	PURCHASE	100.00000	37.69000	-3,860.00
RED ROBIN GOURMET BURGER	75689M101	01/11/2006	PURCHASE	150.00000	37.35000	-5,696.50
RED ROBIN GOURMET BURGER	75689M101	06/06/2006	PURCHASE	100.00000	40.42990	-4,133.99
RED ROBIN GOURMET BURGER	75689M101	08/09/2006	PURCHASE	125.00000	35.05990	-4,486.49
RED ROBIN GOURMET BURGER	75689M101	11/07/2006	PURCHASE	125.00000	35.41400	-4,531.25

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

YT183035 008858 035112717163 YNNNN RNNNN NNNNNN 000006

4973

2006 ENHANCED 1099

NICK JOE RAHALL II

Your Financial Advisor :
EDWARD G RAHALL
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802
304-252-6221

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

As of Date: 2/02/07

Federal Non-Reportable Information

Purchase Activity Detail *Continued*

Description	Cusip	Date	Activity	Quantity	Price	Amount
RED ROBIN GOURMET BURGER	75689M101	11/10/2006	PURCHASE	125.00000	33.73600	-4,321.50
TEVA PHARMACEUTICAL ADR	881624209	07/21/2006	PURCHASE	150.00000	29.70800	-4,570.70

Option Activity Detail

Description	Date	Activity	Quantity	Price	Amount
PUT APPLE COMPUTER INC $75 EXP 11/18/06 04101	10/05/2006	PURCHASE	3.00000	3.80000	-1,223.80
PUT APPLE COMPUTER INC $75 EXP 11/18/06 04101	10/11/2006	SALE	3.00000	5.00000	1,408.95
PUT APPLE COMPUTER INC $75 EXP 11/18/06 04101	10/19/2006	PURCHASE	4.00000	1.40000	-642.20
PUT APPLE COMPUTER INC $80 EXP 11/18/06 04101	10/19/2006	PURCHASE	3.00000	3.20000	-1,040.20
PUT APPLE COMPUTER INC $80 EXP 02/18/06 QAANP	01/10/2006	PURCHASE	2.00000	4.60000	-982.00
PUT APPLE COMPUTER INC $80 EXP 02/18/06 QAANP	01/18/2006	PURCHASE	3.00000	2.70000	-857.25
PUT APPLE COMPUTER INC $80 EXP 02/18/06 QAANP	01/18/2006	PURCHASE	1.00000	2.75000	-288.75
PUT APPLE COMPUTER INC $80 EXP 02/18/06 QAANP	01/20/2006	SALE	8.00000	5.20000	4,098.87

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

Attachment 13 - p.1

THE HONORABLE NICK JOE RAHALL
301 E PRINCE STREET

Account Number

Dividends and Interest

Activity	Description	Amount	Income Category
Dividend	SUPERVALU INC	16.84	Stocks
Dividend	TRIBUNE CO DELAWARE NEW	27.00	Stocks
Dividend	VERIZON COMMUNICATIONS	89.12	Stocks
Dividend	WHIRLPOOL CORP	5.16	Stocks
Dividend	WYETH	15.15	Stocks
	Total Stocks	$1,264.87	
	Total Dividends and Interest	1,599.50	

Sales Proceeds/Redemptions

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
02-03	02-08	Sold	40	ALBERTSONS INC	25.0012	1,000.01	
06-05	06-05	Sold	65	ALBERTSONS INC		1,322.75	EXCHANGE
12-01	12-01	Sold		ALCATEL-LUCENT ADS		2.60	CASH IN LIEU OF FRACTIONS
07-21	07-26	Sold	10	ALTRIA GROUP INC	79.2000	791.97	
03-20	03-23	Sold	30	AMR CORP DE	27.2598	817.76	
05-04	05-09	Sold	35	AMR CORP DE	27.9891	979.58	
08-14	08-17	Sold	50	AT&T INC	30.0500	1,502.45	
09-21	09-26	Sold	20	AT&T INC	32.2592	645.16	
05-08	05-11	Sold	50	AVAYA INC	12.8000	639.98	
05-24	05-30	Sold	25	AVON PRODUCTS INC	31.4635	786.56	
08-07	08-10	Sold	20	BELLSOUTH CORP	40.3414	806.80	
08-30	09-05	Sold	35	BELLSOUTH CORP	40.7000	1,424.45	
06-15	06-20	Sold	50	CONVERGYS CORPORATION	19.0533	952.64	
12-11	12-14	Sold	110	DYNEGY INC (HLDG CO) CL A	6.6665	733.29	
03-14	03-17	Sold	20	ELECTR DATA SYSTEMS CORP NEW	27.3213	546.41	HSDHI IS MARKET MAKER
07-31	08-03	Sold	10	ELI LILLY & CO	56.5112	565.09	
07-26	07-31	Sold	15	GENERAL MOTORS CORP	32.0537	480.79	
09-11	09-14	Sold	12	HANESBRANDS INC	21.7485	260.97	
03-13	03-16	Sold	30	HEWLETT PACKARD	33.2539	997.58	
11-17	11-22	Sold	55	IKON OFFICE SOLUTIONS INC	15.8697	872.80	
09-11	09-14	Sold	10	JPMORGAN CHASE & CO	45.2875	452.86	
09-25	09-28	Sold	15	JPMORGAN CHASE & CO	46.9600	704.37	
10-03	10-06	Sold	15	JPMORGAN CHASE & CO	47.2006	707.98	
06-28	06-28	Sold	10	KNIGHT RIDDER INC		400.00	EXCHANGE
06-13	06-16	Sold	35	KROGER CO	19.7258	690.37	
07-20	07-25	Sold	25	KROGER CO	22.5529	563.80	
05-23	05-26	Sold	10	LEXMARK INTL INC NEW A	51.2552	512.51	
09-25	09-28	Sold	15	LEXMARK INTL INC NEW A	58.9396	884.06	
11-22	11-28	Sold	10	LEXMARK INTL INC NEW A	68.0216	680.19	
12-01	12-01	Tender	1,000	LUCENT TECHNOLOGIES			EXCHANGE

THE HONORABLE NICK JOE RAHALL
301 E PRINCE STREET

Account Number

Sales Proceeds/Redemptions

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
11-17	11-22	Sold	20	MARSH & MCLENNAN COS INC	32.2417	640.80	
09-15	09-20	Sold	10	MATTEL INC	19.1828	191.82	
10-18	10-25	Sold	75	MATTEL INC	21.8172	1,636.23	
09-04	09-06	Sold	40	MAYTAG CORP		420.00	EXCHANGE
06-28	06-28	Sold		MCCLATCHY CO-CL A (HLDG CO)		4.65	CASH IN LIEU OF FRACTIONS
05-04	05-09	Sold	30	MICRON TECH INC	17.0024	510.05	
05-26	06-01	Sold	80	MEMS CORP LTD CL A ORD	19.0007	1,520.01	
08-12	08-18	Sold	25	SAFEWAY INC COM NEW	24.5130	607.81	
08-04	08-09	Sold	35	SAFEWAY INC COM NEW	28.8423	1,009.44	
09-07	09-12	Sold	15	SAFEWAY INC COM NEW	30.3500	455.23	
07-31	08-03	Sold	25	SCHERING PLOUGH CORP	20.5743	514.34	
01-09	01-12	Sold	160	SUN MICROSYSTEMS INC	4.5749	731.95	
02-01	02-06	Sold	135	SUN MICROSYSTEMS INC	4.6400	626.38	
10-26	10-31	Sold	220	SUN MICROSYSTEMS INC	5.3682	1,180.96	
06-05	06-05	Sold		SUPERVALU INC		23.62	CASH IN LIEU OF FRACTIONS
02-03	02-08	Sold	35	SYNOPSYS INC	22.4031	784.08	
04-04	04-04	Sold		WHIRLPOOL CORP		71.74	CASH IN LIEU OF FRACTIONS
11-07	11-10	Sold	35	XEROX CORP	16.8454	589.57	
				Total Sales Proceeds/Redemptions		$33,248.66	

Other Credits

Date	Activity	Description	Amount	Additional Information
01-04	Rebate	12B1 FEE PASS THROUGH	0.23	
02-02	Rebate	12B1 FEE PASS THROUGH	0.29	
03-02	Rebate	12B1 FEE PASS THROUGH	0.23	
04-04	Rebate	12B1 FEE PASS THROUGH	0.18	
05-02	Rebate	12B1 FEE PASS THROUGH	0.15	
06-02	Rebate	12B1 FEE PASS THROUGH	0.13	
07-05	Rebate	12B1 FEE PASS THROUGH	0.20	
08-02	Rebate	12B1 FEE PASS THROUGH	0.13	
09-05	Rebate	12B1 FEE PASS THROUGH	0.22	
09-05	Stock Dividend	HANESBRANDS INC	10.86	CASH IN LIEU FRACTIONAL SHARE
10-03	Rebate	12B1 FEE PASS THROUGH	0.20	
11-02	Rebate	12B1 FEE PASS THROUGH	0.39	
11-17	Stock Dividend	IDEARC INC	21.25	CASH IN LIEU FRACTIONAL SHARE
12-04	Rebate	12B1 FEE PASS THROUGH	0.43	
		Total Other Credits	$34.89	

Total Credits To Your Account $34,682.85

4976

THE HONORABLE NICK JOE RAHALL
301 E PRINCE STREET

Account Number

DEBITS TO YOUR ACCOUNT

Funds to Purchase Securities

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
12-01	12-01	Received	195	ALCATEL-LUCENT ADS			EXCHANGE
10-26	10-31	Bought	100	BOSTON SCIENTIFIC CORP	15.7919	1,579.19	
10-06	10-12	Bought	55	CONSECO INC NEW	19.9700	1,098.35	
07-24	07-27	Bought	30	DELL INC	20.3623	610.87	
07-31	08-03	Bought	50	DELL INC	21.8960	1,094.80	
11-28	12-01	Bought	40	DOW CHEMICAL CO	39.6863	1,587.45	
07-06	07-11	Bought	20	EASTMAN KODAK CO	23.2967	465.93	
05-24	05-30	Bought	90	FORD MOTOR CO NEW	6.9601	626.41	
12-13	12-18	Bought	225	FORD MOTOR CO NEW	6.9700	1,568.25	
05-31	06-05	Bought	10	GANNETT COMPANY INC DE	54.0762	540.76	
08-08	08-11	Bought	10	GANNETT COMPANY INC DE	54.2755	542.76	
02-03	02-08	Bought	195	GATEWAY INC	2.3998	467.96	
01-04	01-09	Bought	30	GENERAL MOTORS CORP	19.1243	573.73	
02-24	03-01	Bought	78	GENERAL MOTORS CORP	20.1017	1,567.63	
04-05	04-08	Bought	20	H & R BLOCK INC	22.9000	458.00	
09-08	09-13	Bought	65	H & R BLOCK INC	21.0876	1,370.69	
04-12	04-18	Bought	25	HUNTSMAN CORP	18.4452	461.13	
06-07	06-12	Bought	30	HUNTSMAN CORP	18.2683	548.05	
12-06	12-11	Bought	25	IDEARC INC	27.8316	683.29	
01-23	01-26	Bought	45	INTEL CORP	21.7778	980.00	
03-10	03-15	Bought	20	INTEL CORP	19.9548	399.10	
03-15	03-20	Bought	40	INTEL CORP	19.8916	795.66	
03-21	03-24	Bought	15	INTEL CORP	19.9101	298.65	
05-31	06-05	Bought	5	INTL BUSINESS MACHINES CORP	80.0000	400.00	
06-05	06-08	Bought	5	INTL BUSINESS MACHINES CORP	79.9778	399.89	
07-21	07-26	Bought	5	INTL BUSINESS MACHINES CORP	74.8924	374.46	
08-11	08-16	Bought	5	INTL BUSINESS MACHINES CORP	75.4361	377.18	
08-02	08-07	Bought	290	LUCENT TECHNOLOGIES	2.0929	606.80	
08-15	08-18	Bought	25	MARSH & MCLENNAN COS INC	25.2997	632.49	
06-28	06-28	Received	5	MCCLATCHY CO-CL A (HLDG CO)			EXCHANGE
09-15	09-20	Bought	25	MCCLATCHY CO-CL A (HLDG CO)	39.2917	982.29	
12-20	12-26	Bought	60	MICRON TECH INC	13.5781	814.69	
05-09	05-12	Bought	60	MICROSOFT CORP	23.7911	1,427.47	
01-18	01-23	Bought	80	NEWS CORP LTD CL A DEL	16.1150	1,289.20	
06-08	06-13	Bought	50	POPULAR INC	19.4781	973.91	
10-18	10-23	Bought	35	POPULAR INC	18.1337	634.68	
08-11	08-16	Bought	180	SANMINA CORP	3.2400	583.20	
05-12	05-17	Bought	30	SARA LEE CORP	17.9128	537.38	

THE HONORABLE NICK JOE RAHALL
301 E PRINCE STREET

Account Number

Funds to Purchase Securities

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
06-15	06-20	Bought	70	SARA LEE CORP	17.0619	1,195.63	
02-22	02-27	Bought	25	SCHERING PLOUGH CORP	19.2611	481.53	
06-05	06-05	Received	11	SUPERVALU INC			EXCHANGE
08-28	08-31	Bought	40	SUPERVALU INC	27.8934	1,115.74	
06-16	06-21	Bought	185	TENET HEALTHCARE CORP	7.3155	1,355.37	
04-05	04-10	Bought	50	TRIBUNE CO DELAWARE NEW	27.3439	1,367.20	
06-04	06-04	Received	4	WHIRLPOOL CORP			EXCHANGE
11-28	12-01	Bought	15	WHIRLPOOL CORP	85.7103	1,285.65	
				Total Funds to Purchase Securities		-36,069.42	

Other Debits

Date	Activity	Description	Amount	Additional Information
01-17	Charge	ACCESS 1Q06 FEE BRN	-244.29	
04-17	Charge	ACCESS 2Q06 FEE BRN	-258.15	
07-17	Charge	ACCESS 3Q06 FEE BRN	-259.54	
10-15	Charge	ACCESS 4Q06 FEE BRN	-281.91	
		Total Other Debits	-1,043.88	

Total Debits To Your Account -37,113.30

ADDITIONAL ACCOUNT INFORMATION

Securities Received

Date	Activity	Quantity	Description	Price	Amount	Additional Information
09-05	Stock Dividend	12	HANESBRANDS INC			DISTRIBUTION FROM SLE
11-17	Stock Dividend	2	IDEARC INC			DISTRIBUTION FROM VZ
05-08	Stock Dividend	10	LOEWS CORP DELAWARE			
			Total Securities Received		0.00	

Sales Proceeds/Redemptions

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
12-08	12-13	Sold	15	BANK OF AMERICA CORP	51.5391	773.06	
01-23	01-26	Sold	10	BARCLAYS PLC ADR	42.2686	422.66	
07-24	07-27	Sold	10	BARCLAYS PLC ADR	44.8564	448.54	
01-12	01-18	Sold	15	BP PLC ADS	68.7195	1,030.75	
01-12	01-18	Sold	5	CADBURY SCHW PLC ADR 10 ORD NW	39.7528	198.75	
02-01	02-06	Sold	15	CANON INC ADR NEW	61.1529	917.26	
09-08	09-13	Sold	15	CHEVRON CORP	64.3199	964.77	
09-05	09-08	Sold	45	CISCO SYS INC	22.1003	994.47	
10-17	10-20	Sold	25	CISCO SYS INC	24.2531	606.31	
11-28	12-01	Sold	35	CISCO SYS INC	26.3821	923.34	
05-11	05-16	Sold	15	CITIGROUP INC	49.3843	740.28	
07-19	07-24	Sold	53	CITIGROUP INC	47.1350	1,555.41	
03-24	03-29	Sold	15	CONOCOPHILLIPS	61.9111	928.64	
11-16	11-21	Sold	35	DELL INC	24.6415	862.42	
09-11	09-14	Sold	10	ENI SPA AMER DEP RCPT	58.0160	580.14	
05-11	05-16	Sold	20	FIRST DATA CORP	46.4495	928.96	
12-14	12-19	Sold	10	GALLAHER GP PLC ADR	91.1544	911.54	
05-09	05-12	Sold	20	GOLDEN WEST FINCL CORP DEL	73.9651	1,479.25	
08-02	08-07	Sold	35	HOME DEPOT INC	34.0174	1,190.57	
03-10	03-15	Sold	10	HSBC HOLDINGS PLC SPON ADR NEW	85.4379	854.35	
10-12	10-17	Sold	10	JOHNSON & JOHNSON	64.9563	649.54	

Morgan Stanley

ACTIVE ASSETS® ACCESS ACCOUNT
FOR YEAR ENDING DECEMBER 31, 2006

THE HONORABLE NICK JOE RAHALL
301 E PRINCE STREET

Account Number

Sales Proceeds/Redemptions

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
02-28	03-03	Sold	15	JPMORGAN CHASE & CO	41.0108	615.14	
03-29	04-03	Sold	8	KAO CORP SPONS ADR	269.3404	807.99	
01-23	01-26	Sold	5	NESTLE SPON ADR REP REG SHR	74.7889	373.92	
07-21	07-26	Sold	35	ORACLE CORP	15.2172	532.58	
08-03	08-08	Sold	45	ORACLE CORP	14.8777	669.47	
11-28	12-01	Sold	60	ORACLE CORP	18.9056	1,134.18	
03-27	03-30	Sold	5	SCHERING AG	103.3967	516.96	
04-18	04-21	Sold	5	SCHERING AG	103.9854	519.91	
06-15	06-20	Sold	5	SIEMENS AKTIENGESELLSCHAFT	78.6962	393.46	
07-11	07-14	Sold	10	SIEMENS AKTIENGESELLSCHAFT	84.2895	842.87	
11-16	11-21	Sold	10	SWISS REINSURANCE CO SPON ADR	83.0029	829.99	
02-28	03-01	Sold	5	TOTAL FINA ELF SA	126.9986	634.93	
07-11	07-11	Tender	10	UBS AG NEW			EXCHANGE
03-27	03-30	Sold	15	UNILEVER PLC (NEW) ADS	40.6194	609.27	
05-09	05-12	Sold	45	VIVENDI ADS RPSTG 1 ORD	35.6983	1,606.37	
07-28	07-28	Sold		VODAFONE GP PLC ADS NEW		8.01	CASH IN LIEU OF FRACTIONS
07-28	07-28	Tender	85	VODAFONE GP PLC ADS			EXCHANGE
08-17	08-17	Tender	85	VODAFONE GP PLC CL-B (ESC)			DIVIDEND PAYMENT
01-12	01-18	Sold	25	WELLS FARGO & CO NEW	63.0811	1,576.98	
10-05	10-11	Sold	15	WESTERN UN CO	19.6786	295.17	
				Total Sales Proceeds/Redemptions		$29,928.21	

Other Credits

Date	Activity	Description	Amount	Additional Information
01-04	Rebate	12B1 FEE PASS THROUGH	0.33	
02-02	Rebate	12B1 FEE PASS THROUGH	0.41	
03-02	Rebate	12B1 FEE PASS THROUGH	0.28	
04-04	Rebate	12B1 FEE PASS THROUGH	0.16	
05-02	Rebate	12B1 FEE PASS THROUGH	0.19	
05-26	Stock Dividend	ARKEMA SPONS ADR	25.07	CASH IN LIEU FRACTIONAL SHARE
06-02	Rebate	12B1 FEE PASS THROUGH	0.30	
07-05	Rebate	12B1 FEE PASS THROUGH	0.29	
07-14	Return of Capital	ZURICH FINANCIAL SVC	6.12	RETURN OF CAPITAL
08-02	Rebate	12B1 FEE PASS THROUGH	0.25	
09-05	Rebate	12B1 FEE PASS THROUGH	0.38	
10-03	Rebate	12B1 FEE PASS THROUGH	0.43	
10-23	Distribution	SOCIETE GENERALE SP ADR	7.69	PROCEEDS SALE OF RIGHTS
11-02	Rebate	12B1 FEE PASS THROUGH	0.50	
12-04	Rebate	12B1 FEE PASS THROUGH	0.41	
		Total Other Credits	$42.23	

14 - D.3

THE HONORABLE NICK JOE RAHALL
301 E PRINCE STREET

Account Number

Total Credits To Your Account $82,266.25

DEBITS TO YOUR ACCOUNT

Funds to Purchase Securities

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
11-09	11-14	Bought	35	ADIDAS-SALOMON AG SPONS ADR	24.4050	854.18	
02-27	03-02	Bought	35	AUTOMATIC DATA PROCESSING INC	46.1631	1,615.71	
12-15	12-20	Bought	20	AUTOMATIC DATA PROCESSING INC	49.5854	991.71	
01-12	01-18	Bought	30	BANK OF AMERICA CORP	45.8499	1,375.48	
03-28	03-31	Bought	30	BRISTOL MYERS SQUIBB CO	25.1365	754.07	
08-03	08-08	Bought	55	CISCO SYS INC	17.5499	965.24	
01-12	01-18	Bought	20	CITIGROUP INC	49.0135	980.27	
01-12	01-18	Bought	25	CONOCOPHILLIPS	61.5234	1,538.09	
10-31	11-03	Bought	25	CRH PLC ADR	35.6314	890.79	
12-15	12-18	Bought	20	CRH PLC ADR	39.4700	789.40	
05-09	05-12	Bought	35	DELL INC	24.9109	871.88	
03-23	03-28	Bought	20	ERICSSON LM TEL ADR CL B NEW	39.0385	780.77	
10-09	10-12	Bought	20	FIRST DATA CORP	22.9384	458.77	
12-21	12-27	Bought	35	FIRST DATA CORP	24.6711	863.49	
08-22	08-25	Bought	25	GALLAHER GP PLC ADR	63.4180	1,585.45	
09-08	09-13	Bought	15	INTL BUSINESS MACHINES CORP	80.5535	1,208.30	
06-08	06-13	Bought	20	LLOYDS TSB GROUP PLC	39.1381	782.76	
05-09	05-12	Bought	20	MELLON FINCL CORP	38.7938	775.88	
07-19	07-24	Bought	25	MELLON FINCL CORP	34.9068	872.67	
12-15	12-20	Bought	15	MELLON FINCL CORP	42.5076	637.61	
11-28	12-01	Bought	20	MICROSOFT CORP	29.3052	586.10	
03-22	03-27	Bought	5	MITSUI MARINE&FIRE INS LTD ADR	133.8320	669.16	
02-21	02-24	Bought	30	NISSAN MTR CO LTD SPND ADR	23.6188	708.56	
04-21	04-26	Bought	35	NISSAN MTR CO LTD SPND ADR	24.7716	867.01	
01-25	01-30	Bought	35	NOKIA CP ADR	18.5100	647.85	
02-03	02-08	Bought	15	NOVARTIS AG ADR	55.7709	836.56	
03-27	03-30	Bought	15	SANOFI AVENTIS ADS	45.5222	682.83	
07-11	07-14	Bought	15	SANOFI AVENTIS ADS	49.6373	744.56	
06-14	06-19	Bought	15	SEPRACOR INC	47.0137	705.21	
01-17	01-20	Bought	15	SIEMENS AKTIENGESELLSCHAFT	86.0900	1,291.35	
11-01	11-06	Bought	50	SINGAPORE TELECOM LTD ADR NEW	17.2910	864.55	
12-11	12-14	Bought	35	SINGAPORE TELECOM LTD ADR NEW	20.7263	725.42	
07-25	07-28	Bought	20	SUEZ	41.2107	824.21	
09-21	09-26	Bought	15	SUEZ	44.3161	664.74	
01-24	01-27	Bought	70	SUMITOMO MITSUI FINL GRP ADR	10.7213	750.49	
07-11	07-11	Received	20	UBS AG NEW			EXCHANGE

4981

Morgan Stanley

ACTIVE ASSETS® ACCESS ACCOUNT
FOR YEAR ENDING DECEMBER 31, 2006

THE HONORABLE NICK JOE RAHALL
301 E PRINCE STREET

Account Number

Funds to Purchase Securities

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
07-19	07-24	Bought	10	UBS AG NEW	51.2651	-512.66	
07-28	07-28	Received	74	VODAFONE GP PLC ADS NEW			EXCHANGE
07-28	07-28	Received	65	VODAFONE GP PLC CL-B (ESC)			EXCHANGE
11-28	12-01	Bought	15	WYETH	47.6042	-714.06	
12-08	12-13	Bought	20	WYETH	50.3465	-1,006.93	
				Total Funds to Purchase Securities		**-33,394.77**	

Other Debits

Date	Activity	Description	Amount	Additional Information
01-17	Charge	ACCESS 1Q06 FEE LZD	-273.72	
04-17	Charge	ACCESS 2Q06 FEE LZD	-291.61	
07-17	Charge	ACCESS 3Q06 FEE LZD	-298.46	
08-25	Charge	CANON INC ADR NEW	-0.25	ADR FEE
10-13	Charge	ACCESS 4Q06 FEE LZD	-304.24	
		Total Other Debits	**-1,168.28**	

Total Debits To Your Account **-34,555.05**

ADDITIONAL ACCOUNT INFORMATION

Securities Received

Date	Activity	Quantity	Description	Price	Amount	Additional Information
08-25	Stock Dividend	5	CANON INC ADR NEW			
01-09	Stock Dividend	15	ENI SPA AMER DEP RCPT			
03-07	Stock Dividend	41	HEINEKEN NV ADR			
05-22	Stock Dividend	13	TOTAL FINA ELF SA			
09-29	Stock Dividend	15	WESTERN UN CO			DISTRIBUTION FROM FDC
			Total Securities Received		**0.00**	

Sales Proceeds/Redemptions

Trade Date	Settle Date	Activity	Quantity	Description			Price	Amount	Additional Information
11-22	11-22	Sold	25,000	FHLB	5160	06NV22		25,000.00	REDEMPTION OF MATURED BOND
12-26	12-26	Sold	50,000	FHLB	5190	06QE26		50,000.00	REDEMPTION OF MATURED BOND
02-15	02-16	Sold	25,000	FM PUB BLDGS-K	BE 4000	W26A01	100.5230	25,255.75	ACCRUED INTEREST 125.00
01-03	01-05	Sold	50,000	US TSY BILL	00000	06JA05	100.0000	50,000.00	U.S. TREASURY REDEMPTION
02-07	02-09	Sold	50,000	US TSY BILL	00000	06FB09	100.0000	50,000.00	U.S. TREASURY REDEMPTION
02-28	03-02	Sold	25,000	US TSY BILL	00000	06MH02	100.0000	25,000.00	U.S. TREASURY REDEMPTION
05-02	05-04	Sold	75,000	US TSY BILL	00000	06MY04	100.0000	75,000.00	U.S. TREASURY REDEMPTION
03-25	03-28	Sold	40,000	M.VA HOSP FIN	BE 5000	07AU01	101.4470	40,895.47	ACCRUED INTEREST 316.67
				Total Sales Proceeds/Redemptions				$341,151.22	

Other Credits

Date	Activity	Description	Amount	Additional Information
01-04	Rebate	1281 FEE PASS THROUGH	10.39	
02-02	Rebate	1281 FEE PASS THROUGH	2.96	
03-02	Rebate	1281 FEE PASS THROUGH	1.13	
04-04	Rebate	1281 FEE PASS THROUGH	3.36	
05-02	Rebate	1281 FEE PASS THROUGH	1.84	
06-02	Rebate	1281 FEE PASS THROUGH	4.33	
07-05	Rebate	1281 FEE PASS THROUGH	0.42	
08-02	Rebate	1281 FEE PASS THROUGH	0.44	
09-05	Rebate	1281 FEE PASS THROUGH	0.60	
10-03	Rebate	1281 FEE PASS THROUGH	0.55	
11-02	Rebate	1281 FEE PASS THROUGH	0.42	
12-04	Rebate	1281 FEE PASS THROUGH	0.73	
		Total Other Credits	$27.17	

SECURITY MARKS AT LEFT

Investments and services are offered through Morgan Stanley DW Inc., member SIPC.

Morgan Stanley

CUSTOM PORTFOLIO ACCOUNT
FOR YEAR ENDING DECEMBER 31, 2006

THE HONORABLE NICK JOE RAHALL
301 E PRINCE STREET

Account Number

Total Credits To Your Account $355,566.25

DEBITS TO YOUR ACCOUNT

Funds to Purchase Securities

Trade Date	Settle Date	Activity	Quantity	Description			Price	Amount	Additional Information	
01-12	01-16	Bought	20,000	BARBOUR CO BOE	BE 5000	06JN01	103.1050	20,751.56	ACCRUED INTEREST	130.56
09-21	10-10	Bought	5,000	FFCB	5300	#08JA10	100.0000	5,000.00		
05-05	05-22	Bought	25,000	FHLB	5160	06NVZ2	100.0000	25,000.00		
05-03	05-26	Bought	50,000	FHLB	5190	06DE26	100.0000	50,000.00		
11-28	12-07	Bought	25,000	FHLB	5150	#07DE07	99.9750	24,993.75		
03-27	03-30	Bought	55,000	KANAWHA CO BOE	BE 4700	07MY01	101.3950	56,836.05	ACCRUED INTEREST	1,069.90
01-20	01-25	Bought	25,000	OH BOE	BE 5400	08JN01	105.1960	26,489.00	ACCRUED INTEREST	202.80
02-13	02-14	Bought	50,000	US TSY BILL	00000	06MY04	99.0344	49,517.20	YIELD PRICE 4.4000PCT	
04-21	04-24	Bought	25,000	US TSY BILL	00000	06MY04	99.8769	24,969.23		
12-29	12-29	Bought	30,000	US TSY BILL	00000	07JA04	99.9262	29,977.86	YIELD PRICE 4.4290PCT	
01-10	01-12	Bought	20,000	W.VA ST ROAD	BE 5125	#15JN01	106.5610	21,388.94	ACCRUED INTEREST	116.78
									YIELD 3.4000	
				Total Funds to Purchase Securities				-334,925.59		

Other Debits

Date	Activity	Description	Amount	Additional Information
01-17	Charge	DISCRETIONARY 1QTR FEE	-494.02	
02-13	Journal Out	TRF	-14,079.00	
04-17	Charge	DISCRETIONARY 2QTR FEE	-489.92	
07-17	Charge	DISCRETIONARY 3QTR FEE	-494.00	
10-15	Charge	DISCRETIONARY 4QTR FEE	-511.60	
		Total Other Debits	-16,068.54	

Total Debits To Your Account -350,994.13

THE HONORABLE NICK JOE RAHALL
301 E PRINCE STREET

Account Number

Total Credits To Your Account $1,052.39 Year-To-Date $2,211.89

DEBITS TO YOUR ACCOUNT

Funds to Purchase Securities

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
12-04	12-04	Bought	3.088	MSIF TR LTD DURATION INST	10.3300	31.90	REINVESTMENT
12-13	12-13	Bought	14.324	VAN KAMPEN COMSTOCK I	19.0400	272.72	REINVESTMENT
12-13	12-13	Bought	3.239	VAN KAMPEN COMSTOCK I	19.0400	61.67	REINVESTMENT
12-13	12-13	Bought	23.249	VAN KAMPEN GROWTH & INCOME I	21.8400	507.76	REINVESTMENT
12-13	12-13	Bought	2.250	VAN KAMPEN GROWTH & INCOME I	21.8400	49.13	REINVESTMENT
12-21	12-21	Bought	3.025	MSIF CORE FIXED INC PORT INST	10.6600	32.22	REINVESTMENT
12-21	12-21	Bought	7.131	MSIF TR LTD DURATION INST	10.2500	73.09	REINVESTMENT
12-21	12-21	Bought	3.156	MSIF TR HI YIELD PORT INST	10.4500	33.01	REINVESTMENT

Total Funds to Purchase Securities $1,061.50 Year-To-Date $2,129.83

Total Debits To Your Account $1,061.50 Year-To-Date $2,493.46

ADDITIONAL ACCOUNT INFORMATION

MMF and Bank Deposits

Closing Balances

Date	Description	Amount	Additional Information
11-30	MONEY MARKET FUNDS	$238.36	
11-30	TOTAL CLOSING BALANCE	238.36	

Transactions

Date	Activity	Description	Amount	Additional Information
12-05	Automatic Investment	LIQUID ASSET FUND	0.01	
12-29	Dividend Reinvestment	LIQUID ASSET FUND	0.87	

Sales Proceeds/Redemptions

Trade Date	Settle Date	Activity	Quantity	Description	Price	Amount	Additional Information
05-17	05-22	Sold	2,000	GENERAL ELECTRIC CO	84.6200	69,992.62	PREFERENTIAL RATE CLIENT LONG IN ACCOUNT
05-17	05-22	Sold	650	MOTOROLA INC	21.2300	13,738.82	PREFERENTIAL RATE CLIENT LONG IN ACCOUNT
				Total Sales Proceeds/Redemptions		$82,831.44	

Total Credits To Your Account $84,824.51

WACHOVIA SECURITES SEP IRA

2006 Transactions

Date	Description	Amount
Purchases		
02/10	349.162 John Hancock Lifestyle Growth Fund	$5,000.00
02/17	5000 Ford Motor Co Notes 7.25% Due 10/01/08	4,668.99
03/21	300 GMH Communities Trust	3,421.00
04/03	50 GMH Communities Trust	610.00
04/20	200 Macquarie Global Infrastructure Total Return Fd	4,544.00
06/19	350 GMH Communities Trust	4,038.49
06/19	50 Truststreet Properties, Inc.	689.00
07/21	175 Macquarie Infrastructure Company Trust	4,529.50
08/24	300 Sprint Nextel Corp	5,056.15
11/01	10000 Dole Foods Co Global Notes 8.625% Due 5/1/09	10,042.98
11/09	150 Precision Drilling Trust	3,801.70
12/06	250 Claymore Securities Delta Global Energy Series 5	2,631.48
12/21	40.068 John Hancock Large Cap Select Fund	761.70
12/26	1.676 Claymore Securities Delta Global Energy Series 5	16.48
		$49,811.47
Sales		
02/16	110 RMR Hospitality & Real Estate Fund	$2,093.04
03/21	300 Valor Communications Group	3,874.90
04/20	350 GMH Communities Trust	4,266.86
07/07	350 GMH Communities Trust	4,470.85
08/10	175 Macquarie Infrastructure Company Trust	4,828.59
08/14	200 Macquarie Global Infrastructure Total Return Fd	4,733.35
09/15	300 Sprint Nextel Corp	5,081.44
10/30	150 Truststreet Properties, Inc.	5,787.81
		$35,136.84

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 3:18
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A
For use by Members, officers, and employees

James M. Ramstad
(Full Name)

103 Cannon House Office Building Washington, DC 20515
(Mailing Address)

202-225-2871
Daytime Telephone

Filer Status
- ☑ Member of the U.S. House of Representatives — State: MN — District: 03
- ☐ Officer Or Employee — Employing Office:

Report Type
- ☑ Annual (May 15)
- ☐ Amendment
- ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	James M. Ramstad	5-15-07

SCHEDULE I - EARNED INCOME

Name James M. Ramstad

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Russell Reynolds Associates, Inc.	Spouse Salary	N/A
First Western Bancorp, Inc.	Spouse Salary	N/A
First Western Investments	Spouse director income	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James M. Ramstad

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	Apple Computer stock	$15,001 - $50,000		NONE	
	Bank of the West-Keogh Fargo, North Dakota	$50,001 - $100,000	DIVIDENDS INTEREST CAPITAL GAINS	$2,501 - $5,000	
SP	Centennial Money Market account (IRA)	$1,001 - $15,000	INTEREST IRA	$1 - $200	
SP	Darden Restaurants stock	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	Della Mae Ramstad Trust. Farm Property -- North Dakota. Total of 5,571 acres (no improvements); undivided one-half interest (Inherited assets distributed 12/2006)	None	RENT	$15,001 - $50,000	

4990

	Farm Property -- North Dakota. Total of 3,020 acres (no improvements) and undivided one-half interest in parcels totaling 800 acres (one parcel with farm buildings). Acreage increased due to inherited assets received from Della Mae Ramstad Trust.	$1,000,001 - $5,000,000	RENT	$50,001 - $100,000	
SP	Federal National Mortgage Association stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	First Western Bancorp stock Bank holding company, Huron, South Dakota	$1,000,001 - $5,000,000	S-Corp income/INTEREST	$50,001 - $100,000	
SP	First Western Bank savings account	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
SP	General Electric stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	General Mills stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Legislative Retirement Plan	$15,001 - $50,000		NONE	
SP	Pepsico stock	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
	Peterborough Housing Associates (limited partnership; housing-Needham, Massachusetts)	None	PARTNERSHIP INCOME	$201 - $1,000	S
SP	Pfizer stock (IRA)	$1,001 - $15,000	DIVIDENDS IRA	$201 - $1,000	
SP	Russell Reynolds Associates stock	$100,001 - $250,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James M. Ramstad

SP	Wal-Mart Stores stock	$15,001 - $50,000	DIVIDENDS	$1 - $200
	Woodworth Farmers Group	$1 - $1,000	DIVIDENDS	NONE

SCHEDULE IV - TRANSACTIONS

Name James M. Ramstad

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Peterborough Housing Associates	SALE	05-01-06	$50,001 - $100,000
	Urban Improvement Fund 73	SALE	02-14-06	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name James M. Ramstad

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
SP	MasterCard/VISA/American Express	Revolving charge accounts	$50,001 - $100,000
SP	First Western Bank	Line of credit	$50,001 - $100,000

SCHEDULE VIII - POSITIONS

Name James M. Ramstad

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Advisory Board Member	Lake Country Food Bank
Board Member	Minnesota D.A.R.E.
Board Member	C. Charles Jackson Foundation
Advisory Board Member	Bolder Options
Board Member	Minneapolis Police Foundation

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(6)

...E RESOURCE CENTER

2007 JUN 15 AM 10:41

...E CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only) MC

CHARLES B. RANGEL
(Full Name)
40 West 135th Street, Apt. 16A/P
New York City, N.Y. 10037
(Mailing Address)
Daytime Telephone (202) 225-4365

Filer Status
- [X] Member of the U.S. House of Representatives — State: New York, District: 15
- [ ] Officer or Employee — Employing Office:

Report Type
- [X] Annual (May 15)
- [ ] Amendment
- [ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☒	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 *and not otherwise exempt*)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—*Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?*	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	C B Rangel	6/15/07

4996

SCHEDULE III — ASSETS AND UNEARNED INCOME

BLOCK A **Asset and/or Income Source**	BLOCK B **Value of Asset**	BLOCK C **Type of Income**	BLOCK D **Amount of Income**	BLOCK E **Transaction**
Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year. **Exclude:** Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	*at close of reporting year.* If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	Check all columns that apply. Check "None" if asset did not generate any income during the calendar year.	For retirement plans or accounts that do not allow you to choose specific investments, you may write "N/A" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.	Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in the reporting year.

			A	B	C	D	F	F	G	H	I	J	K	L	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
			None	$1 – $1,000	$1,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $250,000	$250,001 – $500,000	$500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	$25,000,001 – $50,000,000	Over $50,000,000								None	$1 – $200	$201 – $1,000	$1,001 – $2,500	$2,501 – $5,000	$5,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	Over $25,000,000	
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock				X								X									X								P
			Simon & Schuster				*Indefinite*														Royalties						X						
			1st Bank of Paducah, KY accounts					X									X									X							
	Punta Cana Villa Punta Cana, Dom Rep							X							X							X											

For additional assets and unearned income, use next page.

Continuation Sheet (if needed)

CHARLES S. FRANKEL 3910

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income						BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P S E
	MUTUAL FUNDS													X																
	Blackrock Equity Dividend Fund				X									X									X							
	Cohen + Steers Intl. Realty Fund, Cl A				X									X									X							
	Federated Market Opportunity Fund Class A				X									X									X							
	Hartford Capital Appreciation Cl A		X											X							X									
	JPMorgan Russia Fund Cl A			X										X							X									
	JP Morgan US Real Estate Fund Cl A			X										X									X							
	JP Morgan Fleming Emerging Economies			X										X							X									
	Van Eck Global			X										X							X									
	Alliance Bernstein Mun					X								X										X						
	Franklin Cust Fd Fin Ser			X										X										X						
	Loomis Sayles Strategic			X										X								X								
	Oppenheimer Intl			X										X								X								
	Pimco Emerging Market Bond			X										X								X								

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name CHARLES B. RANGEL | Page 4 of 6

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction									
SP, DC, JT	Asset		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:*	Mega Corporation Common Stock	X			10–12–06		X								
	301 17th St., Unit #217 Sunny Isles, FL 33160			X		7-21-07					X					

This page may be copied if more space is required.

4999

CHARLES B. RANGEL — 50

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the *Foreign Gifts and Decorations Act* (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	New York Carib News	Nov. 9-12	New York City - Panama City - New York City	Y	Y	Y	None

This page may be copied if more space is required.

5000

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on *Schedule I*

Position	Name of Organization
Member of the Board of Directors	The Ann Kheel Foundation

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service, continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2007 33-427 (mac)

Use additional sheets if more space is required.

5001

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 4:11
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A Page 1 of 8
For use by Members, officers, and employees

Ralph Regula
(Full Name)

2306 Rayburn House Office Building Washington, DC 20515
(Mailing Address)

(202) 225-3876
Daytime Telephone

Filer Status
- [x] Member of the U.S. House of Representative — State: OH, District: 16
- [ ] Officer Or Employee — Employing Office:

Report Type
- [x] Annual (May 15)
- [ ] Amendment
- [ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes [x] No [ ]
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes [x] No [ ]
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes [x] No [ ]
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes [ ] No [x]
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes [x] No [ ]
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes [ ] No [x]
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes [x] No [ ]
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes [x] No [ ]
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes [ ] No [x]
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes [ ] No [x]

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes [ ] No [x]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/15/07

5002

SCHEDULE I - EARNED INCOME

Name Ralph Regula

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Ohio Public Employees Retirement System	Pension	$6,881

5003

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package. Employees may request a green envelope from the Clerk or use a plain envelope that is appropriately labeled.

Source	Activity	Date	Amount
American Council on Education	Participation in Federal Budget Exercise	June 14, 2006	$1,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ralph Regula

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
200 Acres, Bethlehem Twp. Stark County, Ohio Exclusive of 2 residences	$100,001 - $250,000	Royalty Rent	$5,001 - $15,000	
Huntington Bank--Stocks	$500,001 - $1,000,000	Dividends	$15,001 - $50,000	
J.M. Smucker--Stocks	$15,001 - $50,000	Dividends	$201 - $1,000	
Regula Bros. Beach City, Ohio Partnership -- Livestock, farm equipment, transportation equipment	$100,001 - $250,000	Partnership Distribution	$1,001 - $2,500	
Sky Financial Group--Stocks	$50,001 - $100,000	Dividends	$2,501 - $5,000	

5005

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

	Undivided half interest in 25 acres, Bethlehem Twp. Stark County, Ohio	$50,001 - $100,000	Royalty Rent	$2,501 - $5,000	
	Undivided half interest in residential property on Dorothy Lane in Pinella County, Florida (acquired as a vacant lot in 1952 -- later a vacation home was built -- no income previously was generated from property)	$50,001 - $100,000	RENT	$201 - $1,000	

SCHEDULE V - LIABILITIES

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Huntington Bank	Mortgage on 8787 Erie Ave. SW, Navarre, OH 44662	$15,001 - $50,000
	Huntington Bank	Business Loan	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Ralph Regula

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure–Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
KIPP School Foundation	August 2	Cleveland-New Orleans-Cleveland	N	Y	N	1 Day

5008

SCHEDULE VIII - POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	Regula Brothers Partnership
Trustee	Mount Union College
Trustee	Stark Wilderness Center
Trustee	McKinley Museum

5009

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED
...IVE RESOURCE CENTER (6)
2007 JUN 14 PM 3:54
...TIVE CLERK
...OUSE OF REPRESENTATIVES
(Office Use Only) MC

DENNIS RAY REHBERG
(Full Name)

CHOB 516 WASHINGTON, DC.
(Mailing Address)

(202) 225-3211
Daytime Telephone:

Filer Status	[X] Member of the U.S. House of Representatives	State: MT District: AL	[ ] Officer or Employee	Employing Office:	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Dennis R Rehberg	6/14/07

Jun 14 07 01:36p Rehberg Ranch 14062453458 p.3
5010

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name DENNIS RAY REHBERG | Page 2 of 5

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXEMPTED/BLIND TRUST	Other Type of Income (Specify; For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP							X								X										X								P
DC	*Examples:*	Mega Corp Stock			Indefinite																												
JT		Simon & Schuster						X									X				Royalties						X						
		1st Bank of Paducah, KY accounts																															
SEE ATTACHED SCHEDULE OF ASSETS																																	
SP	KEOGH RETIREMENT ACCOUNT																																
	GOLDMAN SACHS					X											X								X								
	GROWTH FUND				X										X							X											
	NEW PERSPECTIVE				X										X						X												
	WASHINGTON MUTUAL				X										X							X											

For additional assets and unearned income, use next page.

Jun 14 07 01:36p Rehberg Ranch 14062453458 p.4

5011

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name DENNIS RAY REHBERG

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset											BLOCK C: Type of Income							BLOCK D: Amount of Income										BLOCK E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	LEHMARDT PROPERTY LP								X											PMT. INCOME					X						
JT	RENTAL PROP - 1737 AVE D						X									X									X						
JT	RENTAL PROP - 3502 Rifle Creek							X								X										X					
JT	REHBERG RANCH MARKETING INC									X	X			X						DISTRIBUTIONS	X										
JT	REHBERG RANCH LLC										X			X						"	X										
JT	REHBERG RANCH CATTLE + LIVESTOCK										X									PART. INC.						X					
DC	INVEST FINANCIAL			X													X					X									
DC	U.S. TREASURY FUNDS			X										X							X										
DC	FIRST CITIZENS BANK			X													X					X									

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	STOCKMAN BANK	GUARANTOR - DEV LOANS							X			
JT	STOCKMAN BANK	CONST LOAN					X					
JT	FIRST CITIZENS BANK	AG LOAN			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule

Source	Description	Value
Examples: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
NONE		

Jun 14 07 01:50p Rehberg Ranch 14062453458 p.1 5013

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
MEMBER	REMBERG RANCH, LLC
MEMBER	REMBERG RANCH LAND - LIVESTOCK, LLC
SHAREHOLDER / DIRECTOR	REMBERG RANCH MARKETING, INC.
MEMBER	THE RIMS EQUESTRIAN CENTER, LLC

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

GPO 2007 33-177 (mac)

Use additional sheets if more space is required.

SCHEDULE III – ASSETS AND "UNEARNED" INCOME
Asset Listing

1. Keogh Retirement Fund -Billings, MT ; Managed by First Interstate Bank
   a. Goldman Sachs Finance- Square Prime
   b. Growth Fund of America
   c. New Perspective Fund
   d. Washington Mutual Investors Fund

2. Lenhardt Property, L.P., Billings, MT
   a. Family partnership – (value based upon operating partnership equity)
   b. Family farm – 4345 King Ave. W. Billings, MT
   c. (Rental Income)

3. 1737 Avenue D, Billings, MT - Residential Rental – FMV estimate

4. 3902 Rifle Creek Trail, Billings, MT - Bus/Res Rental – FMV estimate

5. Rehberg Ranch, LLC – 4401 Highway 3, Billings, MT
   a. Undeveloped Land in Sec. 15 & 22, T1N, R25E - FMV estimate

6. Rehberg Ranch Marketing, Inc. – 4401 Highway 3, Billings
   a. Developed Lots – Rehberg Ranch Estates First Filing – FMV Estimate

7. Rehberg Ranch Land & Livestock, LLC – 4401 Highway 3, Billings, MT
   a. Formerly Rehberg Ranch-Sole Proprietorship
   b. Livestock Ranching Operation – FMV Estimate

8. Invest Financial Prime Fund Capital Reserves – Farmers State Bank, 103 Main St., Victor, MT – Money Market Acct

9. U.S. Treasury, Washington, D.C. – CAT Certificates & Savings Bonds

10. 1st Citizens Bank, 2812 1st Ave. N., Billings, MT – Savings & CDs

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2007 MAY 14 PM 3:18
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

DAVID GEORGE REICHERT
(Full Name)

1223 LONGWORTH HOB
(Mailing Address)
WASHINGTON, DC 20515

202-225-7761
Daytime Telephone

Filer Status
☒ Member of the U.S. House of Representatives — State: WA District: 08
☐ Officer or Employee — Employing Office:

Report Type
☒ Annual (May 15)
☐ Amendment
☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more ~~than $305 and not otherwise exempt~~)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	David G. Reichert	5/11/2007

5016

Name DAVID G. REICHERT	Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
DEPT. OF RETIREMENT SYSTEMS	PENSION/RETIREMENT	92,908

For payments to charity in lieu of honoraria, use Schedule II.

5017

Name DAVID G. REICHERT | Page 1 of 1

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

			Block A	Block B	Block C	Block D	Block E
SP	Examples:	SP	Mega Corp Stock	E	NONE	$1,001 – $2,500	P
DC			Simon & Schuster	Indefinite			
JT			1st Bank of Paducah, KY accounts	F	RENT	$5,001 – $15,000	
						Royalties	

	Block A	Block B	Block C	Block D	Block E
	BANK OF AMERICA	C	INTEREST	$201 – $1,000	
	PREVAIL CREDIT UNION	C	INTEREST	$201 – $1,000	<FORMERLY KING-COUNTY CU>
	WA DEPT OF RETIREMENT SYS	F		None	NOT SELF-DIRECTED
SP	WA DEPT OF RETIREMENT SYS	D		None	NOT SELF-DIRECTED
	FEDERAL CREDIT UNION	C	INTEREST	$201 – $1,000	
	T. ROWE PRICE EQUITY INCOME	D		None	NO CHANGE IN ASSET HOLDINGS IN 2006
	T. ROWE PRICE MIDCAP GROWTH	D		None	NO CHANGE IN ASSET HOLDINGS IN 2006
	T ROWE PRICE PERS STRAT GROWTH	D		None	NO CHANGE IN ASSET HOLDINGS IN 2006
	PIMCO TOTAL RETURN ADMIN	D		None	NO CHANGE IN ASSET HOLDINGS IN 2006

For additional assets and unearned income, use next page.

5018

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A Page 1 of 4
For use by Members, officers, and employees

HAND DELIVERED (4)

...TIVE RESOURCE CENTER
2007 MAY 23 PM 3:25
(Office Use Only) CLERK
U.S. HOUSE OF REPRESENTATIVES

Silvestre Reyes
(Full Name)

732 Azalea Dr. El Paso, TX 79922
(Mailing Address)

(202) 225-4831
Daytime Telephone:

Filer Status
- ☑ Member of the U.S. House of Representatives — State: TX, District: 16
- ☐ Officer Or Employee — Employing Office:

Report Type
- ☑ Annual (May 15)
- ☐ Amendment
- ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/22/07

5019

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Silvestre Reyes

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	Bank of the West	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Government Employees Credit Union	$15,001 - $50,000	INTEREST	$201 - $1,000	

5020

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Silvestre Reyes

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Consumer Electronics Association	Jan. 5-6	Las Vegas (provided own transportation)	Y	Y	Y	None

SCHEDULE VIII - POSITIONS

Name Silvestre Reyes

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board	Congressional Hispanic Caucus Institute

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2007 MAY 11 PM 12:46
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(Office Use Only) NC

Thomas M Reynolds
(Full Name)

8261 Old Post Rd, E. Amherst, NY 14051 (Mailing Address) 716-634-2324 Daytime Telephone:

Filer Status	☒ Member of the U.S. House of Representatives	State: NY District: 26	☐ Officer or Employee	Employing Office:	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Thomas M Reynolds	5-11-07

5023

Name T. Reynolds	Page 2 of 6

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	State of New York Retirement System	pension	25,201
	MJ Peterson Real Estate	Spouse Commissions	N/A

For payments to charity in lieu of honoraria, use Schedule II.

5024

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name T. Reynolds | Page 3 of 6

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

			Block A	B: A None	B: B $1 – $1,000	B: C $1,001 – $15,000	B: D $15,001 – $50,000	B: E $50,001 – $100,000	B: F $100,001 – $250,000	B: G $250,001 – $500,000	B: H $500,001 – $1,000,000	B: I $1,000,001 – $5,000,000	B: J $5,000,001 – $25,000,000	B: K $25,000,001 – $50,000,000	B: L Over $50,000,000	C: NONE	C: DIVIDENDS	C: RENT	C: INTEREST	C: CAPITAL GAINS	C: EXCEPTED/BLIND TRUST	C: Other Type of Income (Specify; For Example, Partnership Income or Farm Income)	D: I None	D: II $1 – $200	D: III $201 – $1,000	D: IV $1,001 – $2,500	D: V $2,501 – $5,000	D: VI $5,001 – $15,000	D: VII $15,001 – $50,000	D: VIII $50,001 – $100,000	D: IX $100,001 – $1,000,000	D: X $1,000,001 – $5,000,000	D: XI Over $5,000,000	E: P, S, E
SP	*Examples*	SP	Mega Corp. Stock					X								X																	P
DC			Simon & Schuster			Indefinite																Royalties								X				
JT			1st Bank of Paducah, KY accounts						X								X										X							
			Key Bank Money Market			X												X					X											
			Erie County Employees Credit Union			X												X					X											
JT			Key Bank Money Market			X												X					X											

For additional assets and unearned income, use next page.

5025

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name T. Reynolds | Page 4 of 6

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset												BLOCK C: Type of Income							BLOCK D: Amount of Income										BLOCK E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Congressional Federal Credit Union – Savings		X														X					X									
	– Checking			X																											
S	National Financial Services (AXA Advisors LLC)																			IRA											
S	1) Growth Fund of America Class B			X																											
S	2) Pioneer Protected Principal plus Fund II Class B			X																											
	6200 S. Park Ave (½ partner) Hamburg, NY 14075						X									X					X										
	H102 Snowpine Village Ellicottville, NY (½ partner)					X										X									X						
	LOT 5 East Hill Estates Springville, NY (⅓ partner)				X															Subdivision lot	X										

This page may be copied if more space is required.

5026

Name T. Reynolds | Page 5 of 6

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Seth Abbott	Mortgage on H102 Snowpine Village, Ellicottville, NY		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
NONE		

Use additional sheets if more space is required.

5027

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Real Estate Broker	Thomas M Reynolds Real Estate Broker

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2007 33-427 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED (6)
[illegible] RESOURCE CENTER
2007 JUN -6 PM 12: 15
U.S. HOUSE OF REPRESENTATIVES
THE CLERK
(Office Use Only)

Ciro D. Rodriguez
(Full Name)
9549 U.S. Highway 181 South
(Mailing Address)
San Antonio, Texas 78223
Daytime Telephone: (210) 924-9253

Filer Status	[X] Member of the U.S. House of Representatives	State: Texas District: 23	[ ] Officer or Employee	Employing Office:	
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date	

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Ciro D Rodriguez	June 4 - 2007

5029

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	San Antonio ISD, San Antonio, TX	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

5030

Name Ciro D. Rodriguez | Page 3 of 6

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

			Block A	Block B											Block C							Block D										Block E
				A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify; For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples*	SP	Mega Corp. Stock					X								X										X							P
			Simon & Schuster		Indefinite																	Royalties									X			
			1st Bank of Paducah, KY accounts						X								X										X						
JT	371 N. Harding San Antonio, TX							X									X								X							
JT	530 1st St SE Washington, DC										X						X									X						
	TIAA-CREF Retirement Equities Fund, NY, NY							X								X		X				X										
JT	San Antonio Credit Union San Antonio, TX					X										X		X					X									
JT	Wright Patman Cong. Fcu Washington, DC			X												X		X					X									
JT	First Main Credit Union San Antonio, TX					X										X		X					X									

For additional assets and unearned income, use next page.

5031

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Ciro D. Rodriguez | Page 4 of 6

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P. S. E
	AMERICAN FUNDS CAPITAL FUNDS SA-ANTONIO, TX					X									X		X									X						5

This page may be copied if more space is required.

5032

Name Ciro D. Rodriguez | Page 5 of 6

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–06		X								
	AMERICAN FUND MUTUAL FUND San Antonio, TX		X				X								

This page may be copied if more space is required.

5033

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	WORLD SAVINGS, SAN ANTONIO, TX (REFINANCED)	MORTGAGE ON 531 1ST. ST. SE WASHINGTON, DC					X					

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 1:38
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A Page 1 of 17
For use by Members, officers, and employees

(Office Use Only)

Congressman Harold Dallas Rogers
(Full Name)

2406 Raybum H.O.B. Washington, DC 20515 (202) 225-4601
(Mailing Address) Daytime Telephone:

Filer Status
- ☑ Member of the U.S. House of Representatives — State: KY — District: 05
- ☐ Officer Or Employee — Employing Office:

Report Type
- ☑ Annual (May 15)
- ☐ Amendment
- ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? — Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? — Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Harold Rogers	5-15-07

SCHEDULE I - EARNED INCOME

Name Congressman Harold Dallas Rogers | Page 2 of 17

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
The Levatino Group	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Congressman Harold Dallas Rogers

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Citizens Bancshares	$1,000,001 - $5,000,000	DIVIDENDS	$100,001 - $1,000,000	
	Congressional Federal Credit Union	$15,001 - $50,000	None	NONE	
	Beaver Lodge Subdivision - Wayne County, Kentucky	$1,001 - $15,000	None	NONE	
	See Attached Edward Jones Statements for Brokerage Assets, Income and Transactions	see attached	see attached	see attached	PS
SP	Money Market - UBS Money Fund Money Market	$1 - $1,000	DIVIDENDS	$201 - $1,000	
SP	Stock - Accenture LTD CLA	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Congressman Harold Dallas Rogers

SP	Stock - Alcan Inc. Canada	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Stock - Bank of Ireland	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Stock - Barclays PLC	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Stock - Canon Inc. ADR Japan	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Stock - Credit Suisse Group	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Stock - Diageo PLC New GB	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - HSBC Holdings	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Stock - Nissan Mtr Ltd	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Stock - Nokia Corp. Finland	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Stock - Reed Elsevier NV	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Stock - Roche Holding Ltd	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Stock - Royal Bank of Scotland	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Stock - Sumitomo Mitsui Financial Group Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Stock - Suntrust Banks Group Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

SP	Stock - Swiss Reins Co.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Stock - Total S. A. France	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Stock - Toyota Motor Corp. Japan	$1 - $1,000	DIVIDENDS	$1 - $200	
SP	Stock - UPM Kymmene Corp.	$1 - $1,000	DIVIDENDS	$1 - $200	
SP	Stock - Westpac Banking Ltd.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Stock - Astrazeneca	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
SP	Stock - Banco Bilbao	None	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S
SP	Stock - BT Group PLC	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
SP	Stock - Vodaphone Group	None	DIVIDENDS	$201 - $1,000	S
SP	Stock - Fuji Photo Film	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
SP	Stock - Funai Elec. Co.	None	DIVIDENDS	$1 - $200	S
SP	Stock - Honda Motor	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
SP	Stock - Koninklijke Philips	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
SP	Stock - Mitsubishi	None	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S

SP	Stock - Nitto Denko Corp.	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
SP	Stock - Nomura Holdings Inc.	None	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S
SP	Stock - Rentokil Initial PLC	None	DIVIDENDS	NONE	S
SP	Stock - Royal Bank of Canada	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
SP	Stock - Royal Dutch Shell	None	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S
SP	Stock -Royal KPN N.V.	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
SP	Stock - Sanofi Aventis	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
SP	Stock - Svenska Cells Aktbigt	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
SP	Stock - Tesco PLC United Kingdom	None	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S
SP	Stock - Toronto Dominion Bank	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
SP	Bond - Bank of America Corp. 3.875% due 1/15/08	None	INTEREST	$1 - $200	S
SP	Bond - CIT Group NTS 3.875% due 11/03/08	None	INTEREST	$1 - $200	S
SP	Bond - Citigroup NTS 3.5% due 2/01/08	None	INTEREST	$1 - $200	S
SP	Bond - Conoco Philips 4.75%	None	INTEREST	$1 - $200	S

SP	Bond - FHLMC Callable 4.75% due 12/08/10	None	INTEREST	$201 - $1,000	S
SP	Bond - FNMA 4.375% due 10/15/06	None	INTEREST	$1 - $200	S
SP	Bond - FNMA 3.25% due 8/15/08	None	INTEREST	$1 - $200	S
SP	Bond - General Dynamics 4.25% due 5/15/13	None	INTEREST	$1 - $200	S
SP	Bond - Household Financial Corp. 4.625% due 1/15/08	None	INTEREST	$201 - $1,000	S
SP	Bond - Verizon Global FDG Corp. 4.375% due 6/01/13	None	INTEREST	$1 - $200	S
SP	Bond - Walmart Stores Inc. 4.55% due 5/1/13	None	INTEREST	$1 - $200	S
SP	Bond - Washington Mutual Inc. 4.0% due 1/15/09	None	INTEREST	$1 - $200	S
SP	Bond - Wells Fargo & Co. 4.20% due 1/15/10	None	INTEREST	$1 - $200	S
SP	U.S. Treasury Note 4.0% due 4/15/10	None	INTEREST	$201 - $1,000	S
SP	U.S. Treasury Note 4.25% due 11/15/13	None	INTEREST	$1 - $200	S
SP	Stock - Prudential PLC	None	CAPITAL GAINS	$201 - $1,000	S
SP	Stock - VNU NV	None	None	NONE	S
SP	Stock - France Telecom	None	None	NONE	S

SP	Stock - American Capital Strategies Ltd.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
SP	Stock - Arlington Tankers Ltd.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Stock - Diana Shipping	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Stock - Enel Spa	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
SP	Stock - Frontline Ltd.	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
SP	Stock - MCG Capital Corp.	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
SP	Stock - Senior HSG Properties Trust	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Ship Fin Intl. Ltd.	$1 - $1,000	DIVIDENDS	$1 - $200	
SP	Stock - Tsakos Energy Nav. Ltd.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Mutual Fund - Kelmoore Strategy Eagle Fund Class A	$15,001 - $50,000	INTEREST	$1,001 - $2,500	P
SP	Unit Investment Trust - Plains All Am. Pipeline LP (previously Pacific Energy Partners Unit - name change 11/17/06)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Bond - Kraft Foods	$1,001 - $15,000	INTEREST	$1 - $200	
SP	Bond - General Electric Cap Corp	$1,001 - $15,000	INTEREST	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Congressman Harold Dallas Rogers

SP	Bond - US Treasury Bond 7.25% due 5/15/16	$1,001 - $15,000	INTEREST	$201 - $1,000	
SP	Bond - US Treasury Bond 7.125% due 12/15/23	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
SP	Bond - Boeing Co. Notes	None	INTEREST	$1 - $200	S
SP	Bond - Comcast Corp. New NTS 5.85%	None	INTEREST	$1 - $200	S
SP	Bond - Goldman Sachs Group Inc. 5.70%	None	INTEREST	$201 - $1,000	S
SP	Bond - J. P. Morgan Chase 5.75%	None	INTEREST	$201 - $1,000	S
SP	Bond - SBC Communications 5.10%	None	INTEREST	$201 - $1,000	S
SP	Bond - Sprint Capital 6.875%	None	INTEREST/CAPITAL GAINS	$1 - $200	S
SP	Bond - Time Warner 6.625%	None	INTEREST/CAPITAL GAINS	$201 - $1,000	S
SP	Bond - U.S. Treasury Bond 6.125% due 8/15/29	None	INTEREST	$201 - $1,000	S

SCHEDULE IV - TRANSACTIONS

Name Congressman Harold Dallas Rogers

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	See Attached Edward Jones Statements for Transactions	PS	See Attached	See Attached
SP	Stock - Diageo PLC New GB	P	05/03/06	$1,001 - $15,000
SP	Stock - Suntrust Banks Group Inc.	P	05/03/06	$1,001 - $15,000
SP	Stock - Astrazeneca	S	04/12/06	$1,001 - $15,000
SP	Stock - Banco Bilbao	S	04/12/06	$1,001 - $15,000
SP	Stock - BT Group PLC	S	09/21/06	$1,001 - $15,000
SP	Stock - Vodaphone Group	S	09/21/06	$1,001 - $15,000
SP	Stock - Fuji Photo Film	S	04/12/06	$1,001 - $15,000
SP	Stock - Funai Elec. Co.	S	06/09/06	$1,001 - $15,000
SP	Stock - Honda Motor	S	09/21/06	$1,001 - $15,000
SP	Stock - Koninklijke Philips	S	09/21/06	$1,001 - $15,000
SP	Stock - Mitsubishi	S	09/21/06	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Congressman Harold Dallas Rogers

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Stock - Nitto Denko Corp.	S	09/21/06	$1,001 - $15,000
SP	Stock - Nomura Holdings Inc.	S	04/12/06	$1,001 - $15,000
SP	Stock - Rentokil Initial PLC	S	04/12/06	less than $1,000
SP	Stock - Royal Bank of Canada	S	04/12/06	less than $1,000
SP	Stock - Royal Dutch Shell	S	05/03/06	$1,001 - $15,000
SP	Stock -Royal KPN N.V.	S	04/12/06	$1,001 - $15,000
SP	Stock - Sanofi Aventis	S	05/03/06	$1,001 - $15,000
SP	Stock - Svenska Cells Aktbigt	S	09/21/06	$1,001 - $15,000
SP	Stock - Tesco PLC United Kingdom	S	05/03/06	$1,001 - $15,000
SP	Stock - Toronto Dominion Bank	S	04/12/06	$1,001 - $15,000
SP	Bond - Bank of America Corp. 3.875% due 1/15/08	S	04/12/06	$1,001 - $15,000
SP	Bond - CIT Group NTS 3.875% due 11/03/08	S	04/12/06	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Bond - Citigroup NTS 3.5% due 2/01/08	S	04/12/06	$1,001 - $15,000
SP	Bond - Conoco Philips 4.75%	S	09/21/06	$1,001 - $15,000
SP	Bond - FHLMC Callable 4.75% due 12/08/10	S	09/21/06	$1,001 - $15,000
SP	Bond - FNMA 4.375% due 10/15/06	S	06/09/06 partial redemption $1,927.50 09/21/06 full redemption $1,995.00	$1,001 - $15,000
SP	Bond - FNMA 3.25% due 8/15/08	S	04/12/06	$1,001 - $15,000
SP	Bond - General Dynamics	S	06/09/06	$1,001 - $15,000
SP	Bond - Household Financial Corp. 4.625% due 1/15/08	S	09/21/06	$1,001 - $15,000
SP	Bond - Verizon Global FDG Corp. 4.375% due 6/01/13	S	06/29/06	$1,001 - $15,000
SP	Bond - Walmart Stores Inc. 4.55% due 5/1/13	S	06/09/06	$1,001 - $15,000
SP	Bond - Washington Mutual Inc. 4.0% due 1/15/09	S	06/09/06	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Congressman Harold Dallas Rogers

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Bond - Wells Fargo & Co. 4.20% due 1/15/10	S	09/21/06	$1,001 - $15,000
SP	U.S. Treasury Note 4.0% due 4/15/10	S	05/03/06	$1,001 - $15,000
SP	U.S. Treasury Note 4.25% due 11/15/13	S	06/09/06	$1,001 - $15,000
SP	Stock - Prudential PLC	S	04/12/06	$1,001 - $15,000
SP	Stock - VNU NV	S	09/21/06	less than $1,000
SP	Stock - France Telecom	S	04/12/06	$1,001 - $15,000
SP	Stock - American Capital Strategies Ltd.	P	05/03/06	$1,001 - $15,000
SP	Stock - Enel Spa	P	05/03/06	$1,001 - $15,000
SP	Stock - MCG Capital Corp.	P	05/03/06	$1,001 - $15,000
SP	Stock - Senior HSG Properties Trust	P	05/03/06	$1,001 - $15,000
SP	Mutual Fund - Kelmoore Strategy Eagle Fund Class A	P	04/12/06	$15,001 - $50,000
SP	Bond - Boeing Co. Notes	S	10/17/06	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Bond - Comcast Corp. New NTS 5.85%	S	10/17/06	$1,001 - $15,000
SP	Bond - Goldman Sachs Group Inc. 5.70%	S	10/17/06	$1,001 - $15,000
SP	Bond - J. P. Morgan Chase 5.75%	S	10/17/06	$1,001 - $15,000
SP	Bond - SBC Communicatins 5.10%	S	10/17/06	$1,001 - $15,000
SP	Bond - Sprint Capital 6.875%	S	10/17/06	$1,001 - $15,000
SP	Bond - Time Warner 6.625%	S	10/17/06	$1,001 - $15,000
SP	Bond - U.S. Treasury Bond 6.125% due 8/15/29	S	10/17/06	$15,001 - $50,000

SCHEDULE V - LIABILITIES

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
SP	American Express, Dallas, TX	Revolving Charge Account	$10,001 - $15,000
SP	UBS Paine Webber	Margin Account	$15,001 - $50,000
SP	Citizens National Bank, Somerset, KY	Line of Credit	$15,001 - $50,000

SCHEDULE VIII - POSITIONS

Name Congressman Harold Dallas Rogers

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Director (unpaid)	Citizens Bancshares, Inc. Somerset, KY

5050

Edward Jones

Payer's Federal Identification Number :

Edward Jones Account Number :

Investment Representative :

Telephone Number :

FIGURES ARE FINAL

Printed on January 21st, 2007

Recipient's Name
and Address :

00006831 02 AV 0.530 02 TR 00043 EJTCA491 10000

HAROLD D ROGERS TTEE
U/A DTD 2-9-01
HAROLD D ROGERS REV LIV TRUST

Re : 2006 Consolidated 1099 Tax Statement from Edward Jones

Thank you for doing business with Edward Jones. Since the previous mailing of your Consolidated 1099 Tax Statement, we have received additional information that requires adjustment to your Form(s) 1099. The adjustments were made for the following reasons :

(191) Your December 2005 money market dividend was listed as a 2006 payment and has been removed from your 2006 1099.

This package is a replacement of the original 1099 mailing that you received. An adjustment date next to an entry on the revised Summary of Investment Income page denotes the change. We expect no further updates to your Form(s) 1099.

The revised information will be reported to the Internal Revenue Service by Edward Jones as required by law. We recommend that you consult your tax preparer regarding the preparation of your individual tax return. If you need any further information, please contact our **Tax Hotline** at or your local branch office.

Sincerely,

Norman L. Eaker

Norman L. Eaker
Principal, Operations

Edward Jones

Payer's Federal Identification Number:

Edward Jones Account Number:

Recipient's Identification Number:

2006 CONSOLIDATED 1099 STATEMENT

(Includes 1099-INT, 1099-DIV, 1099-B.)

FIGURES ARE FINAL

Printed on January 21st, 2007

Recipient's Name and Address:

HAROLD D ROGERS TTEE
U/A DTD 2-9-01
HAROLD D ROGERS REV LIV TRUST

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

1099-INT INTEREST INCOME 2006 Statements for Recipients (OMB No. 1545-0112)

Box 1	Interest Income	.00
Box 2	Early Withdrawal Penalty	.00
Box 3	Interest on U.S. Savings Bonds and Treasury Obligations	.00
Box 4	***Federal Income Tax Withheld***	***.00***
Box 8	Tax-Exempt Interest	7,158.75
Box 9	Specified Private Activity Bond Interest	.00

Box 8 includes tax-exempt municipal interest and dividends from Regulated Investment Companies (RICs). Box 9 shows the amount in Box 8 that is subject to Alternative Minimum Tax (AMT).

1099-DIV DIVIDEND DISTRIBUTIONS 2006 Statements for Recipients (OMB No. 1545-0110)

Box 1a	Ordinary Dividends (including short-term capital gains)	174.16
Box 1b	Qualified Dividends	.00
Box 2a	Total Capital Gain Distr.	.00
Box 2b	Unrecap. Sec. 1250 Gain	.00
Box 3	Nontaxable Distributions	.00
Box 4	***Federal Income Tax Withheld***	***.00***

Edward Jones

Payer's Federal Identification Number:

Edward Jones Account Number:

Recipient's Identification Number:

[redacted]

(Includes 1099-INT, 1099-DIV, 1099-B.)

FIGURES ARE FINAL

Printed on January 21st, 2007

Recipient's Name and Address:

HAROLD D ROGERS TTEE
U/A DTD 2-9-01
HAROLD D ROGERS REV LIV TRUST

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

[redacted]

Activity Type	Quantity/ CUSIP No. (Box 1b)	Date Of Sale (Box 1a)	Description (Box 7)	Stocks, Bonds, etc. Gross Proceeds Less Commissions (Box 2)	Federal Income Tax Withheld (Box 4)
Sells	10,000 167684NQ6	01/26	CHICAGO ILL SCH FIN AUTH	10,223.70	.00
Sells	10,000 452151XZ1	10/31	ILLINOIS ST	10,602.60	.00
Sells	10,000 473016FQ1	02/03	JEFFERSON CNTY KY	10,243.80	.00
			TOTAL	31,070.10	.00

Edward Jones

Payer's Federal Identification Number :

COST BASIS SUMMARY

Edward Jones Account Number :

FIGURES ARE FINAL

Printed on January 21st, 2007

Recipient's Identification Number :

Recipient's Name : HAROLD D ROGERS TTEE
U/A DTD 2-9-01
HAROLD D ROGERS REV LIV TRUST

THIS IS NOT A 1099 FORM AND IS NOT REPORTED TO THE IRS. It is a summary of the cost basis information for most of the transactions reported on your Form 1099-B. Please note that in the table below, the LT indicates a long-term gain/(loss), ST indicates short-term gain/(loss), and a UN indicates the holding period could not be determined. **Cost basis information may be from outside sources or provided by clients and has not been verified for accuracy. It should not be relied upon for tax preparation purposes without independent verification by your tax advisor.** The Average Cost method is used to calculate the cost basis for open-end mutual funds. The FIFO (first-in, first-out) method is used for all other securities. Cost basis adjustments for spin-offs, wash sale and return of capital rules may not have been applied. If any other method of calculating cost basis has been used for your tax preparation, do not rely on the following figures.

Quantity	Description	Date Acquired	Date Sold	Proceeds (Sale Price)	Cost Basis	Gain/(Loss)
10,000	CHICAGO ILL SCH FIN AUTH	07/11/2005	01/26/2006	10,223.70	10,385.00	(161.30) ST
10,000	ILLINOIS ST	01/30/2006	10/31/2006	10,602.60	10,493.90	108.70 ST
10,000	JEFFERSON CNTY KY	09/16/2005	02/03/2006	10,243.80	10,376.00	(132.20) ST
			TOTAL ST GAIN			108.70
			TOTAL ST LOSS			(293.50)
			NET ST			**(184.80)**
			NET GAIN/(LOSS)			**(184.80)**

Edward Jones

Payer's Federal Identification Number :

Edward Jones Account Number :

Recipient's Identification Number :

SUMMARY OF INVESTMENT INCOME

FIGURES ARE FINAL

Printed on January 21st, 2007

Recipient's Name : HAROLD D ROGERS TTEE
U/A DTD 2-9-01
HAROLD D ROGERS REV LIV TRUST

THIS IS NOT A 1099 FORM ..... It is a summary of the income you received from your Edward Jones account in 2006. For a complete description of each activity, please refer to your statement for that period. If you have any questions, please contact your Edward Jones investment Representative.

2006 Date	Description	CUSIP No.	Amount in 2006
INTEREST INCOME - Tax-Exempt			
01/03	CALIFORNIA ST ECONOMIC	13067J8F7	262.50
07/03	CALIFORNIA ST ECONOMIC	13067J8F7	262.50
01/03	CHICAGO ILL	1674843M4	131.25
07/03	CHICAGO ILL	1674843M4	131.25
01/31	CHICAGO ILL SCH FIN AUTH	167684NQ8	83.33
05/15	DENVER COLO CITY & CNTY ARPT R	249181Y77	250.00
11/15	DENVER COLO CITY & CNTY ARPT R	249181Y77	250.00
04/17	HOUSTON TEX CMNTY COLLEGE SYS	442368EL6	262.50
10/16	HOUSTON TEX CMNTY COLLEGE SYS	442368EL6	262.50
07/03	ILLINOIS ST	452151XZ1	226.39
11/03	ILLINOIS ST	452151XZ1	169.44
02/08	JEFFERSON CNTY KY	473016FQ1	240.28
03/01	KENTUCKY ASSET / LIABILITY	49118NBF1	250.00
09/01	KENTUCKY ASSET / LIABILITY	49118NBF1	250.00
06/01	MEMPHIS TENN ELEC SYS	586158KW6	250.00
12/01	MEMPHIS TENN ELEC SYS	586158KW6	250.00
03/01	NEW JERSEY ECONOMIC DEV AUTH	645918AU7	204.17
09/01	NEW JERSEY ECONOMIC DEV AUTH	645918AU7	250.00
05/01	NEW YORK N Y CITY TRANSITIONAL	64971KYP5	250.00
11/01	NEW YORK N Y CITY TRANSITIONAL	64971KYP5	250.00
04/03	NEW YORK ST TWY AUTH HWY & BRD	650013T39	310.14
10/02	NEW YORK ST TWY AUTH HWY & BRD	650013T39	275.00
01/03	PUERTO RICO COMWLTH	745220FK4	137.50
07/03	PUERTO RICO COMWLTH	745220FK4	137.50
01/03	PUERTO RICO PUB BLDGS AUTH REV	745235VS7	250.00
07/03	PUERTO RICO PUB BLDGS AUTH REV	745235VS7	250.00
05/15	UNIVERSITY CALIF REVS	91412FSK4	250.00
11/15	UNIVERSITY CALIF REVS	91412FSK4	250.00
07/03	WASHINGTON ST PUB PWR SUPPLY S	939830TU9	262.50
06/01	WISCONSIN ST CLEAN WTR REV	977092LT0	275.00
12/01	WISCONSIN ST CLEAN WTR REV	977092LT0	275.00
	Total Tax-Free Muni Int Not Subj Alt Min Tax (Box 8 on 1099-INT):		**7,158.75**
11/03	DISTRICT COLUMBIA BALLPARK REV	25476WAU5	127.78
02/02	ILLINOIS ST	452151XZ1	19.44
02/17	WASHINGTON ST PUB PWR SUPPLY S	939830TU9	67.08
	Total Accrued Int. You Paid on Muni Purchases:		**214.30**
DIVIDEND INCOME - Taxable			
12/31	MONEY MARKET INVESTMENT SHARES	MNYMKT002	180.07
12/31	MONEY MARKET INVESTMENT SHARES Adjusted 01/19/07 For Reason 191	MNYMKT002	-5.91
	Total Nonqualified Dividends:		**174.16**

Edward Jones

Payer's Federal Identification Number :

Edward Jones Account Number :

Recipient's Identification Number :

SUMMARY OF INVESTMENT INCOME

FIGURES ARE FINAL

Printed on January 21st, 2007

Recipient's Name : HAROLD D ROGERS TTEE
U/A DTD 2-9-01
HAROLD D ROGERS REV LIV TRUST

2006 Date	Description	CUSIP No.	Amount In 2006
	Total Ordinary Dividends (Box 1a on 1099-DIV):		**174.16**

******* PLEASE REFER TO ENCLOSED TAX REPORTING GUIDE FOR FURTHER EXPLANATION OF HOW THIS ***** SUPPLEMENTAL INFORMATION AFFECTS YOUR TAX RETURN.**

Edward Jones

Payer's Federal Identification Number :

2006 ADDITIONAL TAX INFORMATION

Edward Jones Account Number :

FIGURES ARE FINAL

Printed on January 21st, 2007

Recipient's Identification Number :

Recipient's Name : HAROLD D ROGERS ITEE
U/A DTD 2-9-01
HAROLD D ROGERS REV LIV TRUST

MUTUAL FUNDS HELD IN EDWARD JONES ACCOUNT WITH INCOME FROM SOURCES EXEMPT FROM STATE TAX

This section reports the percentage of dividends derived from government obligations. Although the dividends are federally taxable, you may be entitled to exclude a portion of them from state taxable income based upon these percentages and your state's law. Multiply your ordinary dividends (taxable dividends + short term capital gains distributions = ordinary dividends) received by the percentage listed to determine the potential exclusion. Please contact your tax advisor to determine what may be exempt from taxation in your state.

MUTUAL FUND NAME CUSIP	SOURCE OF INCOME	PERCENTAGE FROM SOURCE
MONEY MARKET INVESTMENT SHARES MMYMKT002	FEDERAL HOME LOAN BANKS	7.26000%

This ADDITIONAL TAX INFORMATION has been supplied to Edward Jones by outside sources. While we cannot assure the accuracy of this information, we believe it to be correct. This information is intended as a general guide and does not constitute tax advice. You should consult your tax professional or state tax authority to determine how this information may apply to your specific situation.

Thank you for doing business with Edward Jones. This is the end of your 2006 tax reporting information. If you have any questions concerning any matter, *especially errors or omissions*, contact [redacted] at [redacted] immediately or the Edward Jones Tax Hotline at

Edward Jones

Instructions for Recipient of 1099-INT

Account Number. May show an account or other unique number the payer assigned to distinguish your account.
Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds and QZAB bonds that must be included in your interest income. These amounts were treated as paid to you during 2009 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912, Clean Renewable energy Bond and QZAB Bond Credit.
Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Form 1040 to see where to take the deduction.
Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not be all taxable. See Pub. 550, Investment Income and Expenses. This interest is exempt from state and local income taxes. This interest is not included in box 1.
Box 4. Shows backup withholding. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.
Box 5. Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1.
Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040. See your Form 1040 instructions.
Box 8. Shows tax-exempt interest, including exempt-interest dividends from a mutual fund or other regulated investment company, paid to you during the calendar year by the payer. Report this amount on line 8b of Form 1040 or Form 1040A. This amount may be subject to backup withholding. See box 4.
Box 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the instructions for Form 6251, Alternative Minimum Tax - Individuals.
Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient". File Form(s) 1099-INT with form 1096, Annual Summary and Transmittal of U.S. Information Returns, with the Internal Revenue Service Center for your area. On Form 1096 list yourself as the "filer". A husband or wife is not required to file a nominee return to show amounts owned by the other.

Instructions for Recipient of 1099-DIV

Account Number. May show an account or other unique number the payer assigned to distinguish your account.
Box 1a. Shows total ordinary dividends that are taxable. Include this amount on line 9a of Form 1040 or 1040A. Also, report it on Schedule B (Form 1040) or Schedule 1 (Form 1040A), if required. The amount shown may be a distribution from an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040A but treat it as a plan distribution, not as investment income, for any other purpose.
Box 1b. Shows the portion of the amount in box 1a that may be eligible for the 15% or 5% capital gains rates. See the form 1040/1040A instructions for how to determine this amount. Report the eligible amount on line 9b, Form 1040 or 1040A.
Box 2a. Shows total capital gain distributions (long-term) from a regulated investment company or real estate investment trust. Report the amounts shown in box 2a on Schedule D (Form 1040), line 13. But, if no amount is shown in boxes 2c-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on line 13 of Form 1040 (line 10 of Form 1040A) rather than Schedule D. See the Form 1040/1040A instructions.
Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. Report this amount on the Unrecaptured Section 1250 Gain Worksheet - Line 19 in Schedule D instructions (Form 1040).
Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to a 50% exclusion. See the Schedule D (Form 1040) instructions.
Box 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet - Line 18 in the instructions for Schedule D (Form 1040).
Box 3. Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub. 550, Investment Income and Expenses.
Box 4. Shows backup withholding. For example, a payer must backup withhold on certain payments at a 28% rate if you did not give your taxpayer identification number to the payer. See form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.
Box 6. Shows the foreign tax you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.
Box 8 and 9. Shows cash and noncash liquidation distributions.
Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A husband or wife is not required to file a nominee return to show amounts owned by the other. See the 2009 General Instructions for Forms 1099, 1098, 5498 and W-2G.

Instructions for Recipient of 1099-OID

Original Issue Discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID is taxable as interest over the life span of the obligation. If you are the holder of an OID obligation, generally you must include an amount of OID in your gross income each year you held the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-indexed securities. See Pub. 550, Investment Income and Expenses for more information.
If, as the record holder, you receive Form 1099-OID showing amounts belonging to another person, you are considered a nominee recipient. Complete a Form 1099-OID for each of the other owners showing the amounts allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner as the "recipient". File Form(s) 1099-OID with Form 1096, Annual summary and Transmittal of U.S. Information Returns, with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer". A husband or wife is not required to file a nominee return to show amounts owned by the other. If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.
Account number. May show an account or other unique number the payer assigned to distinguish your account.
Box 1. Shows the OID on the obligation for the part of the year you owned it. Report the amount in box 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors, (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212, Guide to Original Issue Discount (OID) Instruments for details on how to figure the correct OID.
Box 2. Shows other interest on the obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount in both boxes 2 and 8, the Amount in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes.
Box 4. Shows backup withholding. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.
Box 8. Shows the identification number (CUSIP number) or description of the obligation. The description may include the stock exchange, issuer, coupon rate, and year of maturity.
Box 9. Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return, and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 1.
Box 7. Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 2.

Instructions for Recipients of 1099-B

Brokers and barter exchanges must report proceeds from transactions to you and the IRS on Form 1099-B by January 31 of the year following the calendar year of the transaction. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a change in control or a substantial change in capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in the box below your name and address on Form 1099-B.
Account number. May show an account or other unique number the payer assigned to distinguish your account.
Box 1a. Shows the trade date of the transaction. For aggregate reporting, no entry will be present.
Box 1b. For broker transactions, may show the CUSIP (Committee on Uniform Security Identification Procedures) number of the item reported.
Box 2. Shows the aggregate proceeds from transactions involving stocks, bonds, other debt obligations, commodities, or forward contracts. Losses on forward contracts and changes in control or substantial change in capital structure are shown in parentheses. This box does not include proceeds from regulated futures contracts. The broker must indicate whether gross proceeds or gross proceeds less commissions and option premiums were reported to the IRS. Report this amount on Schedule D (Form 1040), Capital Gains and Losses. However, if box 12 is checked, you cannot take a loss on your tax return based on gross proceeds from an acquisition of control or substantial change in capital structure reported in box 2. Do not report this loss on Schedule D (Form 1040). The broker should advise you if any losses on a separate statement.
Box 4. Shows backup withholding. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.
Box 7. Shows a brief description of the item or service for which the proceeds or bartering income is being reported. For regulated futures, contracts and forward contracts, "RFC" or other appropriate description may be shown.

Instructions for Recipient of 1099-MISC

Account Number. May show an account or other unique number the payer assigned to distinguish your account.
Amounts shown may be subject to self-employment (SE) tax. If you net income from self-employment is $400 or more, you must file a return and compute your SE tax on Schedule SE (Form 1040). See Pub. 334, Tax Guide for Small Business, for more information. If no income or social security and Medicare taxes were withheld and you are still receiving these payments see Form 1040-ES, Estimated Tax for Individuals. Individuals must report as explained below. Corporations, fiduciaries, or partnerships report the amounts on the proper line of your tax return.
Boxes 1 and 2. Report rents from real estate on Schedule E (Form 1040). If you provided significant services to the tenant, sold real estate as a business, or rented personal property as a business, report on Schedule C or C-EZ (Form 1040). For royalties on timber, coal and iron ore, see Pub. 544, Sales and Other Dispositions of Assets.
Box 3. Generally, report this amount on the "Other income" line of Form 1040 and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525, Taxable and Nontaxable Income. If it is trade or business income, report this amount on Schedule C, C-EZ or F (Form 1040).
Box 4. Shows backup withholding or withholding on Indian gaming profits. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number. See Form W-9, Request for Taxpayer Identification Number and Certification, for more information. Report this amount on your income tax return as tax withheld.

Account number:
Statement type:
January 1 - January 27, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 2-9-01
HAROLD D ROGERS REV LIV TRUST

Value Summary (Held at Edward Jones)

Value on Jan 27	$152,053.28
Value on Jan 1	$151,609.04
Value one year ago	—

Summary of Your Assets

Held at Edward Jones	Value on Jan 27	Value on Jan 1	Change in value
Cash & money market	$2,271.83	$1,673.54	$598.29
Bonds	149,781.45	149,935.50	-154.05
Total at Edward Jones	$152,053.28	$151,609.04	$444.24

Summary of Your Income

Income distributions from securities	This period Taxable	This period Tax-free	This period Total	Year-to-date Taxable	Year-to-date Tax-free	Year-to-date Total
Money market dividends	$4.87	—	$4.87	$4.87	—	$4.87
Interest	—	781.25	781.25	—	781.25	781.25
Total	$4.87	$781.25	$786.12	$4.87	$781.25	$786.12

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	3.52%	3.58%	$2,271.83
Total cash and money market funds			$2,271.83

5059

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Bonds

Municipal bonds	Rating	Maturity value	Maturity date	Interest rate	Current value	Amount invested	Amount withdrawn	Your yield to maturity
CHICAGO ILL SCHOOL FINANCE AUTH GENERAL OBLIG FINANCING DTD 05/20/2004 FSA INSURED	Aaa	$10,000	06/01/2007	5.000%	$10,148.10	—	—	2.88%
JEFFERSON COUNTY KENTUCKY GENERAL OBLIGATION DTD 01/15/2001	AA/Aa2	10,000	08/15/2007	5.000%	10,188.00	10,376.00	—	2.94%
MEMPHIS TN ELECTRIC SYSTEM REVENUE SERIES A DTD 11/01/2003 MBIA INSURED	AAA/Aaa	10,000	12/01/2012	5.000%	10,683.60	11,054.80	—	3.37%
CHICAGO ILLINOIS SERIES A GENERAL OBLIGATION DTD 08/24/2004 FSA INSURED	AAA/Aaa	5,000	01/01/2013	5.250%	5,409.85	5,530.90	—	3.59%
WISCONSIN ST CLEAN WTR REV RFDG DTD 08/01/2002 MBIA INSURED	AAA/Aaa	10,000	06/01/2013	5.500%	11,035.50	11,248.10	—	3.62%
KENTUCKY ASSET/LIABILITY COMMN AGY FUND REV PROJ NOTES DTD 06/08/2005 MBIA INSURED	AAA/Aaa	10,000	09/01/2013	5.000%	10,730.40	11,038.00	—	3.51%
CALIFORNIA STATE ECONOMIC RECOVERY SERIES A GEN OBLIG DTD 05/11/2004	AA-/Aa3	10,000	07/01/2014	5.250%	10,979.40	11,268.70	—	3.56%

5060

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Bonds

Municipal bonds, continued	Rating	Maturity value	Maturity date	Interest rate	Current value	Amount invested	Amount withdrawn	Your yield to maturity
NEW YORK NEW YORK CITY TRANSITIONAL FIN AUTH REF REV DTD 03/24/2004 CALLABLE 05/01/2014 @ 100.00	AAA/Aa1	$10,000	11/01/2018	5.000%	$10,647.50	$10,874.90	—	4.01%
UNIVERSITY CALIF REVENUES DTD 07/07/2005 FSA INSURED CALLABLE 05/15/2013 @ 101.00	AAA/Aaa	10,000	05/15/2017	5.000%	10,618.80	10,835.80	—	4.09%
NEW JERSEY ECONOMIC DEV AUTH REV SCH FACS CONSTRUCTN DTD 10/04/2005 F/C 03/01/2006 CALLABLE 03/01/2015 @ 100.00	AA-/A1	10,000	03/01/2018	5.000%	10,531.90	10,664.10	—	4.30%
HOUSTON TEX CMNTY COLLEGE SYS REV RFDG SR LIEN STUDENT FEE DTD 04/15/2005 FSA INSURED CALLABLE 04/15/2015 @ 100.00	AAA/Aaa	10,000	04/15/2018	5.250%	10,815.70	10,791.80	—	4.41%
NEW YORK ST TWY AUTH HWY & BRDG REV TR FD DTD 09/08/2005 F/C 04/01/2006 AMBAC INSURED	AAA/Aaa	10,000	04/01/2020	5.500%	11,378.40	11,583.20	—	4.05%
PUERTO RICO COMWLTH INFRASTR FING AUTH SPL TAX REV RFDG DTD 06/16/2005 FGIC INSURED	AAA/Aaa	5,000	07/01/2020	5.500%	5,675.60	5,894.20	—	3.91%

5061

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Bonds

Municipal bonds, continued

	Rating	Maturity value	Maturity date	Interest rate	Current value	Amount invested	Amount withdrawn	Your yield to maturity
DENVER COLO CITY & CNTY ARPT REV SYS DTD 08/25/2005 XLCA INSURED CALLABLE 11/15/2015 @ 100.00	AAA/Aaa	$10,000	11/15/2020	5.000%	$10,520.40	$10,607.40	—	4.44%
PUERTO RICO PUB BLDGS AUTH REV GTD RFDG GOVT FACS DTD 06/10/2004 CALLABLE 07/01/2012 @ 100.00	BBB/Baa2	10,000	07/01/2028	5.000%	10,440.30	10,750.90	—	4.47%
Total municipal bonds		**$140,000**			**$149,781.45**	—	—	
Total bonds		**$140,000.00**			**$149,781.45**	—	—	
Total estimated asset value					**$152,053.28**			

Summary of Your Investment Activity

Total cash and money market funds on Jan 01	$1,673.54
Additions	
Income	$786.12
Total additions	$786.12
Subtractions	
Fees	-$187.83
Total subtractions	-$187.83
Total cash and money market funds on Jan 27	**$2,271.83**

5062

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Detail of Your Investment Activity

Additions

	Type	Date			Days	Rate	Amount	Where Invested
Income	Money market dividends	01/20	MONEY MARKET		22	3.49	4.87	Money market
	Type	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Invested**
	Interest	01/03	PUERTO RICO PUB BLDGS AUTH REV DUE 07/01/2028 5.000 %	10000.		0.025	$250.00	Money market
		01/03	CHICAGO ILLINOIS SERIES A GO GO	5000.		0.02625	131.25	Money market
		01/03	CA ST ECONOMIC RECOVERY A GO DUE 07/01/2014 5.250 %	10000.		0.02625	262.50	Money market
		01/03	PUERTO RICO COMWLTH INFRASTR DUE 07/01/2020 5.500 %	5000.		0.0275	137.50	Money market
		Total income					$786.12	

Subtractions

	Date			Amount	Source of Funds
Fees	01/18	MANAGED ACCOUNT FEE		-$187.83	Money market
	Total fees			-$187.83	

Pending Trades

Sells	Trade date	Quantity	Price	Amount	Settlement date
CHICAGO ILL SCHOOL FINANCE	01/26/2006	10,000.000	$102.237	$10,307.03	01/31/2006

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	-$161.30	-$161.30
Long term (held over 1 year)	0.00	0.00
Total	-$161.30	-$161.30

5063

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
CHICAGO ILL SCHOOL FINANCE	07/11/2005	01/26	10000.000	$10,385.00	$10,223.70	-$161.30	Short term

As you requested, copies of your statement have been sent to:

LIVING RETIREMENT TO THE MAX
You've worked hard for retirement. Learn steps you can take to develop strategies designed to help preserve all you've worked for. Join us for a free video presentation exploring strategies designed to help you create a more reliable retirement income. Contact your investment representative for program details.

5064

Account number: ; . ..
Statement type
January 28 - February 24, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 2-9-01
HAROLD D ROGERS REV LIV TRUST

Value Summary (Held at Edward Jones)

Value on Feb 24	$152,490.77
Value on Jan 28	$152,053.28
Value one year ago	—

Summary of Your Assets

Held at Edward Jones	Value on Feb 24	Value on Jan 28	Change in value
Cash & money market	$1,894.77	$2,271.83	-$377.06
Bonds	150,596.00	149,781.45	814.55
Total at Edward Jones	$152,490.77	$152,053.28	$437.49

Summary of Your Income

Income distributions from securities	This period Taxable	This period Tax-free	This period Total	Year-to-date Taxable	Year-to-date Tax-free	Year-to-date Total
Money market dividends	$15.90	—	$15.90	$20.77	—	$20.77
Interest	—	323.61	323.61	—	1,104.86	1,104.86
Total	$15.90	$323.61	$339.51	$20.77	$1,104.86	$1,125.63

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	3.71%	3.78%	$1,894.77
Total cash and money market funds			$1,894.77

5065

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Bonds Municipal bonds	Rating	Maturity value	Maturity date	Interest rate	Current value	Amount invested	Amount withdrawn	Your yield to maturity
MEMPHIS TN ELECTRIC SYSTEM REVENUE SERIES A DTD 11/01/2003 MBIA INSURED	AAA/Aaa	$10,000	12/01/2012	5.000%	$10,662.60	$11,054.80	—	3.37%
CHICAGO ILLINOIS SERIES A GENERAL OBLIGATION DTD 08/24/2004 FSA INSURED	AAA/Aaa	5,000	01/01/2013	5.250%	5,399.20	5,530.90	—	3.59%
WISCONSIN ST CLEAN WTR REV RFDG DTD 08/01/2002 MBIA INSURED	AAA/Aaa	10,000	06/01/2013	5.500%	11,018.80	11,248.10	—	3.62%
KENTUCKY ASSET/LIABILITY COMMN AGY FUND REV PROJ NOTES DTD 06/08/2005 MBIA INSURED	AAA/Aaa	10,000	09/01/2013	5.000%	10,717.00	11,038.00	—	3.51%
CALIFORNIA STATE ECONOMIC RECOVERY SERIES A GEN OBLIG DTD 05/11/2004	AA-/Aa3	10,000	07/01/2014	5.250%	10,986.50	11,268.70	—	3.56%
WASHINGTON ST PUB PWR SUPPLY SYS NUCLEAR PROJ NO 3 REV DTD 09/01/1997 FSA INSURED CALLABLE 07/01/2007 @ 102.00	AAA/Aaa	10,000	07/01/2014	5.250%	10,327.00	10,414.40	—	4.64%
NEW YORK NEW YORK CITY TRANSITIONAL FIN AUTH REF REV DTD 03/24/2004 CALLABLE 05/01/2014 @ 100.00	AAA/Aa1	10,000	11/01/2016	5.000%	10,663.60	10,874.90	—	4.01%

5066

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Bonds

Municipal bonds, continued	Rating	Maturity value	Maturity date	Interest rate	Current value	Amount invested	Amount withdrawn	Your yield to maturity
UNIVERSITY CALIF REVENUES DTD 07/07/2005 FSA INSURED CALLABLE 05/15/2013 @ 101.00	AAA/Aaa	$10,000	05/15/2017	5.000%	$10,668.40	$10,835.80	—	4.09%
NEW JERSEY ECONOMIC DEV AUTH REV SCH FACS CONSTRUCTN DTD 10/04/2005 F/C 03/01/2006 CALLABLE 03/01/2015 @ 100.00	AA-/A1	10,000	03/01/2018	5.000%	10,557.50	10,664.10	—	4.30%
HOUSTON TEX CMNTY COLLEGE SYS REV RFDG SR LIEN STUDENT FEE DTD 04/15/2005 FSA INSURED CALLABLE 04/15/2015 @ 100.00	AAA/Aaa	10,000	04/15/2018	5.250%	10,849.70	10,791.80	—	4.41%
NEW YORK ST TWY AUTH HWY & BRDG REV TR FD DTD 09/08/2005 F/C 04/01/2006 AMBAC INSURED	AAA/Aaa	10,000	04/01/2020	5.500%	11,466.20	11,583.20	—	4.05%
PUERTO RICO COMWLTH INFRASTR FING AUTH SPL TAX REV RFDG DTD 06/16/2005 FGIC INSURED	AAA/Aaa	5,000	07/01/2020	5.500%	5,725.80	5,894.20	—	3.91%
DENVER COLO CITY & CNTY ARPT REV SYS DTD 08/25/2005 XLCA INSURED CALLABLE 11/15/2015 @ 100.00	AAA/Aaa	10,000	11/15/2020	5.000%	10,598.90	10,607.40	—	4.44%

5067

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Bonds

Municipal bonds, continued	Rating	Maturity value	Maturity date	Interest rate	Current value	Amount invested	Amount withdrawn	Your yield to maturity
ILLINOIS STATE GENERAL OBLIGATION DTD 01/18/2006 F/C 07/01/2006 CALLABLE 01/01/2016 @ 100.00	AA/Aa3	$10,000	01/01/2025	5.000%	$10,531.40	$10,493.90	—	4.60%
PUERTO RICO PUB BLDGS AUTH REV GTD RFDG GOVT FACS DTD 06/10/2004 CALLABLE 07/01/2012 @ 100.00	BBB/Baa2	10,000	07/01/2028	5.000%	10,423.40	10,750.90	—	4.47%
Total municipal bonds		**$140,000**			**$150,596.00**	$153,051.10	—	
Total bonds		**$140,000.00**			**$150,596.00**	$153,051.10	—	
Total estimated asset value					**$152,490.77**			

Summary of Your Investment Activity

Total cash and money market funds on Jan 28	$2,271.83
Additions	
Income	$15.90
Proceeds from securities sold	$20,791.11
Total additions	$20,807.01
Subtractions	
Withdrawals to purchase securities	-$20,994.82
Fees	-$189.25
Total subtractions	-$21,184.07
Total cash and money market funds on Feb 24	**$1,894.77**

5068

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Detail of Your Investment Activity

Additions

	Type	Date		Days	Rate	Amount	Where invested
Income	Money market dividends	02/21	MONEY MARKET	30	3.65	15.90	Money market
		Total income				**$15.90**	

	Date		Quantity	Amount per share	Trade date	Amount	Where invested
Proceeds from securities sold	01/31	CHICAGO ILL SCHOOL FINANCE ACCRUED INTEREST = 83.33 DUE 06/01/2007 05.000% JD 01	10000.	102.237		$10,307.03	Money market
	02/08	JEFFERSON COUNTY KENTUCKY GO ACCRUED INTEREST = 240.28 DUE 08/15/2007 05.000% FA 15	10000.	102.438		10,484.08	Money market
	Total proceeds from securities sold					**$20,791.11**	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	02/02	ILLINOIS STATE GENERAL OBLIG ACCRUED INTEREST = 19.44 DUE 01/01/2025 05.000% JJ 01	10000.	104.939		-$10,513.34	Money market
	02/17	WASHINGTON ST PUB PWR SUPPLY ACCRUED INTEREST = 67.08 DUE 07/01/2014 05.250% JJ 01	10000.	104.144		-10,481.48	Money market
	Total withdrawals to purchase securities					**-$20,994.82**	
Fees	02/17	MANAGED ACCOUNT FEE				-$189.25	Money market
	Total fees					**-$189.25**	

5069

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	-$132.20	-$293.50
Long term (held over 1 year)	0.00	0.00
Total	-$132.20	-$293.50

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
JEFFERSON COUNTY KENTUCKY GO	09/16/2005	02/03	10000.000	$10,376.00	$10,243.80	-$132.20	Short term

As you requested, copies of your statement have been sent to:

5070

Account number:
Statement type:
February 25 - March 31, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 2-9-01
HAROLD D ROGERS REV LIV TRUST

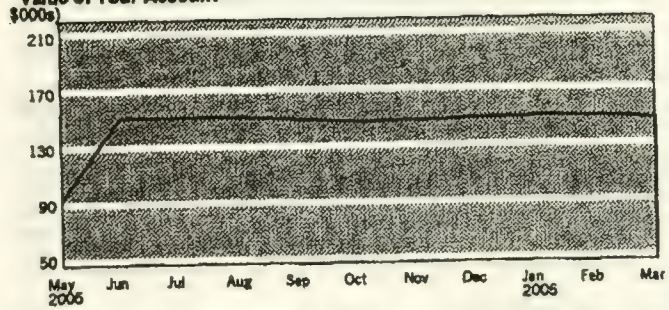
Value Summary (Held at Edward Jones)

Value on Mar 31	$150,579.21
Value on Feb 25	$152,490.77
Value one year ago	—

Summary of Your Assets

Held at Edward Jones	Value on Mar 31	Value on Feb 25	Change in Value
Cash & money market	$2,184.26	$1,894.77	$289.49
Bonds	148,394.95	150,596.00	-2,201.05
Total at Edward Jones	$150,579.21	$152,490.77	-$1,911.56

Value of Your Account



This chart shows how the value of your account has changed recently
includes only assets held at Edward Jones). While it's important to be aware
of short-term results, don't lose sight of the long term. Edward Jones believes
a long-term investment strategy offers the greatest potential for success.

5071

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Income

Income distributions from securities	This period			Year-to-date		
	Taxable	Tax-free	Total	Taxable	Tax-free	Total
Money market dividends	$6.00	—	$6.00	$26.77	—	$26.77
Interest	—	454.17	454.17	—	1,559.03	1,559.03
Total	$6.00	$454.17	$460.17	$26.77	$1,559.03	$1,585.80

Your Estimated Interest and Dividends

The following is an estimated summary of your interest and dividend income for the next 12 months. It is based on a variety of factors. These factors, including distribution rates and amount of principal invested, are subject to change. Because they are estimates, the specific amounts listed here are not guaranteed by Edward Jones or by the underlying issuer(s) of the securities. Income from items with * is being reinvested.

		2006									2007			
Cash & money market funds	Quantity	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	Total
Money Market 3.90%	2,184	7	7	7	7	7	7	7	7	7	7	7	7	84
Municipal Bonds														
CA ST ECONOMIC RECOVERY A GO 5.2500%	10,000				262						262			524
CHICAGO ILLINOIS SERIES A GO 5.2500%	5,000				131						131			262
DENVER COLO CITY & CNTY ARPT 5.0000%	10,000		250						250					500
HOUSTON TEX CMNTY COLLEGE SY 5.2500%	10,000	262						262						524
ILLINOIS STATE GENERAL OBLIG 5.0000%	10,000				250						250			500
KENTUCKY ASSET/LIABILITY COM 5.0000%	10,000						250						250	500
MEMPHIS TN ELECTRIC SYS REV 5.0000%	10,000			250						250				500
NEW JERSEY ECONOMIC DEV AUTH 5.0000%	10,000						250						250	500
NY NEW YORK CITY TRANSITIONA 5.0000%	10,000		250						250					500
NEW YORK ST TWP AUTH HWY BRD 5.5000%	10,000	275						275						550

5072

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Municipal Bonds	Quantity	2006 APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	2007 JAN	FEB	MAR	Total
PUERTO RICO COMWLTH INFRASTR 5.5000%	5,000				137						137			274
PUERTO RICO PUB BLDGS AUTH R 5.0000%	10,000				250						250			500
UNIVERSITY CALIF REVENUES 5.0000%	10,000		250						250					500
WASHINGTON ST PUB PWR SUPPLY 5.2500%	10,000				262						262			524
WISCONSIN ST CLEAN WTR REV 5.5000%	10,000			275						275				550
Total		**544**	**757**	**532**	**1,299**	**7**	**507**	**544**	**757**	**532**	**1,299**	**7**	**507**	**7,292**

Maturity Schedule

Maturing in	0-5 years	6-15 years	16 or more years
Amount maturing	—	$120,000	$20,000
Current market value	—	$127,738	$20,657
Percent of total maturing value	—	85.71%	14.29%

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	3.90%	3.98%	$2,184.26
Total cash and money market funds			$2,184.26

5073

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Bonds Municipal bonds	Rating	Maturity value	Maturity date	Interest rate	Current value	Amount invested	Amount withdrawn	Your yield to maturity
MEMPHIS TN ELECTRIC SYSTEM REVENUE SERIES A DTD 11/01/2003 MBIA INSURED	AAA/Aaa	$10,000	12/01/2012	5.000%	$10,543.70	$11,054.80	—	3.37%
CHICAGO ILLINOIS SERIES A GENERAL OBLIGATION DTD 08/24/2004 FSA INSURED	AAA/Aaa	5,000	01/01/2013	5.250%	5,334.85	5,530.90	—	3.59%
WISCONSIN ST CLEAN WTR REV RFDG DTD 08/01/2002 MBIA INSURED	AAA/Aaa	10,000	06/01/2013	5.500%	10,867.40	11,248.10	—	3.62%
KENTUCKY ASSET/LIABILITY COMMN AGY FUND REV PROJ NOTES DTD 06/08/2005 MBIA INSURED	AAA/Aaa	10,000	09/01/2013	5.000%	10,576.20	11,038.00	—	3.51%
CALIFORNIA STATE ECONOMIC RECOVERY SERIES A GEN OBLIG DTD 05/11/2004	AA-/Aa3	10,000	07/01/2014	5.250%	10,835.00	11,268.70	—	3.56%
WASHINGTON ST PUB PWR SUPPLY SYS NUCLEAR PROJ NO 3 REV DTD 09/01/1997 FSA INSURED CALLABLE 07/01/2007 @ 102.00	AAA/Aaa	10,000	07/01/2014	5.250%	10,288.10	10,414.40	—	4.64%
NEW YORK NEW YORK CITY TRANSITIONAL FIN AUTH REF REV DTD 03/24/2004 CALLABLE 05/01/2014 @ 100.00	AAA/Aa1	10,000	11/01/2016	5.000%	10,506.80	10,874.90	—	4.01%

5074

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Bonds Municipal bonds, continued	Rating	Maturity value	Maturity date	Interest rate	Current value	Amount invested	Amount withdrawn	Your yield to maturity
UNIVERSITY CALIF REVENUES DTD 07/07/2005 FSA INSURED CALLABLE 05/15/2013 @ 101.00	AAA/Aaa	$10,000	05/15/2017	5.000%	$10,490.70	$10,835.80	—	4.09%
NEW JERSEY ECONOMIC DEV AUTH REV SCH FACS CONSTRUCTN DTD 10/04/2005 CALLABLE 03/01/2015 @ 100.00	AA-/A1	10,000	03/01/2018	5.000%	10,438.60	10,664.10	—	4.30%
HOUSTON TEX CMNTY COLLEGE SYS REV RFDG SR LIEN STUDENT FEE DTD 04/15/2005 FSA INSURED CALLABLE 04/15/2015 @ 100.00	AAA/Aaa	10,000	04/15/2018	5.250%	10,653.30	10,791.80	—	4.41%
NEW YORK ST TWY AUTH HWY & BRDG REV TR FD DTD 09/08/2005 F/C 04/01/2006 AMBAC INSURED	AAA/Aaa	10,000	04/01/2020	5.500%	11,204.20	11,583.20	—	4.05%
PUERTO RICO COMWLTH INFRASTR FING AUTH SPL TAX REV RFDG DTD 06/16/2005 FGIC INSURED	AAA/Aaa	5,000	07/01/2020	5.500%	5,576.10	5,894.20	—	3.91%
DENVER COLO CITY & CNTY ARPT REV SYS DTD 08/25/2005 XLCA INSURED CALLABLE 11/15/2015 @ 100.00	AAA/Aaa	10,000	11/15/2020	5.000%	10,423.10	10,607.40	—	4.44%

5075

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Bonds Municipal bonds, continued	Rating	Maturity value	Maturity date	Interest rate	Current value	Amount invested	Amount withdrawn	Your yield to maturity
ILLINOIS STATE GENERAL OBLIGATION DTD 01/18/2006 F/C 07/01/2006 CALLABLE 01/01/2016 @ 100.00	AA/Aa3	$10,000	01/01/2025	5.000%	$10,363.60	$10,493.90	—	4.60%
PUERTO RICO PUB BLDGS AUTH REV GTD RFDG GOVT FACS DTD 06/10/2004 CALLABLE 07/01/2012 @ 100.00	BBB/Baa2	10,000	07/01/2028	5.000%	10,293.30	10,750.90	—	4.47%
Total municipal bonds		**$140,000**			**$148,394.95**	$153,051.10	—	
Total bonds		**$140,000.00**			**$148,394.95**	$153,051.10	—	
Total estimated asset value					**$150,579.21**			

Summary of Your Investment Activity

Total cash and money market funds on Feb 25	$1,894.77
Additions	
Income	$460.17
Total additions	$460.17
Subtractions	
Fees	-$170.68
Total subtractions	-$170.68
Total cash and money market funds on Mar 31	**$2,184.26**

5076

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Detail of Your Investment Activity

Additions

	Type	Date		Days	Rate	Amount	Where Invested
Income	Money market dividends	03/20	MONEY MARKET	27	3.73	6.00	Money market

	Type	Date		Quantity	Amount per share	Rate	Amount	Where Invested
	Interest	03/01	NEW JERSEY ECONOMIC DEV AUTH DUE 03/01/2018 5.000 %	10000.		0.020416	$204.17	Money market
		03/01	KENTUCKY ASSET/LIABILITY COMMN DUE 09/01/2013 5.000 %	10000.		0.025	250.00	Money market
		Total income					$460.17	

Subtractions

	Date		Amount	Source of Funds
Fees	03/15	MANAGED ACCOUNT FEE	-$170.68	Money market
	Total fees		-$170.68	

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$0.00	-$293.50
Long term (held over 1 year)	0.00	0.00
Total	$0.00	-$293.50

As you requested, copies of your statement have been sent to:

5077

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

This page intentionally left blank

Account number:
Statement type:
April 1 - April 28, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 2-9-01
HAROLD D ROGERS REV LIV TRUST

Value Summary (Held at Edward Jones)

Value on Apr 28	$150,108.56
Value on Apr 1	$150,579.21
Value one year ago	—

Summary of Your Assets

Held at Edward Jones	Value on Apr 28	Value on Apr 1	Change in value
Cash & money market	$2,576.41	$2,184.26	$392.15
Bonds	147,532.15	148,394.95	-862.80
Total at Edward Jones	$150,108.56	$150,579.21	-$470.65

Summary of Your Income

Income distributions from securities	This period Taxable	This period Tax-free	This period Total	Year-to-date Taxable	Year-to-date Tax-free	Year-to-date Total
Money market dividends	$7.91	—	$7.91	$34.68	—	$34.68
Interest	—	572.64	572.64	—	2,131.67	2,131.67
Total	$7.91	$572.64	$580.55	$34.68	$2,131.67	$2,166.35

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	3.98%	4.03%	$2,576.41
Total cash and money market funds			$2,576.41

5079

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Bonds

Municipal bonds	Rating	Maturity value	Maturity date	Interest rate	Current value	Amount invested	Amount withdrawn	Your yield to maturity
MEMPHIS TN ELECTRIC SYSTEM REVENUE SERIES A DTD 11/01/2003 MBIA INSURED	AAA/Aaa	$10,000	12/01/2012	5.000%	$10,531.80	$11,054.80	—	3.37%
CHICAGO ILLINOIS SERIES A GENERAL OBLIGATION DTD 08/24/2004 FSA INSURED	AAA/Aaa	5,000	01/01/2013	5.250%	5,322.15	5,530.90	—	3.59%
WISCONSIN ST CLEAN WTR REV RFDG DTD 08/01/2002 MBIA INSURED	AAA/Aaa	10,000	06/01/2013	5.500%	10,839.60	11,248.10	—	3.62%
KENTUCKY ASSET/LIABILITY COMMN AGY FUND REV PROJ NOTES DTD 06/08/2005 MBIA INSURED	AAA/Aaa	10,000	09/01/2013	5.000%	10,550.70	11,038.00	—	3.51%
CALIFORNIA STATE ECONOMIC RECOVERY SERIES A GEN OBLIG DTD 05/11/2004	AA-/Aa3	10,000	07/01/2014	5.250%	10,763.00	11,268.70	—	3.56%
WASHINGTON ST PUB PWR SUPPLY SYS NUCLEAR PROJ NO 3 REV DTD 09/01/1997 FSA INSURED CALLABLE 07/01/2007 @ 102.00	AAA/Aaa	10,000	07/01/2014	5.250%	10,285.60	10,414.40	—	4.64%
NEW YORK NEW YORK CITY TRANSITIONAL FIN AUTH REF REV DTD 03/24/2004 CALLABLE 05/01/2014 @ 100.00	AAA/Aa1	10,000	11/01/2016	5.000%	10,446.90	10,874.90	—	4.01%

5080

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Bonds Municipal bonds, continued	Rating	Maturity value	Maturity date	Interest rate	Current value	Amount invested	Amount withdrawn	Your yield to maturity
UNIVERSITY CALIF REVENUES DTD 07/07/2005 FSA INSURED CALLABLE 05/15/2013 @ 101.00	AAA/Aaa	$10,000	05/15/2017	5.000%	$10,409.50	$10,835.80	—	4.09%
NEW JERSEY ECONOMIC DEV AUTH REV SCH FACS CONSTRUCTN DTD 10/04/2005 CALLABLE 03/01/2015 @ 100.00	AA-/A1	10,000	03/01/2018	5.000%	10,367.40	10,664.10	—	4.30%
HOUSTON TEX CMNTY COLLEGE SYS REV RFDG SR LIEN STUDENT FEE DTD 04/15/2005 FSA INSURED CALLABLE 04/15/2015 @ 100.00	AAA/Aaa	10,000	04/15/2018	5.250%	10,579.40	10,791.80	—	4.41%
NEW YORK ST TWY AUTH HWY & BRDG REV TR FD DTD 09/08/2005 AMBAC INSURED	AAA/Aaa	10,000	04/01/2020	5.500%	11,074.80	11,583.20	—	4.05%
PUERTO RICO COMWLTH INFRASTR FING AUTH SPL TAX REV RFDG DTD 06/16/2005 FGIC INSURED	AAA/Aaa	5,000	07/01/2020	5.500%	5,511.40	5,894.20	—	3.91%
DENVER COLO CITY & CNTY ARPT REV SYS DTD 08/25/2005 XLCA INSURED CALLABLE 11/15/2015 @ 100.00	AAA/Aaa	10,000	11/15/2020	5.000%	10,348.80	10,607.40	—	4.44%

5081

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Bonds Municipal bonds, continued	Rating	Maturity value	Maturity date	Interest rate	Current value	Amount invested	Amount withdrawn	Your yield to maturity
ILLINOIS STATE GENERAL OBLIGATION DTD 01/18/2006 F/C 07/01/2006 CALLABLE 01/01/2016 @ 100.00	AA/Aa3	$10,000	01/01/2025	5.000%	$10,297.20	$10,493.90	—	4.60%
PUERTO RICO PUB BLDGS AUTH REV GTD RFDG GOVT FACS DTD 06/10/2004 CALLABLE 07/01/2012 @ 100.00	BBB/Baa2	10,000	07/01/2028	5.000%	10,223.90	10,750.90	—	4.47%
Total municipal bonds		**$140,000**			**$147,532.15**	**$153,051.10**	—	
Total bonds		**$140,000.00**			**$147,532.15**	**$153,051.10**	—	
Total estimated asset value					**$150,108.56**			

Summary of Your Investment Activity

Total cash and money market funds on Apr 01	$2,184.26
Additions	
Income	$580.55
Total additions	$580.55
Subtractions	
Fees	-$188.40
Total subtractions	-$188.40
Total cash and money market funds on Apr 28	**$2,576.41**

5082

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Detail of Your Investment Activity

Additions

	Type	Date			Days	Rate	Amount	Where Invested
Income	Money market dividends	04/20	MONEY MARKET		31	3.95	7.91	Money market
	Type	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Invested**
	Interest	04/03	NEW YORK ST TWP AUTH HWY BRDG DUE 04/01/2020 5.500 %	10000.		0.031013	$310.14	Money market
		04/17	HOUSTON TEX CMNTY COLLEGE SYS DUE 04/15/2018 5.250 %	10000.		0.02625	262.50	Money market
		Total Income					$580.55	

Subtractions

	Date		Amount	Source of Funds
Fees	04/17	MANAGED ACCOUNT FEE	-$188.40	Money market
	Total fees		-$188.40	

As you requested, copies of your statement have been sent to:

5083

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

This page intentionally left blank

Account number:
Statement type:
April 29 - May 26, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 2-9-01
HAROLD D ROGERS REV LIV TRUST

Value Summary (Held at Edward Jones)

Value on May 26	$151,269.82
Value on Apr 29	$150,108.56
Value one year ago	$96,629.51

Summary of Your Assets

Held at Edward Jones	Value on May 26	Value on Apr 29	Change in value
Cash & money market	$3,156.37	$2,576.41	$579.96
Bonds	148,113.45	147,532.15	581.30
Total at Edward Jones	**$151,269.82**	$150,108.56	$1,161.26

Summary of Your Income

Income distributions from securities	This period			Year-to-date		
	Taxable	Tax-free	Total	Taxable	Tax-free	Total
Money market dividends	$10.03	—	$10.03	$44.71	—	$44.71
Interest	—	750.00	750.00	—	2,881.67	2,881.67
Total	$10.03	$750.00	**$760.03**	$44.71	$2,881.67	**$2,926.38**

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.18%	4.27%	$3,156.37
Total cash and money market funds			**$3,156.37**

5085

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Bonds Municipal bonds	Rating	Maturity value	Maturity date	Interest rate	Current value	Amount invested	Amount withdrawn	Your yield to maturity
MEMPHIS TN ELECTRIC SYSTEM REVENUE SERIES A DTD 11/01/2003 MBIA INSURED	AAA/Aaa	$10,000.00	12/01/2012	5.000%	$10,555.30	$11,054.80	—	3.37%
CHICAGO ILLINOIS SERIES A GENERAL OBLIGATION DTD 08/24/2004 FSA INSURED	AAA/Aaa	5,000.00	01/01/2013	5.250%	5,339.60	5,530.90	—	3.59%
WISCONSIN ST CLEAN WTR REV RFDG DTD 08/01/2002 MBIA INSURED	AAA/Aaa	10,000.00	06/01/2013	5.500%	10,882.50	11,248.10	—	3.62%
KENTUCKY ASSET/LIABILITY COMMN AGY FUND REV PROJ NOTES DTD 06/08/2005 MBIA INSURED	AAA/Aaa	10,000.00	09/01/2013	5.000%	10,590.30	11,038.00	—	3.51%
CALIFORNIA STATE ECONOMIC RECOVERY SERIES A GEN OBLIG DTD 05/11/2004	AA-/Aa3	10,000.00	07/01/2014	5.250%	10,821.40	11,288.70	—	3.56%
WASHINGTON ST PUB PWR SUPPLY SYS NUCLEAR PROJ NO 3 REV DTD 09/01/1997 FSA INSURED CALLABLE 07/01/2007 @ 102.00	AAA/Aaa	10,000.00	07/01/2014	5.250%	10,258.80	10,414.40	—	4.64%
NEW YORK NEW YORK CITY TRANSITIONAL FIN AUTH REF REV DTD 03/24/2004 CALLABLE 05/01/2014 @ 100.00	AAA/Aa1	10,000.00	11/01/2016	5.000%	10,490.70	10,874.90	—	4.01%

5086

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Bonds

Municipal bonds, continued	Rating	Maturity value	Maturity date	Interest rate	Current value	Amount invested	Amount withdrawn	Your yield to maturity
UNIVERSITY CALIF REVENUES DTD 07/07/2005 FSA INSURED CALLABLE 05/15/2013 @ 101.00	AAA/Aaa	$10,000.00	05/15/2017	5.000%	$10,465.20	$10,835.80	—	4.09%
NEW JERSEY ECONOMIC DEV AUTH REV SCH FACS CONSTRUCTN DTD 10/04/2005 CALLABLE 03/01/2015 @ 100.00	AA-/A1	10,000.00	03/01/2018	5.000%	10,416.10	10,664.10	—	4.30%
HOUSTON TEX CMNTY COLLEGE SYS REV RFDG SR LIEN STUDENT FEE DTD 04/15/2005 FSA INSURED CALLABLE 04/15/2015 @ 100.00	AAA/Aaa	10,000.00	04/15/2018	5.250%	10,627.60	10,791.80	—	4.41%
NEW YORK ST TWY AUTH HWY & BRDG REV TR FD DTD 09/08/2005 AMBAC INSURED	AAA/Aaa	10,000.00	04/01/2020	5.500%	11,147.70	11,583.20	—	4.05%
PUERTO RICO COMWLTH INFRASTR FING AUTH SPL TAX REV RFDG DTD 06/16/2005 FGIC INSURED	AAA/Aaa	5,000.00	07/01/2020	5.500%	5,537.25	5,894.20	—	3.91%
DENVER COLO CITY & CNTY ARPT REV SYS DTD 08/25/2005 XLCA INSURED CALLABLE 11/15/2015 @ 100.00	AAA/Aaa	10,000.00	11/15/2020	5.000%	10,393.30	10,607.40	—	4.44%

5087

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Bonds Municipal bonds, continued	Rating	Maturity value	Maturity date	Interest rate	Current value	Amount invested	Amount withdrawn	Your yield to maturity
ILLINOIS STATE GENERAL OBLIGATION DTD 01/18/2006 F/C 07/01/2006 CALLABLE 01/01/2016 @ 100.00	AA/Aa3	$10,000.00	01/01/2025	5.000%	$10,334.50	$10,493.90	—	4.60%
PUERTO RICO PUB BLDGS AUTH REV GTD RFDG GOVT FACS DTD 06/10/2004 CALLABLE 07/01/2012 @ 100.00	BBB/Baa3	10,000.00	07/01/2028	5.000%	10,253.20	10,750.90	—	4.47%
Total municipal bonds		**$140,000.00**			**$148,113.45**	$153,051.10	—	
Total bonds		**$140,000.00**			**$148,113.45**	$153,051.10	—	
Total estimated asset value					**$151,269.82**			

Summary of Your Investment Activity

Total cash and money market funds on Apr 29	$2,576.41
Additions	
Income	$760.03
Total additions	$760.03
Subtractions	
Fees	-$180.07
Total subtractions	-$180.07
Total cash and money market funds on May 26	**$3,156.37**

5088

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Detail of Your Investment Activity

Additions

	Type	Date			Days	Rate	Amount	Where Invested
Income	Money market dividends	05/22	MONEY MARKET		32	4.05	10.03	Money market
	Type	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Invested**
	Interest	05/01	NY NEW YORK CITY TRANSITIONAL DUE 11/01/2016 5.000 %	10000.		0.025	$250.00	Money market
		05/15	UNIVERSITY CALIF REVENUES DUE 05/15/2017 5.000 %	10000.		0.025	250.00	Money market
		05/15	DENVER COLO CITY & CNTY ARPT DUE 11/15/2020 5.000 %	10000.		0.025	250.00	Money market
		Total income					**$760.03**	

Subtractions

	Date			Amount	Source of Funds
Fees	05/16	MANAGED ACCOUNT FEE		-$180.07	Money market
	Total fees			**-$180.07**	

As you requested, copies of your statement have been sent to:

5089

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

This page intentionally left blank

5090

Account number:
Statement type:
May 27 - June 30, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 2-9-01
HAROLD D ROGERS REV LIV TRUST

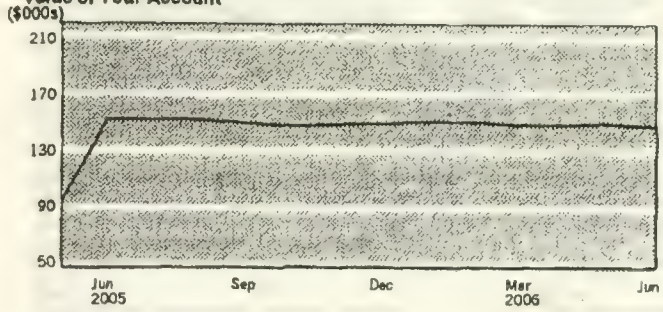
Value Summary (Held at Edward Jones)

Value on Jun 30	$149,935.34
Value on May 27	$151,269.82
Value one year ago	$153,140.15

Summary of Your Assets

Held at Edward Jones	Value on Jun 30	Value on May 27	Change in value
Cash & money market	$3,506.44	$3,156.37	$350.07
Bonds	146,428.90	148,113.45	-1,684.55
Total at Edward Jones	**$149,935.34**	$151,269.82	-$1,334.48

Value of Your Account



This chart shows how the value of your account has changed recently (includes only assets held at Edward Jones). While it's important to be aware of short-term results, don't lose sight of the long term. Edward Jones believes a long-term investment strategy offers the greatest potential for success.

5091

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Income

Income distributions from securities	This period			Year-to-date		
	Taxable	Tax-free	Total	Taxable	Tax-free	Total
Money market dividends	$11.81	—	$11.81	$56.32	—	$56.32
Interest	—	525.00	525.00	—	3,406.67	3,406.67
Total	$11.81	$525.00	$536.81	$56.32	$3,406.67	$3,462.99

Your Estimated Interest and Dividends

The following is an estimated summary of your interest and dividend income for the next 12 months. It is based on a variety of factors. These factors, including distribution rates and amount of principal invested, are subject to change. Because they are estimates, the specific amounts listed here are not guaranteed by Edward Jones or by the underlying issuer(s) of the securities. Income from items with * is being reinvested.

		2006						2007						
Cash & money market funds	Quantity	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	Total
Money Market 4.31%	3,506	12	12	12	12	12	12	12	12	12	12	12	12	144
Municipal Bonds														
CA ST ECONOMIC RECOVERY A GO 5.2500%	10,000	262						262						524
CHICAGO ILLINOIS SERIES A GO 5.2500%	5,000	131						131						262
DENVER COLO CITY & CNTY ARPT 5.0000%	10,000					250						250		500
HOUSTON TEX CMNTY COLLEGE SY 5.2500%	10,000				262						262			524
ILLINOIS STATE GENERAL OBLIG 5.0000%	10,000	250						250						500
KENTUCKY ASSET/LIABILITY COM 5.0000%	10,000			250						250				500
MEMPHIS TN ELECTRIC SYS REV 5.0000%	10,000						250						250	500
NEW JERSEY ECONOMIC DEV AUTH 5.0000%	10,000			250						250				500
NY NEW YORK CITY TRANSITIONA 5.0000%	10,000					250						250		500
NEW YORK ST TWP AUTH HWY BRD 5.5000%	10,000				275						275			550

5092

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

		2006						2007						
Municipal Bonds	**Quantity**	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	Total
PUERTO RICO COMWLTH INFRASTR 5.5000%	5,000	137						137						274
PUERTO RICO PUB BLDGS AUTH R 5.0000%	10,000	250						250						500
UNIVERSITY CALIF REVENUES 5.0000%	10,000					250						250		500
WASHINGTON ST PUB PWR SUPPLY 5.2500%	10,000	262						262						524
WISCONSIN ST CLEAN WTR REV 5.5000%	10,000						275						275	550
Total		1,304	12	512	549	762	537	1,304	12	512	549	762	537	7,352

Maturity Schedule

Maturing in	0-5 years	6-15 years	16 or more years
Amount maturing	—	$120,000	$20,000
Current market value	—	$126,050	$20,379
Percent of total maturing value	—	85.71%	14.29%

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.31%	4.40%	$3,506.44
Total cash and money market funds			**$3,506.44**

5093

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Bonds

Municipal bonds	Rating	Maturity value	Maturity date	Interest rate	Current value	Amount invested	Amount withdrawn	Your yield to maturity
MEMPHIS TN ELECTRIC SYSTEM REVENUE SERIES A DTD 11/01/2003 MBIA INSURED	AAA/Aaa	$10,000.00	12/01/2012	5.000%	$10,431.00	$11,054.80	—	3.37%
CHICAGO ILLINOIS SERIES A GENERAL OBLIGATION DTD 08/24/2004 FSA INSURED	AAA/Aaa	5,000.00	01/01/2013	5.250%	5,273.15	5,530.90	—	3.58%
WISCONSIN ST CLEAN WTR REV RFDG DTD 08/01/2002 MBIA INSURED	AAA/Aaa	10,000.00	06/01/2013	5.500%	10,738.80	11,248.10	—	3.62%
KENTUCKY ASSET/LIABILITY COMMN AGY FUND REV PROJ NOTES DTD 08/08/2005 MBIA INSURED	AAA/Aaa	10,000.00	09/01/2013	5.000%	10,455.10	11,038.00	—	3.51%
CALIFORNIA STATE ECONOMIC RECOVERY SERIES A GEN OBLIG DTD 05/11/2004	AA-/Aa3	10,000.00	07/01/2014	5.250%	10,706.70	11,268.70	—	3.58%
WASHINGTON ST PUB PWR SUPPLY SYS NUCLEAR PROJ NO 3 REV DTD 09/01/1997 FSA INSURED CALLABLE 07/01/2007 @ 102.00	AAA/Aaa	10,000.00	07/01/2014	5.250%	10,232.90	10,414.40	—	4.64%
NEW YORK NEW YORK CITY TRANSITIONAL FIN AUTH REF REV DTD 03/24/2004 CALLABLE 05/01/2014 @ 100.00	AAA/Aa1	10,000.00	11/01/2016	5.000%	10,383.90	10,874.90	—	4.01%

5094

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Bonds

Municipal bonds, continued	Rating	Maturity value	Maturity date	Interest rate	Current value	Amount invested	Amount withdrawn	Your yield to maturity
UNIVERSITY CALIF REVENUES DTD 07/07/2005 FSA INSURED CALLABLE 05/15/2013 @ 101.00	AAA/Aaa	$10,000.00	05/15/2017	5.000%	$10,359.20	$10,835.80	—	4.09%
NEW JERSEY ECONOMIC DEV AUTH REV SCH FACS CONSTRUCTN DTD 10/04/2005 CALLABLE 03/01/2015 @ 100.00	AA-/A1	10,000.00	03/01/2018	5.000%	10,287.30	10,664.10	—	4.30%
HOUSTON TEX CMNTY COLLEGE SYS REV RFDG SR LIEN STUDENT FEE DTD 04/15/2005 FSA INSURED CALLABLE 04/15/2015 @ 100.00	AAA/Aaa	10,000.00	04/15/2018	5.250%	10,501.10	10,791.80	—	4.41%
NEW YORK ST TWY AUTH HWY & BRDG REV TR FD DTD 09/08/2005 AMBAC INSURED	AAA/Aaa	10,000.00	04/01/2020	5.500%	10,953.40	11,583.20	—	4.05%
PUERTO RICO COMWLTH INFRASTR FING AUTH SPL TAX REV RFDG DTD 06/16/2005 FGIC INSURED	AAA/Aaa	5,000.00	07/01/2020	5.500%	5,462.25	5,894.20	—	3.91%
DENVER COLO CITY & CNTY ARPT REV SYS DTD 08/25/2005 XLCA INSURED CALLABLE 11/15/2015 @ 100.00	AAA/Aaa	10,000.00	11/15/2020	5.000%	10,264.80	10,607.40	—	4.44%

5095

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Bonds Municipal bonds, continued	Rating	Maturity value	Maturity date	Interest rate	Current value	Amount invested	Amount withdrawn	Your yield to maturity
ILLINOIS STATE GENERAL OBLIGATION DTD 01/18/2006 F/C 07/01/2006 CALLABLE 01/01/2016 @ 100.00	AA/Aa3	$10,000.00	01/01/2025	5.000%	$10,189.10	$10,493.90	—	4.60%
PUERTO RICO PUB BLDGS AUTH REV GTD RFDG GOVT FACS DTD 06/10/2004 CALLABLE 07/01/2012 @ 100.00	BBB/Baa3	10,000.00	07/01/2028	5.000%	10,180.20	10,750.90	—	4.47%
Total municipal bonds		**$140,000.00**			**$146,428.90**	$153,051.10	—	
Total bonds		**$140,000.00**			**$146,428.90**	$153,051.10	—	
Total estimated asset value					**$149,935.34**			

Summary of Your Investment Activity

Total cash and money market funds on May 27	$3,156.37
Additions	
Income	$536.61
Total additions	$536.61
Subtractions	
Fees	-$186.54
Total subtractions	-$186.54
Total cash and money market funds on Jun 30	**$3,506.44**

5096

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Detail of Your Investment Activity

Additions

	Type	Date			Days	Rate	Amount	Where Invested
Income	Money market dividends	06/20	MONEY MARKET		29	4.21	11.61	Money market
	Type	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Invested**
	Interest	06/01	WISCONSIN ST CLEAN WTR REV DUE 06/01/2013 5.500 %	10000.		0.0275	$275.00	Money market
		06/01	MEMPHIS TN ELECTRIC SYS REV DUE 12/01/2012 5.000 %	10000.		0.025	250.00	Money market
		Total income					**$536.61**	

Subtractions

	Date		Amount	Source of Funds
Fees	06/15	MANAGED ACCOUNT FEE	-$186.54	Money market
	Total fees		**-$186.54**	

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$0.00	-$293.50
Long term (held over 1 year)	0.00	0.00
Total	**$0.00**	**-$293.50**

As you requested, copies of your statement have been sent to:

5097

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

This page intentionally left blank

Account number:
Statement type:
July 1 - July 28, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 2-9-01
HAROLD D ROGERS REV LIV TRUST

Copy To:

Value Summary (Held at Edward Jones)

Value on Jul 28	$152,605.37
Value on Jul 1	$149,935.34
Value one year ago	$153,404.34

Summary of Your Assets

Held at Edward Jones	Value on Jul 28	Value on Jul 1	Change in value
Cash & money market	$4,609.82	$3,506.44	$1,103.38
Bonds	147,995.55	146,428.90	1,566.65
Total at Edward Jones	$152,605.37	$149,935.34	$2,670.03

Summary of Your Income

	This period			Year-to-date		
Income distributions from securities	Taxable	Tax-free	Total	Taxable	Tax-free	Total
Money market dividends	$15.10	—	$15.10	$71.42	—	$71.42
Interest	—	1,270.14	1,270.14	—	4,676.81	4,676.81
Total	$15.10	$1,270.14	$1,285.24	$71.42	$4,676.81	$4,748.23

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.46%	4.56%	$4,609.82
Total cash and money market funds			$4,609.82

5099

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Bonds

Municipal bonds	Rating	Maturity value	Maturity date	Interest rate	Current value	Amount invested	Amount withdrawn	Your yield to maturity
MEMPHIS TN ELECTRIC SYSTEM REVENUE SERIES A DTD 11/01/2003 MBIA INSURED	AAA/Aaa	$10,000.00	12/01/2012	5.000%	$10,527.40	$11,054.80	—	3.37%
CHICAGO ILLINOIS SERIES A GENERAL OBLIGATION DTD 08/24/2004 FSA INSURED	AAA/Aaa	5,000.00	01/01/2013	5.250%	5,325.10	5,530.90	—	3.59%
WISCONSIN ST CLEAN WTR REV RFDG DTD 08/01/2002 MBIA INSURED	AAA/Aaa	10,000.00	06/01/2013	5.500%	10,853.90	11,248.10	—	3.62%
KENTUCKY ASSET/LIABILITY COMMN AGY FUND REV PROJ NOTES DTD 06/08/2005 MBIA INSURED	AAA/Aaa	10,000.00	09/01/2013	5.000%	10,576.80	11,038.00	—	3.51%
CALIFORNIA STATE ECONOMIC RECOVERY SERIES A GEN OBLIG DTD 05/11/2004	AA-/Aa3	10,000.00	07/01/2014	5.250%	10,819.80	11,268.70	—	3.58%
WASHINGTON ST PUB PWR SUPPLY SYS NUCLEAR PROJ NO 3 REV DTD 09/01/1997 FSA INSURED CALLABLE 07/01/2007 @ 102.00	AAA/Aaa	10,000.00	07/01/2014	5.250%	10,233.60	10,414.40	—	4.64%
NEW YORK NEW YORK CITY TRANSITIONAL FIN AUTH REF REV DTD 03/24/2004 CALLABLE 05/01/2014 @ 100.00	AAA/Aa1	10,000.00	11/01/2018	5.000%	10,501.60	10,874.90	—	4.01%

5100

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Bonds

Municipal bonds, continued	Rating	Maturity value	Maturity date	Interest rate	Current value	Amount invested	Amount withdrawn	Your yield to maturity
UNIVERSITY CALIF REVENUES DTD 07/07/2005 FSA INSURED CALLABLE 05/15/2013 @ 101.00	AAA/Aaa	$10,000.00	05/15/2017	5.000%	$10,463.80	$10,835.80	—	4.09%
NEW JERSEY ECONOMIC DEV AUTH REV SCH FACS CONSTRUCTN DTD 10/04/2005 CALLABLE 03/01/2015 @ 100.00	AA-/A1	10,000.00	03/01/2018	5.000%	10,415.70	10,684.10	—	4.30%
HOUSTON TEX CMNTY COLLEGE SYS REV RFDG SR LIEN STUDENT FEE DTD 04/15/2005 FSA INSURED CALLABLE 04/15/2015 @ 100.00	AAA/Aaa	10,000.00	04/15/2018	5.250%	10,631.20	10,791.80	—	4.41%
NEW YORK ST TWY AUTH HWY & BRDG REV TR FD DTD 09/08/2005 AMBAC INSURED	AAA/Aaa	10,000.00	04/01/2020	5.500%	11,124.60	11,583.20	—	4.05%
PUERTO RICO COMWLTH INFRASTR FING AUTH SPL TAX REV RFDG DTD 06/16/2005 FGIC INSURED	AAA/Aaa	5,000.00	07/01/2020	5.500%	5,559.75	5,894.20	—	3.91%
DENVER COLO CITY & CNTY ARPT REV SYS DTD 08/25/2005 XLCA INSURED CALLABLE 11/15/2015 @ 100.00	AAA/Aaa	10,000.00	11/15/2020	5.000%	10,409.30	10,607.40	—	4.44%

5101

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Bonds

Municipal bonds, continued	Rating	Maturity value	Maturity date	Interest rate	Current value	Amount invested	Amount withdrawn	Your yield to maturity
ILLINOIS STATE GENERAL OBLIGATION DTD 01/18/2006 CALLABLE 01/01/2016 @ 100.00	AA/Aa3	$10,000.00	01/01/2025	5.000%	$10,313.10	$10,493.90	—	4.60%
PUERTO RICO PUB BLDGS AUTH REV GTD RFDG GOVT FACS DTD 06/10/2004 CALLABLE 07/01/2012 @ 100.00	BBB/Baa3	10,000.00	07/01/2028	5.000%	10,239.90	10,750.90	—	4.47%
Total municipal bonds		**$140,000.00**			**$147,995.55**	$153,051.10	—	
Total bonds		**$140,000.00**			**$147,995.55**	$153,051.10	—	
Total estimated asset value					**$152,605.37**			

Summary of Your Investment Activity

Total cash and money market funds on Jul 01	$3,506.44
Additions	
Income	$1,285.24
Total additions	$1,285.24
Subtractions	
Fees	-$181.86
Total subtractions	-$181.86
Total cash and money market funds on Jul 28	**$4,609.82**

5102

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Detail of Your Investment Activity

Additions

	Type	Date			Days	Rate	Amount	Where Invested
Income	Money market dividends	07/20	MONEY MARKET		30	4.41	15.10	Money market
	Type	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Invested**
	Interest	07/03	PUERTO RICO PUB BLDGS AUTH REV DUE 07/01/2028 5.000 %	10000.		0.025	$250.00	Money market
		07/03	ILLINOIS STATE GENERAL OBLIG GO	10000.		0.022638	226.39	Money market
		07/03	CA ST ECONOMIC RECOVERY A GO DUE 07/01/2014 5.250 %	10000.		0.02625	262.50	Money market
		07/03	PUERTO RICO COMWLTH INFRASTR DUE 07/01/2020 5.500 %	5000.		0.0275	137.50	Money market
		07/03	CHICAGO ILLINOIS SERIES A GO GO	5000.		0.02625	131.25	Money market
		07/03	WASHINGTON ST PUB PWR SUPPLY DUE 07/01/2014 5.250 %	10000.		0.02625	262.50	Money market
		Total income					**$1,285.24**	

Subtractions

	Date		Amount	Source of Funds
Fees	07/14	MANAGED ACCOUNT FEE	-$181.86	Money market
	Total fees		**-$181.86**	

5103

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

ACCOUNT INFORMATION

Please see your account agreement forms for complete conditions governing your account. All transactions are subject to applicable rules of the exchange's market and its clearing house where the trade occurred, as well as of the Securities Exchange Commission, the Federal Reserve Board and any applicable self-regulatory organizations. If you have a complaint, please notify us at Edward Jones, Attn: Complaints Department, 1245 JJ Kelley Memorial Dr., St. Louis MO 63131. For all other concerns, please refer to the cover page of this statement for our contact information.

You can only withdraw $2,500 per day from a bank teller using your Edward Jones VISA debit card.

With questions regarding your mortgage or home equity loans, please refer to the separate loan statement issued for a description of fees and charges.

Account Protection - Edward Jones provides account protection for the net equity of your assets (except annuities and insurance) held in custody by Edward Jones for your account. Of that total, the Securities Investor Protection Corporation (SIPC) provides $500,000 of coverage, including $100,000 for claims of cash awaiting reinvestment (SIPC coverage). The remaining net equity is protected by Customer Asset Protection Company (CAPCO). This protection does not guard against market loss.

REGULATORY DISCLOSURES

Securities Investor Protection Corporation (SIPC): Information from SIPC, including the SIPC Brochure can be obtained at www.sipc.org or by calling (202)371-8300.

Financial Statement - The firm's financial statement is available at your branch office, or a copy will be mailed upon written request.

Rights to your Free Credit Balance - We are permitted to use your free credit balances to conduct our business, subject to the limitations of 17C.F.R.240 15c3-3 under the Securities Exchange Act of 1934. You have the right to receive, during normal business operations, free delivery of your credit balances; any securities to which you are entitled and which have been fully paid; upon full payment of any debt to us, any securities purchased on margin.

Errors or Questions About Your Electronic Transfers - Visit our website at www.edwardjones.com/electronictransfer or contact us for details. Refer to the cover page of this statement for our contact information.

Amount of money you can borrow - What you can borrow is not a commitment to loan funds. The amount available is typically 50% of the value of your investments held at Edward Jones. Your loan value could change daily. Your investment representative has access to daily values as well as more information on the "Amount of money you can borrow" should you wish to activate this feature. The minimum portfolio value required to be eligible for this feature is $4,000. Single, joint and business accounts are eligible.

Loan/Margin Accounts - If you have a loan or margin account, this statement covers: your general brokerage account; a special misc. account maintained for you under section 4(F)(6) of Regulation T issued by the Board of Governors of The Federal Reserve System; a separate account for securities you have sold short. The permanent record of this account is available upon request. If you have a credit balance in your loan account, the surplus amount is included with the amount shown for "cash and money market" balance.

Tax Withholding on Retirement Accounts - Federal income taxes of 10% on IRA or 20% on qualified plan distributions will be withheld unless a higher amount is elected or an IRS exception applies. State income taxes are withheld depending upon your state of residence upon distribution. Your withholding election will remain in effect until federal or state rule changes. Insufficient withholding may subject you to estimated income-tax payments to avoid penalties.

Required Minimum Distributions (RMDs) - RMDs from other IRAs must be calculated separately, but the total amount may be removed from one or more of your IRAs. RMDs from qualified plans must be calculated and removed separately from each plan and cannot be part of your IRA RMD. If you turned age 70-1/2 last year, and deferred your first RMD until the current year or you have unpriced securities in this account, the RMD Summary Section is understated. Verification by a tax professional is recommended.

Transaction/Settlement Dates - Securities transactions are noted on the settlement date shown on the transaction confirmation, excepting transactions involving Edward Jones Tax-Free Money Market Fund or Edward Jones Money Market Investment Shares or Retirement Shares which are listed on the trade date. Additional sections report pending trades and open orders.

Contingent Deferred Sales Charge - Shares sold on certain mutual fund classes may be subject to a contingent deferred sales charge.

Portfolio Objective - Inform us promptly of any material change in your portfolio objective or financial situation.

Debt Securities Transactions - For debt securities transactions, call features may exist which could affect yield; complete information will be provided upon request.

Information for Investors - Edward Jones is a member of the New York Stock Exchange and the Chicago Stock Exchange. The firm also transacts business with a variety of dealers in securities, including listed and over-the-counter stocks and bonds, government and agency issues and municipal securities. For equity securities, the firm monitors performance of competing market centers and dealers and routes orders to those that consistently guarantee execution at the national best bid or offer or better. Routed market orders generally are directed to market centers or dealers that offer opportunity for better prices through either automated or manual systems. For agency transactions, the name of the other broker or party to the transaction will be furnished upon written request. For agency and principal transactions, the date and time of execution will be furnished upon written request. Periodically, the firm may receive other remuneration on agency trades from other sources; information will be furnished upon written request.

TERMINOLOGY

Total Estimated Value - The approximate value of the assets held at Edward Jones and outside companies at the statement date shown or date specified in the detail of Your Assets. It is estimated as the prices used to value your securities are provided by an outside service and do not always represent exact market prices. Edward Jones can't guarantee the accuracy of such values; if you need the exact price, contact your investment representative. Values for many fixed-income charges are estimates based on coupon rate and credit rating and may not represent actual transaction price. Values don't include accrued interest or dividends and for some investments don't reflect applicable charges and fees.

Your Assets Held Outside Edward Jones - Balances are provided for your information only to give an overall view of your investments with Edward Jones. SIPC coverage isn't extended to assets held outside the custody of Edward Jones. Refer to the statement received directly from these companies for details.

Tax Information for Income Distributions - Your year-end tax documents (eg. Form 1099) will provide specific classifications of your income distributions. The 2003 tax law allows Qualified (Q) dividends to be taxed at reduced rates: 15%, or 5% for individuals whose tax rates are 15% or less. Nonqualified (N) dividends are taxed at ordinary rates. Some, but not all, of Partially Qualified (P) dividends are taxed at reduced rates.

Cost Basis - Cost basis is the amount paid for a security, including commissions, reinvestments and original issue discount (OID) and adjustments for sales, principal returns, splits and spin offs. Some data may be unsubstantiated and should not be relied upon for tax preparation.

Amount Invested/Withdrawn - Amount invested reflects all purchases and other additions to your holdings, with the exception of dividend reinvestments. Amount withdrawn shows how much of your investment has been sold, redeemed or transferred. These figures should not be used for tax reporting or tax preparation.

Ratings and Recommendations - Edward Jones research opinions, Standard & Poor's and Moody's ratings may be shown for certain securities. Ratings or recommendations should not be considered an indication of future performance.

Account Activity - Entries appearing in Account Activity sections under columns headed "Where Invested" or "Sources of Funds" are additions to or subtractions from your account. For clients who maintain a money market account, entries indicating "Tax-Free Money Market" mean Edward Jones Tax-Free Money Market Fund and entries indicating "Money Market" mean Edward Jones Money Market Investment Shares or Retirement Shares depending on the share class owned. Activity dates appearing under "Sources of Funds" correspond to the sale date of the designated Edward Jones Money Market Fund. Entries appearing under "Where Invested" will be transacted as a purchase of the previously designated Edward Jones Money Market Fund on the second business day after the date shown, with the following exceptions which will be transacted on the date indicated:

Source of Funds	Number of Days After Activity Date Shown Until Purchase of Money Market Fund
Wired Funds, SWPS Electronic Bank Transfer, Direct Deposit	1
Security Sold or Interest/Dividend Received*	0
Bond Maturities, Calls, Tendered Items*	1
Transfers from Margin Account or Money Market Fund in another Edward Jones Account	0

*For assets held within Edward Jones account

Account number:
Statement type:
July 29 - August 25, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

HAROLD D ROGERS TTEE
U/A DTD 2-9-01
HAROLD D ROGERS REV LIV TRUST

Copy To:

Value Summary (Held at Edward Jones)

Value on Aug 25	$154,231.76
Value on Jul 29	$152,605.37
Value one year ago	$153,508.92

Summary of Your Assets

Held at Edward Jones	Value on Aug 25	Value on Jul 29	Change in value
Cash & money market	$4,427.96	$4,609.82	-$181.86
Bonds	149,803.80	147,995.55	1,808.25
Total at Edward Jones	$154,231.76	$152,605.37	$1,626.39

Summary of Your Income

Income distributions from securities	This period Taxable	Tax-free	Total	Year-to-date Taxable	Tax-free	Total
Money market dividends	$17.98	—	$17.98	$89.40	—	$89.40
Interest	—	—	—	—	4,676.81	4,676.81
Total	$17.98	—	$17.98	$89.40	$4,676.81	$4,766.21

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.48%	4.58%	$4,427.96
Total cash and money market funds			$4,427.96

5105

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Bonds

Municipal bonds	Rating	Maturity value	Maturity date	Interest rate	Current value	Amount invested	Amount withdrawn	Your yield to maturity
MEMPHIS TN ELECTRIC SYSTEM REVENUE SERIES A DTD 11/01/2003 MBIA INSURED	AAA/Aaa	$10,000.00	12/01/2012	5.000%	$10,629.50	$11,054.80	—	3.37%
CHICAGO ILLINOIS SERIES A GENERAL OBLIGATION DTD 08/24/2004 FSA INSURED	AAA/Aaa	5,000.00	01/01/2013	5.250%	5,373.75	5,530.90	—	3.59%
WISCONSIN ST CLEAN WTR REV RFDG DTD 08/01/2002 MBIA INSURED	AAA/Aaa	10,000.00	06/01/2013	5.500%	10,957.30	11,248.10	—	3.62%
KENTUCKY ASSET/LIABILITY COMMN AGY FUND REV PROJ NOTES DTD 06/08/2005 MBIA INSURED	AAA/Aaa	10,000.00	09/01/2013	5.000%	10,685.40	11,038.00	—	3.51%
CALIFORNIA STATE ECONOMIC RECOVERY SERIES A GEN OBLIG DTD 05/11/2004	AA-/Aa3	10,000.00	07/01/2014	5.250%	10,940.00	11,268.70	—	3.56%
WASHINGTON ST PUB PWR SUPPLY SYS NUCLEAR PROJ NO 3 REV DTD 09/01/1997 FSA INSURED CALLABLE 07/01/2007 @ 102.00	AAA/Aaa	10,000.00	07/01/2014	5.250%	10,236.60	10,414.40	—	4.64%
NEW YORK NEW YORK CITY TRANSITIONAL FIN AUTH REF REV DTD 03/24/2004 CALLABLE 05/01/2014 @ 100.00	AAA/Aa1	10,000.00	11/01/2016	5.000%	10,632.90	10,874.90	—	4.01%

5106

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Bonds Municipal bonds, continued	Rating	Maturity value	Maturity date	Interest rate	Current value	Amount invested	Amount withdrawn	Your yield to maturity
UNIVERSITY CALIF REVENUES DTD 07/07/2005 FSA INSURED CALLABLE 05/15/2013 @ 101.00	AAA/Aaa	$10,000.00	05/15/2017	5.000%	$10,823.10	$10,835.80	—	4.09%
NEW JERSEY ECONOMIC DEV AUTH REV SCH FACS CONSTRUCTN DTD 10/04/2005 CALLABLE 03/01/2015 @ 100.00	AA-/A1	10,000.00	03/01/2018	5.000%	10,572.30	10,864.10	—	4.30%
HOUSTON TEX CMNTY COLLEGE SYS REV RFDG SR LIEN STUDENT FEE DTD 04/15/2005 FSA INSURED CALLABLE 04/15/2015 @ 100.00	AAA/Aaa	10,000.00	04/15/2018	5.250%	10,782.00	10,791.80	—	4.41%
NEW YORK ST TWY AUTH HWY & BRDG REV TR FD DTD 09/08/2005 AMBAC INSURED	AAA/Aaa	10,000.00	04/01/2020	5.500%	11,331.80	11,583.20	—	4.05%
PUERTO RICO COMWLTH INFRASTR FING AUTH SPL TAX REV RFDG DTD 08/16/2005 FGIC INSURED	AAA/Aaa	5,000.00	07/01/2020	5.500%	5,656.85	5,894.20	—	3.91%
DENVER COLO CITY & CNTY ARPT REV SYS DTD 08/25/2005 XLCA INSURED CALLABLE 11/15/2015 @ 100.00	AAA/Aaa	10,000.00	11/15/2020	5.000%	10,570.20	10,607.40	—	4.44%

5107

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Bonds

Municipal bonds, continued	Rating	Maturity value	Maturity date	Interest rate	Current value	Amount invested	Amount withdrawn	Your yield to maturity
ILLINOIS STATE GENERAL OBLIGATION DTD 01/18/2006 CALLABLE 01/01/2016 @ 100.00	AA/Aa3	$10,000.00	01/01/2025	5.000%	$10,474.50	$10,493.90	—	4.60%
PUERTO RICO PUB BLDGS AUTH REV GTD RFDG GOVT FACS DTD 06/10/2004 CALLABLE 07/01/2012 @ 100.00	BBB/Baa3	10,000.00	07/01/2028	5.000%	10,335.60	10,750.90	—	4.47%
Total municipal bonds		**$140,000.00**			**$149,803.80**	$153,051.10	—	
Total bonds		**$140,000.00**			**$149,803.80**	$153,051.10	—	
Total estimated asset value					**$154,231.76**			

Summary of Your Investment Activity

Total cash and money market funds on Jul 29	$4,609.82
Additions	
Income	$17.98
Total additions	$17.98
Subtractions	
Fees	-$199.84
Total subtractions	-$199.84
Total cash and money market funds on Aug 25	**$4,427.96**

5108

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Detail of Your Investment Activity

Additions

	Type	Date		Days	Rate	Amount	Where Invested
Income	Money market dividends	08/21	MONEY MARKET	32	4.48	17.98	Money market
		Total income				$17.98	

Subtractions

	Date		Amount	Source of Funds
Fees	08/17	MANAGED ACCOUNT FEE	-$199.84	Money market
	Total fees		-$199.84	

Build a Strategy for a Healthy Retirement. Then Run with It.
Dr. Rosemary Laird, medical director at Health First Aging Institute in Cape Canaveral will help you learn how. Join us on October 10, 2006, as we address issues you'll be facing as you age. Contact your investment representative for details about this free video program in your area.

5109

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

ACCOUNT INFORMATION

Please see your account agreement forms for complete conditions governing your account. All transactions are subject to applicable rules of the exchange market and its clearing house where the trade occurred, as well as of the Securities Exchange Commission, the Federal Reserve Board and any applicable self-regulatory organizations. If you have a complaint, please notify us at Edward Jones, Attn: Complaints Department, 1245 JJ Kelley Memorial Dr., St. Louis MO 63131. For all other concerns, please refer to the cover page of this statement for our contact information.

You can only withdraw $2,500 per day from a bank teller using your Edward Jones VISA debit card.

With questions regarding your mortgage or home equity loans, please refer to the separate loan statement issued for a description of fees and charges.

Account Protection - Edward Jones provides account protection for the net equity of your assets (except annuities and insurance) held in custody by Edward Jones for your account. Of that total, the Securities Investor Protection Corporation (SIPC) provides $500,000 of coverage, including $100,000 for claims of cash awaiting reinvestment (SIPC coverage). The remaining net equity is protected by Customer Asset Protection Company (CAPCO). This protection does not guard against market loss.

REGULATORY DISCLOSURES

Securities Investor Protection Corporation (SIPC): Information from SIPC, including the SIPC Brochure can be obtained at www.sipc.org or by calling (202)371-8300.

Financial Statement - The firm's financial statement is available at your branch office, or a copy will be mailed upon written request.

Rights to your Free Credit Balance - We are permitted to use your free credit balances to conduct our business, subject to the limitations of 17C.F.R.240 15c3-3 under the Securities Exchange Act of 1934. You have the right to receive, during normal business operations, free delivery of your credit balances; any securities to which you are entitled and which have been fully paid; upon full payment of any debt to us, any securities purchased on margin.

Errors or Questions About Your Electronic Transfers - Visit our website at www.edwardjones.com/electronictransfer or contact us for details. Refer to the cover page of this statement for our contact information.

Amount of money you can borrow - What you can borrow is not a commitment to loan funds. The amount available is typically 50% of the value of your investments held at Edward Jones. Your loan value could change daily. Your investment representative has access to daily values as well as more information on the "Amount of money you can borrow" should you wish to activate this feature. The minimum portfolio value required to be eligible for this feature is $4,000. Single, joint and business accounts are eligible.

Loan/Margin Accounts - If you have a loan or margin account, this statement covers: your general brokerage account; a special misc. account maintained for you under section 4(F)(6) of Regulation T issued by the Board of Governors of The Federal Reserve System; a separate account for securities you have sold short. The permanent record of this account is available upon request. If you have a credit balance in your loan account, the surplus amount is included with the amount shown for "cash and money market" balance.

Tax Withholding on Retirement Accounts - Federal income taxes of 10% on IRA or 20% on qualified plan distributions will be withheld unless a higher amount is elected or an IRS exception applies. State income taxes are withheld depending upon your state of residence upon distribution. Your withholding election will remain in effect until federal or state rule changes. Insufficient withholding may subject you to estimated income-tax payments to avoid penalties.

Required Minimum Distributions (RMDs) - RMDs from other IRAs must be calculated separately, but the total amount may be removed from one or more of your IRAs. RMDs from qualified plans must be calculated and removed separately from each plan and cannot be part of your IRA RMD. If you turned age 70-1/2 last year, and deferred your first RMD until the current year or you have unpriced securities in this account, the RMD Summary Section is understated. Verification by a tax professional is recommended.

Transaction/Settlement Dates - Securities transactions are noted on the settlement date shown on the transaction confirmation, excepting transactions involving Edward Jones Tax-free Money Market Fund or Edward Jones Money Market Investment Shares or Retirement Shares which are listed on the trade date. Additional sections report pending trades and open orders.

Contingent Deferred Sales Charge - Shares sold on certain mutual fund classes may be subject to a contingent deferred sales charge.

Portfolio Objective - Inform us promptly of any material change in your portfolio objective or financial situation.

Debt Securities Transactions - For debt securities transactions, call features may exist which could affect yield; complete information will be provided upon request.

Information for Investors - Edward Jones is a member of the New York Stock Exchange and the Chicago Stock Exchange. The firm also transacts business with a variety of dealers in securities, including listed and over-the-counter stocks and bonds, government and agency issues and municipal securities. For equity securities, the firm monitors performance of competing market centers and dealers and routes orders to those that consistently guarantee execution at the national best bid or offer or better. Routed market orders generally are directed to market centers or dealers that offer opportunity for better prices through either automated or manual systems. For agency transactions, the name of the other broker or party to the transaction will be furnished upon written request. For agency and principal transactions, the date and time of execution will be furnished upon written request. Periodically, the firm may receive other remuneration on agency trades from other sources; information will be furnished upon written request.

TERMINOLOGY

Total Estimated Value - The approximate value of the assets held at Edward Jones and outside companies at the statement date shown or date specified in the detail of Your Assets. It is estimated as the prices used to value your securities are provided by an outside service and do not always represent exact market prices. Edward Jones can't guarantee the accuracy of such values; if you need the exact price, contact your investment representative. Values for many fixed-income charges are estimates based on coupon rate and credit rating and may not represent actual transaction price.

Values don't include accrued interest or dividends and for some investments don't reflect applicable charges and fees.

Your Assets Held Outside Edward Jones - Balances are provided for your information only to give an overall view of your investments with Edward Jones. SIPC coverage isn't extended to assets held outside the custody of Edward Jones. Refer to the statement received directly from these companies for details.

Tax Information for Income Distributions - Your year-end tax documents (eg. Form 1099) will provide specific classifications of your income distributions. The 2003 tax law allows Qualified (Q) dividends to be taxed at reduced rates: 15%, or 5% for individuals whose tax rates are 15% or less. Nonqualified (N) dividends are taxed at ordinary rates. Some, but not all, of Partially Qualified (P) dividends are taxed at reduced rates

Cost Basis - Cost basis is the amount paid for a security, including commissions, reinvestments and original issue discount (OID) and adjustments for sales, principal returns, splits and spin offs. Some data may be unsubstantiated and should not be relied upon for tax preparation.

Amount Invested/Withdrawn - Amount invested reflects all purchases and other additions to your holdings, with the exception of dividend reinvestments. Amount withdrawn shows how much of your investment has been sold, redeemed or transferred. These figures should not be used for tax reporting or tax preparation.

Ratings and Recommendations - Edward Jones research opinions, Standard & Poor's and Moody's ratings may be shown for certain securities. Ratings or recommendations should not be considered an indication of future performance.

Account Activity - Entries appearing in Account Activity sections under columns headed "Where Invested" or "Sources of Funds" are additions to or subtractions from your account. For clients who maintain a money market account, entries indicating "Tax-Free Money Market" mean Edward Jones Tax-Free Money Market Fund and entries indicating "Money Market" mean Edward Jones Money Market Investment Shares or Retirement Shares depending on the share class owned. Activity dates appearing under "Sources of Funds" correspond to the sale date of the designated Edward Jones Money Market Fund. Entries appearing under "Where Invested" will be transacted as a purchase of the previously designated Edward Jones Money Market Fund on the second business day after the date shown, with the following exceptions which will be transacted on the date indicated:

Source of Funds	Number of Days After Activity Date Shown Until Purchase of Money Market Fund
Wired Funds, SWPS Electronic Bank Transfer, Direct Deposit	1
Security Sold or Interest/Dividend Received*	0
Bond Maturities, Calls, Tendered Items *	1
Transfers from Margin Account or Money Market Fund in another Edward Jones Account	0

*For assets held within Edward Jones account

Account number:
Statement type
August 26 - September 29, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 2-9-01
HAROLD D ROGERS REV LIV TRUST

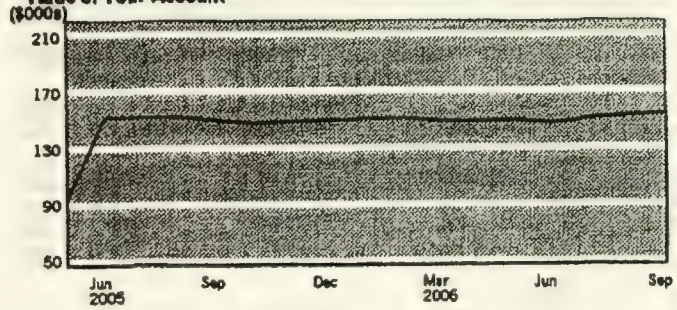
Value Summary (Held at Edward Jones)

Value on Sep 29	$155,505.30
Value on Aug 26	$154,231.76
Value one year ago	$151,563.90

Summary of Your Assets

Held at Edward Jones	Value on Sep 29	Value on Aug 26	Change in value
Cash & money market	$4,743.45	$4,427.96	$315.49
Bonds	150,761.85	149,803.80	958.05
Total at Edward Jones	$155,505.30	$154,231.76	$1,273.54

Value of Your Account



This chart shows how the value of your account has changed recently (includes only assets held at Edward Jones). While it's important to be aware of short-term results, don't lose sight of the long term. Edward Jones believes a long-term investment strategy offers the greatest potential for success.

(Living trust)

5111

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Income

Income distributions from securities	This period			Year-to-date		
	Taxable	Tax-free	Total	Taxable	Tax-free	Total
Money market dividends	$17.29	—	$17.29	$106.69	—	$106.69
Interest	—	500.00	500.00	—	5,176.81	5,176.81
Total	$17.29	$500.00	$517.29	$106.69	$5,176.81	$5,283.50

Your Estimated Interest and Dividends

The following is an estimated summary of your interest and dividend income for the next 12 months. It is based on a variety of factors. These factors, including distribution rates and amount of principal invested, are subject to change. Because they are estimates, the specific amounts listed here are not guaranteed by Edward Jones or by the underlying issuer(s) of the securities. Income from items with * is being reinvested.

		2006			2007									
Cash & money market funds	Quantity	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	Total
Money Market 4.50%	4,743	17	17	17	17	17	17	17	17	17	17	17	17	204
Municipal Bonds														
CA ST ECONOMIC RECOVERY A GO 5.2500%	10,000				262						262			524
CHICAGO ILLINOIS SERIES A GO 5.2500%	5,000				131						131			262
DENVER COLO CITY & CNTY ARPT 5.0000%	10,000		250						250					500
HOUSTON TEX CMNTY COLLEGE SY 5.2500%	10,000	262						262						524
ILLINOIS STATE GENERAL OBLIG 5.0000%	10,000				250						250			500
KENTUCKY ASSET/LIABILITY COM 5.0000%	10,000						250						250	500
MEMPHIS TN ELECTRIC SYS REV 5.0000%	10,000			250						250				500
NEW JERSEY ECONOMIC DEV AUTH 5.0000%	10,000						250						250	500
NY NEW YORK CITY TRANSITIONA 5.0000%	10,000		250						250					500
NEW YORK ST TWP AUTH HWY BRD 5.5000%	10,000	275						275						550

5112

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Municipal Bonds	Quantity	2006 OCT	NOV	DEC	2007 JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	Total
PUERTO RICO COMWLTH INFRASTR 5.5000%	5,000				137						137			274
PUERTO RICO PUB BLDGS AUTH R 5.0000%	10,000				250						250			500
UNIVERSITY CALIF REVENUES 5.0000%	10,000		250						250					500
WASHINGTON ST PUB PWR SUPPLY 5.2500%	10,000				262						262			524
WISCONSIN ST CLEAN WTR REV 5.5000%	10,000			275						275				550
Total		**554**	**767**	**542**	**1,309**	**17**	**517**	**554**	**767**	**542**	**1,309**	**17**	**517**	**7,412**

Maturity Schedule			
Maturing in	0-5 years	6-15 years	16 or more years
Amount maturing	—	$120,000	$20,000
Current market value	—	$129,745	$21,017
Percent of total maturing value	—	85.71%	14.29%

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.50%	4.60%	$4,743.45
Total cash and money market funds			$4,743.45

5113

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Bonds

Municipal bonds	Rating	Maturity value	Maturity date	Interest rate	Current value	Amount invested	Amount withdrawn	Your yield to maturity
MEMPHIS TN ELECTRIC SYSTEM REVENUE SERIES A DTD 11/01/2003 MBIA INSURED	AAA/Aaa	$10,000.00	12/01/2012	5.000%	$10,685.70	$11,054.80	—	3.37%
CHICAGO ILLINOIS SERIES A GENERAL OBLIGATION DTD 08/24/2004 FSA INSURED	AAA/Aaa	5,000.00	01/01/2013	5.250%	5,391.45	5,530.90	—	3.59%
WISCONSIN ST CLEAN WTR REV RFDG DTD 08/01/2002 MBIA INSURED	AAA/Aaa	10,000.00	06/01/2013	5.500%	11,000.00	11,248.10	—	3.62%
KENTUCKY ASSET/LIABILITY COMMN AGY FUND REV PROJ NOTES DTD 06/08/2005 MBIA INSURED	AAA/Aaa	10,000.00	09/01/2013	5.000%	10,714.10	11,038.00	—	3.51%
CALIFORNIA STATE ECONOMIC RECOVERY SERIES A GEN OBLIG DTD 05/11/2004	AA+/Aa3	10,000.00	07/01/2014	5.250%	10,986.20	11,268.70	—	3.56%
WASHINGTON ST PUB PWR SUPPLY SYS NUCLEAR PROJ NO 3 REV DTD 09/01/1997 FSA INSURED CALLABLE 07/01/2007 @ 102.00	AAA/Aaa	10,000.00	07/01/2014	5.250%	10,225.80	10,414.40	—	4.64%
NEW YORK NEW YORK CITY TRANSITIONAL FIN AUTH REF REV DTD 03/24/2004 CALLABLE 05/01/2014 @ 100.00	AAA/Aa1	10,000.00	11/01/2016	5.000%	10,704.60	10,874.90	—	4.01%

5114

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Bonds Municipal bonds, continued	Rating	Maturity value	Maturity date	Interest rate	Current value	Amount invested	Amount withdrawn	Your yield to maturity
UNIVERSITY CALIF REVENUES DTD 07/07/2005 FSA INSURED CALLABLE 05/15/2013 @ 101.00	AAA/Aaa	$10,000.00	05/15/2017	5.000%	$10,708.40	$10,835.80	—	4.09%
NEW JERSEY ECONOMIC DEV AUTH REV SCH FACS CONSTRUCTN DTD 10/04/2005 CALLABLE 03/01/2015 @ 100.00	AA-/A1	10,000.00	03/01/2018	5.000%	10,624.90	10,664.10	—	4.30%
HOUSTON TEX CMNTY COLLEGE SYS REV RFDG SR LIEN STUDENT FEE DTD 04/15/2005 FSA INSURED CALLABLE 04/15/2015 @ 100.00	AAA/Aaa	10,000.00	04/15/2018	5.250%	10,850.00	10,791.80	—	4.41%
NEW YORK ST TWY AUTH HWY & BRDG REV TR FD DTD 09/08/2005 AMBAC INSURED	AAA/Aaa	10,000.00	04/01/2020	5.500%	11,471.70	11,583.20	—	4.05%
PUERTO RICO COMWLTH INFRASTR FING AUTH SPL TAX REV RFDG DTD 06/16/2005 FGIC INSURED	AAA/Aaa	5,000.00	07/01/2020	5.500%	5,735.30	5,894.20	—	3.91%
DENVER COLO CITY & CNTY ARPT REV SYS DTD 08/25/2005 XLCA INSURED CALLABLE 11/15/2015 @ 100.00	AAA/Aaa	10,000.00	11/15/2020	5.000%	10,667.10	10,607.40	—	4.44%

5115

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Bonds Municipal bonds, continued	Rating	Maturity value	Maturity date	Interest rate	Current value	Amount invested	Amount withdrawn	Your yield to maturity
ILLINOIS STATE GENERAL OBLIGATION DTD 01/18/2006 CALLABLE 01/01/2016 @ 100.00	AA/Aa3	$10,000.00	01/01/2025	5.000%	$10,572.10	$10,493.90	—	4.60%
PUERTO RICO PUB BLDGS AUTH REV GTD RFDG GOVT FACS DTD 06/10/2004 CALLABLE 07/01/2012 @ 100.00	BBB/Baa3	10,000.00	07/01/2028	5.000%	10,444.50	10,750.90	—	4.47%
Total municipal bonds		$140,000.00			$150,761.85	$153,051.10	—	
Total bonds		$140,000.00			$150,761.85	$153,051.10	—	
Total estimated asset value					$155,505.30			

Summary of Your Investment Activity

Total cash and money market funds on Aug 26	$4,427.96
Additions	
Income	$517.29
Total additions	$517.29
Subtractions	
Fees	-$201.80
Total subtractions	-$201.80
Total cash and money market funds on Sep 29	$4,743.45

5116

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Detail of Your Investment Activity

Additions

	Type	Date		Days	Rate	Amount	Where Invested
Income	Money market dividends	08/20	MONEY MARKET	30	4.49	17.29	Money market

	Type	Date		Quantity	Amount per share	Rate	Amount	Where Invested
	Interest	09/01	NEW JERSEY ECONOMIC DEV AUTH DUE 03/01/2018 5.000 %	10000.		0.025	$250.00	Money market
		09/01	KENTUCKY ASSET/LIABILITY COMMN DUE 09/01/2013 5.000 %	10000.		0.025	250.00	Money market
		Total income					$517.29	

Subtractions

	Date		Amount	Source of Funds
Fees	09/18	MANAGED ACCOUNT FEE	-$201.80	Money market
	Total fees		-$201.80	

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$0.00	-$293.50
Long term (held over 1 year)	0.00	0.00
Total	$0.00	-$293.50

As you requested, copies of your statement have been sent to:

5117

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

This page intentionally left blank

Account number:
Statement type
September 30 - October 27, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

HAROLD D ROGERS TTEE
U/A DTD 2-9-01
HAROLD D ROGERS REV LIV TRUST

Value Summary (Held at Edward Jones)

Value on Oct 27	$155,535.12
Value on Sep 30	$155,505.30
Value one year ago	$149,615.98

Summary of Your Assets

Held at Edward Jones	Value on Oct 27	Value on Sep 30	Change in value
Cash & money market	$5,103.27	$4,743.45	$359.82
Bonds	150,431.85	150,761.85	-330.00
Total at Edward Jones	$155,535.12	$155,505.30	$29.82

Summary of Your Income

Income distributions from securities	This period Taxable	Tax-free	Total	Year-to-date Taxable	Tax-free	Total
Money market dividends	$19.45	—	$19.45	$126.14	—	$126.14
Interest	—	537.50	537.50	—	5,714.31	5,714.31
Total	$19.45	$537.50	$556.95	$126.14	$5,714.31	$5,840.45

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.50%	4.60%	$5,103.27
Total cash and money market funds			$5,103.27

5119

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Bonds

Municipal bonds	Rating	Maturity value	Maturity date	Interest rate	Current value	Amount invested	Amount withdrawn	Your yield to maturity
MEMPHIS TN ELECTRIC SYSTEM REVENUE SERIES A DTD 11/01/2003 MBIA INSURED	AAA/Aaa	$10,000.00	12/01/2012	5.000%	$10,629.30	$11,054.80	—	3.37%
CHICAGO ILLINOIS SERIES A GENERAL OBLIGATION DTD 08/24/2004 FSA INSURED	AAA/Aaa	5,000.00	01/01/2013	5.250%	5,372.40	5,530.90	—	3.59%
WISCONSIN ST CLEAN WTR REV RFDG DTD 08/01/2002 MBIA INSURED	AAA/Aaa	10,000.00	06/01/2013	5.500%	10,958.30	11,248.10	—	3.62%
KENTUCKY ASSET/LIABILITY COMMN AGY FUND REV PROJ NOTES DTD 06/08/2005 MBIA INSURED	AAA/Aaa	10,000.00	09/01/2013	5.000%	10,577.30	11,038.00	—	3.51%
CALIFORNIA STATE ECONOMIC RECOVERY SERIES A GEN OBLIG DTD 05/11/2004	AA+/Aa3	10,000.00	07/01/2014	5.250%	10,977.20	11,268.70	—	3.56%
WASHINGTON ST PUB PWR SUPPLY SYS NUCLEAR PROJ NO 3 REV DTD 09/01/1997 FSA INSURED CALLABLE 07/01/2007 @ 102.00	AAA/Aaa	10,000.00	07/01/2014	5.250%	10,218.30	10,414.40	—	4.64%
NEW YORK NEW YORK CITY TRANSITIONAL FIN AUTH REF REV DTD 03/24/2004 CALLABLE 05/01/2014 @ 100.00	AAA/Aa1	10,000.00	11/01/2016	5.000%	10,691.20	10,874.90	—	4.01%

5120

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Bonds Municipal bonds, continued	Rating	Maturity value	Maturity date	Interest rate	Current value	Amount invested	Amount withdrawn	Your yield to maturity
UNIVERSITY CALIF REVENUES DTD 07/07/2005 FSA INSURED CALLABLE 05/15/2013 @ 101.00	AAA/Aaa	$10,000.00	05/15/2017	5.000%	$10,665.10	$10,835.80	—	4.09%
NEW JERSEY ECONOMIC DEV AUTH REV SCH FACS CONSTRUCTN DTD 10/01/2005 CALLABLE 03/01/2015 @ 100.00	AA-/A1	10,000.00	03/01/2018	5.000%	10,611.90	10,664.10	—	4.30%
HOUSTON TEX CMNTY COLLEGE SYS REV RFDG SR LIEN STUDENT FEE DTD 04/15/2005 FSA INSURED CALLABLE 04/15/2015 @ 100.00	AAA/Aaa	10,000.00	04/15/2018	5.250%	10,835.40	10,791.80	—	4.41%
NEW YORK ST TWY AUTH HWY & BRDG REV TR FD DTD 09/08/2005 AMBAC INSURED	AAA/Aaa	10,000.00	04/01/2020	5.500%	11,453.00	11,583.20	—	4.05%
PUERTO RICO COMWLTH INFRASTR FING AUTH SPL TAX REV RFDG DTD 06/16/2005 FGIC INSURED	AAA/Aaa	5,000.00	07/01/2020	5.500%	5,731.85	5,894.20	—	3.91%
DENVER COLO CITY & CNTY ARPT REV SYS DTD 08/25/2005 XLCA INSURED CALLABLE 11/15/2015 @ 100.00	AAA/Aaa	10,000.00	11/15/2020	5.000%	10,848.40	10,607.40	—	4.44%

5121

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Bonds

Municipal bonds, continued	Rating	Maturity value	Maturity date	Interest rate	Current value	Amount invested	Amount withdrawn	Your yield to maturity
ILLINOIS STATE GENERAL OBLIGATION DTD 01/18/2006 CALLABLE 01/01/2016 @ 100.00	AA/Aa3	$10,000.00	01/01/2025	5.000%	$10,536.30	$10,493.80	—	4.60%
PUERTO RICO PUB BLDGS AUTH REV GTD RFDG GOVT FACS DTD 06/10/2004 CALLABLE 07/01/2012 @ 100.00	BBB/Baa3	10,000.00	07/01/2028	5.000%	10,427.90	10,750.90	—	4.47%
Total municipal bonds		**$140,000.00**			**$150,431.85**	**$153,051.10**	—	
Total bonds		**$140,000.00**			**$150,431.85**	**$153,051.10**	—	
Total estimated asset value					**$155,535.12**			

Summary of Your Investment Activity

Total cash and money market funds on Sep 30	$4,743.45
Additions	
Income	$556.95
Total additions	$556.95
Subtractions	
Fees	-$197.13
Total subtractions	-$197.13
Total cash and money market funds on Oct 27	**$5,103.27**

5122

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Detail of Your Investment Activity

Additions

	Type	Date			Days	Rate	Amount	Where Invested
Income	Money market dividends	10/20	MONEY MARKET		32	4.51	19.45	Money market
	Type	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Invested**
	Interest	10/02	NEW YORK ST TWP AUTH HWY BRDG DUE 04/01/2020 5.500 %	10000.		0.0275	$275.00	Money market
		10/16	HOUSTON TEX CMNTY COLLEGE SYS DUE 04/15/2018 5.250 %	10000.		0.02625	262.50	Money market
		Total income					**$556.95**	

Subtractions

	Date		Amount	Source of Funds
Fees	10/13	MANAGED ACCOUNT FEE	-$197.13	Money market
	Total fees		**-$197.13**	

As you requested, copies of your statement have been sent to:

5123

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

This page intentionally left blank

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Bonds

Municipal bonds	Rating	Maturity value	Maturity date	Interest rate	Current value	Amount invested	Amount withdrawn	Your yield to maturity
MEMPHIS TN ELECTRIC SYSTEM REVENUE SERIES A DTD 11/01/2003 MBIA INSURED	AAA/Aaa	$10,000.00	12/01/2012	5.000%	$10,648.60	$11,054.80	—	3.37%
CHICAGO ILLINOIS SERIES A GENERAL OBLIGATION DTD 08/24/2004 FSA INSURED	AAA/Aaa	5,000.00	01/01/2013	5.250%	5,387.90	5,530.90	—	3.59%
WISCONSIN ST CLEAN WTR REV RFDG DTD 08/01/2002 MBIA INSURED	AAA/Aaa	10,000.00	06/01/2013	5.500%	10,989.70	11,248.10	—	3.62%
KENTUCKY ASSET/LIABILITY COMMN AGY FUND REV PROJ NOTES DTD 06/08/2005 MBIA INSURED	AAA/Aaa	10,000.00	09/01/2013	5.000%	10,713.40	11,038.00	—	3.51%
CALIFORNIA STATE ECONOMIC RECOVERY SERIES A GEN OBLIG DTD 05/11/2004	AA+/Aa3	10,000.00	07/01/2014	5.250%	11,017.50	11,268.70	—	3.56%
WASHINGTON ST PUB PWR SUPPLY SYS NUCLEAR PROJ NO 3 REV DTD 09/01/1997 FSA INSURED CALLABLE 07/01/2007 @ 102.00	AAA/Aaa	10,000.00	07/01/2014	5.250%	10,208.10	10,414.40	—	4.64%
NEW YORK NEW YORK CITY TRANSITIONAL FIN AUTH REF REV DTD 03/24/2004 CALLABLE 05/01/2014 @ 100.00	AAA/Aa1	10,000.00	11/01/2016	5.000%	10,738.20	10,874.90	—	4.01%

5125

Account number:
Statement type:
October 28 - November 24, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

HAROLD D ROGERS TTEE
U/A DTD 2-9-01
HAROLD D ROGERS REV LIV TRUST

Value Summary (Held at Edward Jones)

Value on Nov 24	$156,848.86
Value on Oct 28	$155,535.12
Value one year ago	$150,434.12

Summary of Your Assets

Held at Edward Jones	Value on Nov 24	Value on Oct 28	Change in value
Cash & money market	$5,493.61	$5,103.27	$390.34
Bonds	151,355.25	150,431.85	923.40
Total at Edward Jones	**$156,848.86**	$155,535.12	$1,313.74

Summary of Your Income

Income distributions from securities	This period Taxable	Tax-free	Total	Year-to-date Taxable	Tax-free	Total
Money market dividends	$18.65	—	$18.65	$144.79	—	$144.79
Interest	—	919.44	919.44	—	6,633.75	6,633.75
Total	$18.65	$919.44	$938.09	$144.79	$6,633.75	$6,778.54

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.51%	4.61%	$5,493.61
Total cash and money market funds			$5,493.61

5126

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Bonds

Municipal bonds, continued	Rating	Maturity value	Maturity date	Interest rate	Current value	Amount invested	Amount withdrawn	Your yield to maturity
UNIVERSITY CALIF REVENUES DTD 07/07/2005 FSA INSURED CALLABLE 05/15/2013 @ 101.00	AAA/Aaa	$10,000.00	05/15/2017	5.000%	$10,748.40	$10,835.80	—	4.09%
DISTRICT COLUMBIA BALLPARK REV SERIES B-1 DTD 05/15/2006 FGIC INSURED CALLABLE 02/01/2016 @ 100.00	AAA/Aaa	10,000.00	02/01/2018	5.000%	10,790.20	10,822.10	—	4.08%
NEW JERSEY ECONOMIC DEV AUTH REV SCH FACS CONSTRUCTN DTD 10/04/2005 CALLABLE 03/01/2015 @ 100.00	AA-/A1	10,000.00	03/01/2018	5.000%	10,672.20	10,664.10	—	4.30%
HOUSTON TEX CMNTY COLLEGE SYS REV RFDG SR LIEN STUDENT FEE DTD 04/15/2005 FSA INSURED CALLABLE 04/15/2015 @ 100.00	AAA/Aaa	10,000.00	04/15/2018	5.250%	10,918.20	10,791.80	—	4.41%
NEW YORK ST TWY AUTH HWY & BRDG REV TR FD DTD 09/08/2005 AMBAC INSURED	AAA/Aaa	10,000.00	04/01/2020	5.500%	11,559.50	11,583.20	—	4.05%
PUERTO RICO COMWLTH INFRASTR FING AUTH SPL TAX REV RFDG DTD 08/16/2005 FGIC INSURED	AAA/Aaa	5,000.00	07/01/2020	5.500%	5,780.45	5,894.20	—	3.91%

5127

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Bonds

Municipal bonds, continued	Rating	Maturity value	Maturity date	Interest rate	Current value	Amount invested	Amount withdrawn	Your yield to maturity
DENVER COLO CITY & CNTY ARPT REV SYS DTD 08/25/2005 XLCA INSURED CALLABLE 11/15/2015 @ 100.00	AAA/Aaa	$10,000.00	11/15/2020	5.000%	$10,719.70	$10,607.40	—	4.44%
PUERTO RICO PUB BLDGS AUTH REV GTD REDG GOVT FACS DTD 06/10/2004 CALLABLE 07/01/2012 @ 100.00	BBB/Baa3	10,000.00	07/01/2028	5.000%	10,463.20	10,750.90	—	4.47%
Total municipal bonds		**$140,000.00**			**$151,355.25**	**$153,379.30**	—	
Total bonds		**$140,000.00**			**$151,355.25**	**$153,379.30**	—	
Total estimated asset value					**$156,648.88**			

5128

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Summary of Your Investment Activity

Total cash and money market funds on Oct 28	$5,103.27
Additions	
Income	$768.65
Proceeds from securities sold	$10,772.04
Total additions	$11,540.69
Subtractions	
Withdrawals to purchase securities	-$10,949.88
Fees	-$200.47
Total subtractions	-$11,150.35
Total cash and money market funds on Nov 24	$5,493.61

Detail of Your Investment Activity

Additions

	Type	Date			Days	Rate	Amount	Where Invested
Income	Money market dividends	11/20	MONEY MARKET		29	4.51	18.65	Money market

	Type	Date		Quantity	Amount per share	Rate	Amount	Where Invested
	Interest	11/01	NEW YORK NY CITY TRANSITIONAL DUE 11/01/2016 5.000 %	10000.		0.025	$250.00	Money market
		11/15	UNIVERSITY CALIF REVENUES DUE 05/15/2017 5.000 %	10000.		0.025	250.00	Money market
		11/15	DENVER COLO CITY & CNTY ARPT DUE 11/15/2020 5.000 %	10000.		0.025	250.00	Money market
		Total income					$768.65	

5129

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Additions, continued

	Date		Quantity	Amount per share	Trade date	Amount	Where invested
Proceeds from securities sold	11/03	ILLINOIS STATE GENERAL OBLIG ACCRUED INTEREST = 169.44 DUE 01/01/2025 05.000% JJ 01	10000.	106.026		10,772.04	Money market
	Total proceeds from securities sold					**$10,772.04**	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of funds
Withdrawals to purchase securities	11/03	DISTRICT COLUMBIA BALLPARK REV ACCRUED INTEREST = 127.78 DUE 02/01/2018 05.000% FA 01	10000.	108.221		-$10,949.88	Money market
	Total withdrawals to purchase securities					**-$10,949.88**	
Fees	11/15	MANAGED ACCOUNT FEE				-$200.47	Money market
	Total fees					**-$200.47**	

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$108.70	-$184.80
Long term (held over 1 year)	0.00	0.00
Total	**$108.70**	**-$184.80**

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
ILLINOIS STATE GENERAL OBLIG	01/30/2006	10/31	10000.000	$10,493.90	$10,602.60	$108.70	Short term

5130

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

As you requested, copies of your statement have been sent to:

5131

201 Progress Parkway
Maryland Heights, MO 63043 3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

This page intentionally left blank

Account number:
Statement type
November 25 - December 31, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

HAROLD D ROGERS TTEE
U/A DTD 2-9-01
HAROLD D ROGERS REV LIV TRUST

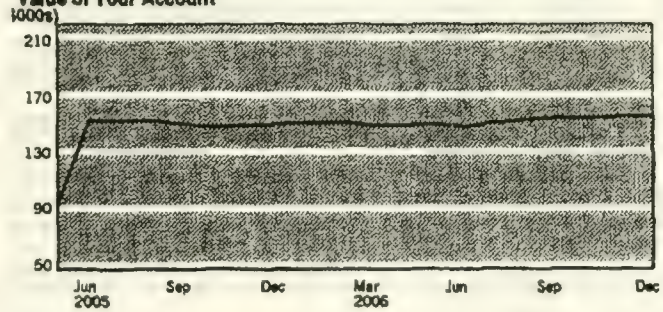
Value Summary (Held at Edward Jones)

Value on Dec 31	$156,217.95
Value on Nov 25	$156,848.86
Value one year ago	$151,609.04

Summary of Your Assets

Held at Edward Jones	Value on Dec 31	Value on Nov 25	Change in value
Cash & money market	$5,852.75	$5,493.61	$359.14
Bonds	150,365.20	151,355.25	-990.05
Total at Edward Jones	$156,217.95	$156,848.86	-$630.91

Value of Your Account



his chart shows how the value of your account has changed recently
ncludes only assets held at Edward Jones). While it's important to be aware
f short-term results, don't lose sight of the long term. Edward Jones believes
long-term investment strategy offers the greatest potential for success.

5133

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Summary of Your Income

Income distributions from securities	This period Taxable	Tax-free	Total	Year-to-date Taxable	Tax-free	Total
Money market dividends	$29.37	—	$29.37	$174.16	—	$174.16
Interest	—	525.00	525.00	—	7,158.75	7,158.75
Total	$29.37	$525.00	$554.37	$174.16	$7,158.75	$7,332.91

Your Estimated Interest and Dividends

The following is an estimated summary of your interest and dividend income for the next 12 months. It is based on a variety of factors. These factors, including distribution rates and amount of principal invested, are subject to change. Because they are estimates, the specific amounts listed here are not guaranteed by Edward Jones or by the underlying issuer(s) of the securities. Income from items with * is being reinvested.

Cash & money market funds	Quantity	2007 JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	Total
Money Market 4.54%	5,852	22	22	22	22	22	22	22	22	22	22	22	22	264
Municipal Bonds														
CA ST ECONOMIC RECOVERY A GO 5.2500%	10,000	262						262						524
CHICAGO ILLINOIS SERIES A GO 5.2500%	5,000	131						131						262
DENVER COLO CITY & CNTY ARPT 5.0000%	10,000					250						250		500
DISTRICT COLUMBIA BALLPARK R 5.0000%	10,000		250						250					500
HOUSTON TEX CMNTY COLLEGE SY 5.2500%	10,000				262						262			524
KENTUCKY ASSET/LIABILITY COM 5.0000%	10,000			250						250				500
MEMPHIS TN ELECTRIC SYS REV 5.0000%	10,000						250						250	500
NJ ECONOMIC DEV AUTH REV 5.0000%	10,000			250						250				500
NEW YORK NY CITY TRANSITIONA 5.0000%	10,000					250						250		500
NY STATE TWY AUTH HWY BRDG T 5.5000%	10,000				275						275			550

5134

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Municipal Bonds	Quantity	2007 JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	Total
PUERTO RICO COMWLTH TAX RFDG 5.5000%	5,000	137						137						274
PUERTO RICO PUB BLDGS AUTH G 5.0000%	10,000	250						250						500
UNIV CA REVS 5.0000%	10,000					250						250		500
WA STATE PUB PWR SUPPLY RFDG 5.2500%	10,000	262						262						524
WI STATE CLEAN WTR REV RFDG 5.5000%	10,000						275						275	550
Total		1,064	272	522	559	772	847	1,064	979	582	559	772	547	7,472

Maturity Schedule

Maturing in	0-5 years	6-15 years	16 or more years
Amount maturing	—	$130,000	$10,000
Current market value	—	$139,971	$10,395
Percent of total maturing value	—	92.86%	7.14%

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.54%	4.65%	$5,852.75
Total cash and money market funds			$5,852.75

5135

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Bonds

Municipal bonds	Rating	Maturity value	Maturity date	Interest rate	Current value	Amount invested	Amount withdrawn	Your yield to maturity
MEMPHIS TN ELECTRIC SYSTEM REVENUE SERIES A DTD 11/01/2003 MBIA INSURED	AAA/Aaa	$10,000.00	12/01/2012	5.000%	$10,604.90	$11,054.80	—	3.37%
CHICAGO ILLINOIS SERIES A GENERAL OBLIGATION DTD 08/24/2004 FSA INSURED	AAA/Aaa	5,000.00	01/01/2013	5.250%	5,359.65	5,530.90	—	3.59%
WISCONSIN ST CLEAN WTR REV RFDG DTD 08/01/2002 MBIA INSURED	AAA/Aaa	10,000.00	06/01/2013	5.500%	10,927.30	11,248.10	—	3.62%
KENTUCKY ASSET/LIABILITY COMMN AGY FUND REV PROJ NOTES DTD 06/08/2005 MBIA INSURED	AAA/Aaa	10,000.00	09/01/2013	5.000%	10,654.50	11,038.00	—	3.51%
CALIFORNIA STATE ECONOMIC RECOVERY SERIES A GEN OBLIG DTD 05/11/2004	AA+/Aa3	10,000.00	07/01/2014	5.250%	10,950.80	11,268.70	—	3.56%
WASHINGTON ST PUB PWR SUPPLY SYS NUCLEAR PROJ NO 3 REV DTD 09/01/1997 FSA INSURED CALLABLE 07/01/2007 @ 102.00	AAA/Aaa	10,000.00	07/01/2014	5.250%	10,194.20	10,414.40	—	4.64%
NEW YORK NEW YORK CITY TRANSITIONAL FIN AUTH REF REV DTD 03/24/2004 CALLABLE 05/01/2014 @ 100.00	AAA/Aa1	10,000.00	11/01/2016	5.000%	10,668.90	10,874.90	—	4.01%

5136

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Bonds Municipal bonds, continued	Rating	Maturity value	Maturity date	Interest rate	Current value	Amount invested	Amount withdrawn	Your yield to maturity
UNIVERSITY CALIF REVENUES DTD 07/07/2005 FSA INSURED CALLABLE 05/15/2013 @ 101.00	AAA/Aaa	$10,000.00	05/15/2017	5.000%	$10,678.90	$10,835.80	—	4.09%
DISTRICT COLUMBIA BALLPARK REV SERIES B-1 DTD 05/15/2006 FGIC INSURED CALLABLE 02/01/2016 @ 100.00	AAA/Aaa	10,000.00	02/01/2018	5.000%	10,703.30	10,822.10	—	4.08%
NEW JERSEY ECONOMIC DEV AUTH REV SCH FACS CONSTRUCTN DTD 10/04/2005 CALLABLE 03/01/2015 @ 100.00	AA-/A1	10,000.00	03/01/2018	5.000%	10,599.90	10,864.10	—	4.30%
HOUSTON TEX CMNTY COLLEGE SYS REV RFDG SR LIEN STUDENT FEE DTD 04/15/2005 FSA INSURED CALLABLE 04/15/2015 @ 100.00	AAA/Aaa	10,000.00	04/15/2018	5.250%	10,819.30	10,791.80	—	4.41%
NEW YORK ST TWY AUTH HWY & BRDG REV TR FD DTD 09/08/2005 AMBAC INSURED	AAA/Aaa	10,000.00	04/01/2020	5.500%	11,459.40	11,583.20	—	4.05%
PUERTO RICO COMWLTH INFRASTR FING AUTH SPL TAX REV RFDG DTD 06/16/2006 FGIC INSURED	AAA/Aaa	5,000.00	07/01/2020	5.500%	5,730.15	5,894.20	—	3.91%

5137

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Bonds Municipal bonds, continued	Rating	Maturity value	Maturity date	Interest rate	Current value	Amount invested	Amount withdrawn	Your yield to maturity
DENVER COLO CITY & CNTY ARPT REV SYS DTD 08/25/2005 XLCA INSURED CALLABLE 11/15/2015 @ 100.00	AAA/Aaa	$10,000.00	11/15/2020	5.000%	$10,619.40	$10,607.40	—	4.44%
PUERTO RICO PUB BLDGS AUTH REV GTD RFDG GOVT FACS DTD 06/10/2004 CALLABLE 07/01/2012 @ 100.00	BBB/Baa3	10,000.00	07/01/2028	5.000%	10,394.60	10,750.90	—	4.47%
Total municipal bonds		**$140,000.00**			**$150,365.20**	$153,379.30	—	
Total bonds		**$140,000.00**			**$150,365.20**	$153,379.30	—	
Total estimated asset value					**$156,217.95**			

Summary of Your Investment Activity

Total cash and money market funds on Nov 25	$5,493.61
Additions	
Income	$554.37
Total additions	$554.37
Subtractions	
Fees	-$195.23
Total subtractions	-$195.23
Total cash and money market funds on Dec 31	**$5,852.75**

5138

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Detail of Your Investment Activity

Additions

	Type	Date			Days	Rate	Amount	Where Invested
Income	Money market dividends	12/29	MONEY MARKET		41	4.53	29.37	Money market
	Type	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Invested**
	Interest	12/01	WI STATE CLEAN WTR REV RFDG DUE 06/01/2013 5.500 %	10000.		0.0275	$275.00	Money market
		12/01	MEMPHIS TN ELECTRIC SYS REV DUE 12/01/2012 5.000 %	10000.		0.025	250.00	Money market
		Total income					**$554.37**	

Subtractions

	Date		Amount	Source of Funds
Fees	12/14	MANAGED ACCOUNT FEE	-$195.23	Money market
	Total fees		**-$195.23**	

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$0.00	-$184.80
Long term (held over 1 year)	0.00	0.00
Total	**$0.00**	**-$184.80**

As you requested, copies of your statement have been sent to:

5139

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

This page intentionally left blank

Payer's Federal Identification Number :

Edward Jones Account Number :

Investment Representative :

FIGURES ARE FINAL

Printed on February 17th, 2007

Telephone Number :

Recipient's Name
and Address :

00004801 02 MB 0.563 02 TR 00029 EJTDA151 10000

HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

Re : 2006 Consolidated 1099 Tax Statement from Edward Jones

Thank you for doing business with Edward Jones. Since the mailing of your year-end customer statement dated December 31, 2006, we have received additional information that requires adjustment to your Form(s) 1099. The adjustments were made for the following reasons :

(017) The dividend paid to your account in 2007 is reportable in 2006.

If **'Figures Are Final'** is noted at the top of this letter, these forms will be the only forms you need from Edward Jones to file your **federal** tax return. The 1099 totals will be reported to the Internal Revenue Service by Edward Jones as required by law.

If **'Figures Not Final'** is noted at the top of this letter, you will receive your Consolidated 1099 Tax Statement from Edward Jones as soon as the tax reporting information for your account is available. We expect to receive the tax information before March 24.

We recommend that you consult your tax preparer regarding the preparation of your individual tax return. If you need any further information, please contact our at or your local branch office.

Sincerely,

Norman L. Eaker

Norman L. Eaker
Principal, Operations

Payer's Federal Identification Number:

Edward Jones Account Number:

Recipient's Identification Number:

2006 CONSOLIDATED 1099 STATEMENT

(Includes 1099-DIV, 1099-B)

FIGURES ARE FINAL

Printed on February 17th, 2007

Page 1

Recipient's Name and Address:

HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

1099-DIV: DIVIDEND DISTRIBUTIONS - 2006 Statement for recipient (OMB No. 1545-0110)

Box 1a	Ordinary Dividends (including short-term capital gain)	2,675.93
Box 1b	Qualified Dividends	2,414.44
Box 2a	Total Capital Gain Distr.	36.82
Box 2b	Unrecap. Sec. 1250 Gain	11.31
Box 3	Nontaxable Distributions	.00
Box 4	*Federal Income Tax Withheld*	.00
Box 6	Foreign Tax Paid	6.09

Edward Jones

Payer's Federal Identification Number:

Edward Jones Account Number:

Recipient's Identification Number:

2006 CONSOLIDATED 1099 STATEMENT

(Includes 1099-DIV, 1099-INT)

FIGURES ARE FINAL

Printed on February 17th, 2007

Recipient's Name and Address:

HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Activity Type	Quantity/ CUSIP No. (Box 1b)	Date Of Sale (Box 1a)	Description (Box 7)	Stocks, Bonds, etc. Gross Proceeds Less Commissions (Box 2)	Federal Income Tax Withheld (Box 4)
Redemptions	20 475070108	04/17	JEFFERSON PILOT CORP	223.84	.00
Sells	70 00206R102	05/10	AT&T INC	1,828.46	.00
Sells	40 035229103	06/06	ANHEUSER BUSCH COS INC	1,798.46	.00
Sells	15 055622104	11/03	BP P L C	1,010.89	.00
Sells	20 172967101	02/15	CITIGROUP INC	921.75	.00
Sells	40 205363104	08/18	COMPUTER SCIENCES CORP	1,870.01	.00
Sells	30 244199105	10/19	DEERE & CO	2,626.87	.00
Sells	10 291011104	06/28	EMERSON ELEC CO	815.70	.00
Sells	40 294741103	07/05	EQUITY OFFICE PPTYS TR	1,464.28	.00
Sells	30 32054K103	01/27	FIRST INDL RLTY TR INC	1,194.81	.00
Sells	20 552848103	07/05	MGIC INVT CORP WIS	1,286.83	.00
Sells	20 594918104	06/28	MICROSOFT CORP	463.80	.00
Cash In Lieu	534187109	04/17	LINCOLN NATL CORP IND	24.92	.00
			TOTAL	15,530.62	.00

Payer's Federal Identification Number :

Edward Jones Account Number :

Recipient's Identification Number :

COST BASIS SUMMARY

FIGURES ARE FINAL

Printed on February 17th, 2007

Page 3

Recipient's Name : HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

THIS IS NOT A 1099 FORM AND IS NOT REPORTED TO THE IRS. It is a summary of the cost basis information for most of the transactions reported on your Form 1099-B. Please note that in the table below, the LT indicates a long-term gain/(loss), ST indicates short-term gain/(loss), and a UN indicates the holding period could not be determined. **Cost basis information may be from outside sources or provided by clients and has not been verified for accuracy. It should not be relied upon for tax preparation purposes without independent verification by your tax advisor.** The Average Cost method is used to calculate the cost basis for open-end mutual funds. The FIFO (first-in, first-out) method is used for all other securities. Cost basis adjustments for spin-offs, wash sale and return of capital rules may not have been applied. If any other method of calculating cost basis has been used for your tax preparation, do not rely on the following figures.

Quantity	Description	Date Acquired	Date Sold	Proceeds (Sale Price)	Cost Basis	Gain/(Loss)
20	JEFFERSON PILOT CORP	06/08/2005	04/17/2006	223.84	63.76	160.08 ST
70	AT&T INC	06/08/2005	05/10/2006	1,828.46	1,647.10	181.36 ST
40	ANHEUSER BUSCH COS INC	06/08/2005	06/06/2006	1,798.46	1,903.20	(104.74) ST
15	BP P L C	06/08/2005	11/03/2006	1,010.89	931.20	79.69 LT
20	CITIGROUP INC	06/08/2005	02/15/2006	921.75	958.40	(36.65) ST
40	COMPUTER SCIENCES CORP	06/08/2005	08/18/2006	1,870.01	1,827.20	42.81 LT
30	DEERE & CO	06/08/2005	10/19/2006	2,626.87	1,969.80	657.07 LT
10	EMERSON ELEC CO	06/08/2005	06/28/2006	815.70	656.10	159.60 LT
40	EQUITY OFFICE PPTYS TR	06/08/2005	07/05/2006	1,464.28	1,350.80	113.48 LT
30	FIRST INDL RLTY TR INC	06/08/2005	01/27/2006	1,194.81	1,230.60	(35.79) ST
20	MGIC INVT CORP WIS	06/08/2005	07/05/2006	1,286.83	1,228.20	58.63 LT
20	MICROSOFT CORP	06/08/2005	06/28/2006	463.80	509.36	(45.56) LT
	LINCOLN NATL CORP IND	--	04/17/2006	24.92	--	--
			TOTAL LT GAIN			1,111.28
			TOTAL LT LOSS			(45.56)
			NET LT			1,065.72
			TOTAL ST GAIN			341.44
			TOTAL ST LOSS			(177.18)
			NET ST			164.26
			NET GAIN/(LOSS)			1,229.98
			UNCOSTED PROCEEDS			24.92

Edward Jones

Payer's Federal Identification Number:

Edward Jones Account Number:

Recipient's Identification Number:

SUMMARY OF INVESTMENT INCOME

FIGURES ARE FINAL

Printed on February 17th, 2007

Recipient's Name: HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

THIS IS NOT A 1099 FORM ..... It is a summary of the income you received from your Edward Jones account in 2006. For a complete description of each activity, please refer to your statement for that period. If you have any questions, please contact your Edward Jones investment Representative.

2006 Date	Description	CUSIP No.	Amount In 2006
DIVIDEND INCOME - Taxable			
09/01	AFLAC INC	001055102	6.50
12/01	AFLAC INC	001055102	8.00
02/01	AT&T INC	00206R102	59.85
05/01	AT&T INC	00206R102	59.85
08/01	AT&T INC	00206R102	36.58
11/01	AT&T INC	00206R102	36.58
01/03	ALLSTATE CORP	020002101	9.60
04/03	ALLSTATE CORP	020002101	10.80
07/03	ALLSTATE CORP	020002101	10.50
10/02	ALLSTATE CORP	020002101	10.50
01/10	ALTRIA GROUP INC	02209S103	24.00
04/10	ALTRIA GROUP INC	02209S103	24.00
07/10	ALTRIA GROUP INC	02209S103	24.00
10/10	ALTRIA GROUP INC	02209S103	25.80
08/10	AMERICAN EXPRESS CO	025816109	6.00
11/10	AMERICAN EXPRESS CO	025816109	6.00
03/09	ANHEUSER BUSCH COS INC	035229103	10.80
06/09	ANHEUSER BUSCH COS INC	035229103	10.80
03/09	APPLIED MATERIALS INC	038222105	1.80
06/08	APPLIED MATERIALS INC	038222105	3.00
09/07	APPLIED MATERIALS INC	038222105	3.00
12/07	APPLIED MATERIALS INC	038222105	3.00
02/28	ARCHSTONE-SMITH TR	039583109	1.07
05/31	ARCHSTONE-SMITH TR	039583109	1.07
08/31	ARCHSTONE-SMITH TR	039583109	1.07
11/30	ARCHSTONE-SMITH TR	039583109	1.07
03/14	BP P L C	055622104	33.75
06/06	BP P L C	055622104	33.75
09/06	BP P L C	055622104	35.37
12/06	BP P L C	055622104	26.53
03/24	BANK OF AMERICA CORP	060505104	35.00
06/23	BANK OF AMERICA CORP	060505104	35.00
09/22	BANK OF AMERICA CORP	060505104	39.20
12/22	BANK OF AMERICA CORP	060505104	39.20
01/24	BEST BUY INC	086516101	3.60
05/11	BEST BUY INC	086516101	3.60
07/25	BEST BUY INC	086516101	3.60
10/24	BEST BUY INC	086516101	4.50
01/17	CARDINAL HEALTH INC	14149Y108	1.80
04/17	CARDINAL HEALTH INC	14149Y108	1.80
07/17	CARDINAL HEALTH INC	14149Y108	2.70
10/16	CARDINAL HEALTH INC	14149Y108	2.70
03/10	CHEVRON CORP NEW	166764100	22.50
06/12	CHEVRON CORP NEW	166764100	26.00
09/11	CHEVRON CORP NEW	166764100	26.00
12/11	CHEVRON CORP NEW	166764100	26.00

Payer's Federal Identification Number:

Edward Jones Account Number:

Recipient's Identification Number:

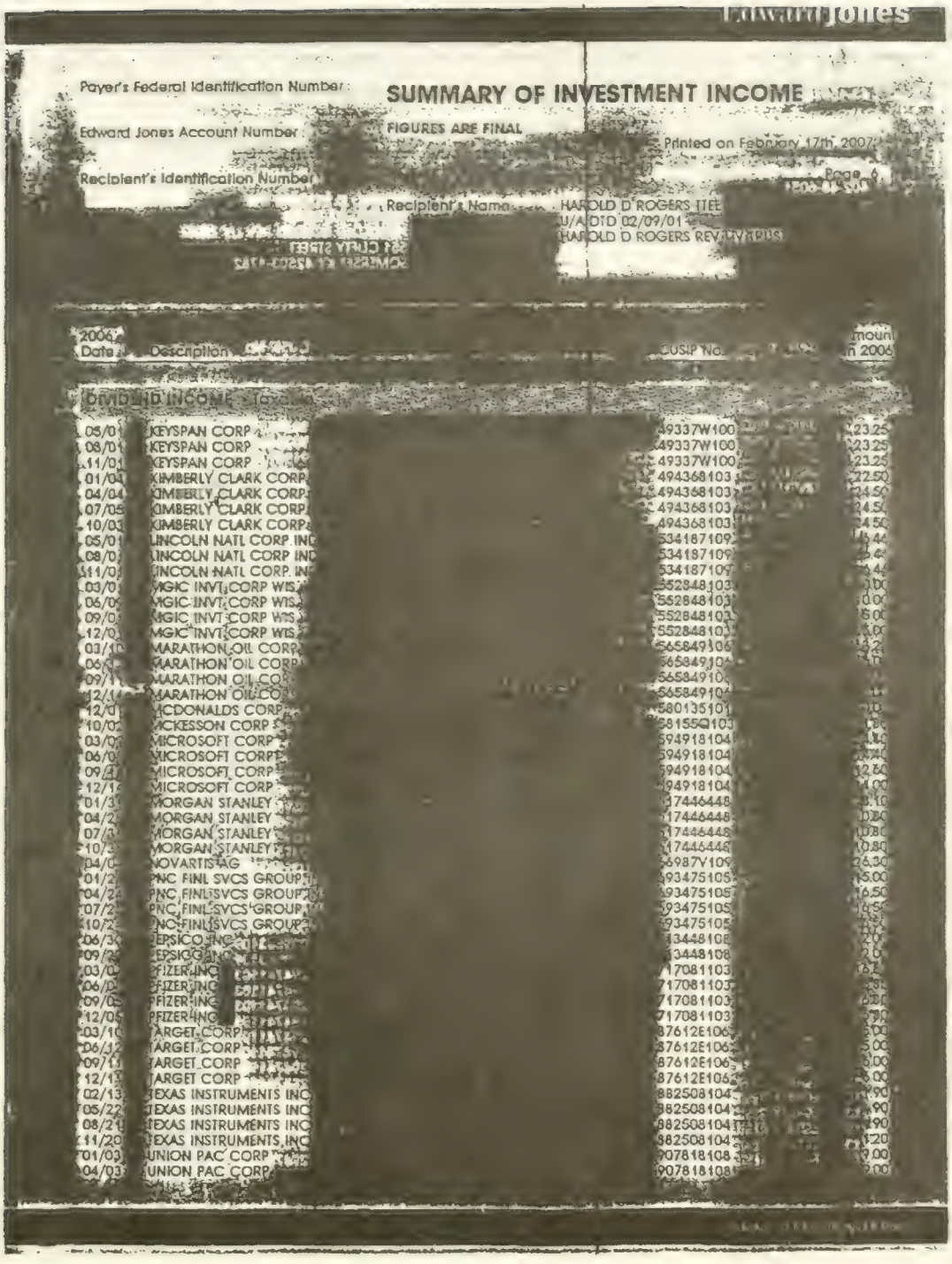
SUMMARY OF INVESTMENT INCOME

FIGURES ARE FINAL

Printed on February 17th, 2007

Recipient's Name: HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

2006 Date	Description	CUSIP No.	Amount In 2006
DIVIDEND INCOME - Taxable			
02/24	CITIGROUP INC	172967101	39.20
05/26	CITIGROUP INC	172967101	29.40
08/25	CITIGROUP INC	172967101	29.40
11/22	CITIGROUP INC	172967101	29.40
03/01	CONOCOPHILLIPS	20825C104	14.40
06/01	CONOCOPHILLIPS	20825C104	14.40
09/01	CONOCOPHILLIPS	20825C104	14.40
12/01	CONOCOPHILLIPS	20825C104	14.40
02/01	DEERE & CO	244199105	11.70
05/01	DEERE & CO	244199105	11.70
08/01	DEERE & CO	244199105	11.70
11/01	DEERE & CO	244199105	11.70
03/20	DOMINION RES INC VA NEW	25746U109	20.70
06/20	DOMINION RES INC VA NEW	25746U109	20.70
09/20	DOMINION RES INC VA NEW	25746U109	27.60
12/20	DOMINION RES INC VA NEW	25746U109	27.60
01/30	DOW CHEMICAL CO	260543103	13.40
04/28	DOW CHEMICAL CO	260543103	15.00
07/28	DOW CHEMICAL CO	260543103	15.00
10/30	DOW CHEMICAL CO	260543103	15.00
03/10	EMERSON ELEC CO	291011104	13.35
06/09	EMERSON ELEC CO	291011104	13.35
09/11	EMERSON ELEC CO	291011104	6.90
12/11	EMERSON ELEC CO	291011104	10.50
04/17	EQUITY OFFICE PPTYS TR	294741103	.07
07/17	EQUITY OFFICE PPTYS TR	294741103	.07
04/13	EQUITY RESIDENTIAL	29476L107	.66
07/14	EQUITY RESIDENTIAL	29476L107	.66
10/13	EQUITY RESIDENTIAL	29476L107	.66
12/31	EQUITY RESIDENTIAL	29476L107	.69
01/11	FIRST DATA CORP	319963104	3.00
04/13	FIRST DATA CORP	319963104	3.00
07/13	FIRST DATA CORP	319963104	3.00
10/12	FIRST DATA CORP	319963104	3.00
01/25	GENERAL ELECTRIC CO	369604103	25.00
04/25	GENERAL ELECTRIC CO	369604103	25.00
07/25	GENERAL ELECTRIC CO	369604103	25.00
10/25	GENERAL ELECTRIC CO	369604103	25.00
03/23	HOME DEPOT INC	437076102	7.50
06/22	HOME DEPOT INC	437076102	7.50
09/21	HOME DEPOT INC	437076102	7.50
12/14	HOME DEPOT INC	437076102	11.25
07/05	HONDA MTR LTD	438128308	14.14
07/11	HONDA MTR LTD	438128308	15.22
07/11	HONDA MTR LTD	438128308	-14.14
12/05	HONDA MTR LTD	438128308	15.24
10/16	ILLINOIS TOOL WKS INC	452308109	10.50
03/06	JEFFERSON PILOT CORP	475070108	8.35
02/01	KEYSPAN CORP	49337W100	23.25



Edward Jones

Payer's Federal Identification Number:

SUMMARY OF INVESTMENT INCOME

Edward Jones Account Number:

FIGURES ARE FINAL

Printed on February 17th, 2007

Recipient's Identification Number:

Page 6

Recipient's Name: HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV TRUST

2006 Date	Description	CUSIP No.	Amount in 2006
DIVIDEND INCOME - Taxable			
03/01	KEYSPAN CORP	49337W100	23.25
06/01	KEYSPAN CORP	49337W100	23.25
09/01	KEYSPAN CORP	49337W100	23.25
01/03	KIMBERLY CLARK CORP	494368103	22.50
04/04	KIMBERLY CLARK CORP	494368103	24.50
07/05	KIMBERLY CLARK CORP	494368103	24.50
10/03	KIMBERLY CLARK CORP	494368103	24.50
05/01	LINCOLN NATL CORP IND	534187109	15.44
08/01	LINCOLN NATL CORP IND	534187109	15.44
11/01	LINCOLN NATL CORP IND	534187109	15.44
03/01	MGIC INVT CORP WIS	552848103	3.00
06/01	MGIC INVT CORP WIS	552848103	3.00
09/01	MGIC INVT CORP WIS	552848103	3.00
12/01	MGIC INVT CORP WIS	552848103	3.00
03/10	MARATHON OIL CORP	565849106	19.20
06/01	MARATHON OIL CORP	565849106	19.20
09/11	MARATHON OIL CORP	565849106	19.20
12/14	MARATHON OIL CORP	565849106	19.20
12/01	MCDONALDS CORP	580135101	10.00
10/02	MCKESSON CORP	58155Q103	15.00
03/01	MICROSOFT CORP	594918104	11.00
06/01	MICROSOFT CORP	594918104	22.00
09/01	MICROSOFT CORP	594918104	12.50
12/14	MICROSOFT CORP	594918104	11.00
01/31	MORGAN STANLEY	617446448	9.10
04/28	MORGAN STANLEY	617446448	10.20
07/31	MORGAN STANLEY	617446448	10.80
10/31	MORGAN STANLEY	617446448	10.80
04/03	NOVARTIS AG	66987V109	24.30
01/25	PNC FINL SVCS GROUP	693475105	15.00
04/24	PNC FINL SVCS GROUP	693475105	16.50
07/25	PNC FINL SVCS GROUP	693475105	16.50
10/25	PNC FINL SVCS GROUP	693475105	16.50
06/30	PEPSICO INC	713448108	20.00
09/29	PEPSICO INC	713448108	20.00
03/01	PFIZER INC	717081103	62.00
06/01	PFIZER INC	717081103	62.00
09/01	PFIZER INC	717081103	62.00
12/01	PFIZER INC	717081103	62.00
03/10	TARGET CORP	87612E106	5.00
06/12	TARGET CORP	87612E106	5.00
09/11	TARGET CORP	87612E106	5.00
12/11	TARGET CORP	87612E106	5.00
02/13	TEXAS INSTRUMENTS INC	882508104	19.90
05/22	TEXAS INSTRUMENTS INC	882508104	19.90
08/21	TEXAS INSTRUMENTS INC	882508104	19.90
11/20	TEXAS INSTRUMENTS INC	882508104	19.20
01/03	UNION PAC CORP	907818108	9.00
04/03	UNION PAC CORP	907818108	9.00

Payer's Federal Identification Number :

Edward Jones Account Number :

Recipient's Identification Number :

SUMMARY OF INVESTMENT INCOME

FIGURES ARE FINAL

Printed on February 17th, 2007

Recipient's Name : HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

2006 Date	Description	CUSIP No.	Amount In 2006
DIVIDEND INCOME - Taxable			
07/03	UNION PAC CORP	907818108	9.00
10/02	UNION PAC CORP	907818108	9.00
03/10	UNITED TECHNOLOGIES CORP	913017109	11.00
06/12	UNITED TECHNOLOGIES CORP	913017109	13.25
09/11	UNITED TECHNOLOGIES CORP	913017109	13.25
12/11	UNITED TECHNOLOGIES CORP	913017109	13.25
04/17	UNITEDHEALTH GROUP INC	91324P102	.90
03/15	WACHOVIA CORP NEW	929903102	30.60
06/15	WACHOVIA CORP NEW	929903102	30.60
09/15	WACHOVIA CORP NEW	929903102	33.60
12/15	WACHOVIA CORP NEW	929903102	33.60
03/01	WELLS FARGO & CO (DE) NEW	949746101	26.00
06/01	WELLS FARGO & CO (DE) NEW	949746101	31.20
09/01	WELLS FARGO & CO (DE) NEW	949746101	33.60
12/01	WELLS FARGO & CO (DE) NEW	949746101	33.60
12/28	WESTERN UN CO	959802109	.50
	Total Qualified Dividends (Box 1b on 1099-DIV):		**2,414.44**
12/31	MONEY MARKET INVESTMENT SHARES	MNYMKT002	173.08
02/28	ARCHSTONE-SMITH TR	039583109	13.05
02/28	ARCHSTONE-SMITH TR	039583109	-1.07
02/28	ARCHSTONE-SMITH TR	039583109	-2.82
02/28	ARCHSTONE-SMITH TR	039583109	-.38
05/31	ARCHSTONE-SMITH TR	039583109	13.05
05/31	ARCHSTONE-SMITH TR	039583109	-1.07
05/31	ARCHSTONE-SMITH TR	039583109	-2.82
05/31	ARCHSTONE-SMITH TR	039583109	-.38
08/31	ARCHSTONE-SMITH TR	039583109	13.05
08/31	ARCHSTONE-SMITH TR	039583109	-1.07
08/31	ARCHSTONE-SMITH TR	039583109	-2.82
08/31	ARCHSTONE-SMITH TR	039583109	-.38
11/30	ARCHSTONE-SMITH TR	039583109	13.05
11/30	ARCHSTONE-SMITH TR	039583109	-1.07
11/30	ARCHSTONE-SMITH TR	039583109	-2.82
11/30	ARCHSTONE-SMITH TR	039583109	-.38
04/17	EQUITY OFFICE PPTYS TR	294741103	13.20
04/17	EQUITY OFFICE PPTYS TR	294741103	-.07
04/17	EQUITY OFFICE PPTYS TR	294741103	-2.16
04/17	EQUITY OFFICE PPTYS TR	294741103	-3.48
07/17	EQUITY OFFICE PPTYS TR	294741103	13.20
07/17	EQUITY OFFICE PPTYS TR	294741103	-.07
07/17	EQUITY OFFICE PPTYS TR	294741103	-2.16
07/17	EQUITY OFFICE PPTYS TR	294741103	-3.48
04/13	EQUITY RESIDENTIAL	29476L107	13.28
04/13	EQUITY RESIDENTIAL	29476L107	-.66
04/13	EQUITY RESIDENTIAL	29476L107	-2.45
04/13	EQUITY RESIDENTIAL	29476L107	-.70
07/14	EQUITY RESIDENTIAL	29476L107	13.28

Edward Jones

Payer's Federal Identification Number :

Edward Jones Account Number :

Recipient's Identification Number :

SUMMARY OF INVESTMENT INCOME

FIGURES ARE FINAL

Printed on February 17th, 2007

Recipient's Name : HAROLD D ROGERS ITEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

2006 Date	Description	CUSIP No.	Amount In 2006
DIVIDEND INCOME - Taxable			
07/14	EQUITY RESIDENTIAL	29476L107	-.66
07/14	EQUITY RESIDENTIAL	29476L107	-2.45
07/14	EQUITY RESIDENTIAL	29476L107	-.70
10/13	EQUITY RESIDENTIAL	29476L107	13.28
10/13	EQUITY RESIDENTIAL	29476L107	-.66
10/13	EQUITY RESIDENTIAL	29476L107	-2.45
10/13	EQUITY RESIDENTIAL	29476L107	-.70
12/31	EQUITY RESIDENTIAL	29476L107	13.88
	Adjusted 01/14/07 For Reason 017		
12/31	EQUITY RESIDENTIAL	29476L107	-.69
12/31	EQUITY RESIDENTIAL	29476L107	-2.56
12/31	EQUITY RESIDENTIAL	29476L107	-.73
	Total Nonqualified Dividends:		**261.49**
	Total Ordinary Dividends (Box 1a on 1099-DIV):		**2,675.93**
02/28	ARCHSTONE-SMITH TR	039583109	2.82
05/31	ARCHSTONE-SMITH TR	039583109	2.82
08/31	ARCHSTONE-SMITH TR	039583109	2.82
11/30	ARCHSTONE-SMITH TR	039583109	2.82
04/17	EQUITY OFFICE PPTYS TR	294741103	2.16
07/17	EQUITY OFFICE PPTYS TR	294741103	2.16
04/13	EQUITY RESIDENTIAL	29476L107	2.45
07/14	EQUITY RESIDENTIAL	29476L107	2.45
10/13	EQUITY RESIDENTIAL	29476L107	2.45
12/31	EQUITY RESIDENTIAL	29476L107	2.56
	Total Long-Term Capital Gains:		**25.51**
02/28	ARCHSTONE-SMITH TR	039583109	.38
05/31	ARCHSTONE-SMITH TR	039583109	.38
08/31	ARCHSTONE-SMITH TR	039583109	.38
11/30	ARCHSTONE-SMITH TR	039583109	.38
04/17	EQUITY OFFICE PPTYS TR	294741103	3.48
07/17	EQUITY OFFICE PPTYS TR	294741103	3.48
04/13	EQUITY RESIDENTIAL	29476L107	.70
07/14	EQUITY RESIDENTIAL	29476L107	.70
10/13	EQUITY RESIDENTIAL	29476L107	.70
12/31	EQUITY RESIDENTIAL	29476L107	.73
	Total Unrecap Sec 1250 Gains (Box 2b on 1099-DIV):		**11.31**
	Total Capital Gains (Box 2a on 1099-DIV):		**36.82**
07/05	HONDA MTR LTD	438128308	.99
07/11	HONDA MTR LTD	438128308	1.07
07/11	HONDA MTR LTD	438128308	-.99
12/05	HONDA MTR LTD	438128308	1.07
04/04	NOVARTIS AG	66987V109	3.95

Payer's Federal Identification Number :

Edward Jones Account Number :

Recipient's Identification Number :

SUMMARY OF INVESTMENT INCOME

FIGURES ARE FINAL

Printed on February 17th, 2007

Recipient's Name : HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

2006 Date	Description	CUSIP No.	Amount in 2006
	Total Foreign Tax Paid (Box 6 on 1099-DIV):		**6.09**

***** PLEASE REFER TO ENCLOSED TAX REPORTING GUIDE FOR FURTHER EXPLANATION OF HOW THIS ***** SUPPLEMENTAL INFORMATION AFFECTS YOUR TAX RETURN.

Edward Jones

Payer's Federal Identification Number :

Edward Jones Account Number :

Recipient's Identification Number :

2006 ADDITIONAL TAX INFORMATION

FIGURES ARE FINAL

Printed on February 17th, 2007

Recipient's Name : HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

MUTUAL FUNDS IN YOUR EDWARD JONES ACCOUNT WITH INCOME FROM GOVERNMENT SECURITIES

This section reports the percentage of dividends derived from government obligations. Although the dividends are federally taxable, you may be entitled to exclude a portion of them from state taxable income based upon these percentages and your state's law. Multiply your ordinary dividends (taxable dividends + short term capital gains distributions = ordinary dividends) received by the percentage listed to determine the potential exclusion. Please contact your tax advisor to determine what may be exempt from taxation in your state

MUTUAL FUND NAME CUSIP	SOURCE OF INCOME	PERCENTAGE FROM SOURCE
MONEY MARKET INVESTMENT SHARES MNYMKT002	FEDERAL HOME LOAN BANKS	7.260000%

This ADDITIONAL TAX INFORMATION has been supplied to Edward Jones by outside sources. While we cannot assure the accuracy of this information, we believe it to be correct. This information is intended as a general guide and does not constitute tax advice. You should consult your tax professional or state tax authority to determine how this information may apply to your specific situation.

Thank you for doing business with Edward Jones. This is the end of your 2006 tax reporting information. If you have any questions concerning any matter, especially errors or omissions, contact at immediately or the Edward Jones Tax Hotline a

Instructions for Recipient of 1099-INT

Account number. May show an account or other unique number the payer assigned to distinguish your account.
Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds and Gulf bonds that must be included in your interest income. These amounts were treated as paid to you during 2008 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912, Clean Renewable energy Bond and Gulf Bond Credit.
Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Form 1040 to see where to take the deduction.
Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not be all taxable. See Pub. 550, Investment Income and Expenses. This interest is exempt from state and local income taxes. This interest is not included in box 1.
Box 4. Shows backup withholding. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.
Box 5. Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1.
Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040. See your Form 1040 instructions.
Box 8. Shows tax-exempt interest, including exempt-interest dividends from a mutual fund or other regulated investment company, paid to you during the calendar year by the payer. Report this amount on line 8b of Form 1040 or Form 1040A. This amount may be subject to backup withholding. See box 4.
Box 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the instructions for Form 6251, Alternative Minimum Tax - Individuals.
Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient". File Form(s) 1099-INT with form 1096, Annual Summary and Transmittal of U.S. Information Returns, with the Internal Revenue Service Center for your area. On Form 1096 list yourself as the "filer". A husband or wife is not required to file a nominee return to show amounts owned by the other.

Instructions for Recipient of 1099-DIV

Account number. May show an account or other unique number the payer assigned to distinguish your account.
Box 1a. Shows total ordinary dividends that are taxable. Include the amount on line 9a of Form 1040 or 1040A. Also, report it on Schedule B (Form 1040) or Schedule 1 (Form 1040A), if required. The amount shown may be a distribution from an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040A but treat it as a plan distribution, not as investment income, for any other purpose.
Box 1b. Shows the portion of the amount in box 1a that may be eligible for the 15% or 5% capital gains rates. See the form 1040/1040A instructions for how to determine this amount. Report the eligible amount on line 9b, Form 1040 or 1040A.
Box 2a. Shows total capital gain distributions (long-term) from a regulated investment company or real estate investment trust. Report the amounts shown in box 2a on Schedule D (Form 1040), line 13. But, if no amount is shown in boxes 2c-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on line 13 of Form 1040 (line 10 of Form 1040A) rather than Schedule D. See the Form 1040/1040A instructions.
Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. Report this amount on the Unrecaptured Section 1250 Gain Worksheet - Line 19 in Schedule D instructions (Form 1040).
Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to a 50% exclusion. See the Schedule D (Form 1040) instructions.
Box 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use the amount when completing the 28% Rate Gain Worksheet - Line 18 in the instructions for Schedule D (Form 1040).
Box 3. Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by the amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub. 550, Investment Income and Expenses.
Box 4. Shows backup withholding. For example, a payer must backup withhold on certain payments at a 28% rate if you did not give your taxpayer identification number to the payer. See form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.
Box 6. Shows the foreign tax you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.
Box 8 and 9. Shows cash and noncash liquidation distributions.
Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A husband or wife is not required to file a nominee return to show amounts owned by the other. See the 2008 General Instructions for Forms 1099, 1098, 5498 and W-2G.

Instructions for Recipient of 1099-OID

Original Issue Discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID is taxable as interest over the life span of the obligation. If you are the holder of an OID obligation, generally you must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-indexed securities. See Pub. 550, Investment Income and Expenses for more information.
If, as the record holder, you receive Form 1099-OID showing amounts belonging to another person, you are considered a nominee recipient. Complete a Form 1099-OID for each of the other owners showing the amounts allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner as the "recipient". File Form(s) 1099-OID with Form 1096, Annual summary and Transmittal of U.S. Information Returns, with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer". A husband or wife is not required to file a nominee return to show amounts owned by the other. If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.
Account number. May show an account or other unique number the payer assigned to distinguish your account.
Box 1. Shows the OID on the obligation for the part of the year you owned it. Report the amount in box 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors, (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212, Guide to Original Issue Discount (OID) Instruments for details on how to figure the correct OID.
Box 2. Shows other interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report the amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount in both boxes 2 and 8, the Amount in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes.
Box 4. Shows backup withholding. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include the amount on your income tax return as tax withheld.
Box 5. Shows the identification number (CUSIP number) or description of the obligation. The description may include the stock exchange, issuer, coupon rate, and year of maturity.
Box 8. Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return, and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 1.
Box 7. Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. The amount is included in box 2.

Instructions for Recipient of 1099-B

Brokers and barter exchanges must report proceeds from transactions to you and the IRS on Form 1099-B by January 31 of the year following the calendar year of the transaction. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a change in control or a substantial change in capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in the box below your name and address on Form 1099-B.
Account number. May show an account or other unique number the payer assigned to distinguish your account.
Box 1a. Shows the trade date of the transaction. For aggregate reporting, no entry will be shown.
Box 1b. For broker transactions, may show the CUSIP (Committee on Uniform Security Identification Procedures) number of the item reported.
Box 2. Shows the aggregate proceeds from transactions involving stocks, bonds, other debt obligations, commodities, or forward contracts. Losses on forward contracts and changes in control or substantial change in capital structure are shown in parentheses. This box does not include proceeds from regulated futures contracts. The broker must indicate whether gross proceeds or gross proceeds less commissions and option premiums were reported to the IRS. Report this amount on Schedule D (Form 1040), Capital Gains and Losses. However, if box 12 is checked, you cannot take a loss on your tax return based on gross proceeds from an acquisition of control or substantial change in capital structure reported in box 2. Do not report this loss on Schedule D (Form 1040). The broker should advise you of any losses on a separate statement.
Box 4. Shows backup withholding. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.
Box 7. Shows a brief description of the item or service for which the proceeds or bartering income is being reported. For regulated futures, contracts and forward contracts, "RFC" or other appropriate description may be shown.

Instructions for Recipient of 1099-MISC

Account number. May show an account or other unique number the payer assigned to distinguish your account.
Amounts shown may be subject to self-employment (SE) tax. If your net income from self-employment is $400 or more, you must file a return and compute your SE tax on Schedule SE (Form 1040). See Pub. 334, Tax Guide for Small Business, for more information. If no income or social security and Medicare taxes were withheld and you are still receiving these payments, see Form 1040-ES, Estimated Tax for Individuals. Individuals must report as explained below. Corporations, fiduciaries, or partnerships report the amounts on the proper line of your tax return.
Boxes 1 and 2. Report rents from real estate on Schedule E (Form 1040). If you provided significant services to the tenant, sold real estate as a business, or rented personal property as a business, report on Schedule C or C-EZ (Form 1040). For royalties on timber, coal and iron ore, see Pub. 544, Sales and Other Dispositions of Assets.
Box 3. Generally, report this amount on the "Other income" line of Form 1040 and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525, Taxable and Nontaxable Income. If it is trade or business income report this amount on Schedule C, C-EZ or F (Form 1040).
Box 4. Shows backup withholding or withholding on Indian gaming profits. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number. See Form W-9, Request for Taxpayer Identification Number and Certification, for more information. Report this amount on your income tax return as tax withheld.

Account number:
Statement type:
January 1 - January 27, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

Value Summary (Held at Edward Jones)

Value on Jan 27	$107,389.02
~~Value on Jan 1~~	~~$104,082.85~~
Value one year ago	—

Summary of Your Assets

Held at Edward Jones	Value on Jan 27	Value on Jan 1	Change in value
Cash & money market	$4,617.17	$4,679.45	-$62.28
Stocks	102,771.85	99,403.40	3,368.45
Total at Edward Jones	**$107,389.02**	$104,082.85	$3,306.17

Summary of Your Income

Income distributions from securities	This period Taxable	Tax-free	Total	Year-to-date Taxable	Tax-free	Total
Money market dividends	$9.88	—	$9.88	$9.88	—	$9.88
Dividends						
Qualified (Q) - Reduced Tax Eligible	113.50	—	113.50	113.50	—	113.50
Total	$123.38	—	$123.38	$123.38	—	$123.38

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges						
Income reported in prior year			$34.28			$34.28
Total			$34.28			$34.28

5153

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	3.52%	3.58%	$4,617.17
Total cash and money market funds			$4,617.17

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ALLSTATE CORP Symbol: ALL	Growth & Income Hold	52.030	30.	$1,560.90	$1,750.93	—
ALTRIA GROUP INC Symbol: MO	Aggressive Hold	74.730	30.	2,241.90	2,068.50	—
ANHEUSER BUSCH COMPANIES INC Symbol: BUD	Growth & Income Buy	41.850	40.	1,674.00	2,379.00	-473.80
APPLIED MATERIALS INC Symbol: AMAT	Aggressive Buy	19.750	60.	1,185.00	1,179.49	-169.80
ARCHSTONE SMITH TRUST Symbol: ASN	Growth & Income None	46.960	30.	1,408.80	1,149.30	—
AT&T INC Symbol: T	Growth & Income Hold	25.890	180.	4,660.20	4,248.99	—
BANK OF AMERICA CORP Symbol: BAC	Growth & Income Buy	44.800	70.	3,136.00	4,138.20	-914.40
BEST BUY INC Symbol: BBY	Growth None	49.990	45.	2,249.55	2,332.40	-592.20
BP AMOCO PLC SPONSORED ADR 25P Symbol: BP	Growth & Income Buy	71.010	60.	4,260.60	4,345.60	-625.50
CARDINAL HEALTH INC Symbol: CAH	Aggressive Sell	73.450	30.	2,203.50	2,333.01	-580.10

5154

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
CHEVRON CORP NEW Symbol: CVX	Growth & Income Buy	60.380	50.	$3,019.00	$3,324.00	-$562.40
CISCO SYSTEMS INC Symbol: CSCO	Aggressive Buy	18.780	100.	1,878.00	2,539.30	-559.70
CITIGROUP INC Symbol: C	Growth & Income Buy	46.870	80.	3,749.60	4,754.90	-955.70
COMPUTER SCIENCES CORP Symbol: CSC	Growth None	50.800	40.	2,032.00	2,284.00	-451.30
CONOCOPHILLIPS Symbol: COP	Growth & Income Buy	65.080	40.	2,603.20	2,789.42	-560.20
DEERE & CO Symbol: DE	Growth & Income Hold	72.400	30.	2,172.00	1,969.80	—
DOMINION RESOURCE INC Symbol: D	Growth & Income Buy	75.260	30.	2,257.80	2,869.60	-729.20
DOW CHEM CO Symbol: DOW	Growth & Income None	42.180	40.	1,687.20	1,634.00	—
EMERSON ELECTRIC CO Symbol: EMR	Growth & Income Buy	77.930	30.	2,337.90	1,968.30	—
EQUITY OFFICE PROPERTIES TRUST Symbol: EOP	Growth & Income Hold	31.570	40.	1,262.80	1,350.80	—
EQUITY RESIDENTIAL PPTYS TR Symbol: EQR	Growth & Income Hold	42.590	30.	1,277.70	1,113.00	—
FIRST DATA CORP Symbol: FDC	Growth Buy	45.000	50.	2,250.00	2,390.40	-405.00
FIRST INDL REALTY TRUST INC Symbol: FR	Growth & Income None	39.850	30.	1,195.50	—	—
GENERAL ELECTRIC CO Symbol: GE	Growth & Income Buy	32.950	100.	3,295.00	4,406.40	-711.60

5155

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
HOME DEPOT INC Symbol: HD	Growth Buy	40.000	50.	$2,000.00	$2,416.56	-$397.20
HONDA MTR LTD ADR NEW Symbol: HMC	Growth None	28.180	60.	1,690.80	1,747.90	-247.40
JEFFERSON PILOT CORP Symbol: JP	Growth & Income None	57.130	20.	1,142.60	1,000.80	—
KEYSPAN CORP Symbol: KSE	Growth & Income Buy	36.080	50.	1,804.00	2,385.00	-402.40
KIMBERLY CLARK CORP Symbol: KMB	Growth & Income None	58.800	50.	2,940.00	3,902.10	-648.40
MARATHON OIL CORP Symbol: MRO	Growth None	75.040	40.	3,001.60	2,545.00	-519.40
MCDONALDS CORP Symbol: MCD	Growth & Income Hold	35.050	40.	1,402.00	1,175.60	—
MGIC INVESTMENT CORP Symbol: MTG	Growth None	65.650	40.	2,626.00	2,456.40	—
MICROSOFT CORP Symbol: MSFT	Growth Buy	27.790	160.	4,446.40	4,852.11	-781.71
MORGAN STANLEY DEAN WITTER CO Symbol: MS	Growth Buy	60.780	30.	1,823.40	1,568.92	—
NOVARTIS AG ADR Symbol: NVS	Growth Buy	55.650	30.	1,669.50	1,639.07	—
ORACLE CORP Symbol: ORCL	Aggressive Buy	12.410	80.	992.80	1,276.19	-253.70
PFIZER INC Symbol: PFE	Growth & Income Buy	25.990	70.	1,819.30	2,229.60	-278.50
PNC BANK CORP Symbol: PNC	Growth & Income None	66.120	30.	1,983.60	1,647.00	—

5156

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
TARGET CORP Symbol: TGT	Growth Buy	54.170	50.	$2,708.50	$3,246.00	-$534.60
TEXAS INSTRUMENTS INC Symbol: TXN	Aggressive Buy	30.230	30.	906.90	1,123.60	-274.60
UNION PACIFIC CORP Symbol: UNP	Growth None	87.160	30.	2,614.80	2,626.40	-654.80
UNITED TECHNOLOGIES CORP Symbol: UTX	Growth Buy	58.750	50.	2,937.50	3,214.20	-530.00
WACHOVIA CORP 2ND NEW Symbol: WB	Growth & Income Buy	54.400	60.	3,264.00	3,539.90	-502.80
WELLPOINT INC Symbol: WLP	Growth None	75.500	30.	2,265.00	2,677.60	-685.50
WELLS FARGO & CO NEW Symbol: WFC	Growth & Income Buy	62.700	50.	3,135.00	3,709.80	-611.10
Total stocks				**$102,771.85**	—	—
Total estimated asset value				**$107,389.02**		

5157

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Investment Activity

Total cash and money market funds on Jan 01	$4,679.45
Additions	
Income	$157.66
Total additions	$157.66
Subtractions	
Fees	-$219.94
Total subtractions	-$219.94
Total cash and money market funds on Jan 27	**$4,617.17**

Detail of Your Investment Activity

Additions

	Type	Date		Days	Rate	Amount	Where Invested
Income	Money market dividends	01/20	MONEY MARKET	22	3.49	9.88	Money market

Type	Tax Info.	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Dividends	Q	01/03	UNION PACIFIC CORP	30.	0.30		$9.00	Money market
	Q	01/03	ALLSTATE CORP	30.	0.32		9.60	Money market
	Q	01/04	KIMBERLY CLARK CORP	50.	0.45		22.50	Money market
	Q	01/10	ALTRIA GROUP INC	30.	0.80		24.00	Money market
	Q	01/11	FIRST DATA CORP	50.	0.06		3.00	Money market
	N	01/13	EQUITY RESIDENTIAL PPTYS TR	30	0.4425		13.28	Money market
	Q	01/17	CARDINAL HEALTH INC	30	0.06		1.80	Money market
	N	01/23	FIRST INDL REALTY TRUST INC	30.	0.70		21.00	Money market
	Q	01/24	BEST BUY INC	45.	0.08		3.60	Money market

5158

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Additions, continued

	Type	Tax info.	Date		Quantity	Amount per share	Rate	Amount	Where invested
Income	Dividends	Q	01/24	PNC BANK CORP	30.	0.50		$15.00	Money market
		Q	01/25	GENERAL ELECTRIC CO	100.	0.25		25.00	Money market
			Total income					$157.66	

Subtractions

	Date		Amount	Source of Funds
Fees	01/18	MANAGED ACCOUNT FEE	-$219.94	Money market
	Total fees		-$219.94	

Pending Trades

Purchases	Trade date	Quantity	Price	Amount	Settlement date
UNITEDHEALTH GROUP INC	01/27/2006	30.000	$58.615	$1,758.46	02/01/2006

Sells	Trade date	Quantity	Price	Amount	Settlement date
FIRST INDL REALTY TRUST INC	01/27/2006	30.000	39.827	1,194.81	02/01/2006

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	-$35.79	-$35.79
Long term (held over 1 year)	0.00	0.00
Total	-$35.79	-$35.79

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
FIRST INDL REALTY TRUST INC	06/08/2005	01/27	30.000	$1,230.60	$1,194.81	-$35.79	Short term

5159

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

As you requested, copies of your statement have been sent to:

5160

Account number:
Statement type:
January 28 - February 24, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

Value Summary (Held at Edward Jones)

Value on Feb 24	$108,775.43
Value on Jan 28	$107,389.02
Value one year ago	—

Summary of Your Assets

Held at Edward Jones	Value on Feb 24	Value on Jan 28	Change in value
Cash & money market	$3,685.43	$4,617.17	-$931.74
Stocks	105,090.00	102,771.85	2,318.15
Total at Edward Jones	**$108,775.43**	$107,389.02	$1,386.41

Summary of Your Income

Income distributions from securities	This period Taxable	Tax-free	Total	Year-to-date Taxable	Tax-free	Total
Money market dividends	$12.83	—	$12.83	$22.71	—	$22.71
Dividends						
Qualified (Q) - Reduced Tax Eligible	156.40	—	156.40	269.90	—	269.90
Total	$169.23	—	$169.23	$292.61	—	$292.61

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges						
Income reported in prior year			—			$34.28
Total			—			$34.28

51161

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	3.71%	3.78%	$3,685.43
Total cash and money market funds			$3,685.43

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ALLSTATE CORP Symbol: ALL	Growth & Income Buy	54.900	30.	$1,647.00	$1,750.93	—
ALTRIA GROUP INC Symbol: MO	Aggressive Hold	72.450	30.	2,173.50	2,068.50	—
ANHEUSER BUSCH COMPANIES INC Symbol: BUD	Growth & Income Buy	41.820	40.	1,672.80	2,379.00	-473.80
APPLIED MATERIALS INC Symbol: AMAT	Aggressive Buy	18.800	60.	1,128.00	1,179.49	-169.80
ARCHSTONE SMITH TRUST Symbol: ASN	Growth & Income None	47.990	30.	1,439.70	1,149.30	—
AT&T INC Symbol: T	Growth & Income Buy	27.570	180.	4,962.60	4,248.99	—
BANK OF AMERICA CORP Symbol: BAC	Growth & Income Buy	45.720	70.	3,200.40	4,138.20	-914.40
BEST BUY INC Symbol: BBY	Growth None	54.040	45.	2,431.80	2,332.40	-592.20
BP AMOCO PLC SPONSORED ADR 25P Symbol: BP	Growth & Income Buy	67.600	60.	4,056.00	4,345.60	-625.50
CARDINAL HEALTH INC Symbol: CAH	Aggressive Sell	72.390	30.	2,171.70	2,333.01	-580.10

5162

201 Progress Parkway
Maryland Heights, MO 63043 3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
CHEVRON CORP NEW Symbol: CVX	Growth & Income Buy	57.650	50.	$2,882.50	$3,324.00	-$562.40
CISCO SYSTEMS INC Symbol: CSCO	Aggressive Buy	19.850	100.	1,985.00	2,539.30	-559.70
CITIGROUP INC Symbol: C	Growth & Income Buy	46.850	60.	2,811.00	4,754.90	-1,877.45
COMPUTER SCIENCES CORP Symbol: CSC	Growth None	53.790	40.	2,151.60	2,284.00	-451.30
CONOCOPHILLIPS Symbol: COP	Growth & Income Buy	62.730	40.	2,509.20	2,789.42	-560.20
DEERE & CO Symbol: DE	Growth & Income Hold	77.640	30.	2,329.20	1,969.80	—
DOMINION RESOURCE INC Symbol: D	Growth & Income Buy	74.350	30.	2,230.50	2,869.60	-729.20
DOW CHEM CO Symbol: DOW	Growth & Income None	43.430	40.	1,737.20	1,834.00	—
EMERSON ELECTRIC CO Symbol: EMR	Growth & Income Buy	82.800	30.	2,484.00	1,968.30	—
EQUITY OFFICE PROPERTIES TRUST Symbol: EOP	Growth & Income Hold	30.830	40.	1,233.20	1,350.80	—
EQUITY RESIDENTIAL PPTYS TR Symbol: EQR	Growth & Income Hold	45.910	30.	1,377.30	1,113.00	—
FIRST DATA CORP Symbol: FDC	Growth Buy	45.120	50.	2,256.00	2,390.40	-405.00
GENERAL ELECTRIC CO Symbol: GE	Growth & Income Buy	33.140	100.	3,314.00	4,406.40	-711.60
HOME DEPOT INC Symbol: HD	Growth Buy	41.630	50.	2,081.50	2,416.56	-397.20

5163

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
HONDA MTR LTD ADR NEW Symbol: HMC	Growth None	29.540	60.	$1,772.40	$1,747.90	-$247.40
JEFFERSON PILOT CORP Symbol: JP	Growth & Income None	60.910	20.	1,218.20	1,000.80	—
KEYSPAN CORP Symbol: KSE	Growth & Income Hold	41.410	50.	2,070.50	2,385.00	-402.40
KIMBERLY CLARK CORP Symbol: KMB	Growth & Income None	59.690	50.	2,984.50	3,902.10	-648.40
MARATHON OIL CORP Symbol: MRO	Growth & Income None	72.210	40.	2,888.40	2,545.00	-519.40
MCDONALDS CORP Symbol: MCD	Growth & Income Hold	35.340	40.	1,413.60	1,175.60	—
MGIC INVESTMENT CORP Symbol: MTG	Growth None	64.310	40.	2,572.40	2,456.40	—
MICROSOFT CORP Symbol: MSFT	Growth Buy	26.630	160.	4,260.80	4,852.11	-781.71
MORGAN STANLEY DEAN WITTER CO Symbol: MS	Growth Buy	60.830	40.	2,433.20	2,172.65	—
NOVARTIS AG ADR Symbol: NVS	Growth Buy	54.280	30.	1,628.40	1,639.07	—
ORACLE CORP Symbol: ORCL	Aggressive Buy	12.460	80.	996.80	1,276.19	-253.70
PFIZER INC Symbol: PFE	Growth & Income Buy	26.370	70.	1,845.90	2,229.60	-278.50
PNC BANK CORP Symbol: PNC	Growth & Income None	70.900	30.	2,127.00	1,647.00	—
TARGET CORP Symbol: TGT	Growth Buy	54.050	50.	2,702.50	3,246.00	-534.60

5164

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
TEXAS INSTRUMENTS INC Symbol: TXN	Aggressive Buy	29.680	30.	$890.40	$1,123.60	-$274.60
UNION PACIFIC CORP Symbol: UNP	Growth None	88.460	30.	2,653.80	2,626.40	654.80
UNITED TECHNOLOGIES CORP Symbol: UTX	Growth Buy	58.890	50.	2,944.50	3,214.20	-530 00
UNITEDHEALTH GROUP INC Symbol: UNH	Growth Buy	58.890	30.	1,766.70	1,758.48	—
WACHOVIA CORP 2ND NEW Symbol: WB	Growth & Income Buy	57.690	60.	3,461.40	3,539.80	-502.80
WELLPOINT INC Symbol: WLP	Growth None	77.290	30	2,318.70	2,677.60	-685 50
WELLS FARGO & CO NEW Symbol: WFC	Growth & Income Buy	64.570	60.	3,874.20	4,342.64	-611.10
Total stocks				$105,090.00	$113,494.12	-$16,534 76
Total estimated asset value				$108,775.43		

5165

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Investment Activity

Total cash and money market funds on Jan 28	$4,617.17
Additions	
Income	$169.23
Proceeds from securities sold	$2,116.56
Total additions	$2,285.79
Subtractions	
Withdrawals to purchase securities	-$2,995.00
Fees	-$222.50
Total subtractions	-$3,217.50
Total cash and money market funds on Feb 24	$3,685.43

Detail of Your Investment Activity

Additions

	Type	Date		Days	Rate	Amount	Where Invested
Income	Money market dividends	02/21	MONEY MARKET	30	3.65	12.83	Money market

Type	Tax Info.	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Dividends	Q	01/30	DOW CHEM CO	40.	0.335		$13.40	Money market
	Q	01/31	MORGAN STANLEY DEAN WITTER CO	30.	0.27		8.10	Money market
	Q	02/01	DEERE & CO	30.	0.39		11.70	Money market
	Q	02/01	AT&T INC	180.	0.3325		59.85	Money market
	Q	02/01	KEYSPAN CORP	50.	0.465		23.25	Money market

5166

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Additions, continued

	Type	Tax Info.	Date		Quantity	Amount per share	Rate	Amount	Where invested
Income	Dividends	Q	02/13	TEXAS INSTRUMENTS INC	30.	0.03		$0.90	Money market
		Q	02/24	CITIGROUP INC	80.	0.49		39.20	Money market
			Total income					$169.23	

	Date		Quantity	Amount per share	Trade date	Amount	Where invested
Proceeds from securities sold	02/01	FIRST INDL REALTY TRUST INC	30.	39.8271		$1,194.81	Money market
	02/21	CITIGROUP INC	20.	46.0974		~~$21.75~~	~~Money market~~
	Total proceeds from securities sold					$2,116.56	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	02/01	UNITEDHEALTH GROUP INC	30.	58.6153		-$1,758.46	Money market
	02/21	WELLS FARGO & CO NEW	10.	63.284		-632.84	Money market
	02/21	MORGAN STANLEY DEAN WITTER CO	10.	60.373		-603.73	Money market
	Total withdrawals to purchase securities					-$2,995.03	
Fees	02/17	MANAGED ACCOUNT FEE				-$222.50	Money market
	Total fees					-$222.50	

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	-$36.65	-$72.44
Long term (held over 1 year)	0.00	0.00
Total	-$36.65	-$72.44

5167

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
CITIGROUP INC	08/08/2005	02/15	20.000	$958.40	$921.75	-$36.65	Short term

As you requested, copies of your statement have been sent to:

5168

Account number:
Statement type:
February 25 - March 31, 2006

201 Progress Parkway
Maryland Heights, MO 63043 3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

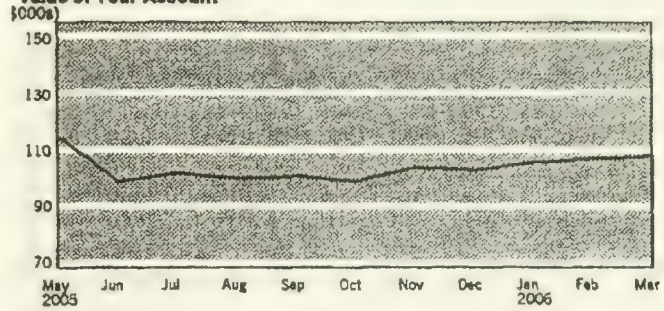
Value Summary (Held at Edward Jones)

Value on Mar 31	$109,414.46
~~Value on Feb 25~~	~~$108,775.43~~
Value one year ago	—

Summary of Your Assets

Held at Edward Jones	Value on Mar 31	Value on Feb 25	Change in value
Cash & money market	$3,801.21	$3,685.43	$115.78
Stocks	105,613.25	105,090.00	523.25
Total at Edward Jones	**$109,414.46**	$108,775.43	$639.03

Value of Your Account



his chart shows how the value of your account has changed recently
ncludes only assets held at Edward Jones). While it's important to be aware
f short-term results, don't lose sight of the long term. Edward Jones believes
long-term investment strategy offers the greatest potential for success.

5169

201 Progress Parkway
Mary and Heights, MO 63043 3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Income

Income distributions from securities	This period Taxable	Tax-free	Total	Year-to-date Taxable	Tax-free	Total
Money market dividends	$10.34	—	$10.34	$33.05	—	$33.05
Dividends						
Qualified (Q) - Reduced Tax Eligible	295.15	—	295.15	565.05	—	565.05
Nonqualified (N) - Taxable	13.05	—	13.05	13.05	—	13.05
Total	$318.54	—	$318.54	$611.15	—	$611.15

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges						
Income reported in prior year			—			$34.28
Total			—			$34.28

Your Estimated Interest and Dividends

The following is an estimated summary of your interest and dividend income for the next 12 months. It is based on a variety of factors. These factors, including distribution rates and amount of principal invested, are subject to change. Because they are estimates, the specific amounts listed here are not guaranteed by Edward Jones or by the underlying issuer(s) of the securities. Income from items with * is being reinvested.

			2006									2007			
Cash & money market funds		Quantity	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	Total
Money Market 3.90%		3,801	12	12	12	12	12	12	12	12	12	12	12	12	144
Stocks	**Tax Info.**														
ALLSTATE CORP	Q	30	10			10			10			10			40
ALTRIA GROUP INC	Q	30	24			24			24			24			96
ANHEUSER BUSCH COMPANIES INC	Q	40			10			10			10			10	40
APPLIED MATERIALS INC	Q	60			1			1			1			1	4

5170

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks	Tax Info.	Quantity	2006 APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	2007 JAN	FEB	MAR	Total
ARCHSTONE SMITH TRUST	N	30		13			13			13			13		52
AT&T INC	Q	180		58			59			59			59		236
BANK OF AMERICA CORP	Q	70			35			35			35			35	140
BP AMOCO PLC SPONSORED ADR 25P	Q	60			33			33			33			33	132
CARDINAL HEALTH INC	Q	30	1			1			1			1			4
CHEVRON CORP NEW	Q	50			22			22			22			22	88
CITIGROUP INC	Q	60		29			29			29			29		116
CONOCOPHILLIPS	Q	40			14			14			14			14	56
DEERE & CO	Q	30		11			11			11			11		44
DOMINION RESOURCE INC	Q	30			20			20			20			20	80
DOW CHEM CO	Q	40	15			15			15			15			60
EMERSON ELECTRIC CO	Q	30			13			13			13			13	52
EQUITY OFFICE PROPERTIES TRUST	N	40			13			13			13			13	52
EQUITY RESIDENTIAL PPTYS TR	N	30	13			13			13			13			52
FIRST DATA CORP	Q	50	3			3			3			3			12
GENERAL ELECTRIC CO	Q	100	25			25			25			25			100
HOME DEPOT INC	Q	50			7			7			7			7	28
HONDA MOTOR LTD ADR NEW	Q	60			9						9				18
JEFFERSON PILOT CORP	Q	20			8			8			8			8	32
KEYSPAN CORP	Q	50		23			23			23			23		92
KIMBERLY CLARK CORP	Q	50	24			24			24			24			96
MARATHON OIL CORP	Q	40			13			13			13			13	52

5171

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks	Tax info.	Quantity	2006 APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	2007 JAN	FEB	MAR	Total
MCDONALDS CORP	Q	40									26				26
MGIC INVESTMENT CORP	Q	40			10			10			10			10	40
MICROSOFT CORP	Q	160			14			14			14			14	56
MORGAN STANLEY	Q	40	10			10			10			10			40
NOVARTIS AG ADR	Q	30	26												26
PFIZER INC	Q	70			16			16			16			16	64
PNC BANK CORP	Q	30	15			15			15			15			60
TARGET CORP	Q	50			5			5			5			5	20
UNION PACIFIC CORP	Q	30	9			9			9			9			36
UNITED TECHNOLOGIES CORP	Q	50			11			11			11			11	44
WACHOVIA CORP 2ND NEW	Q	60			30			30			30			30	120
WELLS FARGO & CO NEW	Q	60			31			31			31			31	124
Total			**187**	**147**	**327**	**161**	**147**	**318**	**161**	**147**	**353**	**161**	**147**	**318**	**2,574**

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	3.90%	3.98%	$3,801.21
Total cash and money market funds			**$3,801.21**

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ALLSTATE CORP	Growth & Income	52.110	30.	$1,563.30	$1,750.93	—
Symbol: ALL	Buy					

5172

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ALTRIA GROUP INC Symbol: MO	Aggressive Hold	70.860	30.	$2,125.80	$2,068.50	—
ANHEUSER BUSCH COMPANIES INC Symbol: BUD	Growth & Income Buy	42.770	40.	1,710.80	2,379.00	-473.80
APPLIED MATERIALS INC Symbol: AMAT	Aggressive Buy	17.510	60.	1,050.60	1,179.49	-169.80
ARCHSTONE SMITH TRUST Symbol: ASN	Growth & Income None	48.770	30.	1,463.10	1,149.30	—
AT&T INC Symbol: T	Growth & Income Buy	27.040	180.	4,867.20	4,248.99	—
BANK OF AMERICA CORP Symbol: BAC	Growth & Income Buy	45.540	70.	3,187.80	4,138.20	-914.40
BEST BUY INC Symbol: BBY	Growth None	55.930	45.	2,516.85	2,332.40	-592.20
BP AMOCO PLC SPONSORED ADR 25P Symbol: BP	Growth & Income Buy	68.940	60.	4,136.40	4,345.60	-625.50
CARDINAL HEALTH INC Symbol: CAH	Aggressive Sell	74.520	30.	2,235.60	2,333.01	-580.10
CHEVRON CORP NEW Symbol: CVX	Growth & Income Buy	57.970	50.	2,898.50	3,324.00	-562.40
CISCO SYSTEMS INC Symbol: CSCO	Aggressive Buy	21.670	100.	2,167.00	2,539.30	-559.70
CITIGROUP INC Symbol: C	Growth & Income Buy	47.230	60.	2,833.80	4,754.90	-1,877.45
COMPUTER SCIENCES CORP Symbol: CSC	Growth None	55.550	40.	2,222.00	2,284.00	-451.30
CONOCOPHILLIPS Symbol: COP	Growth & Income Buy	63.150	40.	2,526.00	2,789.42	-560.20

5173

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
DEERE & CO Symbol: DE	Growth & Income Hold	79.050	30.	$2,371.50	$1,969.80	—
DOMINION RESOURCE INC Symbol: D	Growth & Income Buy	69.030	30.	2,070.90	2,869.60	-729.20
DOW CHEM CO Symbol: DOW	Growth & Income None	40.600	40.	1,624.00	1,834.00	—
EMERSON ELECTRIC CO Symbol: EMR	Growth & Income Buy	83.630	30.	2,508.90	1,968.30	—
EQUITY OFFICE PROPERTIES TRUST Symbol: EOP	Growth & Income Hold	33.580	40.	1,343.20	1,350.80	—
EQUITY RESIDENTIAL PPTYS TR Symbol: EQR	Growth & Income Hold	46.790	30.	1,403.70	1,113.00	—
FIRST DATA CORP Symbol: FDC	Growth Buy	46.820	50.	2,341.00	2,390.40	-405.00
GENERAL ELECTRIC CO Symbol: GE	Growth & Income Buy	34.780	100.	3,478.00	4,406.40	-711.60
HOME DEPOT INC Symbol: HD	Growth Buy	42.300	50.	2,115.00	2,416.56	-397.20
HONDA MTR LTD ADR NEW Symbol: HMC	Growth None	30.960	60.	1,857.60	1,747.90	-247.40
JEFFERSON PILOT CORP Symbol: JP	Growth & Income None	55.940	20.	1,118.80	1,000.80	—
KEYSPAN CORP Symbol: KSE	Growth & Income Hold	40.870	50.	2,043.50	2,385.00	-402.40
KIMBERLY CLARK CORP Symbol: KMB	Growth & Income None	57.800	50.	2,890.00	3,902.10	-648.40
MARATHON OIL CORP Symbol: MRO	Growth & Income None	76.170	40.	3,046.80	2,545.00	-519.40

5174

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
MCDONALDS CORP Symbol: MCD	Growth & Income Hold	34.360	40.	$1,374.40	$1,175.60	—
MGIC INVESTMENT CORP Symbol: MTG	Growth None	66.630	40.	2,665.20	2,456.40	—
MICROSOFT CORP Symbol: MSFT	Growth Buy	27.210	160.	4,353.60	4,852.11	-781.71
MORGAN STANLEY Symbol: MS	Growth Buy	62.820	40.	2,512.80	2,172.65	—
NOVARTIS AG ADR Symbol: NVS	Growth Buy	55.440	30.	1,663.20	1,639.07	—
ORACLE CORP Symbol: ORCL	Aggressive Buy	13.690	80.	1,095.20	1,276.19	-253.70
PFIZER INC Symbol: PFE	Growth & Income Buy	24.920	70.	1,744.40	2,229.60	-278.50
PNC BANK CORP Symbol: PNC	Growth & Income None	67.310	30.	2,019.30	1,847.00	—
TARGET CORP Symbol: TGT	Growth Buy	52.010	50.	2,600.50	3,246.00	-534.60
TEXAS INSTRUMENTS INC Symbol: TXN	Aggressive Buy	32.470	30.	974.10	1,123.60	-274.60
UNION PACIFIC CORP Symbol: UNP	Growth None	93.350	30.	2,800.50	2,626.40	-654.80
UNITED TECHNOLOGIES CORP Symbol: UTX	Growth Buy	57.970	50.	2,898.50	3,214.20	-530.00
UNITEDHEALTH GROUP INC Symbol: UNH	Growth Buy	55.860	30.	1,675.80	1,758.46	—
WACHOVIA CORP 2ND NEW Symbol: WB	Growth & Income Buy	56.050	60.	3,363.00	3,539.90	-502.80

5175

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
WELLPOINT INC Symbol: WLP	Growth None	77.430	30.	$2,322.90	$2,677.60	-$685.50
WELLS FARGO & CO NEW Symbol: WFC	Growth & Income Buy	63.870	60.	3,832.20	4,342.64	-611.10
Total stocks				$105,613.25	$113,494.12	-$16,534.76
Total estimated asset value				**$109,414.46**		

Summary of Your Investment Activity

Total cash and money market funds on Feb 25	$3,685.43
Additions	
Income	$318.54
Total additions	$318.54
Subtractions	
Fees	-$202.76
Total subtractions	-$202.76
Total cash and money market funds on Mar 31	**$3,801.21**

Detail of Your Investment Activity

Additions

	Type	Date		Days	Rate	Amount	Where Invested
Income	Money market dividends	03/20	MONEY MARKET	27	3.73	10.34	Money market

Type	Tax Info.	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Dividends	N	02/28	ARCHSTONE SMITH TRUST	30.	0.435		$13.05	Money market

5176

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Additions, continued

	Type	Tax Info.	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Income	Dividends	Q	03/01	CONOCOPHILLIPS	40.	0.36		$14.40	Money market
		Q	03/01	WELLS FARGO & CO NEW	50.	0.52		26.00	Money market
		Q	03/01	MGIC INVESTMENT CORP	40.	0.25		10.00	Money market
		Q	03/06	JEFFERSON PILOT CORP	20.	0.4175		8.35	Money market
		Q	03/07	PFIZER INC	70.	0.24		16.80	Money market
		Q	03/09	APPLIED MATERIALS INC	60.	0.03		1.80	Money market
		Q	03/09	ANHEUSER BUSCH COMPANIES INC	40.	0.27		10.80	Money market
		Q	03/09	MICROSOFT CORP	160.	0.09		14.40	Money market
		Q	03/10	CHEVRON CORP NEW	50.	0.45		22.50	Money market
		Q	03/10	MARATHON OIL CORP	40.	0.33		13.20	Money market
		Q	03/10	EMERSON ELECTRIC CO	30.	0.445		13.35	Money market
		Q	03/10	UNITED TECHNOLOGIES CORP	50.	0.22		11.00	Money market
		Q	03/10	TARGET CORP	50.	0.10		5.00	Money market
		Q	03/14	BP AMOCO PLC SPONSORED ADR 25P	60.	0.5625		33.75	Money market
		Q	03/15	WACHOVIA CORP 2ND NEW	60.	0.51		30.60	Money market
		Q	03/20	DOMINION RESOURCE INC	30.	0.69		20.70	Money market
		Q	03/23	HOME DEPOT INC	50.	0.15		7.50	Money market
		Q	03/24	BANK OF AMERICA CORP	70.	0.50		35.00	Money market
				Total income				$318.54	

Subtractions

	Date		Amount	Source of Funds
Fees	03/15	MANAGED ACCOUNT FEE	-$202.76	Money market
	Total fees		-$202.76	

5177

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$0.00	-$72.44
Long term (held over 1 year)	0.00	0.00
Total	$0.00	-$72.44

As you requested, copies of your statement have been sent to:

5178

Account number:
Statement type:
April 1 - April 28, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

Value Summary (Held at Edward Jones)

Value on Apr 28	$111,734.81
Value on Apr 1	$109,414.46
Value one year ago	—

Summary of Your Assets

Held at Edward Jones	Value on Apr 28	Value on Apr 1	Change in value
Cash & money market	$4,024.35	$3,801.21	$223.14
Stocks	107,710.46	105,613.25	2,097.21
Total at Edward Jones	**$111,734.81**	$109,414.46	$2,320.35

Summary of Your Income

	This period			Year-to-date		
Income distributions from securities	Taxable	Tax-free	Total	Taxable	Tax-free	Total
Money market dividends	$12.86	—	$12.86	$45.91	—	$45.91
Dividends						
Qualified (Q) - Reduced Tax Eligible	167.30	—	167.30	732.35	—	732.35
Nonqualified (N) - Taxable	26.48	—	26.48	39.53	—	39.53
Total	$206.64	—	$206.64	$817.79	—	$817.79

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges				
Income reported in prior year		—		$34.28
Foreign taxes paid		-3.95		-3.95
Total		-$3.95		$30.33

5179

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	3.96%	4.03%	$4,024.35
Total cash and money market funds			$4,024.35

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ALLSTATE CORP Symbol: ALL	Growth & Income Buy	56.490	30.	$1,694.70	$1,750.93	—
ALTRIA GROUP INC Symbol: MO	Aggressive Hold	73.160	30.	2,194.80	2,068.50	—
ANHEUSER BUSCH COMPANIES INC Symbol: BUD	Growth & Income Buy	44.580	40.	1,783.20	2,379.00	-473.80
APPLIED MATERIALS INC Symbol: AMAT	Aggressive Buy	17.950	60.	1,077.00	1,179.49	-169.80
ARCHSTONE SMITH TRUST Symbol: ASN	Growth & Income None	48.880	30.	1,466.40	1,149.30	—
AT&T INC Symbol: T	Growth & Income Buy	26.210	180.	4,717.80	4,248.99	—
BANK OF AMERICA CORP Symbol: BAC	Growth & Income Buy	49.920	70.	3,494.40	4,138.20	-914.40
BEST BUY INC Symbol: BBY	Growth None	56.660	45.	2,549.70	2,332.40	-592.20
BP AMOCO PLC SPONSORED ADR 25P Symbol: BP	Growth & Income Buy	73.720	60.	4,423.20	4,345.60	-625.50

5180

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
CARDINAL HEALTH INC Symbol: CAH	Aggressive Sell	67.350	30.	$2,020.50	$2,333.01	-$580.10
CHEVRON CORP NEW Symbol: CVX	Growth & Income Buy	61.020	50.	3,051.00	3,324.00	-562.40
CISCO SYSTEMS INC Symbol: CSCO	Aggressive Buy	20.950	100.	2,095.00	2,539.30	-559.70
CITIGROUP INC Symbol: C	Growth & Income Buy	49.950	60.	2,997.00	4,754.90	-1,877.45
COMPUTER SCIENCES CORP Symbol: CSC	Growth None	58.550	40.	2,342.00	2,284.00	-451.30
CONOCOPHILLIPS Symbol: COP	Growth & Income Buy	66.900	40.	2,676.00	2,789.42	-560.20
DEERE & CO Symbol: DE	Growth & Income Hold	87.780	30.	2,633.40	1,969.80	—
DOMINION RESOURCE INC Symbol: D	Growth & Income Buy	74.870	30.	2,246.10	2,869.60	-729.20
DOW CHEM CO Symbol: DOW	Growth & Income None	40.610	40.	1,624.40	1,834.00	—
EMERSON ELECTRIC CO Symbol: EMR	Growth & Income Buy	84.950	30.	2,548.50	1,968.30	—
EQUITY OFFICE PROPERTIES TRUST Symbol: EOP	Growth & Income Hold	32.300	40.	1,292.00	1,350.80	—
EQUITY RESIDENTIAL PPTYS TR Symbol: EQR	Growth & Income Hold	44.870	30.	1,346.10	1,113.00	—
FIRST DATA CORP Symbol: FDC	Growth Buy	47.690	50.	2,384.50	2,390.40	-405.00
GENERAL ELECTRIC CO Symbol: GE	Growth & Income Buy	34.590	100.	3,459.00	4,406.40	-711.60

5181

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
HOME DEPOT INC Symbol: HD	Growth Buy	39.930	50.	$1,996.50	$2,416.56	-$397.20
HONDA MTR LTD ADR NEW Symbol: HMC	Growth None	35.430	60.	2,125.80	1,747.90	-247.40
KEYSPAN CORP Symbol: KSE	Growth & Income Hold	40.380	50.	2,019.00	2,385.00	-402.40
KIMBERLY CLARK CORP Symbol: KMB	Growth & Income None	58.530	50.	2,926.50	3,902.10	-648.40
LINCOLN NATL CORP IND Symbol: LNC	Growth & Income None	58.080	17.	987.36	—	—
MARATHON OIL CORP Symbol: MRO	Growth & Income None	79.360	40.	3,174.40	2,545.00	-519.40
MCDONALDS CORP Symbol: MCD	Growth & Income Hold	34.570	40.	1,382.80	1,175.60	—
MGIC INVESTMENT CORP Symbol: MTG	Growth None	70.700	40.	2,828.00	2,456.40	—
MICROSOFT CORP Symbol: MSFT	Growth Buy	24.150	160.	3,864.00	4,852.11	-781.71
MORGAN STANLEY Symbol: MS	Growth Buy	64.300	40.	2,572.00	2,172.65	—
NOVARTIS AG ADR Symbol: NVS	Growth Buy	57.510	30.	1,725.30	1,639.07	—
ORACLE CORP Symbol: ORCL	Aggressive Buy	14.590	80.	1,167.20	1,276.19	-253.70
PFIZER INC Symbol: PFE	Growth & Income Buy	25.330	70.	1,773.10	2,229.60	-278.50
PNC BANK CORP Symbol: PNC	Growth & Income None	71.470	30.	2,144.10	1,647.00	—

5182

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
TARGET CORP Symbol: TGT	Growth Buy	53.100	50.	$2,655.00	$3,246.00	-$534.60
TEXAS INSTRUMENTS INC Symbol: TXN	Aggressive Buy	34.710	30.	1,041.30	1,123.60	-274.60
UNION PACIFIC CORP Symbol: UNP	Growth None	91.210	30.	2,736.30	2,626.40	-654.80
UNITED TECHNOLOGIES CORP Symbol: UTX	Growth Buy	62.810	50.	3,140.50	3,214.20	-530.00
UNITEDHEALTH GROUP INC Symbol: UNH	Growth Buy	49.740	30.	1,492.20	1,758.46	—
WACHOVIA CORP 2ND NEW Symbol: WB	Growth & Income Buy	59.850	60.	3,591.00	3,539.90	-502.80
WELLPOINT INC Symbol: WLP	Growth None	71.000	30.	2,130.00	2,677.60	-685.50
WELLS FARGO & CO NEW Symbol: WFC	Growth & Income Buy	68.690	60.	4,121.40	4,342.64	-611.10
Total stocks				**$107,710.46**	—	—
Total estimated asset value				**$111,734.81**		

5183

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Investment Activity

Total cash and money market funds on Apr 01	$3,801.21
Additions	
Income	$206.64
Other income	$248.76
Total additions	$455.40
Subtractions	
Fees	-$228.31
Taxes withheld	-$3.95
Total subtractions	-$232.26
Total cash and money market funds on Apr 28	**$4,024.35**

Detail of Your Investment Activity

Additions

	Type		Date			Days	Rate	Amount	Where Invested
Income	Money market dividends		04/20	MONEY MARKET		31	3.95	12.86	Money market
	Type	**Tax Info.**	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Invested**
	Dividends	Q	04/03	ALLSTATE CORP	30.	0.35		$10.50	Money market
		Q	04/03	UNION PACIFIC CORP	30.	0.30		9.00	Money market
		Q	04/04	KIMBERLY CLARK CORP	50.	0.49		24.50	Money market
		Q	04/04	NOVARTIS AG ADR	30.	0.876522		26.30	Money market
		Q	04/10	ALTRIA GROUP INC	30.	0.80		24.00	Money market
		Q	04/13	FIRST DATA CORP	50.	0.06		3.00	Money market
		N	04/13	EQUITY RESIDENTIAL PPTYS TR	30.	0.4425		13.28	Money market

5184

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Additions, continued

	Type	Tax info.	Date		Quantity	Amount per share	Rate	Amount	Where invested
Income	Dividends	N	04/17	EQUITY OFFICE PROPERTIES TRUST	40.	0.33		$13.20	Money market
		Q	04/17	CARDINAL HEALTH INC	30.	0.06		1.80	Money market
		Q	04/17	UNITEDHEALTH GROUP INC	30.	0.03		0.90	Money market
		Q	04/24	PNC BANK CORP	30.	0.55		16.50	Money market
		Q	04/25	GENERAL ELECTRIC CO	100.	0.25		25.00	Money market
		Q	04/28	MORGAN STANLEY	40.	0.27		10.80	Money market
		Q	04/28	DOW CHEM CO	40.	0.375		15.00	Money market
			Total income					$206.64	

		Date		Quantity	Amount per share	Trade date	Amount	Where invested
Other income	Redemptions	04/17	CASH IN LIEU LINCOLN NATL CORP IND				$24.92	Money market
		04/17	JEFFERSON PILOT CORP OFFER PRORATED AT 17.6%	20.			223.84	Money market
		Total other income					$248.76	

Subtractions

	Date		Amount	Source of Funds
Fees	04/17	MANAGED ACCOUNT FEE	-$228.31	Money market
	Total fees		-$228.31	

	Date		Amount	Source of Funds
Taxes withheld	04/04	NOVARTIS AG ADR 15.000% FOREIGN TAX	-$3.95	Money market
	Total taxes withheld		-$3.95	

Other Activity

Date	Activity		Quantity	Notes	Estimated Value
04/17	EXCHANGE TO	LINCOLN NATL CORP IND	17.	OFFER PRORATED AT 82.3%	—

5185

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	-$776.96	-$849.40
Long term (held over 1 year)	0.00	0.00
Total	-$776.96	-$849.40

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
JEFFERSON PILOT CORP	06/08/2005	04/17	20.000	$1,000.80	$223.84	-$776.96	Short term

As you requested, copies of your statement have been sent to:

5186

Account number:
Statement type:
April 29 - May 26, 2006

201 Progress Parkway
Maryland Heights, MO 63043 3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

Value Summary (Held at Edward Jones)

Value on May 26	$108,731.02
Value on Apr 29	$111,734.81
Value one year ago	$116,927.13

Summary of Your Assets

Held at Edward Jones	Value on May 26	Value on Apr 29	Change in value
Cash & money market	$5,780.75	$4,024.35	$1,756.40
Stocks	102,950.27	107,710.46	-4,760.19
Total at Edward Jones	$108,731.02	$111,734.81	-$3,003.79

Summary of Your Income

	This period			Year-to-date		
Income distributions from securities	Taxable	Tax-free	Total	Taxable	Tax-free	Total
Money market dividends	$15.81	—	$15.81	$61.72	—	$61.72
Dividends						
Qualified (Q) - Reduced Tax Eligible	135.16	—	135.16	867.51	—	867.51
Nonqualified (N) - Taxable	—	—	—	39.53	—	39.53
Total	$150.97	—	$150.97	$968.76	—	$968.76

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges			
Income reported in prior year		—	$34.28
Foreign taxes paid		—	-3.95
Total		—	$30.33

5187

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.18%	4.27%	$5,780.75
Total cash and money market funds			$5,780.75

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ALLSTATE CORP Symbol: ALL	Growth & Income Buy	55.010	30.	$1,650.30	$1,750.93	—
ALTRIA GROUP INC Symbol: MO	Aggressive Hold	72.470	30.	2,174.10	2,088.50	—
ANHEUSER BUSCH COMPANIES INC Symbol: BUD	Growth & Income Buy	45.760	40	1,830.40	2,379.00	-473.80
APPLIED MATERIALS INC Symbol: AMAT	Aggressive Buy	16.910	60.	1,014.60	1,179.49	-169.80
ARCHSTONE SMITH TRUST Symbol: ASN	Growth & Income None	48.720	30.	1,461.60	1,149.30	—
AT&T INC Symbol: T	Growth & Income Buy	26.230	110.	2,885.30	4,248.99	-1,828.46
BANK OF AMERICA CORP Symbol: BAC	Growth & Income Buy	49.380	70.	3,456.60	4,138.20	-914.40
BEST BUY INC Symbol: BBY	Growth None	51.860	45.	2,333.70	2,332.40	-592.20
BP AMOCO PLC SPONSORED ADR 25P Symbol: BP	Growth & Income Buy	71.620	60.	4,297.20	4,345.60	-625.50

5188

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
CARDINAL HEALTH INC Symbol: CAH	Aggressive Sell	66.980	30.	$2,009.40	$2,333.01	-$580 10
CHEVRON CORP NEW Symbol: CVX	Growth & Income Buy	59.830	50.	2,991.50	3,324 00	-562 40
CISCO SYSTEMS INC Symbol: CSCO	Aggressive Buy	20.320	100.	2,032.00	2,539.30	-559.70
CITIGROUP INC Symbol: C	Growth & Income Buy	49.570	60.	2,974.20	4,754.90	-1,877.45
COMPUTER SCIENCES CORP Symbol: CSC	Growth None	56.450	40.	2,258.00	2,284.00	-451.30
CONOCOPHILLIPS Symbol: COP	Growth & Income Buy	63.460	40.	2,538.40	2,789.42	-560.20
DEERE & CO Symbol: DE	Growth & Income Hold	87.080	30.	2,612.40	1,969.80	—
DOMINION RESOURCE INC Symbol: D	Growth & Income Buy	72.860	30.	2,185.80	2,869.60	-729.20
DOW CHEM CO Symbol: DOW	Growth & Income None	40.240	40.	1,609.60	1,834.00	—
EMERSON ELECTRIC CO Symbol: EMR	Growth & Income Buy	82.540	30.	2,476 20	1,968 30	—
EQUITY OFFICE PROPERTIES TRUST Symbol: EOP	Growth & Income Hold	34.250	40.	1,370.00	1,350 80	—
EQUITY RESIDENTIAL PPTYS TR Symbol: EQR	Growth & Income Hold	43.920	30.	1,317.60	1,113.00	—
FIRST DATA CORP Symbol: FDC	Growth Buy	46.890	50.	2,344.50	2,390.40	-405.00
GENERAL ELECTRIC CO Symbol: GE	Growth & Income Buy	34.330	100.	3,433.00	4,406.40	-711.60

5189

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
HOME DEPOT INC Symbol: HD	Growth Buy	38.670	50.	$1,933.50	$2,416.56	-$397.20
HONDA MTR LTD ADR NEW Symbol: HMC	Growth None	33.560	60.	2,013.60	1,747.90	-247.40
KEYSPAN CORP Symbol: KSE	Growth & Income Hold	40.270	50.	2,013.50	2,385.00	-402.40
KIMBERLY CLARK CORP ~~Symbol: KMB~~	Growth & Income ~~None~~	60.120	50.	3,006.00	3,902.10	-648.40
LINCOLN NATL CORP IND Symbol: LNC	Growth & Income None	56.510	17.	960.67	937.04	—
MARATHON OIL CORP Symbol: MRO	Growth & Income None	76.000	40.	3,040.00	2,545.00	-519.40
MCDONALDS CORP Symbol: MCD	Growth & Income Hold	32.920	40.	1,316.80	1,175.60	—
MGIC INVESTMENT CORP Symbol: MTG	Growth None	66.220	40.	2,648.80	2,456.40	—
MICROSOFT CORP Symbol: MSFT	Growth Buy	23.720	160.	3,795.20	4,852.11	-781.71
MORGAN STANLEY Symbol: MS	Growth Buy	60.570	40.	2,422.80	2,172.65	—
NOVARTIS AG ADR Symbol: NVS	Growth Buy	56.060	30.	1,681.80	1,639.07	—
ORACLE CORP Symbol: ORCL	Aggressive Buy	14.050	80.	1,124.00	1,276.19	-253.70
PFIZER INC Symbol: PFE	Growth & Income Buy	24.000	70.	1,680.00	2,229.60	-278.50
PNC BANK CORP Symbol: PNC	Growth & Income None	68.950	30.	2,068.50	1,647.00	—

5190

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
TARGET CORP Symbol: TGT	Growth Buy	48.850	50.	$2,442.50	$3,248.00	-$534.80
TEXAS INSTRUMENTS INC Symbol: TXN	Aggressive Buy	31.640	30.	949.20	1,123.60	-274.80
UNION PACIFIC CORP Symbol: UNP	Growth None	92.680	30.	2,778.80	2,926.40	-654.80
UNITED TECHNOLOGIES CORP Symbol: UTX	Growth Buy	62.600	50.	3,130.00	3,214.20	-530.00
UNITEDHEALTH GROUP INC Symbol: UNH	Growth Buy	43.120	30.	1,293.60	1,758.46	—
WACHOVIA CORP 2ND NEW Symbol: WB	Growth & Income Buy	53.270	60.	3,196.20	3,539.90	-502.80
WELLPOINT INC Symbol: WLP	Growth None	72.080	30.	2,162.40	2,677.60	-685.50
WELLS FARGO & CO NEW Symbol: WFC	Growth & Income Buy	67.250	60.	4,035.00	4,342.64	-611.10
Total stocks				**$102,950.27**	$113,430.36	-$18,363.22
Total estimated asset value				**$108,731.02**		

5191

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Investment Activity

Total cash and money market funds on Apr 29	$4,024.35
Additions	
Income	$150.97
Proceeds from securities sold	$1,828.46
Total additions	$1,979.43
Subtractions	
Fees	-$223.03
Total subtractions	-$223.03
Total cash and money market funds on May 26	**$5,780.75**

Detail of Your Investment Activity

Additions

	Type		Date			Days	Rate	Amount	Where Invested
Income	Money market dividends		05/22	MONEY MARKET		32	4.05	15.81	Money market
	Type	**Tax info.**	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Invested**
	Dividends	Q	05/01	LINCOLN NATL CORP IND	17	0.38		$6.46	Money market
		Q	05/01	KEYSPAN CORP	50.	0.465		23.25	Money market
		Q	05/01	DEERE & CO	30.	0.39		11.70	Money market
		Q	05/01	AT&T INC	180.	0.3325		59.85	Money market
		Q	05/11	BEST BUY INC	45.	0.08		3.60	Money market
		Q	05/22	TEXAS INSTRUMENTS INC	30.	0.03		0.90	Money market
		Q	05/26	CITIGROUP INC	60.	0.49		29.40	Money market
			Total income					**$150.97**	

5192

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Additions, continued

	Date		Quantity	Amount per share	Trade date	Amount	Where invested
Proceeds from securities sold	05/15	AT&T INC	70.	26.1209		1,828.46	Money market
	Total proceeds from securities sold					$1,828.46	

Subtractions

	Date		Amount	Source of Funds
Fees	05/16	MANAGED ACCOUNT FEE	-$223.03	Money market
	Total fees		-$223.03	

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$181.36	-$668.04
Long term (held over 1 year)	0.00	0.00
Total	**$181.36**	**-$668.04**

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
AT&T INC	06/08/2005	05/10	70.000	$1,647.10	$1,828.46	$181.36	Short term

As you requested, copies of your statement have been sent to:

5193

201 Progress Parkway
Maryland Heights, MO 63043 3042
www.edwardjones.com
Member SIPC

Edward Jones

This page intentionally left blank

Account number:
Statement type:
May 27 - June 30, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

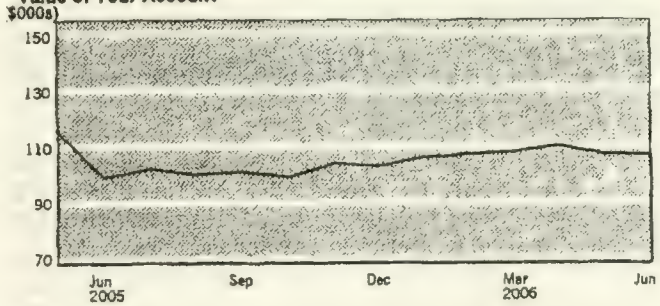
Value Summary (Held at Edward Jones)

Value on Jun 30	$108,643.76
Value on May 27	$108,731.02
Value one year ago	$100,433.67

Summary of Your Assets

Held at Edward Jones	Value on Jun 30	Value on May 27	Change in value
Cash & money market	$3,144.58	$5,780.75	-$2,636.17
Stocks	105,499.18	102,950.27	2,548.91
Total at Edward Jones	**$108,643.76**	$108,731.02	-$87.26

Value of Your Account



This chart shows how the value of your account has changed recently
includes only assets held at Edward Jones). While it's important to be aware
f short-term results, don't lose sight of the long term. Edward Jones believes
long-term investment strategy offers the greatest potential for success.

5195

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Income

Income distributions from securities	This period Taxable	Tax-free	Total	Year-to-date Taxable	Tax-free	Total
Money market dividends	$15.70	—	$15.70	$77.42	—	$77.42
Dividends						
Qualified (Q) - Reduced Tax Eligible	313.75	—	313.75	1,181.26	—	1,181.26
Nonqualified (N) - Taxable	13.05	—	13.05	52.58	—	52.58
Total	$342.50	—	$342.50	$1,311.26	—	$1,311.26

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges						
Income reported in prior year			—			$34.28
Foreign taxes paid			—			-3.95
Total			—			$30.33

Your Estimated Interest and Dividends

The following is an estimated summary of your interest and dividend income for the next 12 months. It is based on a variety of factors. These factors, including distribution rates and amount of principal invested, are subject to change. Because they are estimates, the specific amounts listed here are not guaranteed by Edward Jones or by the underlying issuer(s) of the securities. Income from items with * is being reinvested.

		2006						2007						
Cash & money market funds	Quantity	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	Total
Money Market 4.31%	3,144	11	11	11	11	11	11	11	11	11	11	11	11	132

Stocks	Tax info.													
ALLSTATE CORP	Q	30	10			10			10			10		40
ALTRIA GROUP INC	Q	30	24			24			24			24		96
AMERICAN EXPRESS CO	Q	40		6			6			6			6	24

5196

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks	Tax Info.	Quantity	2006 JUL	AUG	SEP	OCT	NOV	DEC	2007 JAN	FEB	MAR	APR	MAY	JUN	Total
APPLIED MATERIALS INC	Q	60			3			3			3			3	12
ARCHSTONE SMITH TRUST	N	30		13			13			13			13		52
AT&T INC	Q	110		36			36			36			36		144
BANK OF AMERICA CORP	Q	70			35			35			35			35	140
BP AMOCO PLC SPONSORED ADR 25P	Q	60			33			33			33			33	132
CARDINAL HEALTH INC	Q	30	2			2			2			2			8
CHEVRON CORP NEW	Q	50			26			26			26			26	104
CITIGROUP INC	Q	60		29			29			29			29		116
CONOCOPHILLIPS	Q	40			14			14			14			14	56
DEERE & CO	Q	30		11			11			11			11		44
DOMINION RESOURCES INC NEW	Q	30			20			20			20			20	80
DOW CHEM CO	Q	40	15			15			15			15			60
EMERSON ELECTRIC CO	Q	30			13			13			13			13	52
EQUITY OFFICE PROPERTIES TRUST	N	40	13			13			13			13			52
EQUITY RESIDENTIAL PPTYS TR	N	30	13			13			13			13			52
FIRST DATA CORP	Q	50	3			3			3			3			12
GENERAL ELECTRIC CO	Q	100	25			25			25			25			100
HOME DEPOT INC	Q	50			7			7			7			7	28
HONDA MOTOR LTD ADR NEW	Q	60						9						9	18
KEYSPAN CORP	Q	50		23			23			23			23		92
KIMBERLY CLARK CORP	Q	50	24			24			24			24			96
LINCOLN NATL CORP IND	Q	17		6			6			6			6		24

5197

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks	Tax info.	Quantity	2006 JUL	AUG	SEP	OCT	NOV	DEC	2007 JAN	FEB	MAR	APR	MAY	JUN	Total
MARATHON OIL CORP	Q	40			16			16			16			16	64
MCDONALDS CORP	Q	40						26							26
MGIC INVESTMENT CORP	Q	40			10			10			10			10	40
MICROSOFT CORP	Q	160			14			14			14			14	56
MORGAN STANLEY	Q	40	10			10			10			10			40
NOVARTIS AG ADR	Q	30										~~26~~			~~26~~
PEPSICO INC	Q	40			12			12			12			12	48
PFIZER INC	Q	70			16			16			16			16	64
PNC BANK CORP	Q	30	16			16			16			16			64
TARGET CORP	Q	50			6			6			6			6	24
UNION PACIFIC CORP	Q	30	9			9			9			9			36
UNITED TECHNOLOGIES CORP	Q	50			13			13			13			13	52
WACHOVIA CORP 2ND NEW	Q	60			30			30			30			30	120
WELLS FARGO & CO NEW	Q	60			33			33			33			33	132
Total			**175**	**135**	**312**	**175**	**135**	**347**	**175**	**135**	**312**	**201**	**135**	**321**	**2,558**

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.31%	4.40%	$3,144.58
Total cash and money market funds			**$3,144.58**

5198

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ALLSTATE CORP Symbol: ALL	Growth & Income Buy	54.730	30.	$1,641.90	$1,750.93	—
ALTRIA GROUP INC Symbol: MO	Aggressive Hold	73.430	30.	2,202.90	2,068.50	—
AMERICAN EXPRESS CO Symbol: AXP	Growth Buy	53.220	40.	2,128.80	2,130.34	—
APPLIED MATERIALS INC Symbol: AMAT	Aggressive Buy	16.280	60.	976.80	1,179.49	-169.80
ARCHSTONE SMITH TRUST Symbol: ASN	Growth & Income None	50.870	30.	1,526.10	1,149.30	—
AT&T INC Symbol: T	Growth & Income Buy	27.890	110.	3,067.90	4,248.99	-1,828.46
BANK OF AMERICA CORP Symbol: BAC	Growth & Income Buy	48.100	70.	3,367.00	4,138.20	-914.40
BEST BUY INC Symbol: BBY	Growth None	54.840	45.	2,467.80	2,332.40	-592.20
BP AMOCO PLC SPONSORED ADR 25P Symbol: BP	Growth & Income Buy	69.610	60.	4,176.60	4,345.60	-625.50
CARDINAL HEALTH INC Symbol: CAH	Aggressive None	64.330	30.	1,929.90	2,333.01	-580.10
CHEVRON CORP NEW Symbol: CVX	Growth & Income Buy	62.060	50.	3,103.00	3,324.00	-562.40
CISCO SYSTEMS INC Symbol: CSCO	Aggressive Buy	19.530	100.	1,953.00	2,539.30	-559.70
CITIGROUP INC Symbol: C	Growth & Income Buy	48.240	60.	2,894.40	4,754.90	-1,877.45
COMPUTER SCIENCES CORP Symbol: CSC	Growth None	48.440	40.	1,937.60	2,284.00	-451.30

5199

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
CONOCOPHILLIPS Symbol: COP	Growth & Income Buy	65.530	40.	$2,621.20	$2,769.42	-$560.20
DEERE & CO Symbol: DE	Growth & Income Hold	83.490	30.	2,504.70	1,969.80	—
DOMINION RESOURCES INC NEW Symbol: D	Growth & Income Buy	74.790	30.	2,243.70	2,869.60	-729.20
DOW CHEM CO Symbol: DOW	Growth & Income None	39.030	40.	1,561.20	1,834.00	—
EMERSON ELECTRIC CO Symbol: EMR	Growth & Income Buy	83.810	30.	2,514.30	1,968.30	—
EQUITY OFFICE PROPERTIES TRUST Symbol: EOP	Growth & Income Hold	36.510	40.	1,460.40	1,350.80	—
EQUITY RESIDENTIAL PPTYS TR Symbol: EQR	Growth & Income Hold	44.730	30.	1,341.90	1,113.00	—
FIRST DATA CORP Symbol: FDC	Growth Buy	45.040	50.	2,252.00	2,390.40	-405.00
GENERAL ELECTRIC CO Symbol: GE	Growth & Income Buy	32.960	100.	3,296.00	4,406.40	-711.60
HOME DEPOT INC Symbol: HD	Growth Buy	35.790	50.	1,789.50	2,416.56	-397.20
HONDA MTR LTD ADR NEW Symbol: HMC	Growth None	31.820	60.	1,909.20	1,747.90	-247.40
KEYSPAN CORP Symbol: KSE	Growth & Income Hold	40.400	50.	2,020.00	2,365.00	-402.40
KIMBERLY CLARK CORP Symbol: KMB	Growth & Income None	61.700	50.	3,085.00	3,902.10	-648.40
LINCOLN NATL CORP IND Symbol: LNC	Growth & Income None	56.440	17.	959.48	937.04	—

5200

201 Progress Parkway
Maryland Heights, MO 63043 3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
MARATHON OIL CORP Symbol: MRO	Growth & Income None	83.300	40.	$3,332.00	$2,545.00	-$519.40
MCDONALDS CORP Symbol: MCD	Growth & Income Hold	33.600	40.	1,344.00	1,175.60	—
MGIC INVESTMENT CORP Symbol: MTG	Growth None	65.000	40.	2,600.00	2,456.40	—
MICROSOFT CORP Symbol: MSFT	Growth Buy	23.300	160.	3,728.00	4,852.11	-781.71
MORGAN STANLEY Symbol: MS	Growth Buy	63.210	40.	2,528.40	2,172.65	—
NOVARTIS AG ADR Symbol: NVS	Growth Buy	53.920	30.	1,617.60	1,639.07	—
ORACLE CORP Symbol: ORCL	Aggressive Buy	14.490	80.	1,159.20	1,276.19	-253.70
PEPSICO INC Symbol: PEP	Growth Buy	60.040	40.	2,401.60	2,417.20	—
PFIZER INC Symbol: PFE	Growth & Income Buy	23.470	70.	1,642.90	2,229.60	-278.50
PNC BANK CORP Symbol: PNC	Growth & Income None	70.170	30.	2,105.10	1,647.00	—
TARGET CORP Symbol: TGT	Growth Buy	48.870	50.	2,443.50	3,246.00	-534.60
TEXAS INSTRUMENTS INC Symbol: TXN	Aggressive Buy	30.290	30.	908.70	1,123.60	-274.60
UNION PACIFIC CORP Symbol: UNP	Growth None	92.960	30.	2,788.80	2,626.40	-654.80
UNITED TECHNOLOGIES CORP Symbol: UTX	Growth Buy	63.420	50	3,171.00	3,214.20	-530.00

5201

201 Progress Parkway
Maryland Heights, MO 63043 3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
UNITEDHEALTH GROUP INC Symbol: UNH	Growth Buy	44.780	30.	$1,343.40	$1,758.46	—
WACHOVIA CORP 2ND NEW Symbol: WB	Growth & Income Buy	54.080	60.	3,244.80	3,539.90	-502.80
WELLPOINT INC Symbol: WLP	Growth None	72.770	30.	2,183.10	2,677.60	-685.50
WELLS FARGO & CO NEW Symbol: WFC	Growth & Income Buy	67.080	60.	4,024.80	4,342.64	-611.10
Total stocks				**$105,499.18**	$115,598.90	-$17,889.42
Total estimated asset value				**$108,643.76**		

Summary of Your Investment Activity

Total cash and money market funds on May 27	$5,780.75
Additions	
Income	$342.50
Proceeds from securities sold	$1,798.46
Total additions	$2,140.96
Subtractions	
Withdrawals to purchase securities	-$4,547.54
Fees	-$229.59
Total subtractions	-$4,777.13
Total cash and money market funds on Jun 30	**$3,144.58**

5202

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Detail of Your Investment Activity

Additions

	Type		Date			Days	Rate	Amount	Where Invested
Income	Money market dividends		06/20	MONEY MARKET		29	4.21	15.70	Money market
	Type	**Tax Info.**	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Invested**
	Dividends	N	05/31	ARCHSTONE SMITH TRUST	30.	0.435		$13.05	Money market
		Q	06/01	CONOCOPHILLIPS	40.	0.36		14.40	Money market
		Q	06/01	WELLS FARGO & CO NEW	60.	0.52		31.20	Money market
		Q	06/06	BP AMOCO PLC SPONSORED ADR 25P	60.	0.5625		33.75	Money market
		Q	06/06	PFIZER INC	70.	0.24		16.80	Money market
		Q	06/08	APPLIED MATERIALS INC	60.	0.05		3.00	Money market
		Q	06/08	MICROSOFT CORP	160.	0.09		14.40	Money market
		Q	06/09	ANHEUSER BUSCH COMPANIES INC	40.	0.27		10.80	Money market
		Q	06/09	MGIC INVESTMENT CORP	40.	0.25		10.00	Money market
		Q	06/09	EMERSON ELECTRIC CO	30.	0.445		13.35	Money market
		Q	06/12	MARATHON OIL CORP	40.	0.40		16.00	Money market
		Q	06/12	CHEVRON CORP NEW	50.	0.52		26.00	Money market
		Q	06/12	UNITED TECHNOLOGIES CORP	50.	0.265		13.25	Money market
		Q	06/12	TARGET CORP	50	0.10		5.00	Money market
		Q	06/15	WACHOVIA CORP 2ND NEW	60.	0.51		30.60	Money market
		Q	06/20	DOMINION RESOURCES INC NEW	30.	0.69		20.70	Money market
		Q	06/22	HOME DEPOT INC	50.	0.15		7.50	Money market
		Q	06/23	BANK OF AMERICA CORP	70.	0.50		35.00	Money market
		Q	06/30	PEPSICO INC	40.	0.30		12.00	Money market
			Total income					**$342.50**	
			Date		**Quantity**	**Amount per share**	**Trade date**	**Amount**	**Where Invested**
Proceeds from securities sold			06/09	ANHEUSER BUSCH COMPANIES INC	40.	44.9616		$1,798.46	Money market
			Total proceeds from securities sold					**$1,798.46**	

5203

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	06/09	PEPSICO INC	40.	60.4301		-$2,417.20	Money market
	06/09	AMERICAN EXPRESS CO	40.	53.2584		-2,130.34	Money market
	Total withdrawals to purchase securities					**-$4,547.54**	
Fees	06/15	MANAGED ACCOUNT FEE				-$229.59	Money market
	Total fees					**-$229.59**	

Pending Trades

Purchases	Trade date	Quantity	Price	Amount	Settlement date
MCKESSON CORP	06/28/2006	30.000	$46.608	$1,398.28	07/03/2006

Sells	Trade date	Quantity	Price	Amount	Settlement date
EMERSON ELECTRIC CO	06/28/2006	10.000	81.570	815.70	07/03/2006
MICROSOFT CORP	06/28/2006	20.000	23.190	463.80	07/03/2006

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	-$104.74	-$772.78
Long term (held over 1 year)	114.03	114.03
Total	**$9.29**	**-$658.75**

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
ANHEUSER BUSCH COMPANIES INC	06/08/2005	06/06	40.000	$1,903.20	$1,798.46	-$104.74	Short term

5204

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Details of Realized Gain/Loss From Sale of Your Securities, continued

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
EMERSON ELECTRIC CO	06/08/2005	06/28	10.000	$656.10	$815.70	$159.60	Long term
MICROSOFT CORP	06/08/2005	06/28	20.000	509.37	463.80	-45.57	Long term

As you requested, copies of your statement have been sent to:

5205

201 Progress Parkway
Maryland Heights, MO 63043 3042
www.edwardjones.com
Member SIPC

Edward Jones

This page intentionally left blank

Account number:
Statement type:
July 1 - July 28, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

Copy To:

Value Summary (Held at Edward Jones)

Value on Jul 28	$109,851.75
Value on Jul 1	$108,643.76
Value one year ago	$103,565.42

Summary of Your Assets

Held at Edward Jones	Value on Jul 28	Value on Jul 1	Change in value
Cash & money market	$2,697.73	$3,144.58	-$446.85
Stocks	107,154.02	105,499.18	1,654.84
Total at Edward Jones	$109,851.75	$108,643.76	$1,207.99

Summary of Your Income

Income distributions from securities	This period Taxable	Tax-free	Total	Year-to-date Taxable	Tax-free	Total
Money market dividends	$11.12	—	$11.12	$88.54	—	$88.54
Dividends						
Qualified (Q) - Reduced Tax Eligible	149.02	—	149.02	1,330.28	—	1,330.28
Nonqualified (N) - Taxable	26.48	—	26.48	79.06	—	79.06
Total	$186.62	—	$186.62	$1,497.88	—	$1,497.88

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges						
Income reported in prior year			—			$34.28
Foreign taxes paid			-1.07			-5.02
Total			-$1.07			$29.26

5207

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.46%	4.56%	$2,697.73
Total cash and money market funds			$2,697.73

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AFLAC INC Symbol: AFL	Growth None	44.170	50.	$2,208.50	$2,294.40	—
ALLSTATE CORP Symbol: ALL	Growth & Income Buy	57.020	30.	1,710.60	1,750.93	—
ALTRIA GROUP INC Symbol: MO	Aggressive Hold	80.690	30.	2,420.70	2,068.50	—
AMERICAN EXPRESS CO Symbol: AXP	Growth Buy	52.190	40.	2,087.60	2,130.34	—
APPLIED MATERIALS INC Symbol: AMAT	Aggressive Buy	15.590	60.	935.40	1,179.49	-169.80
ARCHSTONE SMITH TRUST Symbol: ASN	Growth & Income None	53.180	30.	1,595.40	1,149.30	—
AT&T INC Symbol: T	Growth & Income Buy	30.060	110.	3,306.60	4,248.99	-1,828.46
BANK OF AMERICA CORP Symbol: BAC	Growth & Income Buy	51.660	70.	3,616.20	4,138.20	-914.40
BEST BUY INC Symbol: BBY	Growth None	45.530	45.	2,048.85	2,332.40	-592.20

5208

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
BP AMOCO PLC SPONSORED ADR 25P Symbol: BP	Growth & Income Buy	72.380	60.	$4,342.80	$4,345.60	-$625.50
CARDINAL HEALTH INC Symbol: CAH	Aggressive None	67.840	30.	2,035.20	2,333.01	-580.10
CHEVRON CORP NEW Symbol: CVX	Growth & Income Buy	66.050	50.	3,302.50	3,324.00	-562.40
CISCO SYSTEMS INC Symbol: CSCO	Aggressive Buy	18.080	100.	1,808.00	2,539.30	-559.70
CITIGROUP INC Symbol: C	Growth & Income Buy	48.330	60.	2,899.80	4,754.90	-1,877.45
COMPUTER SCIENCES CORP Symbol: CSC	Growth None	52.350	40.	2,094.00	2,284.00	-451.30
CONOCOPHILLIPS Symbol: COP	Growth & Income Buy	67.950	40.	2,718.00	2,789.42	-560.20
DEERE & CO Symbol: DE	Growth & Income Hold	73.920	30.	2,217.60	1,969.80	—
DOMINION RESOURCES INC NEW Symbol: D	Growth & Income Buy	78.600	40.	3,144.00	3,622.94	-729.20
DOW CHEM CO Symbol: DOW	Growth & Income None	34.030	40.	1,361.20	1,834.00	—
EMERSON ELECTRIC CO Symbol: EMR	Growth & Income Buy	77.380	20.	1,547.60	1,968.30	-815.70
EQUITY RESIDENTIAL PPTYS TR Symbol: EQR	Growth & Income Hold	46.880	30.	1,406.40	1,113.00	—
FIRST DATA CORP Symbol: FDC	Growth Buy	41.110	50.	2,055.50	2,390.40	-405.00
GENERAL ELECTRIC CO Symbol: GE	Growth & Income Buy	33.020	100.	3,302.00	4,406.40	-711.60

5209

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
HOME DEPOT INC Symbol: HD	Growth Buy	34.590	50.	$1,729.50	$2,416.56	-$397.20
HONDA MTR LTD ADR NEW Symbol: HMC	Growth None	33.530	60.	2,011.80	1,747.90	-247.40
KEYSPAN CORP Symbol: KSE	Growth & Income Hold	40.360	50.	2,018.00	2,385.00	-402.40
KIMBERLY CLARK CORP Symbol: KMB	Growth & Income None	60.940	50.	3,047.00	3,902.10	-648.40
LINCOLN NATL CORP IND Symbol: LNC	Growth & Income None	57.010	17.	969.17	937.04	—
MARATHON OIL CORP Symbol: MRO	Growth & Income None	89.350	40.	3,574.00	2,545.00	-519.40
MCDONALDS CORP Symbol: MCD	Growth & Income Hold	35.290	40.	1,411.60	1,175.60	—
MCKESSON CORP Symbol: MCK	Growth None	51.150	30.	1,534.50	1,398.26	—
MGIC INVESTMENT CORP Symbol: MTG	Growth None	57.300	20.	1,146.00	2,456.40	-1,286.83
MICROSOFT CORP Symbol: MSFT	Growth Buy	24.250	140.	3,395.00	4,852.11	-1,245.51
MORGAN STANLEY Symbol: MS	Growth Buy	66.230	40.	2,649.20	2,172.65	—
NOVARTIS AG ADR Symbol: NVS	Growth Buy	56.350	30.	1,690.50	1,639.07	—
ORACLE CORP Symbol: ORCL	Aggressive Buy	15.070	80.	1,205.60	1,276.19	-253.70
PEPSICO INC Symbol: PEP	Growth Buy	63.130	40.	2,525.20	2,417.20	—

5210

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
PFIZER INC	Growth & Income	26.110	70.	$1,827.70	$2,229.60	-$278.50
Symbol: PFE	Buy					
PNC BANK CORP	Growth & Income	70.960	30.	2,128.80	1,647.00	—
Symbol: PNC	None					
TARGET CORP	Growth	46.460	50.	2,323.00	3,246.00	-534.60
Symbol: TGT	Buy					
TEXAS INSTRUMENTS INC	Aggressive	29.350	30.	880.50	1,123.60	-274.60
Symbol: TXN	Buy					
UNION PACIFIC CORP	Growth	85.220	30.	2,556.60	2,626.40	-654.80
Symbol: UNP	None					
UNITED TECHNOLOGIES CORP	Growth	62.150	50.	3,107.50	3,214.20	-530.00
Symbol: UTX	Buy					
UNITEDHEALTH GROUP INC	Growth	47.850	30.	1,435.50	1,758.46	—
Symbol: UNH	Buy					
WACHOVIA CORP 2ND NEW	Growth & Income	53.770	60.	3,226.20	3,539.90	-502.80
Symbol: WB	Buy					
WELLPOINT INC	Growth	75.070	30.	2,252.10	2,677.60	-685.50
Symbol: WLP	None					
WELLS FARGO & CO NEW	Growth & Income	72.410	60.	4,344.60	4,342.64	-611.10
Symbol: WFC	Buy					
Total stocks				**$107,154.02**	**$118,694.10**	-$20,455.75
Total estimated asset value				**$109,851.75**		

5211

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Investment Activity

Total cash and money market funds on Jul 01	$3,144.58
Additions	
Income	$186.62
Proceeds from securities sold	$4,030.61
Total additions	$4,217.23
Subtractions	
Withdrawals to purchase securities	-$4,446.00
Fees	-$217.01
Taxes withheld	-$1.07
Total subtractions	-$4,664.08
Total cash and money market funds on Jul 28	**$2,697.73**

Detail of Your Investment Activity

Additions

	Type		Date			Days	Rate	Amount	Where Invested
Income	Money market dividends		07/20	MONEY MARKET		30	4.41	11.12	Money market
	Type	**Tax Info.**	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Invested**
	Dividends	Q	07/03	UNION PACIFIC CORP	30.	0.30		$9.00	Money market
		Q	07/03	ALLSTATE CORP	30.	0.35		10.50	Money market
		Q	07/05	KIMBERLY CLARK CORP	50.	0.49		24.50	Money market
		Q	07/05	HONDA MOTOR LTD ADR NEW	60.	0.235746		14.14	Money market
		Q	07/10	ALTRIA GROUP INC	30.	0.80		24.00	Money market

5212

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Additions, continued

	Type	Tax info.	Date		Quantity	Amount per share	Rate	Amount	Where invested
Income	Dividends	Q	07/11	HONDA MOTOR LTD ADR NEW REVERSE 07/05/06 CASH DIV TO CORRECT RATE	60.			-$14.14	Money market
		Q	07/11	HONDA MOTOR LTD ADR NEW	60.	0.253746		15.22	Money market
		Q	07/13	FIRST DATA CORP	50.	0.06		3.00	Money market
		N	07/14	EQUITY RESIDENTIAL PPTYS TR	30.	0.4425		13.28	Money market
		Q	07/17	CARDINAL HEALTH INC	30.	0.09		2.70	Money market
		N	07/17	EQUITY OFFICE PROPERTIES TRUST	40.	0.33		13.20	Money market
		Q	07/24	PNC BANK CORP	30.	0.55		16.50	Money market
		Q	07/25	BEST BUY INC	45.	0.08		3.60	Money market
		Q	07/25	GENERAL ELECTRIC CO	100.	0.25		25.00	Money market
		Q	07/28	DOW CHEM CO	40.	0.375		15.00	Money market
			Total income					**$186.62**	

	Date		Quantity	Amount per share	Trade date	Amount	Where invested
Proceeds from securities sold	07/03	MICROSOFT CORP	20.	23.19		$463.80	Money market
	07/03	EMERSON ELECTRIC CO	10.	81.57		815.70	Money market
	07/10	MGIC INVESTMENT CORP	20.	64.3413		1,286.83	Money market
	07/10	EQUITY OFFICE PROPERTIES TRUST	40.	36.6069		1,464.28	Money market
	Total proceeds from securities sold					**$4,030.61**	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	07/03	MCKESSON CORP	30.	46.6085		-$1,398.26	Money market

5213

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Subtractions, continued

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	07/12	DOMINION RESOURCES INC NEW	10.	75.3344		-$753.34	Money market
	07/12	AFLAC INC	50.	45.888		-2,294.40	Money market
	Total withdrawals to purchase securities					**-$4,446.00**	
Fees	07/14	MANAGED ACCOUNT FEE				-$217.01	Money market
	Total fees					**-$217.01**	

	Date		Amount	Source of Funds
Taxes withheld	07/05	HONDA MOTOR LTD ADR NEW 7.000% FOREIGN TAX	-$0.99	Money market
	07/11	HONDA MOTOR LTD ADR NEW REVERSE FOREIGN TAX WITHHELD	0.99	Money market
	07/11	HONDA MOTOR LTD ADR NEW 7.000% FOREIGN TAX	-1.07	Money market
	Total taxes withheld		**-$1.07**	

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$0.00	-$772.78
Long term (held over 1 year)	172.11	286.14
Total	**$172.11**	**-$486.64**

5214

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
EQUITY OFFICE PROPERTIES TRUST	06/08/2005	07/05	40.000	$1,350.80	$1,464.28	$113.48	Long term
MGIC INVESTMENT CORP	06/08/2005	07/05	20.000	1,228.20	1,286.83	58.63	Long term

A piggy bank is just the first step.
Take the second. During our free video program, "How to Raise a Money-Smart Child," you'll learn how to start teaching your child or grandchild about financial goal-setting and self-discipline and the basics of making wise money choices. The program premieres Sept. 12, 2006. Call your investment representative for details.

5215

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

ACCOUNT INFORMATION

Please see your account agreement forms for complete conditions governing your account. All transactions are subject to applicable rules of the exchange market and its clearing house where the trade occurred, as well as of the Securities Exchange Commission, the Federal Reserve Board and any applicable self-regulatory organizations. If you have a complaint, please notify us at Edward Jones, Attn: Complaints Department, 1245 JJ Kelley Memorial Dr., St. Louis MO 63131. For all other concerns, please refer to the cover page of this statement for our contact information.

You can only withdraw $2,500 per day from a bank teller using your Edward Jones VISA debit card.

With questions regarding your mortgage or home equity loans, please refer to the separate loan statement issued for a description of fees and charges.

Account Protection - Edward Jones provides account protection for the net equity of your assets (except annuities and insurance) held in custody by Edward Jones for your account. Of that total, the Securities Investor Protection Corporation (SIPC) provides $500,000 of coverage, including $100,000 for claims of cash awaiting reinvestment (SIPC coverage). The remaining net equity is protected by Customer Asset Protection Company (CAPCO). This protection does not guard against market loss.

REGULATORY DISCLOSURES

Securities Investor Protection Corporation (SIPC): Information from SIPC, including the SIPC Brochure can be obtained at www.sipc.org or by calling (202)371-8300.

Financial Statement - The firm's financial statement is available at your branch office, or a copy will be mailed upon written request.

Rights to your Free Credit Balance - We are permitted to use your free credit balances to conduct our business, subject to the limitations of 17C.F.R.240 15c3-3 under the Securities Exchange Act of 1934. You have the right to receive, during normal business operations, free delivery of your credit balances; any securities to which you are entitled and which have been fully paid; upon full payment of any debt to us, any securities purchased on margin.

Errors or Questions About Your Electronic Transfers - Visit our website at www.edwardjones.com/electronictransfer or contact us for details. Refer to the cover page of this statement for our contact information.

Amount of money you can borrow - What you can borrow is not a commitment to loan funds. The amount available is typically 50% of the value of your investments held at Edward Jones. Your loan value could change daily. Your investment representative has access to daily values as well as more information on the "Amount of money you can borrow" should you wish to activate this feature. The minimum portfolio value required to be eligible for this feature is $4,000. Single, joint and business accounts are eligible.

Loan/Margin Accounts - If you have a loan or margin account, this statement covers: your general brokerage account; a special misc. account maintained for you under section 4(F)(6) of Regulation T issued by the Board of Governors of The Federal Reserve System; a separate account for securities you have sold short. The permanent record of this account is available upon request. If you have a credit balance in your loan account, the surplus amount is included with the amount shown for "cash and money market" balance.

Tax Withholding on Retirement Accounts - Federal income taxes of 10% on IRA or 20% on qualified plan distributions will be withheld unless a higher amount is elected or an IRS exception applies. State income taxes are withheld depending upon your state of residence upon distribution. Your withholding election will remain in effect until federal or state rule changes. Insufficient withholding may subject you to estimated income-tax payments to avoid penalties.

Required Minimum Distributions (RMDs) - RMDs from other IRAs must be calculated separately, but the total amount may be removed from one or more of your IRAs. RMDs from qualified plans must be calculated and removed separately from each plan and cannot be part of your IRA RMD. If you turned age 70-1/2 last year, and deferred your first RMD until the current year or you have unpriced securities in this account, the RMD Summary Section is understated. Verification by a tax professional is recommended.

Transaction/Settlement Dates - Securities transactions are noted on the settlement date shown on the transaction confirmation, excepting transactions involving Edward Jones Tax-free Money Market Fund or Edward Jones Money Market Investment Shares or Retirement Shares which are listed on the trade date. Additional sections report pending trades and open orders.

Contingent Deferred Sales Charge - Shares sold on certain mutual fund classes may be subject to a contingent deferred sales charge.

Portfolio Objective - Inform us promptly of any material change in your portfolio objective or financial situation.

Debt Securities Transactions - For debt securities transactions, call features may exist which could affect yield; complete information will be provided upon request.

Information for Investors - Edward Jones is a member of the New York Stock Exchange and the Chicago Stock Exchange. The firm also transacts business with a variety of dealers in securities, including listed and over-the-counter stocks and bonds, government and agency issues and municipal securities. For equity securities, the firm monitors performance of competing market centers and dealers and routes orders to those that consistently guarantee execution at the national best bid or offer or better. Routed market orders generally are directed to market centers or dealers that offer opportunity for better prices through either automated or manual systems. For agency transactions, the name of the other broker or party to the transaction will be furnished upon written request. For agency and principal transactions, the date and time of execution will be furnished upon written request. Periodically, the firm may receive other remuneration on agency trades from other sources; information will be furnished upon written request.

TERMINOLOGY

Total Estimated Value - The approximate value of the assets held at Edward Jones and outside companies at the statement date shown or date specified in the detail of Your Assets. It is estimated as the prices used to value your securities are provided by an outside service and do not always represent exact market prices. Edward Jones can't guarantee the accuracy of such values; if you need the exact price, contact your investment representative. Values for many fixed-income charges are estimates based on coupon rate and credit rating and may not represent actual transaction price. Values don't include accrued interest or dividends and for some investments don't reflect applicable charges and fees.

Your Assets Held Outside Edward Jones - Balances are provided for your information only to give an overall view of your investments with Edward Jones. SIPC coverage isn't extended to assets held outside the custody of Edward Jones. Refer to the statement received directly from these companies for details.

Tax Information for Income Distributions - Your year-end tax documents (eg. Form 1099) will provide specific classifications of your income distributions. The 2003 tax law allows Qualified (Q) dividends to be taxed at reduced rates: 15%, or 5% for individuals whose tax rates are 15% or less. Nonqualified (N) dividends are taxed at ordinary rates. Some, but not all, of Partially Qualified (P) dividends are taxed at reduced rates.

Cost Basis - Cost basis is the amount paid for a security, including commissions, reinvestments and original issue discount (OID) and adjustments for sales, principal returns, splits and spin offs. Some data may be unavailable and should not be relied upon for tax preparation.

Amount Invested/Withdrawn - Amount invested reflects all purchases and other additions to your holdings, with the exception of dividend reinvestments. Amount withdrawn shows how much of your investment has been sold, redeemed or transferred. These figures should not be used for tax reporting or tax preparation.

Ratings and Recommendations - Edward Jones research opinions, Standard & Poor's and Moody's ratings may be shown for certain securities. Ratings or recommendations should not be considered an indication of future performance.

Account Activity - Entries appearing in Account Activity sections under columns headed "Where Invested" or "Sources of Funds" are additions to or subtractions from your account. For clients who maintain a money market account, entries indicating "Tax-Free Money Market" mean Edward Jones Tax-Free Money Market Fund and entries indicating "Money Market" mean Edward Jones Money Market Investment Shares or Retirement Shares depending on the share class owned. Activity dates appearing under "Sources of Funds" correspond to the sale date of the designated Edward Jones Money Market Fund. Entries appearing under "Where Invested" will be transacted as a purchase of the previously designated Edward Jones Money Market Fund on the second business day after the date shown, with the following exceptions which will be transacted on the date indicated:

Source of Funds	Number of Days After Activity Date Shown Until Purchase of Money Market Fund
Wired Funds, SWPS Electronic Bank Transfer, Direct Deposit	1
Security Sold or Interest/Dividend Received*	0
Bond Maturities, Calls, Tendered Items *	1
Transfers from Margin Account or Money Market Fund in another Edward Jones Account	0

* For assets held within Edward Jones account

Account number:
Statement type:
July 29 - August 25, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

Copy To:

Value Summary (Held at Edward Jones)

Value on Aug 25	$111,791.46
Value on Jul 29	$109,851.75
Value one year ago	$101,089.71

Summary of Your Assets

Held at Edward Jones	Value on Aug 25	Value on Jul 29	Change in value
Cash & money market	$3,343.24	$2,697.73	$645.51
Stocks	108,448.22	107,154.02	1,294.20
Total at Edward Jones	$111,791.46	$109,851.75	$1,939.71

Summary of Your Income

Income distributions from securities	This period Taxable	Tax-free	Total	Year-to-date Taxable	Tax-free	Total
Money market dividends	$12.47	—	$12.47	$101.01	—	$101.01
Dividends						
Qualified (Q) - Reduced Tax Eligible	125.09	—	125.09	1,455.37	—	1,455.37
Nonqualified (N) - Taxable	—	—	—	79.06	—	79.06
Total	$137.56	—	$137.56	$1,635.44	—	$1,635.44

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges			
Income reported in prior year		—	$34.28
Foreign taxes paid		—	-5.02
Total		—	$29.26

5217

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.48%	4.58%	$3,343.24
Total cash and money market funds			$3,343.24

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AFLAC INC Symbol: AFL	Growth None	43.750	50.	$2,187.50	$2,294.40	—
ALLSTATE CORP Symbol: ALL	Growth & Income Buy	57.000	30.	1,710.00	1,750.93	—
ALTRIA GROUP INC Symbol: MO	Aggressive Hold	84.100	30.	2,523.00	2,068.50	—
AMERICAN EXPRESS CO Symbol: AXP	Growth Buy	52.920	40.	2,116.80	2,130.34	—
APPLIED MATERIALS INC Symbol: AMAT	Aggressive Buy	16.130	60.	967.80	1,179.49	-169.80
ARCHSTONE SMITH TRUST Symbol: ASN	Growth & Income None	52.990	30.	1,589.70	1,149.30	—
AT&T INC Symbol: T	Growth & Income Buy	30.630	110.	3,369.30	4,248.99	-1,828.46
BANK OF AMERICA CORP Symbol: BAC	Growth & Income Buy	52.130	70.	3,649.10	4,138.20	-914.40
BEST BUY INC Symbol: BBY	Growth None	45.570	45.	2,050.65	2,332.40	-592.20

5218

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
BP AMOCO PLC SPONSORED ADR 25P Symbol: BP	Growth & Income Buy	68.310	60.	$4,098.60	$4,345.60	-$625.50
CARDINAL HEALTH INC Symbol: CAH	Aggressive None	67.320	30.	2,019.60	2,333.01	-580.10
CHEVRON CORP NEW Symbol: CVX	Growth & Income Buy	66.770	50.	3,338.50	3,324.00	-562.40
CISCO SYSTEMS INC Symbol: CSCO	Aggressive Buy	21.050	100.	2,105.00	2,539.30	-559.70
CITIGROUP INC Symbol: C	Growth & Income Buy	48.640	60.	2,918.40	4,754.90	-1,877.45
CONOCOPHILLIPS Symbol: COP	Growth & Income Buy	66.300	40.	2,652.00	2,789.42	-560.20
DEERE & CO Symbol: DE	Growth & Income Hold	73.890	30.	2,216.70	1,969.80	—
DOMINION RESOURCES INC NEW Symbol: D	Growth & Income Buy	80.880	40.	3,235.20	3,622.94	-729.20
DOW CHEM CO Symbol: DOW	Growth & Income None	36.870	40.	1,474.80	1,834.00	—
EMERSON ELECTRIC CO Symbol: EMR	Growth & Income Buy	80.300	20.	1,606.00	1,968.30	-815.70
EQUITY RESIDENTIAL PPTYS TR Symbol: EQR	Growth & Income Hold	48.880	30.	1,466.40	1,113.00	—
FIRST DATA CORP Symbol: FDC	Growth Buy	41.390	50.	2,069.50	2,390.40	-405.00
GENERAL ELECTRIC CO Symbol: GE	Growth & Income Buy	33.840	100.	3,384.00	4,406.40	-711.60
HOME DEPOT INC Symbol: HD	Growth Buy	33.480	50.	1,674.00	2,416.56	-397.20

5219

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
HONDA MTR LTD ADR NEW Symbol: HMC	Growth None	33.080	60.	$1,984.80	$1,747.90	-$247.40
ILLINOIS TOOL WORKS INC Symbol: ITW	Growth Buy	43.520	50.	2,176.00	2,249.00	—
KEYSPAN CORP Symbol: KSE	Growth & Income Hold	40.700	50.	2,035.00	2,385.00	-402.40
KIMBERLY CLARK CORP Symbol: KMB	Growth & Income None	61.690	50.	3,084.50	3,902.10	-648.40
LINCOLN NATL CORP IND Symbol: LNC	Growth & Income None	59.710	17.	1,015.07	937.04	—
MARATHON OIL CORP Symbol: MRO	Growth & Income None	92.130	40.	3,685.20	2,545.00	-519.40
MCDONALDS CORP Symbol: MCD	Growth & Income Hold	35.230	40.	1,409.20	1,175.60	—
MCKESSON CORP Symbol: MCK	Growth None	51.380	30.	1,541.40	1,398.26	—
MGIC INVESTMENT CORP Symbol: MTG	Growth None	57.490	20.	1,149.80	2,456.40	-1,286.83
MICROSOFT CORP Symbol: MSFT	Growth Buy	25.850	140.	3,619.00	4,852.11	-1,245.51
MORGAN STANLEY Symbol: MS	Growth Buy	66.980	40.	2,679.20	2,172.65	—
NOVARTIS AG ADR Symbol: NVS	Growth Buy	56.620	30.	1,698.60	1,639.07	—
ORACLE CORP Symbol: ORCL	Aggressive Buy	15.410	80.	1,232.80	1,276.19	-253.70
PEPSICO INC Symbol: PEP	Growth Buy	64.180	40.	2,567.20	2,417.20	—

5220

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
PFIZER INC Symbol: PFE	Growth & Income Buy	27.230	70.	$1,906.10	$2,229.60	-$278.50
PNC BANK CORP Symbol: PNC	Growth & Income None	69.560	30.	2,086.80	1,647.00	—
TARGET CORP Symbol: TGT	Growth Buy	46.900	50.	2,345.00	3,246.00	-534.60
TEXAS INSTRUMENTS INC Symbol: TXN	Aggressive Buy	31.440	30.	943.20	1,123.60	-274.60
UNION PACIFIC CORP Symbol: UNP	Growth None	80.940	30.	2,428.20	2,626.40	-654.80
UNITED TECHNOLOGIES CORP Symbol: UTX	Growth Buy	60.670	50.	3,033.50	3,214.20	-530.00
UNITEDHEALTH GROUP INC Symbol: UNH	Growth Buy	50.880	30.	1,526.40	1,758.46	—
WACHOVIA CORP 2ND NEW Symbol: WB	Growth & Income Buy	56.200	60.	3,372.00	3,539.90	-502.80
WELLPOINT INC Symbol: WLP	Growth None	77.290	30.	2,318.70	2,677.60	-685.50
WELLS FARGO & CO NEW Symbol: WFC	Growth & Income Buy	34.900	120.	4,188.00	4,342.64	-611.10
Total stocks				**$103,448.22**	$118,659.10	-$20,004.45
Total estimated asset value				**$111,791.46**		

5221

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Summary of Your Investment Activity

Total cash and money market funds on Jul 29	$2,697.73
Additions	
Income	$137.56
Proceeds from securities sold	$1,870.01
Total additions	$2,007.57
Subtractions	
Withdrawals to purchase securities	-$2,249.00
Fees	$886.94
Total subtractions	-$1,362.06
Total cash and money market funds on Aug 25	**$3,343.24**

Detail of Your Investment Activity

Additions

	Type	Date		Days	Rate	Amount	Where Invested
Income	Money market dividends	08/21	MONEY MARKET	32	4.48	12.47	Money market

Type	Tax Info.	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Dividends	Q	07/31	MORGAN STANLEY	40.	0.27		$10.80	Money market
	Q	08/01	DEERE & CO	30.	0.39		11.70	Money market
	Q	08/01	AT&T INC	110.	0.3325		36.58	Money market
	Q	08/01	LINCOLN NATL CORP IND	17.	0.38		6.46	Money market
	Q	08/01	KEYSPAN CORP	50.	0.465		23.25	Money market
	Q	08/10	AMERICAN EXPRESS CO	40.	0.15		6.00	Money market

5222

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Additions, continued

	Type	Tax info.	Date		Quantity	Amount per share	Rate	Amount	Where invested
Income	Dividends	Q	08/21	TEXAS INSTRUMENTS INC	30.	0.03		$0.90	Money market
		Q	08/25	CITIGROUP INC	60.	0.49		29.40	Money market
			Total income					$137.56	

	Date		Quantity	Amount per share	Trade date	Amount	Where invested
Proceeds from securities sold	08/23	COMPUTER SCIENCES CORP	40.	46.7503		$1,870.01	Money market
	Total proceeds from securities sold					$1,870.01	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of funds
Withdrawals to purchase securities	08/23	ILLINOIS TOOL WORKS INC	50.	44.98		-$2,249.00	Money market
	Total withdrawals to purchase securities					-$2,249.00	
Fees	08/07	REVERSE FEE FOR INCORRECT MANAGEMENT FEES				$1,129.54	Money market
	08/17	MANAGED ACCOUNT FEE				-142.75	Money market
	08/22	INCORRECT AMOUNT REVERSED 8/7/06				-1,129.54	Money market
	08/23	REVERSE FEE FOR MANAGED ASSET PROGRAM				1,029.69	Money market
	Total fees					$886.94	

Other Activity

Date	Activity		Quantity	Notes	Estimated Value
08/14	STOCK SPLIT	WELLS FARGO & CO NEW	60.	ON 60 AT 1 PER SHARE	—

5223

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$0.00	-$772.78
Long term (held over 1 year)	42.81	328.95
Total	$42.81	-$443.83

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
COMPUTER SCIENCES CORP	06/08/2005	08/18	40.000	$1,827.20	$1,870.01	$42.81	Long term

Build a Strategy for a Healthy Retirement. Then Run with It.
Dr. Rosemary Laird, medical director at Health First Aging Institute in Cape Canaveral will help you learn how. Join us on October 10, 2006, as we address issues you'll be facing as you age. Contact your investment representative for details about this free video program in your area.

5224

Account number:
Statement type:
August 26 - September 29, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

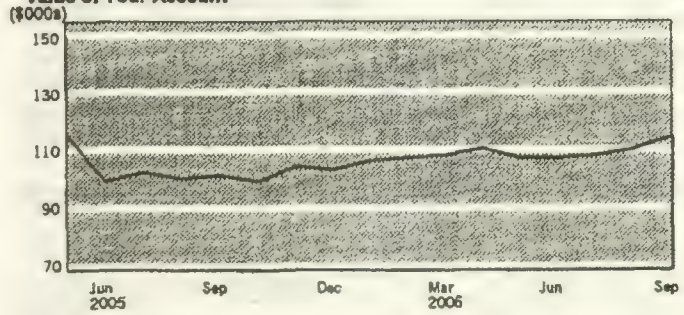
Value Summary (Held at Edward Jones)

Value on Sep 29	$115,067.25
~~Value on Aug 26~~	~~$111,791.46~~
Value one year ago	$102,991.50

Summary of Your Assets

Held at Edward Jones	Value on Sep 29	Value on Aug 26	Change in value
Cash & money market	$3,539.99	$3,343.24	$196.75
Stocks	111,527.26	108,448.22	3,079.04
Total at Edward Jones	**$115,067.25**	$111,791.46	$3,275.79

Value of Your Account



This chart shows how the value of your account has changed recently (includes only assets held at Edward Jones). While it's important to be aware of short-term results, don't lose sight of the long term. Edward Jones believes a long-term investment strategy offers the greatest potential for success.

5225

Account number: :
Statement type:
August 26 - September 29, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Income

Income distributions from securities	This period			Year-to-date		
	Taxable	Tax-free	Total	Taxable	Tax-free	Total
Money market dividends	$12.44	—	$12.44	$113.45	—	$113.45
Dividends						
Qualified (Q) - Reduced Tax Eligible	317.32	—	317.32	1,772.69	—	1,772.69
Nonqualified (N) - Taxable	13.05	—	13.05	92.11	—	92.11
Total	$342.81	—	$342.81	$1,978.25	—	$1,978.25

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges				
Income reported in prior year			—	$34.28
Foreign taxes paid			—	-5.02
Total			—	$29.26

Your Estimated Interest and Dividends

The following is an estimated summary of your interest and dividend income for the next 12 months. It is based on a variety of factors. These factors, including distribution rates and amount of principal invested, are subject to change. Because they are estimates, the specific amounts listed here are not guaranteed by Edward Jones or by the underlying issuer(s) of the securities. Income from items with * is being reinvested.

			2006			2007									
Cash & money market funds		Quantity	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	Total
Money Market 4.50%		3,539	13	13	13	13	13	13	13	13	13	13	13	13	156
Stocks	**Tax Info.**														
AFLAC INC	Q	50			6			6			6			6	24
ALLSTATE CORP	Q	30	10			10			10			10			40
ALTRIA GROUP INC	Q	30	25			25			25			25			100

5226

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks	Tax Info.	Quantity	2006 OCT	NOV	DEC	2007 JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	Total
AMERICAN EXPRESS CO	Q	40		6			6			6			6		24
APPLIED MATERIALS INC	Q	60			3			3			3			3	12
ARCHSTONE SMITH TRUST	N	30		13			13			13			13		52
AT&T INC	Q	110		36			36			36			36		144
BANK OF AMERICA CORP	Q	70			39			39			39			39	156
BP AMOCO PLC SPONSORED ADR 25P	Q	60			35			35			35			35	140
CARDINAL HEALTH INC	Q	30	2			2			2			2			8
CHEVRON CORP NEW	Q	50			26			26			26			26	104
CITIGROUP INC	Q	60		29			29			29			29		116
CONOCOPHILLIPS	Q	40			14			14			14			14	56
DEERE & CO	Q	30		11			11			11			11		44
DOMINION RESOURCES INC NEW	Q	40			27			27			27			27	108
DOW CHEM CO	Q	40	15			15			15			15			60
EMERSON ELECTRIC CO	Q	20			8			8			8			8	32
EQUITY RESIDENTIAL PPTYS TR	N	30	13			13			13			13			52
FIRST DATA CORP	Q	50	3			3			3			3			12
GENERAL ELECTRIC CO	Q	100	25			25			25			25			100
HOME DEPOT INC	Q	50			7			7			7			7	28
HONDA MOTOR LTD ADR NEW	Q	60				15						15			30
ILLINOIS TOOL WORKS INC	Q	50	10			10			10			10			40
KEYSPAN CORP	Q	50		23			23			23			23		92
KIMBERLY CLARK CORP	Q	50	24			24			24			24			96

5227

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks	Tax Info.	Quantity	2006 OCT	NOV	DEC	2007 JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	Total
LINCOLN NATL CORP IND	Q	17		6			6			6			6		24
MARATHON OIL CORP	Q	40			16			16			16			16	64
MCDONALDS CORP	Q	40			26										26
MCKESSON CORP	Q	30	1			1			1			1			4
MGIC INVESTMENT CORP	Q	20			5			5			5			5	20
MICROSOFT CORP	Q	140			12			12			12			12	48
MORGAN STANLEY	Q	40	10			10			10			10			40
NOVARTIS AG ADR	Q	30							26						26
PEPSICO INC	Q	40			12			12			12			12	48
PFIZER INC	Q	70			16			16			16			16	64
PNC BANK CORP	Q	30	16			16			16			16			64
TARGET CORP	Q	50			6			6			6			6	24
UNION PACIFIC CORP	Q	30	9			9			9			9			36
UNITED TECHNOLOGIES CORP	Q	50			13			13			13			13	52
WACHOVIA CORP 2ND NEW	Q	60			33			33			33			33	132
WELLS FARGO & CO NEW	Q	120			33			33			33			33	132
Total			**176**	**137**	**350**	**191**	**137**	**324**	**202**	**137**	**324**	**191**	**137**	**324**	**2,630**

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.50%	4.60%	$3,539.99
Total cash and money market funds			**$3,539.99**

5228

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AFLAC INC Symbol: AFL	Growth None	45.760	50.	$2,288.00	$2,294.40	—
ALLSTATE CORP Symbol: ALL	Growth & Income Buy	62.730	30.	1,881.90	1,750.93	—
ALTRIA GROUP INC Symbol: MO	Aggressive Hold	78.550	30.	2,356.50	2,068.50	—
AMERICAN EXPRESS CO Symbol: AXP	Growth Buy	56.080	40.	2,243.20	2,130.34	—
APPLIED MATERIALS INC Symbol: AMAT	Aggressive Buy	17.730	60.	1,063.80	1,179.49	-169.80
ARCHSTONE SMITH TRUST Symbol: ASN	Growth & Income None	54.440	30.	1,633.20	1,149.30	—
AT&T INC Symbol: T	Growth & Income Buy	32.560	110.	3,581.60	4,248.99	-1,828.46
BANK OF AMERICA CORP Symbol: BAC	Growth & Income Buy	53.570	70.	3,749.90	4,138.20	-914.40
BEST BUY INC Symbol: BBY	Growth None	53.560	45.	2,410.20	2,332.40	-592.20
BP AMOCO PLC SPONSORED ADR 25P Symbol: BP	Growth & Income Buy	65.580	60.	3,934.80	4,345.60	-625.50
CARDINAL HEALTH INC Symbol: CAH	Aggressive None	65.740	30.	1,972.20	2,333.01	-580.10
CHEVRON CORP NEW Symbol: CVX	Growth & Income Buy	64.860	50.	3,243.00	3,324.00	-562.40
CISCO SYSTEMS INC Symbol: CSCO	Aggressive Buy	23.000	100.	2,300.00	2,539.30	-559.70
CITIGROUP INC Symbol: C	Growth & Income Buy	49.670	60.	2,980.20	4,754.90	-1,877.45

5229

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
CONOCOPHILLIPS Symbol: COP	Growth & Income Buy	59.530	40.	$2,381.20	$2,789.42	-$560.20
DEERE & CO Symbol: DE	Growth & Income Hold	83.910	30.	2,517.30	1,969.80	—
DOMINION RESOURCES INC NEW Symbol: D	Growth & Income Buy	76.490	40.	3,059.60	3,622.94	-729.20
DOW CHEM CO Symbol: DOW	Growth & Income None	38.980	40.	1,559.20	1,834.00	—
EMERSON ELECTRIC CO Symbol: EMR	Growth & Income Buy	83.860	20.	1,677.20	1,968.30	-815.70
EQUITY RESIDENTIAL PPTYS TR Symbol: EQR	Growth & Income Hold	50.580	30.	1,517.40	1,113.00	—
FIRST DATA CORP Symbol: FDC	Growth Buy	42.000	50.	2,100.00	2,390.40	-405.00
GENERAL ELECTRIC CO Symbol: GE	Growth & Income Buy	35.300	100.	3,530.00	4,406.40	-711.60
HOME DEPOT INC Symbol: HD	Growth Buy	36.270	50.	1,813.50	2,416.56	-397.20
HONDA MTR LTD ADR NEW Symbol: HMC	Growth None	33.630	60.	2,017.80	1,747.90	-247.40
ILLINOIS TOOL WORKS INC Symbol: ITW	Growth Buy	44.900	50.	2,245.00	2,249.00	—
KEYSPAN CORP Symbol: KSE	Growth & Income Hold	41.140	50.	2,057.00	2,385.00	-402.40
KIMBERLY CLARK CORP Symbol: KMB	Growth & Income None	65.360	50.	3,268.00	3,902.10	-648.40
LINCOLN NATL CORP IND Symbol: LNC	Growth & Income None	62.080	17.	1,055.36	937.04	—

5230

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
MARATHON OIL CORP	Growth & Income	78.900	40.	$3,076.00	$2,545.00	-$519.40
Symbol: MRO	None					
MCDONALDS CORP	Growth & Income	39.120	40.	1,564.80	1,175.60	—
Symbol: MCD	Hold					
MCKESSON CORP	Growth	52.720	30.	1,581.60	1,398.26	—
Symbol: MCK	None					
MGIC INVESTMENT CORP	Growth	59.970	20.	1,199.40	2,456.40	-1,286.83
Symbol: MTG	None					
MICROSOFT CORP	Growth	27.330	140.	3,826.20	4,852.11	-1,245.51
Symbol: MSFT	Buy					
MORGAN STANLEY	Growth	72.910	40.	2,918.40	2,172.65	—
Symbol: MS	Buy					
NOVARTIS AG ADR	Growth	58.440	30.	1,753.20	1,639.07	—
Symbol: NVS	Buy					
ORACLE CORP	Aggressive	17.740	80.	1,419.20	1,276.18	-253.70
Symbol: ORCL	Buy					
PEPSICO INC	Growth	65.260	40.	2,610.40	2,417.20	—
Symbol: PEP	Buy					
PFIZER INC	Growth & Income	28.360	70.	1,985.20	2,229.60	-278.50
Symbol: PFE	Buy					
PNC BANK CORP	Growth & Income	72.440	30.	2,173.20	1,647.00	—
Symbol: PNC	None					
TARGET CORP	Growth	55.250	50.	2,762.50	3,248.00	-534.60
Symbol: TGT	Buy					
TEXAS INSTRUMENTS INC	Aggressive	33.250	30.	997.50	1,123.60	-274.60
Symbol: TXN	Buy					
UNION PACIFIC CORP	Growth	88.000	30.	2,640.00	2,626.40	-654.80
Symbol: UNP	None					

5231

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
UNITED TECHNOLOGIES CORP Symbol: UTX	Growth Buy	63.350	50.	$3,167.50	$3,214.20	-$530.00
UNITEDHEALTH GROUP INC Symbol: UNH	Growth Buy	49.200	30.	1,476.00	1,758.46	—
WACHOVIA CORP 2ND NEW Symbol: WB	Growth & Income Buy	55.800	60.	3,348.00	3,539.90	-502.80
WELLPOINT INC Symbol: WLP	Growth None	77.050	30.	2,311.50	2,677.60	-685.50
WELLS FARGO & CO NEW Symbol: WFC	Growth & Income Buy	36.180	120.	4,341.60	4,342.64	-611.10
Total stocks				**$111,527.26**	$118,659.10	-$20,004.45
Total estimated asset value				**$115,067.25**		

Summary of Your Investment Activity

Total cash and money market funds on Aug 26	$3,343.24
Additions	
Income	$342.81
Total additions	$342.81
Subtractions	
Fees	-$146.06
Total subtractions	-$146.06
Total cash and money market funds on Sep 29	**$3,539.99**

5232

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Detail of Your Investment Activity

Additions

	Type	Date		Days	Rate	Amount	Where Invested
Income	Money market dividends	09/20	MONEY MARKET	30	4.49	12.44	Money market

Type	Tax Info.	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Dividends	N	08/31	ARCHSTONE SMITH TRUST	30.	0.435		$13.05	Money market
	Q	09/01	AFLAC INC	50.	0.13		6.50	Money market
	Q	09/01	MGIC INVESTMENT CORP	20.	0.25		5.00	Money market
	Q	09/01	CONOCOPHILLIPS	40.	0.36		14.40	Money market
	Q	09/01	WELLS FARGO & CO NEW	120.	0.28		33.60	Money market
	Q	09/05	PFIZER INC	70.	0.24		16.80	Money market
	Q	09/06	BP AMOCO PLC SPONSORED ADR 25P	60.	0.5895		35.37	Money market
	Q	09/07	APPLIED MATERIALS INC	60.	0.05		3.00	Money market
	Q	09/11	TARGET CORP	50.	0.12		6.00	Money market
	Q	09/11	MARATHON OIL CORP	40.	0.40		16.00	Money market
	Q	09/11	EMERSON ELECTRIC CO	20.	0.445		8.90	Money market
	Q	09/11	CHEVRON CORP NEW	50.	0.52		26.00	Money market
	Q	09/11	UNITED TECHNOLOGIES CORP	50.	0.265		13.25	Money market
	Q	09/14	MICROSOFT CORP	140.	0.09		12.60	Money market
	Q	09/15	WACHOVIA CORP 2ND NEW	60.	0.56		33.60	Money market
	Q	09/20	DOMINION RESOURCES INC NEW	40.	0.69		27.60	Money market
	Q	09/21	HOME DEPOT INC	50.	0.15		7.50	Money market
	Q	09/22	BANK OF AMERICA CORP	70.	0.56		39.20	Money market
	Q	09/29	PEPSICO INC	40.	0.30		12.00	Money market
		Total income					$342.81	

5233

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Subtractions

	Date		Amount	Source of Funds
Fees	09/18	MANAGED ACCOUNT FEE	-$146.06	Money market
	Total fees		-$146.06	

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$0.00	-$772.78
Long term (held over 1 year)	0.00	328.95
Total	$0.00	-$443.83

As you requested, copies of your statement have been sent to:

5234

Account number:
Statement type:
September 30 - October 27, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

Value Summary (Held at Edward Jones)

Value on Oct 27	$117,707.92
Value on Sep 30	$115,067.25
Value one year ago	$100,552.96

Summary of Your Assets

Held at Edward Jones	Value on Oct 27	Value on Sep 30	Change in value
Cash & money market	$6,183.84	$3,539.99	$2,643.85
Stocks	111,524.08	111,527.26	-3.18
Total at Edward Jones	**$117,707.92**	$115,067.25	$2,640.67

Summary of Your Income

	This period			Year-to-date		
Income distributions from securities	Taxable	Tax-free	Total	Taxable	Tax-free	Total
Money market dividends	$13.99	—	$13.99	$127.44	—	$127.44
Dividends						
Qualified (Q) - Reduced Tax Eligible	133.80	—	133.80	1,906.49	—	1,906.49
Nonqualified (N) - Taxable	13.28	—	13.28	105.39	—	105.39
Total	$161.07	—	**$161.07**	$2,139.32	—	**$2,139.32**

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges				
Income reported in prior year			—	$34.28
Foreign taxes paid			—	-5.02
Total			—	$29.26

5235

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.50%	4.60%	$6,183.84
Total cash and money market funds			$6,183.84

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AFLAC INC Symbol: AFL	Growth None	45.080	50.	$2,254.00	$2,294.40	—
ALLSTATE CORP Symbol: ALL	Growth & Income Buy	61.740	30.	1,852.20	1,750.93	—
ALTRIA GROUP INC Symbol: MO	Aggressive Hold	81.850	30.	2,455.50	2,068.50	—
AMERICAN EXPRESS CO Symbol: AXP	Growth Buy	57.270	40.	2,290.80	2,130.34	—
APPLIED MATERIALS INC Symbol: AMAT	Aggressive Buy	17.260	60.	1,035.60	1,179.49	-169.80
ARCHSTONE SMITH TRUST Symbol: ASN	Growth & Income None	59.380	30.	1,781.40	1,149.30	—
AT&T INC Symbol: T	Growth & Income Buy	34.220	110.	3,764.20	4,248.99	-1,828.46
BANK OF AMERICA CORP Symbol: BAC	Growth & Income Buy	53.700	70.	3,759.00	4,138.20	-914.40
BEST BUY INC Symbol: BBY	Growth None	54.140	45.	2,436.30	2,332.40	-592.20

5236

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
BP AMOCO PLC SPONSORED ADR 25P	Growth & Income	68.060	60.	$4,083.60	$4,345.60	-$625.50
Symbol: BP	Buy					
CARDINAL HEALTH INC	Aggressive	64.470	30.	1,934.10	2,333.01	-580.10
Symbol: CAH	None					
CHEVRON CORP	Growth & Income	67.680	50.	3,384.00	3,324.00	-562.40
Symbol: CVX	Buy					
CISCO SYSTEMS INC	Aggressive	23.720	100.	2,372.00	2,539.30	-559.70
Symbol: CSCO	Buy					
CITIGROUP INC	Growth & Income	50.360	60.	3,021.60	4,754.90	-1,877.45
Symbol: C	Buy					
CONOCOPHILLIPS	Growth & Income	61.190	40.	2,447.60	2,789.42	-560.20
Symbol: COP	Buy					
DOMINION RESOURCES INC NEW	Growth & Income	80.640	40.	3,225.60	3,622.94	-729.20
Symbol: D	Buy					
DOW CHEM CO	Growth & Income	40.960	40.	1,638.40	1,834.00	-
Symbol: DOW	None					
EMERSON ELECTRIC CO	Growth & Income	84.160	20.	1,683.20	1,968.30	-815.70
Symbol: EMR	Buy					
EQUITY RESIDENTIAL PPTYS TR	Growth & Income	54.450	30.	1,633.50	1,113.00	-
Symbol: EQR	Hold					
FIRST DATA CORP	Growth	24.210	50.	1,210.50	1,480.81	-405.00
Symbol: FDC	Buy					
GENERAL ELECTRIC CO	Growth & Income	35.210	100.	3,521.00	4,406.40	-711.60
Symbol: GE	Buy					
HOME DEPOT INC	Growth	37.070	50.	1,853.50	2,416.56	-397.20
Symbol: HD	Buy					
HONDA MTR LTD ADR NEW	Growth	35.890	60.	2,153.40	1,747.90	-247.40
Symbol: HMC	None					

5237

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ILLINOIS TOOL WORKS INC Symbol: ITW	Growth Buy	47.030	50.	$2,351.50	$2,249.00	—
KEYSPAN CORP Symbol: KSE	Growth & Income Hold	40.560	50.	2,028.00	2,385.00	-402.40
KIMBERLY CLARK CORP Symbol: KMB	Growth & Income None	66.250	50.	3,312.50	3,902.10	-648.40
LINCOLN NATL CORP IND Symbol: LNC	Growth & Income None	63.740	17.	1,083.58	937.04	—
MARATHON OIL CORP Symbol: MRO	Growth & Income None	86.350	40.	3,454.00	2,545.00	-519.40
MCDONALDS CORP Symbol: MCD	Growth & Income Hold	41.470	40.	1,658.80	1,175.80	—
MCKESSON CORP Symbol: MCK	Growth None	50.900	30.	1,527.00	1,398.26	—
MGIC INVESTMENT CORP Symbol: MTG	Growth None	59.260	20.	1,185.20	2,456.40	-1,286.83
MICROSOFT CORP Symbol: MSFT	Growth Buy	28.350	140.	3,969.00	4,852.11	-1,245.51
MORGAN STANLEY Symbol: MS	Growth Buy	76.440	40.	3,057.60	2,172.65	—
NOVARTIS AG ADR Symbol: NVS	Growth Buy	60.610	30.	1,818.30	1,639.07	—
ORACLE CORP Symbol: ORCL	Aggressive Buy	18.100	80.	1,448.00	1,276.19	-253.70
PEPSICO INC Symbol: PEP	Growth Buy	63.540	40.	2,541.60	2,417.20	—
PFIZER INC Symbol: PFE	Growth & Income Buy	27.220	70.	1,905.40	2,229.60	-278.50

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
PNC BANK CORP	Growth & Income	68.070	30.	$2,042.10	$1,647.00	—
Symbol: PNC	None					
TARGET CORP	Growth	58.560	50.	2,928.00	3,246.00	-534.60
Symbol: TGT	Buy					
TEXAS INSTRUMENTS INC	Aggressive	29.900	30.	897.00	1,123.60	-274.60
Symbol: TXN	Buy					
UNION PACIFIC CORP	Growth	90.860	30.	2,725.80	2,628.40	-654.80
Symbol: UNP	None					
UNITED TECHNOLOGIES CORP	Growth	64.940	50.	3,247.00	3,214.20	-530.00
Symbol: UTX	Buy					
UNITEDHEALTH GROUP INC	Growth	49.410	30.	1,482.30	1,758.46	—
Symbol: UNH	Buy					
WACHOVIA CORP 2ND NEW	Growth & Income	55.250	60.	3,315.00	3,539.90	-502.80
Symbol: WB	Buy					
WELLPOINT INC	Growth	76.650	30.	2,299.50	2,677.60	-685.50
Symbol: WLP	None					
WELLS FARGO & CO NEW	Growth & Income	36.320	120.	4,358.40	4,342.64	-811.10
Symbol: WFC	Buy					
WESTERN UNION CO	Aggressive	21.950	50.	1,097.50	909.58	—
Symbol: WU	Buy					
Total stocks				**$111,524.08**	$116,689.29	-$20,004.45
Total estimated asset value				**$117,707.92**		

5239

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Summary of Your Investment Activity

Total cash and money market funds on Sep 30	$3,539.99
Additions	
Income	$161.07
Proceeds from securities sold	$2,626.87
Total additions	$2,787.94
Subtractions	
Fees	-$144.09
Total subtractions	-$144.09
Total cash and money market funds on Oct 27	$6,183.84

Detail of Your Investment Activity

Additions

	Type		Date			Days	Rate	Amount	Where Invested
Income	Money market dividends		10/20	MONEY MARKET		32	4.51	13.99	Money market
	Type	**Tax Info.**	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Invested**
	Dividends	Q	10/02	ALLSTATE CORP	30.	0.35		$10.50	Money market
		Q	10/02	UNION PACIFIC CORP	30.	0.30		9.00	Money market
		Q	10/02	MCKESSON CORP	30.	0.06		1.80	Money market
		Q	10/03	KIMBERLY CLARK CORP	50.	0.49		24.50	Money market
		Q	10/10	ALTRIA GROUP INC	30.	0.86		25.80	Money market
		Q	10/12	FIRST DATA CORP	50.	0.06		3.00	Money market
		N	10/13	EQUITY RESIDENTIAL PPTYS TR	30.	0.4425		13.28	Money market
		Q	10/16	ILLINOIS TOOL WORKS INC	50.	0.21		10.50	Money market

5240

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Additions, continued

	Type	Tax Info.	Date		Quantity	Amount per share	Rate	Amount	Where invested
Income	Dividends	Q	10/16	CARDINAL HEALTH INC	30.	0.09		$2.70	Money market
		Q	10/24	BEST BUY INC	45.	0.10		4.50	Money market
		Q	10/24	PNC BANK CORP	30.	0.55		16.50	Money market
		Q	10/25	GENERAL ELECTRIC CO	100.	0.25		25.00	Money market
			Total income					$161.07	

	Date		Quantity	Amount per share	Trade date	Amount	Where invested
Proceeds from securities sold	10/24	DEERE & CO	30.	87.5622		$2,626.87	Money market
	Total proceeds from securities sold					$2,626.87	

Subtractions

	Date		Amount	Source of Funds
Fees	10/13	MANAGED ACCOUNT FEE	-$144.09	Money market
	Total fees		-$144.09	

Other Activity

Date	Activity		Quantity	Notes	Estimated Value
10/02	SPINOFF	WESTERN UNION CO	50.	ON 50 SHARES OF FIRST DATA COR	—

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$0.00	-$772.78
Long term (held over 1 year)	657.07	986.02
Total	$657.07	$213.24

5241

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
DEERE & CO	06/08/2005	10/19	30.000	$1,969.80	$2,626.87	$657.07	Long term

As you requested, copies of your statement have been sent to:

5242

Account number
Statement type:
October 28 - November 24, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

Value Summary (Held at Edward Jones)

Value on Nov 24	$118,693.84
Value on Oct 28	$117,707.92
Value one year ago	$105,468.01

Summary of Your Assets

Held at Edward Jones	Value on Nov 24	Value on Oct 28	Change in value
Cash & money market	$4,634.32	$6,183.84	-$1,549.52
Stocks	114,059.52	111,524.08	2,535.44
Total at Edward Jones	$118,693.84	$117,707.92	$985.92

Summary of Your Income

Income distributions from securities	This period Taxable	Tax-free	Total	Year-to-date Taxable	Tax-free	Tota
Money market dividends	$21.28	—	$21.28	$148.72	—	$148.7
Dividends						
Qualified (Q) - Reduced Tax Eligible	140.39	—	140.39	2,046.88	—	2,046.8
Nonqualified (N) - Taxable	—	—	—	105.39	—	105.3
Total	$161.67	—	$161.67	$2,300.99	—	$2,300.9

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges						
Income reported in prior year			—			$34.2
Foreign taxes paid			—			-5.0
Total			—			$29.2

5243

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.51%	4.61%	$4,634.32
Total cash and money market funds			$4,634.32

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AFLAC INC Symbol: AFL	Growth None	44.310	50.	$2,215.50	$2,294.40	—
ALLSTATE CORP Symbol: ALL	Growth & Income Buy	64.910	30.	1,947.30	1,750.93	—
ALTRIA GROUP INC Symbol: MO	Aggressive Hold	83.750	30.	2,512.50	2,068.50	—
AMERICAN EXPRESS CO Symbol: AXP	Growth Buy	59.900	40.	2,396.00	2,130.34	—
APPLIED MATERIALS INC Symbol: AMAT	Aggressive Buy	18.520	60.	1,111.20	1,179.49	-169.80
ARCHSTONE SMITH TRUST Symbol: ASN	Growth & Income None	60.410	30.	1,812.30	1,149.30	—
AT&T INC Symbol: T	Growth & Income Buy	32.670	110.	3,593.70	4,248.99	-1,828.46
BANK OF AMERICA CORP Symbol: BAC	Growth & Income Buy	54.580	70.	3,819.20	4,138.20	-914.40
BEST BUY INC Symbol: BBY	Growth None	55.080	45.	2,478.60	2,332.40	-592.20

5244

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
BP AMOCO PLC SPONSORED ADR 25P Symbol: BP	Growth & Income Buy	66.500	45.	$2,992.50	$4,345.60	-$1,636.39
CARDINAL HEALTH INC Symbol: CAH	Aggressive None	62.210	30.	1,866.30	2,333.01	-580.10
CHEVRON CORP Symbol: CVX	Growth & Income Buy	68.840	50.	3,442.00	3,324.00	-562.40
CISCO SYSTEMS INC Symbol: CSCO	Aggressive Buy	26.840	100.	2,684.00	2,539.30	-559.70
CITIGROUP INC Symbol: C	Growth & Income Buy	50.310	60.	3,018.60	4,754.90	-1,877.45
CONOCOPHILLIPS Symbol: COP	Growth & Income Buy	64.290	40.	2,571.60	2,789.42	-560.20
DOMINION RESOURCES INC NEW Symbol: D	Growth & Income Buy	81.000	40.	3,240.00	3,622.94	-729.20
DOW CHEM CO Symbol: DOW	Growth & Income None	40.070	40.	1,602.80	1,834.00	—
EMERSON ELECTRIC CO Symbol: EMR	Growth & Income Buy	89.000	20.	1,780.00	1,968.30	-815.70
EQUITY RESIDENTIAL PPTYS TR Symbol: EQR	Growth & Income Hold	53.620	30.	1,608.60	1,113.00	—
FIRST DATA CORP Symbol: FDC	Growth Buy	25.110	50.	1,255.50	1,480.81	-405.00
GENERAL ELECTRIC CO Symbol: GE	Growth & Income Buy	35.690	100.	3,569.00	4,406.40	-711.60
HOME DEPOT INC Symbol: HD	Growth & Income Buy	37.720	50.	1,886.00	2,416.56	-397.20
HONDA MTR LTD ADR NEW Symbol: HMC	Growth None	34.870	60.	2,092.20	1,747.90	-247.40

5245

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ILLINOIS TOOL WORKS INC Symbol: ITW	Growth Buy	47.390	50.	$2,369.50	$2,249.00	—
KEYSPAN CORP Symbol: KSE	Growth & Income Hold	40.830	50.	2,041.50	2,385.00	-402.40
KIMBERLY CLARK CORP Symbol: KMB	Growth & Income None	66.290	50.	3,314.50	3,902.10	-648.40
LINCOLN NATL CORP IND Symbol: LNC	Growth & Income None	64.660	17.	1,099.22	937.04	—
MARATHON OIL CORP Symbol: MRO	Growth & Income None	91.290	40.	3,651.60	2,545.00	-519.40
MCDONALDS CORP Symbol: MCD	Growth & Income Hold	41.880	40.	1,675.20	1,175.60	—
MCKESSON CORP Symbol: MCK	Growth None	48.180	30.	1,445.40	1,398.26	—
MGIC INVESTMENT CORP Symbol: MTG	Growth None	58.950	20.	1,179.00	2,456.40	-1,286.83
MICROSOFT CORP Symbol: MSFT	Growth Buy	29.760	140.	4,166.40	4,852.11	-1,245.51
MORGAN STANLEY Symbol: MS	Growth Buy	78.950	40.	3,158.00	2,172.65	—
NABORS INDS Symbol: NBR	Aggressive None	32.690	40.	1,307.60	1,253.10	—
NOVARTIS AG ADR Symbol: NVS	Growth Buy	58.740	30.	1,762.20	1,639.07	—
ORACLE CORP Symbol: ORCL	Aggressive Buy	19.600	80.	1,568.00	1,276.19	-253.70
PEPSICO INC Symbol: PEP	Growth Buy	62.340	40.	2,493.60	2,417.20	—

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
PFIZER INC	Growth & Income	26.890	70.	$1,882.30	$2,229.60	-$278.50
Symbol: PFE	Buy					
PNC BANK CORP	Growth & Income	69.600	30.	2,088.00	1,647.00	—
Symbol: PNC	None					
QUALCOMM INC	Aggressive	37.580	35.	1,315.30	1,317.75	—
Symbol: QCOM	Buy					
TARGET CORP	Growth	57.710	50.	2,885.50	3,246.00	-534.60
Symbol: TGT	Buy					
TEXAS INSTRUMENTS INC	Aggressive	30.020	30.	900.60	1,123.60	-274.60
Symbol: TXN	Buy					
UNION PACIFIC CORP	Growth	91.450	30.	2,743.50	2,626.40	-654.80
Symbol: UNP	None					
UNITED TECHNOLOGIES CORP	Growth	65.990	50.	3,299.50	3,214.20	-530.00
Symbol: UTX	Buy					
UNITEDHEALTH GROUP INC	Growth	46.710	30.	1,401.30	1,758.46	—
Symbol: UNH	Buy					
WACHOVIA CORP 2ND NEW	Growth & Income	54.300	60.	3,258.00	3,539.90	-502.80
Symbol: WB	Buy					
WELLPOINT INC	Growth	72.400	30.	2,172.00	2,677.60	-685.50
Symbol: WLP	None					
WELLS FARGO & CO NEW	Growth & Income	35.570	120.	4,268.40	4,342.64	-611.10
Symbol: WFC	Buy					
WESTERN UNION CO	Aggressive	22.360	50.	1,118.00	909.58	—
Symbol: WU	Buy					
Total stocks				$114,059.52	$119,260.14	-$21,015.34
Total estimated asset value				$118,693.84		

5247

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Summary of Your Investment Activity

Total cash and money market funds on Oct 28	$6,183.64
Additions	
Income	$161.67
Proceeds from securities sold	$1,010.89
Total additions	$1,172.56
Subtractions	
Withdrawals to purchase securities	-$2,570.85
Fees	-$151.23
Total subtractions	-$2,722.08
Total cash and money market funds on Nov 24	**$4,634.32**

Detail of Your Investment Activity

Additions

	Type	Date		Days	Rate	Amount	Where Investe
Income	Money market dividends	11/20	MONEY MARKET	29	4.51	21.28	Money marke

Type	Tax Info.	Date		Quantity	Amount per share	Rate	Amount	Where Investe
Dividends	Q	10/30	DOW CHEMICAL CO	40.	0.375		$15.00	Money marke
	Q	10/31	MORGAN STANLEY	40.	0.27		10.80	Money marke
	Q	11/01	LINCOLN NATIONAL CORP	17.	0.38		6.46	Money marke
	Q	11/01	KEYSPAN CORP	50.	0.465		23.25	Money marke
	Q	11/01	DEERE & CO	30.	0.39		11.70	Money marke
	Q	11/01	AT&T INC	110.	0.3325		36.58	Money marke
	Q	11/10	AMERICAN EXPRESS CO	40.	0.15		6.00	Money marke

5248

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Additions, continued

	Type	Tax info.	Date		Quantity	Amount per share	Rate	Amount	Where invested
Income	Dividends	Q	11/20	TEXAS INSTRUMENTS INC	30	0.04		$1.20	Money market
		Q	11/22	CITIGROUP INC	60.	0.49		29.40	Money market
			Total income					$161.67	

	Date		Quantity	Amount per share	Trade date	Amount	Where invested
Proceeds from securities sold	11/08	BP AMOCO PLC SPONSORED ADR 25P	15	67.3928		$1,010.89	Money market
	Total proceeds from securities sold					$1,010.89	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of funds
Withdrawals to purchase securities	11/08	NABORS INDS	40.	31.3274		-$1,253.10	Money market
	11/22	QUALCOMM INC	35.	37.65		-1,317.75	Money market
	Total withdrawals to purchase securities					-$2,570.85	
Fees	11/15	MANAGED ACCOUNT FEE				-$151.23	Money market
	Total fees					-$151.23	

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$0.00	-$772.78
Long term (held over 1 year)	79.69	1,065.71
Total	$79.69	$292.93

5249

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
BP AMOCO PLC SPONSORED ADR 25P	06/08/2005	11/03	15.000	$931.20	$1,010.89	$79.69	Long term

As you requested, copies of your statement have been sent to:

5250

Account number:
Statement type:
November 25 - December 31, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

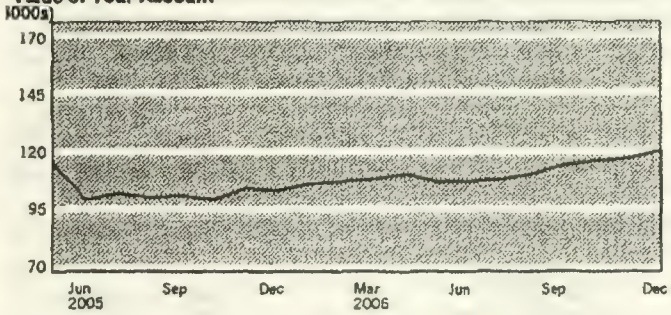
Value Summary (Held at Edward Jones)

Value on Dec 31	$121,174.33
Value on Nov 25	$118,693.84
Value one year ago	$104,082.85

Summary of Your Assets

Held at Edward Jones	Value on Dec 31	Value on Nov 25	Change in value
Cash & money market	$4,883.63	$4,634.32	$249.31
Stocks	116,290.70	114,059.52	2,231.18
Total at Edward Jones	$121,174.33	$118,693.84	$2,480.49

Value of Your Account



his chart shows how the value of your account has changed recently
ncludes only assets held at Edward Jones). While it's important to be aware
f short-term results, don't lose sight of the long term. Edward Jones believes
long-term investment strategy offers the greatest potential for success.

5251

201 Progress Parkway
Maryland Heights, MO 63043 3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Summary of Your Income

Income distributions from securities	This period Taxable	Tax-free	Total	Year-to-date Taxable	Tax-free	Total
Money market dividends	$24.36	—	$24.36	$173.08	—	$173.08
Dividends						
Qualified (Q) - Reduced Tax Eligible	360.47	—	360.47	2,407.35	—	2,407.35
Nonqualified (N) - Taxable	13.05	—	13.05	118.44	—	118.44
Total	$397.88	—	$397.88	$2,698.87	—	$2,698.87

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges				
Income reported in prior year		—		$34.28
Foreign taxes paid		-1.07		-6.09
Total		**-$1.07**		**$28.19**

Your Estimated Interest and Dividends

The following is an estimated summary of your interest and dividend income for the next 12 months. It is based on a variety of factors. These factors, including distribution rates and amount of principal invested, are subject to change. Because they are estimates, the specific amounts listed here are not guaranteed by Edward Jones or by the underlying issuer(s) of the securities. Income from items with * is being reinvested.

Cash & money market funds	Quantity	2007 JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	Total
Money Market 4.54%	4,883	18	18	18	18	18	18	18	18	18	18	18	18	216

Stocks	Tax Info.													
AFLAC INC	Q	50			8			8			8			8	32
ALLSTATE CORP	Q	30	10			10			10			10			40
ALTRIA GROUP INC	Q	30	25			25			25			25			100

5252

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks	Tax Info.	Quantity	2007 JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	Total
AMERICAN EXPRESS CO	Q	40		6			6			6			6		24
APPLIED MATERIALS INC	Q	60			3			3			3			3	12
ARCHSTONE SMITH TRUST	N	30		13			13			13			13		52
AT&T INC	Q	110		39			39			39			39		156
BANK OF AMERICA CORP	Q	70			39			39			39			39	156
BP AMOCO PLC SPONSORED ADR 25P	Q	45			26			26			26			26	104
CARDINAL HEALTH INC	Q	30	2			2			2			2			8
CHEVRON CORP	Q	50			26			26			26			26	104
CITIGROUP INC	Q	60		29			29			29			29		116
CONOCOPHILLIPS	Q	40			14			14			14			14	56
DOMINION RESOURCES INC	Q	40			27			27			27			27	108
DOW CHEMICAL CO	Q	40	15			15			15			15			60
EMERSON ELECTRIC CO	Q	40			21			21			21			21	84
EQUITY RESIDENTIAL PPTYS TR	N	30	13			13			13			13			52
FIRST DATA CORP	Q	50	1			1			1			1			4
GENERAL ELECTRIC CO	Q	100	28			28			28			28			112
HOME DEPOT INC	Q	50			11			11			11			11	44
HONDA MOTOR LTD ADR NEW	Q	60						15						15	30
ILLINOIS TOOL WORKS INC	Q	50	10			10			10			10			40
KEYSPAN CORP	Q	50		23			23			23			23		92
KIMBERLY CLARK CORP	Q	50	24			24			24			24			96
LINCOLN NATIONAL CORP	Q	17		6			6			6			6		24

5253

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks	Tax Info.	Quantity	2007 JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	Total
MARATHON OIL CORP	Q	40			16			16			16			16	64
MCDONALDS CORP	Q	40												40	40
MCKESSON CORP	Q	30	1			1			1			1			4
MGIC INVESTMENT CORP	Q	20			5			5			5			5	20
MICROSOFT CORP	Q	140			14			14			14			14	56
MORGAN STANLEY	Q	40	10			10			10			10			40
NOVARTIS AG ADR	Q	30				26									26
PEPSICO INC	Q	40			12			12			12			12	48
PFIZER INC	Q	70			20			20			20			20	80
PNC BANK CORP	Q	30	16			16			16			16			64
QUALCOMM INC	Q	35			4			4			4			4	16
TARGET CORP	Q	50			6			6			6			6	24
TEXAS INSTRUMENTS INC	Q	30		1			1			1			1		4
UNION PACIFIC CORP	Q	30	9			9			9			9			36
UNITED TECHNOLOGIES CORP	Q	50			13			13			13			13	52
WACHOVIA CORP	Q	60			33			33			33			33	132
WELLS FARGO & CO	Q	120			33			33			33			33	132
Total			**182**	**135**	**349**	**208**	**135**	**364**	**182**	**135**	**349**	**182**	**135**	**404**	**2,760**

5254

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.54%	4.65%	$4,883.63
Total cash and money market funds			$4,883.63

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AFLAC INC Symbol: AFL	Growth None	46.000	50.	$2,300.00	$2,294.40	—
ALLSTATE CORP Symbol: ALL	Growth & Income Buy	65.110	30.	1,953.30	1,750.93	—
ALTRIA GROUP INC Symbol: MO	Aggressive Hold	85.820	30.	2,574.60	2,068.50	—
AMERICAN EXPRESS CO Symbol: AXP	Growth Buy	60.670	40.	2,426.80	2,130.34	—
APPLIED MATERIALS INC Symbol: AMAT	Aggressive Buy	18.450	60.	1,107.00	1,179.49	-169.80
ARCHSTONE SMITH TRUST Symbol: ASN	Growth & Income None	58.210	30.	1,746.30	1,149.30	—
AT&T INC Symbol: T	Growth & Income Buy	35.750	110.	3,932.50	4,248.99	-1,828.46
BANK OF AMERICA CORP Symbol: BAC	Growth & Income Buy	53.390	70.	3,737.30	4,138.20	-914.40
BEST BUY INC Symbol: BBY	Growth None	49.190	45.	2,213.55	2,332.40	-592.20

5255

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
BP AMOCO PLC SPONSORED ADR 25P Symbol: BP	Growth & Income Buy	87.100	45.	$3,919.50	$4,345.60	-$1,636.39
CARDINAL HEALTH INC Symbol: CAH	Aggressive None	64.430	30.	1,932.90	2,333.01	-580.10
CHEVRON CORP Symbol: CVX	Growth & Income Buy	73.530	50.	3,676.50	3,324.00	-562.40
CISCO SYSTEMS INC Symbol: CSCO	Aggressive Buy	27.330	100.	2,733.00	2,539.30	-559.70
CITIGROUP INC Symbol: C	Growth & Income Buy	55.700	60.	3,342.00	4,754.90	-1,877.45
CONOCOPHILLIPS Symbol: COP	Growth & Income Buy	71.950	40.	2,878.00	2,789.42	-560.20
DOMINION RESOURCES INC NEW Symbol: D	Growth & Income Buy	83.840	40.	3,353.60	3,622.94	-729.20
DOW CHEMICAL CO Symbol: DOW	Growth & Income None	39.940	40.	1,597.60	1,834.00	—
EMERSON ELECTRIC CO Symbol: EMR	Growth & Income Buy	44.090	40.	1,763.60	1,968.30	-815.70
EQUITY RESIDENTIAL PPTYS TR Symbol: EQR	Growth & Income Hold	50.750	30.	1,522.50	1,113.00	—
FIRST DATA CORP Symbol: FDC	Growth Buy	25.520	50.	1,276.00	1,480.81	-405.00
GENERAL ELECTRIC CO Symbol: GE	Growth & Income Buy	37.210	100.	3,721.00	4,406.40	-711.60
HOME DEPOT INC Symbol: HD	Growth & Income Buy	40.160	50.	2,008.00	2,416.56	-397.20
HONDA MTR LTD ADR NEW Symbol: HMC	Growth None	39.540	60.	2,372.40	1,747.90	-247.40

5256

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ILLINOIS TOOL WORKS INC Symbol: ITW	Growth Buy	46.190	50.	$2,309.50	$2,249.00	—
KEYSPAN CORP Symbol: KSE	Growth & Income Hold	41.180	50.	2,059.00	2,385.00	-402.40
KIMBERLY CLARK CORP Symbol: KMB	Growth & Income None	67.950	50.	3,397.50	3,902.10	-648.40
LINCOLN NATL CORP IND Symbol: LNC	Growth & Income None	66.400	17.	1,128.80	937.04	—
MARATHON OIL CORP Symbol: MRO	Growth & Income None	92.500	40.	3,700.00	2,545.00	-519.40
MCDONALDS CORP Symbol: MCD	Growth & Income Hold	44.330	40.	1,773.20	1,175.60	—
MCKESSON CORP Symbol: MCK	Growth None	50.700	30.	1,521.00	1,398.26	—
MGIC INVESTMENT CORP Symbol: MTG	Growth None	62.540	20.	1,250.80	2,456.40	-1,286.83
MICROSOFT CORP Symbol: MSFT	Growth Buy	29.860	140.	4,180.40	4,852.11	-1,245.51
MORGAN STANLEY Symbol: MS	Growth Buy	81.430	40.	3,257.20	2,172.65	—
NABORS INDS Symbol: NBR	Aggressive None	29.780	40.	1,191.20	1,253.10	—
NOVARTIS AG ADR Symbol: NVS	Growth Buy	57.440	30.	1,723.20	1,639.07	—
ORACLE CORP Symbol: ORCL	Aggressive Buy	17.140	80.	1,371.20	1,276.19	-253.70
PEPSICO INC Symbol: PEP	Growth Buy	62.550	40.	2,502.00	2,417.20	—

5257

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
PFIZER INC Symbol: PFE	Growth & Income Buy	25.900	70.	$1,813.00	$2,229.60	-$278.50
PNC BANK CORP Symbol: PNC	Growth & Income None	74.040	30.	2,221.20	1,647.00	—
QUALCOMM INC Symbol: QCOM	Aggressive Buy	37.790	35.	1,322.65	1,317.75	—
TARGET CORP Symbol: TGT	Growth Buy	57.050	50.	2,852.50	3,246.00	-534.60
TEXAS INSTRUMENTS INC Symbol: TXN	Aggressive Buy	28.800	30.	864.00	1,123.60	-274.60
UNION PACIFIC CORP Symbol: UNP	Growth None	92.020	30.	2,760.60	2,626.40	-654.80
UNITED TECHNOLOGIES CORP Symbol: UTX	Growth Buy	62.520	50.	3,126.00	3,214.20	-530.00
UNITEDHEALTH GROUP INC Symbol: UNH	Growth Buy	53.730	30.	1,611.90	1,758.46	—
WACHOVIA CORP 2ND NEW Symbol: WB	Growth & Income Buy	56.950	60.	3,417.00	3,539.80	-502.80
WELLPOINT INC Symbol: WLP	Growth None	78.690	30.	2,360.70	2,677.60	-685.50
WELLS FARGO & CO Symbol: WFC	Growth & Income Buy	35.560	120.	4,267.20	4,342.64	-611.10
WESTERN UNION CO Symbol: WU	Aggressive Buy	22.420	50.	1,121.00	909.58	—
Total stocks				**$116,290.70**	$119,260.14	-$21,015.34
Total estimated asset value				**$121,174.33**		

5258

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Summary of Your Investment Activity

Total cash and money market funds on Nov 25	$4,634.32
Additions	
Income	$397.88
Total additions	$397.88
Subtractions	
Fees	-$147.50
Taxes withheld	-$1.07
Total subtractions	-$148.57
Total cash and money market funds on Dec 31	$4,883.63

Detail of Your Investment Activity

Additions

	Type	Date		Days	Rate	Amount	Where Invested
Income	Money market dividends	12/29	MONEY MARKET	41	4.53	24.36	Money market

Type	Tax Info.	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Dividends	N	11/30	ARCHSTONE SMITH TRUST	30.	0.435		$13.05	Money market
	Q	12/01	AFLAC INC	50.	0.16		8.00	Money market
	Q	12/01	WELLS FARGO & CO	120.	0.28		33.60	Money market
	Q	12/01	MCDONALDS CORP	40.	1.00		40.00	Money market
	Q	12/01	MGIC INVESTMENT CORP	20.	0.25		5.00	Money market
	Q	12/01	CONOCOPHILLIPS	40.	0.36		14.40	Money market
	Q	12/05	PFIZER INC	70.	0.24		16.80	Money market
	Q	12/05	HONDA MOTOR LTD ADR NEW	60.	0.254078		15.24	Money market

5259

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Additions, continued

	Type	Tax Info.	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Income	Dividends	Q	12/06	BP AMOCO PLC SPONSORED ADR 25P	45.	0.5895		$26.53	Money market
		Q	12/07	APPLIED MATERIALS INC	60.	0.05		3.00	Money market
		Q	12/11	UNITED TECHNOLOGIES CORP	50.	0.265		13.25	Money market
		Q	12/11	TARGET CORP	50.	0.12		6.00	Money market
		Q	12/11	MARATHON OIL CORP	40.	0.40		16.00	Money market
		Q	12/11	EMERSON ELECTRIC CO	20.	0.525		10.50	Money market
		Q	12/11	CHEVRON CORP	50.	0.53		26.00	Money market
		Q	12/14	HOME DEPOT INC	50.	0.225		11.25	Money market
		Q	12/14	MICROSOFT CORP	140.	0.10		14.00	Money market
		Q	12/15	WACHOVIA CORP	60.	0.56		33.60	Money market
		Q	12/20	DOMINION RESOURCES INC	40.	0.69		27.60	Money market
		Q	12/22	BANK OF AMERICA CORP	70.	0.56		39.20	Money market
		Q	12/28	WESTERN UNION CO	50.	0.01		0.50	Money market
				Total income				**$397.88**	

Subtractions

	Date		Amount	Source of Funds
Fees	12/14	MANAGED ACCOUNT FEE	-$147.50	Money market
	Total fees		**-$147.50**	

	Date		Amount	Source of Funds
Taxes withheld	12/05	HONDA MOTOR LTD ADR NEW 7.000% FOREIGN TAX	-$1.07	Money market
	Total taxes withheld		**-$1.07**	

Other Activity

Date	Activity		Quantity	Notes	Estimated Value
12/12	STOCK SPLIT	EMERSON ELECTRIC CO	20.	ON 20 AT 1 PER SHARE	--

5260

201 Progress Parkway
Maryland Heights, MO 63043 3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$0.00	-$772.78
Long term (held over 1 year)	0.00	1,065.71
Total	$0.00	$292.93

As you requested, copies of your statement have been sent to:

5261

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

This page intentionally left blank

Edward Jones

Payer's Federal Identification Number:

Edward Jones Account Number:

Recipient's Identification Number:

Investment Representative:

Telephone Number:

2006 CONSOLIDATED 1099 STATEMENT

(Includes 1099-DIV, 1099-B)

FIGURES ARE FINAL

Printed on February 4th, 2007

Recipient's Name and Address:

00028953 02 AB 0.554 02: TR 00160 EJTCA283 10000

HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS TRUST

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

1099-DIV DIVIDEND DISTRIBUTIONS - 2006 Statement for Recipients (OMB No. 1545-0110)

Box 1a	Ordinary Dividends (including short-term capital gains)	298.32
Box 1b	Qualified Dividends	184.09
Box 2a	Total Capital Gain Distr.	3.54
Box 2b	Unrecap. Sec. 1250 Gain	.34
Box 3	Nontaxable Distributions	.00
Box 4	*Federal Income Tax Withheld*	.00

Payer's Federal Identification Number:

Edward Jones Account Number:

Recipient's Identification Number:

(Includes 1099-DIV, 1099-B)

FIGURES ARE FINAL

Printed on February 4th, 2007

Recipient's Name and Address:

HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS TRUST

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

Activity Type	Quantity/ CUSIP No. (Box 1b)	Date Of Sale (Box 1a)	Description (Box 7)	Stocks, Bonds, etc. Gross Proceeds Less Commissions (Box 2)	Federal Income Tax Withheld (Box 4)
Sells	60 931422109	11/29	WALGREEN CO	2,438.20	.00
			TOTAL	2,438.20	.00

Edward Jones

Payer's Federal Identification Number:

Edward Jones Account Number:

Recipient's Identification Number:

COST BASIS SUMMARY

FIGURES ARE FINAL

Printed on February 4th, 2007

Recipient's Name: HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS TRUST

THIS IS NOT A 1099 FORM AND IS NOT REPORTED TO THE IRS. It is a summary of the cost basis information for most of the transactions reported on your Form 1099-B. Please note that in the table below, the LT indicates a long-term gain/(loss), ST indicates short-term gain/(loss), and a UN indicates the holding period could not be determined. **Cost basis information may be from outside sources or provided by clients and has not been verified for accuracy. It should not be relied upon for tax preparation purposes without independent verification by your tax adviser.** The Average Cost method is used to calculate the cost basis for open-end mutual funds. The FIFO (first-in, first-out) method is used for all other securities. Cost basis adjustments for spin-offs, wash sale and return of capital rules may not have been applied. If any other method of calculating cost basis has been used for your tax preparation, do not rely on the following figures.

Quantity	Description	Date Acquired	Date Sold	Proceeds (Sale Price)	Cost Basis	Gain/(Loss)
60	WALGREEN CO	10/20/2006	11/29/2006	2,438.20	2,616.00	(177.80) ST
			TOTAL ST GAIN			0.00
			TOTAL ST LOSS			(177.80)
			NET ST			**(177.80)**
			NET GAIN/(LOSS)			**(177.80)**

Edward Jones

Payer's Federal Identification Number:

Edward Jones Account Number:

Recipient's Identification Number:

SUMMARY OF INVESTMENT INCOME

FIGURES ARE FINAL

Printed on February 4th, 2007

Recipient's Name: HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS TRUST

THIS IS NOT A 1099 FORM ..... It is a summary of the income you received from your Edward Jones account in 2006. For a complete description of each activity, please refer to your statement for that period. If you have any questions, please contact your Edward Jones Investment Representative.

2006 Date	Description	CUSIP No.	Amount in 2006
	DIVIDENDS: Taxable		
12/26	CHICAGO MERCANTILE EXCHANGE	167760107	3.15
12/11	EMERSON ELEC CO	291011104	15.75
12/15	EXPEDITORS INTL WASH INC	302130109	6.05
12/11	EXXON MOBIL CORP	30231G102	11.20
12/01	INTEL CORP	458140100	11.50
12/01	MCDONALDS CORP	580135101	60.00
12/11	MOODYS CORP	615369105	2.80
12/15	PRAXAIR INC	74005P104	10.00
12/15	SLM CORP	78442P106	13.75
11/30	SIMON PPTY GROUP	828806109	.14
11/20	TEXAS INSTRUMENTS INC	882508104	3.20
12/11	UNITED TECHNOLOGIES CORP	913017109	10.60
12/12	WALGREEN CO	931422109	4.65
12/01	WELLS FARGO & CO (DE) NEW	949746101	19.60
12/01	WYETH	983024100	11.70
	Total Qualified Dividends (Box 1b on 1099-DIV):		**184.09**
12/31	MONEY MARKET INVESTMENT SHARES	MNYMKT002	98.91
11/30	SIMON PPTY GROUP	828806109	19.00
11/30	SIMON PPTY GROUP	828806109	-.14
11/30	SIMON PPTY GROUP	828806109	-3.20
11/30	SIMON PPTY GROUP	828806109	-.34
	Total Nonqualified Dividends:		**114.23**
	Total Ordinary Dividends (Box 1a on 1099-DIV):		**298.32**
11/30	SIMON PPTY GROUP	828806109	3.20
	Total Long-Term Capital Gains:		**3.20**
11/30	SIMON PPTY GROUP	828806109	.34
	Total Unrecap Sec 1250 Gains (Box 2b on 1099-DIV):		**.34**
	Total Capital Gains (Box 2a on 1099-DIV):		**3.54**

***** PLEASE REFER TO ENCLOSED TAX REPORTING GUIDE FOR FURTHER EXPLANATION OF HOW THIS ***** SUPPLEMENTAL INFORMATION AFFECTS YOUR TAX RETURN.

Edward Jones

Payer's Federal Identification Number:

Edward Jones Account Number:

Recipient's Identification Number:

2006 ADDITIONAL TAX INFORMATION

FIGURES ARE FINAL

Printed on February 4th, 2007

Recipient's Name: HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS TRUST

MUTUAL FUNDS IN YOUR EDWARD JONES ACCOUNT WITH INCOME FROM U.S. GOVERNMENT SECURITIES

This section reports the percentage of dividends derived from government obligations. Although the dividends are federally taxable, you may be entitled to exclude a portion of them from state taxable income based upon these percentages and your state's law. Multiply your ordinary dividends (taxable dividends + short term capital gains distributions = ordinary dividends) received by the percentage listed to determine the potential exclusion. Please contact your tax advisor to determine what may be exempt from taxation in your state.

MUTUAL FUND NAME CUSIP	SOURCE OF INCOME	PERCENTAGE FROM SOURCE
MONEY MARKET INVESTMENT SHARES MNYMKT002	FEDERAL HOME LOAN BANKS	7.26000%

This ADDITIONAL TAX INFORMATION has been supplied to Edward Jones by outside sources. While we cannot assure the accuracy of this information, we believe it to be correct. This information is intended as a general guide and does not constitute tax advice. You should consult your tax professional or state tax authority to determine how this information may apply to your specific situation.

Thank you for doing business with Edward Jones. This is the end of your 2006 tax reporting information. If you have any questions concerning any matter, *especially errors or omissions*, contact [redacted] at [redacted] immediately or the Edward Jones Tax Hotline at

Edward Jones

Instructions for Recipient of 1099-INT

Account Number. May show an account or other unique number the payer assigned to distinguish your account.
Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds and Qualified bonds that must be included in your interest income. These amounts were treated as paid to you during 2008 on the credit allowance dates (March 16, June 16, September 16, and December 15). For more information, see Form 8912, Clean Renewable energy Bond and Qualified Bond Credit.
Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Form 1040 to see where to take the deduction.
Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not be all taxable. See Pub. 550, Investment Income and Expenses. This interest is exempt from state and local income taxes. This interest is not included in box 1.
Box 4. Shows backup withholding. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.
Box 5. Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1.
Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040. See your Form 1040 instructions.
Box 8. Shows tax-exempt interest, including exempt-interest dividends from a mutual fund or other regulated investment company, paid to you during the calendar year by the payer. Report this amount on line 8b of Form 1040 or Form 1040A. This amount may be subject to backup withholding. See box 4.
Box 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the instructions for Form 6251, Alternative Minimum Tax - Individuals.
Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient". File Form(s) 1099-INT with Form 1096, Annual Summary and Transmittal of U.S. Information Returns, with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer". A husband or wife is not required to file a nominee return to show amounts owned by the other.

Instructions for Recipient of 1099-DIV

Account Number. May show an account or other unique number the payer assigned to distinguish your account.
Box 1a. Shows total ordinary dividends that are taxable. Include this amount on line 9a of Form 1040 or 1040A. Also, report it on Schedule B (Form 1040) or Schedule 1 (Form 1040A), if required. The amount shown may be a distribution from an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040A but treat it as a plan distribution, not as investment income, for any other purpose.
Box 1b. Shows the portion of the amount in box 1a that may be eligible for the 15% or 0% capital gains rates. See the form 1040/1040A instructions for how to determine this amount. Report the eligible amount on line 9a, Form 1040 or 1040A.
Box 2a. Shows total capital gain distributions (long-term) from a regulated investment company or real estate investment trust. Report the amounts shown in box 2a on Schedule D (Form 1040), line 13. But, if no amount is shown in boxes 2c-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on line 13 of Form 1040 (line 10 of Form 1040A) rather than Schedule D. See the Form 1040/1040A instructions.
Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. Report this amount on the Unrecaptured Section 1250 Gain Worksheet - Line 19 in Schedule D instructions (Form 1040).
Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to a 50% exclusion. See the Schedule D (Form 1040) instructions.
Box 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet - Line 18 in the instructions for Schedule D (Form 1040).
Box 3. Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub. 550, Investment Income and Expenses.
Box 4. Shows backup withholding. For example, a payer must backup withhold on certain payments at a 28% rate if you did not give your taxpayer identification number to the payer. See form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.
Box 6. Shows the foreign tax you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.
Box 8 and 9. Shows cash and noncash liquidation distributions.
Nominees. If this form included amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A husband or wife is not required to file a nominee return to show amounts owned by the other. See the 2008 General Instructions for Forms 1099, 1098, 5498 and W-2G.

Instructions for Recipient of 1099-OID

Original Issue Discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID is taxable as interest over the life span of the obligation. If you are the holder of an OID obligation, generally you must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-indexed securities. See Pub. 550, Investment Income and Expenses for more information.
If, as the record holder, you receive Form 1099-OID showing amounts belonging to another person, you are considered a nominee recipient. Complete a Form 1099-OID for each of the other owners showing the amounts allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner as the "recipient". File Form(s) 1099-OID with Form 1096, Annual summary and Transmittal of U.S. Information Returns, with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer". A husband or wife is not required to file a nominee return to show amounts owned by the other. If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.
Account number. May show an account or other unique number the payer assigned to distinguish your account.
Box 1. Shows the OID on the obligation for the part of the year you owned it. Report the amount in box 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors, (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212, Guide to Original Issue Discount (OID) Instruments for details on how to figure the correct OID.
Box 2. Shows other interest on the obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount in both boxes 2 and 8, the amount in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes.
Box 4. Shows backup withholding. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.
Box 5. Shows the identification number (CUSIP number) or description of the obligation. The description may include the stock exchange, issuer, coupon rate, and year of maturity.
Box 8. Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report the amount as interest income on your federal income tax return, and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 1.
Box 7. Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 2.

Instructions for Recipient of 1099-B

Brokers and barter exchanges must report proceeds from transactions to you and the IRS on Form 1099-B by January 31 of the year following the calendar year of the transaction. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a change in control or a substantial change in capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in the box below your name and address on Form 1099-B.
Account number. May show an account or other unique number the payer assigned to distinguish your account.
Box 1a. Shows the trade date of the transaction. For aggregate reporting, no entry will be present.
Box 1b. For broker transactions, may show the CUSIP (Committee on Uniform Security Identification Procedures) number of the item reported.
Box 2. Shows the aggregate proceeds from transactions involving stocks, bonds, other debt obligations, commodities, or forward contracts. Losses on forward contracts and changes in control or substantial change in capital structure are shown in parentheses. This box does not include proceeds from regulated futures contracts. The broker must include with the gross proceeds or gross proceeds less commissions and option premiums were reported to the IRS. Report the amount on Schedule D (Form 1040), Capital Gains and Losses. However, if box 12 is checked, you cannot take a loss on your tax return based on gross proceeds from an acquisition of control or substantial change in capital structure reported in box 2. Do not report the loss on Schedule D (Form 1040). The broker should advise you of any losses on a separate statement.
Box 4. Shows backup withholding. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.
Box 7. Shows a brief description of the item or service for which the proceeds or bartering income is being reported. For regulated futures, contracts and forward contracts, "RFC" or other appropriate description may be shown.

Instructions for Recipient of 1099-MISC

Account Number. May show an account or other unique number the payer assigned to distinguish your account.
Amounts shown may be subject to self-employment (SE) tax. If your net income from self-employment is $400 or more, you must file a return and compute your SE tax on Schedule SE (Form 1040). See Pub. 334, Tax Guide for Small Business, for more information. If no income or social security and Medicare taxes were withheld and you are still receiving these payments, see Form 1040-ES, Estimated Tax for Individuals. Individuals must report as explained below. Corporations, fiduciaries, or partnerships report the amounts on the proper line of your tax return.
Boxes 1 and 2. Report rents from real estate on Schedule E (Form 1040). If you provided significant services to the tenant, paid real estate as a business, or rented personal property as a business, report on Schedule C or C-EZ (Form 1040). For royalties on timber, coal and iron ore, see Pub. 544, Sales and Other Dispositions of Assets.
Box 3. Generally, report this amount on the "Other income" line of Form 1040 and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, back pay, damages, Indian gaming profits, or other taxable income. See Pub. 525, Taxable and Nontaxable Income. If it is trade or business income, report this amount on Schedule C, C-EZ or F (Form 1040).
Box 4. Shows backup withholding or withholding on Indian gaming profits. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number. See Form W-9, Request for Taxpayer Identification Number and Certification, for more information. Report this amount on your income tax return as tax withheld.

Account number: :
Statement type:
September 30 - October 27, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS TRUST

Value Summary (Held at Edward Jones)

Value on Oct 27	$100,710.26
Value on Sep 30	—
Value one year ago	—

Summary of Your Assets

Held at Edward Jones	Value on Oct 27	Value on Sep 30	Change in value
Cash & money market	$4,747.06	—	$4,747.06
Stocks	95,963.20	—	95,963.20
Total at Edward Jones	**$100,710.26**	—	$100,710.26

Summary of Your Income

	This period			Year-to-date		
Income distributions from securities	Taxable	Tax-free	Total	Taxable	Tax-free	Total
Money market dividends	$49.42	—	$49.42	$49.42	—	$49.42
Total	**$49.42**	—	**$49.42**	$49.42	—	**$49.42**

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.50%	4.60%	$4,747.06
Total cash and money market funds			**$4,747.06**

5269

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ADOBE SYSTEMS INC	Aggressive	38.570	65.	$2,507.05	$2,469.35	—
Symbol: ADBE	None					
ALLSTATE CORP	Growth & Income	61.740	40.	2,469.60	2,457.20	—
Symbol: ALL	Buy					
AMERICAN EXPRESS CO	Growth	57.270	45.	2,577.15	2,605.95	—
Symbol: AXP	Buy					
AMGEN INC	Growth	75.520	35.	2,643.20	2,542.40	—
Symbol: AMGN	None					
AUTOMATIC DATA PROCESSING INC	Growth	47.660	55.	2,621.30	2,611.40	—
Symbol: ADP	Buy					
BECTON DICKINSON & CO	Growth	70.690	35.	2,474.15	2,518.25	—
Symbol: BDX	None					
C R BARD INC	Growth	81.810	30.	2,454.30	2,480.70	—
Symbol: BCR	None					
CATERPILLAR INC	Growth & Income	61.270	35.	2,144.45	2,132.20	—
Symbol: CAT	Hold					
CHICAGO MERCANTILE EXCHANGE HOLDINGS INC CL A	Growth	505.300	5.	2,526.50	2,489.45	—
Symbol: CME	None					
CISCO SYSTEMS INC	Aggressive	23.720	105.	2,490.60	2,525.04	—
Symbol: CSCO	Buy					
COLGATE PALMOLIVE CO	Growth & Income	63.310	40.	2,532.40	2,412.40	—
Symbol: CL	Hold					
ELECTRONIC ARTS	Aggressive	54.510	45.	2,452.95	2,522.88	—
Symbol: ERTS	None					
EMERSON ELECTRIC CO	Growth & Income	84.160	30.	2,524.80	2,530.50	—
Symbol: EMR	Buy					

5270

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
EXPEDITORS INTL OF WASH INC Symbol: EXPD	Growth None	47.020	55.	$2,586.10	$2,643.19	—
EXXON MOBIL CORP Symbol: XOM	Growth & Income Buy	71.460	35.	2,501.10	2,450.00	—
GENENTECH INC NEW Symbol: DNA	Aggressive None	84.460	30.	2,533.80	2,506.20	—
GENERAL ELECTRIC CO Symbol: GE	Growth & Income Buy	35.210	70.	2,464.70	2,484.30	—
MCDONALDS CORP Symbol: MCD	Growth & Income Hold	41.470	60.	2,488.20	2,452.80	—
MEDTRONIC INC Symbol: MDT	Growth Buy	48.670	55.	2,676.85	2,664.20	—
MONSANTO CO NEW Symbol: MON	Growth None	44.280	55.	2,435.40	2,451.27	—
MOODYS CORP Symbol: MCO	Growth & Income None	65.920	40.	2,636.80	2,615.20	—
NIKE INC CL B Symbol: NKE	Growth None	91.860	30.	2,755.80	2,662.50	—
PEPSICO INC Symbol: PEP	Growth Buy	63.540	40.	2,541.60	2,509.20	—
PRAXAIR INC Symbol: PX	Growth None	59.150	40.	2,366.00	2,374.40	—
PROCTER & GAMBLE CO Symbol: PG	Growth & Income Buy	63.560	40.	2,542.40	2,498.00	—
QUALCOMM INC Symbol: QCOM	Aggressive Buy	37.140	65.	2,414.10	2,436.85	—
SCHLUMBERGER LIMITED Symbol: SLB	Growth None	62.810	40.	2,512.40	2,475.20	—

5271

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
SIMON PROPERTY GROUP INC	Growth & Income	96.810	25.	$2,420.25	$2,395.00	—
Symbol: SPG	None					
SLM CORP	Growth & Income	48.030	55.	2,641.65	2,556.54	—
Symbol: SLM	None					
STAPLES INC	Growth	25.770	100.	2,577.00	2,559.99	—
Symbol: SPLS	None					
TEXAS INSTRUMENTS INC	Aggressive	29.900	80.	2,392.00	2,508.80	—
Symbol: TXN	Buy					
UNITED TECHNOLOGIES CORP	Growth	64.940	40.	2,597.60	2,594.00	—
Symbol: UTX	Buy					
WALGREEN CO	Growth	42.840	60.	2,570.40	2,616.00	—
Symbol: WAG	Hold					
WALT DISNEY CO	Growth	31.730	80.	2,538.40	2,535.20	—
Symbol: DIS	Buy					
WELLS FARGO & CO NEW	Growth & Income	36.320	70.	2,542.40	2,573.90	—
Symbol: WFC	Buy					
WHOLE FOODS MKT INC	Growth	64.750	40.	2,590.00	2,555.60	—
Symbol: WFMI	None					
WM WRIGLEY JR CO	Growth & Income	52.360	55.	2,879.80	2,535.50	—
Symbol: WWY	Buy					
WYETH	Growth & Income	52.000	45.	2,340.00	2,350.80	—
Symbol: WYE	Buy					
Total stocks				$95,963.20	$95,302.36	—
Total estimated asset value				**$100,710.26**		

5272

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Summary of Your Investment Activity

Total cash and money market funds on Sep 30	$0.00
Additions	
Income	$49.42
Other additions	$100,000.00
Total additions	$100,049.42
Subtractions	
Withdrawals to purchase securities	-$95,302.36
Total subtractions	-$95,302.36
Total cash and money market funds on Oct 27	**$4,747.06**

Detail of Your Investment Activity

Additions

	Type	Date		Days	Rate	Amount	Where invested
Income	Money market dividends	10/20	MONEY MARKET	32	4.51	49.42	Money market
		Total income				$49.42	

	Date		Amount	Where invested
Other Additions	10/16	TRANSFER FROM	$100,000.00	Cash Balance
	Total other additions		$100,000.00	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	10/25	WM WRIGLEY JR CO	55.	46.10		-$2,535.50	Money market
	10/25	WHOLE FOODS MARKET INC	40.	63.89		-2,555.60	Money market

5273

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Subtractions, continued

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	10/25	WALGREEN CO	60.	43.60		-$2,616.00	Money market
	10/25	WYETH	45.	52.24		-2,350.80	Money market
	10/25	AMERICAN EXPRESS CO	45.	57.91		-2,605.95	Money market
	10/25	ADOBE SYSTEMS INC	65.	37.99		-2,469.35	Money market
	10/25	WELLS FARGO & CO	70.	36.77		-2,573.90	Money market
	10/25	UNITED TECHNOLOGIES CORP	40.	64.85		-2,594.00	Money market
	10/25	TEXAS INSTRUMENTS INC	80.	31.36		-2,508.80	Money market
	10/25	STAPLES INC	100.	25.5999		-2,559.99	Money market
	10/25	SCHLUMBERGER LIMITED	40.	61.88		-2,475.20	Money market
	10/25	SLM CORP	55.	46.4825		-2,556.54	Money market
	10/25	SIMON PROPERTY GROUP INC	25.	95.80		-2,395.00	Money market
	10/25	QUALCOMM INC	65.	37.49		-2,436.85	Money market
	10/25	CISCO SYSTEMS INC	105.	24.048		-2,525.04	Money market
	10/25	CATERPILLAR INC	35.	60.92		-2,132.20	Money market
	10/25	CHICAGO MERCANTILE EXCHANGE	5.	497.89		-2,489.45	Money market
	10/25	BECTON DICKINSON & CO	35.	71.95		-2,518.25	Money market
	10/25	C R BARD INC	30.	82.69		-2,480.70	Money market
	10/25	AUTOMATIC DATA PROCESSING INC	55.	47.48		-2,611.40	Money market
	10/25	ALLSTATE CORP	40.	61.43		-2,457.20	Money market
	10/25	AMGEN INC	35.	72.64		-2,542.40	Money market
	10/25	PROCTER & GAMBLE CO	40.	62.45		-2,498.00	Money market
	10/25	PRAXAIR INC	40.	59.36		-2,374.40	Money market

5274

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Subtractions, continued

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	10/25	PEPSICO INC	40.	62.73		-$2,509.20	Money market
	10/25	NIKE INC CL B	30.	88.75		-2,662.50	Money market
	10/25	MEDTRONIC INC	55.	48.44		-2,664.20	Money market
	10/25	MCDONALDS CORP	60.	40.88		-2,452.80	Money market
	10/25	MONSANTO CO	55.	44.5685		-2,451.27	Money market
	10/25	MOODYS CORP	40.	65.38		-2,615.20	Money market
	10/25	GENERAL ELECTRIC CO	70.	35.49		-2,484.30	Money market
	10/25	GENENTECH INC	30.	83.54		-2,506.20	Money market
	10/25	EXPEDITORS INTL OF WASH INC	55.	48.058		-2,643.19	Money market
	10/25	EMERSON ELECTRIC CO	30.	84.35		-2,530.50	Money market
	10/25	ELECTRONIC ARTS	45.	56.064		-2,522.88	Money market
	10/25	EXXON MOBIL CORP	35.	70.00		-2,450.00	Money market
	10/25	WALT DISNEY CO	80.	31.69		-2,535.20	Money market
	10/25	COLGATE PALMOLIVE CO	40.	60.31		-2,412.40	Money market
	Total withdrawals to purchase securities					**-$95,302.36**	

As you requested, copies of your statement have been sent to:

5275

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

ACCOUNT INFORMATION

Please see your account agreement forms for complete conditions governing your account. All transactions are subject to applicable rules of the exchange market and its clearing house where the trade occurred, as well as of the Securities Exchange Commission, the Federal Reserve Board and any applicable self-regulatory organizations. If you have a complaint, please notify us at Edward Jones, Attn: Complaints Department, 1245 JJ Kelley Memorial Dr., St. Louis MO 63131. For all other concerns, please refer to the cover page of this statement for our contact information.

You can only withdraw $2,500 per day from a bank teller using your Edward Jones VISA debit card.

With questions regarding your mortgage or home equity loans, please refer to the separate loan statement issued for a description of fees and charges.

Account Protection - Edward Jones provides account protection for the net equity of your assets (except annuities and insurance) held in custody by Edward Jones for your account. Of that total, the Securities Investor Protection Corporation (SIPC) provides $500,000 of coverage, including $100,000 for claims of cash awaiting reinvestment (SIPC coverage). The remaining net equity is protected by Customer Asset Protection Company (CAPCO). This protection does not guard against market loss.

REGULATORY DISCLOSURES

Securities Investor Protection Corporation (SIPC): Information from SIPC, including the SIPC Brochure can be obtained at www.sipc.org or by calling (202)371-8300.

Financial Statement - The firm's financial statement is available at your branch office, or a copy will be mailed upon written request.

Rights to your Free Credit Balance - We are permitted to use your free credit balances to conduct our business, subject to the limitations of 17C.F.R.240 15c3-3 under the Securities Exchange Act of 1934. You have the right to receive, during normal business operations, free delivery of your credit balances; any securities to which you are entitled and which have been fully paid; upon full payment of any debt to us, any securities purchased on margin.

Errors or Questions About Your Electronic Transfers - Visit our website at www.edwardjones.com/electronictransfer or contact us for details. Refer to the cover page of this statement for our contact information.

Amount of money you can borrow - What you can borrow is not a commitment to loan funds. The amount available is typically 50% of the value of your investments held at Edward Jones. Your loan value could change daily. Your investment representative has access to daily values as well as more information on the "Amount of money you can borrow" should you wish to activate this feature. The minimum portfolio value required to be eligible for this feature is $4,000. Single, joint and business accounts are eligible.

Loan/Margin Accounts - If you have a loan or margin account, this statement covers: your general brokerage account; a special misc. account maintained for you under section 4(f)(6) of Regulation T issued by the Board of Governors of The Federal Reserve System; a separate account for securities you have sold short. The permanent record of this account is available upon request. If you have a credit balance in your loan account, the surplus amount is included with the amount shown for "cash and money market" balance.

Tax Withholding on Retirement Accounts - Federal income taxes of 10% on IRA or 20% on qualified plan distributions will be withheld unless a higher amount is elected or an IRS exception applies. State income taxes are withheld depending upon your state of residence upon distribution. Your withholding election will remain in effect until federal or state rule changes. Insufficient withholding may subject you to estimated income-tax payments to avoid penalties.

Required Minimum Distributions (RMDs) - RMDs from other IRAs must be calculated separately, but the total amount may be removed from one or more of your IRAs. RMDs from qualified plans must be calculated and removed separately from each plan and cannot be part of your IRA RMD. If you turned age 70-1/2 last year, and deferred your first RMD until the current year or you have unpriced securities in this account, the RMD Summary Section is understated. Verification by a tax professional is recommended.

Transaction/Settlement Dates - Securities transactions are noted on the settlement date shown on the transaction confirmation, excepting transactions involving Edward Jones Tax-free Money Market Fund or Edward Jones Money Market Investment Shares or Retirement Shares which are listed on the trade date. Additional sections report pending trades and open orders.

Contingent Deferred Sales Charge - Shares sold on certain mutual fund classes may be subject to a contingent deferred sales charge.

Portfolio Objective - Inform us promptly of any material change in your portfolio objective or financial situation.

Debt Securities Transactions - For debt securities transactions, call features may exist which could affect yield; complete information will be provided upon request.

Information for Investors - Edward Jones is a member of the New York Stock Exchange and the Chicago Stock Exchange. The firm also transacts business with a variety of dealers in securities, including listed and over-the-counter stocks and bonds, government and agency issues and municipal securities. For equity securities, the firm monitors performance of competing market centers and dealers and routes orders to those that consistently guarantee execution at the national best bid or offer or better. Routed market orders generally are directed to market centers or dealers that offer opportunity for better prices through either automated or manual systems. For agency transactions, the name of the other broker or party to the transaction will be furnished upon written request. For agency and principal transactions, the date and time of execution will be furnished upon written request. Periodically, the firm may receive other remuneration on agency trades from other sources; information will be furnished upon written request.

TERMINOLOGY

Total Estimated Value - The approximate value of the assets held at Edward Jones and outside companies at the statement date shown or date specified in the detail of Your Assets. It is estimated as the prices used to value your securities are provided by an outside service and do not always represent exact market prices. Edward Jones can't guarantee the accuracy of such values; if you need the exact price, contact your investment representative. Values for many fixed-income charges are estimates based on coupon rate and credit rating and may not represent actual transaction price.

Values don't include accrued interest or dividends and for some investments don't reflect applicable charges and fees.

Your Assets Held Outside Edward Jones - Balances are provided for your information only to give an overall view of your investments with Edward Jones. SIPC coverage isn't extended to assets held outside the custody of Edward Jones. Refer to the statement received directly from these companies for details.

Tax Information for Income Distributions - Your year-end tax documents (eg. Form 1099) will provide specific classifications of your income distributions. The 2003 tax law allows Qualified (Q) dividends to be taxed at reduced rates: 15%, or 5% for individuals whose tax rates are 15% or less. Nonqualified (N) dividends are taxed at ordinary rates. Some, but not all, of Partially Qualified (P) dividends are taxed at reduced rates.

Cost Basis - Cost basis is the amount paid for a security, including commissions, reinvestments and original issue discount (OID) and adjustments for sales, principal returns, splits and spin offs. Some data may be unsubstantiated and should not be relied upon for tax preparation.

Amount Invested/Withdrawn - Amount invested reflects all purchases and other additions to your holdings, with the exception of dividend reinvestments. Amount withdrawn shows how much of your investment has been sold, redeemed or transferred. These figures should not be used for tax reporting or tax preparation.

Ratings and Recommendations - Edward Jones research opinions, Standard & Poor's and Moody's ratings may be shown for certain securities. Ratings or recommendations should not be considered an indication of future performance.

Account Activity - Entries appearing in Account Activity sections under columns headed "Where Invested" or "Sources of Funds" are additions to or subtractions from your account. For clients who maintain a money market account, entries indicating "Tax-Free Money Market" mean Edward Jones Tax-Free Money Market Fund and entries indicating "Money Market" mean Edward Jones Money Market Investment Shares or Retirement Shares depending on the share class owned. Activity dates appearing under "Sources of Funds" correspond to the sale date of the designated Edward Jones Money Market Fund. Entries appearing under "Where Invested" will be transacted as a purchase of the previously designated Edward Jones Money Market Fund on the second business day after the date shown, with the following exceptions which will be transacted on the date indicated:

Source of Funds	Number of Days After Activity Date Shown Until Purchase of Money Market Fund
Wired Funds, SWPS Electronic Bank Transfer	1
Direct Deposit	1
Security Sold or Interest/Dividend Received*	0
Bond Maturities, Calls, Tendered Items *	1
Transfers from Margin Account or Money Market Fund in another Edward Jones Account	0

*For assets held within Edward Jones account

Account number: ---
Statement type
October 28 - November 24, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS TRUST

Value Summary (Held at Edward Jones)

Value on Nov 24	$102,409.73
Value on Oct 28	$100,710.26
Value one year ago	—

Summary of Your Assets

Held at Edward Jones	Value on Nov 24	Value on Oct 28	Change in value
Cash & money market	$2,284.93	$4,747.06	-$2,462.13
Stocks	100,124.80	95,963.20	4,161.60
Total at Edward Jones	$102,409.73	$100,710.26	$1,699.47

Summary of Your Income

	This period			Year-to-date		
Income distributions from securities	Taxable	Tax-free	Total	Taxable	Tax-free	Total
Money market dividends	$35.08	—	$35.08	$84.50	—	$84.50
Dividends						
Qualified (Q) - Reduced Tax Eligible	3.20	—	3.20	3.20	—	3.20
Total	$38.28	—	$38.28	$87.70	—	$87.70

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.51%	4.61%	$2,284.93
Total cash and money market funds			$2,284.93

5277

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ADOBE SYSTEMS INC Symbol: ADBE	Aggressive None	41.540	65.	$2,700.10	$2,469.35	—
ALLSTATE CORP Symbol: ALL	Growth & Income Buy	64.910	40.	2,596.40	2,457.20	—
AMERICAN EXPRESS CO Symbol: AXP	Growth Buy	59.900	45.	2,695.50	2,605.95	—
AMGEN INC Symbol: AMGN	Growth None	72.500	35.	2,537.50	2,542.40	—
AUTOMATIC DATA PROCESSING INC Symbol: ADP	Growth Buy	49.380	55.	2,715.90	2,611.40	—
BECTON DICKINSON & CO Symbol: BDX	Growth None	71.020	35.	2,485.70	2,518.25	—
C R BARD INC Symbol: BCR	Growth None	82.340	30.	2,470.20	2,480.70	—
CATERPILLAR INC Symbol: CAT	Growth & Income Hold	62.870	35.	2,200.45	2,132.20	—
CHICAGO MERCANTILE EXCHANGE HOLDINGS INC CL A Symbol: CME	Growth None	553.240	5.	2,766.20	2,489.45	—
CISCO SYSTEMS INC Symbol: CSCO	Aggressive Buy	26.840	105.	2,818.20	2,525.04	—
COLGATE PALMOLIVE CO Symbol: CL	Growth & Income Hold	65.970	40.	2,638.80	2,412.40	—
ELECTRONIC ARTS Symbol: ERTS	Aggressive None	58.420	45.	2,628.90	2,522.88	—
EMERSON ELECTRIC CO Symbol: EMR	Growth & Income Buy	89.000	30.	2,670.00	2,530.50	—

5278

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
EXPEDITORS INTL OF WASH INC Symbol: EXPD	Growth None	46.830	55.	$2,575.65	$2,643.19	—
EXXON MOBIL CORP Symbol: XOM	Growth & Income Buy	72.380	35.	2,533.30	2,450.00	—
GENENTECH INC NEW Symbol: DNA	Aggressive None	80.590	30.	2,417.70	2,506.20	—
GENERAL ELECTRIC CO Symbol: GE	Growth & Income Buy	35.690	70.	2,498.30	2,484.30	—
INTEL CORP Symbol: INTC	Aggressive Buy	21.590	115.	2,482.85	2,445.82	—
MCDONALDS CORP Symbol: MCD	Growth & Income Hold	41.880	60.	2,512.80	2,452.80	—
MEDTRONIC INC Symbol: MDT	Growth Buy	53.450	55.	2,939.75	2,664.20	—
MONSANTO CO NEW Symbol: MON	Growth None	48.700	55.	2,678.50	2,451.27	—
MOODYS CORP Symbol: MCO	Growth & Income None	69.730	40.	2,789.20	2,615.20	—
NIKE INC CL B Symbol: NKE	Growth None	96.730	30.	2,901.90	2,662.50	—
PEPSICO INC Symbol: PEP	Growth Buy	62.340	40.	2,493.60	2,509.20	—
PRAXAIR INC Symbol: PX	Growth None	63.540	40.	2,541.60	2,374.40	—
PROCTER & GAMBLE CO Symbol: PG	Growth & Income Buy	63.530	40.	2,541.20	2,498.00	—
QUALCOMM INC Symbol: QCOM	Aggressive Buy	37.580	65.	2,442.70	2,436.85	—

5279

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
SCHLUMBERGER LIMITED	Growth	65.360	40.	$2,614.40	$2,475.20	—
Symbol: SLB	None					
SIMON PROPERTY GROUP INC	Growth & Income	101.920	25.	2,548.00	2,395.00	—
Symbol: SPG	None					
SLM CORP	Growth & Income	46.000	55.	2,530.00	2,556.54	—
Symbol: SLM	None					
STAPLES INC	Growth	25.620	100.	2,562.00	2,559.99	—
Symbol: SPLS	None					
TEXAS INSTRUMENTS INC	Aggressive	30.020	80.	2,401.60	2,508.80	—
Symbol: TXN	Buy					
UNITED TECHNOLOGIES CORP	Growth	65.990	40.	2,639.60	2,594.00	—
Symbol: UTX	Buy					
WALGREEN CO	Growth	40.660	60.	2,439.60	2,616.00	—
Symbol: WAG	Hold					
WALT DISNEY CO	Growth	32.910	80.	2,632.80	2,535.20	—
Symbol: DIS	Buy					
WELLS FARGO & CO NEW	Growth & Income	35.570	70.	2,489.90	2,573.90	—
Symbol: WFC	Buy					
WHOLE FOODS MKT INC	Aggressive	49.170	40.	1,966.80	2,555.60	—
Symbol: WFMI	Hold					
WM WRIGLEY JR CO	Growth & Income	52.090	55.	2,864.95	2,535.50	—
Symbol: WWY	Buy					
WYETH	Growth & Income	48.050	45.	2,162.25	2,350.80	—
Symbol: WYE	Buy					
Total stocks				**$100,124.80**	**$97,748.18**	—
Total estimated asset value				**$102,409.73**		

5280

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Summary of Your Investment Activity

Total cash and money market funds on Oct 28	$4,747.06
Additions	
Income	$38.28
Total additions	$38.28
Subtractions	
Withdrawals to purchase securities	-$2,445.82
Fees	-$54.59
Total subtractions	-$2,500.41
Total cash and money market funds on Nov 24	**$2,284.93**

Detail of Your Investment Activity

Additions

	Type	Date		Days	Rate	Amount	Where Invested
Income	Money market dividends	11/20	MONEY MARKET	29	4.51	35.08	Money market

Type	Tax Info.	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Dividends	Q	11/20	TEXAS INSTRUMENTS INC	80.	0.04		$3.20	Money market
		Total income					**$38.28**	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	11/03	INTEL CORP	115.	21.268		-$2,445.82	Money market
	Total withdrawals to purchase securities					**-$2,445.82**	

5281

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Subtractions, continued

	Date		Amount	Source of Funds
Fees	11/15	MANAGED ACCOUNT FEE	-$54.59	Money market
	Total fees		**-$54.59**	

As you requested, copies of your statement have been sent to:

5282

Account number:
Statement type: |
November 25 - December 31, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS TRUST

Value Summary (Held at Edward Jones)

Value on Dec 31	$101,775.75
Value on Nov 25	$102,409.73
Value one year ago	—

Summary of Your Assets

Held at Edward Jones	Value on Dec 31	Value on Nov 25	Change in value
Cash & money market	$2,108.75	$2,284.93	-$176.18
Stocks	99,667.00	100,124.80	-457.80
Total at Edward Jones	$101,775.75	$102,409.73	-$633.98

Summary of Your Income

Income distributions from securities	This period Taxable	Tax-free	Total	Year-to-date Taxable	Tax-free	Total
Money market dividends	$14.41	—	$14.41	$98.91	—	$98.91
Dividends						
Qualified (Q) - Reduced Tax Eligible	180.75	—	180.75	183.95	—	183.95
Nonqualified (N) - Taxable	19.00	—	19.00	19.00	—	19.00
Total	$214.16	—	$214.16	$301.86	—	$301.86

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

5283

20 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Your Estimated Interest and Dividends

The following is an estimated summary of your interest and dividend income for the next 12 months. It is based on a variety of factors. These factors, including distribution rates and amount of principal invested, are subject to change. Because they are estimates, the specific amounts listed here are not guaranteed by Edward Jones or by the underlying issuer(s) of the securities. Income from items with " is being reinvested.

		2007												
Cash & money market funds		**Quantity**	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	Total
Money Market 4.54%		2,108	7	7	7	7	7	7	7	7	7	7	7	7	84
Stocks	**Tax Info.**														
ALLSTATE CORP	Q	40	14			14			14			14			56
AMERICAN EXPRESS CO	Q	45		6			6			6			6		24
AUTOMATIC DATA PROCESSING INC	Q	55	12			12			12			12			48
BECTON DICKINSON & CO	Q	35			8			8			8			8	32
BOEING CO	Q	30			10			10			10			10	40
CR BARD INC	Q	30		4			4			4			4		16
CATERPILLAR INC	Q	35		10			10			10			10		40
CHICAGO MERCANTILE EXCHANGE	Q	5			3			3			3			3	12
COLGATE PALMOLIVE CO	Q	40		12			12			12			12		48
EMERSON ELECTRIC CO	Q	60			31			31			31			31	124
EXPEDITORS INTL OF WASHINGTON	Q	55						6						6	12
EXXON MOBIL CORP	Q	35			11			11			11			11	44
GENERAL ELECTRIC CO	Q	70	19			19			19			19			76
INTEL CORP	Q	115			11			11			11			11	44
MCDONALDS CORP	Q	60												60	60
MEDTRONIC INC	Q	55	6			6			6			6			24

5284

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks	Tax Info.	Quantity	2007 JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	Total
MONSANTO CO	Q	55	6			6			6			6			24
MOODYS CORP	Q	40			3			3			3			3	12
NIKE INC CL B	Q	30	11			11			11			11			44
PEPSICO INC	Q	40			12			12			12			12	48
PRAXAIR INC	Q	40			10			10			10			10	40
PROCTER & GAMBLE CO	Q	40		12			12			12			12		48
QUALCOMM INC	Q	65			7			7			7			7	28
SCHLUMBERGER LIMITED	Q	40	5			5			5			5			20
SIMON PROPERTY GROUP INC	N	25		19			19			19			19		76
SLM CORP	Q	55			13			13			13			13	52
STAPLES INC	Q	100				22									22
TEXAS INSTRUMENTS INC	Q	80		3			3			3			3		12
UNITED TECHNOLOGIES CORP	Q	40			10			10			10			10	40
WALT DISNEY CO	Q	80	24												24
WELLS FARGO & CO	Q	70			19			19			19			19	76
WHOLE FOODS MARKET INC	Q	40	7			7			7			7			28
WM WRIGLEY JR CO	Q	55		14			14			14			14		56
WYETH	Q	45			11			11			11			11	44
Total			**111**	**87**	**166**	**109**	**87**	**172**	**87**	**87**	**166**	**87**	**87**	**232**	**1,478**

5285

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.54%	4.65%	$2,108.75
Total cash and money market funds			**$2,108.75**

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ADOBE SYSTEMS INC	Aggressive	41.120	65.	$2,672.80	$2,469.35	—
Symbol: ADBE	None					
ALLSTATE CORP	Growth & Income	65.110	40.	2,604.40	2,457.20	—
Symbol: ALL	Buy					
AMERICAN EXPRESS CO	Growth	60.670	45.	2,730.15	2,605.95	—
Symbol: AXP	Buy					
AMGEN INC	Growth	68.310	35.	2,390.85	2,542.40	—
Symbol: AMGN	None					
AUTOMATIC DATA PROCESSING INC	Growth	49.250	55.	2,708.75	2,611.40	—
Symbol: ADP	Buy					
BECTON DICKINSON & CO	Growth	70.150	35.	2,455.25	2,518.25	—
Symbol: BDX	None					
BOEING CO	Growth	88.840	30.	2,665.20	2,702.09	—
Symbol: BA	Sell					
C R BARD INC	Growth	82.970	30.	2,489.10	2,480.70	—
Symbol: BCR	None					
CATERPILLAR INC	Growth & Income	61.330	35.	2,146.55	2,132.20	—
Symbol: CAT	Hold					

5286

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
CHICAGO MERCANTILE EXCHANGE HOLDINGS INC CL A Symbol: CME	Growth None	509.750	5.	$2,548.75	$2,488.45	—
CISCO SYSTEMS INC Symbol: CSCO	Aggressive Buy	27.330	105.	2,869.65	2,525.04	—
COLGATE PALMOLIVE CO Symbol: CL	Growth & Income Hold	65.240	40.	2,609.60	2,412.40	—
ELECTRONIC ARTS Symbol: ERTS	Aggressive None	50.360	45.	2,266.20	2,522.88	—
EMERSON ELECTRIC CO Symbol: EMR	Growth & Income Buy	44.090	60.	2,645.40	2,530.50	—
EXPEDITORS INTL OF WASH INC Symbol: EXPD	Growth None	40.500	55.	2,227.50	2,643.19	—
EXXON MOBIL CORP Symbol: XOM	Growth & Income Buy	78.630	35.	2,682.05	2,450.00	—
GENENTECH INC NEW Symbol: DNA	Aggressive None	81.130	30.	2,433.90	2,506.20	—
GENERAL ELECTRIC CO Symbol: GE	Growth & Income Buy	37.210	70.	2,604.70	2,484.30	—
INTEL CORP Symbol: INTC	Aggressive Buy	20.250	115.	2,328.75	2,445.82	—
MCDONALDS CORP Symbol: MCD	Growth & Income Hold	44.330	60.	2,659.80	2,452.80	—
MEDTRONIC INC Symbol: MDT	Growth Buy	53.510	55.	2,943.05	2,664.20	—
MONSANTO CO NEW Symbol: MON	Growth None	52.530	55.	2,889.15	2,451.27	—

5287

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
MOODYS CORP Symbol: MCO	Growth & Income None	69.060	40.	$2,762.40	$2,615.20	—
NIKE INC CL B Symbol: NKE	Growth None	99.030	30.	2,970.90	2,662.50	—
PEPSICO INC Symbol: PEP	Growth Buy	62.550	40.	2,502.00	2,509.20	—
PRAXAIR INC Symbol: PX	Growth None	59.330	40.	2,373.20	2,374.40	—
PROCTER & GAMBLE CO Symbol: PG	Growth & Income Buy	64.270	40.	2,570.80	2,498.00	—
QUALCOMM INC Symbol: QCOM	Aggressive Buy	37.790	65.	2,456.35	2,436.85	—
SCHLUMBERGER LIMITED Symbol: SLB	Growth None	63.160	40.	2,526.40	2,475.20	—
SIMON PROPERTY GROUP INC Symbol: SPG	Growth & Income None	101.290	25.	2,532.25	2,395.00	—
SLM CORP Symbol: SLM	Growth None	48.770	55.	2,682.35	2,556.54	—
STAPLES INC Symbol: SPLS	Growth None	26.700	100.	2,670.00	2,559.99	—
TEXAS INSTRUMENTS INC Symbol: TXN	Aggressive Buy	28.800	80.	2,304.00	2,508.80	—
UNITED TECHNOLOGIES CORP Symbol: UTX	Growth Buy	62.520	40.	2,500.80	2,594.00	—
WALT DISNEY CO Symbol: DIS	Growth Buy	34.270	80.	2,741.60	2,535.20	—
WELLS FARGO & CO Symbol: WFC	Growth & Income Buy	35.560	70.	2,489.20	2,573.90	—

5288

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
WHOLE FOODS MKT INC Symbol: WFMI	Aggressive Hold	46.930	40.	$1,877.20	$2,555.60	—
WM WRIGLEY JR CO Symbol: WWY	Growth & Income Buy	51.720	55.	2,844.60	2,535.50	—
WYETH Symbol: WYE	Growth & Income Buy	50.920	45.	2,291.40	2,350.80	—
Total stocks				**$99,667.00**	$97,834.27	—
Total estimated asset value				$101,775.75		

Summary of Your Investment Activity

Total cash and money market funds on Nov 25	$2,284.93
Additions	
Income	$214.16
Proceeds from securities sold	$2,438.20
Total additions	$2,652.36
Subtractions	
Withdrawals to purchase securities	-$2,702.09
Fees	-$126.45
Total subtractions	-$2,828.54
Total cash and money market funds on Dec 31	$2,108.75

5289

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Detail of Your Investment Activity

Additions

	Type		Date			Days	Rate	Amount	Where Invested
Income	Money market dividends		12/29	MONEY MARKET		41	4.53	14.41	Money market
	Type	**Tax Info.**	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Invested**
	Dividends	N	11/30	SIMON PROPERTY GROUP INC	25.	0.76		$19.00	Money market
		Q	12/01	WYETH	45.	0.26		11.70	Money market
		Q	12/01	WELLS FARGO & CO	70.	0.28		19.60	Money market
		Q	12/01	MCDONALDS CORP	60.	1.00		60.00	Money market
		Q	12/01	INTEL CORP	115.	0.10		11.50	Money market
		Q	12/11	UNITED TECHNOLOGIES CORP	40.	0.265		10.60	Money market
		Q	12/11	MOODYS CORP	40.	0.07		2.80	Money market
		Q	12/11	EMERSON ELECTRIC CO	30.	0.525		15.75	Money market
		Q	12/11	EXXON MOBIL CORP	35.	0.32		11.20	Money market
		Q	12/12	WALGREEN CO	60.	0.0775		4.65	Money market
		Q	12/15	EXPEDITORS INTL OF WASHINGTON	55.	0.11		6.05	Money market
		Q	12/15	SLM CORP	55.	0.25		13.75	Money market
		Q	12/15	PRAXAIR INC	40.	0.25		10.00	Money market
		Q	12/26	CHICAGO MERCANTILE EXCHANGE	5.	0.63		3.15	Money market
			Total income					$214.16	
			Date		**Quantity**	**Amount per share**	**Trade date**	**Amount**	**Where Invested**
Proceeds from securities sold			12/04	WALGREEN CO	60.	40.6367		$2,438.20	Money market
			Total proceeds from securities sold					$2,438.20	

5290

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	12/15	BOEING CO	30.	90.0698		-$2,702.09	Money market
	Total withdrawals to purchase securities					**-$2,702.09**	
Fees	12/14	MANAGED ACCOUNT FEE				-$126.45	Money market
	Total fees					**-$126.45**	

Other Activity

Date	Activity		Quantity	Notes	Estimated Value
12/12	STOCK SPLIT	EMERSON ELECTRIC CO	30.	ON 30 AT 1 PER SHARE	—

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	-$177.80	-$177.80
Long term (held over 1 year)	0.00	0.00
Total	**-$177.80**	**-$177.80**

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
WALGREEN CO	10/20/2006	11/29	60.000	$2,616.00	$2,438.20	-$177.80	Short term

As you requested, copies of your statement have been sent to:

5291

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

This page intentionally left blank

Edward Jones

Payer's Federal Identification Number:

Edward Jones Account Number:

Recipient's Identification Number:

Investment Representative:

Telephone Number:

2006 CONSOLIDATED 1099 STATEMENT

(Includes 1099-DIV, 1099-B)

FIGURES ARE FINAL

Printed on February 4th, 2007

Recipient's Name and Address:

00028952 02 AB 0.554 02(TR 00160 EJTCA283 10000

HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

1099-DIV DIVIDEND DISTRIBUTIONS - 2006 Statement to recipients

OMB NO. 1545-0110

Box 1a	Ordinary Dividends (Including short-term capital gains)	1,063.81
Box 1b	Qualified Dividends	943.07
Box 2a	Total Capital Gain Distr.	.00
Box 2b	Unrecap. Sec. 1250 Gain	.00
Box 3	Nontaxable Distributions	.00
Box 4	***Federal Income Tax Withheld***	.00

Edward Jones

Payer's Federal Identification Number:

Edward Jones Account Number:

Recipient's Identification Number:

2006 CONSOLIDATED 1099 STATEMENT

(Includes 1099-DIV, 1099-B.)

FIGURES ARE FINAL

Printed on February 4th, 2007

Recipient's Name and Address:
HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

1099-B PROCEEDS FROM BROKER EXCHANGE TRANSACTION (CUSIP NO. ITEMS ONLY)

Activity Type	Quantity/ CUSIP No. (Box 1b)	Date Of Sale (Box 1a)	Description (Box 7)	Stocks, Bonds, etc. Gross Proceeds Less Commissions (Box 2)	Federal Income Tax Withheld (Box 4)
Sells	23 001055102	11/16	AFLAC INC	1,023.81	.00
Sells	30 00724F101	06/05	ADOBE SYS INC	880.50	.00
Sells	9 037411105	02/23	APACHE CORP	616.21	.00
Sells	7 037411105	07/20	APACHE CORP	449.36	.00
Sells	5 037411105	09/18	APACHE CORP	319.02	.00
Sells	31 143658300	04/07	CARNIVAL CORP	1,480.20	.00
Sells	1 167760107	01/03	CHICAGO MERCANTILE EXCHANGE	359.24	.00
Sells	52 168615102	08/16	CHICOS FAS INC	1,257.33	.00
Sells	16 17275R102	10/19	CISCO SYS INC	380.32	.00
Sells	61 24702R101	01/24	DELL INC	1,823.37	.00
Sells	6 26875P101	03/03	EOG RES INC	424.48	.00
Sells	11 278865100	06/07	ECOLAB INC	416.43	.00
Sells	14 278865100	07/21	ECOLAB INC	572.32	.00
Sells	24 302182100	01/03	EXPRESS SCRIPTS INC	2,042.21	.00
Sells	18 337738108	03/06	FISERV INC	748.02	.00
Sells	51 337738108	04/11	FISERV INC	2,109.28	.00
Sells	48 437076102	10/19	HOME DEPOT INC	1,720.14	.00
Sells	12 478160104	02/10	JOHNSON & JOHNSON	701.52	.00

Edward Jones

Payer's Federal Identification Number:

Edward Jones Account Number:

Recipient's Identification Number:

2006 CONSOLIDATED 1099 STATEMENT

(Includes 1099-DIV, 1099-B)

FIGURES ARE FINAL

Printed on February 4th, 2007

Recipient's Name and Address:

HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS — OMB NO. 1545-0715

Activity Type	Quantity/ CUSIP No. (Box 1b)	Date Of Sale (Box 1a)	Description (Box 7)	Stocks, Bonds, etc. Gross Proceeds Less Commissions (Box 2)	Federal Income Tax Withheld (Box 4)
Sells	28 478160104	03/03	JOHNSON & JOHNSON	1,617.92	.00
Sells	14 500255104	02/23	KOHLS CORP	627.06	.00
Sells	20 580645109	06/07	MCGRAW-HILL COS INC	1,027.06	.00
Sells	119 594918104	06/14	MICROSOFT CORP	2,584.01	.00
Sells	11 713448108	10/19	PEPSICO INC	693.14	.00
Sells	8 713448108	10/26	PEPSICO INC	508.68	.00
Sells	16 747525103	10/19	QUALCOMM INC	610.12	.00
Sells	8 74834L100	08/17	QUEST DIAGNOSTICS INC	502.22	.00
Sells	20 832110100	07/20	SMITH INTL INC	829.79	.00
Sells	25 855030102	02/23	STAPLES INC	572.16	.00
Sells	29 855030102	06/05	STAPLES INC	697.17	.00
Sells	45 855244109	05/15	STARBUCKS CORP	1,615.17	.00
Sells	18 911312106	08/04	UNITED PARCEL SVC INC	1,219.82	.00
Sells	33 911312106	08/31	UNITED PARCEL SVC INC	2,300.24	.00
Sells	16 931422109	07/20	WALGREEN CO	755.77	.00
Sells	21 966837106	01/03	WHOLE FOODS MKT INC	1,616.37	.00
Sells	21 966837106	11/16	WHOLE FOODS MKT INC	1,041.74	.00
Sells	38 98956P102	09/18	ZIMMER HLDGS INC	2,613.69	.00

Edward Jones

Payer's Federal Identification Number:

Edward Jones Account Number:

Recipient's Identification Number:

2006 CONSOLIDATED 1099 STATEMENT

(Includes 1099-DIV, 1099-[illegible])

FIGURES ARE FINAL

Printed on February 4th, 2007

Page 4

Recipient's Name and Address:

HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

COST BASIS REPORTED FOR COVERED SECURITIES ACQUIRED IN BARTER EXCHANGE TRANSACTIONS (SEE BOX 10)

Activity Type	Quantity/ CUSIP No. (Box 1b)	Date Of Sale (Box 1a)	Description (Box 7)	Stocks, Bonds, etc. Gross Proceeds Less Commissions (Box 2)	Federal Income Tax Withheld (Box 4)
			TOTAL	38,755.89	.00

Edward Jones

Payer's Federal Identification Number:

COST BASIS SUMMARY

Edward Jones Account Number:

FIGURES ARE FINAL

Printed on February 4th, 2007

Recipient's Identification Number:

Recipient's Name: HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

THIS IS NOT A 1099 FORM AND IS NOT REPORTED TO THE IRS. It is a summary of the cost basis information for most of the transactions reported on your Form 1099-B. Please note that in the table below, the LT indicates a long-term gain/(loss), ST indicates short-term gain/(loss), and a UN indicates the holding period could not be determined. **Cost basis information may be from outside sources or provided by clients and has not been verified for accuracy. It should not be relied upon for tax preparation purposes without independent verification by your tax advisor.** The Average Cost method is used to calculate the cost basis for open-end mutual funds. The FIFO (first-in, first-out) method is used for all other securities. Cost basis adjustments for spin-offs, wash sale and return of capital rules may not have been applied. If any other method of calculating cost basis has been used for your tax preparation, do not rely on the following figures.

Quantity	Description	Date Acquired	Date Sold	Proceeds (Sale Price)	Cost Basis	Gain/(Loss)
23	AFLAC INC	06/23/2005	11/16/2006	1,023.81	991.99	31.82 LT
30	ADOBE SYS INC	06/23/2005	06/05/2006	880.50	900.89	(20.39) ST
9	APACHE CORP	06/23/2005	02/23/2006	616.21	601.02	15.19 ST
7	APACHE CORP	06/23/2005	07/20/2006	449.36	467.46	(18.10) LT
5	APACHE CORP	06/23/2005	09/18/2006	319.02	333.90	(14.88) LT
31	CARNIVAL CORP	06/23/2005	04/07/2006	1,480.20	1,700.04	(219.84) ST
1	CHICAGO MERCANTILE EXCHANGE	07/21/2005	01/03/2006	359.24	306.20	53.04 ST
52	CHICOS FAS INC	Various	08/16/2006	1,257.33	2,180.53	(923.20) ST
16	CISCO SYS INC	06/23/2005	10/19/2006	380.32	321.25	59.07 LT
61	DELL INC	06/23/2005	01/24/2006	1,823.37	2,437.55	(614.18) ST
6	EOG RES INC	07/28/2005	03/03/2006	424.48	365.46	59.02 ST
11	ECOLAB INC	06/23/2005	06/07/2006	416.43	346.61	69.82 ST
14	ECOLAB INC	06/23/2005	07/21/2006	572.32	441.14	131.18 LT
24	EXPRESS SCRIPTS INC	06/23/2005	01/03/2006	2,042.21	1,227.95	814.26 ST
18	FISERV INC	06/23/2005	03/06/2006	748.02	763.37	(15.35) ST
51	FISERV INC	06/23/2005	04/11/2006	2,109.28	2,162.89	(53.61) ST
48	HOME DEPOT INC	06/23/2005	10/19/2006	1,720.14	1,893.98	(173.84) LT
12	JOHNSON & JOHNSON	06/23/2005	02/10/2006	701.52	788.76	(87.24) ST
28	JOHNSON & JOHNSON	06/23/2005	03/03/2006	1,617.92	1,840.44	(222.52) ST
14	KOHLS CORP	06/23/2005	02/23/2006	627.06	782.74	(155.68) ST

Edward Jones

Payer's Federal Identification Number:

Edward Jones Account Number:

Recipient's Identification Number:

COST BASIS SUMMARY

FIGURES ARE FINAL

Printed on February 4th, 2007

Recipient's Name: HAROLD D ROGERS ITEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

Quantity	Description	Date Acquired	Date Sold	Proceeds (Sale Price)	Cost Basis	Gain/(Loss)
20	MCGRAW-HILL COS INC	06/23/2005	06/07/2006	1,027.06	881.40	145.66 ST
119	MICROSOFT CORP	Various	06/14/2006	2,584.01	3,045.64	(461.63) ST
11	PEPSICO INC	06/23/2005	10/19/2006	693.14	603.13	90.01 LT
8	PEPSICO INC	06/23/2005	10/26/2006	508.68	438.64	70.04 LT
16	QUALCOMM INC	10/14/2005	10/19/2006	610.12	665.00	(54.88) LT
8	QUEST DIAGNOSTICS INC	06/23/2005	08/17/2006	502.22	427.52	74.70 LT
20	SMITH INTL INC	06/23/2005	07/20/2006	829.79	639.00	190.79 LT
25	STAPLES INC	06/23/2005	02/23/2006	572.16	545.25	26.91 ST
29	STAPLES INC	06/23/2005	06/05/2006	697.17	632.49	64.68 ST
45	STARBUCKS CORP	06/23/2005	05/15/2006	1,615.17	1,201.72	413.45 ST
18	UNITED PARCEL SVC INC	06/23/2005	08/04/2006	1,219.82	1,233.72	(13.90) LT
15	UNITED PARCEL SVC INC	Various	08/31/2006	1,045.56	1,184.33	(138.77) ST
18	UNITED PARCEL SVC INC	06/23/2005	08/31/2006	1,254.68	1,233.72	20.96 LT
33	**TOTAL**			**2,300.24**	**2,418.05**	**(117.81)**
16	WALGREEN CO	06/23/2005	07/20/2006	755.77	715.52	40.25 LT
21	WHOLE FOODS MKT INC	06/23/2005	01/03/2006	1,616.37	1,220.66	395.71 ST
21	WHOLE FOODS MKT INC	06/23/2005	11/16/2006	1,041.74	1,220.66	(178.92) LT
16	ZIMMER HLDGS INC	06/16/2006	09/18/2006	1,100.50	1,056.00	44.50 ST
22	ZIMMER HLDGS INC	06/23/2005	09/18/2006	1,513.19	1,659.24	(146.05) LT
38	**TOTAL**			**2,613.69**	**2,715.24**	**(101.55)**
			TOTAL LT GAIN			708.82
			TOTAL LT LOSS			(600.57)
			NET LT			**108.25**

Edward Jones

Payer's Federal Identification Number :

COST BASIS SUMMARY

Edward Jones Account Number :

FIGURES ARE FINAL

Printed on February 4th, 2007

Recipient's Identification Number :

Recipient's Name : HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

Quantity	Description	Date Acquired	Date Sold	Proceeds (Sale Price)	Cost Basis	Gain/(Loss)
			TOTAL ST GAIN			2,102.24
			TOTAL ST LOSS			(2,912.41)
			NET ST			**(810.17)**
			NET GAIN/(LOSS)			**(701.92)**

Edward Jones

Payer's Federal Identification Number:

Edward Jones Account Number:

Recipient's Identification Number:

SUMMARY OF INVESTMENT INCOME

FIGURES ARE FINAL

Printed on February 4th, 2007

Recipient's Name: HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

THIS IS NOT A 1099 FORM ..... *It is a summary of the income you received from your Edward Jones account in 2006. For a complete description of each activity, please refer to your statement for that period. If you have any questions, please contact your Edward Jones Investment Representative.*

2006 Date	Description	CUSIP No.	Amount in 2006
Div[illegible] Taxable			
03/01	AFLAC INC	001055102	7.67
06/01	AFLAC INC	001055102	7.67
09/01	AFLAC INC	001055102	7.67
12/01	AFLAC INC	001055102	9.44
11/15	ABBOTT LABORATORIES	002824100	9.15
02/22	APACHE CORP	037411105	5.30
05/22	APACHE CORP	037411105	4.40
08/22	APACHE CORP	037411105	4.40
11/22	APACHE CORP	037411105	4.80
08/18	BAKER HUGHES INC	057224107	3.25
11/17	BAKER HUGHES INC	057224107	3.25
03/13	CARNIVAL CORP	143658300	7.75
03/27	CHICAGO MERCANTILE EXCHANGE	167760107	4.41
06/26	CHICAGO MERCANTILE EXCHANGE	167760107	4.41
09/25	CHICAGO MERCANTILE EXCHANGE	167760107	4.41
12/26	CHICAGO MERCANTILE EXCHANGE	167760107	4.41
01/27	DANAHER CORP	235851102	1.14
04/28	DANAHER CORP	235851102	1.24
07/28	DANAHER CORP	235851102	1.24
10/27	DANAHER CORP	235851102	1.24
01/31	EOG RES INC	26875P101	1.48
04/28	EOG RES INC	26875P101	1.86
07/31	EOG RES INC	26875P101	1.86
10/31	EOG RES INC	26875P101	1.86
01/17	ECOLAB INC	278865100	9.20
04/17	ECOLAB INC	278865100	9.20
07/17	ECOLAB INC	278865100	8.10
10/16	ECOLAB INC	278865100	6.70
01/25	GENERAL ELECTRIC CO	369604103	26.00
04/25	GENERAL ELECTRIC CO	369604103	26.00
07/25	GENERAL ELECTRIC CO	369604103	26.00
10/25	GENERAL ELECTRIC CO	369604103	26.00
03/23	HOME DEPOT INC	437076102	7.20
06/22	HOME DEPOT INC	437076102	7.20
09/21	HOME DEPOT INC	437076102	7.20
06/01	JABIL CIRCUIT INC	466313103	6.51
09/01	JABIL CIRCUIT INC	466313103	6.51
12/01	JABIL CIRCUIT INC	466313103	7.07
03/14	JOHNSON & JOHNSON	478160104	9.24
06/30	JOHNSON CTLS INC	478366107	5.88
09/29	JOHNSON CTLS INC	478366107	7.84
03/10	MCGRAW-HILL COS INC	580645109	10.89
06/12	MCGRAW-HILL COS INC	580645109	10.89
09/12	MCGRAW-HILL COS INC	580645109	7.26
12/12	MCGRAW-HILL COS INC	580645109	7.26
01/27	MEDTRONIC INC	585055106	4.91

Edward Jones

Payer's Federal Identification Number :

Edward Jones Account Number :

Recipient's Identification Number :

SUMMARY OF INVESTMENT INCOME

FIGURES ARE FINAL

Printed on February 4th, 2007

Recipient's Name : HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

2006 Date	Description	CUSIP No.	Amount in 2006
DIVIDEND INCOME - Taxable			
04/28	MEDTRONIC INC	585055106	4.91
07/28	MEDTRONIC INC	585055106	5.61
10/27	MEDTRONIC INC	585055106	5.61
03/09	MICROSOFT CORP	594918104	10.71
06/08	MICROSOFT CORP	594918104	10.71
07/06	OMNICOM GROUP INC	681919106	4.25
10/05	OMNICOM GROUP INC	681919106	6.75
02/15	PAYCHEX INC	704326107	12.32
05/15	PAYCHEX INC	704326107	12.80
08/15	PAYCHEX INC	704326107	12.80
11/15	PAYCHEX INC	704326107	16.80
01/03	PEPSICO INC	713448108	14.30
03/31	PEPSICO INC	713448108	14.30
06/30	PEPSICO INC	713448108	19.80
09/29	PEPSICO INC	713448108	21.00
02/16	PROCTER & GAMBLE CO	742718109	12.88
05/15	PROCTER & GAMBLE CO	742718109	16.74
08/15	PROCTER & GAMBLE CO	742718109	16.74
11/15	PROCTER & GAMBLE CO	742718109	17.98
01/04	QUALCOMM INC	747525103	3.15
03/24	QUALCOMM INC	747525103	5.76
06/23	QUALCOMM INC	747525103	10.20
09/22	QUALCOMM INC	747525103	10.20
01/25	QUEST DIAGNOSTICS INC	74834L100	4.14
04/19	QUEST DIAGNOSTICS INC	74834L100	4.60
07/21	QUEST DIAGNOSTICS INC	74834L100	5.70
10/18	QUEST DIAGNOSTICS INC	74834L100	4.90
03/17	SLM CORP	78442P106	12.98
06/16	SLM CORP	78442P106	14.75
09/15	SLM CORP	78442P106	14.75
12/15	SLM CORP	78442P106	14.75
03/10	SCRIPPS E W CO OHIO	811054204	3.41
06/09	SCRIPPS E W CO OHIO	811054204	3.72
09/08	SCRIPPS E W CO OHIO	811054204	3.72
12/08	SCRIPPS E W CO OHIO	811054204	3.72
01/13	SMITH INTL INC	832110100	3.96
04/17	SMITH INTL INC	832110100	5.28
07/14	SMITH INTL INC	832110100	5.28
10/16	SMITH INTL INC	832110100	3.68
04/20	STAPLES INC	855030102	20.68
07/17	STATE STREET CORP	857477103	5.20
10/16	STATE STREET CORP	857477103	6.40
01/31	STRYKER CORP	863667101	4.18
01/04	UNITED PARCEL SVC INC	911312106	11.88
03/07	UNITED PARCEL SVC INC	911312106	15.96
05/31	UNITED PARCEL SVC INC	911312106	15.96
09/06	UNITED PARCEL SVC INC	911312106	12.54
03/10	UNITED TECHNOLOGIES CORP	913017109	10.56
06/12	UNITED TECHNOLOGIES CORP	913017109	12.72

Edward Jones

Payer's Federal Identification Number:

Edward Jones Account Number:

Recipient's Identification Number:

SUMMARY OF INVESTMENT INCOME

FIGURES ARE FINAL

Printed on February 4th, 2007

Recipient's Name: HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

2006 Date	Description	CUSIP No.	Amount in 2006
DIVIDENDS [illegible]			
09/11	UNITED TECHNOLOGIES CORP	913017109	15.11
12/11	UNITED TECHNOLOGIES CORP	913017109	15.11
03/13	WALGREEN CO	931422109	4.88
06/12	WALGREEN CO	931422109	4.88
09/12	WALGREEN CO	931422109	4.57
12/12	WALGREEN CO	931422109	4.81
01/23	WHOLE FOODS MKT INC	966837106	3.15
01/23	WHOLE FOODS MKT INC	966837106	42.00
04/24	WHOLE FOODS MKT INC	966837106	3.15
07/24	WHOLE FOODS MKT INC	966837106	3.15
10/23	WHOLE FOODS MKT INC	966837106	3.15
	Total Qualified Dividends (Box 1b on 1099-DIV):		**943.07**
12/31	MONEY MARKET INVESTMENT SHARES	MNYMKT002	120.74
	Total Nonqualified Dividends:		**120.74**
	Total Ordinary Dividends (Box 1a on 1099-DIV):		**1,063.81**

***** PLEASE REFER TO ENCLOSED TAX REPORTING GUIDE FOR FURTHER EXPLANATION OF HOW THIS SUPPLEMENTAL INFORMATION AFFECTS YOUR TAX RETURN. *****

Edward Jones

Payer's Federal Identification Number :

Edward Jones Account Number :

Recipient's Identification Number :

2006 ADDITIONAL TAX INFORMATION

FIGURES ARE FINAL

Printed on February 4th, 2007

Recipient's Name : HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

MUTUAL FUNDS IN YOUR EDWARD JONES ACCOUNT WITH INCOME FROM GOVERNMENT SECURITIES

This section reports the percentage of dividends derived from government obligations. Although the dividends are federally taxable, you may be entitled to exclude a portion of them from state taxable income based upon these percentages and your state's law. Multiply your ordinary dividends (taxable dividends + short term capital gains distributions = ordinary dividends) received by the percentage listed to determine the potential exclusion. Please contact your tax advisor to determine what may be exempt from taxation in your state.

MUTUAL FUND NAME CUSIP	SOURCE OF INCOME	PERCENTAGE FROM SOURCE
MONEY MARKET INVESTMENT SHARES MNYMKT002	FEDERAL HOME LOAN BANKS	7.26000%

This ADDITIONAL TAX INFORMATION has been supplied to Edward Jones by outside sources. While we cannot assure the accuracy of this information, we believe it to be correct. This information is intended as a general guide and does not constitute tax advice. You should consult your tax professional or state tax authority to determine how this information may apply to your specific situation.

Thank you for doing business with Edward Jones. This is the end of your 2006 tax reporting information. If you have any questions concerning any matter, *especially errors or omissions,* contact at immediately or the Edward Jones Tax Hotline at

Edward Jones

Instructions for Recipient of 1099-INT

Account Number. May show an account or other unique number the payer assigned to distinguish your account.

Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds and Qulf bonds that must be included in your interest income. These amounts were treated as paid to you during 2006 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912, Clean Renewable Energy Bond and Qulf Bond Credit.

Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Form 1040 to see where to take the deduction.

Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not be all taxable. See Pub. 550, Investment Income and Expenses. This interest is exempt from state and local income taxes. This interest is not included in box 1.

Box 4. Shows backup withholding. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1.

Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040. See your Form 1040 instructions.

Box 8. Shows tax-exempt interest, including exempt-interest dividends from a mutual fund or other regulated investment company, paid to you during the calendar year by the payer. Report this amount on line 8b of Form 1040 or Form 1040A. This amount may be subject to backup withholding. See box 4.

Box 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the instructions for Form 6251, Alternative Minimum Tax - Individuals.

Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient". File Form(s) 1099-INT with form 1096, Annual Summary and Transmittal of U.S. Information Returns, with the Internal Revenue Service Center for your area. On Form 1096 list yourself as the "filer". A husband or wife is not required to file a nominee return to show amounts owned by the other.

Instructions for Recipient of 1099-DIV

Account Number. May show an account or other unique number the payer assigned to distinguish your account.

Box 1a. Shows total ordinary dividends that are taxable. Include this amount on line 9a of Form 1040 or 1040A. Also, report it on Schedule B (Form 1040) or Schedule 1 (Form 1040A), if required. The amount shown may be a distribution from an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040A but treat it as a plan distribution, not as investment income, for any other purpose.

Box 1b. Shows the portion of the amount in box 1a that may be eligible for the 15% or 5% capital gains rates. See the form 1040/1040A instructions for how to determine this amount. Report the eligible amount on line 9b, Form 1040 or 1040A.

Box 2a. Shows total capital gain distributions (long-term) from a regulated investment company or real estate investment trust. Report the amounts shown in box 2a on Schedule D (Form 1040), line 13. But, if no amount is shown in boxes 2c-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on line 13 of Form 1040 (line 10 of Form 1040A) rather than Schedule D. See the Form 1040/1040A instructions.

Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. Report this amount on the Unrecaptured Section 1250 Gain Worksheet - Line 19 in Schedule D Instructions (Form 1040).

Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to a 50% exclusion. See the Schedule D (Form 1040) instructions.

Box 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet - Line 18 in the instructions for Schedule D (Form 1040).

Box 3. Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub. 550, Investment Income and Expenses.

Box 4. Shows backup withholding. For example, a payer must backup withhold on certain payments at a 28% rate if you did not give your taxpayer identification number to the payer. See form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows the foreign tax you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.

Box 8 and 9. Shows cash and noncash liquidation distributions.

Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A husband and wife is not required to file a nominee return to show amounts owned by the other. See the 2006 General Instructions for Forms 1099, 1098, 5498 and W-2G.

Instructions for Recipient of 1099-OID

Original Issue Discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID is taxable as interest over the life span of the obligation. If you are the holder of an OID obligation, generally you must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-indexed securities. See Pub. 550, Investment Income and Expenses for more information.

If, as the record holder, you receive Form 1099-OID showing amounts belonging to another person, you are considered a nominee recipient. Complete a Form 1099-OID for each of the other owners showing the amounts allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner as the "recipient". File Form(s) 1099-OID with Form 1096, Annual summary and Transmittal of U.S. Information Returns, with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer". A husband or wife is not required to file a nominee return to show amounts owned by the other. If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Box 1. Shows the OID on the obligation for the part of the year you owned it. Report the amount in box 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors, (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212, Guide to Original Issue Discount (OID) Instruments for details on how to figure the correct OID.

Box 2. Shows other interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount in both boxes 2 and 8, the amount in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes.

Box 4. Shows backup withholding. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows the identification number (CUSIP number) or description of the obligation. The description may include the stock exchange, issuer, coupon rate, and year of maturity.

Box 8. Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return, and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 1.

Box 7. Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 2.

Instructions for Recipient of 1099-B

Brokers and barter exchanges must report proceeds from transactions to you and the IRS on Form 1099-B by January 31 of the year following the calendar year of the transaction. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a change in control or a substantial change in capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported the type of transaction to you, the corporation is identified in the box below your name and address on Form 1099-B.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Box 1a. Shows the trade date of the transaction. For aggregate reporting, no entry will be present.

Box 1b. For broker transactions, may show the CUSIP (Committee on Uniform Security Identification Procedures) number of the item reported.

Box 2. Shows the aggregate proceeds from transactions involving stocks, bonds, other debt obligations, commodities, or forward contracts. Losses on forward contracts and changes in control or substantial change in capital structure are shown in parentheses. This box does not include proceeds from regulated futures contracts. The broker must indicate whether gross proceeds or gross proceeds less commissions and option premiums were reported to the IRS. Report this amount on Schedule D (Form 1040), Capital Gains and Losses. However, if box 12 is checked, you cannot take a loss on your tax return based on gross proceeds from an acquisition of control or substantial change in capital structure reported in box 2. Do not report this loss on Schedule D (Form 1040). The broker should advise you of any losses on a separate statement.

Box 4. Shows backup withholding. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 7. Shows a brief description of the item or service for which the proceeds or bartering income is being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown.

Instructions for Recipient of 1099-MISC

Account Number. May show an account or other unique number the payer assigned to distinguish your account.

Amounts shown may be subject to self-employment (SE) tax. If your net income from self-employment is $400 or more, you must file a return and compute your SE tax on Schedule SE (Form 1040). See Pub. 334, Tax Guide for Small Business, for more information. If no income or social security and Medicare taxes were withheld and you are still receiving these payments, see Form 1040-ES, Estimated Tax for Individuals. Individuals must report as explained below. Corporations, fiduciaries, or partnerships report the amounts on the proper line of your tax return.

Boxes 1 and 2. Report rents from real estate on Schedule E (Form 1040). If you provided significant services to the tenant, sold real estate as a business, or rented personal property as a business, report on Schedule C or C-EZ (Form 1040). For royalties on timber, coal and iron ore, see Pub. 544, Sales and Other Dispositions of Assets.

Box 3. Generally, report this amount on the "Other income" line of Form 1040 and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525, Taxable and Nontaxable Income. If it is trade or business income, report this amount on Schedule C, C-EZ or F (Form 1040).

Box 4. Shows backup withholding or withholding on Indian gaming profits. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number. See Form W-9, Request for Taxpayer Identification Number and Certification, for more information. Report this amount on your income tax return as tax withheld.

Account number:
Statement type:
January 1 - January 27, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

Value Summary (Held at Edward Jones)

Value on Jan 27	$108,107.98
Value on Jan 1	$105,922.81
Value one year ago	—

Summary of Your Assets

Held at Edward Jones	Value on Jan 27	Value on Jan 1	Change in value
Cash & money market	$3,040.65	$2,734.68	$305.97
Stocks	105,067.33	103,188.13	1,879.20
Total at Edward Jones	$108,107.98	$105,922.81	$2,185.17

Summary of Your Income

Income distributions from securities	This period			Year-to-date		
	Taxable	Tax-free	Total	Taxable	Tax-free	Total
Money market dividends	$6.06	—	$6.06	$6.06	—	$6.06
Dividends						
Qualified (Q) - Reduced Tax Eligible	123.83	—	123.83	123.83	—	123.83
Total	$129.89	—	$129.89	$129.89	—	$129.89

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	3.52%	3.58%	$3,040.65
Total cash and money market funds			$3,040.65

5305

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ADOBE SYSTEMS INC Symbol: ADBE	Aggressive None	40.080	119.	$4,769.52	$3,633.75	—
AFLAC INC Symbol: AFL	Growth None	47.500	59.	2,802.50	3,031.89	-478.34
AMGEN INC Symbol: AMGN	Growth None	71.310	38.	2,709.78	2,315.71	—
APACHE CORP Symbol: APA	Growth None	71.360	53.	3,782.08	4,741.38	-1,277.38
CARNIVAL CORP Symbol: CCL	Growth Buy	52.400	31.	1,624.40	2,577.48	-788.37
CHICAGO MERCANTILE EXCHANGE HOLDINGS INC CL A Symbol: CME	Growth None	401.640	6.	2,409.84	2,183.45	-359.24
CISCO SYSTEMS INC Symbol: CSCO	Aggressive Buy	18.780	171.	3,211.38	3,277.89	—
DANAHER CORP Symbol: DHR	Growth None	55.550	57.	3,166.35	3,630.52	-567.31
EBAY INC Symbol: EBAY	Aggressive None	44.070	52.	2,291.64	2,194.92	-450.75
ECOLAB INC Symbol: ECL	Growth None	35.710	92.	3,285.32	2,898.92	—
EOG RESOURCES INC Symbol: EOG	Growth None	80.390	37.	2,974.43	2,342.92	—
EXPRESS SCRIPTS INC Symbol: ESRX	Aggressive None	92.420	18.	1,663.56	2,762.90	-2,791.72
FISERV INC Symbol: FISV	Aggressive Buy	45.130	69.	3,113.97	3,435.19	-545.13

5306

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
GENERAL ELECTRIC CO Symbol: GE	Growth & Income Buy	32.950	104.	$3,426.80	—	—
GENZYME CORP Symbol: GENZ	Aggressive None	71.330	34.	2,425.22	2,123.45	—
HOME DEPOT INC Symbol: HD	Growth Buy	40.000	48.	1,920.00	1,893.98	—
JABIL CIRCUIT INC Symbol: JBL	Aggressive None	41.190	93.	3,830.87	2,903.11	—
JOHNSON & JOHNSON COMMON Symbol: JNJ	Growth Buy	58.710	40.	2,348.40	2,629.20	—
KOHLS CORP Symbol: KSS	Growth Buy	44.200	49.	2,165.80	2,706.70	—
MCGRAW HILL CO INC Symbol: MHP	Growth Hold	51.070	45.	2,298.15	2,024.63	—
MEDTRONIC INC Symbol: MDT	Growth Buy	57.000	51.	2,907.00	2,641.80	—
MICROSOFT CORP Symbol: MSFT	Growth Buy	27.790	99.	2,751.21	2,514.44	—
PAYCHEX INC Symbol: PAYX	Growth Hold	37.040	77.	2,852.08	2,492.58	—
PEPSICO INC Symbol: PEP	Growth Buy	58.200	55.	3,201.00	3,015.85	—
PROCTER & GAMBLE CO Symbol: PG	Growth & Income Buy	59.740	46.	2,748.04	2,659.03	—
QUALCOMM INC Symbol: QCOM	Aggressive Hold	48.020	64.	3,073.28	2,806.57	—
QUEST DIAGNOSTICS INC Symbol: DGX	Growth None	50.360	46.	2,316.56	2,458.24	—

5307

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
SCRIPPS E W CO CL A	Growth	48.530	31.	$1,504.43	$1,548.12	—
Symbol: SSP	None					
SLM CORP	Growth	58.830	59.	3,352.97	2,993.68	—
Symbol: SLM	None					
SMITH INTERNATIONAL INCORPOATED	Growth None	42.730	66.	2,820.18	2,108.70	—
Symbol: SII						
STAPLES INC	Growth	23.840	119.	2,813.16	2,595.39	—
Symbol: SPLS	None					
STARBUCKS CORP	Aggressive	31.950	88.	2,811.60	2,350.04	—
Symbol: SBUX	Sell					
STRYKER CORP	Growth	50.330	38.	1,912.54	1,789.80	—
Symbol: SYK	Buy					
UNITED PARCEL SERVICE INC CL B	Growth	75.400	42.	3,166.80	2,928.02	—
Symbol: UPS	Buy					
UNITED TECHNOLOGIES CORP	Growth	58.750	48	2,820.00	2,543.51	—
Symbol: UTX	Buy					
WALGREEN CO	Growth	42.890	75.	3,216.75	3,354.00	—
Symbol: WAG	Hold					
WELLPOINT INC	Growth	75.500	20.	1,510.00	1,508.62	—
Symbol: WLP	None					
WHOLE FOODS MKT INC	Growth	73.000	21.	1,533.00	2,557.59	-1,737.74
Symbol: WFMI	None					
ZIMMER HLDGS INC COM	Aggressive	69.860	22.	1,536.92	1,659.24	—
Symbol: ZMH	Buy					
Total stocks				**$105,067.33**	—	—
Total estimated asset value				**$108,107.98**		

5308

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Investment Activity

Total cash and money market funds on Jan 01	$2,734.88
Additions	
Income	$129.89
Proceeds from securities sold	$5,841.19
Total additions	$5,971.08
Subtractions	
Withdrawals to purchase securities	-$5,440.56
Fees	-$224.55
Total subtractions	-$5,665.11
Total cash and money market funds on Jan 27	**$3,040.65**

Detail of Your Investment Activity

Additions

	Type		Date			Days	Rate	Amount	Where Invested
Income	Money market dividends		01/20	MONEY MARKET		22	3.49	6.06	Money market
	Type	**Tax Info.**	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Invested**
	Dividends	Q	01/03	PEPSICO INC	55.	0.26		$14.30	Money market
		Q	01/04	QUALCOMM INC	35.	0.09		3.15	Money market
		Q	01/04	UNITED PARCEL SERVICE INC CL B	36.	0.33		11.88	Money market
		Q	01/13	SMITH INTERNATIONAL INC	66.	0.06		3.96	Money market
		Q	01/17	ECOLAB INC	92.	0.10		9.20	Money market
		Q	01/23	WHOLE FOODS MKT INC	21.	2.00		42.00	Money market
		Q	01/23	WHOLE FOODS MKT INC	21.	0.15		3.15	Money market

5309

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Additions, continued

	Type	Tax info.	Date		Quantity	Amount per share	Rate	Amount	Where invested
Income	Dividends	Q	01/25	QUEST DIAGNOSTICS INC	46.	0.09		$4.14	Money market
		Q	01/25	GENERAL ELECTRIC CO	104.	0.25		26.00	Money market
		Q	01/27	MEDTRONIC INC	51.	0.09625		4.91	Money market
		Q	01/27	DANAHER CORP	57.	0.02		1.14	Money market
			Total income					$129.89	

	Date		Quantity	Amount per share	Trade date	Amount	Where invested
Proceeds from securities sold	01/06	WHOLE FOODS MKT INC	21.	76.97		$1,616.37	Money market
	01/06	EXPRESS SCRIPTS INC	24.	85.0919		2,042.21	Money market
	01/06	CHICAGO MERCANTILE EXHANGE CLA	1.	359.235		359.24	Money market
	01/27	DELL INC	61.	29.8913		1,823.37	Money market
	Total proceeds from securities sold					$5,841.19	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	01/06	PROCTER & GAMBLE CO	46.	57.805		-$2,659.03	Money market
	01/06	GENZYME CORP	7.	70.3796		-492.66	Money market
	01/06	CISCO SYSTEMS INC	25.	17.22		-430.50	Money market
	01/06	AFLAC INC	4.	46.3283		-185.31	Money market
	01/27	QUALCOMM INC	17.	47.849		-813.43	Money market
	01/27	CISCO SYSTEMS INC	47.	18.29		-859.63	Money market
	Total withdrawals to purchase securities					-$5,440.56	
Fees	01/18	MANAGED ACCOUNT FEE				-$224.55	Money market
	Total fees					-$224.55	

5310

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$648.81	$648.81
Long term (held over 1 year)	0.00	0.00
Total	$648.81	$648.81

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
CHICAGO MERCANTILE EXHANGE CLA	07/21/2005	01/03	1.000	$306.20	$359.24	$53.04	Short term
EXPRESS SCRIPTS INC	06/23/2005	01/03	24.000	1,227.96	2,042.21	814.25	Short term
WHOLE FOODS MKT INC	06/23/2005	01/03	21.000	1,220.67	1,616.37	395.70	Short term
DELL INC	06/23/2005	01/24	61.000	2,437.55	1,823.37	-614.18	Short term

As you requested, copies of your statement have been sent to:

5311

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

This page intentionally left blank

Account number:
Statement type:
January 28 - February 24, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

Value Summary (Held at Edward Jones)

Value on Feb 24	$107,573.32
Value on Jan 28	$108,107.98
Value one year ago	—

Summary of Your Assets

Held at Edward Jones	Value on Feb 24	Value on Jan 28	Change in value
Cash & money market	$2,240.55	$3,040.65	-$800.10
Stocks	105,332.77	105,067.33	265.44
Total at Edward Jones	$107,573.32	$108,107.98	-$534.66

Summary of Your Income

Income distributions from securities	This period Taxable	This period Tax-free	This period Total	Year-to-date Taxable	Year-to-date Tax-free	Year-to-date Total
Money market dividends	$8.28	—	$8.28	$14.34	—	$14.34
Dividends						
Qualified (Q) - Reduced Tax Eligible	36.16	—	36.16	159.99	—	159.99
Total	$44.44	—	$44.44	$174.33	—	$174.33

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	3.71%	3.78%	$2,240.55
Total cash and money market funds			$2,240.55

5313

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ADOBE SYSTEMS INC Symbol: ADBE	Aggressive None	38.340	119.	$4,562.46	$3,633.75	—
AFLAC INC Symbol: AFL	Growth None	47.000	59.	2,773.00	3,031.89	-478.34
AMGEN INC Symbol: AMGN	Growth None	74.750	38.	2,840.50	2,315.71	—
APACHE CORP Symbol: APA	Growth None	69.170	53.	3,666.01	4,741.38	-1,277.38
CARNIVAL CORP Symbol: CCL	Growth Buy	51.830	31.	1,606.73	2,577.48	-788.37
CHICAGO MERCANTILE EXCHANGE HOLDINGS INC CL A Symbol: CME	Growth None	422.650	6.	2,535.90	2,183.45	-359.24
CISCO SYSTEMS INC Symbol: CSCO	Aggressive Buy	19.850	171.	3,394.35	3,277.89	—
DANAHER CORP Symbol: DHR	Growth None	61.230	57.	3,490.11	3,630.52	-567.31
EBAY INC Symbol: EBAY	Aggressive None	41.490	52.	2,157.48	2,194.92	-450.75
ECOLAB INC Symbol: ECL	Growth None	38.510	92.	3,358.92	2,898.92	—
EOG RESOURCES INC Symbol: EOG	Growth None	70.150	37.	2,595.55	2,342.92	—
EXPRESS SCRIPTS INC Symbol: ESRX	Growth None	88.120	18.	1,586.16	2,762.90	-2,791.72
FISERV INC Symbol: FISV	Aggressive Buy	41.480	69.	2,862.12	3,435.19	-545.13

5314

201 Progress Parkway
Maryland Heights, MO 63043 3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
GENERAL ELECTRIC CO Symbol: GE	Growth & Income Buy	33.140	104.	$3,446.56	—	—
GENZYME CORP Symbol: GENZ	Aggressive None	69.990	34.	2,379.66	2,123.45	—
HOME DEPOT INC Symbol: HD	Growth Buy	41.630	48.	1,998.24	1,893.98	—
JABIL CIRCUIT INC Symbol: JBL	Aggressive None	38.240	93.	3,556.32	2,903.11	—
JOHNSON & JOHNSON COMMON Symbol: JNJ	Growth Buy	57.760	28.	1,617.28	2,629.20	-701.52
KOHLS CORP Symbol: KSS	Growth Buy	46.860	49.	2,296.14	2,706.70	—
MCGRAW HILL CO INC Symbol: MHP	Growth Hold	54.310	60.	3,258.60	2,814.66	—
MEDTRONIC INC Symbol: MDT	Growth Buy	54.070	51.	2,757.57	2,641.80	—
MICROSOFT CORP Symbol: MSFT	Growth Buy	26.630	119.	3,168.97	3,045.64	—
PAYCHEX INC Symbol: PAYX	Growth Hold	37.680	77.	2,901.36	2,492.58	—
PEPSICO INC Symbol: PEP	Growth Buy	59.540	55.	3,274.70	3,015.65	—
PROCTER & GAMBLE CO Symbol: PG	Growth & Income Buy	61.500	46.	2,829.00	2,659.03	—
QUALCOMM INC Symbol: QCOM	Aggressive Hold	47.190	64.	3,020.16	2,606.57	—
QUEST DIAGNOSTICS INC Symbol: DGX	Growth None	53.050	46.	2,440.76	2,458.24	—

5315

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
SCRIPPS E W CO CL A	Growth	46.620	31.	$1,507.22	$1,548.12	—
Symbol: SSP	None					
SLM CORP	Growth	56.990	59.	3,362.41	2,993.66	—
Symbol: SLM	None					
SMITH INTERNATIONAL INCORPOATED	Growth None	39.910	66.	2,634.06	2,108.70	—
Symbol: SII						
STAPLES INC	Growth	22.700	119.	2,701.30	2,595.38	—
Symbol: SPLS	None					
STARBUCKS CORP	Aggressive	35.920	88	3,160.96	2,350.04	—
Symbol: SBUX	Sell					
STRYKER CORP	Growth	46.930	38.	1,783.34	1,789.80	—
Symbol: SYK	Buy					
UNITED PARCEL SERVICE INC CL B	Growth	75.320	42.	3,163.44	2,928.02	—
Symbol: UPS	Buy					
UNITED TECHNOLOGIES CORP	Growth	58.890	48.	2,826.72	2,543.51	—
Symbol: UTX	Buy					
WALGREEN CO	Growth	45.440	75.	3,408.00	3,354.00	—
Symbol: WAG	Hold					
WELLPOINT INC	Growth	77.290	20.	1,545.80	1,508.62	—
Symbol: WLP	None					
WHOLE FOODS MKT INC	Growth	63.730	21.	1,338.33	2,557.59	-1,737.74
Symbol: WFMI	None					
ZIMMER HLDGS INC COM	Aggressive	69.390	22.	1,526.58	1,659.24	—
Symbol: ZMH	Buy					
Total stocks				**$105,332.77**	—	—
Total estimated asset value				**$107,573.32**		

5316

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Investment Activity

Total cash and money market funds on Jan 28	$3,040.65
Additions	
Income	$44.44
Proceeds from securities sold	$701.52
Other additions	$0.05
Total additions	$746.01
Subtractions	
Withdrawals to purchase securities	-$1,321.23
Fees	-$224.88
Total subtractions	-$1,546.11
Total cash and money market funds on Feb 24	**$2,240.55**

Detail of Your Investment Activity

Additions

	Type	Date		Days	Rate	Amount	Where invested
Income	Money market dividends	02/21	MONEY MARKET	30	3.65	8.28	Money market

	Type	Tax info.	Date		Quantity	Amount per share	Rate	Amount	Where invested
	Dividends	Q	01/31	STRYKER CORP	38.	0.11		$4.18	Money market
		Q	01/31	EOG RESOURCES INC	37.	0.04		1.48	Money market
		Q	02/15	PAYCHEX INC	77.	0.16		12.32	Money market
		Q	02/16	PROCTER & GAMBLE CO	46.	0.28		12.88	Money market
		Q	02/22	APACHE CORP	53.	0.10		5.30	Money market
			Total income					$44.44	

5317

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Additions, continued

	Date		Quantity	Amount per share	Trade date	Amount	Where invested
Proceeds from securities sold	02/15	JOHNSON & JOHNSON COMMON	12.	58.46		701.52	Money market
	Total proceeds from securities sold					$701.52	
	Date					Amount	Where invested
Other Additions	02/02	TRANSFER FROM				$0.05	Money market
	Total other additions					$0.05	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	02/08	MCGRAW HILL CO INC	8.	52.09		-$416.72	Money market
	02/15	MICROSOFT CORP	20.	26.56		-531.20	Money market
	02/15	MCGRAW HILL CO INC	7.	53.3304		-373.31	Money market
	Total withdrawals to purchase securities					-$1,321.23	
Fees	02/17	MANAGED ACCOUNT FEE				-$224.88	Money market
	Total fees					-$224.88	

Pending Trades

Purchases	Trade date	Quantity	Price	Amount	Settlement date
CHICOS FAS INC	02/24/2006	33.000	$46.954	$1,549.50	03/01/2006

Sells	Trade date	Quantity	Price	Amount	Settlement date
APACHE CORP	02/23/2006	9.000	$68.468	$616.21	02/28/2006
KOHLS CORP	02/23/2006	14.000	44.790	627.06	02/28/2006
STAPLES INC	02/23/2006	25.000	22.886	572.16	02/28/2006

5318

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	-$200.82	$447.99
Long term (held over 1 year)	0.00	0.00
Total	-$200.82	$447.99

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
JOHNSON & JOHNSON COMMON	06/23/2005	02/10	12.000	$788.76	$701.52	-$87.24	Short term
APACHE CORP	06/23/2005	02/23	9.000	601.02	616.21	15.19	Short term
KOHLS CORP	06/23/2005	02/23	14.000	782.74	627.06	-155.68	Short term
STAPLES INC	06/23/2005	02/23	25.000	545.25	572.16	26.91	Short term

As you requested, copies of your statement have been sent to:

5319

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

This page intentionally left blank

Account number:
Statement type:
February 25 - March 31, 2006

201 Progress Parkway
Maryland Heights, MO 63043 3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

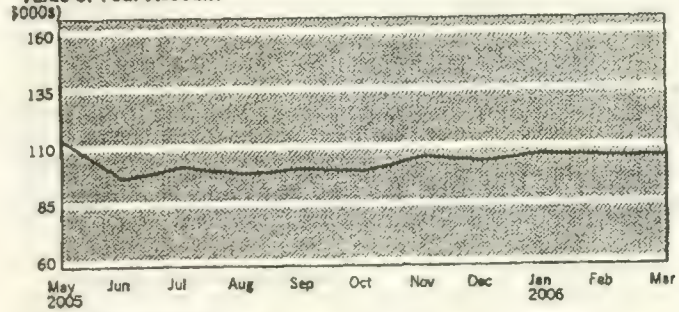
Value Summary (Held at Edward Jones)

Value on Mar 31	$107,955.51
Value on Feb 25	$107,573.32
Value one year ago	—

Summary of Your Assets

Held at Edward Jones	Value on Mar 31	Value on Feb 25	Change in Value
Cash & money market	$2,891.00	$2,240.55	$650.45
Stocks	105,064.51	105,332.77	-268.26
Total at Edward Jones	$107,955.51	$107,573.32	$382.19

Value of Your Account



This chart shows how the value of your account has changed recently
includes only assets held at Edward Jones). While it's important to be aware
short-term results, don't lose sight of the long term. Edward Jones believes
long-term investment strategy offers the greatest potential for success.

(Living trust)

5321

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Income

Income distributions from securities	This period Taxable	Tax-free	Total	Year-to-date Taxable	Tax-free	Total
Money market dividends	$7.30	—	$7.30	$21.64	—	$21.64
Dividends						
Qualified (Q) - Reduced Tax Eligible	125.72	—	125.72	285.71	—	285.71
Total	$133.02	—	$133.02	$307.35	—	$307.35

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Your Estimated Interest and Dividends

The following is an estimated summary of your interest and dividend income for the next 12 months. It is based on a variety of factors. These factors, including distribution rates and amount of principal invested, are subject to change. Because they are estimates, the specific amounts listed here are not guaranteed by Edward Jones or by the underlying issuer(s) of the securities. Income from items with * is being reinvested.

Cash & money market funds	Quantity	2006 APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	2007 JAN	FEB	MAR	Total
Money Market 3.90%	2,891	9	9	9	9	9	9	9	9	9	9	9	9	108

Stocks	Tax Info.													
ADOBE SYSTEMS INC	Q	119	1			1			1			1		4
AFLAC INC	Q	59			7			7			7			7	28
APACHE CORP	Q	44		4			4			4			4		16
CARNIVAL CORP	Q	31			7			7			7			7	28
CHICAGO MERCANTILE EXHANGE CLA	Q	7			4			4			4			4	16
DANAHER CORP	Q	62	1			1			1			1			4
ECOLAB INC	Q	92	9			9			9			9			36
EOG RESOURCES INC	Q	31	1			1			1			1			4

5322

Account number:
Statement type:
February 25 - March 31, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks	Tax Info.	Quantity	2006 APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	2007 JAN	FEB	MAR	Total
GENERAL ELECTRIC CO	Q	104	26			26			26			26			104
HOME DEPOT INC	Q	48			7			7			7			7	28
MCGRAW HILL CO INC	Q	60			10			10			10			10	40
MEDTRONIC INC	Q	51	4			4			4			4			16
MICROSOFT CORP	Q	119			10			10			10			10	40
PAYCHEX INC	Q	80		12			12			12			12		48
PEPSICO INC	Q	55	14			14			14			14			56
PROCTER & GAMBLE CO	Q	54		16			16			16			16		64
QUALCOMM INC	Q	64			5			5			5			5	20
QUEST DIAGNOSTICS INC	Q	46	4			4			4			4			16
SCRIPPS E W CO CL A	Q	31			3			3			3			3	12
SLM CORP	Q	59			12			12			12			12	48
SMITH INTERNATIONAL INC	Q	66	5			5			5			5			20
STAPLES INC	Q	94	20												20
STRYKER CORP	Q	38										4			4
UNITED PARCEL SERVICE INC CL B	Q	42			15			15			15			15	60
UNITED TECHNOLOGIES CORP	Q	48			10			10			10			10	40
WALGREEN CO	Q	75			4			4			4			4	16
WHOLE FOODS MKT INC	Q	21	3			3			3			3			12
Total			**97**	**41**	**103**	**77**	**41**	**103**	**77**	**41**	**103**	**81**	**41**	**103**	**908**

5323

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	3.90%	3.98%	$2,891.00
Total cash and money market funds			$2,891.00

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ADOBE SYSTEMS INC Symbol: ADBE	Aggressive None	34.920	119.	$4,155.48	$3,633.75	—
AFLAC INC Symbol: AFL	Growth None	45.130	59.	2,662.67	3,031.89	-478.34
AMGEN INC Symbol: AMGN	Growth None	72.750	38.	2,764.50	2,315.71	—
APACHE CORP Symbol: APA	Growth None	65.510	44.	2,882.44	4,741.38	-1,893.59
CARNIVAL CORP Symbol: CCL	Growth Buy	47.370	31.	1,468.47	2,577.48	-788.37
CHICAGO MERCANTILE EXCHANGE HOLDINGS INC CL A Symbol: CME	Growth None	447.500	7.	3,132.50	2,618.12	-359.24
CHICOS FAS INC Symbol: CHS	Aggressive None	40.640	39.	1,584.96	1,796.46	—
CISCO SYSTEMS INC Symbol: CSCO	Aggressive Buy	21.670	206.	4,464.02	4,025.14	—
DANAHER CORP Symbol: DHR	Growth None	63.550	62.	3,940.10	3,936.72	-567.31

5324

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
EBAY INC Symbol: EBAY	Aggressive None	39.080	52.	$2,031.12	$2,184.92	-$450.75
ECOLAB INC Symbol: ECL	Growth None	38.200	92.	3,514.40	2,898.92	—
EOG RESOURCES INC Symbol: EOG	Growth None	72.000	31.	2,232.00	2,342.92	-424.48
EXPRESS SCRIPTS INC Symbol: ESRX	Growth None	87.900	18.	1,582.20	2,762.90	-2,791.72
FISERV INC Symbol: FISV	Aggressive Buy	42.550	51.	2,170.05	3,435.19	-1,293.15
GENERAL ELECTRIC CO Symbol: GE	Growth & Income Buy	34.780	104.	3,617.12	—	—
GENZYME CORP Symbol: GENZ	Aggressive None	67.220	34.	2,285.48	2,123.45	—
HOME DEPOT INC Symbol: HD	Growth Buy	42.300	48.	2,030.40	1,893.98	—
JABIL CIRCUIT INC Symbol: JBL	Aggressive None	42.860	93.	3,985.98	2,903.11	—
KOHLS CORP Symbol: KSS	Growth Buy	53.010	35.	1,855.35	2,708.70	-627.06
MCGRAW HILL CO INC Symbol: MHP	Growth Hold	57.620	60.	3,457.20	2,814.66	—
MEDTRONIC INC Symbol: MDT	Growth Buy	50.750	51.	2,588.25	2,841.80	—
MICROSOFT CORP Symbol: MSFT	Growth Buy	27.210	119.	3,237.99	3,045.64	—
PAYCHEX INC Symbol: PAYX	Growth Hold	41.660	80.	3,332.80	2,614.76	—

5325

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
PEPSICO INC Symbol: PEP	Growth Buy	57.790	55.	$3,178.45	$3,015.65	—
PROCTER & GAMBLE CO Symbol: PG	Growth & Income Buy	57.620	54.	3,111.48	3,138.41	—
QUALCOMM INC Symbol: QCOM	Aggressive Hold	50.610	64.	3,239.04	2,806.57	—
QUEST DIAGNOSTICS INC Symbol: DGX	Growth None	51.300	46.	2,359.80	2,458.24	—
SCRIPPS E W CO CL A Symbol: SSP	Growth None	44.710	31.	1,386.01	1,548.12	—
SLM CORP Symbol: SLM	Growth None	51.940	59.	3,064.46	2,993.66	—
SMITH INTERNATIONAL INCORPOATED Symbol: SII	Growth None	38.960	66.	2,571.36	2,108.70	—
STAPLES INC Symbol: SPLS	Growth None	25.520	94.	2,398.88	2,595.39	-572.16
STARBUCKS CORP Symbol: SBUX	Aggressive Sell	37.640	88.	3,312.32	2,350.04	—
STRYKER CORP Symbol: SYK	Growth Buy	44.340	38.	1,684.92	1,789.80	—
UNITED PARCEL SERVICE INC CL B Symbol: UPS	Growth Buy	79.380	42.	3,333.96	2,928.02	—
UNITED TECHNOLOGIES CORP Symbol: UTX	Growth Buy	57.970	48.	2,782.56	2,543.51	—
WALGREEN CO Symbol: WAG	Growth Hold	43.130	75.	3,234.75	3,354.00	—

5326

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
WELLPOINT INC Symbol: WLP	Growth None	77.430	20.	$1,548.60	$1,508.62	—
WHOLE FOODS MKT INC Symbol: WFMI	Growth None	66.440	21.	1,395.24	2,557.59	-1,737.74
ZIMMER HLDGS INC COM Symbol: ZMH	Aggressive Buy	67.600	22.	1,487.20	1,659.24	—
Total stocks				$105,064.51	—	—
Total estimated asset value				$107,955.51		

Summary of Your Investment Activity

Total cash and money market funds on Feb 25	$2,240.55
Additions	
Income	$133.02
Proceeds from securities sold	$4,605.85
Total additions	$4,738.87
Subtractions	
Withdrawals to purchase securities	-$3,886.14
Fees	-$202.28
Total subtractions	-$4,088.42
Total cash and money market funds on Mar 31	**$2,891.00**

5327

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Detail of Your Investment Activity

Additions

	Type		Date			Days	Rate	Amount	Where Invested
Income	Money market dividends		03/20	MONEY MARKET		27	3.73	7.30	Money market
	Type	**Tax Info.**	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Invested**
	Dividends	Q	03/01	AFLAC INC	59.	0.13		$7.67	Money market
		Q	03/07	UNITED PARCEL SERVICE INC CL B	42.	0.38		15.96	Money market
		Q	03/09	MICROSOFT CORP	119.	0.09		10.71	Money market
		Q	03/10	SCRIPPS E W CO CL A	31.	0.11		3.41	Money market
		Q	03/10	MCGRAW HILL CO INC	60.	0.1815		10.89	Money market
		Q	03/10	UNITED TECHNOLOGIES CORP	48.	0.22		10.56	Money market
		Q	03/13	CARNIVAL CORP	31.	0.25		7.75	Money market
		Q	03/13	WALGREEN CO	75.	0.065		4.88	Money market
		Q	03/14	JOHNSON & JOHNSON COMMON	28.	0.33		9.24	Money market
		Q	03/17	SLM CORP	59.	0.22		12.98	Money market
		Q	03/23	HOME DEPOT INC	48.	0.15		7.20	Money market
		Q	03/24	QUALCOMM INC	64.	0.09		5.76	Money market
		Q	03/27	CHICAGO MERCANTILE EXHANGE CLA	7.	0.63		4.41	Money market
		Q	03/31	PEPSICO INC	55.	0.26		14.30	Money market
			Total income					$133.02	
			Date		**Quantity**	**Amount per share**	**Trade date**	**Amount**	**Where Invested**
Proceeds from securities sold			02/28	STAPLES INC	25.	22.8865		$572.16	Money market
			02/28	KOHLS CORP	14.	44.79		627.06	Money market
			02/28	APACHE CORP	9.	68.4682		616.21	Money market
			03/08	EOG RESOURCES INC	6.	70.747		424.48	Money market

5328

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Additions, continued

	Date		Quantity	Amount per share	Trade date	Amount	Where invested
Proceeds from securities sold	03/08	JOHNSON & JOHNSON COMMON	28.	57.7827		1,617.92	Money market
	03/09	FISERV INC	18.	41.5565		748.02	Money market
	Total proceeds from securities sold					$4,605.85	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of funds
Withdrawals to purchase securities	03/01	CHICOS FAS INC	33.	46.9546		-$1,549.50	Money market
	03/08	PROCTER & GAMBLE CO	8.	59.922		-479.38	Money market
	03/08	PAYCHEX INC	3.	40.7257		-122.18	Money market
	03/08	DANAHER CORP	5.	61.2401		-306.20	Money market
	03/08	CHICOS FAS INC	6.	41.16		-246.96	Money market
	03/08	CHICAGO MERCANTILE EXHANGE CLA	1.	434.6702		-434.67	Money market
	03/09	CISCO SYSTEMS INC	35.	21.35		-747.25	Money market
	Total withdrawals to purchase securities					-$3,886.14	
Fees	03/15	MANAGED ACCOUNT FEE				-$202.28	Money market
	Total fees					-$202.28	

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	-$178.87	$269.12
Long term (held over 1 year)	0.00	0.00
Total	-$178.87	$269.12

5329

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
EOG RESOURCES INC	07/28/2005	03/03	6.000	$365.47	$424.48	$59.01	Short term
JOHNSON & JOHNSON COMMON	06/23/2005	03/03	28.000	1,840.44	1,617.92	-222.52	Short term
FISERV INC	06/23/2005	03/06	18.000	763.38	748.02	-15.36	Short term

As you requested, copies of your statement have been sent to:

5330

Account number:
Statement type:
April 1 - April 28, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

Value Summary (Held at Edward Jones)

Value on Apr 28	$107,231.99
Value on Apr 1	$107,955.51
Value one year ago	—

Summary of Your Assets

Held at Edward Jones	Value on Apr 28	Value on Apr 1	Change in value
Cash & money market	$2,606.08	$2,691.00	-$284.92
Stocks	104,625.91	105,064.51	-438.60
Total at Edward Jones	**$107,231.99**	$107,955.51	-$723.52

Summary of Your Income

	This period			Year-to-date		
Income distributions from securities	Taxable	Tax-free	Total	Taxable	Tax-free	Total
Money market dividends	$9.17	—	$9.17	$30.81	—	$30.81
Dividends						
Qualified (Q) - Reduced Tax Eligible	76.92	—	76.92	362.63	—	362.63
Total	$86.09	—	$86.09	$393.44	—	$393.44

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	3.96%	4.03%	$2,606.08
Total cash and money market funds			$2,606.08

5331

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ADOBE SYSTEMS INC Symbol: ADBE	Aggressive None	39.200	118.	$4,664.80	$3,633.75	—
AFLAC INC Symbol: AFL	Growth None	47.540	59.	2,804.86	3,031.89	-478.34
AMGEN INC Symbol: AMGN	Growth None	67.700	38.	2,572.60	2,315.71	—
APACHE CORP Symbol: APA	Growth None	71.040	44.	3,125.76	4,741.38	-1,893.59
CHICAGO MERCANTILE EXCHANGE HOLDINGS INC CL A Symbol: CME	Growth None	458.000	7.	3,206.00	2,618.12	-359.24
CHICOS FAS INC Symbol: CHS	Aggressive None	37.060	39.	1,445.34	1,796.46	—
CISCO SYSTEMS INC Symbol: CSCO	Aggressive Buy	20.950	206.	4,315.70	4,025.14	—
DANAHER CORP Symbol: DHR	Growth None	64.110	62.	3,974.82	3,936.72	-567.31
EBAY INC Symbol: EBAY	Aggressive None	34.410	52.	1,789.32	2,194.92	-450.75
ECOLAB INC Symbol: ECL	Growth None	37.800	92.	3,477.60	2,898.92	—
EOG RESOURCES INC Symbol: EOG	Growth None	70.230	31.	2,177.13	2,342.92	-424.48
EXPRESS SCRIPTS INC Symbol: ESRX	Growth None	78.140	18.	1,406.52	2,762.90	-2,791.72
GENERAL ELECTRIC CO Symbol: GE	Growth & Income Buy	34.590	104.	3,597.36	—	—

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
GENZYME CORP Symbol: GENZ	Aggressive None	61.160	34.	$2,079.44	$2,123.45	—
HOME DEPOT INC Symbol: HD	Growth Buy	39.930	48.	1,916.64	1,893.98	—
JABIL CIRCUIT INC Symbol: JBL	Aggressive None	38.990	93.	3,626.07	2,903.11	—
JOHNSON CONTROLS INC Symbol: JCI	Growth Hold	81.550	21.	1,712.55	1,632.64	
KOHLS CORP Symbol: KSS	Growth Buy	55.840	35.	1,954.40	2,708.70	-627.06
MCGRAW HILL CO INC Symbol: MHP	Growth Hold	55.660	60.	3,339.60	2,814.66	—
MEDTRONIC INC Symbol: MDT	Growth Buy	50.120	51.	2,556.12	2,841.80	—
MICROSOFT CORP Symbol: MSFT	Growth Buy	24.150	119.	2,873.85	3,045.64	—
PAYCHEX INC Symbol: PAYX	Growth Hold	40.390	80.	3,231.20	2,614.76	—
PEPSICO INC Symbol: PEP	Growth Buy	58.240	66.	3,843.84	3,653.32	—
PROCTER & GAMBLE CO Symbol: PG	Growth & income Buy	58.210	54.	3,143.34	3,138.41	—
QUALCOMM INC Symbol: QCOM	Aggressive Hold	51.340	85.	4,363.90	3,883.87	—
QUEST DIAGNOSTICS INC Symbol: DGX	Growth None	55.730	54.	3,009.42	2,855.96	—
SCRIPPS E W CO CL A Symbol: SSP	Growth None	46.080	31.	1,428.48	1,548.12	—

5333

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
SLM CORP Symbol: SLM	Growth None	52.880	59.	$3,119.92	$2,993.66	—
SMITH INTERNATIONAL INCORPOATED Symbol: SII	Growth None	42.230	66.	2,787.18	2,108.70	—
STAPLES INC Symbol: SPLS	Growth None	26.410	94.	2,482.54	2,595.39	-572.16
STARBUCKS CORP Symbol: SBUX	Aggressive Sell	37.270	88.	3,279.76	2,350.04	—
STRYKER CORP Symbol: SYK	Growth Buy	43.750	38.	1,662.50	1,789.80	—
UNITED PARCEL SERVICE INC CL B Symbol: UPS	Growth Buy	81.070	42.	3,404.94	2,928.02	—
UNITED TECHNOLOGIES CORP Symbol: UTX	Growth Buy	62.810	48.	3,014.88	2,543.51	—
WALGREEN CO Symbol: WAG	Growth Hold	41.930	75.	3,144.75	3,354.00	—
WELLPOINT INC Symbol: WLP	Growth None	71.000	20.	1,420.00	1,508.62	—
WHOLE FOODS MKT INC Symbol: WFMI	Growth None	61.380	21.	1,288.98	2,557.59	-1,737.74
ZIMMER HLDGS INC COM Symbol: ZMH	Aggressive Buy	62.900	22.	1,383.80	1,659.24	—
Total stocks				**$104,625.91**	—	—
Total estimated asset value				**$107,231.99**		

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Investment Activity

Total cash and money market funds on Apr 01	$2,891.00
Additions	
Income	$86.09
Proceeds from securities sold	$3,589.48
Total additions	$3,675.57
Subtractions	
Withdrawals to purchase securities	-$3,735.33
Fees	-$225.16
Total subtractions	-$3,960.49
Total cash and money market funds on Apr 28	**$2,606.08**

Detail of Your Investment Activity

Additions

	Type		Date			Days	Rate	Amount	Where Invested
Income	Money market dividends		04/20	MONEY MARKET		31	3.95	9.17	Money market
	Type	Tax Info.	Date		Quantity	Amount per share	Rate	Amount	Where Invested
	Dividends	Q	04/17	SMITH INTERNATIONAL INC	66.	0.08		$5.28	Money market
		Q	04/17	ECOLAB INC	92.	0.10		9.20	Money market
		Q	04/19	QUEST DIAGNOSTICS INC	46.	0.10		4.60	Money market
		Q	04/20	STAPLES INC	94.	0.22		20.68	Money market
		Q	04/24	WHOLE FOODS MKT INC	21.	0.15		3.15	Money market
		Q	04/25	GENERAL ELECTRIC CO	104.	0.25		26.00	Money market
		Q	04/28	DANAHER CORP	62.	0.02		1.24	Money market

5335

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Additions, continued

	Type	Tax info.	Date		Quantity	Amount per share	Rate	Amount	Where invested
Income	Dividends	Q	04/28	MEDTRONIC INC	51.	0.09625		$4.91	Money market
		Q	04/28	EOG RESOURCES INC	31.	0.06		1.86	Money market
			Total income					$86.09	

	Date		Quantity	Amount per share	Trade date	Amount	Where invested
Proceeds from securities sold	04/12	CARNIVAL CORP	31.	47.7485		$1,480.20	Money market
	04/17	FISERV INC TO CORRECT PRICE AS OF 04/11/06	51.	41.3584		2,109.28	Money market
	Total proceeds from securities sold					$3,589.48	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	04/13	PEPSICO INC	11.	57.97		-$637.67	Money market
	04/13	JOHNSON CONTROLS INC	21.	77.2688		-1,622.64	Money market
	04/17	QUALCOMM INC	21.	51.30		-1,077.30	Money market
	04/17	QUEST DIAGNOSTICS INC	8.	49.7145		-397.72	Money market
	Total withdrawals to purchase securities					-$3,735.33	
Fees	04/17	MANAGED ACCOUNT FEE				-$225.16	Money market
	Total fees					-$225.16	

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	-$273.46	-$4.34
Long term (held over 1 year)	0.00	0.00
Total	**-$273.46**	**-$4.34**

5336

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
CARNIVAL CORP	06/23/2005	04/07	31.000	$1,700.04	$1,480.20	-$219.84	Short term
FISERV INC	06/23/2005	04/11	51.000	2,162.90	2,109.28	-53.62	Short term

As you requested, copies of your statement have been sent to:

5337

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

This page intentionally left blank

Account number:
Statement type:
April 29 - May 26, 2006

201 Progress Parkway
Maryland Heights, MO 63043 3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

Value Summary (Held at Edward Jones)

Value on May 26	$103,289.27
Value on Apr 29	$107,231.99
Value one year ago	$115,185.52

Summary of Your Assets

Held at Edward Jones	Value on May 26	Value on Apr 29	Change in value
Cash & money market	$2,102.39	$2,606.08	-$503.69
Stocks	101,186.88	104,625.91	-3,439.03
Total at Edward Jones	**$103,289.27**	$107,231.99	-$3,942.72

Summary of Your Income

	This period			Year-to-date		
Income distributions from securities	Taxable	Tax-free	Total	Taxable	Tax-free	Total
Money market dividends	$8.88	—	$8.88	$39.69	—	$39.69
Dividends						
Qualified (Q) - Reduced Tax Eligible	33.94	—	33.94	396.57	—	396.57
Total	$42.82	—	**$42.82**	$436.26	—	**$436.26**

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.18%	4.27%	$2,102.39
Total cash and money market funds			**$2,102.39**

5339

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ADOBE SYSTEMS INC Symbol: ADBE	Aggressive None	28.980	119.	$3,448.62	$3,633.75	—
AFLAC INC Symbol: AFL	Growth None	46.780	59.	2,760.02	3,031.89	-478.34
AMGEN INC Symbol: AMGN	Growth None	68.810	38.	2,614.78	2,315.71	—
APACHE CORP Symbol: APA	Growth None	64.630	44.	2,843.72	4,741.38	-1,893.59
CHICAGO MERCANTILE EXCHANGE HOLDINGS INC CL A Symbol: CME	Growth None	448.550	7.	3,139.85	2,618.12	-359.24
CHICOS FAS INC Symbol: CHS	Aggressive None	30.480	52.	1,584.96	2,180.53	—
CISCO SYSTEMS INC Symbol: CSCO	Aggressive Buy	20.320	206.	4,185.92	4,025.14	—
DANAHER CORP Symbol: DHR	Growth None	64.150	62.	3,977.30	3,936.72	-567.31
EBAY INC Symbol: EBAY	Aggressive None	34.200	52.	1,778.40	2,194.92	-450.75
ECOLAB INC Symbol: ECL	Growth None	38.560	92.	3,547.52	2,898.92	—
EOG RESOURCES INC Symbol: EOG	Growth None	63.620	31.	1,972.22	2,342.92	-424.48
EXPRESS SCRIPTS INC Symbol: ESRX	Growth None	73.680	18.	1,326.24	2,762.90	-2,791.72
GENERAL ELECTRIC CO Symbol: GE	Growth & Income Buy	34.330	104.	3,570.32	—	—

5340

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
GENZYME CORP Symbol: GENZ	Aggressive None	60.410	34.	$2,053.94	$2,123.45	—
HOME DEPOT INC Symbol: HD	Growth Buy	38.670	48.	1,856.16	1,893.98	—
JABIL CIRCUIT INC Symbol: JBL	Growth None	35.420	93.	3,294.06	2,903.11	—
JOHNSON CONTROLS INC Symbol: JCI	Growth Hold	83.030	21.	1,743.63	1,622.64	—
KOHLS CORP Symbol: KSS	Growth Buy	54.130	35.	1,894.55	2,706.70	-627.06
MCGRAW HILL CO INC Symbol: MHP	Growth Hold	52.350	60.	3,141.00	2,814.66	—
MEDTRONIC INC Symbol: MDT	Growth Buy	50.260	51.	2,563.26	2,641.80	—
MICROSOFT CORP Symbol: MSFT	Growth Buy	23.720	119.	2,822.68	3,045.64	—
OMNICOM GROUP INC Symbol: OMC	Growth Buy	94.020	17.	1,598.34	1,559.63	—
PAYCHEX INC Symbol: PAYX	Growth Hold	38.130	80.	3,050.40	2,614.76	—
PEPSICO INC Symbol: PEP	Growth Buy	60.660	66.	4,003.56	3,653.32	—
PROCTER & GAMBLE CO Symbol: PG	Growth & Income Buy	55.130	54.	2,977.02	3,138.41	—
QUALCOMM INC Symbol: QCOM	Aggressive Hold	46.030	85.	3,912.55	3,883.87	—
QUEST DIAGNOSTICS INC Symbol: DGX	Growth None	56.240	54.	3,036.96	2,855.96	—

5341

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
SCRIPPS E W CO CL A	Growth	46.470	31.	$1,440.57	$1,548.12	—
Symbol: SSP	None					
SLM CORP	Growth	54.630	59.	3,223.17	2,993.66	—
Symbol: SLM	None					
SMITH INTERNATIONAL INCORPOATED	Growth None	40.350	66.	2,663.10	2,108.70	—
Symbol: SII						
STAPLES INC	Growth	23.950	94.	2,251.30	2,595.39	-572.16
Symbol: SPLS	None					
STARBUCKS CORP	Aggressive	35.430	43.	1,523.49	2,350.04	-1,615.17
Symbol: SBUX	Sell					
STRYKER CORP	Growth	44.690	38.	1,698.22	1,789.80	—
Symbol: SYK	Buy					
UNITED PARCEL SERVICE INC CL B	Growth	81.030	42.	3,403.26	2,928.02	—
Symbol: UPS	Buy					
UNITED TECHNOLOGIES CORP	Growth	62.600	48.	3,004.80	2,543.51	—
Symbol: UTX	Buy					
WALGREEN CO	Growth	41.300	75.	3,097.50	3,354.00	—
Symbol: WAG	Hold					
WELLPOINT INC	Growth	72.080	20.	1,441.60	1,508.62	—
Symbol: WLP	None					
WHOLE FOODS MKT INC	Growth	66.850	21.	1,403.85	2,557.59	-1,737.74
Symbol: WFMI	None					
ZIMMER HLDGS INC COM	Aggressive	60.820	22.	1,338.04	1,659.24	—
Symbol: ZMH	Buy					
Total stocks				**$101,185.88**	—	—
Total estimated asset value				**$103,289.27**		

5342

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Investment Activity

Total cash and money market funds on Apr 29	$2,606.08
Additions	
Income	$42.82
Proceeds from securities sold	$1,815.17
Total additions	**$1,857.99**
Subtractions	
Withdrawals to purchase securities	-$1,943.70
Fees	-$217.98
Total subtractions	**-$2,161.68**
Total cash and money market funds on May 26	**$2,102.39**

Detail of Your Investment Activity

Additions

	Type		Date			Days	Rate	Amount	Where Invested
Income	Money market dividends		05/22	MONEY MARKET		32	4.05	8.88	Money market
	Type	**Tax Info.**	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Invested**
	Dividends	Q	05/15	PROCTER & GAMBLE CO	54.	0.31		$16.74	Money market
		Q	05/15	PAYCHEX INC	80.	0.16		12.80	Money market
		Q	05/22	APACHE CORP	44.	0.10		4.40	Money market
			Total income					**$42.82**	

5343

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Additions, continued

	Date		Quantity	Amount per share	Trade date	Amount	Where invested
Proceeds from securities sold	05/18	STARBUCKS CORP	45.	35.8926		1,615.17	Money market
	Total proceeds from securities sold					**$1,615.17**	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	05/17	CHICOS FAS INC	13.	29.5442		-$384.07	Money market
	05/19	OMNICOM GROUP INC	17.	91.7428		-1,559.63	Money market
	Total withdrawals to purchase securities					**-$1,943.70**	
Fees	05/16	MANAGED ACCOUNT FEE				-$217.98	Money market
	Total fees					**-$217.98**	

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$413.44	$409.10
Long term (held over 1 year)	0.00	0.00
Total	**$413.44**	**$409.10**

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
STARBUCKS CORP	06/23/2005	05/15	45.000	$1,201.73	$1,615.17	$413.44	Short term

As you requested, copies of your statement have been sent to:

5344

Account number:
Statement type:
May 27 - June 30, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

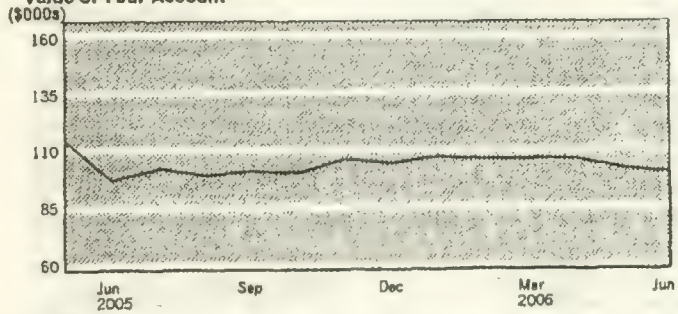
Value Summary (Held at Edward Jones)

Value on Jun 30	$101,630.17
Value on May 27	$103,289.27
Value one year ago	$98,858.75

Summary of Your Assets

Held at Edward Jones	Value on Jun 30	Value on May 27	Change in value
Cash & money market	$2,398.80	$2,102.39	$296.41
Stocks	99,231.37	101,186.88	-1,955.51
Total at Edward Jones	**$101,630.17**	$103,289.27	-$1,659.10

Value of Your Account



This chart shows how the value of your account has changed recently (includes only assets held at Edward Jones). While it's important to be aware of short-term results, don't lose sight of the long term. Edward Jones believes a long-term investment strategy offers the greatest potential for success.

5345

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Income

Income distributions from securities	This period			Year-to-date		
	Taxable	Tax-free	Total	Taxable	Tax-free	Total
Money market dividends	$8.03	—	$8.03	$47.72	—	$47.72
Dividends						
Qualified (Q) - Reduced Tax Eligible	135.30	—	135.30	531.87	—	531.87
Total	$143.33	—	$143.33	$579.59	—	$579.59

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Your Estimated Interest and Dividends

The following is an estimated summary of your interest and dividend income for the next 12 months. It is based on a variety of factors. These factors, including distribution rates and amount of principal invested, are subject to change. Because they are estimates, the specific amounts listed here are not guaranteed by Edward Jones or by the underlying issuer(s) of the securities. Income from items with * is being reinvested.

			2006						2007						
Cash & money market funds		Quantity	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	Total
Money Market 4.31%		2,398	8	8	8	8	8	8	8	8	8	8	8	8	96
Stocks	**Tax Info.**														
AFLAC INC	Q	59			7			7			7			7	28
APACHE CORP	Q	44		4			4			4			4		16
CHICAGO MERCANTILE EXHANGE CLA	Q	7			4			4			4			4	16
DANAHER CORP	Q	62	1			1			1			1			4
ECOLAB INC	Q	81	8			8			8			8			32
EOG RESOURCES INC	Q	31	1			1			1			1			4
GENERAL ELECTRIC CO	Q	104	26			26			26			26			104
HOME DEPOT INC	Q	48			7			7			7			7	28

5346

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

			2006						2007						
Stocks	**Tax Info.**	**Quantity**	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	Total
JOHNSON CONTROLS INC	Q	28			7			7			7			7	28
MCGRAW HILL CO INC	Q	40			7			7			7			7	28
MEDTRONIC INC	Q	51	5			5			5			5			20
OMNICOM GROUP INC	Q	27	6			6			6			6			24
PAYCHEX INC	Q	80		12			12			12			12		48
PEPSICO INC	Q	70			21			21			21			21	84
PROCTER & GAMBLE CO	Q	54		16			16			16			16		64
QUALCOMM INC	Q	85			10			10			10			10	40
QUEST DIAGNOSTICS INC	Q	57	5			5			5			5			20
SCRIPPS E W CO CL A	Q	31			3			3			3			3	12
SLM CORP	Q	59			14			14			14			14	56
SMITH INTERNATIONAL INC	Q	66	5			5			5			5			20
STAPLES INC	Q	65										14			14
STATE STREET CORP COMMON	Q	26	5			5			5			5			20
STRYKER CORP	Q	38							4						4
UNITED PARCEL SERVICE INC CL B	Q	51		19			19			19			19		76
UNITED TECHNOLOGIES CORP	Q	48			12			12			12			12	48
WALGREEN CO	Q	75			4			4			4			4	16
WHOLE FOODS MKT INC	Q	21	3			3			3			3			12
Total			73	59	104	73	59	104	77	59	104	87	59	104	962

5347

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.31%	4.40%	$2,398.80
Total cash and money market funds			$2,398.80

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ADOBE SYSTEMS INC Symbol: ADBE	Aggressive None	30.360	89.	$2,702.04	$3,633.75	-$880.50
AFLAC INC Symbol: AFL	Growth None	46.350	59.	2,734.65	3,031.89	-478.34
AMGEN INC Symbol: AMGN	Growth None	65.230	38.	2,478.74	2,315.71	—
APACHE CORP Symbol: APA	Growth None	68.250	44.	3,003.00	4,741.38	-1,893.59
CHICAGO MERCANTILE EXCHANGE HOLDINGS INC CL A Symbol: CME	Growth None	491.150	7.	3,438.05	2,618.12	-359.24
CHICOS FAS INC Symbol: CHS	Aggressive None	26.980	52.	1,402.96	2,180.53	—
CISCO SYSTEMS INC Symbol: CSCO	Aggressive Buy	19.530	206.	4,023.18	4,025.14	—
DANAHER CORP Symbol: DHR	Growth None	64.320	62.	3,987.84	3,936.72	-567.31
EBAY INC Symbol: EBAY	Aggressive None	29.290	52.	1,523.08	2,194.92	-450.75

5348

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ECOLAB INC Symbol: ECL	Growth None	40.580	81.	$3,286.98	$2,898.92	-$416.43
EOG RESOURCES INC Symbol: EOG	Growth None	69.340	31.	2,149.54	2,342.92	-424.48
EXPRESS SCRIPTS INC Symbol: ESRX	Growth None	71.740	18.	1,291.32	2,762.90	-2,791.72
GENERAL ELECTRIC CO Symbol: GE	Growth & Income Buy	32.960	104.	3,427.84		
GENZYME CORP Symbol: GENZ	Aggressive None	61.050	34.	2,075.70	2,123.45	—
HOME DEPOT INC Symbol: HD	Growth Buy	35.790	48.	1,717.92	1,893.98	—
JABIL CIRCUIT INC Symbol: JBL	Growth None	25.600	93.	2,380.80	2,903.11	—
JOHNSON CONTROLS INC Symbol: JCI	Growth Hold	82.220	28.	2,302.16	2,225.21	—
KOHLS CORP Symbol: KSS	Growth Buy	59.120	35.	2,069.20	2,706.70	-627.06
MCGRAW HILL CO INC Symbol: MHP	Growth Hold	50.230	40.	2,009.20	2,814.66	-1,027.06
MEDTRONIC INC Symbol: MDT	Growth Buy	46.920	51.	2,392.92	2,641.80	—
OMNICOM GROUP INC Symbol: OMC	Growth Buy	89.090	27.	2,405.43	2,520.02	—
PAYCHEX INC Symbol: PAYX	Growth Hold	38.980	80.	3,118.40	2,614.76	—
PEPSICO INC Symbol: PEP	Growth Buy	60.040	70.	4,202.80	3,896.00	—

5349

201 Progress Parkway
Mary and Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
PROCTER & GAMBLE CO Symbol: PG	Growth & Income Buy	55.600	54.	$3,002.40	$3,138.41	—
QUALCOMM INC Symbol: QCOM	Aggressive Hold	40.070	85.	3,405.95	3,883.87	—
QUEST DIAGNOSTICS INC Symbol: DGX	Growth None	59.920	57.	3,415.44	3,027.55	—
SCRIPPS E W CO CL A Symbol: SSP	Growth None	43.140	31.	1,337.34	1,548.12	—
SLM CORP Symbol: SLM	Growth None	52.920	59.	3,122.28	2,993.66	—
SMITH INTERNATIONAL INCORPOATED Symbol: SII	Growth None	44.470	66.	2,935.02	2,108.70	—
STAPLES INC Symbol: SPLS	Growth None	24.320	65.	1,580.80	2,595.39	-1,269.33
STARBUCKS CORP Symbol: SBUX	Aggressive Sell	37.760	43.	1,623.68	2,350.04	-1,615.17
STATE STREET CORP COMMON Symbol: STT	Growth Hold	58.090	26.	1,510.34	1,476.68	—
STRYKER CORP Symbol: SYK	Growth Buy	42.110	38.	1,600.18	1,789.80	—
UNITED PARCEL SERVICE INC CL B Symbol: UPS	Growth Buy	82.330	51.	4,198.83	3,651.77	—
UNITED TECHNOLOGIES CORP Symbol: UTX	Growth Buy	63.420	48.	3,044.16	2,543.51	—
WALGREEN CO Symbol: WAG	Growth Hold	44.840	75.	3,363.00	3,354.00	—

5350

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
WELLPOINT INC	Growth	72.770	20.	$1,455.40	$1,508.62	—
Symbol: WLP	None					
WHOLE FOODS MKT INC	Growth	64.640	21.	1,357.44	2,557.59	-1,737.74
Symbol: WFMI	None					
ZIMMER HLDGS INC COM	Aggressive	56.720	38.	2,155.36	2,715.24	—
Symbol: ZMH	Buy					
Total stocks				$99,231.37	—	—
Total estimated asset value				$101,630.17		

Summary of Your Investment Activity

Total cash and money market funds on May 27	$2,102.39
Additions	
Income	$143.33
Proceeds from securities sold	$5,605.17
Total additions	$5,748.50
Subtractions	
Withdrawals to purchase securities	-$5,233.64
Fees	-$218.45
Total subtractions	-$5,452.09
Total cash and money market funds on Jun 30	**$2,398.80**

5351

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Detail of Your Investment Activity

Additions

	Type		Date			Days	Rate	Amount	Where Invested
Income	Money market dividends		06/20	MONEY MARKET		29	4.21	8.03	Money market
	Type	**Tax Info.**	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Invested**
	Dividends	Q	05/31	UNITED PARCEL SERVICE INC CL B	42.	0.38		$15.96	Money market
		Q	06/01	JABIL CIRCUIT INC	93.	0.07		6.51	Money market
		Q	06/01	AFLAC INC	59.	0.13		7.67	Money market
		Q	06/08	MICROSOFT CORP	119.	0.09		10.71	Money market
		Q	06/09	SCRIPPS E W CO CL A	31.	0.12		3.72	Money market
		Q	06/12	WALGREEN CO	75.	0.065		4.88	Money market
		Q	06/12	UNITED TECHNOLOGIES CORP	48.	0.265		12.72	Money market
		Q	06/12	MCGRAW HILL CO INC	60.	0.1815		10.89	Money market
		Q	06/16	SLM CORP	59.	0.25		14.75	Money market
		Q	06/22	HOME DEPOT INC	48.	0.15		7.20	Money market
		Q	06/23	QUALCOMM INC	85.	0.12		10.20	Money market
		Q	06/26	CHICAGO MERCANTILE EXHANGE CLA	7.	0.63		4.41	Money market
		Q	06/30	PEPSICO INC	66.	0.30		19.80	Money market
		Q	06/30	JOHNSON CONTROLS INC	21.	0.28		5.88	Money market
			Total income					$143.33	

	Date		Quantity	Amount per share	Trade date	Amount	Where Invested
Proceeds from securities sold	06/08	ADOBE SYSTEMS INC	30.	29.35		$880.50	Money market
	06/08	STAPLES INC	29.	24.0402		697.17	Money market
	06/12	ECOLAB INC	11.	37.8571		416.43	Money market
	06/12	MCGRAW HILL CO INC	20.	51.3529		1,027.06	Money market
	06/19	MICROSOFT CORP	119.	21.7144		2,584.01	Money market
	Total proceeds from securities sold					$5,605.17	

5352

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	06/12	JOHNSON CONTROLS INC	7.	86.0816		-$602.57	Money market
	06/12	UNITED PARCEL SERVICE INC CL B	9.	80.4163		-723.75	Money market
	06/12	PEPSICO INC	4.	60.67		-242.68	Money market
	06/12	OMNICOM GROUP INC	10.	96.0385		-960.39	Money market
	06/12	QUEST DIAGNOSTICS INC	3	57.1974		-171.59	Money market
	06/19	STATE STREET CORP COMMON	26.	56.7946		-1,476.66	Money market
	06/21	ZIMMER HLDGS INC COM	16	65.9997		-1,056.00	Money market
	Total withdrawals to purchase securities					**-$5,233.64**	
Fees	06/15	MANAGED ACCOUNT FEE				-$218.45	Money market
	Total fees					**-$218.45**	

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	-$201.87	$207.23
Long term (held over 1 year)	0.00	0.00
Total	**-$201.87**	**$207.23**

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
ADOBE SYSTEMS INC	06/23/2005	06/05	30.000	$900.90	$880.50	-$20.40	Short term
STAPLES INC	06/23/2005	06/05	29.000	632.49	697.17	64.68	Short term

5353

201 Progress Parkway
Maryland Heights, MO 63043 3042
www.edwardjones.com
Member SIPC

Edward Jones

Details of Realized Gain/Loss From Sale of Your Securities, continued

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
ECOLAB INC	05/23/2005	06/07	11.000	$346.61	$416.43	$69.82	Short term
MCGRAW HILL CO INC	06/23/2005	06/07	20.000	881.40	1,027.06	145.66	Short term
MICROSOFT CORP	—	06/14	119.000	3,045.64	2,584.01	-461.63	Short term

As you requested, copies of your statement have been sent to:

5354

Account number:
Statement type:
July 1 - July 28, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

Copy To:

Value Summary (Held at Edward Jones)

Value on Jul 28	$99,482.17
Value on Jul 1	$101,630.17
Value one year ago	$103,950.94

Summary of Your Assets

Held at Edward Jones	Value on Jul 28	Value on Jul 1	Change in value
Cash & money market	$2,421.99	$2,398.80	$23.19
Stocks	97,060.18	99,231.37	-2,171.19
Total at Edward Jones	$99,482.17	$101,630.17	-$2,148.00

Summary of Your Income

	This period			Year-to-date		
Income distributions from securities	Taxable	Tax-free	Total	Taxable	Tax-free	Total
Money market dividends	$8.52	—	$8.52	$56.24	—	$56.24
Dividends						
Qualified (Q) - Reduced Tax Eligible	64.53	—	64.53	596.40	—	596.40
Total	$73.05	—	$73.05	$652.64	—	$652.64

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.46%	4.56%	$2,421.99
Total cash and money market funds			$2,421.99

5355

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ADOBE SYSTEMS INC Symbol: ADBE	Aggressive None	27.630	89.	$2,459.07	$3,633.75	-$880.50
AFLAC INC Symbol: AFL	Growth None	44.170	59.	2,606.03	3,031.89	-478.34
AMGEN INC Symbol: AMGN	Growth None	69.070	38.	2,624.66	2,315.71	—
APACHE CORP Symbol: APA	Growth None	69.420	37.	2,568.54	4,741.38	-2,342.95
BAKER HUGHES INC Symbol: BHI	Growth None	77.900	25.	1,947.50	1,906.98	—
CHICAGO MERCANTILE EXCHANGE HOLDINGS INC CL A Symbol: CME	Growth None	453.500	7.	3,174.50	2,618.12	-359.24
CHICOS FAS INC Symbol: CHS	Growth None	22.640	52.	1,177.28	2,180.53	—
CISCO SYSTEMS INC Symbol: CSCO	Aggressive Buy	18.080	206.	3,724.48	4,025.14	—
DANAHER CORP Symbol: DHR	Growth None	65.590	62.	4,066.58	3,936.72	-567.31
EBAY INC Symbol: EBAY	Aggressive None	24.430	52.	1,270.36	2,194.92	-450.75
ECOLAB INC Symbol: ECL	Growth None	43.240	67.	2,897.08	2,898.92	-988.75
EOG RESOURCES INC Symbol: EOG	Growth None	72.060	31.	2,233.86	2,342.92	-424.48
EXPRESS SCRIPTS INC Symbol: ESRX	Growth None	76.480	18.	1,376.64	2,762.90	-2,791.72

5356

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
GENERAL ELECTRIC CO Symbol: GE	Growth & Income Buy	33.020	104.	$3,434.08	—	—
GENZYME CORP Symbol: GENZ	Aggressive None	68.150	34.	2,317.10	2,123.45	—
HOME DEPOT INC Symbol: HD	Growth Buy	34.590	48.	1,660.32	1,893.98	—
JABIL CIRCUIT INC Symbol: JBL	Growth None	22.900	93.	2,129.70	2,903.11	—
JOHNSON CONTROLS INC Symbol: JCI	Growth Hold	76.350	28.	2,137.80	2,225.21	—
KOHLS CORP Symbol: KSS	Growth Buy	55.210	35.	1,932.35	2,706.70	-627.06
MCGRAW HILL CO INC Symbol: MHP	Growth Hold	55.430	40.	2,217.20	2,814.66	-1,027.06
MEDTRONIC INC Symbol: MDT	Growth Buy	50.500	51.	2,575.50	2,641.80	—
OMNICOM GROUP INC Symbol: OMC	Growth Buy	89.290	27.	2,410.83	2,520.02	—
PAYCHEX INC Symbol: PAYX	Growth Hold	34.460	80.	2,756.80	2,614.76	—
PEPSICO INC Symbol: PEP	Growth Buy	63.130	70.	4,419.10	3,896.00	—
PROCTER & GAMBLE CO Symbol: PG	Growth & Income Buy	56.810	54.	3,067.74	3,138.41	—
QUALCOMM INC Symbol: QCOM	Aggressive Hold	35.790	85.	3,042.15	3,883.87	—
QUEST DIAGNOSTICS INC Symbol: DGX	Growth None	59.410	57.	3,386.37	3,027.55	—

5357

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
SCRIPPS E W CO CL A Symbol: SSP	Growth None	42.850	31.	$1,328.35	$1,548.12	—
SLM CORP Symbol: SLM	Growth None	50.000	59.	2,950.00	2,993.66	—
SMITH INTERNATIONAL INCORPOATED Symbol: SII	Growth None	43.260	46.	1,989.96	2,108.70	-829.79
STAPLES INC Symbol: SPLS	Growth None	21.740	65.	1,413.10	2,595.39	-1,269.33
STARBUCKS CORP Symbol: SBUX	Aggressive Sell	34.030	43.	1,463.29	2,350.04	-1,615.17
STATE STREET CORP COMMON Symbol: STT	Growth Hold	60.270	26.	1,567.02	1,476.66	—
STRYKER CORP Symbol: SYK	Growth Buy	46.110	38.	1,752.18	1,789.80	—
UNITED PARCEL SERVICE INC CL B Symbol: UPS	Growth Buy	70.010	51.	3,570.51	3,651.77	—
UNITED TECHNOLOGIES CORP Symbol: UTX	Growth Buy	62.150	57	3,542.55	3,088.54	—
WALGREEN CO Symbol: WAG	Growth Hold	46.930	59.	2,768.87	3,354.00	-755.77
WELLPOINT INC Symbol: WLP	Growth None	75.070	20.	1,501.40	1,508.62	—
WHOLE FOODS MKT INC Symbol: WFMI	Growth None	57.650	21.	1,210.65	2,557.59	-1,737.74
ZIMMER HLDGS INC COM Symbol: ZMH	Aggressive Buy	62.860	38.	2,388.68	2,715.24	—
Total stocks				**$97,060.18**	—	—
Total estimated asset value				**$99,482.17**		

5358

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Investment Activity

Total cash and money market funds on Jul 01	$2,398.80
Additions	
Income	$73.05
Proceeds from securities sold	$2,607.24
Total additions	$2,680.29
Subtractions	
Withdrawals to purchase securities	-$2,452.01
Fees	-$205.09
Total subtractions	-$2,657.10
Total cash and money market funds on Jul 28	**$2,421.99**

Detail of Your Investment Activity

Additions

	Type		Date			Days	Rate	Amount	Where Invested
Income	Money market dividends		07/20	MONEY MARKET		30	4.41	8.52	Money market
	Type	**Tax Info.**	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Invested**
	Dividends	Q	07/06	OMNICOM GROUP INC	17.	0.25		$4.25	Money market
		Q	07/14	SMITH INTERNATIONAL INC	66.	0.08		5.28	Money market
		Q	07/17	STATE STREET CORP COMMON	26.	0.20		5.20	Money market
		Q	07/17	ECOLAB INC	81.	0.10		8.10	Money market
		Q	07/21	QUEST DIAGNOSTICS INC	57.	0.10		5.70	Money market
		Q	07/24	WHOLE FOODS MKT INC	21.	0.15		3.15	Money market
		Q	07/25	GENERAL ELECTRIC CO	104.	0.25		26.00	Money market

5359

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Additions, continued

	Type	Tax info.	Date		Quantity	Amount per share	Rate	Amount	Where invested
Income	Dividends	Q	07/28	MEDTRONIC INC	51.	0.11		$5.61	Money market
		Q	07/28	DANAHER CORP	62.	0.02		1.24	Money market
			Total income					$73.05	

	Date		Quantity	Amount per share	Trade date	Amount	Where invested
Proceeds from securities sold	07/25	WALGREEN CO	16.	47.2357		$755.77	Money market
	07/25	SMITH INTERNATIONAL INC	20.	41.4894		829.79	Money market
	07/25	APACHE CORP	7.	64.1936		449.36	Money market
	07/26	ECOLAB INC	14.	40.88		572.32	Money market
	Total proceeds from securities sold					$2,607.24	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of funds
Withdrawals to purchase securities	07/25	UNITED TECHNOLOGIES CORP	9.	60.5594		-$545.03	Money market
	07/26	BAKER HUGHES INC	25.	76.279		-1,906.98	Money market
	Total withdrawals to purchase securities					-$2,452.01	
Fees	07/14	MANAGED ACCOUNT FEE				-$205.09	Money market
	Total fees					-$205.09	

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$0.00	$207.23
Long term (held over 1 year)	344.12	344.12
Total	$344.12	$551.35

5360

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
SMITH INTERNATIONAL INC	06/23/2005	07/20	20.000	$639.00	$829.79	$190.79	Long term
WALGREEN CO	06/23/2005	07/20	16.000	715.52	755.77	40.25	Long term
APACHE CORP	06/23/2005	07/20	7.000	467.46	449.36	-18.10	Long term
ECOLAB INC	06/23/2005	07/21	14.000	441.14	572.32	131.18	Long term

A piggy bank is just the first step.
Take the second. During our free video program, "How to Raise a Money-Smart Child," you'll learn how to start teaching your child or grandchild about financial goal-setting and self-discipline and the basics of making wise money choices. The program premieres Sept. 12, 2006. Call your investment representative for details.

5361

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

ACCOUNT INFORMATION

Please see your account agreement forms for complete conditions governing your account. All transactions are subject to applicable rules of the exchange market and its clearing house where the trade occurred, as well as of the Securities Exchange Commission, the Federal Reserve Board and any applicable self-regulatory organizations. If you have a complaint, please notify us at Edward Jones, Attn: Complaints Department, 1245 JJ Kelley Memorial Dr., St. Louis MO 63131. For all other concerns, please refer to the cover page of this statement for our contact information.

You can only withdraw $2,500 per day from a bank teller using your Edward Jones VISA debit card.

With questions regarding your mortgage or home equity loans, please refer to the separate loan statement issued for a description of fees and charges.

Account Protection - Edward Jones provides account protection for the net equity of your assets (except annuities and insurance) held in custody by Edward Jones for your account. Of that total, the Securities Investor Protection Corporation (SIPC) provides $500,000 of coverage, including $100,000 for claims of cash awaiting reinvestment (SIPC coverage). The remaining net equity is protected by Customer Asset Protection Company (CAPCO). This protection does not guard against market loss.

REGULATORY DISCLOSURES

Securities Investor Protection Corporation (SIPC): Information from SIPC, including the SIPC Brochure can be obtained at www.sipc.org or by calling (202)371-8300.

Financial Statement - The firm's financial statement is available at your branch office, or a copy will be mailed upon written request.

Rights to your Free Credit Balance - We are permitted to use your free credit balances to conduct our business, subject to the limitations of 17C.F.R.240 15c3-3 under the Securities Exchange Act of 1934. You have the right to receive, during normal business operations, free delivery of your credit balances; any securities to which you are entitled and which have been fully paid; upon full payment of any debt to us, any securities purchased on margin.

Errors or Questions About Your Electronic Transfers - Visit our website at www.edwardjones.com/electronictransfer or contact us for details. Refer to the cover page of this statement for our contact information.

Amount of money you can borrow - What you can borrow is not a commitment to loan funds. The amount available is typically 50% of the value of your investments held at Edward Jones. Your loan value could change daily. Your investment representative has access to daily values as well as more information on the "Amount of money you can borrow" should you wish to activate this feature. The minimum portfolio value required to be eligible for this feature is $4,000. Single, joint and business accounts are eligible.

Loan/Margin Accounts - If you have a loan or margin account, this statement covers: your general brokerage account; a special misc. account maintained for you under section 4(F)(6) of Regulation T issued by the Board of Governors of The Federal Reserve System; a separate account for securities you have sold short. The permanent record of this account is available upon request. If you have a credit balance in your loan account, the surplus amount is included with the amount shown for "cash and money market" balance.

Tax Withholding on Retirement Accounts - Federal income taxes of 10% on IRA or 20% on qualified plan distributions will be withheld unless a higher amount is elected or an IRS exception applies. State income taxes are withheld depending upon your state of residence upon distribution. Your withholding election will remain in effect until federal or state rule changes. Insufficient withholding may subject you to estimated income-tax payments to avoid penalties.

Required Minimum Distributions (RMDs) - RMDs from other IRAs must be calculated separately, but the total amount may be removed from one or more of your IRAs. RMDs from qualified plans must be calculated and removed separately from each plan and cannot be part of your IRA RMD. If you turned age 70-1/2 last year, and deferred your first RMD until the current year or you have unpriced securities in this account, the RMD Summary Section is understated. Verification by a tax professional is recommended.

Transaction/Settlement Dates - Securities transactions are noted on the settlement date shown on the transaction confirmation, excepting transactions involving Edward Jones Tax-free Money Market Fund or Edward Jones Money Market Investment Shares or Retirement Shares which are listed on the trade date. Additional sections report pending trades and open orders.

Contingent Deferred Sales Charge - Shares sold on certain mutual fund classes may be subject to a contingent deferred sales charge.

Portfolio Objective - Inform us promptly of any material change in your portfolio objective or financial situation.

Debt Securities Transactions - For debt securities transactions, call features may exist which could affect yield; complete information will be provided upon request.

Information for Investors - Edward Jones is a member of the New York Stock Exchange and the Chicago Stock Exchange. The firm also transacts business with a variety of dealers in securities, including listed and over-the-counter stocks and bonds, government and agency issues and municipal securities. For equity securities, the firm monitors performance of competing market centers and dealers and routes orders to those that consistently guarantee execution at the national best bid or offer or better. Routed market orders generally are directed to market centers or dealers that offer opportunity for better prices through either automated or manual systems. For agency transactions, the name of the other broker or party to the transaction will be furnished upon written request. For agency and principal transactions, the date and time of execution will be furnished upon written request. Periodically, the firm may receive other remuneration on agency trades from other sources; information will be furnished upon written request.

TERMINOLOGY

Total Estimated Value - The approximate value of the assets held at Edward Jones and outside companies at the statement date shown or date specified in the detail of Your Assets. It is estimated as the prices used to value your securities are provided by an outside service and do not always represent exact market prices. Edward Jones can't guarantee the accuracy of such values; if you need the exact price, contact your investment representative. Values for many fixed-income charges are estimates based on coupon rate and credit rating and may not represent actual transaction price. Values don't include accrued interest or dividends and for some investments don't reflect applicable charges and fees.

Your Assets Held Outside Edward Jones - Balances are provided for your information only to give an overall view of your investments with Edward Jones. SIPC coverage isn't extended to assets held outside the custody of Edward Jones. Refer to the statement received directly from these companies for details.

Tax Information for Income Distributions - Your year-end tax documents (eg. Form 1099) will provide specific classifications of your income distributions. The 2003 tax law allows Qualified (Q) dividends to be taxed at reduced rates: 15%, or 5% for individuals whose tax rates are 15% or less. Nonqualified (N) dividends are taxed at ordinary rates. Some, but not all, of Partially Qualified (P) dividends are taxed at reduced rates.

Cost Basis - Cost basis is the amount paid for a security, including commissions, reinvestments and original issue discount (OID) and adjustments for sales, principal returns, splits and spin offs. Some data may be unsubstantiated and should not be relied upon for tax preparation.

Amount Invested/Withdrawn - Amount invested reflects all purchases and other additions to your holdings, with the exception of dividend reinvestments. Amount withdrawn shows how much of your investment has been sold, redeemed or transferred. These figures should not be used for tax reporting or tax preparation.

Ratings and Recommendations - Edward Jones research opinions, Standard & Poor's and Moody's ratings may be shown for certain securities. Ratings or recommendations should not be considered an indication of future performance.

Account Activity - Entries appearing in Account Activity sections under columns headed "Where Invested" or "Sources of Funds" are additions to or subtractions from your account. For clients who maintain a money market account, entries indicating "Tax-Free Money Market" mean Edward Jones Tax-Free Money Market Fund and entries indicating "Money Market" mean Edward Jones Money Market Investment Shares or Retirement Shares depending on the share class owned. Activity dates appearing under "Sources of Funds" correspond to the sale date of the designated Edward Jones Money Market Fund. Entries appearing under "Where Invested" will be transacted as a purchase of the previously designated Edward Jones Money Market Fund on the second business day after the date shown, with the following exceptions which will be transacted on the date indicated:

Source of Funds	Number of Days After Activity Date Shown Until Purchase of Money Market Fund
Wired Funds, SWPS Electronic Bank Transfer, Direct Deposit	1
Security Sold or Interest/Dividend Received*	2
Bond Maturities, Calls, Tendered Items *	1
Transfers from Margin Account or Money Market Fund in another Edward Jones Account	0

* For assets held within Edward Jones account

Account number:
Statement type:
July 29 - August 25, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

Copy To:

Value Summary (Held at Edward Jones)

Value on Aug 25	$101,988.11
Value on Jul 29	$99,482.17
Value one year ago	$100,546.24

Summary of Your Assets

Held at Edward Jones	Value on Aug 25	Value on Jul 29	Change in value
Cash & money market	$3,424.94	$2,421.99	$1,002.95
Stocks	98,563.17	97,060.18	1,502.99
Total at Edward Jones	**$101,988.11**	$99,482.17	$2,505.94

Summary of Your Income

Income distributions from securities	This period Taxable	Tax-free	Total	Year-to-date Taxable	Tax-free	Total
Money market dividends	$11.39	—	$11.39	$67.63	—	$67.63
Dividends						
Qualified (Q) - Reduced Tax Eligible	39.05	—	39.05	635.45	—	635.45
Total	$50.44	—	$50.44	$703.08	—	$703.08

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.48%	4.58%	$3,424.94
Total cash and money market funds			$3,424.94

5363

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ABBOTT LABORATORIES	Growth & Income	48.790	31.	$1,512.49	$1,512.03	—
Symbol: ABT	Buy					
ADOBE SYSTEMS INC	Aggressive	32.530	89.	2,895.17	3,633.75	-880.50
Symbol: ADBE	None					
AFLAC INC	Growth	43.750	59.	2,581.25	3,031.89	-478.34
Symbol: AFL	None					
AMGEN INC	Growth	67.520	38.	2,565.76	2,315.71	—
Symbol: AMGN	None					
APACHE CORP	Growth	67.850	37.	2,510.45	4,741.38	-2,342.95
Symbol: APA	None					
BAKER HUGHES INC	Growth	75.230	25.	1,880.75	1,906.98	—
Symbol: BHI	None					
CHICAGO MERCANTILE EXCHANGE HOLDINGS INC CL A	Growth	448.480	7.	3,139.36	2,618.12	-359.24
Symbol: CME	None					
CISCO SYSTEMS INC	Aggressive	21.050	206.	4,336.30	4,025.14	—
Symbol: CSCO	Buy					
DANAHER CORP	Growth	65.410	62.	4,055.42	3,938.72	-567.31
Symbol: DHR	None					
EBAY INC	Aggressive	25.320	52.	1,316.64	2,194.92	-450.75
Symbol: EBAY	None					
ECOLAB INC	Growth	43.680	67.	2,926.56	2,898.92	-988.75
Symbol: ECL	None					
EOG RESOURCES INC	Growth	69.130	31.	2,143.03	2,342.92	-424.48
Symbol: EOG	None					
EXPRESS SCRIPTS INC	Growth	81.750	18.	1,471.50	2,762.90	-2,791.72
Symbol: ESRX	None					

5364

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
GENERAL ELECTRIC CO Symbol: GE	Growth & Income Buy	33.840	104.	$3,519.36	—	—
GENZYME CORP Symbol: GENZ	Aggressive None	65.160	43.	2,801.88	2,744.43	—
HOME DEPOT INC Symbol: HD	Growth Buy	33.480	48.	1,607.04	1,893.98	—
JABIL CIRCUIT INC Symbol: JBL	Growth None	26.890	101.	2,715.89	3,118.30	—
JOHNSON CONTROLS INC Symbol: JCI	Growth Hold	70.920	28.	1,985.76	2,225.21	—
KOHLS CORP Symbol: KSS	Growth Buy	60.300	35.	2,110.50	2,706.70	-627.06
MCGRAW HILL CO INC Symbol: MHP	Growth Hold	58.150	40.	2,246.00	2,814.66	-1,027.06
MEDTRONIC INC Symbol: MDT	Growth Buy	46.140	51.	2,353.14	2,641.80	—
OMNICOM GROUP INC Symbol: OMC	Growth Buy	87.320	27.	2,357.64	2,520.02	—
PAYCHEX INC Symbol: PAYX	Growth Hold	35.450	80.	2,836.00	2,614.76	—
PEPSICO INC Symbol: PEP	Growth Buy	64.180	70.	4,492.60	3,896.00	—
PROCTER & GAMBLE CO Symbol: PG	Growth & Income Buy	60.870	54.	3,286.98	3,138.41	—
QUALCOMM INC Symbol: QCOM	Aggressive Hold	37.380	85.	3,177.30	3,883.87	—
QUEST DIAGNOSTICS INC Symbol: DGX	Growth None	63.500	49.	3,111.50	3,027.55	-502.22

5365

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
SCRIPPS E W CO CL A Symbol: SSP	Growth None	43.880	31.	$1,360.59	$1,548.12	—
SLM CORP Symbol: SLM	Growth & Income None	46.030	59.	2,715.77	2,993.66	—
SMITH INTERNATIONAL INCORPOATED Symbol: SII	Growth None	43.200	46.	1,987.20	2,108.70	-829.79
STAPLES INC Symbol: SPLS	Growth None	22.790	65	1,481.35	2,595.39	-1,269.33
STARBUCKS CORP Symbol: SBUX	Aggressive Sell	29.950	43.	1,287.85	2,350.04	-1,615.17
STATE STREET CORP COMMON Symbol: STT	Growth Hold	60.550	32.	1,937.60	1,848.51	—
STRYKER CORP Symbol: SYK	Growth Buy	47.600	38.	1,808.80	1,789.80	—
UNITED PARCEL SERVICE INC CL B Symbol: UPS	Growth Buy	70.570	33.	2,328.81	3,651.77	-1,219.82
UNITED TECHNOLOGIES CORP Symbol: UTX	Growth Buy	60.670	57.	3,458.19	3,088.54	—
WALGREEN CO Symbol: WAG	Growth Hold	47.820	62.	2,964.84	3,502.98	-755.77
WELLPOINT INC Symbol: WLP	Growth None	77.290	20.	1,545.80	1,508.62	—
WHOLE FOODS MKT INC Symbol: WFMI	Growth None	54.660	21.	1,147.86	2,557.59	-1,737.74
ZIMMER HLDGS INC COM Symbol: ZMH	Aggressive Buy	68.480	38.	2,602.24	2,715.24	—
Total stocks				**$98,563.17**	—	—
Total estimated asset value				**$101,988.11**		

5366

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Summary of Your Investment Activity

Total cash and money market funds on Jul 29	$2,421.99
Additions	
Income	$50.44
Proceeds from securities sold	$2,979.37
Total additions	**$3,029.81**
Subtractions	
Withdrawals to purchase securities	-$2,869.03
Fees	$842.17
Total subtractions	**-$2,026.86**
Total cash and money market funds on Aug 25	**$3,424.94**

Detail of Your Investment Activity

Additions

	Type		Date			Days	Rate	Amount	Where Invested
Income	Money market dividends		08/21	MONEY MARKET		32	4.48	11.39	Money market
	Type	**Tax Info.**	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Invested**
	Dividends	Q	07/31	EOG RESOURCES INC	31.	0.06		$1.86	Money market
		Q	08/15	PAYCHEX INC	80.	0.16		12.80	Money market
		Q	08/15	PROCTER & GAMBLE CO	54.	0.31		16.74	Money market
		Q	08/18	BAKER HUGHES INC	25.	0.13		3.25	Money market
		Q	08/22	APACHE CORP	44.	0.10		4.40	Money market
			Total income					**$50.44**	

5367

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Additions, continued

	Date		Quantity	Amount per share	Trade date	Amount	Where invested
Proceeds from securities sold	08/09	UNITED PARCEL SERVICE INC CL B	18.	67.7675		$1,219.82	Money market
	08/21	CHICOS FAS INC	52.	24.1794		1,257.33	Money market
	08/22	QUEST DIAGNOSTICS INC	8.	62.7769		502.22	Money market
	Total proceeds from securities sold					**$2,979.37**	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	08/09	GENZYME CORP	9.	68.9979		-$620.98	Money market
	08/09	STATE STREET CORP COMMON	6.	61.975		-371.85	Money market
	08/22	JABIL CIRCUIT INC	8.	26.8985		-215.19	Money market
	08/22	WALGREEN CO	3.	49.6599		-148.98	Money market
	08/24	ABBOTT LABORATORIES	31.	48.7752		-1,512.03	Money market
	Total withdrawals to purchase securities					**-$2,869.03**	
Fees	08/07	REVERSE FEE FOR INCORRECT MANAGEMENT FEES				$1,067.68	Money market
	08/17	MANAGED ACCOUNT FEE				-131.10	Money market
	08/22	INCORRECT AMOUNT REVERSED 8/7/06				-1,067.68	Money market
	08/23	REVERSE FEE FOR MANAGED ACCOUNT PROGRAM				973.27	Money market
	Total fees					**$842.17**	

5368

201 Progress Parkway
Maryland Heights, MO 63043 3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	-$923.20	-$715.97
Long term (held over 1 year)	60.80	404.92
Total	-$862.40	-$311.05

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
UNITED PARCEL SERVICE INC CL B	06/23/2005	08/04	18.000	$1,233.72	$1,219.82	-$13.90	Long term
CHICOS FAS INC	—	08/16	52.000	2,180.53	1,257.33	-923.20	Short term
QUEST DIAGNOSTICS INC	06/23/2005	08/17	8.000	427.52	502.22	74.70	Long term

Build a Strategy for a Healthy Retirement. Then Run with It.
Dr. Rosemary Laird, medical director at Health First Aging Institute in Cape Canaveral will help you learn how. Join us on October 10, 2006, as we address issues you'll be facing as you age. Contact your investment representative for details about this free video program in your area.

5369

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones®
MAKING SENSE OF INVESTING

ACCOUNT INFORMATION

Please see your account agreement forms for complete conditions governing your account. All transactions are subject to applicable rules of the exchange market and its clearing house where the trade occurred, as well as of the Securities Exchange Commission, the Federal Reserve Board and any applicable self-regulatory organizations. If you have a complaint, please notify us at Edward Jones, Attn: Complaints Department, 1245 JJ Kelley Memorial Dr., St. Louis MO 63131. For all other concerns, please refer to the cover page of this statement for our contact information.

You can only withdraw $2,500 per day from a bank teller using your Edward Jones VISA debit card.

With questions regarding your mortgage or home equity loans, please refer to the separate loan statement issued for a description of fees and charges.

Account Protection - Edward Jones provides account protection for the net equity of your assets (except annuities and insurance) held in custody by Edward Jones for your account. Of that total, the Securities Investor Protection Corporation (SIPC) provides $500,000 of coverage, including $100,000 for claims of cash awaiting reinvestment (SIPC coverage). The remaining net equity is protected by Customer Asset Protection Company (CAPCO). This protection does not guard against market loss.

REGULATORY DISCLOSURES

Securities Investor Protection Corporation (SIPC): Information from SIPC, including the SIPC Brochure can be obtained at www.sipc.org or by calling (202)371-8300.

Financial Statement - The firm's financial statement is available at your branch office, or a copy will be mailed upon written request.

Rights to your Free Credit Balance - We are permitted to use your free credit balances to conduct our business, subject to the limitations of 17C.F.R.240 15c3-3 under the Securities Exchange Act of 1934. You have the right to receive, during normal business operations, free delivery of your credit balances; any securities to which you are entitled and which have been fully paid; upon full payment of any debt to us, any securities purchased on margin.

Errors or Questions About Your Electronic Transfers - Visit our website at www.edwardjones.com/electronictransfer or contact us for details. Refer to the cover page of this statement for our contact information.

Amount of money you can borrow - What you can borrow is not a commitment to loan funds. The amount available is typically 50% of the value of your investments held at Edward Jones. Your loan value could change daily. Your investment representative has access to daily values as well as more information on the "Amount of money you can borrow" should you wish to activate this feature. The minimum portfolio value required to be eligible for this feature is $4,000. Single, joint and business accounts are eligible.

Loan/Margin Accounts - If you have a loan or margin account, this statement covers: your general brokerage account; a special misc. account maintained for you under section 4(F)(6) of Regulation T issued by the Board of Governors of The Federal Reserve System; a separate account for securities you have sold short. The permanent record of this account is available upon request. If you have a credit balance in your loan account, the surplus amount is included with the amount shown for "cash and money market" balance.

Tax Withholding on Retirement Accounts - Federal income taxes of 10% on IRA or 20% on qualified plan distributions will be withheld unless a higher amount is elected or an IRS exception applies. State income taxes are withheld depending upon your state of residence upon distribution. Your withholding election will remain in effect until federal or state rule changes. Insufficient withholding may subject you to estimated income-tax payments to avoid penalties.

Required Minimum Distributions (RMDs) - RMDs from other IRAs must be calculated separately, but the total amount may be removed from one or more of your IRAs. RMDs from qualified plans must be calculated and removed separately from each plan and cannot be part of your IRA RMD. If you turned age 70-1/2 last year, and deferred your first RMD until the current year or you have unpriced securities in this account, the RMD Summary Section is understated. Verification by a tax professional is recommended.

Transaction/Settlement Dates - Securities transactions are noted on the settlement date shown on the transaction confirmation, excepting transactions involving Edward Jones Tax-free Money Market Fund or Edward Jones Money Market Investment Shares or Retirement Shares which are listed on the trade date. Additional sections report pending trades and open orders.

Contingent Deferred Sales Charge - Shares sold on certain mutual fund classes may be subject to a contingent deferred sales charge.

Portfolio Objective - Inform us promptly of any material change in your portfolio objective or financial situation.

Debt Securities Transactions - For debt securities transactions, call features may exist which could affect yield; complete information will be provided upon request.

Information for Investors - Edward Jones is a member of the New York Stock Exchange and the Chicago Stock Exchange. The firm also transacts business with a variety of dealers in securities, including listed and over-the-counter stocks and bonds, government and agency issues and municipal securities. For equity securities, the firm monitors performance of competing market centers and dealers and routes orders to those that consistently guarantee execution at the national best bid or offer or better. Routed market orders generally are directed to market centers or dealers that offer opportunity for better prices through either automated or manual systems. For agency transactions, the name of the other broker or party to the transaction will be furnished upon written request. For agency and principal transactions, the date and time of execution will be furnished upon written request. Periodically, the firm may receive other remuneration on agency trades from other sources; information will be furnished upon written request.

TERMINOLOGY

Total Estimated Value - The approximate value of the assets held at Edward Jones and outside companies at the statement date shown or date specified in the detail of Your Assets. It is estimated as the prices used to value your securities are provided by an outside service and do not always represent exact market prices. Edward Jones can't guarantee the accuracy of such values; if you need the exact price, contact your investment representative. Values for many fixed-income charges are estimates based on coupon rate and credit rating and may not represent actual transaction price. Values don't include accrued interest or dividends and for some investments don't reflect applicable charges and fees.

Your Assets Held Outside Edward Jones - Balances are provided for your information only to give an overall view of your investments with Edward Jones. SIPC coverage isn't extended to assets held outside the custody of Edward Jones. Refer to the statement received directly from these companies for details.

Tax Information for Income Distributions - Your year-end tax documents (eg. Form 1099) will provide specific classifications of your income distributions. The 2003 tax law allows Qualified (Q) dividends to be taxed at reduced rates: 15%, or 5% for individuals whose tax rates are 15% or less. Nonqualified (N) dividends are taxed at ordinary rates. Some, but not all, of Partially Qualified (P) dividends are taxed at reduced rates.

Cost Basis - Cost basis is the amount paid for a security, including commissions, reinvestments and original issue discount (OID) and adjustments for sales, principal returns, splits and spin offs. Some data may be unsubstantiated and should not be relied upon for tax preparation.

Amount Invested/Withdrawn - Amount invested reflects all purchases and other additions to your holdings, with the exception of dividend reinvestments. Amount withdrawn shows how much of your investment has been sold, redeemed or transferred. These figures should not be used for tax reporting or tax preparation.

Ratings and Recommendations - Edward Jones research opinions, Standard & Poor's and Moody's ratings may be shown for certain securities. Ratings or recommendations should not be considered an indication of future performance.

Account Activity - Entries appearing in Account Activity sections under columns headed "Where Invested" or "Sources of Funds" are additions to or subtractions from your account. For clients who maintain a money market account, entries indicating "Tax-Free Money Market" mean Edward Jones Tax-Free Money Market Fund and entries indicating "Money Market" mean Edward Jones Money Market Investment Shares or Retirement Shares depending on the share class owned. Activity dates appearing under "Sources of Funds" correspond to the sale date of the designated Edward Jones Money Market Fund. Entries appearing under "Where Invested" will be transacted as a purchase of the previously designated Edward Jones Money Market Fund on the second business day after the date shown, with the following exceptions which will be transacted on the date indicated:

Source of Funds	Number of Days After Activity Date Shown Until Purchase of Money Market Fund
Wired Funds, SWPS Electronic Bank Transfer, Direct Deposit	1
Security Sold or Interest/Dividend Received*	0
Bond Maturities, Calls, Tendered Items *	1
Transfers from Margin Account or Money Market Fund in another Edward Jones Account	0

*For assets held within Edward Jones account

5370

Account number:
Statement type:
August 26 - September 29, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

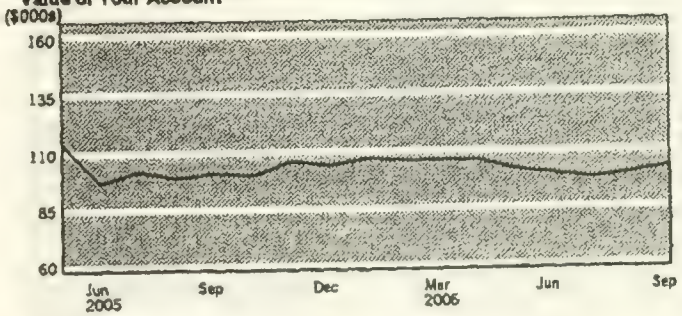
Value Summary (Held at Edward Jones)

Value on Sep 29	$104,704.55
Value on Aug 26	$101,988.11
Value one year ago	$102,481.60

Summary of Your Assets

Held at Edward Jones	Value on Sep 29	Value on Aug 26	Change in value
Cash & money market	$3,396.46	$3,424.94	-$28.48
Stocks	101,308.09	98,563.17	2,744.92
Total at Edward Jones	$104,704.55	$101,988.11	$2,716.44

Value of Your Account



This chart shows how the value of your account has changed recently (includes only assets held at Edward Jones). While it's important to be aware of short-term results, don't lose sight of the long term. Edward Jones believes a long-term investment strategy offers the greatest potential for success.

5371

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Income

Income distributions from securities	This period			Year-to-date		
	Taxable	Tax-free	Total	Taxable	Tax-free	Total
Money market dividends	$13.11	—	$13.11	$80.74	—	$80.74
Dividends						
Qualified (Q) - Reduced Tax Eligible	122.78	—	122.78	758.23	—	758.23
Total	$135.89	—	$135.89	$838.97	—	$838.97

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Your Estimated Interest and Dividends

The following is an estimated summary of your interest and dividend income for the next 12 months. It is based on a variety of factors. These factors, including distribution rates and amount of principal invested, are subject to change. Because they are estimates, the specific amounts listed here are not guaranteed by Edward Jones or by the underlying issuer(s) of the securities. Income from items with * is being reinvested.

		2006			2007									
Cash & money market funds	Quantity	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	Total
Money Market 4.50%	3,396	12	12	12	12	12	12	12	12	12	12	12	12	144

Stocks	Tax Info.													
ABBOTT LABORATORIES	Q	31		9			9			9			9	36
AFLAC INC	Q	59			7			7			7			28
APACHE CORP	Q	32		3			3			3			3	12
BAKER HUGHES INC	Q	25		3			3			3			3	12
CHICAGO MERCANTILE EXHANGE CLA	Q	7			4			4			4			16
DANAHER CORP	Q	62	1			1			1			1		4
ECOLAB INC	Q	67	6			6			6			6		24
EOG RESOURCES INC	Q	31	1			1			1			1		4

5372

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks	Tax Info.	Quantity	2006 OCT	NOV	DEC	2007 JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	Total
GENERAL ELECTRIC CO	Q	104	26			26			26			26			104
HOME DEPOT INC	Q	48			7			7			7			7	28
JOHNSON CONTROLS INC	Q	28			7			7			7			7	28
MCGRAW HILL CO INC	Q	40			7			7			7			7	28
MEDTRONIC INC	Q	51	5			5			5			5			20
OMNICOM GROUP INC	Q	27	6			6			6			6			24
PAYCHEX INC	Q	80		12			12			12			12		48
PEPSICO INC	Q	70			21			21			21			21	84
PROCTER & GAMBLE CO	Q	58		17			17			17			17		68
QUALCOMM INC	Q	85			10			10			10			10	40
QUEST DIAGNOSTICS INC	Q	49	4			4			4			4			16
SCRIPPS E W CO CL A	Q	31			3			3			3			3	12
SLM CORP	Q	59			14			14			14			14	56
SMITH INTERNATIONAL INC	Q	46	3			3			3			3			12
STAPLES INC	Q	65							14						14
STATE STREET CORP COMMON	Q	32	6			6			6			6			24
STRYKER CORP	Q	51				5									5
UNITED TECHNOLOGIES CORP	Q	57			15			15			15			15	60
WALGREEN CO	Q	62			4			4			4			4	16
WHOLE FOODS MKT INC	Q	21	3			3			3			3			12
Total			**73**	**56**	**111**	**78**	**56**	**111**	**87**	**56**	**111**	**73**	**56**	**111**	**979**

5373

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.50%	4.60%	$3,396.46
Total cash and money market funds			**$3,396.46**

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ABBOTT LABORATORIES Symbol: ABT	Growth & Income Buy	48.560	31.	$1,505.36	$1,512.03	—
ADOBE SYSTEMS INC Symbol: ADBE	Aggressive None	37.450	89.	3,333.05	3,633.75	-880.50
AFLAC INC Symbol: AFL	Growth None	45.760	59.	2,699.84	3,031.89	-478.34
AMGEN INC Symbol: AMGN	Growth None	71.530	38.	2,718.14	2,315.71	—
APACHE CORP Symbol: APA	Growth None	63.200	32.	2,022.40	4,741.38	-2,661.97
APPLE COMPUTER INC Symbol: AAPL	Aggressive None	77.030	29.	2,233.87	2,155.57	—
BAKER HUGHES INC Symbol: BHI	Growth None	68.200	25.	1,705.00	1,906.98	—
CHICAGO MERCANTILE EXCHANGE HOLDINGS INC CL A Symbol: CME	Growth None	478.250	7.	3,347.75	2,618.12	-359.24
CISCO SYSTEMS INC Symbol: CSCO	Aggressive Buy	23.000	206.	4,738.00	4,025.14	—

5374

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
DANAHER CORP Symbol: DHR	Growth None	68.670	62.	$4,257.54	$3,936.72	-$567.31
EBAY INC Symbol: EBAY	Aggressive None	28.360	76.	2,155.36	2,862.57	-450.75
ECOLAB INC Symbol: ECL	Growth None	42.820	67.	2,868.94	2,898.92	-988.75
EOG RESOURCES INC Symbol: EOG	Growth None	65.050	31.	2,016.55	2,342.92	-424.48
EXPRESS SCRIPTS INC Symbol: ESRX	Growth None	75.490	18.	1,358.82	2,762.90	-2,791.72
GENERAL ELECTRIC CO Symbol: GE	Growth & Income Buy	35.300	104.	3,671.20	—	—
GENZYME CORP Symbol: GENZ	Aggressive None	67.470	43.	2,901.21	2,744.43	—
HOME DEPOT INC Symbol: HD	Growth Buy	36.270	48.	1,740.96	1,893.98	—
JABIL CIRCUIT INC Symbol: JBL	Growth None	28.570	101.	2,885.57	3,118.30	—
JOHNSON CONTROLS INC Symbol: JCI	Growth Hold	71.740	28.	2,008.72	2,225.21	—
KOHLS CORP Symbol: KSS	Growth Buy	64.920	35.	2,272.20	2,706.70	-627.06
MCGRAW HILL CO INC Symbol: MHP	Growth Hold	58.030	40.	2,321.20	2,814.68	-1,027.06
MEDTRONIC INC Symbol: MDT	Growth Buy	48.440	51.	2,368.44	2,641.80	—
OMNICOM GROUP INC Symbol: OMC	Growth Buy	93.600	27.	2,527.20	2,520.02	—

5375

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
PAYCHEX INC Symbol: PAYX	Growth Hold	36.850	80.	$2,948.00	$2,614.76	—
PEPSICO INC Symbol: PEP	Growth Buy	65.260	70.	4,568.20	3,896.00	—
PROCTER & GAMBLE CO Symbol: PG	Growth & Income Buy	61.980	58.	3,594.84	3,380.45	—
QUALCOMM INC Symbol: QCOM	Aggressive Buy	36.350	85.	3,089.75	3,883.87	—
QUEST DIAGNOSTICS INC Symbol: DGX	Growth None	61.160	49.	2,996.84	3,027.55	-502.22
SANDISK CORP Symbol: SNDK	Aggressive None	53.540	26.	1,392.04	1,539.20	—
SCRIPPS E W CO CL A Symbol: SSP	Growth None	47.930	31.	1,485.83	1,548.12	—
SLM CORP Symbol: SLM	Growth None	51.980	59.	3,066.82	2,993.66	—
SMITH INTERNATIONAL INCORPOATED Symbol: SII	Growth None	38.800	46.	1,784.80	2,108.70	-829.79
STAPLES INC Symbol: SPLS	Growth None	24.330	65.	1,581.45	2,595.39	-1,269.33
STARBUCKS CORP Symbol: SBUX	Aggressive Sell	34.050	43.	1,464.15	2,350.04	-1,615.17
STATE STREET CORP COMMON Symbol: STT	Growth Hold	62.400	32.	1,996.80	1,848.51	—
STRYKER CORP Symbol: SYK	Growth Buy	49.590	51.	2,529.09	2,449.44	—

5376

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
UNITED TECHNOLOGIES CORP Symbol: UTX	Growth Buy	63.350	57.	$3,610.95	$3,088.54	—
WALGREEN CO Symbol: WAG	Growth Hold	44.390	62.	2,752.18	3,502.98	-755.77
WELLPOINT INC Symbol: WLP	Growth None	77.050	20.	1,541.00	1,508.62	—
WHOLE FOODS MKT INC Symbol: WFMI	Growth None	59.430	21.	1,248.03	2,557.59	-1,737.74
Total stocks				**$101,308.09**	—	—
Total estimated asset value				**$104,704.55**		

Summary of Your Investment Activity

Total cash and money market funds on Aug 26	$3,424.94
Additions	
Income	$135.89
Proceeds from securities sold	$5,232.95
Total additions	$5,368.84
Subtractions	
Withdrawals to purchase securities	-$5,264.10
Fees	-$133.22
Total subtractions	-$5,397.32
Total cash and money market funds on Sep 29	**$3,396.46**

5377

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Detail of Your Investment Activity

Additions

	Type		Date			Days	Rate	Amount	Where Invested
Income	Money market dividends		09/20	MONEY MARKET		30	4.49	13.11	Money market
	Type	**Tax Info.**	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Invested**
	Dividends	Q	09/01	JABIL CIRCUIT INC	93.	0.07		$6.51	Money market
		Q	09/01	AFLAC INC	59.	0.13		7.67	Money market
		Q	09/06	UNITED PARCEL SERVICE INC CL B	33.	0.38		12.54	Money market
		Q	09/08	SCRIPPS E W CO CL A	31.	0.12		3.72	Money market
		Q	09/11	UNITED TECHNOLOGIES CORP	57.	0.265		15.11	Money market
		Q	09/12	MCGRAW HILL CO INC	40.	0.1815		7.26	Money market
		Q	09/12	WALGREEN CO	59.	0.0775		4.57	Money market
		Q	09/15	SLM CORP	59.	0.25		14.75	Money market
		Q	09/21	HOME DEPOT INC	48.	0.15		7.20	Money market
		Q	09/22	QUALCOMM INC	85.	0.12		10.20	Money market
		Q	09/25	CHICAGO MERCANTILE EXHANGE CLA	7.	0.63		4.41	Money market
		Q	09/29	PEPSICO INC	70.	0.30		21.00	Money market
		Q	09/29	JOHNSON CONTROLS INC	28.	0.28		7.84	Money market
				Total income				$135.89	
			Date		**Quantity**	**Amount per share**	**Trade date**	**Amount**	**Where Invested**
Proceeds from securities sold			09/06	UNITED PARCEL SERVICE INC CL B	33.	69.7042		$2,300.24	Money market
			09/21	ZIMMER HLDGS INC COM	38.	68.7813		2,613.69	Money market
			09/21	APACHE CORP	5.	63.8031		319.02	Money market
				Total proceeds from securities sold				$5,232.95	

5378

201 Progress Parkway
Maryland Heights, MO 63043 3042
www.edwardjones.com
Member SIPC

Edward Jones

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	09/06	EBAY INC	24.	27.8189		-$667.65	Money market
	09/06	SANDISK CORP COM	26.	59.20		-1,539.20	Money market
	09/21	APPLE COMPUTER INC	29.	74.33		-2,155.57	Money market
	09/21	STRYKER CORP	13.	50.7418		-659.64	Money market
	09/21	PROCTER & GAMBLE CO	4.	60.51		-242.04	Money market
	Total withdrawals to purchase securities					**-$5,264.10**	
Fees	09/18	MANAGED ACCOUNT FEE				-$133.22	Money market
	Total fees					**-$133.22**	

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	-$94.27	-$810.24
Long term (held over 1 year)	-139.97	264.95
Total	**-$234.24**	**-$545.29**

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
UNITED PARCEL SERVICE INC CL B	06/23/2005	08/31	18.000	$1,233.72	$1,254.68	$20.96	Long term
UNITED PARCEL SERVICE INC CL B	—	08/31	15.000	1,184.33	1,045.56	-138.77	Short term
APACHE CORP	06/23/2005	09/18	5.000	333.90	319.02	-14.88	Long term

5379

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Details of Realized Gain/Loss From Sale of Your Securities, continued

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
ZIMMER HLDGS INC COM	06/23/2005	09/18	22.000	$1,659.24	$1,513.19	-$146.05	Long term
ZIMMER HLDGS INC COM	06/16/2006	09/18	16.000	1,056.00	1,100.50	44.50	Short term

As you requested, copies of your statement have been sent to:

5380

Account number:
Statement type:
September 30 - October 27, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

Value Summary (Held at Edward Jones)

Value on Oct 27	$106,768.68
Value on Sep 30	$104,704.55
Value one year ago	$101,674.92

Summary of Your Assets

Held at Edward Jones	Value on Oct 27	Value on Sep 30	Change in value
Cash & money market	$3,139.24	$3,396.46	-$257.22
Stocks	103,629.44	101,308.09	2,321.35
Total at Edward Jones	$106,768.68	$104,704.55	$2,064.13

Summary of Your Income

Income distributions from securities	This period Taxable	Tax-free	Total	Year-to-date Taxable	Tax-free	Total
Money market dividends	$13.30	—	$13.30	$94.04	—	$94.04
Dividends						
Qualified (Q) - Reduced Tax Eligible	64.43	—	64.43	822.66	—	822.66
Total	$77.73	—	$77.73	$916.70	—	$916.70

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.50%	4.60%	$3,139.24
Total cash and money market funds			$3,139.24

5381

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ABBOTT LABORATORIES Symbol: ABT	Growth & Income Buy	47.670	31.	$1,477.77	$1,512.03	—
ADOBE SYSTEMS INC Symbol: ADBE	Aggressive None	38.570	89.	3,432.73	3,633.75	-880.50
AFLAC INC Symbol: AFL	Growth None	45.080	59.	2,659.72	3,031.89	-478.34
AMERICAN EXPRESS CO Symbol: AXP	Growth Buy	57.270	45.	2,577.15	2,601.99	—
AMGEN INC Symbol: AMGN	Growth None	75.520	38.	2,869.76	2,315.71	—
APACHE CORP Symbol: APA	Growth None	66.520	32.	2,128.64	4,741.38	-2,661.97
APPLE COMPUTER INC Symbol: AAPL	Aggressive None	80.420	29.	2,332.18	2,155.57	—
BAKER HUGHES INC Symbol: BHI	Growth None	70.590	25.	1,764.75	1,906.98	—
CHICAGO MERCANTILE EXCHANGE HOLDINGS INC CL A Symbol: CME	Growth None	505.300	7.	3,537.10	2,618.12	-359.24
CISCO SYSTEMS INC Symbol: CSCO	Aggressive Buy	23.720	190.	4,506.80	4,025.14	-380.32
DANAHER CORP Symbol: DHR	Growth None	70.280	62.	4,357.36	3,936.72	-567.31
EBAY INC Symbol: EBAY	Aggressive None	32.070	76.	2,437.32	2,862.57	-450.75
ECOLAB INC Symbol: ECL	Growth None	45.290	67.	3,034.43	2,898.92	-988.75

5382

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
EOG RESOURCES INC Symbol: EOG	Growth None	68.010	31.	$2,108.31	$2,342.92	-$424.48
EXPRESS SCRIPTS INC Symbol: ESRX	Growth None	64.970	18.	1,169.46	2,762.90	-2,791.72
GENERAL ELECTRIC CO Symbol: GE	Growth & Income Buy	35.210	117.	4,119.57	—	—
GENZYME CORP Symbol: GENZ	Aggressive None	68.690	43.	2,953.67	2,744.43	—
JABIL CIRCUIT INC Symbol: JBL	Growth None	28.710	101.	2,899.71	3,118.30	—
JOHNSON CONTROLS INC Symbol: JCI	Growth Hold	82.630	28.	2,313.64	2,225.21	—
KOHLS CORP Symbol: KSS	Growth Buy	72.230	35.	2,528.05	2,706.70	-627.06
MCGRAW HILL CO INC Symbol: MHP	Growth Hold	63.260	40.	2,530.40	2,814.66	-1,027.06
MEDTRONIC INC Symbol: MDT	Growth Buy	48.870	51.	2,482.17	2,641.80	—
OMNICOM GROUP INC Symbol: OMC	Growth Buy	100.390	27.	2,710.53	2,520.02	—
PAYCHEX INC Symbol: PAYX	Growth & Income Hold	39.450	80.	3,156.00	2,614.76	—
PEPSICO INC Symbol: PEP	Growth Buy	63.540	59.	3,748.86	3,896.00	-693.14
PROCTER & GAMBLE CO Symbol: PG	Growth & Income Buy	63.560	58.	3,686.48	3,380.45	—
QUALCOMM INC Symbol: QCOM	Aggressive Buy	37.140	69.	2,562.66	3,883.87	-610.12

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
QUEST DIAGNOSTICS INC Symbol: DGX	Growth None	49.700	49.	$2,435.30	$3,027.55	-$502.22
SANDISK CORP Symbol: SNDK	Aggressive None	48.040	35.	1,681.40	2,086.31	—
SCRIPPS E W CO CL A Symbol: SSP	Aggressive None	49.300	31.	1,528.30	1,548.12	—
SLM CORP Symbol: SLM	Growth & Income None	48.030	59.	2,833.77	2,993.66	—
SMITH INTERNATIONAL INCORPOATED Symbol: SII	Growth None	39.210	46.	1,803.66	2,108.70	-829.79
STAPLES INC Symbol: SPLS	Growth None	25.770	65.	1,675.05	2,595.39	-1,269.33
STARBUCKS CORP Symbol: SBUX	Aggressive Sell	38.020	43.	1,634.86	2,350.04	-1,615.17
STATE STREET CORP COMMON Symbol: STT	Growth Hold	63.010	32.	2,016.32	1,848.51	—
STRYKER CORP Symbol: SYK	Growth Buy	52.650	51.	2,685.15	2,449.44	—
UNITED TECHNOLOGIES CORP Symbol: UTX	Growth Buy	64.940	57.	3,701.58	3,088.54	—
WALGREEN CO Symbol: WAG	Growth Hold	42.840	62.	2,656.08	3,502.98	-755.77
WELLPOINT INC Symbol: WLP	Growth None	76.650	20.	1,533.00	1,508.62	—
WHOLE FOODS MKT INC Symbol: WFMI	Growth None	64.750	21.	1,359.75	2,557.59	-1,737.74
Total stocks				**$103,629.44**	—	—
Total estimated asset value				**$106,768.68**		

5384

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Summary of Your Investment Activity

Total cash and money market funds on Sep 30	$3,396.46
Additions	
Income	$77.73
Proceeds from securities sold	$3,403.72
Total additions	$3,481.45
Subtractions	
Withdrawals to purchase securities	-$3,606.96
Fees	-$131.71
Total subtractions	-$3,738.67
Total cash and money market funds on Oct 27	**$3,139.24**

Detail of Your Investment Activity

Additions

	Type		Date			Days	Rate	Amount	Where Invested
Income	Money market dividends		10/20	MONEY MARKET		32	4.51	13.30	Money market
	Type	**Tax Info.**	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Invested**
	Dividends	Q	10/05	OMNICOM GROUP INC	27.	0.25		$6.75	Money market
		Q	10/16	ECOLAB INC	67.	0.10		6.70	Money market
		Q	10/16	SMITH INTERNATIONAL INC	46.	0.08		3.68	Money market
		Q	10/16	STATE STREET CORP COMMON	32	0.20		6.40	Money market
		Q	10/18	QUEST DIAGNOSTICS INC	49.	0.10		4.90	Money market
		Q	10/23	WHOLE FOODS MARKET INC	21.	0.15		3.15	Money market
		Q	10/25	GENERAL ELECTRIC CO	104.	0.25		26.00	Money market

5385

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Additions, continued

	Type	Tax info.	Date		Quantity	Amount per share	Rate	Amount	Where invested
Income	Dividends	Q	10/27	MEDTRONIC INC	51.	0.11		$5.61	Money market
		Q	10/27	DANAHER CORP	62.	0.02		1.24	Money market
			Total income					**$77.73**	

	Date		Quantity	Amount per share	Trade date	Amount	Where invested
Proceeds from securities sold	10/24	QUALCOMM INC	16.	38.1328		$610.12	Money market
	10/24	PEPSICO INC	11.	63.0128		693.14	Money market
	10/24	HOME DEPOT INC	48.	35.8362		1,720.14	Money market
	10/24	CISCO SYSTEMS INC	16.	23.77		380.32	Money market
	Total proceeds from securities sold					**$3,403.72**	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of funds
Withdrawals to purchase securities	10/24	SANDISK CORP	9.	60.79		-$547.11	Money market
	10/24	GENERAL ELECTRIC CO	13.	35.22		-457.86	Money market
	10/24	AMERICAN EXPRESS CO	45.	57.8219		-2,601.99	Money market
	Total withdrawals to purchase securities					**-$3,606.96**	
Fees	10/13	MANAGED ACCOUNT FEE				-$131.71	Money market
	Total fees					**-$131.71**	

Pending Trades

Purchases	Trade date	Quantity	Price	Amount	Settlement date
SANDISK CORP	10/26/2006	11.000	$50.267	$552.94	10/31/2006

5386

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Pending Trades, continued

Sells	Trade date	Quantity	Price	Amount	Settlement date
PEPSICO INC	10/26/2006	8.000	$63.585	$508.68	10/31/2006

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$0.00	-$810.24
Long term (held over 1 year)	-9.61	255.34
Total	-$9.61	-$554.90

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
CISCO SYSTEMS INC	06/23/2005	10/19	16.000	$321.25	$380.32	$59.07	Long term
HOME DEPOT INC	06/23/2005	10/19	48.000	1,893.98	1,720.14	-173.84	Long term
PEPSICO INC	06/23/2005	10/19	11.000	603.13	693.14	90.01	Long term
QUALCOMM INC	10/14/2005	10/19	16.000	665.01	610.12	-54.89	Long term
PEPSICO INC	06/23/2005	10/26	8.000	438.64	508.68	70.04	Long term

As you requested, copies of your statement have been sent to:

5387

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

This page intentionally left blank

Account number:
Statement type:
October 28 - November 24, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

Value Summary (Held at Edward Jones)

Value on Nov 24	$108,412.59
Value on Oct 28	$106,768.68
Value one year ago	$107,107.28

Summary of Your Assets

Held at Edward Jones	Value on Nov 24	Value on Oct 28	Change in value
Cash & money market	$3,095.32	$3,139.24	-$43.92
Stocks	105,317.27	103,629.44	1,687.83
Total at Edward Jones	**$108,412.59**	$106,768.68	$1,643.91

Summary of Your Income

	This period			Year-to-date		
Income distributions from securities	Taxable	Tax-free	Total	Taxable	Tax-free	Total
Money market dividends	$11.07	—	$11.07	$105.11	—	$105.11
Dividends						
Qualified (Q) - Reduced Tax Eligible	53.84	—	53.84	876.50	—	876.50
Total	$64.91	—	$64.91	$981.61	—	$981.61

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.51%	4.61%	$3,095.32
Total cash and money market funds			$3,095.32

5389

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ABBOTT LABORATORIES Symbol: ABT	Growth & Income Buy	46.950	31.	$1,455.45	$1,512.03	—
ADOBE SYSTEMS INC Symbol: ADBE	Aggressive None	41.540	89.	3,697.06	3,633.75	-880.50
AFLAC INC Symbol: AFL	Growth None	44.310	36.	1,595.16	3,031.89	-1,502.15
AMERICAN EXPRESS CO Symbol: AXP	Growth Buy	59.900	45.	2,695.50	2,601.99	—
AMGEN INC Symbol: AMGN	Growth None	72.500	38.	2,755.00	2,315.71	—
APACHE CORP Symbol: APA	Growth None	65.170	32.	2,085.44	4,741.38	-2,661.97
APPLE COMPUTER INC Symbol: AAPL	Aggressive None	91.630	29.	2,657.27	2,155.57	—
BAKER HUGHES INC Symbol: BHI	Growth None	70.390	25.	1,759.75	1,906.98	—
CHICAGO MERCANTILE EXCHANGE HOLDINGS INC CL A Symbol: CME	Growth None	553.240	7.	3,872.68	2,618.12	-359.24
CISCO SYSTEMS INC Symbol: CSCO	Aggressive Buy	26.840	190.	5,099.60	4,025.14	-380.32
DANAHER CORP Symbol: DHR	Growth None	74.560	62.	4,622.72	3,936.72	-567.31
EBAY INC Symbol: EBAY	Aggressive None	33.390	78.	2,537.64	2,862.57	-450.75
ECOLAB INC Symbol: ECL	Growth None	46.000	67.	3,082.00	2,898.92	-988.75

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
EOG RESOURCES INC Symbol: EOG	Growth None	69.470	31.	$2,153.57	$2,342.92	-$424.48
EXPRESS SCRIPTS INC Symbol: ESRX	Growth None	67.010	18.	1,206.18	2,762.90	-2,791.72
GENERAL ELECTRIC CO Symbol: GE	Growth & Income Buy	35.690	117.	4,175.73	—	—
GENZYME CORP Symbol: GENZ	Aggressive None	65.170	43.	2,802.31	2,744.43	—
JABIL CIRCUIT INC Symbol: JBL	Growth None	29.160	101.	2,945.16	3,118.30	—
JOHNSON CONTROLS INC Symbol: JCI	Growth Hold	83.290	28.	2,332.12	2,225.21	—
KOHLS CORP Symbol: KSS	Growth Hold	71.050	35	2,486.75	2,706.70	-627.06
MCGRAW HILL CO INC Symbol: MHP	Growth Hold	65.530	40.	2,621.20	2,814.66	-1,027.06
MEDTRONIC INC Symbol: MDT	Growth Buy	53.450	51.	2,725.95	2,641.80	—
OMNICOM GROUP INC Symbol: OMC	Growth Buy	102.760	27.	2,774.52	2,520.02	—
PAYCHEX INC Symbol: PAYX	Growth & Income Hold	39.630	80.	3,170.40	2,814.76	—
PEPSICO INC Symbol: PEP	Growth Buy	62.340	51.	3,179.34	3,896.00	-1,201.82
PROCTER & GAMBLE CO Symbol: PG	Growth & Income Buy	63.530	58.	3,684.74	3,380.45	—
QUALCOMM INC Symbol: QCOM	Aggressive Buy	37.580	69.	2,593.02	3,883.87	-610.12

5391

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
QUEST DIAGNOSTICS INC Symbol: DGX	Growth None	52.240	49.	$2,559.76	$3,027.55	-$502.22
SANDISK CORP Symbol: SNDK	Aggressive None	47.780	55.	2,627.90	3,059.39	—
SCRIPPS E W CO CL A Symbol: SSP	Growth None	48.830	31.	1,513.73	1,548.12	—
SLM CORP Symbol: SLM	Growth & Income None	46.000	59.	2,714.00	2,993.66	—
SMITH INTERNATIONAL INCORPOATED Symbol: SII	Growth None	40.800	46.	1,876.80	2,108.70	-829.79
STAPLES INC Symbol: SPLS	Growth None	25.620	65.	1,665.30	2,595.39	-1,269.33
STARBUCKS CORP Symbol: SBUX	Aggressive Sell	36.310	43.	1,561.33	2,350.04	-1,615.17
STATE STREET CORP COMMON Symbol: STT	Growth Hold	63.710	32.	2,038.72	1,848.51	—
STRYKER CORP Symbol: SYK	Growth Buy	52.200	51.	2,662.20	2,449.44	—
THERMO ELECTRON CORP COM Symbol: TMO	Aggressive None	44.470	36.	1,600.92	1,572.54	—
UNITED TECHNOLOGIES CORP Symbol: UTX	Growth Buy	65.990	57.	3,761.43	3,088.54	—
WALGREEN CO Symbol: WAG	Growth Hold	40.660	62.	2,520.92	3,502.98	-755.77

5392

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
WELLPOINT INC	Growth	72.400	20.	$1,448.00	$1,508.62	—
Symbol: WLP	None					
Total stocks				**$105,317.27**	—	—
Total estimated asset value				**$108,412.59**		

Summary of Your Investment Activity

Total cash and money market funds on Oct 28	$3,139.24
Additions	
Income	$64.91
Proceeds from securities sold	$2,574.23
Total additions	$2,639.14
Subtractions	
Withdrawals to purchase securities	-$2,545.62
Fees	-$137.44
Total subtractions	-$2,683.06
Total cash and money market funds on Nov 24	**$3,095.32**

Detail of Your Investment Activity

Additions

	Type	Date		Days	Rate	Amount	Where invested
Income	Money market dividends	11/20	MONEY MARKET	29	4.51	11.07	Money market

5393

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Additions, continued

	Type	Tax info.	Date		Quantity	Amount per share	Rate	Amount	Where invested
Income	Dividends	Q	10/31	EOG RESOURCES INC	31.	0.06		$1.86	Money market
		Q	11/15	PROCTER & GAMBLE CO	58.	0.31		17.98	Money market
		Q	11/15	PAYCHEX INC	80.	0.21		16.80	Money market
		Q	11/15	ABBOTT LABORATORIES	31.	0.295		9.15	Money market
		Q	11/17	BAKER HUGHES INC	25.	0.13		3.25	Money market
		Q	11/22	APACHE CORP	32.	0.15		4.80	Money market
			Total income					**$64.91**	

	Date		Quantity	Amount per share	Trade date	Amount	Where invested
Proceeds from securities sold	10/31	PEPSICO INC	8.	63.585		$508.68	Money market
	11/21	WHOLE FOODS MARKET INC	21.	49.6065		1,041.74	Money market
	11/21	AFLAC INC	23.	44.5133		1,023.81	Money market
	Total proceeds from securities sold					**$2,574.23**	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of funds
Withdrawals to purchase securities	10/31	SANDISK CORP	11.	50.267		-$552.94	Money market
	11/21	THERMO ELECTRON CORP	36.	43.6816		-1,572.54	Money market
	11/21	SANDISK CORP	9.	46.6821		-420.14	Money market
	Total withdrawals to purchase securities					**-$2,545.62**	
Fees	11/15	MANAGED ACCOUNT FEE				-$137.44	Money market
	Total fees					**-$137.44**	

5394

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$0.00	-$810.24
Long term (held over 1 year)	-147.11	108.23
Total	-$147.11	-$702.01

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
AFLAC INC	06/23/2005	11/16	23.000	$991.99	$1,023.81	$31.82	Long term
WHOLE FOODS MARKET INC	06/23/2005	11/16	21.000	1,220.67	1,041.74	-178.93	Long term

As you requested, copies of your statement have been sent to:

5395

201 Progress Parkway
Maryland Heights, MO 63043 3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

This page intentionally left blank

Account number:
Statement type:
November 25 - December 31, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

HAROLD D ROGERS TTEE
U/A DTD 02/09/01
HAROLD D ROGERS REV LIV TRUST

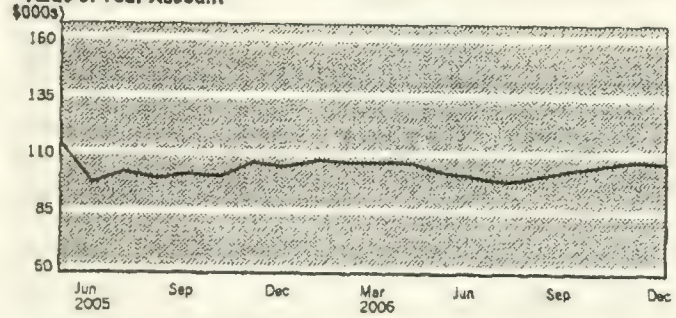
Value Summary (Held at Edward Jones)

Value on Dec 31	$107,802.46
Value on Nov 25	$108,412.59
Value one year ago	$105,922.81

Summary of Your Assets

Held at Edward Jones	Value on Dec 31	Value on Nov 25	Change in value
Cash & money market	$3,043.53	$3,095.32	-$51.79
Stocks	104,758.93	105,317.27	-558.34
Total at Edward Jones	$107,802.46	$108,412.59	-$810.13

Value of Your Account



This chart shows how the value of your account has changed recently
includes only assets held at Edward Jones). While it's important to be aware
f short-term results, don't lose sight of the long term. Edward Jones believes
long-term investment strategy offers the greatest potential for success.

5397

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Summary of Your Income

Income distributions from securities	This period Taxable	Tax-free	Total	Year-to-date Taxable	Tax-free	Total
Money market dividends	$15.63	—	$15.63	$120.74	—	$120.74
Dividends						
Qualified (Q) - Reduced Tax Eligible	66.57	—	66.57	943.07	—	943.07
Total	$82.20	—	$82.20	$1,063.81	—	$1,063.81

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Your Estimated Interest and Dividends

The following is an estimated summary of your interest and dividend income for the next 12 months. It is based on a variety of factors. These factors, including distribution rates and amount of principal invested, are subject to change. Because they are estimates, the specific amounts listed here are not guaranteed by Edward Jones or by the underlying issuer(s) of the securities. Income from items with * is being reinvested.

Cash & money market funds	Quantity	2007 JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	Total
Money Market 4.54%	3,043	11	11	11	11	11	11	11	11	11	11	11	11	132

Stocks	Tax info.													
ABBOTT LABORATORIES	Q	31		9			9			9			9	36
AFLAC INC	Q	36			5			5			5			5	20
AMERICAN EXPRESS CO	Q	45		6			6			6			6		24
APACHE CORP	Q	32		4			4			4			4		16
BAKER HUGHES INC	Q	25		3			3			3			3		12
CHICAGO MERCANTILE EXCHANGE	Q	7			4			4			4			4	16
DANAHER CORP	Q	62	1			1			1			1			4
ECOLAB INC	Q	67	7			7			7			7			28

5398

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks	Tax Info.	Quantity	2007 JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	Total
EOG RESOURCES INC	Q	31	1			1			1			1			4
GENERAL ELECTRIC CO	Q	117	32			32			32			32			128
JABIL CIRCUIT INC	Q	101			7			7			7			7	28
JOHNSON CONTROLS INC	Q	28			9			9			9			9	36
MCGRAW HILL CO INC	Q	40			7			7			7			7	28
MEDTRONIC INC	Q	51	5			5			5			5			20
OMNICOM GROUP INC	Q	27	6			6			6			6			24
PAYCHEX INC	Q	80		16			16			16			16		64
PEPSICO INC	Q	51			15			15			15			15	60
PROCTER & GAMBLE CO	Q	58		17			17			17			17		68
QUALCOMM INC	Q	69			8			8			8			8	32
QUEST DIAGNOSTICS INC	Q	49	4			4			4			4			16
EW SCRIPPS CO CL A	Q	31			3			3			3			3	12
SLM CORP	Q	59			14			14			14			14	56
SMITH INTERNATIONAL INC	Q	48	3			3			3			3			12
STAPLES INC	Q	65				14									14
STATE STREET CORP COMMON	Q	32	6			6			6			6			24
STRYKER CORP	Q	51	5												5
UNITED TECHNOLOGIES CORP	Q	57			15			15			15			15	60
WALGREEN CO	Q	62			4			4			4			4	16
Total			**51**	**66**	**102**	**90**	**66**	**102**	**76**	**66**	**102**	**76**	**66**	**102**	**995**

5399

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.54%	4.65%	$3,043.53
Total cash and money market funds			$3,043.53

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ABBOTT LABORATORIES Symbol: ABT	Growth & Income Buy	48.710	31.	$1,510.01	$1,512.03	—
ADOBE SYSTEMS INC Symbol: ADBE	Aggressive None	41.120	89.	3,659.68	3,633.75	-880.50
AFLAC INC Symbol: AFL	Growth None	46.000	36.	1,656.00	3,031.89	-1,502.15
AMERICAN EXPRESS CO Symbol: AXP	Growth Buy	60.670	45.	2,730.15	2,601.99	—
AMGEN INC Symbol: AMGN	Growth None	68.310	38.	2,595.78	2,315.71	—
APACHE CORP Symbol: APA	Growth None	66.510	32.	2,128.32	4,741.38	-2,661.97
APPLE COMPUTER INC Symbol: AAPL	Aggressive None	84.840	29.	2,460.36	2,155.57	—
BAKER HUGHES INC Symbol: BHI	Growth None	74.660	25.	1,866.50	1,906.98	—
CHICAGO MERCANTILE EXCHANGE HOLDINGS INC CL A Symbol: CME	Growth None	509.750	7.	3,568.25	2,618.12	-359.24

5400

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
CISCO SYSTEMS INC Symbol: CSCO	Aggressive Buy	27.330	190.	$5,192.70	$4,025.14	-$380.32
DANAHER CORP Symbol: DHR	Growth None	72.440	62.	4,491.28	3,936.72	-587.31
EBAY INC Symbol: EBAY	Aggressive None	30.070	76	2,285.32	2,862.57	-450.75
ECOLAB INC Symbol: ECL	Growth None	45.200	67.	3,028.40	2,898.92	-988.75
EOG RESOURCES INC Symbol: EOG	Growth None	62.450	31.	1,935.95	2,342.92	-424.48
EXPRESS SCRIPTS INC Symbol: ESRX	Growth None	71.600	18.	1,288.80	2,762.90	-2,791.72
GENERAL ELECTRIC CO Symbol: GE	Growth & Income Buy	37.210	117.	4,353.57	—	—
GENZYME CORP Symbol: GENZ	Aggressive None	61.580	43.	2,647.94	2,744.43	—
JABIL CIRCUIT INC Symbol: JBL	Growth None	24.550	101.	2,479.55	3,118.30	—
JOHNSON CONTROLS INC Symbol: JCI	Growth Hold	85.920	28.	2,405.76	2,225.21	—
KOHLS CORP Symbol: KSS	Growth Hold	68.430	35	2,395.05	2,706.70	-627.06
MCGRAW HILL CO INC Symbol: MHP	Growth Hold	68.020	40.	2,720.80	2,814.66	-1,027.06
MEDTRONIC INC Symbol: MDT	Growth Buy	53.510	51.	2,729.01	2,641.80	—
OMNICOM GROUP INC Symbol: OMC	Growth Buy	104.540	27.	2,822.58	2,520.02	—

5401

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
PAYCHEX INC Symbol: PAYX	Growth Hold	39.540	80.	$3,163.20	$2,614.76	—
PEPSICO INC Symbol: PEP	Growth Buy	62.550	51.	3,190.05	3,896.00	-1,201.82
PROCTER & GAMBLE CO Symbol: PG	Growth & Income Buy	64.270	58.	3,727.66	3,380.45	—
QUALCOMM INC Symbol: QCOM	Aggressive Buy	37.790	69.	2,607.51	3,883.87	-610.12
QUEST DIAGNOSTICS INC Symbol: DGX	Growth None	53.000	49.	2,597.00	3,027.55	-502.22
SANDISK CORP Symbol: SNDK	Aggressive None	43.030	55.	2,366.65	3,059.39	—
SCRIPPS E W CO CL A Symbol: SSP	Growth None	49.940	31.	1,548.14	1,548.12	—
SLM CORP Symbol: SLM	Growth None	48.770	59.	2,877.43	2,993.66	—
SMITH INTERNATIONAL INCORPOATED Symbol: SII	Growth None	41.070	46.	1,889.22	2,108.70	-829.79
STAPLES INC Symbol: SPLS	Growth None	26.700	65.	1,735.50	2,595.39	-1,269.33
STARBUCKS CORP Symbol: SBUX	Aggressive Sell	35.420	43.	1,523.06	2,350.04	-1,615.17
STATE STREET CORP COMMON Symbol: STT	Growth Hold	67.440	32.	2,158.08	1,848.51	—
STRYKER CORP Symbol: SYK	Growth Buy	55.110	51.	2,810.61	2,449.44	—

5402

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
THERMO ELECTRON CORP COM Symbol: TMO	Aggressive None	45.290	36.	$1,630.44	$1,572.54	—
UNITED TECHNOLOGIES CORP Symbol: UTX	Growth Buy	62.520	57.	3,563.64	3,088.54	—
WALGREEN CO Symbol: WAG	Growth Hold	45.890	62.	2,845.18	3,502.98	-755.77
WELLPOINT INC Symbol: WLP	Growth None	78.690	20.	1,573.80	1,508.62	—
Total stocks				**$104,758.93**	—	—
Total estimated asset value				**$107,802.46**		

Summary of Your Investment Activity

Total cash and money market funds on Nov 25	$3,095.32
Additions	
Income	$82.20
Total additions	$82.20
Subtractions	
Fees	-$133.99
Total subtractions	-$133.99
Total cash and money market funds on Dec 31	**$3,043.53**

5403

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Detail of Your Investment Activity

Additions

	Type		Date			Days	Rate	Amount	Where Invested
Income	Money market dividends		12/29	MONEY MARKET		41	4.53	15.63	Money market
	Type	**Tax Info.**	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Invested**
	Dividends	Q	12/01	AFLAC INC	59.	0.16		$9.44	Money market
		Q	12/01	JABIL CIRCUIT INC	101.	0.07		7.07	Money market
		Q	12/08	EW SCRIPPS CO CL A	31.	0.12		3.72	Money market
		Q	12/11	UNITED TECHNOLOGIES CORP	57.	0.265		15.11	Money market
		Q	12/12	MCGRAW HILL CO INC	40.	0.1815		7.26	Money market
		Q	12/12	WALGREEN CO	62.	0.0775		4.81	Money market
		Q	12/15	SLM CORP	59.	0.25		14.75	Money market
		Q	12/26	CHICAGO MERCANTILE EXCHANGE	7.	0.63		4.41	Money market
			Total income					**$82.20**	

Subtractions

	Date		Amount	Source of Funds
Fees	12/14	MANAGED ACCOUNT FEE	-$133.99	Money market
	Total fees		**-$133.99**	

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$0.00	-$810.24
Long term (held over 1 year)	0.00	108.23
Total	**$0.00**	**-$702.01**

5404

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

As you requested, copies of your statement have been sent to:

5405

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

This page intentionally left blank

Edward Jones

Payer's Federal Identification Number:

Edward Jones Account Number:

(Includes 1099-INT.)

Recipient's Identification Number:

FIGURES ARE FINAL

Printed on January 14th, 2007

Investment Representative:

Telephone Number:

Recipient's Name
and Address:

00010883 02 AV 0.530 02 TR 00068 EJTCA141 10000

HAROLD D ROGERS TRUSTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REVOCABLE
LIVING TRUST

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

2006 INTEREST INCOME - 2006 Statement for Recipient (Group No. 185-012)

Box 1	Interest Income	7.01
Box 2	Early Withdrawal Penalty	.00
Box 3	Interest on U.S. Savings Bonds and Treasury Obligations	.00
Box 4	**Federal Income Tax Withheld**	.00
Box 8	Tax-Exempt Interest	.00
Box 9	Specified Private Activity Bond Interest	.00

Box 8 includes tax-exempt municipal interest and dividends from Regulated Investment Companies (RICs). Box 9 shows the amount in Box 8 that is subject to Alternative Minimum Tax (AMT).

Edward Jones

Payer's Federal Identification Number:

SUMMARY OF INVESTMENT INCOME

Edward Jones Account Number:

FIGURES ARE FINAL

Printed on January 14th, 2007

Recipient's Identification Number:

Recipient's Name: HAROLD D ROGERS TRUSTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REVOCABLE
LIVING TRUST

THIS IS NOT A 1099 FORM ..... It is a summary of the income you received from your Edward Jones account in 2006. For a complete description of each activity, please refer to your statement for that period. If you have any questions, please contact your Edward Jones Investment Representative.

2006 Date	Description	CUSIP No.	Amount in 2006
[redacted]			
01/23	INTEREST ON CREDIT BALANCE	006990105	.05
02/21	INTEREST ON CREDIT BALANCE	006990105	.02
10/23	INTEREST ON CREDIT BALANCE	006990105	6.94
	Total Taxable Interest on Bonds, CDs, etc. (Box 1 on 1099-INT):		**7.01**

******* PLEASE REFER TO ENCLOSED TAX REPORTING GUIDE FOR FURTHER EXPLANATION OF HOW THIS *******
SUPPLEMENTAL INFORMATION AFFECTS YOUR TAX RETURN.

Thank you for doing business with Edward Jones. This is the end of your 2006 tax reporting information. If you have any questions concerning any matter, *especially errors or omissions*, contact at immediately or the Edward Jones Tax Hotline at

Edward Jones

Instructions for Recipient of 1099-INT

Account Number. May show an account or other unique number the payer assigned to distinguish your account.
Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds and Gulf bonds that must be included in your interest income. These amounts were treated as paid to you during 2008 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912, Clean Renewable energy Bond and Gulf Bond Credit.
Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Form 1040 to see where to take the deduction.
Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not be all taxable. See Pub. 550, Investment Income and Expenses. This interest is exempt from state and local income taxes. This interest is not included in box 1.
Box 4. Shows backup withholding. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.
Box 5. Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1.
Box 6. Shows foreign tax paid. You may be able to claim the tax as a deduction or a credit on your Form 1040. See your Form 1040 instructions.
Box 8. Shows tax-exempt interest, including exempt-interest dividends from a mutual fund or other regulated investment company, paid to you during the calendar year by the payer. Report this amount on line 8a of Form 1040 or Form 1040A. This amount may be subject to backup withholding. See box 4.
Box 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the instructions for Form 6251, Alternative Minimum Tax - Individuals.
Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient". File Form(s) 1099-INT with Form 1096, Annual Summary and Transmittal of U.S. Information Returns, with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer". A husband or wife is not required to file a nominee return to show amounts owned by the other.

Instructions for Recipient of 1099-DIV

Account Number. May show an account or other unique number the payer assigned to distinguish your account.
Box 1a. Shows total ordinary dividends that are taxable. Include this amount on line 9a of Form 1040 or 1040A. Also, report it on Schedule B (Form 1040) or Schedule 1 (Form 1040A), if required. The amount shown may be a distribution from an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040A but treat it as a plan distribution, not as investment income, for any other purpose.
Box 1b. Shows the portion of the amount in box 1a that may be eligible for the 15% or 5% capital gains rates. See the form 1040/1040A instructions for how to determine this amount. Report the eligible amount on line 9b, Form 1040 or 1040A.
Box 2a. Shows total capital gain distributions (long-term) from a regulated investment company or real estate investment trust. Report the amounts shown in box 2a on Schedule D (Form 1040), line 13. But, if no amount is shown in boxes 2c-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on line 13 of Form 1040 (line 10 of Form 1040A) rather than Schedule D. See the Form 1040/1040A instructions.
Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. Report this amount on the Unrecaptured Section 1250 Gain Worksheet - Line 19 in Schedule D Instructions (Form 1040).
Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to a 50% exclusion. See the Schedule D (Form 1040) instructions.
Box 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet - Line 18 in the instructions for Schedule D (Form 1040).
Box 3. Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub. 550, Investment Income and Expenses.
Box 4. Shows backup withholding. For example, a payer must backup withhold on certain payments at a 28% rate if you did not give your taxpayer identification number to the payer. See form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.
Box 6. Shows the foreign tax you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.
Box 8 and 9. Shows cash and noncash liquidation distributions.
Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A husband or wife is not required to file a nominee return to show amounts owned by the other. See the 2008 General Instructions for Forms 1099, 1098, 5498 and W-2G.

Instructions for Recipient of 1099-OID

Original Issue Discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID is taxable as interest over the life span of the obligation. If you are the holder of an OID obligation, generally you must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-indexed securities. See Pub. 550, Investment Income and Expenses for more information.
If, as the record holder, you receive Form 1099-OID showing amounts belonging to another person, you are considered a nominee recipient. Complete a Form 1099-OID for each of the other owners showing the amounts allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner as the "recipient". File Form(s) 1099-OID with Form 1096, Annual summary and Transmittal of U.S. Information Returns, with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer". A husband or wife is not required to file a nominee return to show amounts owned by the other. If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.
Account number. May show an account or other unique number the payer assigned to distinguish your account.
Box 1. Shows the OID on the obligation for the part of the year you owned it. Report the amount in box 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors, (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212, Guide to Original Issue Discount (OID) Instruments for details on how to figure the correct OID.
Box 2. Shows other interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount in both boxes 2 and 8, the amount in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes.
Box 4. Shows backup withholding. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.
Box 5. Shows the identification number (CUSIP number) or description of the obligation. The description may include the stock exchange, issuer, coupon rate, and year of maturity.
Box 6. Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return, and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 1.
Box 7. Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 2.

Instructions for Recipient of 1099-B

Brokers and barter exchanges must report proceeds from transactions to you and the IRS on Form 1099-B by January 31 of the year following the calendar year of the transaction. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a change in control or a substantial change in capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in the box below your name and address on Form 1099-B.
Account number. May show an account or other unique number the payer assigned to distinguish your account.
Box 1a. Shows the trade date of the transaction. For aggregate reporting, no entry will be present.
Box 1b. For broker transactions, may show the CUSIP (Committee on Uniform Security Identification Procedures) number of the item reported.
Box 2. Shows the aggregate proceeds from transactions involving stocks, bonds, other debt obligations, commodities, or forward contracts. Losses on forward contracts and changes in control or substantial change in capital structure are shown in parentheses. This box does not include proceeds from regulated futures contracts. The broker must indicate whether gross proceeds or gross proceeds less commissions and option premiums were reported to the IRS. Report this amount on Schedule D (Form 1040), Capital Gains and Losses. However, if box 12 is checked, you cannot take a loss on your tax return based on gross proceeds from an acquisition of control or substantial change in capital structure reported in box 2. Do not report this loss on Schedule D (Form 1040). The broker should advise you of any losses on a separate statement.
Box 4. Shows backup withholding. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.
Box 7. Shows a brief description of the item or service for which the proceeds or bartering income is being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown.

Instructions for Recipient of 1099-MISC

Account Number. May show an account or other unique number the payer assigned to distinguish your account.
Amounts shown may be subject to self-employment (SE) tax. If your net income from self-employment is $400 or more, you must file a return and compute your SE tax on Schedule SE (Form 1040). See Pub. 334, Tax Guide for Small Business, for more information. If no income or social security and Medicare taxes were withheld and you are still receiving these payments, see Form 1040-ES, Estimated Tax for Individuals. Individuals must report as explained below. Corporations, fiduciaries, or partnerships report the amounts on the proper line of your tax return.
Boxes 1 and 2. Report rents from real estate on Schedule E (Form 1040). If you provided significant services to the tenant, sold real estate as a business, or rented personal property as a business, report on Schedule C or C-EZ (Form 1040). For royalties on timber, coal and iron ore, see Pub. 544, Sales and Other Dispositions of Assets.
Box 3. Generally, report the amount on the "Other income" line of Form 1040 and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525, Taxable and Nontaxable Income. If it is trade or business income, report this amount on Schedule C, C-EZ or F (Form 1040).
Box 4. Shows backup withholding or withholding on Indian gaming profits. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number. See Form W-9, Request for Taxpayer Identification Number and Certification, for more information. Report the amount on your income tax return as tax withheld.

Account number: :
Statement type:
January 1 - January 27, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TRUSTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REVOCABLE
LIVING TRUST

Value Summary (Held at Edward Jones)

Value on Jan 27	$0.05
Value on Jan 1	$332.70
Value one year ago	$452,827.67

Summary of Your Assets

Held at Edward Jones	Value on Jan 27	Value on Jan 1	Change in value
Cash & money market	$0.05	$332.70	-$332.65
Total at Edward Jones	**$0.05**	$332.70	-$332.65

Summary of Your Income

Income distributions from securities	This period Taxable	Tax-free	Total	Year-to-date Taxable	Tax-free	Total
Interest	$0.05	—	$0.05	$0.05	—	$0.05
Total	$0.05	—	$0.05	$0.05	—	$0.05

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Cash			$0.05
Total cash and money market funds			$0.05

5411

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Investment Activity

Total cash and money market funds on Jan 01	$332.70
Additions	
Income	$0.05
Total additions	$0.05
Subtractions	
Other withdrawals and transfers out	-$332.70
Total subtractions	-$332.70
Total cash and money market funds on Jan 27	**$0.05**

Detail of Your Investment Activity

Additions

	Type	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Income	Interest	01/23	ON AVERAGE BALANCE OF $332.70 AT 0.250% FROM 12-30-05 TO 01-20-06				$0.05	Cash Balance
		Total income					**$0.05**	

Subtractions

	Date		Amount	Source of Funds
Other withdrawals and transfers out	01/26	TRANSFER TO	-$332.70	Cash Balance
	Total other withdrawals and transfers out		**-$332.70**	

5412

201 Progress Parkway
Maryland Heights, MO 63043 3042
www.edwardjones.com
Member SIPC

Edward Jones

As you requested, copies of your statement have been sent to:

5413

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

This page intentionally left blank

Account number: -
Statement type:
January 28 - February 24, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TRUSTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REVOCABLE
LIVING TRUST

Value Summary (Held at Edward Jones)

Value on Feb 24	$0.02
Value on Jan 28	$0.05
Value one year ago	$460,151.65

Summary of Your Assets

Held at Edward Jones	Value on Feb 24	Value on Jan 28	Change in value
Cash & money market	$0.02	$0.05	-$0.03
Total at Edward Jones	$0.02	$0.05	-$0.03

Summary of Your Income

	This period			Year-to-date		
Income distributions from securities	Taxable	Tax-free	Total	Taxable	Tax-free	Total
Interest	$0.02	—	$0.02	$0.07	—	$0.07
Total	$0.02	—	$0.02	$0.07	—	$0.07

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Cash			$0.02
Total cash and money market funds			$0.02

5415

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Investment Activity

Total cash and money market funds on Jan 28	$0.05
Additions	
Income	$0.02
Total additions	$0.02
Subtractions	
Other withdrawals and transfers out	-$0.05
Total subtractions	-$0.05
Total cash and money market funds on Feb 24	**$0.02**

Detail of Your Investment Activity

Additions

	Type	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Income	Interest	02/21	ON AVERAGE BALANCE OF $53.67 AT 0.500% FROM 01-21-06 TO 02-20-06				$0.02	Cash Balance
		Total income					**$0.02**	

Subtractions

	Date		Amount	Source of Funds
Other withdrawals and transfers out	02/02	TRANSFER TO	-$0.05	Cash Balance
	Total other withdrawals and transfers out		**-$0.05**	

5416

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

As you requested, copies of your statement have been sent to:

5417

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

This page intentionally left blank

Account number:
Statement type:
February 25 - March 31, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TRUSTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REVOCABLE
LIVING TRUST

Value Summary (Held at Edward Jones)

Value on Mar 31	—
Value on Feb 25	$0.02
Value one year ago	$454,398.69

Summary of Your Assets

Held at Edward Jones	Value on Mar 31	Value on Feb 25	Change in value
Cash & money market	—	$0.02	-$0.02
Total at Edward Jones	**$0.00**	$0.02	-$0.02

Summary of Your Income

	This period			Year-to-date		
Income distributions from securities	**Taxable**	**Tax-free**	**Total**	**Taxable**	**Tax-free**	**Total**
Interest	—	—	—	$0.07	—	$0.07
Total	—	—	—	$0.07	—	$0.07

Summary of Your Investment Activity

Total cash and money market funds on Feb 25	$0.02
Subtractions	
Other withdrawals and transfers out	-$0.02
Total subtractions	-$0.02
Total cash and money market funds on Mar 31	**$0.00**

5419

Account number:
Statement type:
February 25 - March 31, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Detail of Your Investment Activity

Subtractions

	Date		Amount	Source of Funds
Other withdrawals and transfers out	03/02	TRANSFER TO	-0.02	Cash Balance
		Total other withdrawals and transfers out	-$0.02	

As you requested, copies of your statement have been sent to:

5420

Edward Jones

Payer's Federal Identification Number :

Edward Jones Account Number :

Investment Representative :

Telephone Number :

FIGURES ARE FINAL

Printed on February 23rd, 2007

Recipient's Name
and Address :

00000994 02 MB 0.563 02 TR 00006 EJTDA351 10010

HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TRUST

Re : 2006 Consolidated 1099 Tax Statement from Edward Jones

Thank you for doing business with Edward Jones. You recently received preliminary tax reporting figures from Edward Jones, with notification that additional tax reporting information would follow.

We have been notified that there are no adjustments required for securities held in your Edward Jones account. This package duplicates the information you previously received. We expect no further updates to your Form(s) 1099. The adjustments were made for the following reasons: :

(017) The dividend paid to your account in 2007 is reportable in 2006.

(021) The foreign taxes reported in your account in 2007 are reportable in 2006.

This information will be reported to the Internal Revenue Service by Edward Jones as required by law. We recommend that you consult your tax preparer regarding the preparation of your individual tax return. If you need further information, please contact our **Tax Hotline** at or your local branch office

Sincerely,

Norman L. Eaker

Norman L. Eaker
Principal, Operations

Edward Jones

Payer's Federal Identification Number:

Edward Jones Account Number:

Recipient's Identification Number:

2006 CONSOLIDATED 1099 STATEMENT

(includes 1099-DIV, 1099-B.)

FIGURES ARE FINAL

Printed on February 23rd, 2007

Recipient's Name and Address:

HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TRUST

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

1099-DIV - DIVIDEND DISTRIBUTIONS - 2006 Statement for recipients

(OMB NO. 1545-0110)

Box 1a	Ordinary Dividends (including short-term capital gains)	3,036.73
Box 1b	Qualified Dividends	2,944.68
Box 2a	Total Capital Gain Distr.	.00
Box 2b	Unrecap. Sec. 1250 Gain	.00
Box 3	Nontaxable Distributions	70.14
Box 4	***Federal Income Tax Withheld***	***.00***
Box 6	Foreign Tax Paid	297.87

Edward Jones

Payer's Federal Identification Number:

Edward Jones Account Number:

Recipient's Identification Number:

2006 CONSOLIDATED 1099 STATEMENT

(Includes 1099-DIV, 1099-B.)

FIGURES ARE FINAL

Printed on February 23rd, 2007

Recipient's Name and Address:

HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TRUST

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

1099-B - PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (Cont. No. 545-1715)

Activity Type	Quantity/ CUSIP No. (Box 1b)	Date Of Sale (Box 1a)	Description (Box 7)	Stocks, Bonds, etc. Gross Proceeds Less Commissions (Box 2)	Federal Income Tax Withheld (Box 4)
Sells	1 041232109	06/01	ARKEMA	35.40	.00
Sells	54 191085208	04/20	COCA COLA AMATIL LTD	581.06	.00
Sells	29 191085208	06/13	COCA COLA AMATIL LTD	301.60	.00
Sells	17 191085208	06/29	COCA COLA AMATIL LTD	173.86	.00
Sells	13 191085208	08/29	COCA COLA AMATIL LTD	127.50	.00
Sells	20 191085208	08/30	COCA COLA AMATIL LTD	197.60	.00
Sells	29 191085208	11/13	COCA COLA AMATIL LTD	317.55	.00
Sells	46 191085208	11/22	COCA COLA AMATIL LTD	510.60	.00
Sells	5 25243Q205	04/10	DIAGEO PLC	314.23	.00
Sells	21 358029106	01/11	FRESENIUS MED CARE	753.58	.00
Sells	11 399449107	05/18	GROUPE DANONE	281.22	.00
Sells	23 399449107	12/04	GROUPE DANONE	757.94	.00
Sells	2 485537302	05/18	KAO CORP	534.00	.00
Sells	2 485537302	11/28	KAO CORP	534.35	.00
Sells	3 485537302	11/29	KAO CORP	800.08	.00
Sells	2 485537302	11/30	KAO CORP	535.67	.00
Sells	3 485537302	12/01	KAO CORP	806.08	.00
Sells	6 501556203	02/21	KYOCERA CORP	517.98	.00

Edward Jones

Payer's Federal Identification Number:

Edward Jones Account Number:

Recipient's Identification Number:

2006 CONSOLIDATED 1099 STATEMENT

(Includes 1099-DIV, 1099-B.)

FIGURES ARE FINAL

Printed on February 23rd, 2007

Recipient's Name and Address:

HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TRUST

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Activity Type	Quantity/ CUSIP No. (Box 1b)	Date Of Sale (Box 1a)	Description (Box 7)	Stocks, Bonds, etc. Gross Proceeds Less Commissions (Box 2)	Federal Income Tax Withheld (Box 4)
Sells	19 502117203	03/09	L OREAL CO	334.89	.00
Sells	9 505861401	07/05	LAFARGE S A	273.60	.00
Sells	14 55068R202	03/15	LUXOTTICA GROUP S P A	401.13	.00
Sells	9 55068R202	10/30	LUXOTTICA GROUP S P A	272.29	.00
Sells	3 641069406	01/24	NESTLE S A	223.20	.00
Sells	4 641069406	04/20	NESTLE S A	300.60	.00
Sells	4 65334H102	04/21	NEXEN INC	239.06	.00
Sells	5 670100205	03/17	NOVO-NORDISK A S	315.14	.00
Sells	9 670100205	07/05	NOVO-NORDISK A S	555.71	.00
Sells	7 670100205	07/06	NOVO-NORDISK A S	432.19	.00
Sells	9 670100205	07/07	NOVO-NORDISK A S	565.86	.00
Sells	10 670100205	07/10	NOVO-NORDISK A S	631.73	.00
Sells	10 780259206	03/07	ROYAL DUTCH SHELL PLC	604.40	.00
Sells	9 780259206	04/12	ROYAL DUTCH SHELL PLC	589.60	.00
Sells	4 835699307	02/02	SONY CORP	194.75	.00
			TOTAL	14,014.45	.00

Edward Jones

Payer's Federal Identification Number:

Edward Jones Account Number:

Recipient's Identification Number:

COST BASIS SUMMARY

FIGURES ARE FINAL

Printed on February 23rd, 2007

Recipient's Name: HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TRUST

THIS IS NOT A 1099 FORM AND IS NOT REPORTED TO THE IRS. It is a summary of the cost basis information for most of the transactions reported on your Form 1099-B. Please note that in the table below, the LT indicates a long-term gain/(loss), ST indicates short-term gain/(loss), and a UN indicates the holding period could not be determined. **Cost basis information may be from outside sources or provided by clients and has not been verified for accuracy. It should not be relied upon for tax preparation purposes without independent verification by your tax advisor.** The Average Cost method is used to calculate the cost basis for open-end mutual funds. The FIFO (first-in, first-out) method is used for all other securities. Cost basis adjustments for spin-offs, wash sale and return of capital rules may not have been applied. If any other method of calculating cost basis has been used for your tax preparation, do not rely on the following figures.

Quantity	Description	Date Acquired	Date Sold	Proceeds (Sale Price)	Cost Basis	Gain/(Loss)
1	ARKEMA	06/27/2005	06/01/2006	35.40	32.56	2.84 ST
54	COCA COLA AMATIL LTD	06/27/2005	04/20/2006	581.06	664.20	(83.14) ST
29	COCA COLA AMATIL LTD	06/27/2005	06/13/2006	301.60	356.70	(55.10) ST
17	COCA COLA AMATIL LTD	06/27/2005	06/29/2006	173.86	209.10	(35.24) LT
13	COCA COLA AMATIL LTD	06/27/2005	08/29/2006	127.50	159.90	(32.40) LT
20	COCA COLA AMATIL LTD	06/27/2005	08/30/2006	197.60	246.00	(48.40) LT
29	COCA COLA AMATIL LTD	06/27/2005	11/13/2006	317.55	356.70	(39.15) LT
46	COCA COLA AMATIL LTD	06/27/2005	11/22/2006	510.60	565.80	(55.20) LT
5	DIAGEO PLC	06/27/2005	04/10/2006	314.23	296.75	17.48 ST
21	FRESENIUS MED CARE	06/27/2005	01/11/2006	753.58	590.72	162.86 ST
11	GROUPE DANONE	06/27/2005	05/18/2006	281.22	193.16	88.06 ST
23	GROUPE DANONE	06/27/2005	12/04/2006	757.94	403.88	354.06 LT
2	KAO CORP	06/27/2005	05/18/2006	534.00	474.80	59.20 ST
2	KAO CORP	06/27/2005	11/28/2006	534.35	474.80	59.55 LT
3	KAO CORP	06/27/2005	11/29/2006	800.08	712.20	87.88 LT
2	KAO CORP	Various	11/30/2006	535.67	484.45	51.22 LT
3	KAO CORP	Various	12/01/2006	806.08	726.25	79.83 LT
6	KYOCERA CORP	06/27/2005	02/21/2006	517.98	450.60	67.38 ST
19	L OREAL CO	06/27/2005	03/09/2006	334.89	270.75	64.14 ST
9	LAFARGE S A	09/21/2005	07/05/2006	273.60	201.06	72.54 ST

Edward Jones

Payer's Federal Identification Number:

Edward Jones Account Number:

Recipient's Identification Number:

COST BASIS SUMMARY

FIGURES ARE FINAL

Printed on February 23rd, 2007

Recipient's Name: HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TRUST

Quantity	Description	Date Acquired	Date Sold	Proceeds (Sale Price)	Cost Basis	Gain/(Loss)
14	LUXOTTICA GROUP S P A	06/27/2005	03/15/2006	401.13	284.48	116.65 ST
9	LUXOTTICA GROUP S P A	06/27/2005	10/30/2006	272.29	182.88	89.41 LT
3	NESTLE S A	06/27/2005	01/24/2006	223.20	194.25	28.95 ST
4	NESTLE S A	06/27/2005	04/20/2006	300.60	259.00	41.60 ST
4	NEXEN INC	06/27/2005	04/21/2006	239.06	127.04	112.02 ST
5	NOVO-NORDISK A S	06/27/2005	03/17/2006	315.14	255.10	60.04 ST
9	NOVO-NORDISK A S	06/27/2005	07/05/2006	555.71	459.18	96.53 LT
7	NOVO-NORDISK A S	06/27/2005	07/06/2006	432.19	357.14	75.05 LT
9	NOVO-NORDISK A S	06/27/2005	07/07/2006	565.86	459.18	106.68 LT
10	NOVO-NORDISK A S	06/27/2005	07/10/2006	631.73	510.20	121.53 LT
10	ROYAL DUTCH SHELL PLC	06/27/2005	03/07/2006	604.40	644.50	(40.10) ST
9	ROYAL DUTCH SHELL PLC	06/27/2005	04/12/2006	589.60	580.05	9.55 ST
4	SONY CORP	--	02/02/2006	194.75	---	---
			TOTAL LT GAIN			1,121.74
			TOTAL LT LOSS			(210.39)
			NET LT			911.35
			TOTAL ST GAIN			903.31
			TOTAL ST LOSS			(178.34)
			NET ST			724.97
			NET GAIN/(LOSS)			1,636.32
			UNCOSTED PROCEEDS			194.75

Edward Jones

Payer's Federal Identification Number :

Edward Jones Account Number :

Recipient's Identification Number :

SUMMARY OF INVESTMENT INCOME

FIGURES ARE FINAL

Printed on February 23rd, 2007

Recipient's Name : HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TRUST

THIS IS NOT A 1099 FORM ..... It is a summary of the income you received from your Edward Jones account in 2006. For a complete description of each activity, please refer to your statement for that period. If you have any questions, please contact your Edward Jones Investment Representative.

2006 Date	Description	CUSIP No.	Amount In 2006
DIVIDEND INCOME - Taxable			
06/08	AIR LIQUIDE	009126202	81.54
07/31	ANGLO IRISH BK CORP PLC	035058106	11.14
06/06	AXA SA	054536107	128.89
03/14	BP P L C	055622104	21.94
06/06	BP P L C	055622104	21.94
09/06	BP P L C	055622104	22.99
12/06	BP P L C	055622104	22.99
02/09	BANCO SANTANDER CENT HISPANO S	05964H105	29.69
05/11	BANCO SANTANDER CENT HISPANO S	05964H105	45.83
08/09	BANCO SANTANDER CENT HISPANO S	05964H105	36.11
11/09	BANCO SANTANDER CENT HISPANO S	05964H105	36.14
01/30	BANK OF NOVA SCOTIA	064149107	17.51
04/27	BANK OF NOVA SCOTIA	064149107	20.09
07/31	BANK OF NOVA SCOTIA	064149107	25.43
10/30	BANK OF NOVA SCOTIA	064149107	25.64
05/30	CADBURY SCHWEPPES P L C	127209302	35.30
10/23	CADBURY SCHWEPPES P L C	127209302	17.26
04/10	CANON INC	138006309	21.57
09/05	CANON INC	138006309	19.42
04/17	COCA COLA AMATIL LTD	191085208	51.82
10/16	COCA COLA AMATIL LTD	191085208	23.24
04/13	DIAGEO PLC	25243Q205	43.54
11/02	DIAGEO PLC	25243Q205	67.39
05/08	ERICSSON L M TEL CO	294821608	32.73
05/30	ESSILOR INTL	297284200	42.94
08/07	ESSILOR INTL	297284200	.55
06/27	FOMENTO ECONOMICO MEXICANO	344419106	13.16
03/15	FRESENIUS MED CARE	358029106	3.54
05/18	FRESENIUS MED CARE	358029106	48.64
12/31	FUJIFILM HLDGS CORP Adjusted 01/09/07 For Reason 017	35958N107	6.36
07/25	FUJI PHOTO FILM LTD	359586302	6.40
01/06	GLAXOSMITHKLINE PLC	37733W105	17.40
04/07	GLAXOSMITHKLINE PLC	37733W105	23.89
07/07	GLAXOSMITHKLINE PLC	37733W105	19.27
10/06	GLAXOSMITHKLINE PLC	37733W105	21.94
05/26	GROUPE DANONE	399449107	48.01
01/23	HSBC HLDGS PLC	404280406	29.40
05/15	HSBC HLDGS PLC	404280406	65.10
07/07	HSBC HLDGS PLC	404280406	31.50
10/06	HSBC HLDGS PLC	404280406	31.50
06/09	HOYA CORP	443251103	9.79
11/30	HOYA CORP	443251103	14.42
05/12	ING GROEP N V	456837103	87.47
08/25	ING GROEP N V	456837103	82.19
07/11	KAO CORP	485537302	25.86

Edward Jones

Payer's Federal Identification Number:

Edward Jones Account Number:

Recipient's Identification Number:

SUMMARY OF INVESTMENT INCOME

FIGURES ARE FINAL

Printed on February 23rd, 2007

Recipient's Name: HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TRUST

2006 Date	Description	CUSIP No.	Amount In 2006
DIVIDEND INCOME - Taxable			
12/11	KAO CORP	485537302	22.16
07/11	KYOCERA CORP	501556203	11.90
12/19	KYOCERA CORP	501556203	11.83
06/02	L OREAL CO	502117203	34.73
07/10	LAFARGE S A	505861401	37.83
06/30	LUXOTTICA GROUP S P A	55068R202	35.10
07/03	LUXOTTICA GROUP S P A	55068R202	-35.10
07/03	LUXOTTICA GROUP S P A	55068R202	44.72
03/21	MANULIFE FINANCIAL CORP	56501R106	11.38
06/20	MANULIFE FINANCIAL CORP	56501R106	14.30
09/20	MANULIFE FINANCIAL CORP	56501R106	14.32
12/20	MANULIFE FINANCIAL CORP	56501R106	22.46
07/25	NATIONAL AUSTRALIA BANK	632525408	65.55
12/26	NATIONAL AUSTRALIA BANK	632525408	69.11
05/17	NESTLE S A	641069406	78.26
05/19	NESTLE S A	641069406	78.11
05/19	NESTLE S A	641069406	-78.26
01/04	NEXEN INC	65334H102	2.36
04/05	NEXEN INC	65334H102	2.34
07/06	NEXEN INC	65334H102	2.29
10/03	NEXEN INC	65334H102	2.27
04/04	NOVARTIS AG	66987V109	50.84
03/22	NOVO-NORDISK A S	670100205	38.43
05/23	REED ELSEVIER P L C	758205108	75.78
09/05	REED ELSEVIER P L C	758205108	29.04
04/18	ROCHE HLDG LTD	771195104	45.40
03/16	ROYAL DUTCH SHELL PLC	780259206	25.60
06/16	ROYAL DUTCH SHELL PLC	780259206	17.02
09/14	ROYAL DUTCH SHELL PLC	780259206	17.03
12/14	ROYAL DUTCH SHELL PLC	780259206	16.99
07/06	SANOFI-AVENTIS	80105N105	55.83
09/26	SANOFI-AVENTIS	80105N105	55.79
09/26	SANOFI-AVENTIS	80105N105	-55.83
05/19	SAP AKTIENGESELLSCHAFT	803054204	13.82
05/15	SMITH & NEPHEW P L C	83175M205	21.05
11/13	SMITH & NEPHEW P L C	83175M205	14.15
06/09	SONY CORP	835699307	6.54
12/11	SONY CORP	835699307	6.38
05/31	STMICROELECTRONICS N V	861012102	8.28
06/12	TOTAL S A	89151E109	51.02
12/12	TOTAL S A	89151E109	51.21
02/06	VODAFONE GROUP PLC NEW	92857W100	45.67
08/07	VODAFONE GROUP PLC NEW	92857W100	83.37
08/21	VODAFONE GROUP PLC NEW	92857W977	334.14
	Total Qualified Dividends (Box 1b on 1099-DIV):		2,944.68
12/31	MONEY MARKET INVESTMENT SHARES	MNYMKT002	92.05

Edward Jones

Payer's Federal Identification Number:

Edward Jones Account Number:

Recipient's Identification Number:

SUMMARY OF INVESTMENT INCOME

FIGURES ARE FINAL

Printed on February 23rd, 2007

Recipient's Name: HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TRUST

2006 Date	Description	CUSIP No.	Amount In 2006
	Total Nonqualified Dividends:		**92.05**
	Total Ordinary Dividends (Box 1a on 1099-DIV):		**3,036.73**
07/11	AXA SA	054536107	70.14
	Total Nontaxable Distributions (Box 3 on 1099-DIV):		**70.14**
06/08	AIR LIQUIDE	009126202	20.39
07/31	ANGLO IRISH BK CORP PLC	035058106	2.23
06/06	AXA SA	054536107	32.22
02/09	BANCO SANTANDER CENT HISPANO S	05964H105	4.45
05/11	BANCO SANTANDER CENT HISPANO S	05964H105	6.87
08/09	BANCO SANTANDER CENT HISPANO S	05964H105	5.42
11/09	BANCO SANTANDER CENT HISPANO S	05964H105	5.42
01/30	BANK OF NOVA SCOTIA	064149107	2.63
04/27	BANK OF NOVA SCOTIA	064149107	3.01
07/31	BANK OF NOVA SCOTIA	064149107	3.81
10/30	BANK OF NOVA SCOTIA	064149107	3.85
04/10	CANON INC	138006309	1.51
09/05	CANON INC	138006309	1.36
05/08	ERICSSON L M TEL CO	294821608	9.82
05/30	ESSILOR INTL	297284200	10.74
05/18	FRESENIUS MED CARE	358029106	10.26
07/18	FRESENIUS MED CARE	358029106	7.28
07/18	FRESENIUS MED CARE	358029106	-10.26
12/31	FUJIFILM HLDGS CORP Adjusted 01/09/07 For Reason 021	35958N107	.45
07/25	FUJI PHOTO FILM LTD	359586302	.45
05/26	GROUPE DANONE	399449107	12.00
06/09	HOYA CORP	443251103	.69
11/30	HOYA CORP	443251103	1.01
05/12	ING GROEP N V	456837103	13.12
08/25	ING GROEP N V	456837103	12.33
07/11	KAO CORP	485537302	1.81
12/11	KAO CORP	485537302	1.55
07/11	KYOCERA CORP	501556203	.83
12/19	KYOCERA CORP	501556203	.83
06/02	L OREAL CO	502117203	8.68
07/10	LAFARGE S A	505861401	9.46
06/30	LUXOTTICA GROUP S P A	55068R202	9.48
07/03	LUXOTTICA GROUP S P A	55068R202	-9.48
07/03	LUXOTTICA GROUP S P A	55068R202	12.07
03/21	MANULIFE FINANCIAL CORP	56501R106	1.71
06/20	MANULIFE FINANCIAL CORP	56501R106	2.15
09/20	MANULIFE FINANCIAL CORP	56501R106	2.15
12/20	MANULIFE FINANCIAL CORP	56501R106	3.37
05/17	NESTLE S A	641069406	27.39
05/19	NESTLE S A	641069406	11.72
05/19	NESTLE S A	641069406	-27.39
01/04	NEXEN INC	65334H102	.35
04/05	NEXEN INC	65334H102	.35
07/06	NEXEN INC	65334H102	.34

Edward Jones

Payer's Federal Identification Number :

Edward Jones Account Number :

Recipient's Identification Number :

SUMMARY OF INVESTMENT INCOME

FIGURES ARE FINAL

Printed on February 23rd, 2007

Recipient's Name : HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TRUST

2006 Date	Description	CUSIP No.	Amount In 2006
DIVIDEND INCOME - Taxable			
10/03	NEXEN INC	65334H102	.34
04/04	NOVARTIS AG	66987V109	7.63
03/22	NOVO-NORDISK A S	670100205	10.76
04/18	ROCHE HLDG LTD	771195104	6.81
03/16	ROYAL DUTCH SHELL PLC	780259206	3.84
06/16	ROYAL DUTCH SHELL PLC	780259206	2.55
09/14	ROYAL DUTCH SHELL PLC	780259206	2.55
12/14	ROYAL DUTCH SHELL PLC	780259206	2.55
07/06	SANOFI-AVENTIS	80105N105	13.96
09/26	SANOFI-AVENTIS	80105N105	-13.96
09/26	SANOFI-AVENTIS	80105N105	11.79
05/19	SAP AKTIENGESELLSCHAFT	803054204	2.92
08/01	SAP AKTIENGESELLSCHAFT	803054204	2.08
08/01	SAP AKTIENGESELLSCHAFT	803054204	-2.92
06/09	SONY CORP	835699307	.46
12/11	SONY CORP	835699307	.45
05/31	STMICROELECTRONICS N V	861012102	2.07
06/12	TOTAL S A	89151E109	12.76
12/12	TOTAL S A	89151E109	12.80
	Total Foreign Tax Paid (Box 6 on 1099-DIV):		**297.87**

***** PLEASE REFER TO ENCLOSED TAX REPORTING GUIDE FOR FURTHER EXPLANATION OF HOW THIS *****
SUPPLEMENTAL INFORMATION AFFECTS YOUR TAX RETURN.

Edward Jones

Payer's Federal Identification Number:

Edward Jones Account Number:

Recipient's Identification Number:

2006 ADDITIONAL TAX INFORMATION

FIGURES ARE FINAL

Printed on February 23rd, 2007

Recipient's Name: HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TRUST

MUTUAL FUNDS IN YOUR EDWARD JONES ACCOUNT WITH INCOME FROM GOVERNMENT SECURITIES

This section reports the percentage of dividends derived from government obligations. Although the dividends are federally taxable, you may be entitled to exclude a portion of them from state taxable income based upon these percentages and your state's law. Multiply your ordinary dividends (taxable dividends + short term capital gains distributions = ordinary dividends) received by the percentage listed to determine the potential exclusion. Please contact your tax advisor to determine what may be exempt from taxation in your state.

MUTUAL FUND NAME CUSIP	SOURCE OF INCOME	PERCENTAGE FROM SOURCE
MONEY MARKET INVESTMENT SHARES MNYMKT002	FEDERAL HOME LOAN BANKS	7.26000%

This ADDITIONAL TAX INFORMATION has been supplied to Edward Jones by outside sources. While we cannot assure the accuracy of this information, we believe it to be correct. This information is intended as a general guide and does not constitute tax advice. You should consult your tax professional or state tax authority to determine how this information may apply to your specific situation.

Thank you for doing business with Edward Jones. This is the end of your 2006 tax reporting information. If you have any questions concerning any matter, *especially errors or omissions*, contact at immediately or the Edward Jones Tax Hotline at .

Instructions for Recipient of 1099-INT

Account Number. May show an account or other unique number the payer assigned to distinguish your account.
Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds and Gulf bonds that must be included in your interest income. These amounts were treated as paid to you during 2008 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912, Clean Renewable Energy Bond and Gulf Bond Credit.
Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Form 1040 to see where to take the deduction.
Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not be all taxable. See Pub. 550, Investment Income and Expenses. This interest is exempt from state and local income taxes. This interest is not included in box 1.
Box 4. Shows backup withholding. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.
Box 5. Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1.
Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040. See your Form 1040 instructions.
Box 8. Shows tax-exempt interest, including exempt-interest dividends from a mutual fund or other regulated investment company, paid to you during the calendar year by the payer. Report this amount on the 8b of Form 1040 or Form 1040A. This amount may be subject to backup withholding. See box 4.
Box 9. Shows tax-exempt interest subject to the alternative minimum tax. The amount is included in box 8. See the instructions for Form 6251, Alternative Minimum Tax - Individuals.
Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096, Annual Summary and Transmittal of U.S. Information Returns, with the Internal Revenue Service Center for your area. On Form 1096 list yourself as the "filer." A husband or wife is not required to file a nominee return to show amounts owned by the other.

Instructions for Recipient of 1099-DIV

Account Number. May show an account or other unique number the payer assigned to distinguish your account.
Box 1a. Shows total ordinary dividends that are taxable. Include this amount on line 9a of Form 1040 or 1040A. Also, report it on Schedule B (Form 1040) or Schedule 1 (Form 1040A), if required. The amount shown may be a distribution from an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040A but treat it as a plan distribution, not as investment income, for any other purpose.
Box 1b. Shows the portion of the amount in box 1a that may be eligible for the 15% or 5% capital gains rates. See the form 1040/1040A instructions for how to determine this amount. Report the eligible amount on line 9b, Form 1040 or 1040A.
Box 2a. Shows total capital gain distributions (long-term) from a regulated investment company or real estate investment trust. Report the amounts shown in box 2a on Schedule D (Form 1040), line 13. But, if no amount is shown in boxes 2c-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on line 13 of Form 1040 (line 10 of Form 1040A) rather than Schedule D. See the Form 1040/1040A instructions.
Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. Report this amount on the Unrecaptured Section 1250 Gain Worksheet - Line 19 in Schedule D Instructions (Form 1040).
Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to a 50% exclusion. See the Schedule D (Form 1040) instructions.
Box 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet - Line 18 in the instructions for Schedule D (Form 1040).
Box 3. Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other base) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub. 550, Investment Income and Expenses.
Box 4. Shows backup withholding. For example, a payer must backup withhold on certain payments at a 28% rate if you did not give your taxpayer identification number to the payer. See form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.
Box 5. Shows the foreign tax you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.
Box 8 and 9. Shows cash and noncash liquidation distributions.
Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A husband or wife is not required to file a nominee return to show amounts owned by the other. See the 2005 General Instructions for Forms 1099, 1098, 5498 and W-2G.

Instructions for Recipient of 1099-OID

Original Issue Discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID is taxable as interest over the life span of the obligation. If you are the holder of an OID obligation, generally you must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-indexed securities. See Pub. 550, Investment Income and Expenses, for more information.
If, as the record holder, you receive Form 1099-OID showing amounts belonging to another person, you are considered a nominee recipient. Complete a Form 1099-OID for each of the other owners showing the amounts allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner as the "recipient." File Form(s) 1099-OID with Form 1096, Annual Summary and Transmittal of U.S. Information Returns, with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A husband or wife is not required to file a nominee return to show amounts owned by the other. If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.
Account number. May show an account or other unique number the payer assigned to distinguish your account.
Box 1. Shows the OID on the obligation for the part of the year you owned it. Report the amount in box 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors, (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212, Guide to Original Issue Discount (OID) Instruments, for details on how to figure the correct OID.
Box 2. Shows other interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount in both boxes 2 and 6, the Amount in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes.
Box 4. Shows backup withholding. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.
Box 5. Shows the identification number (CUSIP number) or description of the obligation. The description may include the stock exchange, issuer, coupon rate, and year of maturity.
Box 6. Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return, and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 1.
Box 7. Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 2.

Instructions for Recipient of 1099-B

Brokers and barter exchanges must report proceeds from transactions to you and the IRS on Form 1099-B by January 31 of the year following the calendar year of the transaction. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a change in control or a substantial change in capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported the type of transaction to you, the corporation is identified in the box below your name and address on Form 1099-B.
Account number. May show an account or other unique number the payer assigned to distinguish your account.
Box 1a. Shows the trade date of the transaction. For aggregate reporting, no entry will be present.
Box 1b. For broker transactions, may show the CUSIP (Committee on Uniform Security Identification Procedures) number of the item reported.
Box 2. Shows the aggregate proceeds from transactions involving stocks, bonds, other debt obligations, commodities, or forward contracts. Losses on forward contracts and changes in control or substantial change in capital structure are shown in parentheses. This box does not include proceeds from regulated futures contracts. The broker must indicate whether gross proceeds or gross proceeds less commissions and option premiums were reported to the IRS. Report this amount on Schedule D (Form 1040), Capital Gains and Losses. However, if box 12 is checked, you cannot take a loss on your tax return based on gross proceeds from an acquisition of control or substantial change in capital structure reported in box 2. Do not report this loss on Schedule D (Form 1040). The broker should advise you of any losses on a separate statement.
Box 4. Shows backup withholding. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include the amount on your income tax return as tax withheld.
Box 7. Shows a brief description of the item or service for which the proceeds or bartering income is being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown.

Instructions for Recipient of 1099-MISC

Account Number. May show an account or other unique number the payer assigned to distinguish your account.
Amounts shown may be subject to self-employment (SE) tax. If your net income from self-employment is $400 or more, you must file a return and compute your SE tax on Schedule SE (Form 1040). See Pub. 334, Tax Guide for Small Business, for more information. If no income or social security and Medicare taxes were withheld and you are still receiving these payments regularly, see Form 1040-ES, Estimated Tax for Individuals. Individuals must report as explained below. Corporations, fiduciaries, or partnerships report the amounts on the proper line of your tax return.
Boxes 1 and 2. Report rents from real estate on Schedule E (Form 1040). If you provided significant services to the tenant and real estate as a business, or rented personal property as a business, report on Schedule C or C-EZ (Form 1040). For royalties on timber, coal and iron ore, see Pub. 544, Sales and Other Dispositions of Assets.
Box 3. Generally, report this amount on the "Other income" line of Form 1040 and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee's pension, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525, Taxable and Nontaxable Income. If it is trade or business income, report this amount on Schedule C, C-EZ or F (Form 1040).
Box 4. Shows backup withholding or withholding on Indian gaming profits. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number. See Form W-9, Request for Taxpayer Identification Number and Certification, for more information. Report the amount on your income tax return as tax withheld.

Account number:
Statement type:
January 1 - January 27, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TRUST

Value Summary (Held at Edward Jones)

Value on Jan 27	$112,963.30
Value on Jan 1	$106,968.13
Value one year ago	—

Summary of Your Assets

Held at Edward Jones	Value on Jan 27	Value on Jan 1	Change in value
Cash & money market	$2,906.74	$2,099.85	$806.89
Stocks	110,056.56	104,868.28	5,188.28
Total at Edward Jones	$112,963.30	$106,968.13	$5,995.17

Summary of Your Income

Income distributions from securities	This period Taxable	This period Tax-free	This period Total	Year-to-date Taxable	Year-to-date Tax-free	Year-to-date Total
Money market dividends	$4.72	—	$4.72	$4.72	—	$4.72
Dividends						
Qualified (Q) - Reduced Tax Eligible	49.16	—	49.16	49.16	—	49.16
Total	$53.88	—	$53.88	$53.88	—	$53.88

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges						
Foreign taxes paid			-$0.35			-$0.35
Total			-$0.35			-$0.35

5433

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	3.52%	3.58%	$2,906.74
Total cash and money market funds			$2,906.74

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AIR LIQUIDE Symbol: AIQUY	Growth & income None	41.580	85.	$3,534.30	$2,984.10	-$73.10
AXA SA ADR Symbol: AXA	Growth & Income None	34.150	114.	3,893.10	2,895.29	—
BANCO SANTANDER CENTRAL HISPANO SA Symbol: STD	Growth & Income None	14.030	265.	3,717.95	3,094.20	-63.65
BANK NOVA SCOTIA Symbol: BNS	Growth & Income Buy	40.540	56.	2,270.24	1,878.82	—
BP AMOCO PLC SPONSORED ADR 25P Symbol: BP	Growth & Income Buy	71.010	39.	2,769.39	2,591.12	-70.65
CADBURY SCHWEPPES P L C ADR Symbol: CSG	Growth & Income None	39.710	56.	2,223.76	2,268.96	-86.10
CANON INC ADR Symbol: CAJ	Growth None	61.680	38.	2,343.84	1,978.98	—
COCA COLA AMATIL LTD ADR Symbol: CCLAY	Aggressive None	11.399	208.	2,370.99	2,607.60	-49.80
DIAGEO PLC ADR NEW Symbol: DEO	Growth & Income None	60.050	52.	3,122.60	3,086.20	—

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ERICSSON L M TEL CO ADR NEW Symbol: ERICY	Aggressive None	35.230	55.	$1,937.65	$1,826.05	-$35 78
ESSILOR INTL Symbol: ESLOY	Growth & Income None	43.327	75.	3,249.53	2,656.50	-83 30
FOMENTO ECONOMICO MEXICANO SA DE CV NEW ADR Symbol: FMX	Growth None	80.260	15.	1,203.90	1,034 85	—
FRESENIUS MED CARE AKTIENGESELLSCHAFT ADR Symbol: FMS	Aggressive None	35.300	93.	3,282.90	3,263.03	-814 68
FUJI PHOTO FILM LTD ADR NEW Symbol: FUJIY	Aggressive None	35.020	75.	2,626.50	2,529.12	-133 20
GLAXOSMITHKLINE PLC SPONS ADR Symbol: GSK	Growth & Income Buy	52.160	49.	2,555.84	2,439.00	-49.61
GROUPE DANONE ADR Symbol: DA	Growth None	22.560	111.	2,504.16	2,159.88	-273 29
HOYA CORP Symbol: HOCPY	Growth & Income None	40.443	40.	1,617.72	1,182.95	—
HSBC HOLDINGS PLC ADR NEW Symbol: HBC	Growth & Income Buy	83.430	42.	3,504.06	3,483.43	-81.33
ING GROEP N V ADR Symbol: ING	Growth & Income None	35.840	110.	3,942.40	3,141.40	-88 44
KAO CORP SPONSORED ADR REPSTG 10 SHS Symbol: KCRPY	Growth None	290.710	12.	3,488.52	2,872 50	—
KYOCERA CORP Symbol: KYO	Growth None	83.200	34.	2,828.80	2,553.40	—

5435

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
L OREAL CO ADR Symbol: LRLCY	Growth None	16.129	171.	$2,758.08	$2,493.75	-$65.20
LAFARGE SPONSORED ADR NEW Symbol: LR	Growth & Income None	25.880	47	1,216.36	1,049.45	—
LUXOTTICA GROUP S P A ADR Symbol: LUX	Growth None	26.000	137.	3,562.00	2,844.80	-73.62
MANULIFE FINANCIAL CORP Symbol: MFC	~~Growth & Income~~ Buy	60.100	38.	2,283.80	1,841.10	—
NATIONAL AUSTRALIA BANK Symbol: NAB	Growth & Income None	126.930	21.	2,665.53	2,568.72	-123.16
NESTLE S A ADR Symbol: NSRGY	Growth & Income None	73.552	43.	3,162.74	3,043.25	-295.40
NEXEN INC Symbol: NXY	Growth None	55.710	55.	3,064.05	1,778.56	-49.01
NOVARTIS AG ADR Symbol: NVS	Growth Buy	55.650	58.	3,227.70	2,860.80	-99.18
NOVO NORDISK A S ADR Symbol: NVO	Growth None	54.900	40.	2,196.00	2,346.92	-300.35
REED ELSEVIER P L C SPONSORED ADR Symbol: RUK	Growth None	37.460	94.	3,521.24	3,773.30	-114.78
ROCHE HLDG LTD ADR Symbol: RHHBY	Growth None	76.721	49.	3,759.33	3,250.00	-214.05
ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHS Symbol: RDS A	Growth & Income Buy	66.520	46.	3,059.92	3,738.10	-770.88

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
SANOFI-AVENTIS SPONSORED ADR Symbol: SNY	Growth None	45.870	58.	$2,660.46	$2,412.51	-$40.65
SAP AKTIENGESELLSCHAFT ADR Symbol: SAP	Aggressive None	50.400	30.	1,512.00	1,523.16	-258.90
SMITH & NEPHEW P L C SPONSORED ADR NEW Symbol: SNN	Growth None	50.780	69.	3,503.82	3,331.50	—
SONY CORP ADR NEW Symbol: SNE	Growth None	50.300	64.	3,219.20	—	—
TOTAL S A Symbol: TOT	Growth & Income None	137.100	23.	3,153.30	2,713.77	—
VODAFONE GROUP PLC NEW SPONSORED ADR Symbol: VOD	Aggressive Buy	21.550	118.	2,542.90	2,887.32	—
Total stocks				**$110,056.56**	—	—
Total estimated asset value				$112,963.30		

5437

201 Progress Parkway
Maryland Heights, MO 63043 3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Investment Activity

Total cash and money market funds on Jan 01	$2,099.85
Additions	
Income	$53.88
Proceeds from securities sold	$976.78
Total additions	$1,030.66
Subtractions	
Fees	-$223.42
Taxes withheld	-$0.35
Total subtractions	-$223.77
Total cash and money market funds on Jan 27	**$2,906.74**

Detail of Your Investment Activity

Additions

	Type		Date			Days	Rate	Amount	Where Invested
Income	Money market dividends		01/20	MONEY MARKET		22	3.49	4.72	Money market
	Type	**Tax Info.**	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Invested**
	Dividends	Q	01/04	NEXEN INC	55.	0.042844		$2.36	Money market
		Q	01/06	GLAXOSMITHKLINE PLC SPONS ADR	49.	0.3552		17.40	Money market
		Q	01/23	HSBC HOLDINGS PLC ADR NEW	42.	0.70		29.40	Money market
			Total income					**$53.88**	

5438

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Additions, continued

	Date		Quantity	Amount per share	Trade date	Amount	Where invested
Proceeds from securities sold	01/17	FRESENIUS MEDICAL CARE AG ADR	21.	35.8846		753.58	Money market
	01/27	NESTLE S A ADR	3.	74.40		223.20	Money market
	Total proceeds from securities sold					**$976.78**	

Subtractions

	Date		Amount	Source of Funds
Fees	01/18	MANAGED ACCOUNT FEE	-$223.42	Money market
	Total fees		**-$223.42**	
	Date		**Amount**	**Source of Funds**
Taxes withheld	01/04	NEXEN INC 15.000% FOREIGN TAX	-$0.35	Money market
	Total taxes withheld		**-$0.35**	

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$191.81	$191.81
Long term (held over 1 year)	0.00	0.00
Total	**$191.81**	**$191.81**

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
FRESENIUS MEDICAL CARE AG ADR	06/27/2005	01/11	21.000	$590.72	$753.58	$162.86	Short term
NESTLE S A ADR	06/27/2005	01/24	3.000	194.25	223.20	28.95	Short term

5439

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

As you requested, copies of your statement have been sent to:

5440

Account number:
Statement type:
January 28 - February 24, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TRUST

Value Summary (Held at Edward Jones)

Value on Feb 24	$112,012.15
Value on Jan 28	$112,963.30
Value one year ago	—

Summary of Your Assets

Held at Edward Jones	Value on Feb 24	Value on Jan 28	Change in value
Cash & money market	$2,389.21	$2,906.74	-$517.53
Stocks	109,622.94	110,056.56	-433.62
Total at Edward Jones	$112,012.15	$112,963.30	-$951.15

Summary of Your Income

	This period			Year-to-date		
Income distributions from securities	Taxable	Tax-free	Total	Taxable	Tax-free	Total
Money market dividends	$7.60	—	$7.60	$12.32	—	$12.32
Dividends						
Qualified (Q) - Reduced Tax Eligible	92.87	—	92.87	142.03	—	142.03
Total	$100.47	—	$100.47	$154.35	—	$154.35

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges						
Foreign taxes paid			-$7.08			-$7.43
Total			-$7.08			-$7.43

5441

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	3.71%	3.78%	$2,389.21
Total cash and money market funds			**$2,389.21**

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AIR LIQUIDE Symbol: AIQUY	Growth & Income None	41.136	85.	$3,496.56	$2,984.10	-$73.10
ANGLO IRISH BK CORP PLC Symbol: AGIBY	Growth & Income None	161.411	7.	1,129.88	1,091.89	—
AXA SA ADR Symbol: AXA	Growth & Income None	34.850	114.	3,972.90	2,895.29	—
BANCO SANTANDER CENTRAL HISPANO SA Symbol: STD	Growth & Income None	14.540	265.	3,853.10	3,094.20	-63.65
BANK NOVA SCOTIA Symbol: BNS	Growth & Income Buy	41.710	56.	2,335.76	1,878.82	—
BP AMOCO PLC SPONSORED ADR 25P Symbol: BP	Growth & Income Buy	67.600	39.	2,636.40	2,591.12	-70.65
CADBURY SCHWEPPES P L C ADR Symbol: CSG	Growth & Income None	40.970	56.	2,294.32	2,268.96	-86.10
CANON INC ADR Symbol: CAJ	Growth None	61.800	38.	2,348.40	1,978.98	—
COCA COLA AMATIL LTD ADR Symbol: CCLAY	Aggressive None	10.217	208.	2,125.14	2,607.60	-49.80

5442

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
DIAGEO PLC ADR NEW Symbol: DEO	Growth & Income None	61.430	52.	$3,194.36	$3,086.20	—
ERICSSON L M TEL CO ADR NEW Symbol: ERICY	Aggressive None	34.550	55.	1,900.25	1,826.05	-35.78
ESSILOR INTL Symbol: ESLOY	Growth & Income None	43.617	75.	3,271.28	2,658.50	-83.30
FOMENTO ECONOMICO MEXICANO SA DE CV NEW ADR Symbol: FMX	Growth None	83.160	15	1,247.40	1,034.85	—
FRESENIUS MED CARE AKTIENGESELLSCHAFT ADR Symbol: FMS	Aggressive None	35.700	93.	3,320.10	3,263.03	-814.68
FUJI PHOTO FILM LTD ADR NEW Symbol: FUJIY	Growth None	32.330	75.	2,424.75	2,529.12	-133.20
GLAXOSMITHKLINE PLC SPONS ADR Symbol: GSK	Growth & Income Buy	51.100	49.	2,503.90	2,439.00	-49.61
GROUPE DANONE ADR Symbol: DA	Growth None	23.300	111.	2,586.30	2,159.88	-273.29
HOYA CORP Symbol: HOCPY	Growth & Income None	38.417	40.	1,536.68	1,182.95	—
HSBC HOLDINGS PLC ADR NEW Symbol: HBC	Growth & Income Buy	85.490	42.	3,590.58	3,483.43	-81.33
ING GROEP N V ADR Symbol: ING	Growth & Income None	37.530	110.	4,128.30	3,141.40	-88.44
KAO CORP SPONSORED ADR REPSTG 10 SHS Symbol: KCRPY	Growth None	266.952	12.	3,203.42	2,872.50	—

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
KYOCERA CORP Symbol: KYO	Growth None	88.110	28.	$2,467.08	$2,553.40	-$517.98
L OREAL CO ADR Symbol: LRLCY	Growth None	17.803	171.	3,044.31	2,493.75	-65.20
LAFARGE SPONSORED ADR NEW Symbol: LR	Growth & Income None	28.790	47.	1,259.13	1,049.45	—
LUXOTTICA GROUP S P A ADR Symbol: LUX	Growth None	27.730	137.	3,799.01	2,844.80	-73.62
MANULIFE FINANCIAL CORP Symbol: MFC	Growth & Income Buy	63.370	38.	2,408.06	1,841.10	—
NATIONAL AUSTRALIA BANK Symbol: NAB	Growth & Income None	132.720	21.	2,787.12	2,568.72	-123.16
NESTLE S A ADR Symbol: NSRGY	Growth & Income None	72.360	43.	3,111.48	3,043.25	-295.40
NEXEN INC Symbol: NXY	Growth None	53.040	55.	2,917.20	1,778.56	-49.01
NOVARTIS AG ADR Symbol: NVS	Growth Buy	54.280	58.	3,148.24	2,860.80	-99.18
NOVO NORDISK A S ADR Symbol: NVO	Growth None	58.150	40.	2,326.00	2,346.92	-300.35
REED ELSEVIER P L C SPONSORED ADR Symbol: RUK	Growth None	36.340	94.	3,415.96	3,773.30	-114.78
ROCHE HLDG LTD ADR Symbol: RHHBY	Growth None	73.262	49.	3,589.84	3,250.00	-214.05

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHS Symbol: RDS A	Growth & Income Buy	61.000	46.	$2,806.00	$3,738.10	-$770.88
SANOFI-AVENTIS SPONSORED ADR Symbol: SNY	Growth None	43.220	58.	2,506.76	2,412.51	-40.65
SAP AKTIENGESELLSCHAFT ADR Symbol: SAP	Aggressive None	51.940	30.	1,558.20	1,523.16	-258.90
SMITH & NEPHEW P L C SPONSORED ADR NEW Symbol: SNN	Growth None	45.290	69.	3,125.01	3,331.50	—
SONY CORP ADR NEW Symbol: SNE	Growth None	48.290	60.	2,897.40	—	—
TOTAL S A Symbol: TOT	Growth & Income None	127.660	23.	2,936.18	2,713.77	—
VODAFONE GROUP PLC NEW SPONSORED ADR Symbol: VOD	Aggressive Buy	20.510	118.	2,420.18	2,887.32	—
Total stocks				**$109,622.94**	—	—
Total estimated asset value				**$112,012.15**		

5445

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Investment Activity

Total cash and money market funds on Jan 28	$2,906.74
Additions	
Income	$100.47
Proceeds from securities sold	$712.73
Total additions	$813.20
Subtractions	
Withdrawals to purchase securities	-$1,091.89
Fees	-$231.76
Taxes withheld	-$7.08
Total subtractions	-$1,330.73
Total cash and money market funds on Feb 24	**$2,389.21**

Detail of Your Investment Activity

Additions

	Type		Date			Days	Rate	Amount	Where Invested
Income	Money market dividends		02/21	MONEY MARKET		30	3.65	7.60	Money market
	Type	**Tax Info.**	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Invested**
	Dividends	Q	01/30	BANK NOVA SCOTIA	56.	0.312716		$17.51	Money market
		Q	02/06	VODAFONE GROUP PLC NEW ADR	118.	0.38707		45.67	Money market
		Q	02/09	BANCO SANTANDER CENTRL HISPANO	265.	0.112035		29.69	Money market
			Total income					**$100.47**	

5446

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Additions, continued

	Date		Quantity	Amount per share	Trade date	Amount	Where invested
Proceeds from securities sold	02/07	SONY CORP ADR NEW	4.	48.6883		194.75	Money market
	02/24	KYOCERA CORP	8.	86.3304		517.98	Money market
	Total proceeds from securities sold					**$712.73**	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	02/10	ANGLO IRISH BK CORP PLC	7.	155.9838		-$1,091.89	Money market
	Total withdrawals to purchase securities					**-$1,091.89**	
Fees	02/17	MANAGED ACCOUNT FEE				-$231.76	Money market
	Total fees					**-$231.76**	
	Date					**Amount**	**Source of Funds**
Taxes withheld	01/30	BANK NOVA SCOTIA 15.000% FOREIGN TAX				-$2.63	Money market
	02/09	BANCO SANTANDER CENTRL HISPANO 15.000% FOREIGN TAX				-4.45	Money market
	Total taxes withheld					**-$7.08**	

Pending Trades

Purchases	Trade date	Quantity	Price	Amount	Settlement date
CANON INC ADR	02/23/2006	8.000	$61.154	$489.24	02/28/2006

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$67.38	$259.19
Long term (held over 1 year)	0.00	0.00
Total	**$67.38**	**$259.19**

5447

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
KYOCERA CORP	06/27/2005	02/21	6.000	$450.60	$517.98	$67.38	Short term

As you requested, copies of your statement have been sent to:

5448

Account number:
Statement type:
February 25 - March 31, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TRUST

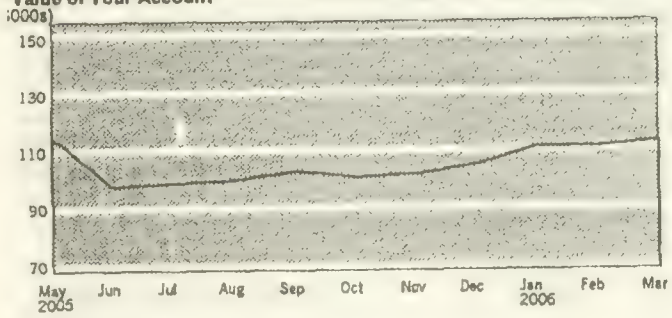
Value Summary (Held at Edward Jones)

Value on Mar 31	$114,571.80
Value on Feb 25	$112,012.15
Value one year ago	—

Summary of Your Assets

Held at Edward Jones	Value on Mar 31	Value on Feb 25	Change in value
Cash & money market	$1,845.54	$2,389.21	-$543.67
Stocks	112,726.26	109,622.94	3,103.32
Total at Edward Jones	**$114,571.80**	$112,012.15	$2,559.65

Value of Your Account



his chart shows how the value of your account has changed recently
ncludes only assets held at Edward Jones). While it's important to be aware
f short-term results, don't lose sight of the long term. Edward Jones believes
long-term investment strategy offers the greatest potential for success.

5449

201 Progress Parkway
Maryland Heights, MO 63043 3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Income

Income distributions from securities	This period Taxable	Tax-free	Total	Year-to-date Taxable	Tax-free	Total
Money market dividends	$5.35	—	$5.35	$17.67	—	$17.67
Dividends						
Qualified (Q) - Reduced Tax Eligible	100.89	—	100.89	242.92	—	242.92
Total	**$106.24**	—	**$106.24**	$260.59	—	**$260.59**

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges						
Foreign taxes paid			-$16.31			-$23.74
Total			**-$16.31**			**-$23.74**

Your Estimated Interest and Dividends

The following is an estimated summary of your interest and dividend income for the next 12 months. It is based on a variety of factors. These factors, including distribution rates and amount of principal invested, are subject to change. Because they are estimates, the specific amounts listed here are not guaranteed by Edward Jones or by the underlying issuer(s) of the securities. Income from items with * is being reinvested.

		2006									2007			
Cash & money market funds	**Quantity**	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	Total
Money Market 3.90%	1,845	5	5	5	5	5	5	5	5	5	5	5	5	60

Stocks	Tax info.													
AIR LIQUIDE	Q	85			72									72
ANGLO IRISH BK CORP PLC	Q	14				14						14		28
AXA SA ADR	Q	114		89										89
BANCO SANTANDER CENTRL HISPANO	Q	265		29		29			29			29		116

5450

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

			2006									2007			
Stocks	Tax Info.	Quantity	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	Total
BANK NOVA SCOTIA	Q	63	19			19			19			19			76
BP AMOCO PLC SPONSORED ADR 25P	Q	39			21			21			21			21	84
CADBURY SCHWEPPES PLC ADR	Q	56	15						15						30
CANON INC ADR	Q	48						13							13
COCA COLA AMATIL LTD ADR	Q	208	43						43						86
DIAGEO PLC ADR NEW	Q	52	66						66						132
FOMENTO ECONOMICO MEXICANO ADR	Q	17		10											10
FRESENIUS MEDICAL CARE AG ADR	Q	93			43										43
FUJI PHOTO FILM LTD ADR NEW	Q	75			6						6				12
GLAXOSMITHKLINE PLC SPONS ADR	Q	49	17			17			17			17			68
GROUPE DANONE ADR	Q	111			37										37
ING GROEP N V ADR	Q	110					71						71		142
KYOCERA CORP	Q	28			11						11				22
L OREAL CO ADR	Q	152			27										27
LAFARGE SPONSORED ADR NEW	Q	47				33									33
MANULIFE FINANCIAL CORP	Q	38			11			11			11			11	44
NATL AUSTRALIA BANK	Q	21			64						64				128
NESTLE S A ADR	Q	43		71											71
NEXEN INC	Q	55	2			2			2			2			8
NOVARTIS AG ADR	Q	58	51												51
NOVO NORDISK A S ADR	Q	35												33	33
ROCHE HOLDING LTD ADR	Q	49	39												39

5451

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks	Tax lot	Quantity	2006 APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	2007 JAN	FEB	MAR	Total
ROYAL DUTCH SHELL PLC ADR A	Q	36			20			20			20			20	80
SAP AKTIENGESELLSCHAFT ADR	Q	30		10											10
SONY CORP ADR NEW	Q	60			6						6				12
TOTAL SA	Q	23			40						40				80
VODAFONE GROUP PLC NEW ADR	Q	118					45						45		90
Total			**257**	**214**	**363**	**76**	**164**	**70**	**167**	**34**	**184**	**43**	**164**	**90**	**1,826**

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	3.90%	3.98%	$1,845.54
Total cash and money market funds			**$1,845.54**

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AIR LIQUIDE Symbol: AIQUY	Growth & Income None	41.581	85.	$3,534.39	$2,984.10	-$73.10
ANGLO IRISH BK CORP PLC Symbol: AGIBY	Growth & Income None	164.581	14.	2,304.13	2,227.78	—
AXA SA ADR Symbol: AXA	Growth & Income None	34.960	114.	3,985.44	2,895.29	—
BANCO SANTANDER CENTRAL HISPANO SA Symbol: STD	Growth & Income None	14.610	265.	3,871.65	3,094.20	-63.65

5452

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
BANK NOVA SCOTIA Symbol: BNS	Growth & Income Hold	40.140	63.	$2,528.82	$2,166.08	—
BP AMOCO PLC SPONSORED ADR 25P Symbol: BP	Growth & Income Buy	68.940	39.	2,688.66	2,591.12	-70.65
CADBURY SCHWEPPES P L C ADR Symbol: CSG	Growth & Income None	40.000	56.	2,240.00	2,268.96	-86.10
CANON INC ADR Symbol: CAJ	Growth None	66.050	46.	3,038.30	2,468.22	—
COCA COLA AMATIL LTD ADR Symbol: CCLAY	Aggressive None	10.311	208.	2,144.69	2,607.60	-49.80
DIAGEO PLC ADR NEW Symbol: DEO	Growth & Income None	63.430	52.	3,298.36	3,086.20	—
ERICSSON L M TEL CO ADR NEW Symbol: ERICY	Aggressive None	37.720	55.	2,074.60	1,826.05	-35.78
ESSILOR INTL Symbol: ESLOY	Growth & Income None	44.534	75.	3,340.05	2,656.50	-83.30
FOMENTO ECONOMICO MEXICANO SA DE CV NEW ADR Symbol: FMX	Growth None	91.660	17.	1,558.22	1,200.83	—
FRESENIUS MED CARE AKTIENGESELLSCHAFT ADR Symbol: FMS	Aggressive None	39.850	93.	3,706.05	3,263.03	-814.68
FUJI PHOTO FILM LTD ADR NEW Symbol: FUJIY	Growth None	33.520	75.	2,514.00	2,529.12	-133.20
GLAXOSMITHKLINE PLC SPONS ADR Symbol: GSK	Growth & Income Buy	52.310	49.	2,563.19	2,439.00	-49.61

5453

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
GROUPE DANONE ADR Symbol: DA	Growth None	25.630	111.	$2,844.93	$2,159.88	-$273.29
HOYA CORP Symbol: HOCPY	Growth & Income None	40.258	40.	1,610.32	1,182.95	—
HSBC HOLDINGS PLC ADR NEW Symbol: HBC	Growth & Income Buy	83.780	42.	3,518.76	3,483.43	-81.33
ING GROEP N V ADR Symbol: ING	Growth & Income None	39.400	110.	4,334.00	3,141.40	-88.44
KAO CORP SPONSORED ADR REPSTG 10 SHS Symbol: KCRPY	Growth None	262.734	12.	3,152.81	2,872.50	—
KYOCERA CORP Symbol: KYO	Growth None	88.130	28.	2,467.64	2,553.40	-517.98
L OREAL CO ADR Symbol: LRLCY	Growth None	17.596	152.	2,674.59	2,493.75	-400.09
LAFARGE SPONSORED ADR NEW Symbol: LR	Growth & Income None	28.450	47.	1,337.15	1,049.45	—
LUXOTTICA GROUP S P A ADR Symbol: LUX	Growth None	27.490	123.	3,381.27	2,844.80	-474.75
MANULIFE FINANCIAL CORP Symbol: MFC	Growth & Income Buy	62.770	38.	2,385.26	1,841.10	—
NATIONAL AUSTRALIA BANK Symbol: NAB	Growth & Income None	134.550	21.	2,825.55	2,568.72	-123.16
NESTLE S A ADR Symbol: NSRGY	Growth & Income None	74.033	43.	3,183.42	3,043.25	-295.40
NEXEN INC Symbol: NXY	Growth None	55.040	55.	3,027.20	1,778.56	-49.01

5454

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
NOVARTIS AG ADR Symbol: NVS	Growth Buy	55.440	58.	$3,215.52	$2,860.80	-$99.18
NOVO NORDISK A S ADR Symbol: NVO	Growth None	62.090	35.	2,173.15	2,346.92	-615.49
REED ELSEVIER P L C SPONSORED ADR Symbol: RUK	Growth None	38.340	94.	3,603.96	3,773.30	-114.78
ROCHE HLDG LTD ADR Symbol: RHHBY	Growth None	74.262	49.	3,638.84	3,250.00	-214.05
ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHS Symbol: RDS A	Growth & Income Buy	62.260	36.	2,241.36	3,738.10	-1,375.28
SANOFI-AVENTIS SPONSORED ADR Symbol: SNY	Growth None	47.450	58.	2,752.10	2,412.51	-40.65
SAP AKTIENGESELLSCHAFT ADR Symbol: SAP	Aggressive None	54.320	30.	1,629.60	1,523.16	-258.90
SMITH & NEPHEW P L C SPONSORED ADR NEW Symbol: SNN	Growth None	44.610	69.	3,078.09	3,331.50	—
SONY CORP ADR NEW Symbol: SNE	Growth None	46.070	60.	2,764.20	—	—
TOTAL S A Symbol: TOT	Growth & Income None	131.730	23.	3,029.79	2,713.77	—
VODAFONE GROUP PLC NEW SPONSORED ADR Symbol: VOD	Aggressive Buy	20.900	118.	2,466.20	2,887.32	—
Total stocks				$112,726.26	—	—
Total estimated asset value				**$114,571.80**		

5455

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Investment Activity

Total cash and money market funds on Feb 25	$2,389.21
Additions	
Income	$106.24
Proceeds from securities sold	$1,655.56
Total additions	$1,761.80
Subtractions	
Withdrawals to purchase securities	-$2,078.37
Fees	-$210.79
Taxes withheld	-$16.31
Total subtractions	-$2,305.47
Total cash and money market funds on Mar 31	**$1,845.54**

Detail of Your Investment Activity

Additions

	Type	Date			Days	Rate	Amount	Where Invested
Income	Money market dividends	03/20	MONEY MARKET		27	3.73	5.35	Money market

Type	Tax Info.	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Dividends	Q	03/14	BP AMOCO PLC SPONSORED ADR 25P	39.	0.5625		$21.94	Money market
	Q	03/15	FRESENIUS MEDICAL CARE AG ADR	93.	0.03805		3.54	Money market
	Q	03/16	ROYAL DUTCH SHELL PLC ADR A	46.	0.5566		25.60	Money market
	Q	03/21	MANULIFE FINANCIAL CORP	38.	0.29958		11.38	Money market
	Q	03/22	NOVO NORDISK A S ADR	40.	0.960768		38.43	Money market
		Total income					**$106.24**	

5456

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Additions, continued

	Date		Quantity	Amount per share	Trade date	Amount	Where invested
Proceeds from securities sold	03/10	ROYAL DUTCH SHELL PLC ADR A	10.	60.4399		$604.40	Money market
	03/14	L OREAL CO ADR	19.	17.626		334.89	Money market
	03/20	LUXOTTICA GROUP SPA ADR	14.	28.6521		401.13	Money market
	03/22	NOVO NORDISK A S ADR	5.	63.027		315.14	Money market
	Total proceeds from securities sold					**$1,655.56**	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	02/28	CANON INC ADR	8.	61.1544		-$489.24	Money market
	03/13	ANGLO IRISH BK CORP PLC AS OF 03/08/06	3.	160.75		-482.25	Money market
	03/13	FOMENTO ECONOMICO MEXICANO ADR	2.	82.9918		-165.98	Money market
	03/22	BANK NOVA SCOTIA	6.	41.1498		-246.90	Money market
	03/27	ANGLO IRISH BK CORP PLC AS OF 03/22/06	4.	163.41		-653.64	Money market
	03/29	BANK NOVA SCOTIA	1.	40.3644		-40.36	Money market
	Total withdrawals to purchase securities					**-$2,078.37**	
Fees	03/15	MANAGED ACCOUNT FEE				-$210.79	Money market
	Total fees					**-$210.79**	

	Date		Amount	Source of Funds
Taxes withheld	03/16	ROYAL DUTCH SHELL PLC ADR A 15.000% FOREIGN TAX	-$3.84	Money market

5457

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Subtractions, continued

	Date		Amount	Source of Funds
Taxes withheld	03/21	MANULIFE FINANCIAL CORP 15.000% FOREIGN TAX	-$1.71	Money market
	03/22	NOVO NORDISK A S ADR 28.000% FOREIGN TAX	-10.76	Money market
	Total taxes withheld		-$16.31	

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$200.73	$459.92
Long term (held over 1 year)	0.00	0.00
Total	$200.73	$459.92

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
ROYAL DUTCH SHELL PLC ADR A	06/27/2005	03/07	10.000	$644.50	$604.40	-$40.10	Short term
L OREAL CO ADR	06/27/2005	03/09	19.000	270.75	334.89	64.14	Short term
LUXOTTICA GROUP SPA ADR	06/27/2005	03/15	14.000	284.48	401.13	116.65	Short term
NOVO NORDISK A S ADR	06/27/2005	03/17	5.000	255.10	315.14	60.04	Short term

As you requested, copies of your statement have been sent to:

5458

Account number:
Statement type:
April 1 - April 28, 2006

201 Progress Parkway
Maryland Heights, MO 63043 3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TRUST

Value Summary (Held at Edward Jones)

Value on Apr 28	$119,417.47
Value on Apr 1	$114,571.80
Value one year ago	—

Summary of Your Assets

Held at Edward Jones	Value on Apr 28	Value on Apr 1	Change in value
Cash & money market	$1,649.11	$1,845.54	-$196.43
Stocks	117,768.36	112,726.26	5,042.10
Total at Edward Jones	$119,417.47	$114,571.80	$4,845.67

Summary of Your Income

	This period			Year-to-date		
Income distributions from securities	Taxable	Tax-free	Total	Taxable	Tax-free	Total
Money market dividends	$6.62	—	$6.62	$24.29	—	$24.29
Dividends						
Qualified (Q) - Reduced Tax Eligible	259.49	—	259.49	502.41	—	502.41
Total	$266.11	—	$266.11	$526.70	—	$526.70

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges			
Foreign taxes paid		-$19.31	-$43.05
Total		-$19.31	-$43.05

5459

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	3.96%	4.03%	$1,649.11
Total cash and money market funds			$1,649.11

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AIR LIQUIDE Symbol: AIQUY	Growth & Income None	43.206	85.	$3,672.51	$2,984.10	-$73.10
ANGLO IRISH BK CORP PLC Symbol: AGIBY	Growth & Income None	164.637	17.	2,798.83	2,722.78	—
AXA SA ADR Symbol: AXA	Growth & Income None	36.610	114.	4,173.54	2,895.29	—
BANCO SANTANDER CENTRAL HISPANO SA Symbol: STD	Growth & Income None	15.340	265.	4,065.10	3,094.20	-63.65
BANK NOVA SCOTIA Symbol: BNS	Growth & Income Hold	41.760	74.	3,090.24	2,604.44	—
BP AMOCO PLC SPONSORED ADR 25P Symbol: BP	Growth & Income Buy	73.720	39.	2,875.08	2,591.12	-70.65
CADBURY SCHWEPPES P L C ADR Symbol: CSG	Growth None	39.960	56	2,237.76	2,268.96	-86.10
CANON INC ADR Symbol: CAJ	Growth None	75.600	46.	3,486.80	2,468.22	—
COCA COLA AMATIL LTD ADR Symbol: CCLAY	Aggressive None	11.047	154.	1,701.24	2,607.60	-630.86

5460

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
DIAGEO PLC ADR NEW Symbol: DEO	Growth & Income None	66.250	47.	$3,113.75	$3,086.20	-$314.23
ERICSSON L M TEL CO ADR NEW Symbol: ERICY	Aggressive None	35.470	55.	1,950.85	1,826.05	-35.78
ESSILOR INTL Symbol: ESLOY	Growth & Income None	50.071	75.	3,755.33	2,656.50	-83.30
FOMENTO ECONOMICO MEXICANO SA DE CV NEW ADR Symbol: FMX	Growth None	92.880	17.	1,578.96	1,200.83	—
FRESENIUS MED CARE AKTIENGESELLSCHAFT ADR Symbol: FMS	Aggressive None	40.010	93.	3,720.93	3,263.03	-814.68
FUJI PHOTO FILM LTD ADR NEW Symbol: FUJIY	Growth None	34.100	75.	2,557.50	2,529.12	-133.20
GLAXOSMITHKLINE PLC SPONS ADR Symbol: GSK	Growth & Income Buy	56.880	49.	2,787.12	2,439.00	-49.61
GROUPE DANONE ADR Symbol: DA	Growth None	26.400	111.	2,930.40	2,159.88	-273.29
HOYA CORP Symbol: HOCPY	Growth & Income None	40.368	40.	1,614.72	1,182.95	—
HSBC HOLDINGS PLC ADR NEW Symbol: HBC	Growth & Income Buy	86.680	42.	3,640.56	3,483.43	-81.33
ING GROEP N V ADR Symbol: ING	Growth & Income None	40.580	110.	4,463.80	3,141.40	-88.44
KAO CORP SPONSORED ADR REPSTG 10 SHS Symbol: KCRPY	Growth None	267.951	12.	3,215.41	2,872.50	—

5461

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
KYOCERA CORP Symbol: KYO	Growth None	93.880	28.	$2,628.64	$2,553.40	-$517.98
L OREAL CO ADR Symbol: LRLCY	Growth None	18.517	152.	2,814.58	2,493.75	-400.09
LAFARGE SPONSORED ADR NEW Symbol: LR	Growth & Income None	30.660	47.	1,441.02	1,049.45	--
LUXOTTICA GROUP S P A ADR Symbol: LUX	Growth None	29.780	123.	3,664.17	2,844.80	-474.75
MANULIFE FINANCIAL CORP Symbol: MFC	Growth & Income Buy	65.290	46	3,003.34	2,358.47	--
NATIONAL AUSTRALIA BANK Symbol: NAB	Growth & Income None	142.800	21.	2,998.80	2,568.72	-123.16
NESTLE S A ADR Symbol: NSRGY	Growth & Income None	76.030	39.	2,965.17	3,043.25	-596.00
NEXEN INC Symbol: NXY	Growth None	58.500	51.	2,983.50	1,778.56	-288.07
NOVARTIS AG ADR Symbol: NVS	Growth Buy	57.510	58.	3,335.58	2,860.80	-99.18
NOVO NORDISK A S ADR Symbol: NVO	Growth None	64.350	35.	2,252.25	2,346.92	-615.49
REED ELSEVIER P L C SPONSORED ADR Symbol: RUK	Growth & Income None	39.800	94.	3,741.20	3,773.30	-114.78
ROCHE HLDG LTD ADR Symbol: RHHBY	Growth None	76.683	49.	3,756.49	3,250.00	-214.05

5462

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHS Symbol: RDS A	Growth & Income Buy	68.130	27.	$1,839.51	$3,738.10	-$1,964.88
SANOFI-AVENTIS SPONSORED ADR Symbol: SNY	Growth None	47.040	58.	2,728.32	2,412.51	-40.65
SAP AKTIENGESELLSCHAFT ADR Symbol: SAP	Aggressive None	54.630	30.	1,638.90	1,523.16	-258.90
SMITH & NEPHEW P L C SPONSORED ADR NEW Symbol: SNN	Growth None	41.600	69.	2,870.40	3,331.50	—
SONY CORP ADR NEW Symbol: SNE	Growth None	48.940	60.	2,936.40	—	—
STMICROELECTRONICS Symbol: STM	Growth None	18.300	42.	768.60	779.06	—
TOTAL S A Symbol: TOT	Growth & Income None	138.020	23.	3,174.46	2,713.77	—
VODAFONE GROUP PLC NEW SPONSORED ADR Symbol: VOD	Aggressive Buy	23.700	118.	2,796.60	2,887.32	—
Total stocks				$117,768.36	—	—
Total estimated asset value				$119,417.47		

5463

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Investment Activity

Total cash and money market funds on Apr 01	$1,845.54
Additions	
Income	$266.11
Proceeds from securities sold	$2,024.55
Total additions	$2,290.66
Subtractions	
Withdrawals to purchase securities	-$2,229.79
Fees	-$237.99
Taxes withheld	-$19.31
Total subtractions	-$2,487.09
Total cash and money market funds on Apr 28	**$1,649.11**

Detail of Your Investment Activity

Additions

	Type	Date		Days	Rate	Amount	Where Invested
Income	Money market dividends	04/20	MONEY MARKET	31	3.95	6.82	Money market

Type	Tax Info.	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Dividends	Q	04/04	NOVARTIS AG ADR	58.	0.876522		$50.84	Money market
	Q	04/05	NEXEN INC	55.	0.04248		2.34	Money market
	Q	04/07	GLAXOSMITHKLINE PLC SPONS ADR	49.	0.48748		23.89	Money market
	Q	04/10	CANON INC ADR	38.	0.567689		21.57	Money market
	Q	04/13	DIAGEO PLC ADR NEW	52.	0.83726		43.54	Money market

5464

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Additions, continued

	Type	Tax info.	Date		Quantity	Amount per share	Rate	Amount	Where invested
Income	Dividends	Q	04/17	COCA COLA AMATIL LTD ADR	208.	0.24913		$51.82	Money market
		Q	04/18	ROCHE HOLDING LTD ADR	49.	0.92647		45.40	Money market
		Q	04/27	BANK NOVA SCOTIA	63.	0.31895		20.09	Money market
			Total income					$266.11	

	Date		Quantity	Amount per share	Trade date	Amount	Where invested
Proceeds from securities sold	04/13	DIAGEO PLC ADR NEW	6.	52.8464		$314.23	Money market
	04/18	ROYAL DUTCH SHELL PLC ADR A	9.	65.5106		589.60	Money market
	04/25	COCA COLA AMATIL LTD ADR	54.	10.7604		581.06	Money market
	04/25	NESTLE S A ADR	4.	75.15		300.60	Money market
	04/26	NEXEN INC	4.	59.7657		239.06	Money market
	Total proceeds from securities sold					$2,024.55	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of funds
Withdrawals to purchase securities	04/13	BANK NOVA SCOTIA	5.	40.24		-$201.20	Money market
	04/18	MANULIFE FINANCIAL CORP	8.	64.6718		-517.37	Money market
	04/18	BANK NOVA SCOTIA	6.	39.5271		-237.16	Money market
	04/20	STMICROELECTRONICS	42.	18.549		-779.06	Money market
	04/28	ANGLO IRISH BK CORP PLC	3.	164.9987		-495.00	Money market
	Total withdrawals to purchase securities					-$2,229.79	
Fees	04/17	MANAGED ACCOUNT FEE				-$237.99	Money market
	Total fees					-$237.99	

5465

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Subtractions, continued

	Date		Amount	Source of Funds
Taxes withheld	04/04	NOVARTIS AG ADR 15.000% FOREIGN TAX	-$7.63	Money market
	04/05	NEXEN INC 15.000% FOREIGN TAX	-0.35	Money market
	04/10	CANON INC ADR 7.000% FOREIGN TAX	-1.51	Money market
	04/18	ROCHE HOLDING LTD ADR 15.000% FOREIGN TAX	-6.81	Money market
	04/27	BANK NOVA SCOTIA 15.000% FOREIGN TAX	-3.01	Money market
	Total taxes withheld		**-$19.31**	

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$97.51	$557.43
Long term (held over 1 year)	0.00	0.00
Total	**$97.51**	**$557.43**

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
DIAGEO PLC ADR NEW	06/27/2005	04/10	5.000	$296.75	$314.23	$17.48	Short term
ROYAL DUTCH SHELL PLC ADR A	06/27/2005	04/12	9.000	580.05	589.60	9.55	Short term

5466

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Details of Realized Gain/Loss From Sale of Your Securities, continued

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
COCA COLA AMATIL LTD ADR	06/27/2005	04/20	54.000	$664.20	$581.06	-$83.14	Short term
NESTLE S A ADR	06/27/2005	04/20	4.000	259.00	300.60	41.60	Short term
NEXEN INC	06/27/2005	04/21	4.000	127.04	239.06	112.02	Short term

As you requested, copies of your statement have been sent to:

5467

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

This page intentionally left blank

Account number:
Statement type:
April 29 - May 26, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TRUST

Value Summary (Held at Edward Jones)

Value on May 26	$116,266.85
Value on Apr 29	$119,417.47
Value one year ago	$116,391.40

Summary of Your Assets

Held at Edward Jones	Value on May 26	Value on Apr 29	Change in value
Cash & money market	$1,651.91	$1,649.11	$2.80
Stocks	114,614.94	117,768.36	-3,153.42
Total at Edward Jones	**$116,266.85**	$119,417.47	-$3,150.62

Summary of Your Income

	This period			Year-to-date		
Income distributions from securities	Taxable	Tax-free	Total	Taxable	Tax-free	Total
Money market dividends	$4.59	—	$4.59	$28.88	—	$28.88
Dividends						
Qualified (Q) - Reduced Tax Eligible	516.54	—	516.54	1,018.95	—	1,018.95
Total	$521.13	—	$521.13	$1,047.83	—	$1,047.83

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges						
Foreign taxes paid			-$66.71			-$109.76
Total			-$66.71			-$109.76

5469

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.18%	4.27%	$1,651.91
Total cash and money market funds			$1,651.91

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AIR LIQUIDE	Growth & Income	42.919	85.	$3,648.12	$2,984.10	-$73.10
Symbol: AIQUY	None					
ANGLO IRISH BK CORP PLC	Growth & Income	156.208	17.	2,655.54	2,722.78	—
Symbol: AGIBY	None					
ARKEMA	Aggressive	37.831	1.	37.83	—	—
Symbol: ARKAY	None					
AXA SA ADR	Growth & Income	35.420	114.	4,037.88	2,895.29	—
Symbol: AXA	None					
BANCO SANTANDER CENTRAL HISPANO SA	Growth & Income	14.610	265.	3,871.65	3,094.20	-63.65
Symbol: STD	None					
BANK NOVA SCOTIA	Growth & Income	38.820	74.	2,872.68	2,604.44	—
Symbol: BNS	Hold					
BP AMOCO PLC SPONSORED ADR 25P	Growth & Income	71.620	39.	2,793.18	2,591.12	-70.65
Symbol: BP	Buy					
CADBURY SCHWEPPES P L C ADR	Growth & Income	38.820	56.	2,173.92	2,268.96	-86.10
Symbol: CSG	None					
CANON INC ADR	Growth	72.960	46.	3,356.16	2,468.22	—
Symbol: CAJ	None					

5470

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
COCA COLA AMATIL LTD ADR Symbol: CCLAY	Aggressive None	10.665	154.	$1,642.41	$2,607.60	-$630.86
DIAGEO PLC ADR NEW Symbol: DEO	Growth & Income None	67.000	47	3,149.00	3,086.20	-314.23
ERICSSON L M TEL CO ADR NEW Symbol: ERICY	Aggressive None	32.400	71.	2,300.40	2,362.05	-35.78
ESSILOR INTL Symbol: ESLOY	Growth & Income None	50.023	75.	3,751.73	2,656.50	-83.30
FOMENTO ECONOMICO MEXICANO SA DE CV NEW ADR Symbol: FMX	Growth None	88.980	17.	1,512.66	1,200.83	—
FRESENIUS MED CARE AKTIENGESELLSCHAFT ADR Symbol: FMS	Aggressive None	37.890	93.	3,523.77	3,263.03	-814.68
FUJI PHOTO FILM LTD ADR NEW Symbol: FUJIY	Growth None	34.130	75.	2,559.75	2,529.12	-133.20
GLAXOSMITHKLINE PLC SPONS ADR Symbol: GSK	Growth & Income Buy	55.670	49	2,727.83	2,439.00	-49.61
GROUPE DANONE ADR Symbol: DA	Growth None	26.260	100.	2,626.00	2,159.88	-554.51
HOYA CORP Symbol: HOCPY	Growth & Income None	39.068	40.	1,562.72	1,182.95	—
HSBC HOLDINGS PLC ADR NEW Symbol: HBC	Growth & Income Buy	87.990	42.	3,695.58	3,483.43	-81.33
ING GROEP N V ADR Symbol: ING	Growth & Income None	39.520	110.	4,347.20	3,141.40	-88.44

5471

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
KAO CORP SPONSORED ADR REPSTG 10 SHS Symbol: KCRPY	Growth None	257.492	10.	$2,574.92	$2,872.50	-$534.00
KYOCERA CORP Symbol: KYO	Growth None	86.810	28.	2,430.68	2,553.40	-517.98
L OREAL CO ADR Symbol: LRLCY	Growth None	18.203	152.	2,766.86	2,493.75	-400.09
LAFARGE SPONSORED ADR NEW Symbol: LR	Growth & Income None	30.580	47.	1,437.26	1,049.45	—
LUXOTTICA GROUP S P A ADR Symbol: LUX	Growth None	27.450	123.	3,376.35	2,844.80	-474.75
MANULIFE FINANCIAL CORP Symbol: MFC	Growth & Income Buy	66.900	46.	3,077.40	2,358.47	—
NATIONAL AUSTRALIA BANK Symbol: NAB	Growth & Income None	136.300	21.	2,862.30	2,568.72	-123.16
NESTLE S A ADR Symbol: NSRGY	Growth & Income None	74.852	39.	2,919.23	3,043.25	-596.00
NEXEN INC Symbol: NXY	Aggressive None	54.410	51.	2,774.91	1,778.58	-288.07
NOVARTIS AG ADR Symbol: NVS	Growth Buy	56.060	58.	3,251.48	2,860.80	-99.18
NOVO NORDISK A S ADR Symbol: NVO	Growth None	62.350	35.	2,182.25	2,346.92	-615.49
REED ELSEVIER P L C SPONSORED ADR Symbol: RUK	Growth & Income None	39.520	94.	3,714.88	3,773.30	-114.78

5472

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ROCHE HLDG LTD ADR Symbol: RHHBY	Growth None	76.939	49	$3,770.01	$3,250.00	-$214.05
ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHS Symbol: RDS A	Growth & Income Buy	68.530	27.	1,796.31	3,738.10	-1,964.88
SANOFI-AVENTIS SPONSORED ADR Symbol: SNY	Growth None	48.440	58.	2,809.52	2,412.51	-40.65
SAP AKTIENGESELLSCHAFT ADR Symbol: SAP	Aggressive None	53.700	30.	1,611.00	1,523.16	-258.90
SMITH & NEPHEW P L C SPONSORED ADR NEW Symbol: SNN	Growth None	40.750	69.	2,811.75	3,331.50	--
SONY CORP ADR NEW Symbol: SNE	Growth None	46.810	60.	2,808.60	--	--
STMICROELECTRONICS Symbol: STM	Growth None	16.440	69.	1,134.36	1,273.68	--
TOTAL S A Symbol: TOT	Growth & Income None	65.840	46.	3,028.64	2,713.77	--
VODAFONE GROUP PLC NEW SPONSORED ADR Symbol: VOD	Aggressive Buy	22.290	118.	2,630.22	2,887.32	--
Total stocks				**$114,614.94**	--	--
Total estimated asset value				**$116,266.85**		

5473

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Investment Activity

Total cash and money market funds on Apr 29	$1,649.11
Additions	
Income	$521.13
Proceeds from securities sold	$815.22
Total additions	$1,336.35
Subtractions	
Withdrawals to purchase securities	-$1,030.82
Fees	-$236.02
Taxes withheld	-$66.71
Total subtractions	-$1,333.55
Total cash and money market funds on May 26	**$1,651.91**

Detail of Your Investment Activity

Additions

	Type	Date			Days	Rate	Amount	Where Invested
Income	Money market dividends	05/22	MONEY MARKET		32	4.05	4.59	Money market

Type	Tax Info.	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Dividends	Q	05/08	ERICSSON L M TEL CO ADR NEW	55.	0.595048		$32.73	Money market
	Q	05/11	BANCO SANTANDER CENTRL HISPANO	265.	0.17296		45.83	Money market
	Q	05/12	ING GROEP N V ADR	110.	0.795167		87.47	Money market
	Q	05/15	SMITH & NEPHEW PLC SPONS ADR	69.	0.305		21.05	Money market
	Q	05/15	HSBC HOLDINGS PLC ADR NEW	42.	1.55		65.10	Money market

5474

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Additions, continued

	Type	Tax Info.	Date		Quantity	Amount per share	Rate	Amount	Where invested
Income	Dividends	Q	05/17	NESTLE S A ADR	43.	1.81999		$78.26	Money market
		Q	05/18	FRESENIUS MEDICAL CARE AG ADR	93.	0.523036		48.64	Money market
		Q	05/19	SAP AKTIENGESELLSCHAFT ADR	30.	0.460709		13.82	Money market
		Q	05/19	NESTLE S A ADR	43.	1.81646		78.11	Money market
		Q	05/19	NESTLE S A ADR REVERSE 05/17/06 CASH DIV TO CORRECT TAX WITHHOLDING	43.			-78.26	Money market
		Q	05/23	REED ELSEVIER P L C SPON ADR	94.	0.80613		75.78	Money market
		Q	05/26	GROUPE DANONE ADR	111.	0.432513		48.01	Money market
			Total income					**$521.13**	

	Date		Quantity	Amount per share	Trade date	Amount	Where invested
Proceeds from securities sold	05/23	KAO CORP SPONS ADR REP 10 SHS	2.	267.00		$534.00	Money market
	05/23	GROUPE DANONE ADR	11.	25.5856		281.22	Money market
	Total proceeds from securities sold					**$815.22**	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	05/05	STMICROELECTRONICS	23.	18.6497		-$428.94	Money market
	05/19	ERICSSON L M TEL CO ADR NEW	16.	33.5001		-536.00	Money market
	05/23	STMICROELECTRONICS	4.	16.469		-65.88	Money market
	Total withdrawals to purchase securities					**-$1,030.82**	
Fees	05/16	MANAGED ACCOUNT FEE				-$236.02	Money market
	Total fees					**-$236.02**	

5475

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Subtractions, continued

	Date		Amount	Source of Funds
Taxes withheld	05/08	ERICSSON L M TEL CO ADR NEW 30.000% FOREIGN TAX	-$9.82	Money market
	05/11	BANCO SANTANDER CENTRL HISPANO 15.000% FOREIGN TAX	-6.87	Money market
	05/12	ING GROEP N V ADR 15.000% FOREIGN TAX	-13.12	Money market
	05/17	NESTLE S A ADR 35.000% FOREIGN TAX	-27.39	Money market
	05/18	FRESENIUS MEDICAL CARE AG ADR 21.100% FOREIGN TAX	-10.26	Money market
	05/19	NESTLE S A ADR REVERSE FOREIGN TAX WITHHELD	27.39	Money market
	05/19	NESTLE S A ADR 15.000% FOREIGN TAX	-11.72	Money market
	05/19	SAP AKTIENGESELLSCHAFT ADR 21.100% FOREIGN TAX	-2.92	Money market
	05/26	GROUPE DANONE ADR 25.000% FOREIGN TAX	-12.00	Money market
	Total taxes withheld		**-$66.71**	

5476

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Other Activity

Date	Activity		Quantity	Notes	Estimated Value
05/24	SPINOFF	ARKEMA	1.	ON 23 SHARES OF TOTAL S A	—
05/26	STOCK SPLIT	TOTAL SA	23.	ON 23 AT 1 PER SHARE	—

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$147.26	$704.69
Long term (held over 1 year)	0.00	0.00
Total	$147.26	$704.69

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
GROUPE DANONE ADR	06/27/2005	05/18	11.000	$193.16	$281.22	$88.06	Short term
KAO CORP SPONS ADR REP 10 SHS	06/27/2005	05/18	2.000	474.80	534.00	59.20	Short term

As you requested, copies of your statement have been sent to:

5477

201 Progress Parkway
Maryland Heights, MO 63043 3042
www.edwardjones.com
Member SIPC

Edward Jones

This page intentionally left blank

Account number:
Statement type:
May 27 - June 30, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TRUST

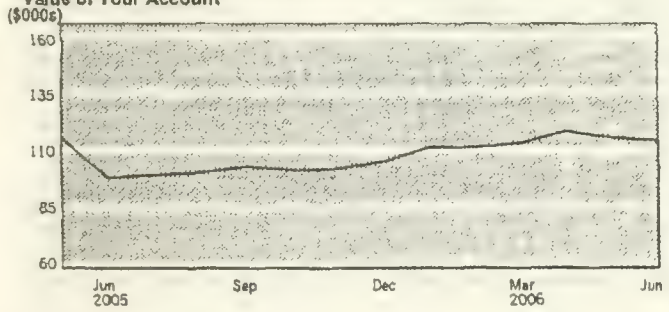
Value Summary (Held at Edward Jones)

Value on Jun 30	$115,271.00
Value on May 27	$116,266.85
Value one year ago	$99,856.50

Summary of Your Assets

Held at Edward Jones	Value on Jun 30	Value on May 27	Change in value
Cash & money market	$1,194.42	$1,651.91	-$457.49
Stocks	114,076.58	114,614.94	-538.36
Total at Edward Jones	**$115,271.00**	$116,266.85	-$995.85

Value of Your Account



This chart shows how the value of your account has changed recently (includes only assets held at Edward Jones). While it's important to be aware of short-term results, don't lose sight of the long term. Edward Jones believes a long-term investment strategy offers the greatest potential for success.

5479

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Income

Income distributions from securities	This period Taxable	Tax-free	Total	Year-to-date Taxable	Tax-free	Total
Money market dividends	$5.16	—	$5.16	$34.04	—	$34.04
Dividends						
Qualified (Q) - Reduced Tax Eligible	500.55	—	500.55	1,519.50	—	1,519.50
Total	$505.71	—	$505.71	$1,553.54	—	$1,553.54

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges						
Foreign taxes paid			-$102.19			-$211.95
Total			-$102.19			-$211.95

Your Estimated Interest and Dividends

The following is an estimated summary of your interest and dividend income for the next 12 months. It is based on a variety of factors. These factors, including distribution rates and amount of principal invested, are subject to change. Because they are estimates, the specific amounts listed here are not guaranteed by Edward Jones or by the underlying issuer(s) of the securities. Income from items with * is being reinvested.

Cash & money market funds	Quantity	2006 JUL	AUG	SEP	OCT	NOV	DEC	2007 JAN	FEB	MAR	APR	MAY	JUN	Total
Money Market 4.31%	1,194	4	4	4	4	4	4	4	4	4	4	4	4	48

Stocks	Tax info.													
AIR LIQUIDE	Q	85											81	81
ANGLO IRISH BK CORP PLC	Q	17		17					17					34
AXA SA ADR	Q	114											128	128
BANCO SANTANDER CENTRL HISPANO	Q	265		45			45		45			45		180

5480

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

			2006						2007						
Stocks	Tax info.	Quantity	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	Total
BANK NOVA SCOTIA	Q	74	23			23			23			23			92
BP AMOCO PLC SPONSORED ADR 25P	Q	39			21			21			21			21	84
CADBURY SCHWEPPES PLC ADR	Q	56					35						35		70
CANON INC ADR	Q	46										26			26
COCA COLA AMATIL LTD ADR	Q	125				31						31			62
DIAGEO PLC ADR NEW	Q	47				39						39			78
FOMENTO ECONOMICO MEXICANO ADR	Q	17												13	13
FRESENIUS MEDICAL CARE AG ADR	Q	93											48		48
FUJI PHOTO FILM LTD ADR NEW	Q	75						6						5	12
GLAXOSMITHKLINE PLC SPONS ADR	Q	49	23			23			23			23			92
GROUPE DANONE ADR	Q	100											43		43
ING GROEP N V ADR	Q	110					87						87		174
KYOCERA CORP	Q	28						11						11	22
L OREAL CO ADR	Q	152												25	25
LAFARGE SPONSORED ADR NEW	Q	47	33												33
MANULIFE FINANCIAL CORP	Q	92			28			28			28			28	112
NATL AUSTRALIA BANK	Q	21						64						64	128
NESTLE S A ADR	Q	39											70		70
NEXEN INC	Q	51	2			2			2			2			8
NOVARTIS AG ADR	Q	58										50			50
NOVO NORDISK A S ADR	Q	35									33				33
ROCHE HOLDING LTD ADR	Q	49										45			45

5481

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks	Tax info.	Quantity	2006 JUL	AUG	SEP	OCT	NOV	DEC	2007 JAN	FEB	MAR	APR	MAY	JUN	Total
ROYAL DUTCH SHELL PLC ADR A	Q	27			17			17			17			17	68
SAP AKTIENGESELLSCHAFT ADR	Q	30											13		13
SONY CORP ADR NEW	Q	60						6						6	12
STMICROELECTRONICS	Q	77											9		9
TOTAL SA	Q	48						102						102	204
VODAFONE GROUP PLC NEW ADR	Q	118		45						45					90
Total			85	111	70	122	171	259	52	111	103	243	354	506	2,187

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.31%	4.40%	$1,194.42
Total cash and money market funds			$1,194.42

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AIR LIQUIDE Symbol: AIQUY	Growth & Income None	38.948	85.	$3,310.58	$2,984.10	-$73.10
ANGLO IRISH BK CORP PLC Symbol: AGIBY	Growth & Income None	155.357	17.	2,641.07	2,722.78	—
AXA SA ADR Symbol: AXA	Growth & Income None	32.780	114.	3,736.92	2,895.29	—
BANCO SANTANDER CENTRAL HISPANO SA Symbol: STD	Growth & Income None	14.620	265.	3,874.30	3,094.20	-63.65

5482

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
BANK NOVA SCOTIA Symbol: BNS	Growth & Income Hold	39.750	74.	$2,941.50	$2,604.44	—
BP AMOCO PLC SPONSORED ADR 25P Symbol: BP	Growth & Income Buy	69.610	39.	2,714.79	2,591.12	-70.65
CADBURY SCHWEPPES P L C ADR Symbol: CSG	Growth & Income None	38.820	56.	2,173.92	2,268.96	-86.10
CANON INC ADR Symbol: CAJ	Growth None	73.270	46.	3,370.42	2,468.22	—
COCA COLA AMATIL LTD ADR Symbol: CCLAY	Aggressive None	10.535	125.	1,316.88	2,607.60	-932.46
DIAGEO PLC ADR NEW Symbol: DEO	Growth & Income None	67.550	47.	3,174.85	3,086.20	-314.23
ERICSSON L M TEL CO ADR NEW Symbol: ERICY	Aggressive None	33.040	71.	2,345.84	2,362.05	-35.78
ESSILOR INTL Symbol: ESLOY	Growth & Income None	50.315	75.	3,773.63	2,656.50	-83.30
FOMENTO ECONOMICO MEXICANO SA DE CV NEW ADR Symbol: FMX	Growth None	83.720	17.	1,423.24	1,200.83	—
FRESENIUS MED CARE AKTIENGESELLSCHAFT ADR Symbol: FMS	Aggressive None	38.220	93.	3,554.46	3,263.03	-814.68
FUJI PHOTO FILM LTD ADR NEW Symbol: FUJIY	Growth None	33.560	75.	2,517.00	2,529.12	-133.20
GLAXOSMITHKLINE PLC SPONS ADR Symbol: GSK	Growth & Income Hold	55.800	49.	2,734.20	2,439.00	-49.61

5483

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
GROUPE DANONE ADR Symbol: DA	Growth None	26.670	100.	$2,667.00	$2,159.68	-$554.51
HOYA CORP Symbol: HOCPY	Growth & Income None	35.603	63.	2,242.99	2,014.38	—
HSBC HOLDINGS PLC ADR NEW Symbol: HBC	Growth & Income Buy	88.350	42.	3,710.70	3,483.43	-81.33
ING GROEP N V ADR Symbol: ING	Growth & Income None	39.320	110.	4,325.20	3,141.40	-88.44
KAO CORP SPONSORED ADR REPSTG 10 SHS Symbol: KCRPY	Growth None	261.995	10.	2,619.95	2,872.50	-534.00
KYOCERA CORP Symbol: KYO	Growth None	77.750	28.	2,177.00	2,553.40	-517.98
L OREAL CO ADR Symbol: LRLCY	Growth None	18.886	152.	2,870.67	2,493.75	-400.09
LAFARGE SPONSORED ADR NEW Symbol: LR	Growth & Income None	31.310	47.	1,471.57	1,049.45	—
LUXOTTICA GROUP S P A ADR Symbol: LUX	Growth None	27.130	123.	3,338.99	2,844.80	-474.75
MANULIFE FINANCIAL CORP Symbol: MFC	Growth & Income Buy	31.770	92.	2,922.84	2,358.47	—
NATIONAL AUSTRALIA BANK Symbol: NAB	Growth & Income None	130.300	21.	2,736.30	2,568.72	-123.16
NESTLE S A ADR Symbol: NSRGY	Growth & Income None	78.367	39.	3,056.31	3,043.25	-596.00
NEXEN INC Symbol: NXY	Aggressive None	56.540	51.	2,883.54	1,778.56	-288.07

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
NOVARTIS AG ADR Symbol: NVS	Growth Buy	53.920	58.	$3,127.36	$2,860.80	-$99.18
NOVO NORDISK A S ADR Symbol: NVO	Growth None	63.590	35.	2,225.65	2,346.92	-615.49
REED ELSEVIER P L C SPONSORED ADR Symbol: RUK	Growth & Income None	40.240	94.	3,782.56	3,773.30	-114.78
ROCHE HLDG LTD ADR Symbol: RHHBY	Growth None	82.490	49.	4,042.01	3,250.00	-214.05
ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHS Symbol: RDS A	Growth & Income Buy	66.980	27.	1,808.46	3,738.10	-1,964.88
SANOFI-AVENTIS SPONSORED ADR Symbol: SNY	Growth & Income None	48.700	58.	2,824.60	2,412.51	-40.65
SAP AKTIENGESELLSCHAFT ADR Symbol: SAP	Aggressive None	52.520	30.	1,575.60	1,523.16	-258.90
SMITH & NEPHEW P L C SPONSORED ADR NEW Symbol: SNN	Growth None	38.530	69.	2,658.57	3,331.50	—
SONY CORP ADR NEW Symbol: SNE	Growth None	44.040	60.	2,642.40	—	—
STMICROELECTRONICS Symbol: STM	Growth None	16.070	77.	1,237.39	1,400.12	—
TOTAL S A Symbol: TOT	Growth & Income None	65.520	46.	3,013.92	2,673.06	—

5485

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
VODAFONE GROUP PLC NEW SPONSORED ADR Symbol: VOD	Aggressive Hold	21.300	118.	$2,513.40	$2,887.32	—
Total stocks				$114,076.58	—	—
Total estimated asset value				$115,271.00		

Summary of Your Investment Activity

Total cash and money market funds on May 27	$1,651.91
Additions	
Income	$505.71
Other income	$5.64
Proceeds from securities sold	$337.00
Total additions	$848.35
Subtractions	
Withdrawals to purchase securities	-$957.67
Fees	-$245.98
Taxes withheld	-$102.19
Total subtractions	-$1,305.84
Total cash and money market funds on Jun 30	**$1,194.42**

5486

201 Progress Parkway
Maryland Heights, MO 63043 3042
www.edwardjones.com
Member SIPC

Edward Jones

Detail of Your Investment Activity

Additions

	Type		Date			Days	Rate	Amount	Where Invested
Income	Money market dividends		06/20	MONEY MARKET		29	4.21	5.16	Money market
	Type	**Tax Info.**	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Invested**
	Dividends	Q	05/30	CADBURY SCHWEPPES PLC ADR	56.	0.6303		$35.30	Money market
		Q	05/30	ESSILOR INTL	75.	0.572573		42.94	Money market
		Q	05/31	STMICROELECTRONICS	69.	0.12		8.28	Money market
		Q	06/02	L OREAL CO ADR	152.	0.228473		34.73	Money market
		Q	06/06	AXA SA ADR	114.	1.13057		128.89	Money market
		Q	06/06	BP AMOCO PLC SPONSORED ADR 25P	39.	0.5625		21.94	Money market
		Q	06/08	AIR LIQUIDE	85	0.959241		81.54	Money market
		Q	06/09	SONY CORP ADR NEW	60.	0.108998		6.54	Money market
		Q	06/09	HOYA CORP	40.	0.244731		9.79	Money market
		Q	06/12	TOTAL SA	23.	2.21806		51.02	Money market
		Q	06/16	ROYAL DUTCH SHELL PLC ADR A	27.	0.63047		17.02	Money market
		Q	06/20	MANULIFE FINANCIAL CORP	46.	0.310944		14.30	Money market
		Q	06/27	FOMENTO ECONOMICO MEXICANO ADR	17.	0.77435		13.16	Money market
		Q	06/30	LUXOTTICA GROUP SPA ADR	123.	0.285376		35.10	Money market
			Total income					**$505.71**	
			Date		**Quantity**	**Amount per share**	**Trade date**	**Amount**	**Where Invested**
Other Income	Redemptions		05/30	CASH IN LIEU ARKEMA	0.15	37.60		$5.64	Money market
			Total other income					**$5.64**	

54487

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Additions, continued

	Date		Quantity	Amount per share	Trade date	Amount	Where invested
Proceeds from securities sold	06/06	ARKEMA	1.	35.40		35.40	Money market
	06/16	COCA COLA AMATIL LTD ADR	29.	10.40		301.60	Money market
	Total proceeds from securities sold					**$337.00**	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	06/09	HOYA CORP	12.	37.7526		-$453.03	Money market
	06/15	HOYA CORP	11.	34.40		-378.40	Money market
	06/22	STMICROELECTRONICS	8.	15.7797		-126.24	Money market
	Total withdrawals to purchase securities					**-$957.67**	
Fees	06/15	MANAGED ACCOUNT FEE				-$245.98	Money market
	Total fees					**-$245.98**	

	Date		Amount	Source of Funds
Taxes withheld	05/30	ESSILOR INTL 25.000% FOREIGN TAX	-$10.74	Money market
	05/31	STMICROELECTRONICS 25.000% FOREIGN TAX	-2.07	Money market
	06/02	L OREAL CO ADR 25.000% FOREIGN TAX	-8.68	Money market
	06/06	AXA SA ADR 25.000% FOREIGN TAX	-32.22	Money market
	06/08	AIR LIQUIDE 25.000% FOREIGN TAX	-20.39	Money market

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Subtractions, continued

	Date		Amount	Source of Funds
Taxes withheld	06/09	SONY CORP ADR NEW 7.000% FOREIGN TAX	-$0.46	Money market
	06/09	HOYA CORP 7.000% FOREIGN TAX	-0.69	Money market
	06/12	TOTAL SA 25.000% FOREIGN TAX	-12.76	Money market
	06/16	ROYAL DUTCH SHELL PLC ADR A 15.000% FOREIGN TAX	-2.55	Money market
	06/20	MANULIFE FINANCIAL CORP 15.000% FOREIGN TAX	-2.15	Money market
	06/30	LUXOTTICA GROUP SPA ADR 27.000% FOREIGN TAX	-9.48	Money market
	Total taxes withheld		-$102.19	

Other Activity

Date	Activity		Quantity	Notes	Estimated Value
06/06	STOCK SPLIT	MANULIFE FINANCIAL CORP	46.	ON 46 AT 1 PER SHARE	—

Pending Trades

Sells	Trade date	Quantity	Price	Amount	Settlement date
COCA COLA AMATIL LTD ADR	06/29/2006	17.000	$10.227	$173.86	07/05/2006

5489

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	-$52.27	$852.42
Long term (held over 1 year)	-35.24	-35.24
Total	-$87.51	$617.18

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
ARKEMA	06/27/2005	06/01	1.000	$32.57	$35.40	$2.83	Short term
COCA COLA AMATIL LTD ADR	06/27/2005	06/13	29.000	356.70	301.60	-55.10	Short term
COCA COLA AMATIL LTD ADR	06/27/2005	06/29	17.000	209.10	173.86	-35.24	Long term

As you requested, copies of your statement have been sent to:

5490

Account number:
Statement type:
July 1 - July 28, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TRUST

Copy To:

Value Summary (Held at Edward Jones)

Value on Jul 28	$118,163.80
Value on Jul 1	$115,271.00
Value one year ago	$100,897.52

Summary of Your Assets

Held at Edward Jones	Value on Jul 28	Value on Jul 1	Change in value
Cash & money market	$3,388.78	$1,194.42	$2,194.36
Stocks	114,775.02	114,076.58	698.44
Total at Edward Jones	$118,163.80	$115,271.00	$2,892.80

Summary of Your Income

	This period			Year-to-date		
Income distributions from securities	Taxable	Tax-free	Total	Taxable	Tax-free	Total
Money market dividends	$7.42	—	$7.42	$41.46	—	$41.46
Dividends						
Qualified (Q) - Reduced Tax Eligible	266.05	—	266.05	1,785.55	—	1,785.55
Total	$273.47	—	$273.47	$1,827.01	—	$1,827.01

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges						
Foreign taxes paid			-$26.46			-$238.41
Total			-$26.46			-$238.41

5491

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.46%	4.56%	$3,388.78
Total cash and money market funds			**$3,388.78**

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AIR LIQUIDE	Growth & Income	40.543	93.	$3,770.50	$2,984.10	-$73.10
Symbol: AIQUY	None					
ANGLO IRISH BK CORP PLC	Growth & Income	146.038	19.	2,774.72	2,999.21	—
Symbol: AGIBY	None					
AXA SA ADR	Growth & Income	34.250	114.	3,904.50	2,895.29	-70.14
Symbol: AXA	None					
BANCO SANTANDER CENTRAL HISPANO SA	Growth & Income	15.050	265.	3,988.25	3,094.20	-63.65
Symbol: STD	None					
BANK NOVA SCOTIA	Growth & Income	40.880	74.	3,025.12	2,604.44	—
Symbol: BNS	Hold					
BP AMOCO PLC SPONSORED ADR 25P	Growth & Income	72.380	39.	2,822.82	2,591.12	-70.65
Symbol: BP	Buy					
CADBURY SCHWEPPES P L C ADR	Growth & Income	39.480	56.	2,210.88	2,268.96	-86.10
Symbol: CSG	None					
CANON INC ADR	Growth	72.190	46.	3,320.74	2,468.22	—
Symbol: CAJ	None					
COCA COLA AMATIL LTD ADR	Aggressive	10.458	108.	1,129.46	2,607.60	-1,106.32
Symbol: CCLAY	None					

5492

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
DIAGEO PLC ADR NEW Symbol: DEO	Growth & Income None	70.520	47.	$3,314.44	$3,086.20	-$314.23
ERICSSON L M TEL CO ADR NEW Symbol: ERICY	Aggressive None	32.120	71.	2,280.52	2,362.05	-35.78
ESSILOR INTL Symbol: ESLOY	Growth & Income None	50.296	75.	3,772.20	2,656.50	-83.30
FOMENTO ECONOMICO MEXICANO SA DE CV NEW ADR Symbol: FMX	Growth None	87.900	17.	1,494.30	1,200.83	—
FRESENIUS MED CARE AKTIENGESELLSCHAFT ADR Symbol: FMS	Aggressive None	40.200	93.	3,738.60	3,263.03	-814.68
FUJI PHOTO FILM LTD ADR NEW Symbol: FUJIY	Growth None	33.010	75.	2,475.75	2,529.12	-133.20
GLAXOSMITHKLINE PLC SPONS ADR Symbol: GSK	Growth & Income Hold	55.620	54.	3,003.48	2,711.38	-49.61
GROUPE DANONE ADR Symbol: DA	Growth None	27.590	100.	2,759.00	2,159.88	-554.51
HOYA CORP Symbol: HOCPY	Growth & Income None	35.135	63.	2,213.51	2,014.38	—
HSBC HOLDINGS PLC ADR NEW Symbol: HBC	Growth & Income Buy	90.860	42.	3,816.12	3,483.43	-81.33
ING GROEP N V ADR Symbol: ING	Growth & Income None	40.690	110.	4,475.90	3,141.40	-88.44
KAO CORP SPONSORED ADR REPSTG 10 SHS Symbol: KCRPY	Growth None	258.500	10.	2,585.00	2,872.50	-534.00

5493

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
KYOCERA CORP Symbol: KYO	Growth None	81.600	28.	$2,284.80	$2,553.40	-$517.98
L OREAL CO ADR Symbol: LRLCY	Growth None	20.118	152.	3,057.94	2,493.75	-400.09
LAFARGE SPONSORED ADR NEW Symbol: LR	Growth & Income None	30.320	38.	1,152.16	1,049.45	-273.60
LUXOTTICA GROUP S P A ADR Symbol: LUX	Growth None	27.550	123.	3,388.65	2,844.80	-474.75
MANULIFE FINANCIAL CORP Symbol: MFC	Growth & Income Buy	31.920	92.	2,938.64	2,358.47	—
NATIONAL AUSTRALIA BANK Symbol: NAB	Growth & Income None	138.000	21.	2,898.00	2,568.72	-123.16
NESTLE S A ADR Symbol: NSRGY	Growth & Income None	81.794	39.	3,189.97	3,043.25	-598.00
NEXEN INC Symbol: NXY	Aggressive None	58.280	51.	2,972.28	1,778.56	-288.07
NOVARTIS AG ADR Symbol: NVS	Growth Buy	56.350	58.	3,268.30	2,860.80	-99.18
REED ELSEVIER P L C SPONSORED ADR Symbol: RUK	Growth & Income None	40.070	94.	3,766.58	3,773.30	-114.78
ROCHE HLDG LTD ADR Symbol: RHHBY	Growth None	88.857	49.	4,353.99	3,250.00	-214.05
ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHS Symbol: RDS A	Growth & Income Buy	71.010	27.	1,917.27	3,738.10	-1,984.88

5494

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
SANOFI-AVENTIS SPONSORED ADR Symbol: SNY	Growth None	47.280	58.	$2,742.24	$2,412.51	-$40.65
SAP AKTIENGESELLSCHAFT ADR Symbol: SAP	Aggressive None	45.660	30.	1,369.80	1,523.16	-258.90
SMITH & NEPHEW P L C SPONSORED ADR NEW Symbol: SNN	Growth None	42.700	69.	2,946.30	3,331.50	—
SONY CORP ADR NEW Symbol: SNE	Growth None	46.050	60.	2,763.00	—	—
STMICROELECTRONICS Symbol: STM	Growth None	14.790	77.	1,138.83	1,400.12	—
TOTAL S A Symbol: TOT	Growth & Income None	68.080	46.	3,131.68	2,673.06	—
VODAFONE GROUP PLC NEW SPONSORED ADR	Aggressive None	22.210	118.	2,620.78	2,887.32	—
Total stocks				**$114,775.02**	—	—
Total estimated asset value				**$118,163.80**		

5495

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Investment Activity

Total cash and money market funds on Jul 01	$1,194.42
Additions	
Income	$273.47
Other income	$89.24
Proceeds from securities sold	$2,632.95
Total additions	$2,995.66
Subtractions	
Withdrawals to purchase securities	-$548.81
Fees	-$226.03
Taxes withheld	-$26.46
Total subtractions	-$801.30
Total cash and money market funds on Jul 28	**$3,388.78**

Detail of Your Investment Activity

Additions

	Type		Date			Days	Rate	Amount	Where Invested
Income	Money market dividends		07/20	MONEY MARKET		30	4.41	7.42	Money market
	Type	**Tax Info.**	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Invested**
	Dividends	Q	07/03	LUXOTTICA GROUP SPA ADR	123.	0.363561		$44.72	Money market
		Q	07/03	LUXOTTICA GROUP SPA ADR REVERSE 06/30/06 CASH DIV TO CORRECT RATE	123.			-35.10	Money market

5496

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Additions, continued

	Type	Tax info.	Date		Quantity	Amount per share	Rate	Amount	Where invested
Income	Dividends	Q	07/06	SANOFI AVENTIS SPONSORED ADR	58.	0.96252		$55.83	Money market
		Q	07/06	NEXEN INC	51.	0.044967		2.29	Money market
		Q	07/07	HSBC HOLDINGS PLC ADR NEW	42.	0.75		31.50	Money market
		Q	07/07	GLAXOSMITHKLINE PLC SPONS ADR	49.	0.393206		19.27	Money market
		Q	07/10	LAFARGE SPONSORED ADR NEW	47.	0.80492		37.83	Money market
		Q	07/11	KAO CORP SPONS ADR REP 10 SHS	12.	2.1547		25.86	Money market
		Q	07/11	KYOCERA CORP	28.	0.425162		11.90	Money market
		Q	07/25	NATL AUSTRALIA BANK	21.	3.12121		65.55	Money market
		Q	07/25	FUJI PHOTO FILM LTD ADR NEW	75.	0.085343		6.40	Money market
			Total income					$273.47	

	Type	Date		Quantity	Amount per share	Trade date	Amount	Where invested
Other income	Return of Capital	07/11	AXA SA ADR	114.	0.61524		$70.14	Money market
	Redemptions	07/13	CASH IN LIEU AIR LIQUIDE	0.5	38.20		19.10	Money market
		Total other income					$89.24	

	Date		Quantity	Amount per share	Trade date	Amount	Where invested
Proceeds from securities sold	07/05	COCA COLA AMATIL LTD ADR	17.	10.2273		$173.86	Money market
	07/10	LAFARGE SPONSORED ADR NEW	9.	30.40		273.60	Money market
	07/10	NOVO NORDISK A S ADR	9.	61.746		555.71	Money market
	07/11	NOVO NORDISK A S ADR	7.	61.7413		432.19	Money market
	07/12	NOVO NORDISK A S ADR	9.	62.8732		565.86	Money market
	07/13	NOVO NORDISK A S ADR	10.	63.1726		631.73	Money market
	Total proceeds from securities sold					$2,632.95	

5497

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	07/21	GLAXOSMITHKLINE PLC SPONS ADR	5.	54.4754		$272.38	Money market
	07/21	ANGLO IRISH BK CORP PLC	2.	138.2159		-276.43	Money market
	Total withdrawals to purchase securities					-$548.81	
Fees	07/14	MANAGED ACCOUNT FEE				-$225.63	Money market
	07/18	AIR LIQUIDE ADR ISSUANCE FEE IF $0.05				-0.40	Money market
	Total fees					-$226.03	

	Date		Amount	Source of Funds
Taxes withheld	07/03	LUXOTTICA GROUP SPA ADR REVERSE FOREIGN TAX WITHHELD	$9.48	Money market
	07/03	LUXOTTICA GROUP SPA ADR 27.000% FOREIGN TAX	-12.07	Money market
	07/06	SANOFI AVENTIS SPONSORED ADR 25.000% FOREIGN TAX	-13.96	Money market
	07/06	NEXEN INC 15.000% FOREIGN TAX	-0.34	Money market
	07/10	LAFARGE SPONSORED ADR NEW 25.000% FOREIGN TAX	-9.46	Money market
	07/11	KAO CORP SPONS ADR REP 10 SHS 7.000% FOREIGN TAX	-1.81	Money market
	07/11	KYOCERA CORP 7.000% FOREIGN TAX	-0.83	Money market
	07/18	FRESENIUS MEDICAL CARE AG ADR 14.970% FOREIGN TAX	-7.28	Money market

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Subtractions, continued

	Date		Amount	Source of Funds
Taxes withheld	07/18	FRESENIUS MEDICAL CARE AG ADR REVERSE FOREIGN TAX WITHHELD	$10.26	Money market
	07/25	FUJI PHOTO FILM LTD ADR NEW 7.000% FOREIGN TAX	-0.45	Money market
	Total taxes withheld		-$26.46	

Other Activity

Date	Activity		Quantity	Notes	Estimated Value
07/12	STOCK DIVIDEND	AIR LIQUIDE	8.	ON 85 AT .1 PER SHARE	—

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$72.54	$724.98
Long term (held over 1 year)	399.79	364.55
Total	$472.33	$1,089.51

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
LAFARGE SPONSORED ADR NEW	09/21/2005	07/05	9.000	$201.06	$273.60	$72.54	Short term
NOVO NORDISK A S ADR	06/27/2005	07/05	9.000	459.18	555.71	96.53	Long term
NOVO NORDISK A S ADR	06/27/2005	07/06	7.000	357.14	432.19	75.05	Long term
NOVO NORDISK A S ADR	06/27/2005	07/07	9.000	459.18	565.86	106.68	Long term
NOVO NORDISK A S ADR	06/27/2005	07/10	10.000	510.20	631.73	121.53	Long term

5499

2861 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

5500

ACCOUNT INFORMATION

Please see your account agreement forms for complete conditions governing your account. All transactions are subject to applicable rules of the exchange market and its clearing house where the trade occurred, as well as of the Securities Exchange Commission, the Federal Reserve Board and any applicable self-regulatory organizations. If you have a complaint, please notify us at Edward Jones, Attn: Complaints Department, 1245 JJ Kelley Memorial Dr., St. Louis MO 63131. For all other concerns, please refer to the cover page of this statement for our contact information.

You can only withdraw $2,500 per day from a bank teller using your Edward Jones VISA debit card.

With questions regarding your mortgage or home equity loans, please refer to the separate loan statement issued for a description of fees and charges.

Account Protection - Edward Jones provides account protection for the net equity of your assets (except annuities and insurance) held in custody by Edward Jones for your account. Of that total, the Securities Investor Protection Corporation (SIPC) provides $500,000 of coverage, including $100,000 for claims of cash awaiting reinvestment (SIPC coverage). The remaining net equity is protected by Customer Asset Protection Company (CAPCO). This protection does not guard against market loss.

REGULATORY DISCLOSURES

Securities Investor Protection Corporation (SIPC): Information from SIPC, including the SIPC Brochure can be obtained at www.sipc.org or by calling (202)371-8300.

Financial Statement - The firm's financial statement is available at your branch office, or a copy will be mailed upon written request.

Rights to your Free Credit Balance - We are permitted to use your free credit balances to conduct our business, subject to the limitations of 17C.F.R.240 15c3-3 under the Securities Exchange Act of 1934. You have the right to receive, during normal business operations, free delivery of your credit balances; any securities to which you are entitled and which have been fully paid; upon full payment of any debt to us, any securities purchased on margin.

Errors or Questions About Your Electronic Transfers - Visit our website at www.edwardjones.com/electronictransfer or contact us for details. Refer to the cover page of this statement for our contact information.

Amount of money you can borrow - What you can borrow is not a commitment to loan funds. The amount available is typically 50% of the value of your investments held at Edward Jones. Your loan value could change daily. Your investment representative has access to daily values as well as more information on the "Amount of money you can borrow" should you wish to activate this feature. The minimum portfolio value required to be eligible for this feature is $4,000. Single, joint and business accounts are eligible.

Loan/Margin Accounts - If you have a loan or margin account, this statement covers: your general brokerage account, a special misc. account maintained for you under section 4(F)(6) of Regulation T issued by the Board of Governors of The Federal Reserve System; a separate account for securities you have sold short. The permanent record of this account is available upon request. If you have a credit balance in your loan account, the surplus amount is included with the amount shown for "cash and money market" balance.

Tax Withholding on Retirement Accounts - Federal income taxes of 10% on IRA or 20% on qualified plan distributions will be withheld unless a higher amount is elected or an IRS exception applies. State income taxes are withheld depending upon your state of residence upon distribution. Your withholding election will remain in effect until federal or state rule changes. Insufficient withholding may subject you to estimated income-tax payments to avoid penalties.

Required Minimum Distributions (RMDs) - RMDs from other IRAs must be calculated separately, but the total amount may be removed from one or more of your IRAs. RMDs from qualified plans must be calculated and removed separately from each plan and cannot be part of your IRA RMD. If you turned age 70-1/2 last year, and deferred your first RMD until the current year or you have unpriced securities in this account, the RMD Summary Section is understated. Verification by a tax professional is recommended.

Transaction/Settlement Dates - Securities transactions are noted on the settlement date shown on the transaction confirmation, excepting transactions involving Edward Jones Tax-Free Money Market Fund or Edward Jones Money Market Investment Shares or Retirement Shares which are listed on the trade date. Additional sections report pending trades and open orders.

Contingent Deferred Sales Charge - Shares sold on certain mutual fund classes may be subject to a contingent deferred sales charge.

Portfolio Objective - Inform us promptly of any material change in your portfolio objective or financial situation.

Debt Securities Transactions - For debt securities transactions, call features may exist which could effect yield; complete information will be provided upon request.

Information for Investors - Edward Jones is a member of the New York Stock Exchange and the Chicago Stock Exchange. The firm also transacts business with a variety of dealers in securities, including listed and over-the-counter stocks and bonds, government and agency issues and municipal securities. For equity securities, the firm monitors performance of competing market centers and dealers and routes orders to those that consistently guarantee execution at the national best bid or offer or better. Routed market orders generally are directed to market centers or dealers that offer opportunity for better prices through either automated or manual systems. For agency transactions, the name of the other broker or party to the transaction will be furnished upon written request. For agency and principal transactions, the date and time of execution will be furnished upon written request. Periodically, the firm may receive other remuneration on agency trades from other sources; information will be furnished upon written request.

TERMINOLOGY

Total Estimated Value - The approximate value of the assets held at Edward Jones and outside companies at the statement date shown or date specified in the detail of Your Assets. It is estimated as the prices used to value your securities are provided by an outside service and do not always represent exact market prices. Edward Jones can't guarantee the accuracy of such values; if you need the exact price, contact your investment representative. Values for many fixed-income charges are estimates based on coupon rate and credit rating and may not represent actual transaction price. Values don't include accrued interest or dividends and for some investments don't reflect applicable charges and fees.

Your Assets Held Outside Edward Jones - Balances are provided for your information only to give an overall view of your investments with Edward Jones. SIPC coverage is not extended to assets held outside the custody of Edward Jones. Refer to the statement received directly from these companies for details.

Tax Information for Income Distributions - Your year-end tax documents (eg. Form 1099) will provide specific classifications of your income distributions. The 2003 tax law allows Qualified (Q) dividends to be taxed at reduced rates: 15%, or 5% for individuals whose tax rates are 15% or less. Nonqualified (N) dividends are taxed at ordinary rates. Some, but not all, of Partially Qualified (P) dividends are taxed at reduced rates.

Cost Basis - Cost basis is the amount paid for a security, including commissions, reinvestments and original issue discount (OID) and adjustments for sales, principal returns, splits and spin offs. Some data may be unsubstantiated and should not be relied upon for tax preparation.

Amount Invested/Withdrawn - Amount invested reflects all purchases and other additions to your holdings, with the exception of dividend reinvestments. Amount withdrawn shows how much of your investment has been sold, redeemed or transferred. These figures should not be used for tax reporting or tax preparation.

Ratings and Recommendations - Edward Jones research opinions, Standard & Poor's and Moody's ratings may be shown for certain securities. Ratings or recommendations should not be considered an indication of future performance.

Account Activity - Entries appearing in Account Activity sections under columns headed "Where Invested" or "Sources of Funds" are additions to or subtractions from your account. For clients who maintain a money market account, entries indicating "Tax-Free Money Market" mean Edward Jones Tax-Free Money Market Fund and entries indicating "Money Market" mean Edward Jones Money Market Investment Shares or Retirement Shares depending on the share class owned. Activity dates appearing under "Sources of Funds" correspond to the sale date of the designated Edward Jones Money Market Fund. Entries appearing under "Where Invested" will be transacted as a purchase of the previously designated Edward Jones Money Market Fund on the second business day after the date shown, with the following exceptions which will be transacted on the date indicated:

Source of Funds	Number of Days After Activity Date Shown Until Purchase of Money Market Fund
Wired Funds, SWIFS, Electronic Bank Transfer, Direct Deposit	1
Security Sold or Interest/Dividend Received*	0
Bond Maturities, Calls, Tendered Items *	1
Transfers from Margin Account or Money Market Fund in another Edward Jones Account	0

*For assets held within Edward Jones account

Account number:
Statement type:
July 29 - August 25, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TRUST

Copy To:

Value Summary (Held at Edward Jones)

Value on Aug 25	$121,356.07
Value on Jul 29	$118,163.80
Value one year ago	$101,643.62

Summary of Your Assets

Held at Edward Jones	Value on Aug 25	Value on Jul 29	Change in value
Cash & money market	$4,237.41	$3,388.78	$848.63
Stocks	117,118.66	114,775.02	2,343.64
Total at Edward Jones	$121,356.07	$118,163.80	$3,192.27

Summary of Your Income

	This period			Year-to-date		
Income distributions from securities	Taxable	Tax-free	Total	Taxable	Tax-free	Total
Money market dividends	$14.92	—	$14.92	$56.38	—	$56.38
Dividends						
Qualified (Q) - Reduced Tax Eligible	572.93	—	572.93	2,358.48	—	2,358.48
Total	$587.85	—	$587.85	$2,414.86	—	$2,414.86

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges						
Foreign taxes paid			-$22.95			-$261.36
Total			-$22.95			-$261.36

5501

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.48%	4.58%	$4,237.41
Total cash and money market funds			$4,237.41

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AIR LIQUIDE Symbol: AIQUY	Growth & Income None	41.146	93.	$3,826.58	$2,984.10	-$73.10
ANGLO IRISH BK CORP PLC Symbol: AGIBY	Growth & Income None	162.645	19.	3,090.26	2,999.21	—
AXA SA ADR Symbol: AXA	Growth & Income None	35.910	114.	4,093.74	2,895.29	-70.14
BANCO SANTANDER CENTRAL HISPANO SA Symbol: STD	Growth & Income None	15.320	265.	4,059.80	3,094.20	-63.65
BANK NOVA SCOTIA Symbol: BNS	Growth & Income Hold	43.470	74.	3,216.78	2,604.44	—
BP AMOCO PLC SPONSORED ADR 25P Symbol: BP	Growth & Income Buy	68.310	39.	2,664.09	2,591.12	-70.65
CADBURY SCHWEPPES P L C ADR Symbol: CSG	Growth & Income None	42.140	56.	2,359.84	2,268.96	-86.10
CANON INC ADR Symbol: CAJ	Growth None	71.880	46.	3,306.48	2,468.22	—

5502

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
CEMEX S A ADR NEW REPRESENTING ORDINARY PARTN CTF NEW Symbol: CX	Growth None	28.500	21.	$598.50	$579.64	—
COCA COLA AMATIL LTD ADR Symbol: CCLAY	Aggressive None	9.679	108.	1,045.33	2,607.60	-1,106.32
DIAGEO PLC ADR NEW Symbol: DEO	Growth & Income None	71.890	47.	3,378.83	3,086.20	-314.23
ERICSSON L M TEL CO ADR NEW Symbol: ERIC	Aggressive None	31.980	71.	2,270.58	2,262.05	-35.78
ESSILOR INTL Symbol: ESLOY	Growth & Income None	50.317	75.	3,773.78	2,856.50	-83.30
FOMENTO ECONOMICO MEXICANO SA DE CV NEW ADR Symbol: FMX	Growth None	91.670	17.	1,558.39	1,200.83	—
FRESENIUS MED CARE AKTIENGESELLSCHAFT ADR Symbol: FMS	Aggressive None	43.910	93.	4,083.63	3,263.03	-814.68
FUJI PHOTO FILM LTD ADR NEW Symbol: FUJIY	Growth None	35.830	75.	2,687.26	2,529.12	-133.20
GLAXOSMITHKLINE PLC SPONS ADR Symbol: GSK	Growth & Income Hold	54.550	54.	2,945.70	2,711.38	-49.61
GROUPE DANONE ADR Symbol: DA	Growth None	28.510	100.	2,851.00	2,159.88	-554.51
HOYA CORP Symbol: HOCPY	Growth & Income None	37.342	63.	2,352.55	2,014.38	—
HSBC HOLDINGS PLC ADR NEW Symbol: HBC	Growth & Income Buy	89.640	42.	3,764.88	3,483.43	-81.33

5503

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ING GROEP N V ADR Symbol: ING	Growth & Income None	42.590	110.	$4,684.90	$3,141.40	-$88.44
KAO CORP SPONSORED ADR REPSTG 10 SHS Symbol: KCRPY	Growth None	265.996	10	2,659.96	2,872.50	-534.00
KYOCERA CORP Symbol: KYO	Growth None	85.650	28.	2,398.20	2,553.40	-517.98
L OREAL CO ADR Symbol: LRLCY	Growth None	19.872	152.	3,020.54	2,493.75	-400.09
LAFARGE SPONSORED ADR NEW Symbol: LR	Growth & Income None	31.910	38.	1,212.58	1,049.45	-273.60
LUXOTTICA GROUP S P A ADR Symbol: LUX	Growth None	28.110	123.	3,457.53	2,844.80	-474.75
MANULIFE FINANCIAL CORP Symbol: MFC	Growth & Income Buy	32.480	92.	2,988.16	2,358.47	—
NATIONAL AUSTRALIA BANK Symbol: NAB	Growth & Income None	135.740	21.	2,850.54	2,568.72	-123.16
NESTLE S A ADR Symbol: NSRGY	Growth & Income None	84.654	39.	3,301.51	3,043.25	-596.00
NEXEN INC Symbol: NXY	Aggressive None	58.700	51.	2,993.70	1,778.56	-288.07
NOVARTIS AG ADR Symbol: NVS	Growth Buy	56.620	58.	3,283.96	2,860.80	-99.18
REED ELSEVIER P L C SPONSORED ADR Symbol: RUK	Growth None	42.010	94.	3,948.94	3,773.30	-114.78

5504

201 Progress Parkway
Maryland Heights MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ROCHE HLDG LTD ADR Symbol: RHHBY	Growth None	90.902	49.	$4,454.20	$3,250.00	-$214.05
ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHS Symbol: RDS A	Growth & Income Buy	70.620	27.	1,906.74	3,738.10	-1,964.88
SANOFI-AVENTIS SPONSORED ADR Symbol: SNY	Growth & Income None	44.220	58.	2,564.76	2,412.51	-40.65
SAP AKTIENGESELLSCHAFT ADR Symbol: SAP	Aggressive None	46.480	30.	1,394.40	1,523.16	-258.90
SMITH & NEPHEW P L C SPONSORED ADR NEW Symbol: SNN	Growth None	41.900	69.	2,891.10	3,331.50	—
SONY CORP ADR NEW Symbol: SNE	Growth None	43.160	60.	2,589.60	—	—
STMICROELECTRONICS Symbol: STM	Growth None	16.000	77.	1,232.00	1,400.12	—
TOTAL S A Symbol: TOT	Growth & Income None	68.860	46.	3,167.56	2,673.06	—
VODAFONE GROUP PLC NEW SPONSORED ADR Symbol: VOD	Aggressive Hold	21.260	103.	2,189.78	2,887.32	—
Total stocks				**$117,118.66**	—	—
Total estimated asset value				**$121,356.07**		

5505

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Summary of Your Investment Activity

Total cash and money market funds on Jul 29	$3,388.78
Additions	
Income	$587.85
Other income	$5.42
Total additions	$593.27
Subtractions	
Withdrawals to purchase securities	-$579.64
Fees	$857.95
Taxes withheld	-$22.95
Total subtractions	$255.36
Total cash and money market funds on Aug 25	**$4,237.41**

Detail of Your Investment Activity

Additions

	Type	Date			Days	Rate	Amount	Where Invested
Income	Money market dividends	08/21	MONEY MARKET		32	4.48	14.92	Money market
	Type	**Tax Info.**	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Invested**
	Dividends	Q	07/31	BANK NOVA SCOTIA	74.	0.343702		$25.43	Money market
		Q	07/31	ANGLO IRISH BK CORP PLC	17.	0.655075		11.14	Money market
		Q	08/07	VODAFONE GROUP PLC NEW ADR	118.	0.70652		83.37	Money market
		Q	08/07	ESSILOR INTL	75.			0.55	Money market
		Q	08/09	BANCO SANTANDER CENTRL HISPANO	265.	0.136258		36.11	Money market

5506

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Additions, continued

	Type	Tax info.	Date		Quantity	Amount per share	Rate	Amount	Where invested
Income	Dividends	Q	08/21	VODAFONE GROUP PLC NEW ADR ESC RESULT OF REORGANIZATION AS OF 8/16/06				$334.14	Money market
		Q	08/25	ING GROEP N V ADR	110.	0.747228		82.19	Money market
			Total income					**$587.85**	
			Date		**Quantity**	**Amount per share**	**Trade date**	**Amount**	**Where invested**
Other income	Redemptions		07/31	CASH IN LIEU VODAFONE GROUP PLC NEW				$5.42	Money market
			08/16	VODAFONE GROUP PLC NEW ADR ESC CASH MERGER	118.			334.14	Money market
			08/21	VODAFONE GROUP PLC NEW ADR ESC REVERSE ENTRY OF 8/16/06	118.			-334.14	Money market
			Total other income					**$5.42**	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	08/15	CEMEX S A ADR NEW REP ORD	21.	27.602		-$579.64	Money market
	Total withdrawals to purchase securities					**-$579.64**	
Fees	08/07	REVERSE FEE FOR INCORRECT MANAGEMENT FEES				$1,107.16	Money market
	08/17	MANAGED ACCOUNT FEE				-151.25	Money market
	08/22	INCORRECT AMOUNT REVERSED 8/7/06				-1,107.16	Money market
	08/23	REVERSE FEE FOR MANAGED ACCOUNT PROGRAM				1,009.20	Money market
	Total fees					**$857.95**	

5507

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Subtractions, continued

	Date		Amount	Source of Funds
Taxes withheld	07/31	BANK NOVA SCOTIA 15.000% FOREIGN TAX	-$3.81	Money market
	07/31	ANGLO IRISH BK CORP PLC 20.000% FOREIGN TAX	-2.23	Money market
	08/01	SAP AKTIENGESELLSCHAFT ADR REVERSE FOREIGN TAX WITHHELD	2.92	Money market
	08/01	SAP AKTIENGESELLSCHAFT ADR 15.060% FOREIGN TAX	-2.08	Money market
	08/09	BANCO SANTANDER CENTRL HISPANO 15.000% FOREIGN TAX	-5.42	Money market
	08/25	ING GROEP N V ADR 15.000% FOREIGN TAX	-12.33	Money market
	Total taxes withheld		-$22.95	

Other Activity

Date	Activity		Quantity	Notes	Estimated Value
07/31	EXCHANGE FROM	VODAFONE GROUP PLC NEW ADR	-118.	RESULT OF REORGANIZATION	—
07/31	EXCHANGE TO	VODAFONE GROUP PLC NEW	103.	RESULT OF REORGANIZATION	—
07/31	EXCHANGE TO	VODAFONE GROUP PLC NEW ADR ESC	118.	RESULT OF REORGANIZATION	—
08/21	REMOVED FROM ACCOUNT	VODAFONE GROUP PLC NEW ADR ESC	-118.	AS OF 8/16/06	—

5508

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Pending Trades

Purchases	Trade date	Quantity	Price	Amount	Settlement date
MANULIFE FINANCIAL CORP	08/23/2006	38.000	$32.918	$1,250.89	08/28/2006
ERICSSON L M TEL CO ADR NEW	08/23/2006	7.000	31.765	222.36	08/28/2006

Build a Strategy for a Healthy Retirement. Then Run with It.
Dr. Rosemary Laird, medical director at Health First Aging Institute in Cape Canaveral will help you learn how. Join us on October 10, 2006, as we address issues you'll be facing as you age. Contact your investment representative for details about this free video program in your area.

5509

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

ACCOUNT INFORMATION

Please see your account agreement forms for complete conditions governing your account. All transactions are subject to applicable rules of the exchange market and its clearing house where the trade occurred, as well as of the Securities Exchange Commission, the Federal Reserve Board and any applicable self-regulatory organizations. If you have a complaint, please notify us at Edward Jones, Attn: Complaints Department, 1245 JJ Kelley Memorial Dr., St. Louis MO 63131. For all other concerns, please refer to the cover page of this statement for our contact information.

You can only withdraw $2,500 per day from a bank teller using your Edward Jones VISA debit card.

With questions regarding your mortgage or home equity loans, please refer to the separate loan statement issued for a description of fees and charges.

Account Protection - Edward Jones provides account protection for the net equity of your assets (except annuities and insurance) held in custody by Edward Jones for your account. Of that total, the Securities Investor Protection Corporation (SIPC) provides $500,000 of coverage, including $100,000 for claims of cash awaiting reinvestment (SIPC coverage). The remaining net equity is protected by Customer Asset Protection Company (CAPCO). This protection does not guard against market loss.

REGULATORY DISCLOSURES

Securities Investor Protection Corporation (SIPC): Information from SIPC, including the SIPC Brochure can be obtained at www.sipc.org or by calling (202)371-8300.

Financial Statement - The firm's financial statement is available at your branch office, or a copy will be mailed upon written request.

Rights to your Free Credit Balance - We are permitted to use your free credit balances to conduct our business, subject to the limitations of 17C.F.R.240 15c3-3 under the Securities Exchange Act of 1934. You have the right to receive, during normal business operations, free delivery of your credit balances; any securities to which you are entitled and which have been fully paid; upon full payment of any debt to us, any securities purchased on margin.

Errors or Questions About Your Electronic Transfers - Visit our website at www.edwardjones.com/electronictransfer or contact us for details. Refer to the cover page of this statement for our contact information.

Amount of money you can borrow - What you can borrow is not a commitment to loan funds. The amount available is typically 50% of the value of your investments held at Edward Jones. Your loan value could change daily. Your investment representative has access to daily values as well as more information on the "Amount of money you can borrow" should you wish to activate this feature. The minimum portfolio value required to be eligible for this feature is $4,000. Single, joint and business accounts are eligible.

Loan/Margin Accounts - If you have a loan or margin account, this statement covers: your general brokerage account; a special misc. account maintained for you under section 4(F)(6) of Regulation T issued by the Board of Governors of The Federal Reserve System; a separate account for securities you have sold short. The permanent record of this account is available upon request. If you have a credit balance in your loan account, the surplus amount is included with the amount shown for "cash and money market" balance.

Tax Withholding on Retirement Accounts - Federal income taxes of 10% on IRA or 20% on qualified plan distributions will be withheld unless a higher amount is elected or an IRS exception applies. State income taxes are withheld depending upon your state of residence upon distribution. Your withholding election will remain in effect until federal or state rule changes. Insufficient withholding may subject you to estimated income-tax payments to avoid penalties.

Required Minimum Distributions (RMDs) - RMDs from other IRAs must be calculated separately, but the total amount may be removed from one or more of your IRAs. RMDs from qualified plans must be calculated and removed separately from each plan and cannot be part of your IRA RMD. If you turned age 70-1/2 last year, and deferred your first RMD until the current year or you have unpriced securities in this account, the RMD Summary Section is understated. Verification by a tax professional is recommended.

Transaction/Settlement Dates - Securities transactions are noted on the settlement date shown on the transaction confirmation, excepting transactions involving Edward Jones Tax-free Money Market Fund or Edward Jones Money Market Investment Shares or Retirement Shares which are listed on the trade date. Additional sections report pending trades and open orders.

Contingent Deferred Sales Charge - Shares sold on certain mutual fund classes may be subject to a contingent deferred sales charge.

Portfolio Objective - Inform us promptly of any material change in your portfolio objective or financial situation.

Debt Securities Transactions - For debt securities transactions, call features may exist which could affect yield; complete information will be provided upon request.

Information for Investors - Edward Jones is a member of the New York Stock Exchange and the Chicago Stock Exchange. The firm also transacts business with a variety of dealers in securities, including listed and over-the-counter stocks and bonds, government and agency issues and municipal securities. For equity securities, the firm monitors performance of competing market centers and dealers and routes orders to those that consistently guarantee execution at the national best bid or offer or better. Routed market orders generally are directed to market centers or dealers that offer opportunity for better prices through either automated or manual systems. For agency transactions, the name of the other broker or party to the transaction will be furnished upon written request. For agency and principal transactions, the date and time of execution will be furnished upon written request. Periodically, the firm may receive other remuneration on agency trades from other sources; information will be furnished upon written request.

TERMINOLOGY

Total Estimated Value - The approximate value of the assets held at Edward Jones and outside companies at the statement date shown or date specified in the detail of Your Assets. It is estimated as the prices used to value your securities are provided by an outside service and do not always represent exact market prices. Edward Jones can't guarantee the accuracy of such values; if you need the exact price, contact your investment representative. Values for many fixed-income charges are estimates based on coupon rate and credit rating and may not represent actual transaction price. Values don't include accrued interest or dividends and for some investments don't reflect applicable charges and fees.

Your Assets Held Outside Edward Jones - Balances are provided for your information only to give an overall view of your investments with Edward Jones. SIPC coverage isn't extended to assets held outside the custody of Edward Jones. Refer to the statement received directly from these companies for details.

Tax Information for Income Distributions - Your year-end tax documents (eg. Form 1099) will provide specific classifications of your income distributions. The 2003 tax law allows Qualified (Q) dividends to be taxed at reduced rates: 15%, or 5% for individuals whose tax rates are 15% or less. Nonqualified (N) dividends are taxed at ordinary rates. Some, but not all, of Partially Qualified (P) dividends are taxed at reduced rates.

Cost Basis - Cost basis is the amount paid for a security, including commissions, reinvestments and original issue discount (OID) and adjustments for sales, principal returns, splits and spin offs. Some data may be unsubstantiated and should not be relied upon for tax preparation.

Amount Invested/Withdrawn - Amount invested reflects all purchases and other additions to your holdings, with the exception of dividend reinvestments. Amount withdrawn shows how much of your investment has been sold, redeemed or transferred. These figures should not be used for tax reporting or tax preparation.

Ratings and Recommendations - Edward Jones research opinions, Standard & Poor's and Moody's ratings may be shown for certain securities. Ratings or recommendations should not be considered an indication of future performance.

Account Activity - Entries appearing in Account Activity sections under columns headed "Where Invested" or "Sources of Funds" are additions to or subtractions from your account. For clients who maintain a money market account, entries indicating "Tax-Free Money Market" mean Edward Jones Tax-Free Money Market Fund and entries indicating "Money Market" mean Edward Jones Money Market Investment Shares or Retirement Shares depending on the share class owned. Activity dates appearing under "Sources of Funds" correspond to the sale date of the designated Edward Jones Money Market Fund. Entries appearing under "Where Invested" will be transacted as a purchase of the previously designated Edward Jones Money Market Fund on the second business day after the date shown, with the following exceptions which will be transacted on the date indicated:

Source of Funds	Number of Days After Activity Date Shown Until Purchase of Money Market Fund
Wired Funds, SWPS Electronic Bank Transfer	0
Direct Deposit	1
Security Sold or Interest/Dividend Received*	0
Bond Maturities, Calls, Tendered Items *	1
Transfers from Margin Account or Money Market Fund in another Edward Jones Account	0

* For assets held within Edward Jones account

5510

Account number:
Statement type:
August 26 - September 29, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TRUST

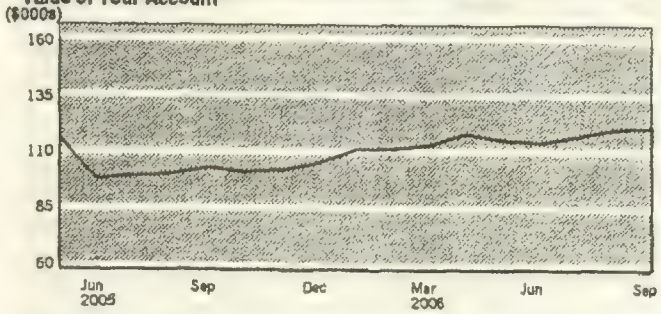
Value Summary (Held at Edward Jones)

Value on Sep 29	$122,768.38
Value on Aug 26	$121,356.07
Value one year ago	$104,748.04

Summary of Your Assets

Held at Edward Jones	Value on Sep 29	Value on Aug 26	Change in value
Cash & money market	$2,463.01	$4,237.41	-$1,774.40
Stocks	120,305.37	117,118.66	3,186.71
Total at Edward Jones	$122,768.38	$121,356.07	$1,412.31

Value of Your Account



This chart shows how the value of your account has changed recently (includes only assets held at Edward Jones). While it's important to be aware of short-term results, don't lose sight of the long term. Edward Jones believes a long-term investment strategy offers the greatest potential for success.

5511

Account number:
Statement type:
August 26 - September 29, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Income

Income distributions from securities	This period Taxable	Tax-free	Total	Year-to-date Taxable	Tax-free	Total
Money market dividends	$11.16	—	$11.16	$67.54	—	$67.5
Dividends						
Qualified (Q) - Reduced Tax Eligible	102.76	—	102.76	2,461.24	—	2,461.2
Total	$113.92	—	$113.92	$2,528.78	—	$2,528.7

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges						
Foreign taxes paid			-$3.89			-$265.2
Total			-$3.89			-$265.2

Your Estimated Interest and Dividends

The following is an estimated summary of your interest and dividend income for the next 12 months. It is based on a variety of factors. These factors, including distribution rates and amount of principal invested, are subject to change. Because they are estimates, the specific amounts listed here are not guaranteed by Edward Jones or by the underlying issuer(s) of the securities. Income from items with * is being reinvested.

		2006			2007									
Cash & money market funds	Quantity	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	Tota
Money Market 4.50%	2,463	9	9	9	9	9	9	9	9	9	9	9	9	108

Stocks	Tax info.													
AIR LIQUIDE	Q	93								89				89
ANGLO IRISH BK CORP PLC	Q	19				19						19		38
AXA SA ADR	Q	114								128				128
BANCO SANTANDER CENTRL HISPANO	Q	265		36		36			36			36		144

5512

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks	Tax Info.	Quantity	2006 OCT	NOV	DEC	2007 JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	Total
BANK NOVA SCOTIA	Q	74	25			25			25			25			100
BP AMOCO PLC SPONSORED ADR 25P	Q	39			22			22			22			22	88
CADBURY SCHWEPPES PLC ADR	Q	56		35						35					70
COCA COLA AMATIL LTD ADR	Q	75	18						18						36
DIAGEO PLC ADR NEW	Q	47	39						39						78
FOMENTO ECONOMICO MEXICANO ADR	Q	17									13				13
FRESENIUS MEDICAL CARE AG ADR	Q	93								48					48
FUJI PHOTO FILM LTD ADR NEW	Q	75				6						6			12
GLAXOSMITHKLINE PLC SPONS ADR	Q	54	21			21			21			21			84
GROUPE DANONE ADR	Q	100								43					43
ING GROEP N V ADR	Q	110					82						82		164
KYOCERA CORP	Q	28				11						11			22
L OREAL CO ADR	Q	152									25				25
LAFARGE SPONSORED ADR NEW	Q	38										30			30
MANULIFE FINANCIAL CORP	Q	130			20			20			20			20	80
NATL AUSTRALIA BANK	Q	21				65						65			130
NESTLE S A ADR	Q	39								70					70
NEXEN INC	Q	51	2			2			2			2			8
NOVARTIS AG ADR	Q	58							50						50
ROCHE HOLDING LTD ADR	Q	49							45						45
ROYAL DUTCH SHELL PLC ADR A	Q	27			17			17			17			17	68
SAP AKTIENGESELLSCHAFT ADR	Q	30								10					10

5513

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks	Tax info.	Quantity	2006 OCT	NOV	DEC	2007 JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	Tota
SONY CORP ADR NEW	Q	80			6						6				12
STMICROELECTRONICS	Q	77								9					9
TOTAL SA	Q	46			102						102				204
Total			114	80	176	139	146	68	209	260	431	169	146	68	2,006

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.50%	4.60%	$2,463.01
Total cash and money market funds			$2,463.01

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AIR LIQUIDE Symbol: AIQUY	Growth & Income None	40.764	93.	$3,791.05	$2,984.10	-$73.10
ANGLO IRISH BK CORP PLC Symbol: AGIBY	Growth & Income None	164.171	19.	3,119.25	2,999.21	—
AXA SA ADR Symbol: AXA	Growth & Income None	36.910	114.	4,207.74	2,895.29	-70.14
BANCO SANTANDER CENTRAL HISPANO SA Symbol: STD	Growth & Income None	15.790	265.	4,184.35	3,094.20	-63.65
BANK NOVA SCOTIA Symbol: BNS	Growth & Income Hold	43.070	74.	3,187.18	2,604.44	—
BP AMOCO PLC SPONSORED ADR 25P Symbol: BP	Growth & Income Buy	65.580	39.	2,557.62	2,591.12	-70.65

5514

201 Progress Parkway
Maryland Heights, MO 63043 3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
CADBURY SCHWEPPES P L C ADR Symbol: CSG	Growth & Income None	42.770	56.	$2,395.12	$2,268.96	-$86.10
CANON INC ADR Symbol: CAJ	Growth None	52.290	69.	3,608.01	2,468.22	—
CEMEX S A ADR NEW REPRESENTING ORDINARY PARTN CTF NEW Symbol: CX	Growth None	30.080	41.	1,233.28	1,156.82	—
COCA COLA AMATIL LTD ADR Symbol: CCLAY	Aggressive None	9.985	75.	748.88	2,607.60	-1,431.42
DIAGEO PLC ADR NEW Symbol: DEO	Growth & Income None	71.040	47.	3,338.88	3,086.20	-314.23
ERICSSON L M TEL CO ADR NEW Symbol: ERIC	Aggressive None	34.450	78.	2,687.10	2,584.41	-35.78
ESSILOR INTL Symbol: ESLOY	Growth & Income None	51.145	75.	3,835.88	2,656.50	-83.30
FOMENTO ECONOMICO MEXICANO SA DE CV NEW ADR Symbol: FMX	Growth None	98.940	17.	1,647.98	1,200.83	—
FRESENIUS MED CARE AKTIENGESELLSCHAFT ADR Symbol: FMS	Aggressive None	43.220	93.	4,019.46	3,263.03	-814.68
FUJI PHOTO FILM LTD ADR NEW Symbol: FUJIY	Aggressive None	36.460	75.	2,734.50	2,529.12	-133.20
GLAXOSMITHKLINE PLC SPONS ADR Symbol: GSK	Growth & Income Hold	53.230	54.	2,874.42	2,711.38	-49.61
GROUPE DANONE ADR Symbol: DA	Growth None	29.620	100.	2,962.00	2,159.88	-554.51

5515

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
HOYA CORP Symbol: HOCPY	Growth & Income None	37.691	63	$2,374.53	$2,014.38	—
HSBC HOLDINGS PLC ADR NEW Symbol: HBC	Growth & Income Buy	91.530	42.	3,844.26	3,483.43	81.33
ING GROEP N V ADR Symbol: ING	Growth & Income None	43.980	110.	4,837.80	3,141.40	-88.44
KAO CORP SPONSORED ADR REPSTG 10 SHS Symbol: KCRPY	Growth None	266.802	10.	2,668.02	2,872.50	-534.00
KYOCERA CORP Symbol: KYO	Growth None	85.540	28.	2,395.12	2,553.40	-517.98
L OREAL CO ADR Symbol: LRLCY	Growth None	20.293	152.	3,084.54	2,493.75	-400.09
LAFARGE SPONSORED ADR NEW Symbol: LR	Growth & Income None	32.220	38.	1,224.36	1,049.45	-273.60
LUXOTTICA GROUP S P A ADR Symbol: LUX	Growth None	29.430	123.	3,619.89	2,844.80	-474.75
MANULIFE FINANCIAL CORP Symbol: MFC	Growth & Income Buy	32.260	130.	4,193.80	3,609.36	—
NATIONAL AUSTRALIA BANK Symbol: NAB	Growth & Income None	136.410	21.	2,864.61	2,568.72	-123.16
NESTLE S A ADR Symbol: NSRGY	Growth & Income None	86.925	39.	3,390.08	3,043.25	-596.00
NEXEN INC Symbol: NXY	Aggressive None	53.460	51.	2,726.46	1,778.58	-288.07
NOVARTIS AG ADR Symbol: NVS	Growth Buy	58.440	58.	3,389.52	2,860.80	-99.18

5516

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
REED ELSEVIER P L C SPONSORED ADR Symbol: RUK	Growth None	44.530	94.	$4,185.82	$3,773.30	-$114.78
ROCHE HLDG LTD ADR Symbol: RHHBY	Growth None	86.208	49.	4,224.19	3,250.00	-214.05
ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHS Symbol: RDS A	Growth & Income Buy	66.100	27.	1,784.70	3,738.10	-1,964.88
SANOFI-AVENTIS SPONSORED ADR Symbol: SNY	Growth & Income None	44.470	58.	2,579.26	2,412.51	-40.65
SAP AKTIENGESELLSCHAFT ADR Symbol: SAP	Aggressive None	49.500	30.	1,485.00	1,523.16	-258.90
SMITH & NEPHEW P L C SPONSORED ADR NEW Symbol: SNN	Growth None	45.830	69.	3,162.27	3,331.50	—
SONY CORP ADR NEW Symbol: SNE	Growth None	40.360	60.	2,421.60	—	—
STMICROELECTRONICS Symbol: STM	Growth None	17.260	77.	1,329.02	1,400.12	—
TOTAL S A Symbol: TOT	Growth & Income None	65.940	46.	3,033.24	2,673.06	—
VODAFONE GROUP PLC NEW SPONSORED ADR Symbol: VOD	Aggressive Hold	22.860	103.	2,354.58	2,887.32	—
Total stocks				**$120,305.37**	—	—
Total estimated asset value				**$122,768.38**		

5517

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Investment Activity

Total cash and money market funds on Aug 26	$4,237.41
Additions	
Income	$113.92
Proceeds from securities sold	$325.10
Total additions	$439.02
Subtractions	
Withdrawals to purchase securities	-$2,050.43
Fees	-$159.10
Taxes withheld	-$3.89
Total subtractions	-$2,213.42
Total cash and money market funds on Sep 29	**$2,463.01**

Detail of Your Investment Activity

Additions

	Type		Date			Days	Rate	Amount	Where Invested
Income	Money market dividends		09/20	MONEY MARKET		30	4.49	11.16	Money market
	Type	**Tax Info.**	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Invested**
	Dividends	Q	09/05	REED ELSEVIER P L C SPON ADR	94.	0.30897		$29.04	Money market
		Q	09/05	CANON INC ADR	46.	0.422203		19.42	Money market
		Q	09/06	BP AMOCO PLC SPONSORED ADR 25P	39.	0.5895		22.99	Money market
		Q	09/14	ROYAL DUTCH SHELL PLC ADR A	27.	0.6308		17.03	Money market
		Q	09/20	MANULIFE FINANCIAL CORP	92.	0.155692		14.32	Money market

5518

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Additions, continued

	Type	Tax info.	Date		Quantity	Amount per share	Rate	Amount	Where invested
Income	Dividends	Q	09/26	SANOFI AVENTIS SPONSORED ADR	58.	0.961918		$55.79	Money market
		Q	09/26	SANOFI AVENTIS SPONSORED ADR REVERSE 07/06/06 CASH DIV TO CORRECT RATE	58.			-55.83	Money market
			Total income					$113.92	

	Date		Quantity	Amount per share	Trade date	Amount	Where invested
Proceeds from securities sold	09/01	COCA COLA AMATIL LTD ADR	13.	9.8078		$127.50	Money market
	09/05	COCA COLA AMATIL LTD ADR	20.	9.88		197.60	Money market
	Total proceeds from securities sold					$325.10	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of funds
Withdrawals to purchase securities	08/28	MANULIFE FINANCIAL CORP	38.	32.9182		-$1,250.89	Money market
	08/28	ERICSSON L M TEL CO ADR NEW	7.	31.765		-222.36	Money market
	09/13	CEMEX S A ADR NEW REP ORD	20.	28.8592		-577.18	Money market
	Total withdrawals to purchase securities					-$2,050.43	
Fees	09/12	CANON INC ADR ADR FEE OF $0.05 PER SPLIT SHS				-$1.15	Money market
	09/18	MANAGED ACCOUNT FEE				-157.95	Money market
	Total fees					-$159.10	

	Date		Amount	Source of funds
Taxes withheld	09/05	CANON INC ADR 7.000% FOREIGN TAX	-$1.36	Money market

5519

201 Progress Parkway
Mary and Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Subtractions, continued

	Date		Amount	Source of Funds
Taxes withheld	09/14	ROYAL DUTCH SHELL PLC ADR A 15.000% FOREIGN TAX	-$2.55	Money market
	09/20	MANULIFE FINANCIAL CORP 15.000% FOREIGN TAX	-2.15	Money market
	09/26	SANOFI AVENTIS SPONSORED ADR REVERSE FOREIGN TAX WITHHELD	13.96	Money market
	09/26	SANOFI AVENTIS SPONSORED ADR 21.138% FOREIGN TAX	-11.79	Money market
	Total taxes withheld		**-$3.89**	

Other Activity

Date	Activity		Quantity	Notes	Estimated Value
08/30	STOCK SPLIT	CANON INC ADR	23.	ON 46 AT .5 PER SHARE	—

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$0.00	$724.96
Long term (held over 1 year)	-80.80	283.75
Total	**-$80.80**	**$1,008.71**

5520

201 Progress Parkway
Mary and Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
COCA COLA AMATIL LTD ADR	08/27/2005	08/29	13.000	$159.90	$127.50	-$32.40	Long term
COCA COLA AMATIL LTD ADR	06/27/2005	08/30	20.000	246.00	197.60	-48.40	Long term

As you requested, copies of your statement have been sent to:

5521

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

This page intentionally left blank

Account number:
Statement type:
September 30 - October 27, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TRUST

Value Summary (Held at Edward Jones)

Value on Oct 27	$126,164.51
Value on Sep 30	$122,768.38
Value one year ago	$102,026.71

Summary of Your Assets

Held at Edward Jones	Value on Oct 27	Value on Sep 30	Change in value
Cash & money market	$1,759.84	$2,463.01	-$703.17
Stocks	124,404.67	120,305.37	4,099.30
Total at Edward Jones	$126,164.51	$122,768.38	$3,396.13

Summary of Your Income

Income distributions from securities	This period Taxable	Tax-free	Total	Year-to-date Taxable	Tax-free	Tota
Money market dividends	$8.33	—	$8.33	$75.87	—	$75.8
Dividends						
Qualified (Q) - Reduced Tax Eligible	96.21	—	96.21	2,557.45	—	2,557.4
Total	$104.54	—	$104.54	$2,633.32	—	$2,633.3

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges						
Foreign taxes paid			-$0.34			-$265.5
Total			-$0.34			-$265.5

5523

201 Progress Parkway
Mary'and Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.50%	4.60%	$1,759.84
Total cash and money market funds			$1,759.84

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AIR LIQUIDE Symbol: AIQUY	Growth & Income None	42.445	93.	$3,947.39	$2,984.10	-$73.10
ANGLO IRISH BK CORP PLC Symbol: AGIBY	Growth & Income None	178.383	19.	3,389.28	2,999.21	—
AXA SA ADR Symbol: AXA	Growth & Income None	38.080	114.	4,341.12	2,895.29	-70.14
BANCO SANTANDER CENTRAL HISPANO SA Symbol: STD	Growth & Income None	17.170	265.	4,550.05	3,094.20	-83.65
BANK NOVA SCOTIA Symbol: BNS	Growth & Income Hold	43.290	74.	3,203.46	2,604.44	—
BP AMOCO PLC SPONSORED ADR 25P Symbol: BP	Growth & Income Buy	68.060	39.	2,654.34	2,591.12	-70.65
CADBURY SCHWEPPES P L C ADR Symbol: CSG	Growth & Income None	41.020	56.	2,297.12	2,268.96	-86.10
CANON INC ADR Symbol: CAJ	Growth None	54.560	69.	3,764.64	2,468.22	—

5524

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
CEMEX S A ADR NEW REPRESENTING ORDINARY PARTN CTF NEW Symbol: CX	Growth None	30.550	41.	$1,252.55	$1,156.82	—
COCA COLA AMATIL LTD ADR Symbol: CCLAY	Aggressive None	10.601	75.	795.08	2,607.60	-1,431.42
DIAGEO PLC ADR NEW Symbol: DEO	Growth & Income None	74.920	47.	3,521.24	3,086.20	-314.23
ERICSSON L M TEL CO ADR NEW Symbol: ERIC	Aggressive None	37.420	78.	2,918.76	2,584.41	-35.78
ESSILOR INTL Symbol: ESLOY	Growth & Income None	51.403	75.	3,855.23	2,656.50	-83.30
FOMENTO ECONOMICO MEXICANO SA DE CV NEW ADR Symbol: FMX	Growth None	98.320	17.	1,671.44	1,200.83	—
FRESENIUS MED CARE AKTIENGESELLSCHAFT ADR Symbol: FMS	Aggressive None	45.340	93.	4,216.62	3,263.03	-814.68
FUJIFILM HLDGS CORP Symbol: FUJIY	Growth None	37.550	75.	2,816.25	2,529.12	-133.20
GLAXOSMITHKLINE PLC SPONS ADR Symbol: GSK	Growth & Income Hold	53.280	54.	2,877.12	2,711.38	-49.61
GROUPE DANONE ADR Symbol: DA	Growth None	31.070	100.	3,107.00	2,159.88	-554.51
HOYA CORP Symbol: HOCPY	Growth & Income None	40.134	63.	2,528.44	2,014.38	—
HSBC HOLDINGS PLC ADR NEW Symbol: HBC	Growth & Income Buy	95.250	42.	4,000.50	3,483.43	-81.33

5525

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ING GROEP N V ADR Symbol: ING	Growth & Income None	44.030	110.	$4,843.30	$3,141.40	-$88.44
KAO CORP SPONSORED ADR REPSTG 10 SHS Symbol: KCRPY	Growth None	253.816	10.	2,538.16	2,872.50	-534.00
KYOCERA CORP Symbol: KYO	Growth None	89.450	28.	2,504.60	2,553.40	-517.98
L OREAL CO ADR Symbol: LRLCY	Growth None	19.378	152.	2,945.46	2,493.75	-400.09
LAFARGE SPONSORED ADR NEW Symbol: LR	Growth & Income None	33.810	38.	1,284.78	1,049.45	-273.60
LUXOTTICA GROUP S P A ADR Symbol: LUX	Growth None	30.050	123.	3,696.15	2,844.80	-474.75
MANULIFE FINANCIAL CORP Symbol: MFC	Growth & Income Buy	32.570	130.	4,234.10	3,609.36	—
NATIONAL AUSTRALIA BANK Symbol: NAB	Growth & Income None	146.090	21.	3,067.89	2,568.72	-123.16
NESTLE S A ADR Symbol: NSRGY	Growth & Income None	85.447	39.	3,332.43	3,043.25	-596.00
NEXEN INC Symbol: NXY	Aggressive None	56.150	51.	2,863.65	1,778.58	-288.07
NOVARTIS AG ADR Symbol: NVS	Growth Buy	60.610	58.	3,515.38	2,860.80	-99.18
REED ELSEVIER P L C SPONSORED ADR Symbol: RUK	Growth None	45.300	94.	4,258.20	3,773.30	-114.78

5526

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ROCHE HLDG LTD ADR Symbol: RHHBY	Growth None	87.077	49.	$4,266.77	$3,250.00	-$214.05
ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHS Symbol: RDS A	Growth & Income Buy	69.130	27.	1,866.51	3,738.10	-1,964.88
SANOFI-AVENTIS SPONSORED ADR Symbol: SNY	Growth & Income None	43.570	58.	2,527.06	2,412.51	-40 65
SAP AKTIENGESELLSCHAFT ADR Symbol: SAP	Aggressive None	49.480	30.	1,484.40	1,523 16	-258 90
SMITH & NEPHEW P L C SPONSORED ADR NEW Symbol: SNN	Growth None	47.940	69.	3,307.86	3,331.50	—
SONY CORP ADR NEW Symbol: SNE	Growth None	41.460	60.	2,487.60	—	—
STMICROELECTRONICS Symbol: STM	Growth None	16.800	77.	1,293 60	1,400 12	—
TELEVISION BROADCASTS LTD ADR Symbol: TVBCY	Growth & Income None	11.197	57.	638.23	652.65	—
TOTAL S A Symbol: TOT	Growth & Income None	68.130	46.	3,133.98	2,673 06	—
VODAFONE GROUP PLC NEW SPONSORED ADR Symbol: VOD	Aggressive Hold	25.310	103.	2,606.93	2,887.32	—
Total stocks				$124,404.67	—	—
Total estimated asset value				$126,164.51		

5527

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Summary of Your Investment Activity

Total cash and money market funds on Sep 30	$2,463.01
Additions	
Income	$104.54
Total additions	$104.54
Subtractions	
Withdrawals to purchase securities	-$652.65
Fees	-$154.72
Taxes withheld	-$0.34
Total subtractions	-$807.71
Total cash and money market funds on Oct 27	$1,759.84

Detail of Your Investment Activity

Additions

	Type		Date			Days	Rate	Amount	Where Invested
Income	Money market dividends		10/20	MONEY MARKET		32	4.51	8.33	Money market
	Type	**Tax Info.**	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Invested**
	Dividends	Q	10/03	NEXEN INC	51.	0.044574		$2.27	Money market
		Q	10/06	GLAXOSMITHKLINE PLC SPONS ADR	54.	0.40634		21.94	Money market
		Q	10/06	HSBC HOLDINGS PLC ADR NEW	42.	0.75		31.50	Money market
		Q	10/16	COCA COLA AMATIL LTD ADR	108.	0.21515		23.24	Money market
		Q	10/23	CADBURY SCHWEPPES PLC ADR	56.	0.3083		17.26	Money market
			Total income					$104.54	

5528

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	10/06	TELEVISION BROADCASTS LTD ADR	57.	11.45		-$652.65	Money market
	Total withdrawals to purchase securities					**-$652.65**	
Fees	10/13	MANAGED ACCOUNT FEE				-$154.72	Money market
	Total fees					**-$154.72**	
	Date					**Amount**	**Source of Funds**
Taxes withheld	10/03	NEXEN INC				-$0.34	Money market
		15.000% FOREIGN TAX					
	Total taxes withheld					**-$0.34**	

Other Activity

Date	Activity		Quantity	Notes	Estimated Value
10/04	NAME CHANGE TO	FUJIFILM HLDGS CORP	75.		—
10/04	NAME CHANGE FROM	FUJI PHOTO FILM LTD ADR NEW	-75.		—

Pending Trades

Purchases	Trade date	Quantity	Price	Amount	Settlement date
TELEVISION BROADCASTS LTD ADR	10/27/2006	56.000	$11.369	$636.69	11/01/2006

As you requested, copies of your statement have been sent to:

5529

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

This page intentionally left blank

Account number:
Statement type:
October 28 - November 24, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TRUST

Value Summary (Held at Edward Jones)

Value on Nov 24	$128,253.93
Value on Oct 28	$126,164.51
Value one year ago	$103,145.63

Summary of Your Assets

Held at Edward Jones	Value on Nov 24	Value on Oct 26	Change in value
Cash & money market	$1,691.58	$1,759.84	-$68.26
Stocks	126,562.35	124,404.67	2,157.68
Total at Edward Jones	**$128,253.93**	$126,164.51	$2,089.42

Summary of Your Income

	This period			Year-to-date		
Income distributions from securities	Taxable	Tax-free	Total	Taxable	Tax-free	Tot
Money market dividends	$5.67	—	$5.67	$81.54	—	$81.5
Dividends						
Qualified (Q) - Reduced Tax Eligible	143.32	—	143.32	2,700.77	—	2,700.7
Total	$148.99	—	$148.99	$2,782.31	—	$2,782.3

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges						
Foreign taxes paid			-$9.27			-$274.8
Total			-$9.27			-$274.8

5531

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.51%	4.61%	$1,691.58
Total cash and money market funds			$1,691.58

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AIR LIQUIDE Symbol: AIQUY	Growth & Income None	45.457	93.	$4,227.50	$2,984.10	-$73.10
ANGLO IRISH BK CORP PLC Symbol: AGIBY	Growth & Income None	191.150	19.	3,631.85	2,999.21	—
AXA SPONSORED ADR Symbol: AXA	Growth & Income None	38.580	114.	4,398.12	2,895.29	-70.14
BANCO SANTANDER CENTRAL HISPANO SA Symbol: STD	Growth & Income None	18.240	265.	4,833.60	3,094.20	-63.65
BANK NOVA SCOTIA Symbol: BNS	Growth & Income Hold	46.310	74.	3,426.94	2,604.44	—
BP AMOCO PLC SPONSORED ADR 25P Symbol: BP	Growth & Income Buy	66.500	39.	2,593.50	2,591.12	-70.65
CADBURY SCHWEPPES P L C ADR Symbol: CSG	Growth & Income None	41.750	56.	2,338.00	2,268.96	-86.10
CANON INC ADR Symbol: CAJ	Growth None	51.880	69.	3,579.72	2,468.22	—

5532

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
CEMEX S A ADR NEW REPRESENTING ORDINARY PARTN CTF NEW Symbol: CX	Growth None	32.190	41.	$1,319.79	$1,156.82	—
COCA COLA AMATIL LTD ADR Symbol: CCLAY	Aggressive None	11.159	46.	513.31	—	—
DIAGEO PLC ADR NEW Symbol: DEO	Growth & Income None	78.650	47.	3,602.55	3,086.20	-314.23
~~ERICSSON L M TEL CO~~ ADR NEW Symbol: ERIC	~~Aggressive~~ None	~~39.960~~	~~78.~~	~~3,116.10~~	~~2,564.41~~	~~-35.78~~
ESSILOR INTL Symbol: ESLOY	Growth & Income None	54.039	75.	4,052.93	2,656.50	-83.30
FOMENTO ECONOMICO MEXICANO SA DE CV NEW ADR Symbol: FMX	Growth None	104.820	17.	1,781.94	1,200.83	—
FRESENIUS MED CARE AKTIENGESELLSCHAFT ADR Symbol: FMS	Aggressive None	44.660	93.	4,153.38	3,263.03	-814.68
FUJIFILM HLDGS CORP Symbol: FUJIY	Growth None	38.420	75.	2,881.50	2,529.12	-133.20
GLAXOSMITHKLINE PLC SPONS ADR Symbol: GSK	Growth & Income Hold	51.650	54.	2,789.10	2,711.38	-49.61
GROUPE DANONE ADR Symbol: DA	Growth None	33.030	100.	3,303.00	2,159.88	-554.51
HOYA CORP Symbol: HOCPY	Growth & Income None	37.374	63.	2,354.56	2,014.38	—
HSBC HOLDINGS PLC ADR NEW Symbol: HBC	Growth & Income Buy	93.980	42.	3,947.16	3,483.43	-81.33

5533

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ING GROEP N V ADR Symbol: ING	Growth & Income None	42.610	110.	$4,687.10	$3,141.40	-$88.44
KAO CORP SPONSORED ADR REPSTG 10 SHS Symbol: KCRPY	Growth None	267.576	10.	2,675.76	2,872.50	-534.00
KYOCERA CORP Symbol: KYO	Growth None	87.800	28.	2,458.80	2,553.40	-517.98
L OREAL CO ADR Symbol: LRLCY	Growth None	20.293	152.	3,084.54	2,493.75	-400.09
LAFARGE SPONSORED ADR NEW Symbol: LR	Growth & Income None	36.000	38.	1,368.00	1,049.45	-273.60
LUXOTTICA GROUP S P A ADR Symbol: LUX	Growth None	30.730	114.	3,503.22	2,844.80	-747.04
MANULIFE FINANCIAL CORP Symbol: MFC	Growth & Income Buy	33.720	130.	4,383.60	3,609.38	—
NATIONAL AUSTRALIA BANK Symbol: NAB	Growth & Income None	149.830	21.	3,146.43	2,568.72	-123.16
NESTLE S A ADR Symbol: NSRGY	Growth & Income None	89.157	39.	3,477.12	3,043.25	-598.00
NEXEN INC Symbol: NXY	Aggressive None	53.360	51.	2,721.36	1,778.56	-288.07
NOVARTIS AG ADR Symbol: NVS	Growth Buy	58.740	58.	3,406.92	2,860.80	-99.18
REED ELSEVIER P L C SPONSORED ADR Symbol: RUK	Growth None	43.190	94.	4,059.86	3,773.30	-114.78

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ROCHE HLDG LTD ADR Symbol: RHHBY	Growth None	91.161	49.	$4,466.89	$3,250.00	-$214.05
ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHS Symbol: RDS A	Growth & Income Buy	70.210	27.	1,895.67	3,738.10	-1,964.88
SANOFI-AVENTIS SPONSORED ADR Symbol: SNY	Growth & Income None	43.150	58.	2,502.70	2,412.51	-40.65
SAP AKTIENGESELLSCHAFT ADR Symbol: SAP	Aggressive None	52.500	30.	1,575.00	1,523.16	-258.90
SMITH & NEPHEW P L C SPONSORED ADR NEW Symbol: SNN	Growth None	47.400	69.	3,270.60	3,331.50	—
SONY CORP ADR NEW Symbol: SNE	Growth None	39.630	60.	2,377.80	—	—
STMICROELECTRONICS Symbol: STM	Growth None	18.330	77.	1,411.41	1,400.12	—
TELEVISION BROADCASTS LTD ADR Symbol: TVBCY	Growth & Income None	11.531	113.	1,303.00	1,289.34	—
TOTAL S A Symbol: TOT	Growth & Income None	70.550	46.	3,245.30	2,673.06	—
VODAFONE GROUP PLC NEW SPONSORED ADR Symbol: VOD	Aggressive Hold	26.240	103.	2,702.72	2,887.32	—
Total stocks				**$126,562.35**	—	—
Total estimated asset value				**$128,253.93**		

5535

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Summary of Your Investment Activity

Total cash and money market funds on Oct 28	$1,759.84
Additions	
Income	$148.99
Proceeds from securities sold	$589.84
Total additions	$738.83
Subtractions	
Withdrawals to purchase securities	-$636.69
Fees	-$181.13
Taxes withheld	-$9.27
Total subtractions	-$807.09
Total cash and money market funds on Nov 24	**$1,691.58**

Detail of Your Investment Activity

Additions

	Type		Date			Days	Rate	Amount	Where Invested
Income	Money market dividends		11/20	MONEY MARKET		29	4.51	5.67	Money market
	Type	**Tax Info.**	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Invested**
	Dividends	Q	10/30	BANK NOVA SCOTIA	74.	0.34645		$25.64	Money market
		Q	11/02	DIAGEO PLC ADR NEW	47.	1.43387		67.39	Money market
		Q	11/09	BANCO SANTANDER CENTRL HISPANO	265.	0.136387		36.14	Money market
		Q	11/13	SMITH & NEPHEW PLC SPONS ADR	69.	0.205		14.15	Money market
			Total Income					$148.99	

5536

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Additions, continued

	Date		Quantity	Amount per share	Trade date	Amount	Where investe
Proceeds from securities sold	11/02	LUXOTTICA GROUP SPA ADR	9.	30.2539		272.29	Money market
	11/16	COCA COLA AMATIL LTD ADR	29.	10.95		317.55	Money market
	Total proceeds from securities sold					**$589.84**	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	11/01	TELEVISION BROADCASTS LTD ADR	56.	11.3695		-$636.69	Money market
	Total withdrawals to purchase securities					**-$636.69**	
Fees	11/15	MANAGED ACCOUNT FEE				-$161.13	Money market
	Total fees					**-$161.13**	
	Date					**Amount**	**Source of Funds**
Taxes withheld	10/30	BANK NOVA SCOTIA 15.000% FOREIGN TAX				-$3.85	Money market
	11/09	BANCO SANTANDER CENTRL HISPANO 15.000% FOREIGN TAX				-5.42	Money market
	Total taxes withheld					**-$9.27**	

Pending Trades

Purchases	Trade date	Quantity	Price	Amount	Settlement date
SONY CORP ADR NEW	11/21/2006	3.000	$40.151	$120.45	11/27/2006
CEMEX S A ADR NEW REP ORD	11/24/2006	10.000	32.235	322.36	11/29/2006

Sells	Trade date	Quantity	Price	Amount	Settlement date
COCA COLA AMATIL LTD ADR	11/22/2006	48.000	11.100	510.60	11/28/2006

5537

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$0.00	$724.96
Long term (held over 1 year)	-4.94	278.81
Total	-$4.94	$1,003.77

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
LUXOTTICA GROUP SPA ADR	06/27/2005	10/30	9.000	$182.88	$272.29	$89.41	Long term
COCA COLA AMATIL LTD ADR	06/27/2005	11/13	29.000	356.70	317.55	-39.15	Long term
COCA COLA AMATIL LTD ADR	06/27/2005	11/22	46.000	565.80	510.60	-55.20	Long term

As you requested, copies of your statement have been sent to:

5538

Account number:
Statement type:
November 25 - December 31, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TRUST

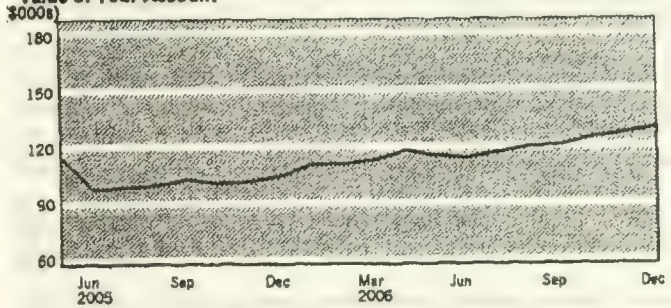
Value Summary (Held at Edward Jones)

Value on Dec 31	$131,737.86
Value on Nov 25	$128,253.93
Value one year ago	$106,968.13

Summary of Your Assets

Held at Edward Jones	Value on Dec 31	Value on Nov 25	Change in value
Cash & money market	$1,157.00	$1,091.58	$534.58
Stocks	130,580.86	126,562.35	4,018.51
Total at Edward Jones	$131,737.86	$128,253.93	$3,483.93

Value of Your Account



This chart shows how the value of your account has changed recently
includes only assets held at Edward Jones). While it's important to be aware
of short-term results, don't lose sight of the long term. Edward Jones believes
a long-term investment strategy offers the greatest potential for success.

5539

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Summary of Your Income

Income distributions from securities	This period Taxable	Tax-free	Total	Year-to-date Taxable	Tax-free	Total
Money market dividends	$10.51	—	$10.51	$92.05	—	$92.05
Dividends						
Qualified (Q) - Reduced Tax Eligible	237.55	—	237.55	2,938.32	—	2,938.32
Total	**$248.06**	**—**	**$248.06**	**$3,030.37**	**—**	**$3,030.37**

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges						
Foreign taxes paid			-$22.56			-$297.42
Total			**-$22.56**			**-$297.42**

Your Estimated Interest and Dividends

The following is an estimated summary of your interest and dividend income for the next 12 months. It is based on a variety of factors. These factors, including distribution rates and amount of principal invested, are subject to change. Because they are estimates, the specific amounts listed here are not guaranteed by Edward Jones or by the underlying issuer(s) of the securities. Income from items with * is being reinvested.

Cash & money market funds	Quantity	2007 JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	Total
Money Market 4.54%	1,157	4	4	4	4	4	4	4	4	4	4	4	4	48

Stocks	Tax Info.													
AIR LIQUIDE	Q	93					89							89
ANGLO IRISH BK CORP PLC	Q	190		199					199					398
AXA SPONSORED ADR	Q	130					146							146
BANCO SANTANDER CENTRL HISPANO	Q	265		36		36			36			36		144

5540

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks	Tax Info.	Quantity	2007 JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	Total
BANK NOVA SCOTIA	Q	80	27			27			27			27			108
BP AMOCO PLC SPONSORED ADR 25P	Q	39			22			22			22			22	88
CADBURY SCHWEPPES PLC ADR	Q	56				17						17			34
DIAGEO PLC ADR NEW	Q	47					67						67		134
FOMENTO ECONOMICO MEXICANO ADR	Q	17						13							13
FRESENIUS MEDICAL CARE AG ADR	Q	93					48								48
GLAXOSMITHKLINE PLC SPONS ADR	Q	60	24			24			24			24			96
GROUPE DANONE ADR	Q	77					33								33
ING GROEP N V ADR	Q	110		82						82					164
KYOCERA CORP	Q	28						11						11	22
L OREAL CO ADR	Q	152						25							25
LAFARGE SPONSORED ADR NEW	Q	38							30						30
MANULIFE FINANCIAL CORP	Q	130			22			22			22			22	88
NATL AUSTRALIA BANK	Q	21						69						69	138
NESTLE S A ADR	Q	46					83								83
NEXEN INC	Q	51	2			2			2			2			8
NOVARTIS AG ADR	Q	58				50									50
ROCHE HOLDING LTD ADR	Q	49				45									45
ROYAL DUTCH SHELL PLC ADR A	Q	27			16			16			16			16	64
SAP AKTIENGESELLSCHAFT ADR	Q	30					10								10
SONY CORP ADR NEW	Q	74						7						7	14

5541

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks	Tax info.	Quantity	2007 JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	Total
STMICROELECTRONICS	Q	77					9								9
TOTAL SA	Q	46						51						51	102
Total			**57**	**321**	**64**	**169**	**290**	**475**	**87**	**321**	**64**	**74**	**107**	**202**	**2,231**

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.54%	4.65%	$1,157.00
Total cash and money market funds			**$1,157.00**

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AIR LIQUIDE Symbol: AIQUY	Growth & Income None	47.445	93.	$4,412.39	$2,984.10	-$73.10
ANGLO IRISH BK CORP PLC Symbol: AGIBY	Growth & Income None	20.637	190.	3,921.03	2,999.21	—
AXA SPONSORED ADR Symbol: AXA	Growth & Income None	40.330	130.	5,242.90	3,540.85	-70.14
BANCO SANTANDER CENTRAL HISPANO SA Symbol: STD	Growth & Income None	18.660	265.	4,944.90	3,094.20	-83.65
BANK NOVA SCOTIA Symbol: BNS	Growth & Income Hold	44.800	80.	3,584.00	2,873.81	—
BP AMOCO PLC SPONSORED ADR 25P Symbol: BP	Growth & Income Buy	67.100	39.	2,616.90	2,591.12	-70.65

5542

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
CADBURY SCHWEPPES P L C ADR Symbol: CSG	Growth & Income None	42.930	58.	$2,404.08	$2,268.98	-$88.10
CANON INC ADR Symbol: CAJ	Growth None	56.590	69.	3,904.71	2,468.22	—
CEMEX S A ADR NEW REPRESENTING ORDINARY PARTN CTF NEW Symbol: CX	Growth None	33.880	63.	2,134.44	1,875.01	—
DIAGEO PLC ADR NEW Symbol: DEO	Growth & Income None	79.310	47.	3,727.57	3,086.20	-314.23
ERICSSON L M TEL CO ADR NEW Symbol: ERIC	Aggressive None	40.230	78.	3,137.94	2,584.41	-35.78
ESSILOR INTL Symbol: ESLOY	Growth & Income None	53.702	75.	4,027.65	2,656.50	-83.30
FOMENTO ECONOMICO MEXICANO SA DE CV NEW ADR Symbol: FMX	Aggressive None	115.760	17.	1,967.92	1,200.83	—
FRESENIUS MED CARE AKTIENGESELLSCHAFT ADR Symbol: FMS	Aggressive None	44.430	93.	4,131.99	3,263.03	-814.68
FUJIFILM HLDGS CORP Symbol: FUJI	Growth None	41.330	75.	3,099.75	2,529.12	-133.20
GLAXOSMITHKLINE PLC SPONS ADR Symbol: GSK	Growth & Income Hold	52.760	60.	3,165.60	3,025.07	-49.61
GROUPE DANONE ADR Symbol: DA	Growth None	32.600	77.	2,510.20	2,159.88	-1,312.45
HOYA CORP Symbol: HOCPY	Growth & Income None	38.941	75.	2,920.58	2,491.96	—

5543

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
HSBC HOLDINGS PLC ADR NEW Symbol: HBC	Growth & Income Buy	91.650	42.	$3,849.30	$3,483.43	-$81.33
ING GROEP N V ADR Symbol: ING	Growth & Income None	44.170	110.	4,858.70	3,141.40	-88.44
KYOCERA CORP Symbol: KYO	Growth None	94.890	28.	2,656.92	2,553.40	-517.98
L OREAL CO ADR Symbol: LRLCY	Growth None	20.017	152	3,042.58	2,493.75	-400.09
LAFARGE SPONSORED ADR NEW Symbol: LR	Growth & Income None	37.200	38.	1,413.60	1,049.45	-273.60
LUXOTTICA GROUP S P A ADR Symbol: LUX	Growth None	30.870	114.	3,496.38	2,844.80	-747.04
MANULIFE FINANCIAL CORP Symbol: MFC	Growth & Income Buy	33.790	130.	4,392.70	3,609.36	—
NATIONAL AUSTRALIA BANK Symbol: NAB	Growth & Income None	159.250	21.	3,344.25	2,568.72	-123.16
NESTLE S A ADR Symbol: NSRGY	Growth & Income None	88.579	46.	4,079.23	3,667.30	-596.00
NEXEN INC Symbol: NXY	Aggressive None	55.000	51.	2,805.00	1,778.56	-288.07
NOVARTIS AG ADR Symbol: NVS	Growth Buy	57.440	58.	3,331.52	2,860.80	-99.18
REED ELSEVIER P L C SPONSORED ADR Symbol: RUK	Growth None	44.040	94.	4,139.76	3,773.30	-114.78
ROCHE HLDG LTD ADR Symbol: RHHBY	Growth None	89.498	49.	4,385.40	3,250.00	-214.05

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG A SHS Symbol: RDS A	Growth & Income Buy	70.790	27.	$1,911.33	$3,738.10	-$1,984.88
SANOFI-AVENTIS SPONSORED ADR Symbol: SNY	Growth & Income None	46.170	58.	2,677.86	2,412.51	-40.65
SAP AKTIENGESELLSCHAFT ADR Symbol: SAP	Aggressive None	53.100	30.	1,593.00	1,523.16	-258.90
SMITH & NEPHEW P L C SPONSORED ADR NEW Symbol: SNN	Growth None	52.330	69.	3,610.77	3,331.50	—
SONY CORP ADR NEW Symbol: SNE	Growth None	42.830	74.	3,169.42	—	—
STMICROELECTRONICS Symbol: STM	Growth None	18.400	77.	1,416.80	1,400.12	—
TELEVISION BROADCASTS LTD ADR Symbol: TVBCY	Growth & Income None	12.215	170.	2,076.55	1,947.69	—
TOTAL S A Symbol: TOT	Growth & Income None	71.920	46.	3,308.32	2,673.06	—
VODAFONE GROUP PLC NEW SPONSORED ADR Symbol: VOD	Aggressive Hold	27.760	114.	3,166.92	3,171.83	—
Total stocks				**$130,580.86**	—	—
Total estimated asset value				**$131,737.86**		

5545

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Summary of Your Investment Activity

Total cash and money market funds on Nov 25	$1,691.58
Additions	
Income	$248.06
Proceeds from securities sold	$3,944.72
Total additions	$4,192.78
Subtractions	
Withdrawals to purchase securities	-$4,545.43
Fees	-$159.37
Taxes withheld	-$22.56
Total subtractions	-$4,727.36
Total cash and money market funds on Dec 31	**$1,157.00**

Detail of Your Investment Activity

Additions

	Type	Date			Days	Rate	Amount	Where Invested
Income	Money market dividends	12/29	MONEY MARKET		41	4.53	10.51	Money market

Type	Tax Info.	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Dividends	Q	11/30	HOYA CORP	63.	0.228924		$14.42	Money market
	Q	12/06	BP AMOCO PLC SPONSORED ADR 25P	39.	0.5895		22.99	Money market
	Q	12/11	SONY CORP ADR NEW	60.	0.10627		6.38	Money market
	Q	12/11	KAO CORP SPONS ADR REP 10 SHS	10.	2.21649		22.16	Money market
	Q	12/12	TOTAL SA	46.	1.11334		51.21	Money market

5546

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Additions, continued

	Type	Tax info.	Date		Quantity	Amount per share	Rate	Amount	Where invested
Income	Dividends	Q	12/14	ROYAL DUTCH SHELL PLC ADR A	27.	0.629294		$16.99	Money market
		Q	12/19	KYOCERA CORP	28.	0.42242		11.83	Money market
		Q	12/20	MANULIFE FINANCIAL CORP	130.	0.172741		22.46	Money market
		Q	12/26	NATL AUSTRALIA BANK	21.	3.29112		69.11	Money market
			Total income					$248.06	

	Date		Quantity	Amount per share	Trade date	Amount	Where invested
Proceeds from securities sold	11/28	COCA COLA AMATIL LTD ADR	46.	11.10		$510.60	Money market
	12/01	KAO CORP SPONS ADR REP 10 SHS	2.	267.1769		534.35	Money market
	12/04	KAO CORP SPONS ADR REP 10 SHS	3.	266.6922		800.08	Money market
	12/05	KAO CORP SPONS ADR REP 10 SHS	2.	267.8372		535.67	Money market
	12/06	KAO CORP SPONS ADR REP 10 SHS	3.	268.6942		806.08	Money market
	12/07	GROUPE DANONE ADR	23.	32.9537		757.94	Money market
	Total proceeds from securities sold					$3,944.72	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of funds
Withdrawals to purchase securities	11/27	SONY CORP ADR NEW	3.	40.1515		-$120.45	Money market
	11/29	CEMEX S A ADR NEW REP ORD	10.	32.2359		-322.36	Money market
	11/30	VODAFONE GROUP PLC NEW	11.	25.8648		-284.51	Money market
	12/04	SONY CORP ADR NEW	11.	39.4257		-433.68	Money market
	12/06	TELEVISION BROADCASTS LTD ADR	57.	11.55		-658.35	Money market
	12/07	BANK NOVA SCOTIA	6.	44.8958		-269.37	Money market
	12/11	HOYA CORP	12.	39.7987		-477.58	Money market

5547

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Subtractions, continued

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	12/12	NESTLE S A ADR	7.	89.15		-$624.05	Money market
	12/21	GLAXOSMITHKLINE PLC SPONS ADR	6.	52.2811		-313.69	Money market
	12/26	CEMEX S A ADR NEW REP ORD	12.	32.9857		-395.83	Money market
	12/26	AXA SPONSORED ADR	16.	40.3473		-645.56	Money market
	Total withdrawals to purchase securities					**-$4,545.43**	
Fees	12/14	MANAGED ACCOUNT FEE				-$159.37	Money market
	Total fees					**-$159.37**	

	Date		Amount	Source of Funds
Taxes withheld	11/30	HOYA CORP 7.000% FOREIGN TAX	-$1.01	Money market
	12/11	SONY CORP ADR NEW 7.000% FOREIGN TAX	-0.45	Money market
	12/11	KAO CORP SPONS ADR REP 10 SHS 7.000% FOREIGN TAX	-1.55	Money market
	12/12	TOTAL SA 25.000% FOREIGN TAX	-12.80	Money market
	12/14	ROYAL DUTCH SHELL PLC ADR A 15.000% FOREIGN TAX	-2.55	Money market
	12/19	KYOCERA CORP 7.000% FOREIGN TAX	-0.83	Money market
	12/20	MANULIFE FINANCIAL CORP 15.000% FOREIGN TAX	-3.37	Money market
	Total taxes withheld		**-$22.56**	

5548

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Other Activity

Date	Activity		Quantity	Notes	Estimated Value
12/13	STOCK SPLIT	ANGLO IRISH BK CORP PLC	171.	ON 19 AT 9 PER SHARE	—

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$0.00	$724.96
Long term (held over 1 year)	632.54	911.35
Total	$632.54	$1,636.31

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
KAO CORP SPONS ADR REP 10 SHS	06/27/2005	11/28	2.000	$474.80	$534.35	$59.55	Long term
KAO CORP SPONS ADR REP 10 SHS	06/27/2005	11/29	3.000	712.20	800.08	87.88	Long term
KAO CORP SPONS ADR REP 10 SHS	—	11/30	2.000	484.45	535.67	51.22	Long term
KAO CORP SPONS ADR REP 10 SHS	—	12/01	3.000	726.25	806.08	79.83	Long term
GROUPE DANONE ADR	06/27/2005	12/04	23.000	403.88	757.94	354.06	Long term

As you requested, copies of your statement have been sent to:

5549

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

This page intentionally left blank

Edward Jones

Payer's Federal Identification Number :

Edward Jones Account Number :

Investment Representative :

FIGURES ARE FINAL

Printed on March 7th, 2007

Telephone Number :

Recipient's Name
and Address :

00003047 03 MB 0.757 03 TR 00020 EJTCA461 10010

HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TR

Re : 2006 Consolidated 1099 Tax Statement from Edward Jones

Thank you for doing business with Edward Jones. Since the previous mailing of your Consolidated 1099 Tax Statement, we have received additional information that requires adjustment to your Form(s) 1099. The adjustments were made for the following reasons :

(017) The dividend paid to your account in 2007 is reportable in 2006.

(054) Your payment has been reclassified to return of capital.

(101) Your stock distribution is a taxable dividend at the fair market value of the shares received.

(036) A portion of your payment has been reclassified to long term capital gain.

(055) A portion of your payment has been reclassified to return of capital.

(046) A portion of your payment has been reclassified to an unrecaptured section 1250 gain.

This package is a replacement of the original 1099 mailing that you received. An adjustment date next to an entry on the revised Summary of Investment Income page denotes the change. We expect no further updates to your Form(s) 1099.

The revised information will be reported to the Internal Revenue Service by Edward Jones as required by law. We recommend that you consult your tax preparer regarding the preparation of your individual tax return. If you need any further information, please contact our or your local branch office.

Sincerely,

Norman L. Eaker

Norman L. Eaker
Principal, Operations

Edward Jones

Payer's Federal Identification Number : [redacted]

Edward Jones Account Number :

(Includes 1099-DIV, 1099-B.)

Recipient's Identification Number :

FIGURES ARE FINAL

Printed on March 7th, 2007

Recipient's Name and Address:
HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TR

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

[redacted]

Box 1a	Ordinary Dividends (including short-term capital gains)	2,560.39
Box 1b	Qualified Dividends	1,251.64
Box 2a	Total Capital Gain Distr.	282.94
Box 2b	Unrecap. Sec. 1250 Gain	87.24
Box 3	Nontaxable Distributions	950.28
Box 4	***Federal Income Tax Withheld***	.00
Box 6	Foreign Tax Paid	1.34

Edward Jones

Payer's Federal Identification Number: [redacted]

Edward Jones Account Number: (Includes 1099-DIV, 1099-B)

FIGURES ARE FINAL

Recipient's Identification Number:

Printed on March 7th, 2007

Recipient's Name and Address: HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TR

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

[redacted]

Activity Type	Quantity/ CUSIP No. (Box 1b)	Date Of Sale (Box 1a)	Description (Box 7)	Stocks, Bonds, etc. Gross Proceeds Less Commissions (Box 2)	Federal Income Tax Withheld (Box 4)
Redemptions	32 492386107	08/11	KERR MCGEE CORP	2,256.00	.00
Sells	19 G6359F103	07/25	NABORS INDS	612.48	.00
Sells	37 G6359F103	09/22	NABORS INDS	1,080.40	.00
Sells	49 L6388F110	01/19	MILLICOM INTERNATIONAL	1,797.81	.00
Sells	21 L6388F110	02/10	MILLICOM INTERNATIONAL	882.21	.00
Sells	14 02607P305	11/14	AMERICAN FINL REALTY TRUST	161.56	.00
Sells	23 205363104	07/07	COMPUTER SCIENCES CORP	1,211.23	.00
Sells	30 210371100	05/03	CONSTELLATION ENERGY GROUP INC	1,627.66	.00
Sells	11 26875P101	01/05	EOG RES INC	837.36	.00
Sells	25 26875P101	06/13	EOG RES INC	1,441.20	.00
Sells	38 318522307	01/09	FIRST AMERICAN CORP	1,730.98	.00
Sells	15 401698105	01/04	GUIDANT CORP	987.75	.00
Sells	2 444717102	05/17	HUGOTON RTY TR TEX	51.65	.00
Sells	20 460951106	08/28	INTEROIL CORP	291.44	.00
Sells	40 492386107	07/24	KERR MCGEE CORP	2,795.22	.00
Sells	37 530555309	06/16	LIBERTY GLOBAL INC	819.92	.00
Sells	21 655044105	07/18	NOBLE ENERGY INC	989.12	.00
Sells	16 674599105	09/25	OCCIDENTAL PETE CORP	725.69	.00

[redacted]

Edward Jones

Payer's Federal Identification Number:

Edward Jones Account Number:

Recipient's Identification Number:

(Includes 1099-DIV, 1099-B.)

FIGURES ARE FINAL

Printed on March 7th, 2007

Page 3

Recipient's Name and Address:
HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TR

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

Activity Type	Quantity/ CUSIP No. (Box 1b)	Date Of Sale (Box 1a)	Description (Box 7)	Stocks, Bonds, etc. Gross Proceeds Less Commissions (Box 2)	Federal Income Tax Withheld (Box 4)
Sells	34 703481101	06/21	PATTERSON-UTI ENERGY INC	918.30	.00
Sells	13 723787107	02/10	PIONEER NATURAL RESOURCES CO	572.19	.00
Sells	7 723787107	11/15	PIONEER NATURAL RESOURCES CO	285.44	.00
Sells	18 775109200	08/03	ROGERS COMMUNICATIONS INC	846.95	.00
Sells	16 816851109	01/05	SEMPRA ENERGY	748.53	.00
Sells	11 863307104	10/05	STREETTRACKS GOLD TR	625.90	.00
Sells	47 881624209	02/27	TEVA PHARMACEUTICAL INDS LTD	2,013.69	.00
Sells	7 897051207	05/18	TRONOX INC	106.70	.00
Sells	19 903293132	07/13	USG CORP	177.37	.00
Sells	15 903293405	02/15	USG CORP	1,279.48	.00
Sells	14 98385X106	01/05	XTO ENERGY INC	626.52	.00
Sells	45 98385X106	05/17	XTO ENERGY INC	1,851.75	.00
Substitute Pymt/Exchg	51 465823102	02/02	IVAX CORP	1,326.00	.00
			TOTAL	31,678.50	.00

Edward Jones

Payer's Federal Identification Number:

Edward Jones Account Number:

Recipient's Identification Number:

COST BASIS SUMMARY

FIGURES ARE FINAL

Printed on March 7th, 2007

Recipient's Name: HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TR

THIS IS NOT A 1099 FORM AND IS NOT REPORTED TO THE IRS. It is a summary of the cost basis information for most of the transactions reported on your Form 1099-B. Please note that in the table below, the LT indicates a long-term gain/(loss), ST indicates short-term gain/(loss), and a UN indicates the holding period could not be determined. Cost basis information may be from outside sources or provided by clients and has not been verified for accuracy. It should not be relied upon for tax preparation purposes without independent verification by your tax advisor. The Average Cost method is used to calculate the cost basis for open-end mutual funds. The FIFO (first-in, first-out) method is used for all other securities. Cost basis adjustments for spin-offs, wash sale and return of capital rules may not have been applied. If any other method of calculating cost basis has been used for your tax preparation, do not rely on the following figures.

Quantity	Description	Date Acquired	Date Sold	Proceeds (Sale Price)	Cost Basis	Gain/(Loss)
32	KERR MCGEE CORP	06/07/2005	08/11/2006	2,256.00	1,122.37	1,133.63 LT
19	NABORS INDS	06/07/2005	07/25/2006	612.48	545.01	67.47 LT
37	NABORS INDS	06/07/2005	09/22/2006	1,080.40	1,061.34	19.06 LT
49	MILLICOM INTERNATIONAL	06/07/2005	01/19/2006	1,797.81	921.19	876.62 ST
21	MILLICOM INTERNATIONAL	06/07/2005	02/10/2006	882.21	394.79	487.42 ST
14	AMERICAN FINL REALTY TRUST	06/07/2005	11/14/2006	161.56	218.26	(56.70) LT
23	COMPUTER SCIENCES CORP	06/07/2005	07/07/2006	1,211.23	1,062.14	149.09 LT
30	CONSTELLATION ENERGY GROUP INC	06/07/2005	05/03/2006	1,627.66	1,637.10	(9.44) ST
11	EOG RES INC	06/07/2005	01/05/2006	837.36	571.34	266.02 ST
25	EOG RES INC	06/07/2005	06/13/2006	1,441.20	1,298.50	142.70 LT
38	FIRST AMERICAN CORP	06/07/2005	01/09/2006	1,730.98	1,520.00	210.98 ST
15	GUIDANT CORP	06/07/2005	01/04/2006	987.75	1,105.35	(117.60) ST
2	HUGOTON RTY TR TEX	--	05/17/2006	51.65	---	---
20	INTEROIL CORP	06/07/2005	08/28/2006	291.44	513.80	(222.36) LT
40	KERR MCGEE CORP	06/07/2005	07/24/2006	2,795.22	1,402.96	1,392.26 LT
37	LIBERTY GLOBAL INC	06/07/2005	06/16/2006	819.92	751.45	68.47 LT
21	NOBLE ENERGY INC	06/07/2005	07/18/2006	989.12	787.92	201.20 LT
16	OCCIDENTAL PETE CORP	06/07/2005	09/25/2006	725.69	602.00	123.69 LT
34	PATTERSON-UTI ENERGY INC	11/18/2005	06/21/2006	918.30	1,061.48	(143.18) ST
13	PIONEER NATURAL RESOURCES CO	06/07/2005	02/10/2006	572.19	531.83	40.36 ST

Edward Jones

Payer's Federal Identification Number :

Edward Jones Account Number :

Recipient's Identification Number :

COST BASIS SUMMARY

FIGURES ARE FINAL

Printed on March 7th, 2007

Recipient's Name : HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TR

Quantity	Description	Date Acquired	Date Sold	Proceeds (Sale Price)	Cost Basis	Gain/(Loss)
7	PIONEER NATURAL RESOURCES CO	06/07/2005	11/15/2006	285.44	286.37	(.93) LT
18	ROGERS COMMUNICATIONS INC	06/07/2005	08/03/2006	846.95	556.46	290.49 LT
16	SEMPRA ENERGY	06/07/2005	01/05/2006	748.53	640.64	107.89 ST
11	STREETTRACKS GOLD TR	12/19/2005	10/05/2006	625.90	557.54	68.36 ST
47	TEVA PHARMACEUTICAL INDS LTD	06/07/2005	02/27/2006	2,013.69	1,953.56	60.13 ST
7	TRONOX INC	06/07/2005	05/18/2006	106.70	94.04	12.66 ST
19	USG CORP	--	07/13/2006	177.37	--	--
15	USG CORP	06/07/2005	02/15/2006	1,279.48	711.00	568.48 ST
14	XTO ENERGY INC	06/07/2005	01/05/2006	626.52	444.78	181.74 ST
45	XTO ENERGY INC	06/07/2005	05/17/2006	1,851.75	1,429.65	422.10 ST
51	IVAX CORP	06/07/2005	02/02/2006	1,326.00	226.04	1,099.96 ST
			TOTAL LT GAIN			3,588.06
			TOTAL LT LOSS			(279.99)
			NET LT			3,308.07
			TOTAL ST GAIN			4,402.72
			TOTAL ST LOSS			(270.22)
			NET ST			4,132.50
			NET GAIN/(LOSS)			7,440.57
			UNCOSTED PROCEEDS			229.02

Edward Jones

Payer's Federal Identification Number:

Edward Jones Account Number:

Recipient's Identification Number:

SUMMARY OF INVESTMENT INCOME

FIGURES ARE FINAL

Printed on March 7th, 2007

Recipient's Name: HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TR

THIS IS NOT A 1099 FORM ..... It is a summary of the income you received from your Edward Jones account in 2006. For a complete description of each activity, please refer to your statement for that period. If you have any questions, please contact your Edward Jones investment Representative.

2006 Date	Description	CUSIP No.	Amount in 2006
DIVIDENDS			
04/03	ENDURANCE SPECIALTY HOLDINGS I	G30397106	13.75
07/03	ENDURANCE SPECIALTY HOLDINGS I	G30397106	13.75
10/02	ENDURANCE SPECIALTY HOLDINGS I	G30397106	13.75
12/31	ENDURANCE SPECIALTY HOLDINGS I	G30397106	13.75
	Adjusted 01/04/07 For Reason 017		
02/13	AIR PRODS & CHEMS INC	009158106	10.88
05/08	AIR PRODS & CHEMS INC	009158106	11.56
08/14	AIR PRODS & CHEMS INC	009158106	11.56
11/13	AIR PRODS & CHEMS INC	009158106	11.56
12/29	AMEREN CORP	023608102	36.20
02/14	AON CORP	037389103	8.40
05/15	AON CORP	037389103	8.40
08/14	AON CORP	037389103	8.40
11/14	AON CORP	037389103	8.40
03/15	ARCH COAL INC	039380100	1.60
06/15	ARCH COAL INC	039380100	2.40
09/15	ARCH COAL INC	039380100	2.40
12/15	ARCH COAL INC	039380100	2.40
03/01	ASTORIA FINL CORP	046265104	9.12
06/01	ASTORIA FINL CORP	046265104	9.12
09/01	ASTORIA FINL CORP	046265104	9.12
12/01	ASTORIA FINL CORP	046265104	9.12
02/03	C R BARD INC	067383109	1.95
05/12	C R BARD INC	067383109	3.25
08/04	C R BARD INC	067383109	3.50
11/03	C R BARD INC	067383109	3.50
12/29	BARNES & NOBLE INC	067774109	9.45
04/17	BRANDYWINE RLTY TR	105368203	.08
07/17	BRANDYWINE RLTY TR	105368203	.09
10/16	BRANDYWINE RLTY TR	105368203	.09
04/25	CABLEVISION SYS CORP	12686C109	860.00
04/25	CABLEVISION SYS CORP	12686C109	-860.00
	Adjusted 02/08/07 For Reason 054		
02/10	COLONIAL BANCGROUP INC	195493309	12.07
05/12	COLONIAL BANCGROUP INC	195493309	12.07
08/11	COLONIAL BANCGROUP INC	195493309	12.07
11/10	COLONIAL BANCGROUP INC	195493309	12.07
01/03	CONSTELLATION ENERGY GROUP INC	210371100	10.05
04/03	CONSTELLATION ENERGY GROUP INC	210371100	11.33
01/31	EOG RES INC	26875P101	1.00
04/28	EOG RES INC	26875P101	1.50
01/13	FIRST AMERICAN CORP	318522307	6.84
01/03	GENUINE PARTS CO	372460105	13.75
04/03	GENUINE PARTS CO	372460105	14.85
07/03	GENUINE PARTS CO	372460105	14.85
10/02	GENUINE PARTS CO	372460105	14.85

Edward Jones

Payer's Federal Identification Number :

Edward Jones Account Number :

Recipient's Identification Number :

SUMMARY OF INVESTMENT INCOME

FIGURES ARE FINAL

Printed on March 7th, 2007

Recipient's Name : HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TR

2006 Date	Description	CUSIP No.	Amount in 2006
12/20	GREAT PLAINS ENERGY INC	391164100	24.07
11/15	HASBRO INC	418056107	7.56
01/03	KERR MCGEE CORP	492386107	1.80
04/03	KERR MCGEE CORP	492386107	1.80
07/03	KERR MCGEE CORP	492386107	2.25
02/01	KEYSPAN CORP	49337W100	24.65
05/01	KEYSPAN CORP	49337W100	24.65
08/01	KEYSPAN CORP	49337W100	24.65
11/01	KEYSPAN CORP	49337W100	24.65
12/15	LYONDELL PETROCHEMICAL	552078107	20.70
02/21	NISOURCE INC	65473P105	20.24
05/19	NISOURCE INC	65473P105	20.24
08/18	NISOURCE INC	65473P105	20.24
11/20	NISOURCE INC	65473P105	20.24
02/21	NOBLE ENERGY INC	655044105	3.50
05/22	NOBLE ENERGY INC	655044105	5.25
08/21	NOBLE ENERGY INC	655044105	3.68
11/20	NOBLE ENERGY INC	655044105	3.68
01/17	OCCIDENTAL PETE CORP	674599105	7.56
04/17	OCCIDENTAL PETE CORP	674599105	7.56
07/17	OCCIDENTAL PETE CORP	674599105	7.56
10/16	OCCIDENTAL PETE CORP	674599105	9.24
03/15	OLD REP INTL CORP	680223104	14.70
06/15	OLD REP INTL CORP	680223104	15.75
09/15	OLD REP INTL CORP	680223104	15.75
12/15	OLD REP INTL CORP	680223104	15.75
03/30	PATTERSON-UTI ENERGY INC	703481101	1.36
06/30	PATTERSON-UTI ENERGY INC	703481101	2.72
01/23	PEP BOYS MANNY MOE & JACK	713278109	5.40
04/24	PEP BOYS MANNY MOE & JACK	713278109	5.40
07/24	PEP BOYS MANNY MOE & JACK	713278109	5.40
10/23	PEP BOYS MANNY MOE & JACK	713278109	5.40
04/12	PIONEER NATURAL RESOURCES CO	723787107	4.56
10/12	PIONEER NATURAL RESOURCES CO	723787107	7.02
12/15	PRINCIPAL FINL GROUP INC	74251V102	37.60
02/01	PROGRESS ENERGY INC	743263105	21.78
05/01	PROGRESS ENERGY INC	743263105	21.78
08/01	PROGRESS ENERGY INC	743263105	32.67
11/01	PROGRESS ENERGY INC	743263105	32.67
01/09	ROGERS COMMUNICATIONS INC	775109200	4.35
07/07	ROGERS COMMUNICATIONS INC	775109200	4.59
02/24	ROWAN COS INC	779382100	18.75
05/26	ROWAN COS INC	779382100	7.50
08/18	ROWAN COS INC	779382100	7.50
11/29	ROWAN COS INC	779382100	7.50
02/28	SABRE HLDGS CORP	785905100	7.70
05/26	SABRE HLDGS CORP	785905100	7.70
08/28	SABRE HLDGS CORP	785905100	7.70
11/10	SABRE HLDGS CORP	785905100	10.01

Edward Jones

Payer's Federal Identification Number:

Edward Jones Account Number:

Recipient's Identification Number:

SUMMARY OF INVESTMENT INCOME

FIGURES ARE FINAL

Printed on March 7th, 2007

Recipient's Name: HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TR

2006 Date	Description	CUSIP No.	Amount In 2006
	[redacted]		
01/17	SEMPRA ENERGY	816851109	15.37
04/17	SEMPRA ENERGY	816851109	11.10
07/17	SEMPRA ENERGY	816851109	11.10
10/16	SEMPRA ENERGY	816851109	11.10
02/15	SOVEREIGN BANCORP INC	845905108	4.20
05/15	SOVEREIGN BANCORP INC	845905108	5.60
08/15	SOVEREIGN BANCORP INC	845905108	5.84
11/15	SOVEREIGN BANCORP INC	845905108	5.84
02/21	TD BANKNORTH INC	87235A101	15.84
05/15	TD BANKNORTH INC	87235A101	15.84
08/14	TD BANKNORTH INC	87235A101	15.84
11/13	TD BANKNORTH INC	87235A101	15.84
03/31	TELEPHONE & DATA SYS INC	879433860	5.18
06/30	TELEPHONE & DATA SYS INC	879433860	5.18
09/29	TELEPHONE & DATA SYS INC	879433860	5.18
12/29	TELEPHONE & DATA SYS INC	879433860	5.18
03/15	TEMPLE-INLAND INC	879868107	10.75
06/15	TEMPLE-INLAND INC	879868107	10.75
09/15	TEMPLE-INLAND INC	879868107	10.75
12/15	TEMPLE-INLAND INC	879868107	10.75
07/03	TRONOX INC	897051207	.35
01/13	XTO ENERGY INC	98385X106	4.43
04/13	XTO ENERGY INC	98385X106	3.38
05/12	XTO ENERGY INC	98385X106	56.62
	Adjusted 01/04/07 For Reason 101		
	Total Qualified Dividends (Box 1b on 1099-DIV):		**1,251.64**
12/31	MONEY MARKET INVESTMENT SHARES	MNYMKT002	639.72
01/18	AMERICAN FINL REALTY TRUST	02607P305	36.45
01/18	AMERICAN FINL REALTY TRUST	02607P305	-26.13
01/18	AMERICAN FINL REALTY TRUST	02607P305	-10.32
04/21	AMERICAN FINL REALTY TRUST	02607P305	36.45
04/21	AMERICAN FINL REALTY TRUST	02607P305	-26.13
04/21	AMERICAN FINL REALTY TRUST	02607P305	-10.32
07/21	AMERICAN FINL REALTY TRUST	02607P305	36.45
07/21	AMERICAN FINL REALTY TRUST	02607P305	-26.13
07/21	AMERICAN FINL REALTY TRUST	02607P305	-10.32
10/20	AMERICAN FINL REALTY TRUST	02607P305	25.65
10/20	AMERICAN FINL REALTY TRUST	02607P305	-18.39
10/20	AMERICAN FINL REALTY TRUST	02607P305	-7.26
04/17	BRANDYWINE RLTY TR	105368203	32.34
04/17	BRANDYWINE RLTY TR	105368203	-.08
04/17	BRANDYWINE RLTY TR	105368203	-5.08
04/17	BRANDYWINE RLTY TR	105368203	-.90
07/17	BRANDYWINE RLTY TR	105368203	33.88
07/17	BRANDYWINE RLTY TR	105368203	-.09
07/17	BRANDYWINE RLTY TR	105368203	-5.32
07/17	BRANDYWINE RLTY TR	105368203	-.94

Payer's Federal Identification Number :

Edward Jones Account Number :

Recipient's Identification Number :

SUMMARY OF INVESTMENT INCOME

FIGURES ARE FINAL

Printed on March 7th, 2007

Recipient's Name : HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TR

2006 Date	Description	CUSIP No.	Amount In 2006
10/16	BRANDYWINE RLTY TR	105368203	33.88
10/16	BRANDYWINE RLTY TR	105368203	-.09
10/16	BRANDYWINE RLTY TR	105368203	-5.32
10/16	BRANDYWINE RLTY TR	105368203	-.94
08/14	COLONIAL PPTYS TR	195872106	25.16
08/14	COLONIAL PPTYS TR	195872106	-14.53
08/14	COLONIAL PPTYS TR	195872106	-6.92
11/13	COLONIAL PPTYS TR	195872106	25.16
11/13	COLONIAL PPTYS TR	195872106	-14.53
11/13	COLONIAL PPTYS TR	195872106	-6.92
02/23	HEALTH CARE PPTY INVS INC	421915109	24.65
02/23	HEALTH CARE PPTY INVS INC	421915109	-5.01
	Adjusted 03/02/07 For Reason 036		
02/23	HEALTH CARE PPTY INVS INC	421915109	-.86
	Adjusted 03/02/07 For Reason 055		
02/23	HEALTH CARE PPTY INVS INC	421915109	-2.65
	Adjusted 03/02/07 For Reason 046		
05/19	HEALTH CARE PPTY INVS INC	421915109	24.65
05/19	HEALTH CARE PPTY INVS INC	421915109	-5.01
	Adjusted 03/02/07 For Reason 036		
05/19	HEALTH CARE PPTY INVS INC	421915109	-.86
	Adjusted 03/02/07 For Reason 055		
05/19	HEALTH CARE PPTY INVS INC	421915109	-2.65
	Adjusted 03/02/07 For Reason 046		
08/18	HEALTH CARE PPTY INVS INC	421915109	36.55
08/18	HEALTH CARE PPTY INVS INC	421915109	-7.43
	Adjusted 03/02/07 For Reason 036		
08/18	HEALTH CARE PPTY INVS INC	421915109	-1.27
	Adjusted 03/02/07 For Reason 055		
08/18	HEALTH CARE PPTY INVS INC	421915109	-3.94
	Adjusted 03/02/07 For Reason 046		
11/21	HEALTH CARE PPTY INVS INC	421915109	36.55
11/21	HEALTH CARE PPTY INVS INC	421915109	-7.43
	Adjusted 03/02/07 For Reason 036		
11/21	HEALTH CARE PPTY INVS INC	421915109	-1.27
	Adjusted 03/02/07 For Reason 055		
11/21	HEALTH CARE PPTY INVS INC	421915109	-3.94
	Adjusted 03/02/07 For Reason 046		
02/21	HEALTH CARE REIT INC	42217K106	35.34
02/21	HEALTH CARE REIT INC	42217K106	-13.92
05/19	HEALTH CARE REIT INC	42217K106	36.48
05/19	HEALTH CARE REIT INC	42217K106	-14.37
08/21	HEALTH CARE REIT INC	42217K106	36.48
08/21	HEALTH CARE REIT INC	42217K106	-14.37
11/20	HEALTH CARE REIT INC	42217K106	36.48
11/20	HEALTH CARE REIT INC	42217K106	-14.37
12/27	HEALTH CARE REIT INC	42217K106	19.43
12/27	HEALTH CARE REIT INC	42217K106	-7.65
05/01	ISTAR FINANCIAL INC	45031U101	40.81

Edward Jones

Payer's Federal Identification Number:

Edward Jones Account Number:

Recipient's Identification Number:

SUMMARY OF INVESTMENT INCOME

FIGURES ARE FINAL

Printed on March 7th, 2007

Recipient's Name: HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TR

2006 Date	Description	CUSIP No.	Amount in 2006
	[redacted]		
05/01	ISTAR FINANCIAL INC	45031U101	-1.13
05/01	ISTAR FINANCIAL INC	45031U101	-5.90
05/01	ISTAR FINANCIAL INC	45031U101	-.71
07/31	ISTAR FINANCIAL INC	45031U101	40.81
07/31	ISTAR FINANCIAL INC	45031U101	-1.13
07/31	ISTAR FINANCIAL INC	45031U101	-5.90
07/31	ISTAR FINANCIAL INC	45031U101	-.71
10/30	ISTAR FINANCIAL INC	45031U101	40.81
10/30	ISTAR FINANCIAL INC	45031U101	-1.13
10/30	ISTAR FINANCIAL INC	45031U101	-5.90
10/30	ISTAR FINANCIAL INC	45031U101	-.71
12/29	ISTAR FINANCIAL INC	45031U101	54.67
12/29	ISTAR FINANCIAL INC	45031U101	-1.51
12/29	ISTAR FINANCIAL INC	45031U101	-7.90
12/29	ISTAR FINANCIAL INC	45031U101	-.95
04/21	REDWOOD TR INC	758075402	28.00
07/21	REDWOOD TR INC	758075402	28.00
10/23	REDWOOD TR INC	758075402	28.00
12/08	REDWOOD TR INC	758075402	120.00
12/31	REDWOOD TR INC Adjusted 01/23/07 For Reason 017	758075402	28.00
05/01	UNITED DOMINION REALTY TRUST I	910197102	21.88
05/01	UNITED DOMINION REALTY TRUST I	910197102	-8.12
05/01	UNITED DOMINION REALTY TRUST I	910197102	-5.38
07/31	UNITED DOMINION REALTY TRUST I	910197102	21.88
07/31	UNITED DOMINION REALTY TRUST I	910197102	-8.12
07/31	UNITED DOMINION REALTY TRUST I	910197102	-5.38
10/31	UNITED DOMINION REALTY TRUST I	910197102	21.88
10/31	UNITED DOMINION REALTY TRUST I	910197102	-8.12
10/31	UNITED DOMINION REALTY TRUST I	910197102	-5.38
	Total Nonqualified Dividends:		**1,308.75**
	Total Ordinary Dividends (Box 1a on 1099-DIV):		**2,560.39**
01/18	AMERICAN FINL REALTY TRUST	02607P305	26.13
04/21	AMERICAN FINL REALTY TRUST	02607P305	26.13
07/21	AMERICAN FINL REALTY TRUST	02607P305	26.13
10/20	AMERICAN FINL REALTY TRUST	02607P305	18.39
04/17	BRANDYWINE RLTY TR	105368203	5.08
07/17	BRANDYWINE RLTY TR	105368203	5.32
10/16	BRANDYWINE RLTY TR	105368203	5.32
08/14	COLONIAL PPTYS TR	195872106	14.53
11/13	COLONIAL PPTYS TR	195872106	14.53
02/23	HEALTH CARE PPTY INVS INC Adjusted 03/02/07 For Reason 036	421915109	5.01
05/19	HEALTH CARE PPTY INVS INC Adjusted 03/02/07 For Reason 036	421915109	5.01
08/18	HEALTH CARE PPTY INVS INC	421915109	7.43

Payer's Federal Identification Number:

Edward Jones Account Number:

Recipient's Identification Number:

SUMMARY OF INVESTMENT INCOME

FIGURES ARE FINAL

Printed on March 7th, 2007

Recipient's Name: HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TR

2006 Date	Description	CUSIP No.	Amount In 2006
	Adjusted 03/02/07 For Reason 036		
11/21	HEALTH CARE PPTY INVS INC	421915109	7.43
	Adjusted 03/02/07 For Reason 036		
05/01	ISTAR FINANCIAL INC	45031U101	1.13
07/31	ISTAR FINANCIAL INC	45031U101	1.13
10/30	ISTAR FINANCIAL INC	45031U101	1.13
12/29	ISTAR FINANCIAL INC	45031U101	1.51
05/01	UNITED DOMINION REALTY TRUST I	910197102	8.12
07/31	UNITED DOMINION REALTY TRUST I	910197102	8.12
10/31	UNITED DOMINION REALTY TRUST I	910197102	8.12
	Total Long-Term Capital Gains:		**195.70**
01/18	AMERICAN FINL REALTY TRUST	02607P305	10.32
04/21	AMERICAN FINL REALTY TRUST	02607P305	10.32
07/21	AMERICAN FINL REALTY TRUST	02607P305	10.32
10/20	AMERICAN FINL REALTY TRUST	02607P305	7.26
04/17	BRANDYWINE RLTY TR	105368203	.90
07/17	BRANDYWINE RLTY TR	105368203	.94
10/16	BRANDYWINE RLTY TR	105368203	.94
08/14	COLONIAL PPTYS TR	195872106	6.92
11/13	COLONIAL PPTYS TR	195872106	6.92
02/23	HEALTH CARE PPTY INVS INC	421915109	2.65
	Adjusted 03/02/07 For Reason 046		
05/19	HEALTH CARE PPTY INVS INC	421915109	2.65
	Adjusted 03/02/07 For Reason 046		
08/18	HEALTH CARE PPTY INVS INC	421915109	3.94
	Adjusted 03/02/07 For Reason 046		
11/21	HEALTH CARE PPTY INVS INC	421915109	3.94
	Adjusted 03/02/07 For Reason 046		
05/01	ISTAR FINANCIAL INC	45031U101	.71
07/31	ISTAR FINANCIAL INC	45031U101	.71
10/30	ISTAR FINANCIAL INC	45031U101	.71
12/29	ISTAR FINANCIAL INC	45031U101	.95
05/01	UNITED DOMINION REALTY TRUST I	910197102	5.38
07/31	UNITED DOMINION REALTY TRUST I	910197102	5.38
10/31	UNITED DOMINION REALTY TRUST I	910197102	5.38
	Total Unrecap Sec 1250 Gains (Box 2b on 1099-DIV):		**87.24**
	Total Capital Gains (Box 2a on 1099-DIV):		**282.94**
04/25	CABLEVISION SYS CORP	12686C109	860.00
	Adjusted 02/08/07 For Reason 054		
02/21	HEALTH CARE REIT INC	42217K106	13.92
05/19	HEALTH CARE REIT INC	42217K106	14.37
08/21	HEALTH CARE REIT INC	42217K106	14.37
11/20	HEALTH CARE REIT INC	42217K106	14.37
12/27	HEALTH CARE REIT INC	42217K106	7.65
05/01	ISTAR FINANCIAL INC	45031U101	5.90

Edward Jones

Payer's Federal Identification Number:

Edward Jones Account Number:

Recipient's Identification Number:

SUMMARY OF INVESTMENT INCOME

FIGURES ARE FINAL

Printed on March 7th, 2007

Recipient's Name: HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TR

2006 Date	Description	CUSIP No.	Amount in 2006
DIVIDENDS			
07/31	ISTAR FINANCIAL INC	45031U101	5.90
10/30	ISTAR FINANCIAL INC	45031U101	5.90
12/29	ISTAR FINANCIAL INC	45031U101	7.90
	Total Nontaxable Distributions (Box 3 on 1099-DIV):		**950.28**
01/09	ROGERS COMMUNICATIONS INC	775109200	.65
07/07	ROGERS COMMUNICATIONS INC	775109200	.69
	Total Foreign Tax Paid (Box 6 on 1099-DIV):		**1.34**
DISTRIBUTIONS OF CAPITAL			
02/23	HEALTH CARE PPTY INVS INC Adjusted 03/02/07 For Reason 055	421915109	.86
05/19	HEALTH CARE PPTY INVS INC Adjusted 03/02/07 For Reason 055	421915109	.86
08/18	HEALTH CARE PPTY INVS INC Adjusted 03/02/07 For Reason 055	421915109	1.27
11/21	HEALTH CARE PPTY INVS INC Adjusted 03/02/07 For Reason 055	421915109	1.27
	Total Return of Capital Charged:		**4.26**

***** PLEASE REFER TO ENCLOSED TAX REPORTING GUIDE FOR FURTHER EXPLANATION OF HOW THIS *****
SUPPLEMENTAL INFORMATION AFFECTS YOUR TAX RETURN.

Edward Jones

Payer's Federal Identification Number :

Edward Jones Account Number :

Recipient's Identification Number :

SUPPLEMENTAL INVESTMENT ACTIVITY

FIGURES ARE FINAL

Printed on March 7th, 2007

Recipient's Name : HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TR

2006 Date	Activity Description	Quantity	Price per Unit	Net Proceeds	Purchase Amount
STREETTRACKS GOLD TR	CUSIP no. **863307104**				
01/09	Purchase	7.000 Shs	53.25		372.75
10/11	Sell	11.000 Shs	56.90	625.90	
	Total proceeds:	**11.000 Shs**		**625.90**	
	Total purchases:	**7.000 Shs**			**372.75**

***** PLEASE REFER TO ENCLOSED TAX REPORTING GUIDE FOR FURTHER EXPLANATION OF HOW THIS *****
SUPPLEMENTAL INFORMATION AFFECTS YOUR TAX RETURN.

Edward Jones

Payer's Federal Identification Number :

2006 ADDITIONAL TAX INFORMATION

Edward Jones Account Number : FIGURES ARE FINAL

Printed on March 7th, 2007

Recipient's Identification Number :

Recipient's Name : HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TR

This section reports the percentage of dividends derived from government obligations. Although the dividends are federally taxable, you may be entitled to exclude a portion of them from state taxable income based upon these percentages and your state's law. Multiply your ordinary dividends (taxable dividends + short term capital gains distributions = ordinary dividends) received by the percentage listed to determine the potential exclusion. Please contact your tax advisor to determine what may be exempt from taxation in your state.

MUTUAL FUND NAME CUSIP	SOURCE OF INCOME	PERCENTAGE FROM SOURCE
MONEY MARKET INVESTMENT SHARES MNYMKT002	FEDERAL HOME LOAN BANKS	7.260000%

This ADDITIONAL TAX INFORMATION has been supplied to Edward Jones by outside sources. While we cannot assure the accuracy of this information, we believe it to be correct. This information is intended as a general guide and does not constitute tax advice. You should consult your tax professional or state tax authority to determine how this information may apply to your specific situation.

Thank you for doing business with Edward Jones. This is the end of your 2006 tax reporting information. If you have any questions concerning any matter, *especially errors or omissions*, immediately or the Edward Jones Tax Hotline at

Instructions for Recipient of 1099-INT

Account Number. May show an account or other unique number the payer assigned to distinguish your account.
Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds and Gulf bonds that must be included in your interest income. These amounts were treated as paid to you during 2009 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912, Clean Renewable Energy Bond and Gulf Bond Credit.
Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Form 1040 to see where to take the deduction.
Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not be all taxable. See Pub. 550, Investment Income and Expenses. This interest is exempt from state and local income taxes. This interest is not included in box 1.
Box 4. Shows backup withholding. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.
Box 5. Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1.
Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040. See your Form 1040 instructions.
Box 8. Shows tax-exempt interest, including exempt-interest dividends from a mutual fund or other regulated investment company, paid to you during the calendar year by the payer. Report this amount on line 8a of Form 1040 or Form 1040A. This amount may be subject to backup withholding. See box 4.
Box 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the instructions for Form 6251, Alternative Minimum Tax - Individuals.
Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient". File Form(s) 1099-INT with Form 1096, Annual Summary and Transmittal of U.S. Information Returns, with the Internal Revenue Service Center for your area. On Form 1096 list yourself as the "filer". A husband or wife is not required to file a nominee return to show amounts owned by the other.

Instructions for Recipient of 1099-DIV

Account Number. May show an account or other unique number the payer assigned to distinguish your account.
Box 1a. Shows total ordinary dividends that are taxable. Include this amount on line 9a of Form 1040 or 1040A. Also, report it on Schedule B (Form 1040) or Schedule 1 (Form 1040A), if required. The amount shown may be a distribution from an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040A but treat it as a plan distribution, not as investment income, for any other purpose.
Box 1b. Shows the portion of the amount in box 1a that may be eligible for the 15% or 8% capital gains rates. See the form 1040/1040A instructions for how to determine this amount. Report the eligible amount on line 9b, Form 1040 or 1040A.
Box 2a. Shows total capital gain distributions (long-term) from a regulated investment company or real estate investment trust. Report the amounts shown in box 2a on Schedule D (Form 1040), line 13. But, if no amount is shown in boxes 2b-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on line 13 of Form 1040 (line 10 of Form 1040A) rather than Schedule D. See the Form 1040/1040A instructions.
Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. Report this amount on the Unrecaptured Section 1250 Gain Worksheet - Line 19 in Schedule D Instructions (Form 1040).
Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to a 50% exclusion. See the Schedule D (Form 1040) instructions.
Box 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet - Line 18 in the instructions for Schedule D (Form 1040).
Box 3. Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. (But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub. 550, Investment Income and Expenses.
Box 4. Shows backup withholding. For example, a payer must backup withhold on certain payments at a 28% rate if you did not give your taxpayer identification number to the payer. See form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.
Box 6. Shows the foreign tax you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.
Box 8 and 9. Shows cash and noncash liquidation distributions.
Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A husband or wife is not required to file a nominee return to show amounts owned by the other. See the 2009 General Instructions for Forms 1099, 1098, 5498 and W-2G.

Instructions for Recipient of 1099-OID

Original Issue Discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID is taxable as interest over the life span of the obligation. If you are the holder of an OID obligation, generally you must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-indexed securities. See Pub. 550, Investment Income and Expenses for more information.
If, as the record holder, you receive Form 1099-OID showing amounts belonging to another person, you are considered a nominee recipient. Complete a Form 1099-OID for each of the other owners showing the amounts allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner as the "recipient". File Form(s) 1099-OID with Form 1096, Annual Summary and Transmittal of U.S. Information Returns, with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer". A husband or wife is not required to file a nominee return to show amounts owned by the other. If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.
Account number. May show an account or other unique number the payer assigned to distinguish your account.
Box 1. Shows the OID on the obligation for the part of the year you owned it. Report the amount in box 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors, (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212, Guide to Original Issue Discount (OID) Instruments for details on how to figure the correct OID.
Box 2. Shows other interest on the obligation for the year, which is an amount reportable from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount in both boxes 2 and 8, the Amount in box 2 is treated as a U.S. Treasury obligation and is exempt from state and local income taxes.
Box 4. Shows backup withholding. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.
Box 5. Shows the identification number (CUSIP number) or description of the obligation. The description may include the stock exchange, issuer, coupon rate, and year of maturity.
Box 8. Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return, and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 1.
Box 7. Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040 you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 2.

Instructions for Recipient of 1099-B

Brokers and barter exchangers must report proceeds from transactions to you and the IRS on Form 1099-B by January 31 of the year following the calendar year of the transaction. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a change in control or a substantial change in capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in the box below your name and address on Form 1099-B.
Account number. May show an account or other unique number the payer assigned to distinguish your account.
Box 1a. Shows the trade date of the transaction. For aggregate reporting, no entry will be present.
Box 1b. For broker transactions, may show the CUSIP (Committee on Uniform Security Identification Procedures) number of the item reported.
Box 2. Shows the aggregate proceeds from transactions involving stocks, bonds, other debt obligations, commodities, or forward contracts. Losses on forward contracts and changes in control or substantial change in capital structure are shown in parentheses. This box does not include proceeds from regulated futures contracts. The broker must indicate whether gross proceeds or gross proceeds less commissions and option premiums were reported to the IRS. Report this amount on Schedule D (Form 1040), Capital Gains and Losses. However, if box 12 is checked, you cannot take a loss on your tax return based on gross proceeds from an acquisition of control or substantial change in capital structure reported in box 2. Do not report this loss on Schedule D (Form 1040). The broker should advise you of any losses on a separate statement.
Box 4. Shows backup withholding. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.
Box 7. Shows a brief description of the item or service for which the proceeds or bartering income is being reported. For regulated futures, contracts and forward contracts, "RFC" or other appropriate description may be shown.

Instructions for Recipient of 1099-MISC

Account Number. May show an account or other unique number the payer assigned to distinguish your account.
Amounts shown may be subject to self-employment (SE) tax. If your net income from self-employment is $400 or more, you must file a return and compute your SE tax on Schedule SE (Form 1040). See Pub. 334, Tax Guide for Small Business, for more information. If no income or social security and Medicare taxes were withheld and you are still receiving these payments, see Form 1040-ES, Estimated Tax for Individuals. Individuals must report as explained below. Corporations, fiduciaries, or partnerships report the amounts on the proper line of your tax return.
Boxes 1 and 2. Report rents from real estate on Schedule E (Form 1040). If you provided significant services to the tenant, sold real estate as a business, or rented personal property as a business, report on Schedule C or C-EZ (Form 1040). For royalties on timber, coal and iron ore, see Pub. 544, Sales and Other Dispositions of Assets.
Box 3. Generally, report this amount on the "Other income" line of Form 1040 and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525, Taxable and Nontaxable Income. If it is rents or business income, report this amount on Schedule C, C-EZ or F (Form 1040).
Box 4. Shows backup withholding or withholding on Indian gaming profits. Generally, a payer must backup withhold at a 28% rate if you did not furnish your taxpayer identification number. See Form W-9, Request for Taxpayer Identification Number and Certification, for more information. Report this amount on your income tax return as tax withheld.

Account number:
Statement type:
January 1 - January 27, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 2-8-2001
HAROLD D ROGERS REV LIV TR

Value Summary (Held at Edward Jones)

Value on Jan 27	$116,477.51
Value on Jan 1	$110,386.74
Value one year ago	—

Summary of Your Assets

Held at Edward Jones	Value on Jan 27	Value on Jan 1	Change in value
Cash & money market	$13,375.79	$10,119.90	$3,255.89
Stocks	103,101.72	100,266.84	2,834.88
Total at Edward Jones	$116,477.51	$110,386.74	$6,090.77

Summary of Your Income

	This period			Year-to-date		
Income distributions from securities	Taxable	Tax-free	Total	Taxable	Tax-free	Total
Money market dividends	$24.71	—	$24.71	$24.71	—	$24.71
Dividends						
Qualified (Q) - Reduced Tax Eligible	69.55	—	69.55	69.55	—	69.55
Nonqualified (N) - Taxable	36.45	—	36.45	36.45	—	36.45
Total	$130.71	—	$130.71	$130.71	—	$130.71

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges						
Income reported in prior year			$74.92			$74.92
Foreign taxes paid			-0.65			-0.65
Total			$74.27			$74.27

5567

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	3.52%	3.58%	$13,375.79
Total cash and money market funds			$13,375.79

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AFFILIATED COMPUTER SVCS INC CL A Symbol: ACS	Growth None	63.270	42.	$2,657.34	$2,133.18	—
AIR PRODS & CHEMS INC Symbol: APD	Growth & Income None	62.120	34.	2,112.08	2,130.44	—
AMERICAN FINANCIAL REALTY TRUST Symbol: AFR	Aggressive None	12.590	135.	1,699.65	2,104.65	—
AON CORP Symbol: AOC	Growth & Income None	35.880	56.	2,009.28	1,372.56	—
ARCH COAL INC Symbol: ACI	Aggressive None	83.350	20.	1,667.00	1,679.95	—
ASTORIA FINANCIAL CORP Symbol: AF	Growth & Income None	29.440	38.	1,118.72	1,051.46	—
BRANDYWINE RLTY TR Symbol: BDN	Growth & Income None	31.900	77.	2,456.30	2,193.80	—
C R BARD INC Symbol: BCR	Growth None	83.500	15.	952.50	1,031.10	—
CABLEVISION SYSTEMS CORP CL A Symbol: CVC	Aggressive None	24.910	59.	1,469.69	1,588.87	—

5568

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
COLONIAL BANCGROUP INC Symbol: CNB	Growth & Income None	24.140	71.	$1,713.94	$1,598.21	—
COMPUTER SCIENCES CORP Symbol: CSC	Growth None	50.800	23.	1,168.40	1,062.14	—
CONSTELLATION ENERGY GROUP INC Symbol: CEG	Growth & Income None	58.300	30.	1,749.00	1,637.10	—
ENDURANCE SPECIALTY HOLDINGS INC Symbol: ENH	Growth & Income None	33.360	46.	1,534.56	1,678.54	—
EOG RESOURCES INC Symbol: EOG	Growth None	80.390	25.	2,009.75	1,869.84	-837.36
GENUINE PARTS CO Symbol: GPC	Growth & Income None	43.220	44.	1,901.68	1,893.76	—
HEALTH CARE PROPERTY INV INC Symbol: HCP	Growth & Income None	27.890	58.	1,617.62	1,583.98	—
HEALTH CARE REIT INC Symbol: HCN	Aggressive None	36.970	57.	2,107.29	2,105.58	—
INTEROIL CORP Symbol: IOC	Aggressive None	18.540	20.	370.80	513.80	—
ISTAR FINANCIAL INC Symbol: SFI	Aggressive None	35.670	53.	1,890.51	2,211.87	—
IVAX CORP Symbol: IVX	Aggressive None	25.980	107.	2,779.86	2,179.59	—
KERR MCGEE CORP Symbol: KMG	Growth None	107.210	36.	3,859.56	2,619.36	—
KEYSPAN CORP Symbol: KSE	Growth & Income Buy	36.080	53.	1,912.24	2,120.00	—

5569

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
LIBERTY GLOBAL INC SER C Symbol: LBTYK	Aggressive None	20.050	37.	$741.85	$751.45	—
MILLICOM INTERNATIONAL CELLULAR S A NEW Symbol: MICC	Aggressive None	38.840	62.	2,408.08	2,088.79	-1,797.81
NABORS INDS Symbol: NBR	Aggressive None	79.780	28.	2,233.84	1,606.36	—
NISOURCE INC Symbol: NI	Growth & Income Hold	20.330	88.	1,806.64	2,134.00	—
NOBLE ENERGY INC Symbol: NBL	Growth None	44.400	70.	3,108.00	2,626.40	—
OCCIDENTAL PETE CORP Symbol: OXY	Growth & Income None	91.210	21.	1,915.41	1,580.25	—
OLD REPUBLIC INTERNATIONAL CORP Symbol: ORI	Growth & Income None	21.040	105.	2,209.20	2,106.72	—
OPEN SOLUTIONS INC Symbol: OPEN	Growth None	26.100	72.	1,879.20	1,562.82	—
PATTERSON-UTI ENERGY INC Symbol: PTEN	Growth None	37.110	34.	1,261.74	1,061.48	—
PEP BOYS MANNY MOE & JACK Symbol: PBY	Growth None	15.840	80.	1,267.20	1,082.40	—
PIONEER NATURAL RESOURCES CO Symbol: PXD	Growth None	50.510	51.	2,576.01	2,086.41	—
PRINCIPAL FINANCIAL GROUP INC Symbol: PFG	Growth None	47.200	45.	2,124.00	1,777.95	—
PROGRESS ENERGY INC Symbol: PGN	Growth & Income Hold	43.600	36.	1,569.60	1,614.96	—

5570

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
REDWOOD TRUST INC Symbol: RWT	Growth & Income None	44.280	40.	$1,771.20	$2,115.20	—
ROGERS COMMUNICATIONS INC CL B Symbol: RG	Growth None	43.580	68.	2,963.44	2,102.21	—
ROWAN COMPANIES INC Symbol: RDC	Aggressive None	43.460	75.	3,259.50	2,110.50	—
SABRE HOLDINGS CORP CL A Symbol: TSG	Growth None	24.440	77.	1,881.88	1,606.22	—
SCHEIN HENRY INCORPORATED Symbol: HSIC	Aggressive None	45.610	52.	2,371.72	2,117.96	—
SEMPRA ENERGY Symbol: SRE	Growth & Income None	48.250	37.	1,785.25	2,122.12	-748.53
SOVEREIGN BANCORP INC Symbol: SOV	Growth None	21.630	70.	1,514.10	1,568.70	—
TD BANKNORTH INC Symbol: BNK	Growth & Income None	29.210	72.	2,103.12	2,161.88	—
TELEPHONE & DATA SYSTEMS INC SPECIAL COMMON Symbol: TDS S	Growth None	33.950	56.	1,901.20	2,106.16	—
TEMPLE INLAND INC Symbol: TIN	Growth None	46.800	43.	2,012.40	1,577.67	—
TODCO CL A Symbol: THE	Aggressive None	43.660	61.	2,663.26	1,567.14	—
UNITED DOMINION REALTY TR INC Symbol: UDR	Growth & Income Hold	25.500	70.	1,785.00	1,725.71	—
UNITED STATES CELLULAR CORP Symbol: USM	Aggressive None	50.910	44.	2,240.04	2,130.04	—

5571

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
USG CORP	Aggressive	79.850	34.	$2,714.90	$1,811.60	—
Symbol: USG	None					
XTO ENERGY INC	Growth	47.990	45.	2,159.55	1,874.43	-626.52
Symbol: XTO	None					

Closed-end funds	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
STREETTRACKS GOLD TR	Aggressive	55.630	74.	$4,116.62	$3,768.69	—
Symbol: GLD	None					
Total stocks				**$103,101.72**	$92,704.00	-$4,010.22
Total estimated asset value				**$116,477.51**		

5572

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Investment Activity

Total cash and money market funds on Jan 01	$10,119.90
Additions	
Income	$205.63
Proceeds from securities sold	$6,728.85
Other additions	$332.70
Total additions	$7,267.28
Subtractions	
Withdrawals to purchase securities	-$3,778.41
Fees	-$232.33
Taxes withheld	-$0.65
Total subtractions	-$4,011.39
Total cash and money market funds on Jan 27	$13,375.79

Detail of Your Investment Activity

Additions

	Type		Date			Days	Rate	Amount	Where Investe
Income	Money market dividends		01/20	MONEY MARKET		22	3.49	24.71	Money marke
	Type	Tax Info.	Date		Quantity	Amount per share	Rate	Amount	Where Investe
	Dividends	Q	01/03	CONSTELLATION ENERGY GROUP INC	30.	0.335		$10.05	Money marke
		Q	01/03	KERR MCGEE CORP COM	36.	0.05		1.80	Money marke
		Q	01/03	GENUINE PARTS CO	44.	0.3125		13.75	Money marke
		Q	01/03	ENDURANCE SPECIALTY HOLDINGS	46.	0.25		11.50	Money marke

5573

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Additions, continued

	Type	Tax info.	Date		Quantity	Amount per share	Rate	Amount	Where investe
Income	Dividends	Q	01/09	ROGERS COMMUNICATIONS INC CL B	68.	0.063992		$4.35	Money marke
		Q	01/13	XTO ENERGY INC	59.	0.075		4.43	Money marke
		Q	01/13	FIRST AMERICAN CORP	38.	0.18		6.84	Money marke
		N	01/17	BRANDYWINE RLTY TR	77.	0.02		1.54	Money marke
		N	01/17	BRANDYWINE RLTY TR	77.	0.44		33.88	Money marke
		Q	01/17	SEMPRA ENERGY	53.	0.29		15.37	Money marke
		Q	01/17	OCCIDENTAL PETE CORP	21.	0.36		7.56	Money marke
		N	01/18	AMERICAN FINL REALTY TRUST	135.	0.27		36.45	Money marke
		N	01/23	REDWOOD TRUST INC	40.	0.70		28.00	Money marke
		Q	01/23	PEP BOYS MANNY MOE & JACK	80.	0.0675		5.40	Money marke
			Total income					$205.63	

	Date		Quantity	Amount per share	Trade date	Amount	Where investe
Proceeds from securities sold	01/09	GUIDANT CORP	15.	65.85		$987.75	Money marke
	01/10	EOG RESOURCES INC	11.	76.1237		837.36	Money marke
	01/10	XTO ENERGY INC	14.	44.7513		626.52	Money marke
	01/10	SEMPRA ENERGY	16.	46.7832		748.53	Money marke
	01/12	FIRST AMERICAN CORP	38.	45.5521		1,730.98	Money marke
	01/24	MILLICOM INTL CELLULAR S A NEW	49.	36.69		1,797.81	Money marke
	Total proceeds from securities sold					$6,728.95	

	Date		Amount	Where investe
Other Additions	01/26	TRANSFER FROM	$332.70	Money marke
	Total other additions		$332.70	

5574

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Fund
Withdrawals to purchase securities	01/09	STREETTRACKS GOLD TR	7.	53.25		-$372.75	Money marke
	01/12	ARCH COAL INC	20.	83.9974		-1,679.95	Money marke
	01/23	UNITED DOMINION REALTY TR INC	70.	24.663		-1,725.71	Money marke
	Total withdrawals to purchase securities					-$3,778.41	
Fees	01/18	MANAGED ACCOUNT FEE				-$232.33	Money marke
	Total fees					-$232.33	
	Date					Amount	Source of Fund
Taxes withheld	01/09	ROGERS COMMUNICATIONS INC CL B 15.000% FOREIGN TAX				-$0.65	Money marke
	Total taxes withheld					-$0.65	

Other Activity

Date	Activity		Quantity	Notes	Estimated Valu
01/03	STOCK SPLIT	OLD REPUBLIC INTERNATIONAL	21.	ON 84 AT .25 PER SHARE	—

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$1,525.64	$1,525.64
Long term (held over 1 year)	0.00	0.00
Total	$1,525.64	$1,525.64

5575

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
GUIDANT CORP	06/07/2005	01/04	15.000	$1,105.35	$987.75	-$117.60	Short term
EOG RESOURCES INC	06/07/2005	01/05	11.000	571.34	837.36	266.02	Short term
SEMPRA ENERGY	06/07/2005	01/05	16.000	640.64	748.53	107.89	Short term
XTO ENERGY INC	06/07/2005	01/05	14.000	444.78	626.52	181.74	Short term
FIRST AMERICAN CORP	06/07/2005	01/09	38.000	1,520.00	1,730.98	210.98	Short term
MILLICOM INTL CELLULAR S A NEW	06/07/2005	01/19	49.000	921.20	1,797.81	876.61	Short term

As you requested, copies of your statement have been sent to:

5576

Account number:
Statement type:
January 28 - February 24, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TR

Value Summary (Held at Edward Jones)

Value on Feb 24	$115,377.69
Value on Jan 28	$116,477.51
Value one year ago	—

Summary of Your Assets

Held at Edward Jones	Value on Feb 24	Value on Jan 28	Change in value
Cash & money market	$13,869.47	$13,375.79	$493.68
Stocks	101,508.22	103,101.72	-1,593.50
Total at Edward Jones	$115,377.69	$116,477.51	-$1,099.82

Summary of Your Income

Income distributions from securities	This period Taxable	Tax-free	Total	Year-to-date Taxable	Tax-free	Total
Money market dividends	$37.31	—	$37.31	$62.02	—	$62.02
Dividends						
Qualified (Q) - Reduced Tax Eligible	143.26	—	143.26	212.81	—	212.81
Nonqualified (N) - Taxable	59.99	—	59.99	96.44	—	96.44
Total	$240.56	—	$240.56	$371.27	—	$371.27

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges						
Income reported in prior year			—			$74.92
Foreign taxes paid			—			-0.65
Total			—			$74.27

5577

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	3.71%	3.78%	$13,869.47
Total cash and money market funds			$13,869.47

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AFFILIATED COMPUTER SVCS INC CL A Symbol: ACS	Growth None	62.970	42.	$2,644.74	$2,133.18	—
AIR PRODS & CHEMS INC Symbol: APD	Growth None	64.500	34.	2,193.00	2,130.44	—
AMERICAN FINANCIAL REALTY TRUST Symbol: AFR	Aggressive None	12.390	135.	1,672.65	2,104.65	—
AON CORP Symbol: AOC	Growth & Income None	39.780	56.	2,227.68	1,372.56	—
ARCH COAL INC Symbol: ACI	Aggressive None	76.450	20.	1,529.00	1,679.95	—
ASTORIA FINANCIAL CORP Symbol: AF	Growth & Income None	28.820	38.	1,095.16	1,051.46	—
BRANDYWINE RLTY TR Symbol: BDN	Growth & Income None	30.300	77.	2,333.10	2,193.80	—
C R BARD INC Symbol: BCR	Growth None	66.100	15.	991.50	1,031.10	—
CABLEVISION SYSTEMS CORP CL A Symbol: CVC	Aggressive None	25.200	59.	1,486.80	1,588.87	—

5578

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
COLONIAL BANCGROUP INC Symbol: CNB	Growth & Income None	25.180	71.	$1,787.78	$1,598.21	—
COMPUTER SCIENCES CORP Symbol: CSC	Growth None	53.790	23.	1,237.17	1,062.14	—
CONSTELLATION ENERGY GROUP INC Symbol: CEG	Growth & Income None	58.530	30.	1,755.90	1,637.10	—
DREAMWORKS ANIMATION INC CL A Symbol: DWA	Aggressive None	27.010	65.	1,755.65	1,771.21	—
ENDURANCE SPECIALTY HOLDINGS INC Symbol: ENH	Growth & Income None	32.100	46.	1,476.60	1,678.54	—
EOG RESOURCES INC Symbol: EOG	Growth None	70.150	25.	1,753.75	1,869.84	-837.36
GENUINE PARTS CO Symbol: GPC	Growth & Income None	44.810	44.	1,871.64	1,893.76	—
HEALTH CARE PROPERTY INV INC Symbol: HCP	Growth & Income None	27.520	58.	1,596.16	1,583.98	—
HEALTH CARE REIT INC Symbol: HCN	Aggressive None	38.750	57.	2,094.75	2,105.58	—
INTEROIL CORP Symbol: IOC	Aggressive None	17.450	20.	349.00	513.60	—
ISTAR FINANCIAL INC Symbol: SFI	Aggressive None	38.030	53.	2,015.59	2,211.87	—
KERR MCGEE CORP Symbol: KMG	Growth None	100.290	36.	3,610.44	2,619.36	—
KEYSPAN CORP Symbol: KSE	Growth & Income Hold	41.410	53.	2,194.73	2,120.00	—

5579

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
LIBERTY GLOBAL INC SER C Symbol: LBTYK	Aggressive None	19.490	37.	$721.13	$751.45	—
MILLICOM INTERNATIONAL CELLULAR S A NEW Symbol: MICC	Aggressive None	41.950	41.	1,719.95	2,086.79	-2,660.02
NABORS INDS Symbol: NBR	Aggressive None	67.640	28.	1,893.92	1,606.36	—
NISOURCE INC Symbol: NI	Growth & Income Hold	20.710	88.	1,822.48	2,134.00	—
NOBLE ENERGY INC Symbol: NBL	Growth None	43.480	70.	3,043.60	2,626.40	—
OCCIDENTAL PETE CORP Symbol: OXY	Growth & Income None	92.380	21.	1,939.98	1,580.25	—
OLD REPUBLIC INTERNATIONAL CORP Symbol: ORI	Growth & Income None	21.370	105.	2,243.85	2,106.72	—
OPEN SOLUTIONS INC Symbol: OPEN	Growth None	26.840	72.	1,932.48	1,562.82	—
PATTERSON-UTI ENERGY INC Symbol: PTEN	Aggressive None	28.660	34.	974.44	1,061.48	—
PEP BOYS MANNY MOE & JACK Symbol: PBY	Growth None	15.650	80.	1,252.00	1,082.40	—
PIONEER NATURAL RESOURCES CO Symbol: PXD	Growth None	43.080	38.	1,637.04	2,086.41	-572.19
PRINCIPAL FINANCIAL GROUP INC Symbol: PFG	Growth None	49.240	45.	2,215.80	1,777.95	—
PROGRESS ENERGY INC Symbol: PGN	Growth & Income Hold	44.420	36.	1,599.12	1,614.96	—

5580

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
REDWOOD TRUST INC Symbol: RWT	Growth & Income None	41.010	40.	$1,640.40	$2,115.20	—
ROGERS COMMUNICATIONS INC CL B Symbol: RG	Growth None	39.450	68.	2,682.60	2,102.21	—
ROWAN COMPANIES INC Symbol: RDC	Aggressive None	41.380	75.	3,103.50	2,110.50	—
SABRE HOLDINGS CORP CL A Symbol: TSG	Growth None	24.500	77.	1,886.50	1,606.22	—
SCHEIN HENRY INCORPORATED Symbol: HSIC	Aggressive None	46.890	52.	2,438.28	2,117.98	—
SEMPRA ENERGY Symbol: SRE	Growth & Income None	48.580	37.	1,797.46	2,122.12	-748.53
SOVEREIGN BANCORP INC Symbol: SOV	Growth None	20.910	70.	1,463.70	1,568.70	—
TD BANKNORTH INC Symbol: BNK	Growth & Income None	30.750	72.	2,214.00	2,161.88	—
TELEPHONE & DATA SYSTEMS INC SPECIAL COMMON Symbol: TDS S	Growth None	35.550	56.	1,990.80	2,106.16	—
TEMPLE INLAND INC Symbol: TIN	Growth & Income None	43.380	43.	1,865.77	1,577.67	—
TEVA PHARM IND LTD ADR Symbol: TEVA	Growth None	42.260	47.	1,986.22	—	—
TODCO CL A Symbol: THE	Aggressive None	36.440	61.	2,222.84	1,567.14	—
ULTRA PETROLEUM CORP Symbol: UPL	Aggressive None	55.410	26.	1,440.66	1,813.94	—

5581

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
UNITED DOMINION REALTY TR INC	Growth & Income	26.860	70.	$1,880.20	$1,725.71	—
Symbol: UDR	Hold					
UNITED STATES CELLULAR CORP	Aggressive	55.510	44.	2,442.44	2,130.04	—
Symbol: USM	None					
USG CORP	Aggressive	87.240	19.	1,657.56	1,611.60	-1,279.48
Symbol: USG	None					
XTO ENERGY INC	Growth	42.430	45.	1,909.35	1,874.43	-626.52
Symbol: XTO	None					

Closed-end funds	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
STREETTRACKS GOLD TR	Aggressive	55.640	74.	$4,117.36	$3,768.69	—
Symbol: GLD	None					
Total stocks				$101,508.22	—	—
Total estimated asset value				**$115,377.69**		

5582

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Investment Activity

Total cash and money market funds on Jan 28	$13,375.79
Additions	
Income	$240.56
Other income	$1,344.31
Proceeds from securities sold	$2,733.88
Total additions	$4,318.75
Subtractions	
Withdrawals to purchase securities	-$3,585.15
Fees	-$239.92
Total subtractions	-$3,825.07
Total cash and money market funds on Feb 24	$13,869.47

Detail of Your Investment Activity

Additions

	Type		Date			Days	Rate	Amount	Where Invested
Income	Money market dividends		02/21	MONEY MARKET		30	3.65	37.31	Money market
	Type	**Tax Info.**	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Invested**
	Dividends	Q	01/31	EOG RESOURCES INC	25.	0.04		$1.00	Money market
		Q	02/01	PROGRESS ENERGY INC	36.	0.605		21.78	Money market
		Q	02/01	KEYSPAN CORP	53.	0.465		24.65	Money market
		Q	02/03	C R BARD INC	15.	0.13		1.95	Money market
		Q	02/10	COLONIAL BANCGROUP INC	71.	0.17		12.07	Money market

5583

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Additions, continued

	Type	Tax info.	Date		Quantity	Amount per share	Rate	Amount	Where invested
Income	Dividends	Q	02/13	AIR PRODS & CHEMS INC	34.	0.32		$10.88	Money market
		Q	02/14	AON CORP	56.	0.15		8.40	Money market
		Q	02/15	SOVEREIGN BANCORP INC	70.	0.06		4.20	Money market
		N	02/21	HEALTH CARE REIT INC	57.	0.62		35.34	Money market
		Q	02/21	TD BANKNORTH INC	72.	0.22		15.84	Money market
		Q	02/21	NOBLE ENERGY INC	70.	0.05		3.50	Money market
		Q	02/21	NISOURCE INC	88.	0.23		20.24	Money market
		N	02/23	HEALTH CARE PROPERTY INV INC	58.	0.425		24.65	Money market
		Q	02/24	ROWAN COMPANIES INC	75.	0.25		18.75	Money market
			Total income					$240.56	
			Date		Quantity	Amount per share	Trade date	Amount	Where invested
Other income	Redemptions		02/02	CASH IN LIEU TEVA PHARM IND LTD ADR				$8.46	Money market
			02/02	IVAX CORP OFFER PRORATED AT 48.0%	51.			1,326.00	Money market
			02/06	CASH IN LIEU TEVA PHARM IND LTD ADR OF FRACTIONAL SHARES				18.31	Money market
			02/06	CASH IN LIEU TEVA PHARM IND LTD ADR CANCEL PAYMENT OF 2/2/06				-8.46	Money market
			Total other income					$1,344.31	
			Date		Quantity	Amount per share	Trade date	Amount	Where invested
Proceeds from securities sold			02/15	PIONEER NATURAL RESOURCES CO	13.	44.0145		$572.19	Money market

5584

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Additions, continued

	Date		Quantity	Amount per share	Trade date	Amount	Where invested
Proceeds from securities sold	02/15	MILLICOM INTL CELLULAR S A NEW	21.	42.01		882.21	Money market
	02/21	USG CORP	15.	85.2988		1,279.48	Money market
	Total proceeds from securities sold					**$2,733.88**	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of funds
Withdrawals to purchase securities	02/06	ULTRA PETROLEUM CORP	26.	69.767		-$1,813.94	Money market
	02/08	DREAMWORKS ANIMATION INC CL A	65.	27.2494		-1,771.21	Money market
	Total withdrawals to purchase securities					**-$3,585.15**	
Fees	02/17	MANAGED ACCOUNT FEE				-$239.92	Money market
	Total fees					**-$239.92**	

Other Activity

Date	Activity		Quantity	Notes	Estimated Value
02/02	EXCHANGE TO	TEVA PHARM IND LTD ADR	43.	OFFER PRORATED AT 48.0%	—
02/03	EXCHANGE FROM	IVAX CORP	-56.	OFFER PRORATED AT 51.9%	—
02/03	EXCHANGE TO	TEVA PHARM IND LTD ADR	4.	ADD'L SHS FROM IVAX EXCHANGE	—

Pending Trades

Purchases	Trade date	Quantity	Price	Amount	Settlement date
PRINCIPAL FINANCIAL GROUP INC	02/23/2006	2.000	$48.846	$97.69	02/28/2006

5585

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$1,383.38	$2,909.02
Long term (held over 1 year)	0.00	0.00
Total	**$1,383.38**	**$2,909.02**

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
IVAX CORP	06/07/2005	02/02	51.000	$1,038.87	$1,326.00	$287.13	Short term
MILLICOM INTL CELLULAR S A NEW	06/07/2005	02/10	21.000	394.80	882.21	487.41	Short term
PIONEER NATURAL RESOURCES CO	06/07/2005	02/10	13.000	531.83	572.19	40.36	Short term
USG CORP	06/07/2005	02/15	15.000	711.00	1,279.48	568.48	Short term

As you requested, copies of your statement have been sent to:

5586

Account number:
Statement type:
February 25 - March 31, 2006

201 Progress Parkway
Maryland Heights, MO 63043 3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TR

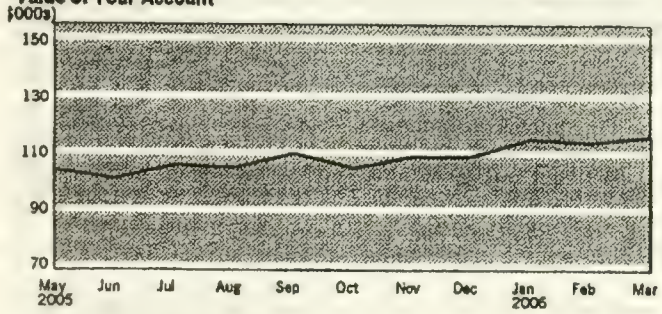
Value Summary (Held at Edward Jones)

Value on Mar 31	$117,162.22
Value on Feb 25	$115,377.69
Value one year ago	—

Summary of Your Assets

Held at Edward Jones	Value on Mar 31	Value on Feb 25	Change in value
Cash & money market	$13,983.22	$13,869.47	$113.75
Stocks	103,179.00	101,508.22	1,670.78
Total at Edward Jones	$117,162.22	$115,377.69	$1,784.53

Value of Your Account



his chart shows how the value of your account has changed recently
ncludes only assets held at Edward Jones). While it's important to be aware
f short-term results, don't lose sight of the long term. Edward Jones believes
long-term investment strategy offers the greatest potential for success.

(Living trust)

5587

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Income

Income distributions from securities	This period Taxable	Tax-free	Total	Year-to-date Taxable	Tax-free	Total
Money market dividends	$41.14	—	$41.14	$103.16	—	$103.16
Dividends						
Qualified (Q) - Reduced Tax Eligible	50.41	—	50.41	263.22	—	263.22
Nonqualified (N) - Taxable	—	—	—	96.44	—	96.44
Total	$91.55	—	$91.55	$462.82	—	$462.82

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges				
Income reported in prior year			—	$74.92
Foreign taxes paid			—	-0.65
Total			—	$74.27

Your Estimated Interest and Dividends

The following is an estimated summary of your interest and dividend income for the next 12 months. It is based on a variety of factors. These factors, including distribution rates and amount of principal invested, are subject to change. Because they are estimates, the specific amounts listed here are not guaranteed by Edward Jones or by the underlying issuer(s) of the securities. Income from items with * is being reinvested.

			2006									2007			
Cash & money market funds		Quantity	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	Total
Money Market 3.90%		13,983	45	45	45	45	45	45	45	45	45	45	45	45	540
Stocks	**Tax Info.**														
AIR PRODS & CHEMS INC	Q	34		11			11			11			11		44
AMERICAN FINL REALTY TRUST	N	135	36			36			36			36			144
AON CORP	Q	56		8			8			8			8		32

5588

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks	Tax Info.	Quantity	2006 APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	2007 JAN	FEB	MAR	Total
ARCH COAL INC	Q	20			1			1			1			1	4
ASTORIA FINANCIAL CORP	Q	38			9			9			9			9	36
BRANDYWINE RLTY TR	N	77	32			32			32			32			128
C R BARD INC	Q	25		3			3			3			3		12
COLONIAL BANCGROUP INC	Q	71		12			12			12			12		48
CONSTELLATION ENERGY GROUP INC	Q	30	11			11			11			11			44
ENDURANCE SPECIALTY HOLDINGS	Q	55	13			13			13			13			52
EOG RESOURCES INC	Q	25	1			1			1			1			4
GENUINE PARTS CO	Q	44	14			14			14			14			56
HEALTH CARE PROPERTY INV INC	N	58		24			24			24			24		96
HEALTH CARE REIT INC	N	57		35			35			35			35		140
ISTAR FINANCIAL INC	N	53			40			40			40			40	160
KERR MCGEE CORP COM	Q	38	1			1			1			1			4
KEYSPAN CORP	Q	53		24			24			24			24		96
NISOURCE INC	Q	88		20			20			20			20		80
NOBLE ENERGY INC	Q	70		3			3			3			3		12
OCCIDENTAL PETE CORP	Q	21	7			7			7			7			28
OLD REPUBLIC INTERNATIONAL	Q	105			14			14			14			14	56
PATTERSON-UTI ENERGY INC	Q	34			1			1			1			1	4
PEP BOYS MANNY MOE & JACK	Q	80	5			5			5			5			20
PIONEER NATURAL RESOURCES CO	Q	38	4						4						8
PRINCIPAL FINANCIAL GROUP INC	Q	47									30				30

5589

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks	Tax Info.	Quantity	2006 APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	2007 JAN	FEB	MAR	Total
PROGRESS ENERGY INC	Q	36		21			21			21			21		84
REDWOOD TRUST INC	N	40	28			28			28			28			112
ROGERS COMMUNICATIONS INC CL B	Q	68				4						4			8
SABRE HOLDINGS CORP CL A	Q	77		7			7			7			7		28
SEMPRA ENERGY	Q	37	11			11			11			11			44
SOVEREIGN BANCORP INC	Q	70		5			5			5			5		20
TD BANKNORTH INC	Q	72		15			15			15			15		60
TEMPLE INLAND INC	Q	43			10			10			10			10	40
UNITED DOMINION REALTY TR INC	N	70	21			21			21			21			84
XTO ENERGY INC	Q	45	3			3			3			3			12
Total			**232**	**233**	**120**	**232**	**233**	**120**	**232**	**233**	**150**	**232**	**233**	**120**	**2,370**

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	3.90%	3.98%	$13,983.22
Total cash and money market funds			**$13,983.22**

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AFFILIATED COMPUTER SVCS INC CL A Symbol: ACS	Growth None	59.660	42.	$2,505.72	$2,133.18	—

5590

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AIR PRODS & CHEMS INC Symbol: APD	Growth & Income None	67.190	34.	$2,284.46	$2,130.44	—
AMERICAN FINANCIAL REALTY TRUST Symbol: AFR	Aggressive None	11.650	135.	1,572.75	2,104.65	—
AON CORP Symbol: AOC	Growth & Income None	41.510	56.	2,324.56	1,372.56	—
ARCH COAL INC Symbol: ACI	Aggressive None	75.940	20.	1,518.80	1,679.95	—
ASTORIA FINANCIAL CORP Symbol: AF	Growth & Income None	30.960	38.	1,176.48	1,051.46	—
BRANDYWINE RLTY TR Symbol: BDN	Growth & Income None	31.760	77.	2,445.52	2,193.80	—
C R BARD INC Symbol: BCR	Growth None	67.810	25.	1,695.25	1,714.27	—
CABLEVISION SYSTEMS CORP CL A Symbol: CVC	Aggressive None	26.700	86.	2,296.20	2,304.10	—
COLONIAL BANCGROUP INC Symbol: CNB	Growth & Income None	25.000	71.	1,775.00	1,598.21	—
COMPUTER SCIENCES CORP Symbol: CSC	Growth None	55.550	23.	1,277.65	1,062.14	—
CONSTELLATION ENERGY GROUP INC Symbol: CEG	Growth & Income None	54.710	30.	1,641.30	1,637.10	—
DREAMWORKS ANIMATION INC CL A Symbol: DWA	Aggressive None	26.450	65.	1,719.25	1,771.21	—
ENDURANCE SPECIALTY HOLDINGS INC Symbol: ENH	Growth & Income None	32.550	55.	1,790.25	1,956.64	—

5591

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
EOG RESOURCES INC Symbol: EOG	Growth None	72.000	25.	$1,800.00	$1,869.84	-$837.36
GENUINE PARTS CO Symbol: GPC	Growth & Income None	43.830	44.	1,928.52	1,893.76	—
HEALTH CARE PROPERTY INV INC Symbol: HCP	Growth & Income None	28.400	58.	1,647.20	1,583.98	—
HEALTH CARE REIT INC Symbol: HCN	Aggressive None	38.100	57.	2,171.70	2,105.58	—
INTEROIL CORP Symbol: IOC	Aggressive None	13.140	20.	262.80	513.80	—
ISTAR FINANCIAL INC Symbol: SFI	Aggressive None	38.280	53.	2,028.84	2,211.87	—
KERR MCGEE CORP Symbol: KMG	Growth None	95.480	36.	3,437.28	2,619.36	—
KEYSPAN CORP Symbol: KSE	Growth & Income Hold	40.870	53.	2,166.11	2,120.00	—
LIBERTY GLOBAL INC SER C Symbol: LBTYK	Aggressive None	19.750	37.	730.75	751.45	—
MILLICOM INTERNATIONAL CELLULAR S A NEW Symbol: MICC	Aggressive None	47.090	41.	1,930.69	2,088.79	-2,680.02
NABORS INDS Symbol: NBR	Aggressive None	71.580	28.	2,004.24	1,606.36	—
NISOURCE INC Symbol: NI	Growth & Income Hold	20.220	88.	1,779.36	2,134.00	—
NOBLE ENERGY INC Symbol: NBL	Growth None	43.920	70.	3,074.40	2,626.40	—

5592

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
OCCIDENTAL PETE CORP Symbol: OXY	Growth & Income None	92.650	21.	$1,945.65	$1,580.25	—
OLD REPUBLIC INTERNATIONAL CORP Symbol: ORI	Growth & Income None	21.820	105.	2,291.10	2,106.72	—
OPEN SOLUTIONS INC Symbol: OPEN	Aggressive None	27.310	72.	1,966.32	1,562.82	—
PATTERSON-UTI ENERGY INC Symbol: PTEN	Aggressive None	31.960	34.	1,086.64	1,061.48	—
PEP BOYS MANNY MOE & JACK Symbol: PBY	Growth None	15.110	80.	1,208.80	1,082.40	—
PIONEER NATURAL RESOURCES CO Symbol: PXD	Growth None	44.250	38.	1,681.50	2,086.41	-572.19
PRINCIPAL FINANCIAL GROUP INC Symbol: PFG	Growth None	48.800	47.	2,293.60	1,875.64	—
PROGRESS ENERGY INC Symbol: PGN	Growth & Income Hold	43.980	36.	1,583.28	1,614.96	—
REDWOOD TRUST INC Symbol: RWT	Growth & Income None	43.320	40.	1,732.80	2,115.20	—
ROGERS COMMUNICATIONS INC CL B Symbol: RG	Growth None	38.150	68.	2,594.20	2,102.21	—
ROWAN COMPANIES INC Symbol: RDC	Growth None	43.960	75.	3,297.00	2,110.50	—
SABRE HOLDINGS CORP CL A Symbol: TSG	Growth None	23.530	77.	1,811.81	1,606.22	—
SCHEIN HENRY INCORPORATED Symbol: HSIC	Aggressive None	47.860	52.	2,488.72	2,117.96	—

5593

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
SEMPRA ENERGY Symbol: SRE	Growth & Income None	46.460	37.	$1,719.02	$2,122.12	-$748.53
SOVEREIGN BANCORP INC Symbol: SOV	Growth None	21.910	70.	1,533.70	1,568.70	—
TD BANKNORTH INC Symbol: BNK	Growth & Income None	29.350	72.	2,113.20	2,161.88	—
TELEPHONE & DATA SYSTEMS INC SPECIAL COMMON Symbol: TDS S	Growth None	37.750	58.	2,114.00	2,106.16	—
TEMPLE INLAND INC Symbol: TIN	Growth & Income None	44.550	43.	1,915.65	1,577.67	—
TODCO CL A Symbol: THE	Aggressive None	39.410	61.	2,404.01	1,567.14	—
TRONOX INC	Unclassified None	16.990	7.	118.93	—	—
ULTRA PETROLEUM CORP Symbol: UPL	Aggressive None	62.310	26.	1,620.06	1,813.94	—
UNITED DOMINION REALTY TR INC Symbol: UDR	Growth & Income Hold	28.540	70.	1,997.80	1,725.71	—
UNITED STATES CELLULAR CORP Symbol: USM	Aggressive None	59.360	44.	2,611.84	2,130.04	—
USG CORP Symbol: USG	Aggressive None	84.960	19.	1,804.24	1,611.60	-1,279.48
XTO ENERGY INC Symbol: XTO	Growth None	43.570	45.	1,960.65	1,874.43	-626.52

5594

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Closed-end funds	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
STREETTRACKS GOLD TR Symbol: GLD	Aggressive None	58.100	74.	$4,299.40	$3,768.69	—
Total stocks				**$103,179.00**	—	—
Total estimated asset value				**$117,162.22**		

Summary of Your Investment Activity

Total cash and money market funds on Feb 25	$13,869.47
Additions	
Income	$91.65
Proceeds from securities sold	$2,013.69
Other additions	$0.02
Total additions	$2,105.26
Subtractions	
Withdrawals to purchase securities	-$1,774.19
Fees	-$217.32
Total subtractions	-$1,991.51
Total cash and money market funds on Mar 31	**$13,983.22**

Detail of Your Investment Activity

Additions

	Type	Date		Days	Rate	Amount	Where invested
Income	Money market dividends	03/20	MONEY MARKET	27	3.73	41.14	Money market

5595

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Additions, continued

	Type	Tax info.	Date		Quantity	Amount per share	Rate	Amount	Where invested
Income	Dividends	Q	02/28	SABRE HOLDINGS CORP CL A	77.	0.10		$7.70	Money market
		Q	03/01	ASTORIA FINANCIAL CORP	38.	0.24		9.12	Money market
		Q	03/15	OLD REPUBLIC INTERNATIONAL	105.	0.14		14.70	Money market
		Q	03/15	ARCH COAL INC	20.	0.08		1.60	Money market
		Q	03/15	TEMPLE INLAND INC	43.	0.25		10.75	Money market
		Q	03/30	PATTERSON-UTI ENERGY INC	34.	0.04		1.36	Money market
		Q	03/31	TELEPHONE & DATA SYS INC SPL	56.	0.0925		5.18	Money market
			Total income					$91.55	

	Date		Quantity	Amount per share	Trade date	Amount	Where invested
Proceeds from securities sold	03/02	TEVA PHARM IND LTD ADR	47.	42.8444		$2,013.69	Money market
	Total proceeds from securities sold					$2,013.69	

	Date		Amount	Where invested
Other Additions	03/02	TRANSFER FROM	$0.02	Money market
	Total other additions		$0.02	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	02/28	PRINCIPAL FINANCIAL GROUP INC	2.	48.8463		-$97.69	Money market
	03/14	C R BARD INC	10.	68.3172		-683.17	Money market
	03/17	ENDURANCE SPECIALTY HOLDINGS	9.	30.8995		-278.10	Money market
	03/27	CABLEVISION SYSTEMS CORP CL A	27.	26.49		-715.23	Money market
	Total withdrawals to purchase securities					-$1,774.19	
Fees	03/15	MANAGED ACCOUNT FEE				-$217.32	Money market
	Total fees					-$217.32	

5596

201 Progress Parkway
Maryland Heights, MO 63043 3042
www.edwardjones.com
Member SIPC

Edward Jones

Other Activity

Date	Activity		Quantity	Notes	Estimated Value
03/31	SPINOFF	TRONOX INC	7.	ON 36 SHARES OF KERR MCGEE COR	—

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$0.00	$2,909.02
Long term (held over 1 year)	0.00	0.00
Total	$0.00	$2,909.02

As you requested, copies of your statement have been sent to:

5597

201 Progress Parkway
Maryland Heights, MO 63043 3042
www.edwardjones.com
Member SIPC

Edward Jones

This page intentionally left blank

Account number:
Statement type:
April 1 - April 28, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TR

Value Summary (Held at Edward Jones)

Value on Apr 28	$119,573.56
Value on Apr 1	$117,162.22
Value one year ago	—

Summary of Your Assets

Held at Edward Jones	Value on Apr 28	Value on Apr 1	Change in value
Cash & money market	$12,269.78	$13,983.22	-$1,713.44
Stocks	107,303.78	103,179.00	4,124.78
Total at Edward Jones	$119,573.56	$117,162.22	$2,411.34

Summary of Your Income

	This period			Year-to-date		
Income distributions from securities	Taxable	Tax-free	Total	Taxable	Tax-free	Total
Money market dividends	$47.36	—	$47.36	$150.52	—	$150.52
Dividends						
Qualified (Q) - Reduced Tax Eligible	935.23	—	935.23	1,198.45	—	1,198.45
Nonqualified (N) - Taxable	96.79	—	96.79	193.23	—	193.23
Total	$1,079.38	—	$1,079.38	$1,542.20	—	$1,542.20

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges			
Income reported in prior year		—	$74.92
Foreign taxes paid		—	-0.65
Total		—	$74.27

5599

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	3.96%	4.03%	$12,269.78
Total cash and money market funds			$12,269.78

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AFFILIATED COMPUTER SVCS INC CL A Symbol: ACS	Growth None	55.760	42.	$2,341.92	$2,133.18	—
AIR PRODS & CHEMS INC Symbol: APD	Growth None	68.520	34.	2,329.68	2,130.44	—
AMERICAN FINANCIAL REALTY TRUST Symbol: AFR	Aggressive None	11.380	135.	1,536.30	2,104.65	—
AON CORP Symbol: AOC	Growth & Income None	41.910	56.	2,346.96	1,372.56	—
ARCH COAL INC Symbol: ACI	Aggressive None	94.990	20.	1,899.80	1,879.95	—
ASTORIA FINANCIAL CORP Symbol: AF	Growth & Income None	31.320	38.	1,190.16	1,051.46	—
BRANDYWINE RLTY TR Symbol: BDN	Growth & Income None	28.310	77.	2,179.87	2,193.80	—
C R BARD INC Symbol: BCR	Growth None	74.460	25.	1,861.50	1,714.27	—

5600

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
CABLEVISION SYSTEMS CORP CL A Symbol: CVC	Aggressive None	20.270	86.	$1,743.22	$2,304.10	—
COLONIAL BANCGROUP INC Symbol: CNB	Growth & Income None	25.930	71.	1,841.03	1,598.21	—
COMPUTER SCIENCES CORP Symbol: CSC	Growth None	58.550	23.	1,346.65	1,062.14	—
CONSTELLATION ENERGY GROUP INC Symbol: CEG	Growth & Income None	54.920	30.	1,647.60	1,637.10	—
DREAMWORKS ANIMATION INC CL A Symbol: DWA	Aggressive None	27.100	65.	1,761.50	1,771.21	—
ENDURANCE SPECIALTY HOLDINGS INC Symbol: ENH	Growth & Income None	30.960	55.	1,702.80	1,956.64	—
EOG RESOURCES INC Symbol: EOG	Growth None	70.230	25.	1,755.75	1,869.84	-837.36
GENUINE PARTS CO Symbol: GPC	Growth & Income None	43.650	44.	1,920.60	1,893.76	—
HEALTH CARE PROPERTY INV INC Symbol: HCP	Growth & Income None	27.420	58.	1,590.36	1,583.98	—
HEALTH CARE REIT INC Symbol: HCN	Growth & Income None	34.800	57.	1,983.60	2,105.58	—
INTEROIL CORP Symbol: IOC	Aggressive None	13.810	20.	276.20	513.80	—
ISTAR FINANCIAL INC Symbol: SFI	Aggressive None	38.260	53.	2,027.78	2,211.87	—
KERR MCGEE CORP Symbol: KMG	Growth None	99.860	36.	3,594.96	2,619.36	—

5601

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
KEYSPAN CORP Symbol: KSE	Growth & Income Hold	40.380	53.	$2,140.14	$2,120.00	—
LIBERTY GLOBAL INC SER C Symbol: LBTYK	Aggressive None	19.970	37.	738.89	751.45	—
MEDIMMUNE INC Symbol: MEDI	Aggressive None	31.470	58.	1,825.26	1,851.59	—
MILLICOM INTERNATIONAL CELLULAR S A NEW Symbol: MICC	Aggressive None	49.180	41.	2,016.38	2,086.79	-2,680.02
NABORS INDS Symbol: NBR	Aggressive None	37.330	56.	2,090.48	1,506.36	—
NISOURCE INC Symbol: NI	Growth & Income Hold	21.110	88.	1,857.68	2,134.00	—
NOBLE ENERGY INC Symbol: NBL	Growth None	44.980	70.	3,148.60	2,626.40	—
OCCIDENTAL PETE CORP Symbol: OXY	Growth & Income None	102.740	21.	2,157.54	1,580.25	—
OLD REPUBLIC INTERNATIONAL CORP Symbol: ORI	Growth & Income None	22.250	105.	2,336.25	2,106.72	—
OPEN SOLUTIONS INC Symbol: OPEN	Aggressive None	27.220	72.	1,959.84	1,562.82	—
PATTERSON-UTI ENERGY INC Symbol: PTEN	Aggressive None	32.360	34.	1,100.24	1,061.48	—
PEP BOYS MANNY MOE & JACK Symbol: PBY	Growth None	14.870	80.	1,189.60	1,082.40	—
PIONEER NATURAL RESOURCES CO Symbol: PXD	Growth None	42.820	54.	2,312.28	2,791.94	-572.19

5602

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
PRINCIPAL FINANCIAL GROUP INC Symbol: PFG	Growth None	51.310	47.	$2,411.57	$1,875.64	—
PROGRESS ENERGY INC Symbol: PGN	Growth & Income Hold	42.800	36.	1,540.80	1,614.96	—
REDWOOD TRUST INC Symbol: RWT	Growth & Income None	42.470	40.	1,698.80	2,115.20	—
ROGERS COMMUNICATIONS INC CL B Symbol: RG	Growth None	42.470	68.	2,887.96	2,102.21	—
ROWAN COMPANIES INC Symbol: RDC	Growth None	44.330	75.	3,324.75	2,110.50	—
SABRE HOLDINGS CORP CL A Symbol: TSG	Growth None	23.090	77.	1,777.93	1,606.22	—
SCHEIN HENRY INCORPORATED Symbol: HSIC	Aggressive None	46.620	52.	2,424.24	2,117.96	—
SEMPRA ENERGY Symbol: SRE	Growth & Income None	46.020	37.	1,702.74	2,122.12	-748.53
SOVEREIGN BANCORP INC Symbol: SOV	Growth None	22.170	70.	1,551.90	1,568.70	—
TD BANKNORTH INC Symbol: BNK	Growth & Income None	29.690	72.	2,137.68	2,161.88	—
TELEPHONE & DATA SYSTEMS INC SPECIAL COMMON Symbol: TDS S	Growth None	37.700	56.	2,111.20	2,106.16	—
TEMPLE INLAND INC Symbol: TIN	Growth & Income None	46.440	43.	1,996.92	1,577.67	—
TODCO CL A Symbol: THE	Aggressive None	45.870	61.	2,798.07	1,567.14	—

5603

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
TRONOX INC COMMON CL B Symbol: TRX B	Aggressive None	17.300	7.	$121.10	—	—
ULTRA PETROLEUM CORP Symbol: UPL	Aggressive None	63.960	26.	1,662.96	1,813.94	—
UNITED DOMINION REALTY TR INC Symbol: UDR	Growth & Income Hold	27.190	70.	1,903.30	1,725.71	—
UNITED STATES CELLULAR CORP Symbol: USM	Aggressive None	62.400	44.	2,745.60	2,130.04	—
USG CORP Symbol: USG	Aggressive None	106.970	19.	2,032.43	1,611.60	-1,279.48
XTO ENERGY INC Symbol: XTO	Growth None	42.350	45.	1,905.75	1,874.43	-626.52

Closed-end funds	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
STREETTRACKS GOLD TR Symbol: GLD	Aggressive None	65.090	74.	$4,816.66	$3,768.69	—
Total stocks				**$107,393.78**	—	—
Total estimated asset value				**$119,573.56**		

5604

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Investment Activity

Total cash and money market funds on Apr 01	$13,983.22
Additions	
Income	$1,079.38
Other income	$4.75
Total additions	$1,084.13
Subtractions	
Withdrawals to purchase securities	-$2,557.12
Fees	-$240.45
Total subtractions	-$2,797.57
Total cash and money market funds on Apr 28	**$12,269.78**

Detail of Your Investment Activity

Additions

	Type		Date			Days	Rate	Amount	Where invested
Income	Money market dividends		04/20	MONEY MARKET		31	3.95	47.38	Money market
	Type	Tax info.	Date		Quantity	Amount per share	Rate	Amount	Where invested
	Dividends	Q	04/03	CONSTELLATION ENERGY GROUP INC	30.	0.3775		$11.33	Money market
		Q	04/03	KERR MCGEE CORP COM	36.	0.05		1.80	Money market
		Q	04/03	GENUINE PARTS CO	44.	0.3375		14.85	Money market
		Q	04/03	ENDURANCE SPECIALTY HOLDINGS	55.	0.25		13.75	Money market
		Q	04/12	PIONEER NATURAL RESOURCES CO	38.	0.12		4.56	Money market
		Q	04/13	XTO ENERGY INC	45.	0.075		3.38	Money market
		N	04/17	BRANDYWINE RLTY TR	77.	0.42		32.34	Money market

5605

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Additions, continued

	Type	Tax info.	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Income	Dividends	Q	04/17	SEMPRA ENERGY	37.	0.30		$11.10	Money market
		Q	04/17	OCCIDENTAL PETE CORP	21.	0.36		7.56	Money market
		N	04/21	AMERICAN FINL REALTY TRUST	135.	0.27		36.45	Money market
		N	04/21	REDWOOD TRUST INC	40.	0.70		28.00	Money market
		Q	04/24	PEP BOYS MANNY MOE & JACK	80.	0.0675		5.40	Money market
		Q	04/25	CABLEVISION SYSTEMS CORP CL A	86.	10.00		860.00	Money market
		Q	04/26	EOG RESOURCES INC	25.	0.06		1.50	Money market
			Total income					$1,079.38	

		Date		Quantity	Amount per share	Trade date	Amount	Where Invested
Other income	Redemptions	04/10	CASH IN LIEU TRONOX INC COMMON CL B	0.25904	18.3248		$4.75	Money market
		Total other income					$4.75	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	04/25	PIONEER NATURAL RESOURCES CO	16.	44.0955		-$705.53	Money market
	04/27	MEDIMMUNE INC	58.	31.924		-1,851.59	Money market
	Total withdrawals to purchase securities					-$2,557.12	
Fees	04/17	MANAGED ACCOUNT FEE				-$240.45	Money market
	Total fees					-$240.45	

Other Activity

Date	Activity		Quantity	Notes	Estimated Value
04/18	STOCK SPLIT	NABORS INDS	28.	ON 28 AT 1 PER SHARE	—

5606

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Pending Trades

Purchases	Trade date	Quantity	Price	Amount	Settlement date
CABLEVISION SYSTEMS CORP CL A	04/28/2006	34.000	$20.496	$696.86	05/03/2006

As you requested, copies of your statement have been sent to:

5607

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

This page intentionally left blank

Account number:
Statement type:
April 29 - May 26, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TR

Value Summary (Held at Edward Jones)

Value on May 26	$116,361.11
Value on Apr 29	$119,573.56
Value one year ago	$105,423.41

Summary of Your Assets

Held at Edward Jones	Value on May 26	Value on Apr 29	Change in value
Cash & money market	$14,082.78	$12,269.78	$1,813.00
Stocks	102,278.33	107,303.78	-5,025.45
Total at Edward Jones	$116,361.11	$119,573.56	-$3,212.45

Summary of Your Income

	This period			Year-to-date		
Income distributions from securities	Taxable	Tax-free	Total	Taxable	Tax-free	Total
Money market dividends	$44.15	—	$44.15	$194.67	—	$194.67
Dividends						
Qualified (Q) - Reduced Tax Eligible	143.84	—	143.84	1,342.29	—	1,342.29
Nonqualified (N) - Taxable	123.82	—	123.82	317.05	—	317.05
Total	$311.81	—	$311.81	$1,854.01	—	$1,854.01

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges			
Income reported in prior year	—		$74.92
Foreign taxes paid	—		-0.65
Total	—		$74.27

5609

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.18%	4.27%	$14,082.78
Total cash and money market funds			$14,082.78

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AFFILIATED COMPUTER SVCS INC CL A Symbol: ACS	Growth None	49.180	42.	$2,065.56	$2,133.18	—
AIR PRODS & CHEMS INC Symbol: APD	Growth & Income None	65.360	34.	2,222.24	2,130.44	—
AMERICAN FINANCIAL REALTY TRUST Symbol: AFR	Aggressive None	10.010	135.	1,351.35	2,104.65	—
AON CORP Symbol: AOC	Growth & Income None	36.600	56.	2,049.60	1,372.56	—
ARCH COAL INC Symbol: ACI	Aggressive None	47.500	40.	1,900.00	1,879.95	—
ASTORIA FINANCIAL CORP Symbol: AF	Growth & Income None	30.710	38.	1,166.98	1,051.48	—
BRANDYWINE RLTY TR Symbol: BDN	Growth & Income None	28.970	77.	2,230.69	2,193.80	—
C R BARD INC Symbol: BCR	Growth None	73.690	25.	1,842.25	1,714.27	—

5610

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
CABLEVISION SYSTEMS CORP CL A Symbol: CVC	Aggressive None	19.890	120.	$2,386.80	$3,000.96	—
COLONIAL BANCGROUP INC Symbol: CNB	Growth & Income None	26.300	71.	1,867.30	1,598.21	—
COMPUTER SCIENCES CORP Symbol: CSC	Growth None	56.450	23.	1,298.35	1,062.14	—
DREAMWORKS ANIMATION INC CL A Symbol: DWA	Aggressive None	26.550	65.	1,725.75	1,771.21	—
ENDURANCE SPECIALTY HOLDINGS INC Symbol: ENH	Growth & Income None	30.280	55.	1,665.40	1,956.64	—
EOG RESOURCES INC Symbol: EOG	Growth None	63.620	25.	1,590.50	1,869.84	-837.36
GENUINE PARTS CO Symbol: GPC	Growth & Income None	43.960	44.	1,934.24	1,893.76	—
HEALTH CARE PROPERTY INV INC Symbol: HCP	Growth & Income None	26.290	58.	1,524.82	1,583.98	—
HEALTH CARE REIT INC Symbol: HCN	Growth & Income None	34.160	57.	1,948.26	2,105.58	—
INTEROIL CORP Symbol: IOC	Aggressive None	16.990	20.	339.80	513.80	—
ISTAR FINANCIAL INC Symbol: SFI	Aggressive None	38.700	53.	2,051.10	2,211.87	—
KERR MCGEE CORP Symbol: KMG	Growth None	105.870	36.	3,811.32	2,619.36	—
KEYSPAN CORP Symbol: KSE	Growth & Income Hold	40.270	53.	2,134.31	2,120.00	—

5611

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
LIBERTY GLOBAL INC SER C Symbol: LBTYK	Aggressive None	22.520	37.	$833.24	$751.45	—
MEDIMMUNE INC Symbol: MEDI	Aggressive None	31.690	58.	1,838.02	1,851.59	—
MILLICOM INTERNATIONAL CELLULAR S A NEW Symbol: MICC	Aggressive None	45.820	41.	1,878.62	2,086.79	-2,680.02
NABORS INDS Symbol: NBR	Aggressive None	35.750	56.	2,002.00	1,606.36	—
NISOURCE INC Symbol: NI	Growth & Income Hold	21.220	88.	1,867.36	2,134.00	—
NOBLE ENERGY INC Symbol: NBL	Growth None	42.090	70.	2,946.30	2,626.40	—
OCCIDENTAL PETE CORP Symbol: OXY	Growth & Income None	97.740	21.	2,052.54	1,580.25	—
OLD REPUBLIC INTERNATIONAL CORP Symbol: ORI	Growth & Income None	21.480	105.	2,255.40	2,106.72	—
OPEN SOLUTIONS INC Symbol: OPEN	Aggressive None	28.660	72.	2,063.52	1,562.82	—
PATTERSON-UTI ENERGY INC Symbol: PTEN	Aggressive None	28.850	34.	980.90	1,061.48	—
PEP BOYS MANNY MOE & JACK Symbol: PBY	Growth None	13.700	80.	1,096.00	1,082.40	—
PIONEER NATURAL RESOURCES CO Symbol: PXD	Growth None	40.150	54.	2,168.10	2,791.94	-572.19
PRINCIPAL FINANCIAL GROUP INC Symbol: PFG	Growth None	54.450	47.	2,559.15	1,875.64	—

5612

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
PROGRESS ENERGY INC Symbol: PGN	Growth & Income Hold	42.010	36.	$1,512.36	$1,614.96	—
REDWOOD TRUST INC Symbol: RWT	Growth & Income None	45.450	40.	1,818.00	2,115.20	—
ROGERS COMMUNICATIONS INC CL B Symbol: RG	Growth None	40.170	68.	2,731.56	2,102.21	—
ROWAN COMPANIES INC Symbol: RDC	Growth None	39.590	75.	2,969.25	2,110.50	—
SABRE HOLDINGS CORP CL A Symbol: TSG	Growth None	21.520	77.	1,657.04	1,606.22	—
SCHEIN HENRY INCORPORATED Symbol: HSIC	Growth None	47.190	52.	2,453.88	2,117.96	—
SEMPRA ENERGY Symbol: SRE	Growth & Income None	45.170	37.	1,671.29	2,122.12	-748.53
SILVER STD RES INC Symbol: SSRI	Aggressive None	19.040	58.	1,066.24	1,217.91	—
SOVEREIGN BANCORP INC Symbol: SOV	Growth None	22.170	70.	1,551.90	1,568.70	—
TD BANKNORTH INC Symbol: BNK	Growth & Income None	28.710	72.	2,067.12	2,161.88	—
TELEPHONE & DATA SYSTEMS INC SPECIAL COMMON Symbol: TDS S	Growth None	38.280	56.	2,143.68	2,106.16	—
TEMPLE INLAND INC Symbol: TIN	Growth & Income None	42.660	43.	1,834.38	1,577.87	—
TOOCO CL A Symbol: THE	Aggressive None	41.400	61.	2,525.40	1,567.14	—

5613

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ULTRA PETROLEUM CORP Symbol: UPL	Aggressive None	56.920	26.	$1,479.92	$1,813.94	—
UNITED DOMINION REALTY TR INC Symbol: UDR	Growth & Income Hold	26.870	70.	1,880.90	1,725.71	—
UNITED STATES CELLULAR CORP Symbol: USM	Aggressive None	61.000	44.	2,684.00	2,130.04	—
USG CORP Symbol: USG	Aggressive None	92.960	19.	1,766.24	1,611.60	-1,279.48

Closed-end funds	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
STREETTRACKS GOLD TR Symbol: GLD	Aggressive None	65.100	74.	$4,817.40	$3,768.69	—
Total stocks				$102,278.33	$96,844.11	-$6,117.58
Total estimated asset value				$118,361.11		

5614

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Investment Activity

Total cash and money market funds on Apr 29	$12,269.78
Additions	
Income	$311.81
Other income	$17.73
Proceeds from securities sold	$3,637.76
Total additions	$3,967.30
Subtractions	
Withdrawals to purchase securities	-$1,914.77
Fees	-$239.53
Total subtractions	-$2,154.30
Total cash and money market funds on May 26	**$14,082.78**

Detail of Your Investment Activity

Additions

	Type	Date		Days	Rate	Amount	Where Investe
Income	Money market dividends	05/22	MONEY MARKET	32	4.05	44.15	Money marke

Type	Tax Info.	Date		Quantity	Amount per share	Rate	Amount	Where Investe
Dividends	N	05/01	ISTAR FINANCIAL INC	53.	0.77		$40.81	Money marke
	Q	05/01	PROGRESS ENERGY INC	36.	0.605		21.78	Money marke
	Q	05/01	KEYSPAN CORP	53.	0.465		24.65	Money marke
	N	05/01	UNITED DOMINION REALTY TR INC	70.	0.3125		21.88	Money marke
	Q	05/08	AIR PRODS & CHEMS INC	34.	0.34		11.56	Money marke

5615

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Additions, continued

	Type	Tax Info.	Date		Quantity	Amount per share	Rate	Amount	Where Investe
Income	Dividends	Q	05/12	COLONIAL BANCGROUP INC	71.	0.17		$12.07	Money marke
		Q	05/12	C R BARD INC	25.	0.13		3.25	Money marke
		Q	05/15	TD BANKNORTH INC	72.	0.22		15.84	Money marke
		Q	05/15	SOVEREIGN BANCORP INC	70.	0.08		5.60	Money marke
		Q	05/15	AON CORP	56.	0.15		8.40	Money marke
		N	05/19	HEALTH CARE REIT INC	57.	0.64		36.48	Money marke
		Q	05/19	NISOURCE INC	88.	0.23		20.24	Money marke
		N	05/19	HEALTH CARE PROPERTY INV INC	58.	0.425		24.65	Money marke
		Q	05/22	NOBLE ENERGY INC	70.	0.075		5.25	Money marke
		Q	05/26	SABRE HOLDINGS CORP CL A	77.	0.10		7.70	Money marke
		Q	05/26	ROWAN COMPANIES INC	75.	0.10		7.50	Money marke
			Total Income					$311.81	

		Date		Quantity	Amount per share	Trade date	Amount	Where Investe
Other Income	Redemptions	05/17	CASH IN LIEU HUGOTON ROYALTY TRUST	0.682	25.9801		$17.72	Money marke
		05/19	CASH IN LIEU HUGOTON ROYALTY TRUST	0.6824	25.9801		17.73	Money marke
		05/19	CASH IN LIEU HUGOTON ROYALTY TRUST ON .682 SHARES	51706.			-17.72	Money marke
		Total other income					$17.73	

	Date		Quantity	Amount per share	Trade date	Amount	Where Investe
Proceeds from securities sold	05/08	CONSTELLATION ENERGY GROUP INC	30.	54.2554		$1,627.66	Money marke
	05/22	HUGOTON ROYALTY TRUST	2.	25.8248		51.65	Money marke

5616

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Additions, continued

	Date		Quantity	Amount per share	Trade date	Amount	Where invested
Proceeds from securities sold	05/22	XTO ENERGY INC	45.	41.15		1,851.75	Money market
	05/23	TRONOX INC COMMON CL B	7.	15.2433		106.70	Money market
	Total proceeds from securities sold					$3,637.76	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	05/03	CABLEVISION SYSTEMS CORP CL A	34.	20.496		-$696.86	Money market
	05/11	SILVER STD RES INC	56.	21.7484		-1,217.91	Money market
	Total withdrawals to purchase securities					-$1,914.77	
Fees	05/16	MANAGED ACCOUNT FEE				-$239.53	Money market
	Total fees					-$239.53	

Other Activity

Date	Activity		Quantity	Notes	Estimated Value
05/12	SPINOFF	HUGOTON ROYALTY TRUST	2.	ON 45 SHARES OF XTO ENERGY INC	—
05/16	STOCK SPLIT	ARCH COAL INC	20.	ON 20 AT 1 PER SHARE	—
05/22	STOCK DIVIDEND	SOVEREIGN BANCORP INC	3.	ON 70 AT .05 PER SHARE	—
05/26	REVERSE 05/22/06 STOCK	SOVEREIGN BANCORP INC	-3.		—

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$412.66	$3,321.58
Long term (held over 1 year)	0.00	0.00
Total	$412.66	$3,321.58

5617

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
CONSTELLATION ENERGY GROUP INC	06/07/2005	05/03	30.000	$1,637.10	$1,627.66	-$9.44	Short term
XTO ENERGY INC	06/07/2005	05/17	45.000	1,429.65	1,851.75	422.10	Short term

As you requested, copies of your statement have been sent to:

5618

Account number:
Statement type:
May 27 - June 30, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TR

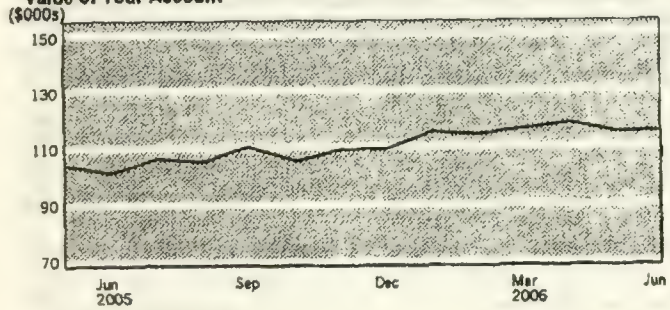
Value Summary (Held at Edward Jones)

Value on Jun 30	$116,600.75
Value on May 27	$116,361.11
Value one year ago	$102,355.07

Summary of Your Assets

Held at Edward Jones	Value on Jun 30	Value on May 27	Change in value
Cash & money market	$13,960.84	$14,082.78	-$121.94
Stocks	102,639.91	102,278.33	361.58
Total at Edward Jones	$116,600.75	$116,361.11	$239.64

Value of Your Account



This chart shows how the value of your account has changed recently (includes only assets held at Edward Jones). While it's important to be aware of short-term results, don't lose sight of the long term. Edward Jones believes a long-term investment strategy offers the greatest potential for success.

(Living trust)

5619

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Income

Income distributions from securities	This period Taxable	Tax-free	Total	Year-to-date Taxable	Tax-free	Total
Money market dividends	$46.24	—	$46.24	$240.91	—	$240.91
Dividends						
Qualified (Q) - Reduced Tax Eligible	45.92	—	45.92	1,388.21	—	1,388.21
Nonqualified (N) - Taxable	—	—	—	317.05	—	317.05
Total	$92.16	—	$92.16	$1,946.17	—	$1,946.17

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges				
Income reported in prior year			—	$74.92
Foreign taxes paid			—	-0.65
Total			—	$74.27

Your Estimated Interest and Dividends

The following is an estimated summary of your interest and dividend income for the next 12 months. It is based on a variety of factors. These factors, including distribution rates and amount of principal invested, are subject to change. Because they are estimates, the specific amounts listed here are not guaranteed by Edward Jones or by the underlying issuer(s) of the securities. Income from items with * is being reinvested.

		Quantity	2006 JUL	AUG	SEP	OCT	NOV	DEC	2007 JAN	FEB	MAR	APR	MAY	JUN	Total
Cash & money market funds															
Money Market 4.31%		13,960	50	50	50	50	50	50	50	50	50	50	50	50	600
Stocks	**Tax Info.**														
AIR PRODS & CHEMS INC	Q	34		11			11			11			11		44
AMERICAN FINL REALTY TRUST	N	135	36			36			36			36			144

5620

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

			2006						2007						
Stocks	Tax Info.	Quantity	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	Total
AON CORP	Q	56		8			8			8			8		32
ARCH COAL INC	Q	40			2			2			2			2	8
ASTORIA FINANCIAL CORP	Q	38			9			9			9			9	36
BRANDYWINE RLTY TR	N	77	33			33			33			33			132
C R BARD INC	Q	25		3			3			3			3		12
COLONIAL BANCGROUP INC	Q	71		12			12			12			12		48
COLONIAL PROPERTIES TRUST	N	37		25			25			25			25		100
ENDURANCE SPECIALTY HOLDINGS	Q	55	13			13			13			13			52
GENUINE PARTS CO	Q	44	14			14			14			14			56
HEALTH CARE PROPERTY INV INC	N	86		36			36			36			36		144
HEALTH CARE REIT INC	N	57		36			36			36			36		144
ISTAR FINANCIAL INC	N	53	40			40			40			40			160
KERR MCGEE CORP COM	Q	72	2			2			2			2			8
KEYSPAN CORP	Q	53		24			24			24			24		96
NISOURCE INC	Q	88		20			20			20			20		80
NOBLE ENERGY INC	Q	70		5			5			5			5		20
OCCIDENTAL PETE CORP	Q	21	7			7			7			7			28
OLD REPUBLIC INTERNATIONAL	Q	105			15			15			15			15	60
PEP BOYS MANNY MOE & JACK	Q	80	5			5			5			5			20
PIONEER NATURAL RESOURCES CO	Q	54				6						6			12
PRINCIPAL FINANCIAL GROUP INC	Q	47						30							30

5621

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks	Tax info.	Quantity	2006 JUL	AUG	SEP	OCT	NOV	DEC	2007 JAN	FEB	MAR	APR	MAY	JUN	Total
PROGRESS ENERGY INC	Q	54		32			32			32			32		128
REDWOOD TRUST INC	N	40	28			28			28			28			112
ROGERS COMMUNICATIONS INC CL B	Q	68	4						4						8
ROWAN COMPANIES INC	Q	75		7			7			7			7		28
SABRE HOLDINGS CORP CL A	Q	77		7			7			7			7		28
SEMPRA ENERGY	Q	37	11			11			11			11			44
SOVEREIGN BANCORP INC	Q	70		5			5			5			5		20
TD BANKNORTH INC	Q	72		15			15			15			15		60
TEMPLE INLAND INC	Q	43			10			10			10			10	40
UNITED DOMINION REALTY TR INC	N	70		21			21			21			21		84
Total			**243**	**317**	**86**	**245**	**317**	**116**	**243**	**317**	**86**	**245**	**317**	**86**	**2,618**

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.31%	4.40%	$13,960.84
Total cash and money market funds			**$13,960.84**

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AFFILIATED COMPUTER SVCS INC CL A Symbol: ACS	Growth None	51.610	42.	$2,167.62	$2,133.18	—

5622

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AIR PRODS & CHEMS INC Symbol: APD	Growth & Income None	63.920	34.	$2,173.28	$2,130.44	—
AMERICAN FINANCIAL REALTY TRUST Symbol: AFR	Aggressive None	9.680	135.	1,306.80	2,104.65	—
AON CORP Symbol: AOC	Growth & Income None	34.820	56.	1,949.92	1,372.56	—
ARCH COAL INC Symbol: ACI	Aggressive None	42.370	40.	1,694.80	1,679.95	—
ASTORIA FINANCIAL CORP Symbol: AF	Growth & Income None	30.450	38.	1,157.10	1,051.46	—
BRANDYWINE RLTY TR Symbol: BDN	Growth & Income None	32.170	77.	2,477.09	2,193.80	—
C R BARD INC Symbol: BCR	Growth None	73.260	25.	1,831.50	1,714.27	—
CABLEVISION SYSTEMS CORP CL A Symbol: CVC	Aggressive None	21.450	120.	2,574.00	3,000.96	—
COLONIAL BANCGROUP INC Symbol: CNB	Growth & Income None	25.680	71.	1,823.28	1,598.21	—
COLONIAL PROPERTIES TRUST Symbol: CLP	Growth & Income None	49.400	37.	1,827.80	1,645.62	—
COMPUTER SCIENCES CORP Symbol: CSC	Growth None	48.440	23.	1,114.12	1,062.14	—
DREAMWORKS ANIMATION INC CL A Symbol: DWA	Aggressive None	22.900	65.	1,488.50	1,771.21	—

5623

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ENDURANCE SPECIALTY HOLDINGS INC Symbol: ENH	Growth & Income None	32.000	55.	$1,760.00	$1,956.64	—
GENUINE PARTS CO Symbol: GPC	Growth & Income None	41.860	44.	1,843.04	1,893.76	—
HEALTH CARE PROPERTY INV INC Symbol: HCP	Growth & Income None	26.740	86.	2,299.64	2,321.60	—
HEALTH CARE REIT INC Symbol: HCN	Growth & Income None	34.950	57.	1,992.15	2,105.58	—
INTEROIL CORP Symbol: IOC	Aggressive None	19.000	20.	380.00	513.80	—
ISTAR FINANCIAL INC Symbol: SFI	Aggressive None	37.750	53.	2,000.75	2,211.87	—
KERR MCGEE CORP Symbol: KMG	Growth None	69.350	72.	4,993.20	2,619.36	—
KEYSPAN CORP Symbol: KSE	Growth & Income Hold	40.400	53.	2,141.20	2,120.00	—
MEDIMMUNE INC Symbol: MEDI	Aggressive None	27.100	58.	1,571.80	1,851.59	—
MILLICOM INTERNATIONAL CELLULAR S A NEW Symbol: MICC	Aggressive None	45.430	41.	1,862.63	2,086.79	-2,880.02
NABORS INDS Symbol: NBR	Aggressive None	33.790	56.	1,892.24	1,606.36	—
NISOURCE INC Symbol: NI	Growth & Income Hold	21.840	88.	1,921.92	2,134.00	—

5624

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
NOBLE ENERGY INC Symbol: NBL	Growth None	46.860	70.	$3,280.20	$2,626.40	—
OCCIDENTAL PETE CORP Symbol: OXY	Growth & Income None	102.550	21.	2,153.55	1,580.25	—
OLD REPUBLIC INTERNATIONAL CORP Symbol: ORI	Growth & Income None	21.370	105.	2,243.85	2,106.72	—
OPEN SOLUTIONS INC Symbol: OPEN	Aggressive None	26.610	72.	1,915.92	1,562.82	—
PEP BOYS MANNY MOE & JACK Symbol: PBY	Growth & Income None	11.730	80.	938.40	1,082.40	—
PIONEER NATURAL RESOURCES CO Symbol: PXD	Growth None	46.410	54.	2,506.14	2,791.94	-572.19
PRINCIPAL FINANCIAL GROUP INC Symbol: PFG	Growth None	55.650	47.	2,615.55	1,875.64	—
PROGRESS ENERGY INC Symbol: PGN	Growth & Income Hold	42.870	54.	2,314.98	2,378.91	—
REDWOOD TRUST INC Symbol: RWT	Growth & Income None	48.830	40.	1,953.20	2,115.20	—
ROGERS COMMUNICATIONS INC CL B Symbol: RG	Growth None	40.400	68.	2,747.20	2,102.21	—
ROWAN COMPANIES INC Symbol: RDC	Growth None	35.590	75.	2,669.25	2,110.50	—
SABRE HOLDINGS CORP CL A Symbol: TSG	Growth None	22.000	77.	1,694.00	1,606.22	—
SCHEIN HENRY INCORPORATED Symbol: HSIC	Aggressive None	46.730	52.	2,429.98	2,117.96	—

5625

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
SEMPRA ENERGY Symbol: SRE	Growth & Income None	45.480	37.	$1,682.76	$2,122.12	-$748.53
SILVER STD RES INC Symbol: SSRI	Aggressive None	20.000	56.	1,120.00	1,217.91	—
SOVEREIGN BANCORP INC Symbol: SOV	Growth None	20.310	70.	1,421.70	1,568.70	—
TD BANKNORTH INC Symbol: BNK	Growth & Income None	29.450	72.	2,120.40	2,161.88	—
TELEPHONE & DATA SYSTEMS INC SPECIAL COMMON Symbol: TDS S	Growth None	38.900	56.	2,178.40	2,106.16	—
TEMPLE INLAND INC Symbol: TIN	Growth & Income None	42.870	43.	1,843.41	1,577.67	—
TODCO CL A Symbol: THE	Aggressive None	40.850	61.	2,491.85	1,567.14	—
ULTRA PETROLEUM CORP Symbol: UPL	Aggressive None	59.270	26.	1,541.02	1,813.94	—
UNITED DOMINION REALTY TR INC Symbol: UDR	Growth & Income Hold	28.010	70.	1,960.70	1,725.71	—
UNITED STATES CELLULAR CORP Symbol: USM	Aggressive None	60.600	44.	2,666.40	2,130.04	—
USG CORP Symbol: USG	Aggressive None	72.930	19.	1,385.67	1,611.60	-1,279.48

Closed-end funds	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
STREETTRACKS GOLD TR Symbol: GLD	Aggressive None	61.230	74.	$4,531.02	$3,768.69	—
Total stocks				$102,639.91	$96,308.53	-$5,280.22
Total estimated asset value				**$116,600.75**		

5626

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Investment Activity

Total cash and money market funds on May 27	$14,082.76
Additions	
Income	$92.16
Proceeds from securities sold	$3,179.42
Total additions	$3,271.58
Subtractions	
Withdrawals to purchase securities	-$3,147.19
Fees	-$246.33
Total subtractions	-$3,393.52
Total cash and money market funds on Jun 30	$13,960.84

Detail of Your Investment Activity

Additions

	Type	Date		Days	Rate	Amount	Where Invested
Income	Money market dividends	06/20	MONEY MARKET	29	4.21	46.24	Money market

Type	Tax Info.	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Dividends	Q	06/01	ASTORIA FINANCIAL CORP	38.	0.24		$9.12	Money market
	Q	06/15	TEMPLE INLAND INC	43.	0.25		10.75	Money market
	Q	06/15	OLD REPUBLIC INTERNATIONAL	105.	0.15		15.75	Money market
	Q	06/15	ARCH COAL INC	40	0.06		2.40	Money market
	Q	06/30	TELEPHONE & DATA SYS INC SPL	56	0.0925		5.18	Money market
	Q	06/30	PATTERSON-UTI ENERGY INC	34.	0.08		2.72	Money market
		Total income					$92.16	

5627

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Additions, continued

	Date		Quantity	Amount per share	Trade date	Amount	Where Invested
Proceeds from securities sold	06/16	EOG RESOURCES INC	25.	57.6481		$1,441.20	Money market
	06/21	LIBERTY GLOBAL INC SER C	37.	22.16		819.92	Money market
	06/26	PATTERSON-UTI ENERGY INC	34.	27.0088		918.30	Money market
	Total proceeds from securities sold					$3,179.42	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	06/14	PROGRESS ENERGY INC	18.	42.4417		-$763.95	Money market
	06/15	HEALTH CARE PROPERTY INV INC	28.	26.3434		-737.62	Money market
	06/27	COLONIAL PROPERTIES TRUST	37.	44.4761		-1,645.62	Money market
	Total withdrawals to purchase securities					-$3,147.19	
Fees	06/15	MANAGED ACCOUNT FEE				-$246.33	Money market
	Total fees					-$246.33	

Other Activity

Date	Activity		Quantity	Notes	Estimated Value
06/15	STOCK SPLIT	KERR MCGEE CORP COM	36.	ON 36 AT 1 PER SHARE	—

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	-$143.18	$3,178.50
Long term (held over 1 year)	211.17	211.17
Total	$67.99	$3,389.67

5628

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
EOG RESOURCES INC	06/07/2005	06/13	25.000	$1,298.50	$1,441.20	$142.70	Long term
LIBERTY GLOBAL INC SER C	06/07/2005	06/16	37.000	751.45	819.92	68.47	Long term
PATTERSON-UTI ENERGY INC	11/18/2005	06/21	34.000	1,061.48	918.30	-143.18	Short term

As you requested, copies of your statement have been sent to:

5629

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

This page intentionally left blank

Account number:
Statement type:
July 1 - July 28, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TR

Copy To:

Value Summary (Held at Edward Jones)

Value on Jul 28	$116,145.23
Value on Jul 1	$116,600.75
Value one year ago	$107,381.46

Summary of Your Assets

Held at Edward Jones	Value on Jul 28	Value on Jul 1	Change in value
Cash & money market	$19,736.31	$13,960.84	$5,775.47
Stocks	96,408.92	102,639.91	-6,230.99
Total at Edward Jones	$116,145.23	$116,600.75	-$455.52

Summary of Your Income

	This period			Year-to-date		
Income distributions from securities	Taxable	Tax-free	Total	Taxable	Tax-free	Total
Money market dividends	$52.23	—	$52.23	$293.14	—	$293.1
Dividends						
Qualified (Q) - Reduced Tax Eligible	59.85	—	59.85	1,448.06	—	1,448.0
Nonqualified (N) - Taxable	98.33	—	98.33	415.38	—	415.3
Total	$210.41	—	$210.41	$2,156.58	—	$2,156.5

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges						
Income reported in prior year			—			$74.9
Foreign taxes paid			-0.69			-1.3
Total			-$0.69			$73.5

5631

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.46%	4.56%	$19,736.31
Total cash and money market funds			$19,736.31

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AFFILIATED COMPUTER SVCS INC CL A Symbol: ACS	Growth None	50.470	42.	$2,119.74	$2,133.18	—
AIR PRODS & CHEMS INC Symbol: APD	Growth & Income None	63.730	34.	2,166.82	2,130.44	—
AMERICAN FINANCIAL REALTY TRUST Symbol: AFR	Aggressive None	11.840	135.	1,598.40	2,104.65	—
AON CORP Symbol: AOC	Growth & Income None	34.390	56.	1,925.84	1,372.56	—
ARCH COAL INC Symbol: ACI	Aggressive None	34.700	40.	1,388.00	1,679.95	—
ASTORIA FINANCIAL CORP Symbol: AF	Growth & Income None	29.760	38.	1,130.88	1,051.46	—
BRANDYWINE RLTY TR Symbol: BDN	Growth & Income None	32.140	77.	2,474.78	2,193.80	—
C R BARD INC Symbol: BCR	Growth None	71.550	25.	1,788.75	1,714.27	—

5632

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
CABLEVISION SYSTEMS CORP CL A Symbol: CVC	Aggressive None	22.200	120.	$2,664.00	$3,000.96	—
COLONIAL BANCGROUP INC Symbol: CNB	Growth & Income None	25.780	71.	1,830.38	1,598.21	—
COLONIAL PROPERTIES TRUST Symbol: CLP	Growth & Income None	48.500	37.	1,794.50	1,645.62	—
DREAMWORKS ANIMATION INC CL A Symbol: DWA	Aggressive None	20.980	65.	1,363.70	1,771.21	—
ENDURANCE SPECIALTY HOLDINGS INC Symbol: ENH	Growth & Income None	31.220	55.	1,717.10	1,956.64	—
GENUINE PARTS CO Symbol: GPC	Growth & Income None	42.030	44.	1,849.32	1,893.76	—
HEALTH CARE PROPERTY INV INC Symbol: HCP	Growth & Income None	27.420	86.	2,358.12	2,321.60	—
HEALTH CARE REIT INC Symbol: HCN	Growth & Income None	36.230	57.	2,065.11	2,105.58	—
INTEROIL CORP Symbol: IOC	Aggressive None	19.130	20.	382.60	513.80	—
ISTAR FINANCIAL INC Symbol: SFI	Aggressive None	39.670	53.	2,102.51	2,211.87	—
KERR MCGEE CORP Symbol: KMG	Growth None	70.100	32.	2,243.20	2,619.38	-2,795.22
KEYSPAN CORP Symbol: KSE	Growth & Income Hold	40.360	53.	2,139.08	2,120.00	—
MEDIMMUNE INC Symbol: MEDI	Aggressive None	25.480	58.	1,477.84	1,851.59	—

5633

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
MILLICOM INTERNATIONAL CELLULAR S A NEW Symbol: MICC	Aggressive None	35.060	41.	$1,437.46	$2,086.79	-$2,680.02
NABORS INDS Symbol: NBR	Aggressive None	34.000	37.	1,258.00	1,606.36	-612.48
NISOURCE INC Symbol: NI	Growth & Income Hold	23.030	88.	2,026.64	2,134.00	—
NOBLE ENERGY INC Symbol: NBL	Growth None	49.720	49.	2,436.28	2,626.40	-989.12
OCCIDENTAL PETE CORP Symbol: OXY	Growth & Income None	106.600	21.	2,238.60	1,580.25	—
OLD REPUBLIC INTERNATIONAL CORP Symbol: ORI	Growth & Income None	21.480	105.	2,255.40	2,106.72	—
OPEN SOLUTIONS INC Symbol: OPEN	Aggressive None	28.650	72	2,062.80	1,562.82	—
PEP BOYS MANNY MOE & JACK Symbol: PBY	Growth & Income None	10.890	80.	871.20	1,082.40	—
PIONEER NATURAL RESOURCES CO Symbol: PXD	Growth None	44.330	54.	2,393.82	2,791.94	-572.19
PRINCIPAL FINANCIAL GROUP INC Symbol: PFG	Growth None	54.080	47.	2,541.76	1,875.64	—
PROGRESS ENERGY INC Symbol: PGN	Growth & Income Hold	43.610	54.	2,354.94	2,378.91	—
REDWOOD TRUST INC Symbol: RWT	Aggressive None	47.950	40.	1,918.00	2,115.20	—
ROGERS COMMUNICATIONS INC CL B Symbol: RG	Growth None	43.100	68.	2,930.80	2,102.21	—

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ROWAN COMPANIES INC Symbol: RDC	Growth None	32.940	75.	$2,470.50	$2,110.50	—
SABRE HOLDINGS CORP CL A Symbol: TSG	Growth None	20.920	77.	1,610.84	1,606.22	—
SCHEIN HENRY INCORPORATED Symbol: HSIC	Aggressive None	48.450	52.	2,519.40	2,117.96	—
SEMPRA ENERGY Symbol: SRE	Growth & Income None	48.470	37.	1,793.39	2,122.12	-748.53
SILVER STD RES INC Symbol: SSRI	Aggressive None	20.140	56.	1,127.84	1,217.91	—
SOVEREIGN BANCORP INC Symbol: SOV	Growth None	20.960	73.	1,530.08	1,568.70	—
TD BANKNORTH INC Symbol: BNK	Growth & Income None	29.230	72.	2,104.56	2,161.88	—
TELEPHONE & DATA SYSTEMS INC SPECIAL COMMON Symbol: TDS S	Growth None	40.350	56.	2,259.60	2,106.16	—
TEMPLE INLAND INC Symbol: TIN	Growth & Income None	42.490	43.	1,827.07	1,577.67	—
TODCO CL A Symbol: THE	Aggressive None	35.500	61.	2,165.50	1,567.14	—
ULTRA PETROLEUM CORP Symbol: UPL	Aggressive None	56.710	26.	1,474.46	1,813.94	—
UNITED DOMINION REALTY TR INC Symbol: UDR	Growth & Income Hold	28.120	70.	1,968.40	1,725.71	—
UNITED STATES CELLULAR CORP Symbol: USM	Aggressive None	60.900	44.	2,679.60	2,130.04	—

5635

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
USG CORP	Aggressive	47.430	19.	$901.17	$1,611.60	-$1,279.48
Symbol: USG	None					

Closed-end funds	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
STREETTRACKS GOLD TR	Aggressive	63.110	74.	$4,670.14	$3,768.69	—
Symbol: GLD	None					
Total stocks				$96,408.92	$95,246.39	-$9,677.04
Total estimated asset value				$116,145.23		

Summary of Your Investment Activity

Total cash and money market funds on Jul 01	$13,960.84
Additions	
Income	$210.41
Other income	$10.36
Proceeds from securities sold	$5,785.42
Total additions	$6,006.19
Subtractions	
Fees	-$230.03
Taxes withheld	-$0.69
Total subtractions	-$230.72
Total cash and money market funds on Jul 28	**$19,736.31**

5636

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Detail of Your Investment Activity

Additions

	Type		Date			Days	Rate	Amount	Where Investe
Income	Money market dividends		07/20	MONEY MARKET		30	4.41	52.23	Money marke
	Type	**Tax Info.**	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Investe**
	Dividends	Q	07/03	TRONOX INC COMMON CL B	7.	0.05		$0.35	Money marke
		Q	07/03	KERR MCGEE CORP COM	72.	0.03125		2.25	Money marke
		Q	07/03	GENUINE PARTS CO	44.	0.3375		14.85	Money marke
		Q	07/03	ENDURANCE SPECIALTY HOLDINGS	55.	0.25		13.75	Money marke
		Q	07/07	ROGERS COMMUNICATIONS INC CL B	68.	0.067451		4.59	Money marke
		N	07/17	BRANDYWINE RLTY TR	77.	0.44		33.88	Money marke
		Q	07/17	SEMPRA ENERGY	37.	0.30		11.10	Money marke
		Q	07/17	OCCIDENTAL PETE CORP	21.	0.36		7.56	Money marke
		N	07/21	AMERICAN FINL REALTY TRUST	135.	0.27		36.45	Money marke
		N	07/21	REDWOOD TRUST INC	40.	0.70		28.00	Money marke
		Q	07/24	PEP BOYS MANNY MOE & JACK	80.	0.0675		5.40	Money marke
			Total income					$210.41	
			Date		**Quantity**	**Amount per share**	**Trade date**	**Amount**	**Where Investe**
Other income	Redemptions		07/10	CASH IN LIEU SOVEREIGN BANCORP INC	0.5	20.71		$10.36	Money marke
			Total other income					$10.36	
			Date		**Quantity**	**Amount per share**	**Trade date**	**Amount**	**Where Investe**
Proceeds from securities sold			07/12	COMPUTER SCIENCES CORP	23.	52.6622		$1,211.23	Money marke
			07/18	USG CORP RIGHTS	18.	9.3355		177.37	Money marke
			07/21	NOBLE ENERGY INC	21.	47.1008		989.12	Money marke

5637

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Additions, continued

	Date		Quantity	Amount per share	Trade date	Amount	Where investe
Proceeds from securities sold	07/27	KERR MCGEE CORP COM	40.	69.8606		2,795.22	Money marke
	07/28	NABORS INDS	18.	32.2358		612.48	Money marke
	Total proceeds from securities sold					$5,785.42	

Subtractions

	Date		Amount	Source of Fund
Fees	07/14	MANAGED ACCOUNT FEE	-$230.03	Money marke
	Total fees		-$230.03	

	Date		Amount	Source of Fund
Taxes withheld	07/07	ROGERS COMMUNICATIONS INC CL B 15.000% FOREIGN TAX	-$0.69	Money marke
	Total taxes withheld		-$0.69	

Other Activity

Date	Activity		Quantity	Notes	Estimated Valu
07/06	STOCK DIVIDEND	SOVEREIGN BANCORP INC	3.	ON 70 AT .05 PER SHARE	—
07/07	SPINOFF	USG CORP RIGHTS	19.	ON 19 SHARES OF USG CORP	—

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$0.00	$3,178.50
Long term (held over 1 year)	1,757.77	1,968.94
Total	$1,757.77	$5,147.44

5638

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
COMPUTER SCIENCES CORP	06/07/2005	07/07	23.000	$1,062.14	$1,211.23	$149.09	Long term
NOBLE ENERGY INC	06/07/2005	07/18	21.000	787.92	989.12	201.20	Long term
KERR MCGEE CORP COM	06/07/2005	07/24	40.000	1,455.20	2,795.22	1,340.02	Long term
NABORS INDS	06/07/2005	07/25	19.000	545.02	612.48	67.46	Long term

A piggy bank is just the first step.
Take the second. During our free video program, "How to Raise a Money-Smart Child," you'll learn how to start teaching your child or grandchild about financial goal-setting and self-discipline and the basics of making wise money choices. The program premieres Sept. 12, 2006. Call your investment representative for details.

5639

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

ACCOUNT INFORMATION

Please see your account agreement forms for complete conditions governing your account. All transactions are subject to applicable rules of the exchange market and its clearing house where the trade occurred, as well as of the Securities Exchange Commission, the Federal Reserve Board and any applicable self-regulatory organizations. If you have a complaint, please notify us at Edward Jones, Attn: Complaints Department, 1245 JJ Kelley Memorial Dr., St. Louis MO 63131. For all other concerns, please refer to the cover page of this statement for our contact information.

You can only withdraw $2,500 per day from a bank teller using your Edward Jones VISA debit card.

With questions regarding your mortgage or home equity loans, please refer to the separate loan statement issued for a description of fees and charges.

Account Protection - Edward Jones provides account protection for the net equity of your assets (except annuities and insurance) held in custody by Edward Jones for your account. Of that total, the Securities Investor Protection Corporation (SIPC) provides $500,000 of coverage, including $100,000 for claims of cash awaiting reinvestment (SIPC coverage). The remaining net equity is protected by Customer Asset Protection Company (CAPCO). This protection does not guard against market loss.

REGULATORY DISCLOSURES

Securities Investor Protection Corporation (SIPC): Information from SIPC, including the SIPC Brochure can be obtained at www.sipc.org or by calling (202)371-8300.

Financial Statement - The firm's financial statement is available at your branch office, or a copy will be mailed upon written request.

Rights to your Free Credit Balance - We are permitted to use your free credit balances to conduct our business, subject to the limitations of 17C.F.R.240 15c3-3 under the Securities Exchange Act of 1934. You have the right to receive, during normal business operations, free delivery of your credit balances; any securities to which you are entitled and which have been fully paid; upon full payment of any debt to us, any securities purchased on margin.

Errors or Questions About Your Electronic Transfers - Visit our website at www.edwardjones.com/electronictransfer or contact us for details. Refer to the cover page of this statement for our contact information.

Amount of money you can borrow - What you can borrow is not a commitment to loan funds. The amount available is typically 50% of the value of your investments held at Edward Jones. Your loan value could change daily. Your investment representative has access to daily values as well as more information on the "Amount of money you can borrow" should you wish to activate this feature. The minimum portfolio value required to be eligible for this feature is $4,000. Single, joint and business accounts are eligible.

Loan/Margin Accounts - If you have a loan or margin account, this statement covers: your general brokerage account; a special misc. account maintained for you under section 4(F)(6) of Regulation T issued by the Board of Governors of The Federal Reserve System; a separate account for securities you have sold short. The permanent record of this account is available upon request. If you have a credit balance in your loan account, the surplus amount is included with the amount shown for "cash and money market" balance.

Tax Withholding on Retirement Accounts - Federal income taxes of 10% on IRA or 20% on qualified plan distributions will be withheld unless a higher amount is elected or an IRS exception applies. State income taxes are withheld depending upon your state of residence upon distribution. Your withholding election will remain in effect until federal or state rule changes. Insufficient withholding may subject you to estimated income-tax payments to avoid penalties.

Required Minimum Distributions (RMDs) - RMDs from other IRAs must be calculated separately, but the total amount may be removed from one or more of your IRAs. RMDs from qualified plans must be calculated and removed separately from each plan and cannot be part of your IRA RMD. If you turned age 70-1/2 last year, and deferred your first RMD until the current year or you have unpriced securities in this account, the RMD Summary Section is understated. Verification by a tax professional is recommended.

Transaction/Settlement Dates - Securities transactions are noted on the settlement date shown on the transaction confirmation, excepting transactions involving Edward Jones Tax-free Money Market Fund or Edward Jones Money Market Investment Shares or Retirement Shares which are listed on the trade date. Additional sections report pending trades and open orders.

Contingent Deferred Sales Charge - Shares sold on certain mutual fund classes may be subject to a contingent deferred sales charge.

Portfolio Objective - Inform us promptly of any material change in your portfolio objective or financial situation.

Debt Securities Transactions - For debt securities transactions, call features may exist which could affect yield; complete information will be provided upon request.

Information for Investors - Edward Jones is a member of the New York Stock Exchange and the Chicago Stock Exchange. The firm also transacts business with a variety of dealers in securities, including listed and over-the-counter stocks and bonds, government and agency issues and municipal securities. For equity securities, the firm monitors performance of competing market centers and dealers and routes orders to those that consistently guarantee execution at the national best bid or offer or better. Routed market orders generally are directed to market centers or dealers that offer opportunity for better prices through either automated or manual systems. For agency transactions, the name of the other broker or party to the transaction will be furnished upon written request. For agency and principal transactions, the date and time of execution will be furnished upon written request. Periodically, the firm may receive other remuneration on agency trades from other sources; information will be furnished upon written request.

TERMINOLOGY

Total Estimated Value - The approximate value of the assets held at Edward Jones and outside companies at the statement date shown or date specified in the detail of Your Assets. It is estimated as the prices used to value your securities are provided by an outside service and do not always represent exact market prices. Edward Jones can't guarantee the accuracy of such values; if you need the exact price, contact your investment representative. Values for many fixed-income charges are estimates based on coupon rate and credit rating and may not represent actual transaction price.

Values don't include accrued interest or dividends and for some investments don't reflect applicable charges and fees.

Your Assets Held Outside Edward Jones - Balances are provided for your information only to give an overall view of your investments with Edward Jones. SIPC coverage isn't extended to assets held outside the custody of Edward Jones. Refer to the statement received directly from these companies for details.

Tax Information for Income Distributions - Your year-end tax documents (eg. Form 1099) will provide specific classifications of your income distributions. The 2003 tax law allows Qualified (Q) dividends to be taxed at reduced rates: 15%, or 5% for individuals whose tax rates are 15% or less. Nonqualified (N) dividends are taxed at ordinary rates. Some, but not all, of Partially Qualified (P) dividends are taxed at reduced rates.

Cost Basis - Cost basis is the amount paid for a security, including commissions, reinvestments and original issue discount (OID) and adjustments for sales, principal returns, splits and spin offs. Some data may be unsubstantiated and should not be relied upon for tax preparation.

Amount Invested/Withdrawn - Amount invested reflects all purchases and other additions to your holdings, with the exception of dividend reinvestments. Amount withdrawn shows how much of your investment has been sold, redeemed or transferred. These figures should not be used for tax reporting or tax preparation.

Ratings and Recommendations - Edward Jones research opinions, Standard & Poor's and Moody's ratings may be shown for certain securities. Ratings or recommendations should not be considered an indication of future performance.

Account Activity - Entries appearing in Account Activity sections under columns headed "Where Invested" or "Sources of Funds" are additions to or subtractions from your account. For clients who maintain a money market account, entries indicating "Tax-Free Money Market" mean Edward Jones Tax-Free Money Market Fund and entries indicating "Money Market" mean Edward Jones Money Market Investment Shares or Retirement Shares depending on the share class owned. Activity dates appearing under "Sources of Funds" correspond to the sale date of the designated Edward Jones Money Market Fund. Entries appearing under "Where Invested" will be transacted as a purchase of the previously designated Edward Jones Money Market Fund on the second business day after the date shown, with the following exceptions which will be transacted on the date indicated:

Source of Funds	Number of Days After Activity Date Shown Until Purchase of Money Market Fund
Wired Funds, SWPS Electronic Bank Transfer, Direct Deposit	1
Security Sold or Interest/Dividend Received*	0
Bond Maturities, Calls, Tendered Items *	1
Transfers from Margin Account or Money Market Fund in another Edward Jones Account	0

*For assets held within Edward Jones account

Account number:
Statement type:
July 29 - August 25, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TR

Copy To:

Value Summary (Held at Edward Jones)

Value on Aug 25	$118,641.93
Value on Jul 29	$116,145.23
Value one year ago	$106,443.64

Summary of Your Assets

Held at Edward Jones	Value on Aug 25	Value on Jul 29	Change in value
Cash & money market	$22,975.82	$19,736.31	$3,239.51
Stocks	95,666.11	96,408.92	-742.81
Total at Edward Jones	$118,641.93	$118,145.23	$2,496.70

Summary of Your Income

	This period			Year-to-date		
Income distributions from securities	Taxable	Tax-free	Total	Taxable	Tax-free	Total
Money market dividends	$77.24	—	$77.24	$370.38	—	$370.38
Dividends						
Qualified (Q) - Reduced Tax Eligible	145.95	—	145.95	1,594.01	—	1,594.01
Nonqualified (N) - Taxable	160.88	—	160.88	576.26	—	576.26
Total	$384.07	—	$384.07	$2,540.65	—	$2,540.65

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges			
Income reported in prior year		—	$74.92
Foreign taxes paid		—	-1.34
Total		—	$73.58

5641

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.48%	4.58%	$22,975.82
Total cash and money market funds			$22,975.82

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AFFILIATED COMPUTER SVCS INC CL A Symbol: ACS	Growth None	51.690	42.	$2,170.98	$2,133.18	—
AIR PRODS & CHEMS INC Symbol: APD	Growth & Income None	65.140	34.	2,214.76	2,130.44	—
AMERICAN FINANCIAL REALTY TRUST Symbol: AFR	Aggressive None	11.130	135.	1,502.55	2,104.65	—
AON CORP Symbol: AOC	Growth & Income None	33.890	56.	1,897.84	1,372.56	—
ARCH COAL INC Symbol: ACI	Aggressive None	35.380	40.	1,415.20	1,679.95	—
ASTORIA FINANCIAL CORP Symbol: AF	Growth & Income None	29.820	38.	1,133.16	1,051.46	—
BRANDYWINE RLTY TR Symbol: BDN	Growth & Income None	32.000	77.	2,464.00	2,193.80	—
C R BARD INC Symbol: BCR	Growth None	73.040	25.	1,826.00	1,714.27	—

5642

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
CABLEVISION SYSTEMS CORP CL A Symbol: CVC	Aggressive None	23.030	120.	$2,763.60	$3,000.96	—
COLONIAL BANCGROUP INC Symbol: CNB	Growth & Income None	24.850	71.	1,764.35	1,598.21	—
COLONIAL PROPERTIES TRUST Symbol: CLP	Growth & Income None	48.100	37.	1,779.70	1,645.82	—
DREAMWORKS ANIMATION INC CL A Symbol: DWA	Aggressive None	20.990	65.	1,364.35	1,771.21	—
ENDURANCE SPECIALTY HOLDINGS INC Symbol: ENH	Growth & Income None	31.410	55.	1,727.55	1,956.64	—
GENUINE PARTS CO Symbol: GPC	Growth & Income None	40.940	44.	1,801.36	1,893.76	—
HASBRO INC Symbol: HAS	Growth & Income None	19.570	63.	1,232.91	1,169.33	—
HEALTH CARE PROPERTY INV INC Symbol: HCP	Growth & Income None	28.720	86.	2,469.92	2,321.60	—
HEALTH CARE REIT INC Symbol: HCN	Growth & Income None	38.010	57.	2,166.57	2,105.58	—
INTEROIL CORP Symbol: IOC	Aggressive None	14.500	20.	290.00	513.60	—
ISTAR FINANCIAL INC Symbol: SFI	Aggressive None	41.570	53.	2,203.21	2,211.87	—
KEYSPAN CORP Symbol: KSE	Growth & Income Hold	40.700	53.	2,157.10	2,120.00	—
MEDIMMUNE INC Symbol: MEDI	Aggressive None	28.380	58.	1,646.04	1,851.59	—

5643

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
MILLICOM INTERNATIONAL CELLULAR S A NEW Symbol: MICC	Aggressive None	38.870	41.	$1,593.67	$2,086.79	-$2,680.02
NABORS INDS Symbol: NBR	Aggressive None	34.220	37.	1,266.14	1,606.36	-612.48
NISOURCE INC Symbol: NI	Growth & Income Hold	21.060	88.	1,853.28	2,134.00	—
NOBLE ENERGY INC Symbol: NBL	Growth None	50.070	49.	2,453.43	2,626.40	-989.12
OCCIDENTAL PETE CORP Symbol: OXY	Growth & Income None	53.410	42.	2,243.22	1,580.25	—
OLD REPUBLIC INTERNATIONAL CORP Symbol: ORI	Growth & Income None	20.870	105.	2,191.35	2,106.72	—
OPEN SOLUTIONS INC Symbol: OPEN	Aggressive None	29.930	72.	2,154.96	1,562.82	—
PEP BOYS MANNY MOE & JACK Symbol: PBY	Growth & Income None	12.440	80.	995.20	1,082.40	—
PIONEER NATURAL RESOURCES CO Symbol: PXD	Growth None	42.910	54.	2,317.14	2,791.94	-572.19
PRINCIPAL FINANCIAL GROUP INC Symbol: PFG	Growth None	52.920	47.	2,487.24	1,875.64	—
PROGRESS ENERGY INC Symbol: PGN	Growth & Income Hold	43.790	54.	2,364.66	2,378.91	—
REDWOOD TRUST INC Symbol: RWT	Aggressive None	47.550	40.	1,902.00	2,115.20	—
ROGERS COMMUNICATIONS INC CL B Symbol: RG	Growth None	49.950	50.	2,497.50	2,102.21	-846.95

5644

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ROWAN COMPANIES INC Symbol: RDC	Growth None	33.290	75.	$2,496.75	$2,110.50	—
SABRE HOLDINGS CORP CL A Symbol: TSG	Growth None	21.310	77.	1,640.87	1,606.22	—
SCHEIN HENRY INCORPORATED Symbol: HSIC	Aggressive None	49.120	52.	2,554.24	2,117.96	—
SEMPRA ENERGY Symbol: SRE	Growth & Income None	48.410	37.	1,791.17	2,122.12	-748.53
SILVER STD RES INC Symbol: SSRI	Aggressive None	23.350	56.	1,307.60	1,217.91	—
SOVEREIGN BANCORP INC Symbol: SOV	Growth None	20.360	73.	1,486.28	1,568.70	—
TD BANKNORTH INC Symbol: BNK	Growth & Income None	29.210	72.	2,103.12	2,161.88	—
TELEPHONE & DATA SYSTEMS INC SPECIAL COMMON Symbol: TDS S	Growth None	39.860	56.	2,232.16	2,106.16	—
TEMPLE INLAND INC Symbol: TIN	Growth & Income None	44.910	43.	1,931.13	1,577.67	—
TODCO CL A Symbol: THE	Growth None	36.660	61.	2,236.26	1,567.14	—
ULTRA PETROLEUM CORP Symbol: UPL	Aggressive None	53.980	26.	1,403.48	1,813.94	—
UNITED DOMINION REALTY TR INC Symbol: UDR	Growth & Income Hold	29.620	70.	2,073.40	1,725.71	—
UNITED STATES CELLULAR CORP Symbol: USM	Aggressive None	59.090	44.	2,599.96	2,130.04	—

5645

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
USG CORP	Aggressive	48.830	19.	$927.77	$1,611.60	-$1,279.48
Symbol: USG	None					

Closed-end funds	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
STREETTRACKS GOLD TR	Aggressive	61.770	74.	$4,570.98	$3,768.69	—
Symbol: GLD	None					
Total stocks				$95,668.11	$93,796.38	-$7,728.77

Total estimated asset value $118,641.93

Summary of Your Investment Activity

Total cash and money market funds on Jul 29	$19,736.31
Additions	
Income	$384.07
Other income	$2,256.00
Proceeds from securities sold	$846.95
Total additions	$3,487.02
Subtractions	
Withdrawals to purchase securities	-$1,169.33
Fees	$921.82
Total subtractions	-$247.51
Total cash and money market funds on Aug 25	**$22,975.82**

5646

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Detail of Your Investment Activity

Additions

	Type		Date			Days	Rate	Amount	Where invested
Income	Money market dividends		08/21	MONEY MARKET		32	4.48	77.24	Money market
	Type	**Tax info.**	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where invested**
	Dividends	N	07/31	ISTAR FINANCIAL INC	53.	0.77		$40.81	Money market
		N	07/31	UNITED DOMINION REALTY TR INC	70.	0.3125		21.88	Money market
		Q	08/01	KEYSPAN CORP	53.	0.465		24.65	Money market
		Q	08/01	PROGRESS ENERGY INC	54.	0.805		32.67	Money market
		Q	08/04	C R BARD INC	25.	0.14		3.50	Money market
		Q	08/11	COLONIAL BANCGROUP INC	71.	0.17		12.07	Money market
		Q	08/14	AIR PRODS & CHEMS INC	34.	0.34		11.56	Money market
		Q	08/14	TD BANKNORTH INC	72.	0.22		15.84	Money market
		N	08/14	COLONIAL PROPERTIES TRUST	37.	0.68		25.16	Money market
		Q	08/14	AON CORP	56.	0.15		8.40	Money market
		Q	08/15	SOVEREIGN BANCORP INC	73.	0.08		5.84	Money market
		Q	08/18	ROWAN COMPANIES INC	75.	0.10		7.50	Money market
		Q	08/18	NISOURCE INC	88.	0.23		20.24	Money market
		N	08/18	HEALTH CARE PROPERTY INV INC	86.	0.425		36.55	Money market
		N	08/21	HEALTH CARE REIT INC	57.	0.64		36.48	Money market
		Q	08/21	NOBLE ENERGY INC	49.	0.075		3.68	Money market
			Total income					**$384.07**	
			Date		**Quantity**	**Amount per share**	**Trade date**	**Amount**	**Where invested**
Other income	Redemptions		08/11	KERR MCGEE CORP COM CASH MERGER	32.			$2,256.00	Money market
			Total other income					**$2,256.00**	

5647

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Additions, continued

	Date		Quantity	Amount per share	Trade date	Amount	Where invested
Proceeds from securities sold	08/08	ROGERS COMMUNICATIONS INC CL B	18.	47.0528		846.95	Money market
	Total proceeds from securities sold					**$846.95**	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	08/07	HASBRO INC	63.	18.5608		-$1,169.33	Money market
	Total withdrawals to purchase securities					**-$1,169.33**	
Fees	08/07	REVERSE FEE FOR INCORRECT MANAGEMENT FEES				$1,178.76	Money market
	08/17	MANAGED ACCOUNT FEE				-151.84	Money market
	08/22	INCORRECT AMOUNT REVERSED 8/7/06				-1,178.76	Money market
	08/23	REVERSE FEE FOR MANAGED ASSET PROGRAM				1,073.66	Money market
	Total fees					**$921.82**	

Other Activity

Date	Activity		Quantity	Notes	Estimated Value
08/16	STOCK SPLIT	OCCIDENTAL PETE CORP	21.	ON 21 AT 1 PER SHARE	—

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$0.00	$3,178.50
Long term (held over 1 year)	1,382.32	3,351.26
Total	**$1,382.32**	**$6,529.76**

5648

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
ROGERS COMMUNICATIONS INC CL B	06/07/2005	08/03	18.000	$556.47	$846.95	$290.48	Long term
KERR MCGEE CORP COM	06/07/2005	08/11	32.000	1,164.16	2,256.00	1,091.84	Long term

Build a Strategy for a Healthy Retirement. Then Run with it.
Dr. Rosemary Laird, medical director at Health First Aging Institute in Cape Canaveral will help you learn how. Join us on October 10, 2006, as we address issues you'll be facing as you age. Contact your investment representative for details about this free video program in your area.

5649

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

ACCOUNT INFORMATION

Please see your account agreement forms for complete conditions governing your account. All transactions are subject to applicable rules of the exchange market and its clearing house where the trade occurred, as well as of the Securities Exchange Commission, the Federal Reserve Board and any applicable self-regulatory organizations. If you have a complaint, please notify us at Edward Jones, Attn: Complaints Department, 1245 JJ Kelley Memorial Dr., St. Louis MO 63131. For all other concerns, please refer to the cover page of this statement for our contact information.

You can only withdraw $2,500 per day from a bank teller using your Edward Jones VISA debit card.

With questions regarding your mortgage or home equity loans, please refer to the separate loan statement issued for a description of fees and charges.

Account Protection - Edward Jones provides account protection for the net equity of your assets (except annuities and insurance) held in custody by Edward Jones for your account. Of that total, the Securities Investor Protection Corporation (SIPC) provides $500,000 of coverage, including $100,000 for claims of cash awaiting reinvestment (SIPC coverage). The remaining net equity is protected by Customer Asset Protection Company (CAPCO). This protection does not guard against market loss.

REGULATORY DISCLOSURES

Securities Investor Protection Corporation (SIPC): Information from SIPC, including the SIPC Brochure can be obtained at www.sipc.org or by calling (202)371-8300.

Financial Statement - The firm's financial statement is available at your branch office, or a copy will be mailed upon written request.

Rights to your Free Credit Balance - We are permitted to use your free credit balances to conduct our business, subject to the limitations of 17C.F.R.240 15c3-3 under the Securities Exchange Act of 1934. You have the right to receive, during normal business operations, free delivery of your credit balances; any securities to which you are entitled and which have been fully paid; upon full payment of any debt to us, any securities purchased on margin.

Errors or Questions About Your Electronic Transfers - Visit our website at www.edwardjones.com/electronictransfer or contact us for details. Refer to the cover page of this statement for our contact information.

Amount of money you can borrow - What you can borrow is not a commitment to loan funds. The amount available is typically 50% of the value of your investments held at Edward Jones. Your loan value could change daily. Your investment representative has access to daily values as well as more information on the "Amount of money you can borrow" should you wish to activate this feature. The minimum portfolio value required to be eligible for this feature is $4,000. Single, joint and business accounts are eligible.

Loan/Margin Accounts - If you have a loan or margin account, this statement covers: your general brokerage account; a special misc. account maintained for you under section 4(F)(6) of Regulation T issued by the Board of Governors of The Federal Reserve System; a separate account for securities you have sold short. The permanent record of this account is available upon request. If you have a credit balance in your loan account, the surplus amount is included with the amount shown for "cash and money market" balance.

Tax Withholding on Retirement Accounts - Federal income taxes of 10% on IRA or 20% on qualified plan distributions will be withheld unless a higher amount is elected or an IRS exception applies. State income taxes are withheld depending upon your state of residence upon distribution. Your withholding election will remain in effect until federal or state rule changes. Insufficient withholding may subject you to estimated income-tax payments to avoid penalties.

Required Minimum Distributions (RMDs) - RMDs from other IRAs must be calculated separately, but the total amount may be removed from one or more of your IRAs. RMDs from qualified plans must be calculated and removed separately from each plan and cannot be part of your IRA RMD. If you turned age 70-1/2 last year, and deferred your first RMD until the current year or you have unpriced securities in this account, the RMD Summary Section is understated. Verification by a tax professional is recommended.

Transaction/Settlement Dates - Securities transactions are noted on the settlement date shown on the transaction confirmation, excepting transactions involving Edward Jones Tax-free Money Market Fund or Edward Jones Money Market Investment Shares or Retirement Shares which are listed on the trade date. Additional sections report pending trades and open orders.

Contingent Deferred Sales Charge - Shares sold on certain mutual fund classes may be subject to a contingent deferred sales charge.

Portfolio Objective - Inform us promptly of any material change in your portfolio objective or financial situation.

Debt Securities Transactions - For debt securities transactions, call features may exist which could affect yield; complete information will be provided upon request.

Information for Investors - Edward Jones is a member of the New York Stock Exchange and the Chicago Stock Exchange. The firm also transacts business with a variety of dealers in securities, including listed and over-the-counter stocks and bonds, government and agency issues and municipal securities. For equity securities, the firm monitors performance of competing market centers and dealers and routes orders to those that consistently guarantee execution at the national best bid or offer or better. Routed market orders generally are directed to market centers or dealers that offer opportunity for better prices through either automated or manual systems. For agency transactions, the name of the other broker or party to the transaction will be furnished upon written request. For agency and principal transactions, the date and time of execution will be furnished upon written request. Periodically, the firm may receive other remuneration on agency trades from other sources; information will be furnished upon written request.

TERMINOLOGY

Total Estimated Value - The approximate value of the assets held at Edward Jones and outside companies at the statement date shown or date specified in the detail of Your Assets. It is estimated as the prices used to value your securities are provided by an outside service and do not always represent exact market prices. Edward Jones can't guarantee the accuracy of such values; if you need the exact price, contact your investment representative. Values for many fixed-income charges are estimates based on coupon rate and credit rating and may not represent actual transaction price.

Values don't include accrued interest or dividends and for some investments don't reflect applicable charges and fees.

Your Assets Held Outside Edward Jones - Balances are provided for your information only to give an overall view of your investments with Edward Jones. SIPC coverage isn't extended to assets held outside the custody of Edward Jones. Refer to the statement received directly from these companies for details.

Tax Information for Income Distributions - Your year-end tax documents (eg. Form 1099) will provide specific classifications of your income distributions. The 2003 tax law allows Qualified (Q) dividends to be taxed at reduced rates. 15%, or 5% for individuals whose tax rates are 15% or less. Nonqualified (N) dividends are taxed at ordinary rates. Some, but not all, of Partially Qualified (P) dividends are taxed at reduced rates.

Cost Basis - Cost basis is the amount paid for a security, including commissions, reinvestments and original issue discount (OID) and adjustments for sales, principal returns, splits and spin offs. Some data may be unsubstantiated and should not be relied upon for tax preparation.

Amount Invested/Withdrawn - Amount invested reflects all purchases and other additions to your holdings, with the exception of dividend reinvestments. Amount withdrawn shows how much of your investment has been sold, redeemed or transferred. These figures should not be used for tax reporting or tax preparation.

Ratings and Recommendations - Edward Jones research opinions, Standard & Poor's and Moody's ratings may be shown for certain securities. Ratings or recommendations should not be considered an indication of future performance.

Account Activity - Entries appearing in Account Activity sections under columns headed "Where Invested" or "Sources of Funds" are additions to or subtractions from your account. For clients who maintain a money market account, entries indicating "Tax-Free Money Market" mean Edward Jones Tax-Free Money Market Fund and entries indicating "Money Market" mean Edward Jones Money Market Investment Shares or Retirement Shares depending on the share class owned. Activity dates appearing under "Sources of Funds" correspond to the sale date of the designated Edward Jones Money Market Fund. Entries appearing under "Where Invested" will be transacted as a purchase of the previously designated Edward Jones Money Market Fund on the second business day after the date shown, with the following exceptions which will be transacted on the date indicated:

Source of Funds	Number of Days After Activity Date Shown Until Purchase of Money Market Fund
Wired Funds, SWPS Electronic Bank Transfer, Direct Deposit	1
Security Sold or Interest/Dividend Received*	0
Bond Maturities, Calls, Tendered Items *	1
Transfers from Margin Account or Money Market Fund in another Edward Jones Account	0

*For assets held within Edward Jones account

Account number:
Statement type:
August 26 - September 29, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TR

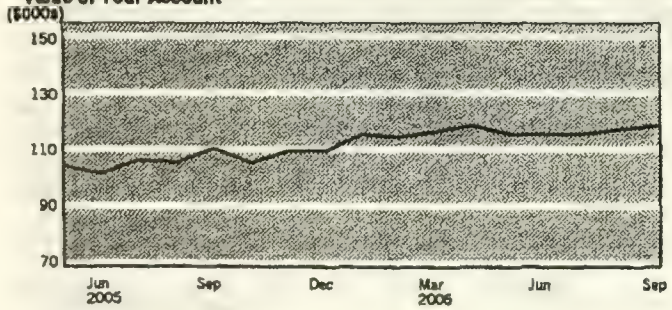
Value Summary (Held at Edward Jones)

Value on Sep 29	$119,113.74
Value on Aug 26	$118,641.93
Value one year ago	$111,590.95

Summary of Your Assets

Held at Edward Jones	Value on Sep 29	Value on Aug 26	Change in value
Cash & money market	$19,702.14	$22,975.82	-$3,273.68
Stocks	99,411.60	95,666.11	3,745.49
Total at Edward Jones	$119,113.74	$118,641.93	$471.81

Value of Your Account



This chart shows how the value of your account has changed recently (includes only assets held at Edward Jones). While it's important to be aware of short-term results, don't lose sight of the long term. Edward Jones believes a long-term investment strategy offers the greatest potential for success.

5651

Account number:
Statement type:
August 26 - September 29, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Income

Income distributions from securities	This period Taxable	Tax-free	Total	Year-to-date Taxable	Tax-free	Tot
Money market dividends	$85.27	—	$85.27	$455.65	—	$455.6
Dividends						
Qualified (Q) - Reduced Tax Eligible	50.90	—	50.90	1,644.91	—	1,644.9
Nonqualified (N) - Taxable	—	—	—	576.26	—	576.2
Total	$136.17	—	$136.17	$2,676.82	—	$2,676.8

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges			
Income reported in prior year		—	$74.8
Foreign taxes paid		—	-1.3
Total		—	$73.5

Your Estimated Interest and Dividends

The following is an estimated summary of your interest and dividend income for the next 12 months. It is based on a variety of factors. These factors, including distribution rates and amount of principal invested, are subject to change. Because they are estimates, the specific amounts listed here are not guaranteed by Edward Jones or by the underlying issuer(s) of the securities. Income from items with * is being reinvested.

			2006			2007									
Cash & money market funds		Quantity	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	Tot
Money Market 4.50%		19,702	73	73	73	73	73	73	73	73	73	73	73	73	87
Stocks	**Tax Info.**														
AIR PRODS & CHEMS INC	Q	34		11			11			11			11		4
AMEREN CORP	Q	34			21			21			21			21	8

5652

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks	Tax Info.	Quantity	2006 OCT	NOV	DEC	2007 JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	Total
AMERICAN FINL REALTY TRUST	N	135	25			25			25			25			100
AON CORP	Q	56		8			8			8			8		32
ARCH COAL INC	Q	40			2			2			2			2	8
ASTORIA FINANCIAL CORP	Q	38			9			9			9			9	36
BRANDYWINE RLTY TR	N	77	33			33			33			33			132
C R BARD INC	Q	25		3			3			3			3		12
COLONIAL BANCGROUP INC	Q	71		12			12			12			12		48
COLONIAL PROPERTIES TRUST	N	37		25			25			25			25		100
ENDURANCE SPECIALTY HOLDINGS	Q	55	13			13			13			13			52
GENUINE PARTS CO	Q	44	14			14			14			14			56
GREAT PLAINS ENERGY INC	Q	58			24			24			24			24	96
HASBRO INC	Q	63		7			7			7			7		28
HEALTH CARE PROPERTY INV INC	N	86		36			36			36			36		144
HEALTH CARE REIT INC	N	57		36			36			36			36		144
ISTAR FINANCIAL INC	N	53	40			40			40			40			160
KEYSPAN CORP	Q	53		24			24			24			24		96
LYONDELL PETROCHEMICAL	Q	71			15			15			15			15	60
NISOURCE INC	Q	88		20			20			20			20		80
NOBLE ENERGY INC	Q	49		3			3			3			3		12
OCCIDENTAL PETE CORP	Q	26	5			5			5			5			20
OLD REPUBLIC INTERNATIONAL	Q	105			15			15			15			15	60

5653

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks	Tax Info.	Quantity	2006 OCT	NOV	DEC	2007 JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	Total
PEP BOYS MANNY MOE & JACK	Q	80	5			5			5			5			20
PIONEER NATURAL RESOURCES CO	Q	54	7						7						14
PRINCIPAL FINANCIAL GROUP INC	Q	47			30										30
PROGRESS ENERGY INC	Q	54		32			32			32			32		128
REDWOOD TRUST INC	N	40	28			28			28			28			112
ROGERS COMMUNICATIONS INC CL B	Q	50				3						3			6
ROWAN COMPANIES INC	Q	75		7			7			7			7		28
SABRE HOLDINGS CORP CL A	Q	77		7			7			7			7		28
SEMPRA ENERGY	Q	37	11			11			11			11			44
SOVEREIGN BANCORP INC	Q	73		5			5			5			5		20
TD BANKNORTH INC	Q	72		15			15			15			15		60
TEMPLE INLAND INC	Q	43			10			10			10			10	40
UNITED DOMINION REALTY TR INC	N	70	21			21			21			21			84
Total			**275**	**324**	**199**	**271**	**324**	**169**	**275**	**324**	**169**	**271**	**324**	**169**	**3,094**

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.50%	4.60%	$19,702.14
Total cash and money market funds			**$19,702.14**

5654

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AFFILIATED COMPUTER SVCS INC CL A Symbol: ACS	Growth None	51.860	42.	$2,178.12	$2,133.18	—
AIR PRODS & CHEMS INC Symbol: APD	Growth & Income None	66.370	34.	2,256.58	2,130.44	—
AMEREN CORP Symbol: AEE	Growth & Income Buy	52.790	34.	1,794.86	1,788.61	—
AMERICAN FINANCIAL REALTY TRUST Symbol: AFR	Growth & Income None	11.160	135.	1,506.60	2,104.85	—
AON CORP Symbol: AOC	Growth & Income None	33.870	56.	1,896.72	1,372.58	—
ARCH COAL INC Symbol: ACI	Aggressive None	28.910	40.	1,156.40	1,679.95	—
ASTORIA FINANCIAL CORP Symbol: AF	Growth & Income None	30.820	38.	1,171.16	1,051.46	—
BRANDYWINE RLTY TR Symbol: BDN	Growth & Income None	32.550	77.	2,506.35	2,193.80	—
C R BARD INC Symbol: BCR	Growth None	75.000	25.	1,875.00	1,714.27	—
CABLEVISION SYSTEMS CORP CL A Symbol: CVC	Aggressive None	22.710	120.	2,725.20	3,000.96	—
COLONIAL BANCGROUP INC Symbol: CNB	Growth & Income None	24.500	71.	1,739.50	1,598.21	—
COLONIAL PROPERTIES TRUST Symbol: CLP	Growth & Income None	47.810	37.	1,768.97	1,645.62	—

5655

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
DREAMWORKS ANIMATION INC CL A Symbol: DWA	Aggressive None	24.910	65.	$1,619.15	$1,771.21	—
ENDURANCE SPECIALTY HOLDINGS INC Symbol: ENH	Growth & Income None	35.260	55.	1,939.30	1,956.64	—
GENUINE PARTS CO Symbol: GPC	Growth & Income None	43.130	44.	1,897.72	1,893.76	—
GREAT PLAINS ENERGY INC Symbol: GXP	Growth & Income None	31.020	58.	1,799.16	1,795.19	—
HASBRO INC Symbol: HAS	Growth & Income None	22.750	63.	1,433.25	1,169.33	—
HEALTH CARE PROPERTY INV INC Symbol: HCP	Growth & Income None	31.050	86.	2,670.30	2,321.60	—
HEALTH CARE REIT INC Symbol: HCN	Growth & Income None	40.010	57.	2,280.57	2,105.58	—
ISTAR FINANCIAL INC Symbol: SFI	Aggressive None	41.700	53.	2,210.10	2,211.87	—
KEYSPAN CORP Symbol: KSE	Growth & Income Hold	41.140	53.	2,180.42	2,120.00	—
LYONDELL PETROCHEMICAL Symbol: LYO	Growth & Income None	25.370	71.	1,801.27	1,768.99	—
MEDIMMUNE INC Symbol: MEDI	Aggressive None	29.210	58.	1,694.18	1,851.59	—
MILLICOM INTERNATIONAL CELLULAR S A NEW Symbol: MICC	Aggressive None	40.920	41.	1,677.72	2,086.79	-2,680.02

5656

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
NISOURCE INC Symbol: NI	Growth & Income Hold	21.740	88.	$1,913.12	$2,134.00	—
NOBLE ENERGY INC Symbol: NBL	Growth None	45.590	49.	2,233.91	2,626.40	-989.12
OCCIDENTAL PETE CORP Symbol: OXY	Growth & Income None	48.110	26.	1,250.86	1,580.25	-725.69
OLD REPUBLIC INTERNATIONAL CORP Symbol: ORI	Growth & Income None	22.150	105.	2,325.75	2,106.72	—
OPEN SOLUTIONS INC Symbol: OPEN	Aggressive None	28.810	72.	2,074.32	1,562.82	—
PEP BOYS MANNY MOE & JACK Symbol: PBY	Growth None	12.850	80.	1,028.00	1,082.40	—
PIONEER NATURAL RESOURCES CO Symbol: PXD	Growth None	39.120	54.	2,112.48	2,791.94	-572.19
PRINCIPAL FINANCIAL GROUP INC Symbol: PFG	Growth None	54.280	47.	2,551.16	1,875.64	—
PROGRESS ENERGY INC Symbol: PGN	Growth & Income Hold	45.380	54.	2,450.52	2,378.91	—
REDWOOD TRUST INC Symbol: RWT	Aggressive None	50.370	40.	2,014.80	2,115.20	—
ROGERS COMMUNICATIONS INC CL B Symbol: RG	Growth None	54.870	50.	2,743.50	2,102.21	-846.95
ROWAN COMPANIES INC Symbol: RDC	Growth None	31.630	75.	2,372.25	2,110.50	—
SABRE HOLDINGS CORP CL A Symbol: TSG	Growth None	23.390	77.	1,801.03	1,606.22	—

5657

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
SCHEIN HENRY INCORPORATED Symbol: HSIC	Growth None	50.140	52.	$2,607.28	$2,117.96	—
SEMPRA ENERGY Symbol: SRE	Growth & Income None	50.250	37.	1,859.25	2,122.12	-748.53
SILVER STD RES INC Symbol: SSRI	Aggressive None	22.080	56.	1,236.48	1,217.91	—
SOVEREIGN BANCORP INC Symbol: SOV	Growth None	21.510	73.	1,570.23	1,568.70	—
TD BANKNORTH INC Symbol: BNK	Growth & Income None	28.880	72.	2,079.36	2,161.88	—
TELEPHONE & DATA SYSTEMS INC SPECIAL COMMON Symbol: TDS S	Growth None	40.850	56.	2,287.60	2,106.16	—
TEMPLE INLAND INC Symbol: TIN	Growth & Income None	40.100	43.	1,724.30	1,577.87	—
TODCO CL A Symbol: THE	Growth None	34.600	61.	2,110.60	1,567.14	—
ULTRA PETROLEUM CORP Symbol: UPL	Aggressive None	48.110	26.	1,250.86	1,813.94	—
UNITED DOMINION REALTY TR INC Symbol: UDR	Growth & Income Hold	30.200	70.	2,114.00	1,725.71	—
UNITED STATES CELLULAR CORP Symbol: USM	Aggressive None	59.700	44.	2,626.80	2,130.04	—
USG CORP Symbol: USG	Aggressive None	47.040	19.	893.76	1,611.60	-1,279.48

5658

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Closed-end funds	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
STREETTRACKS GOLD TR	Aggressive	59.470	74.	$4,400.78	$3,768.69	—
Symbol: GLD	None					
Total stocks				$99,411.60	$97,028.99	-$7,841.98
Total estimated asset value				$119,113.74		

Summary of Your Investment Activity

Total cash and money market funds on Aug 26	$22,975.82
Additions	
Income	$136.17
Proceeds from securities sold	$2,097.53
Total additions	$2,233.70
Subtractions	
Withdrawals to purchase securities	-$5,352.79
Fees	-$154.59
Total subtractions	-$5,507.38
Total cash and money market funds on Sep 29	**$19,702.14**

Detail of Your Investment Activity

Additions

	Type	Date		Days	Rate	Amount	Where invested
Income	Money market dividends	09/20	MONEY MARKET	30	4.49	85.27	Money market

5659

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Additions, continued

	Type	Tax Info.	Date		Quantity	Amount per share	Rate	Amount	Where Investe
Income	Dividends	Q	08/28	SABRE HOLDINGS CORP CL A	77.	0.10		$7.70	Money marke
		Q	09/01	ASTORIA FINANCIAL CORP	38.	0.24		9.12	Money marke
		Q	09/15	ARCH COAL INC	40.	0.06		2.40	Money marke
		Q	09/15	TEMPLE INLAND INC	43.	0.25		10.75	Money marke
		Q	09/15	OLD REPUBLIC INTERNATIONAL	105.	0.15		15.75	Money marke
		Q	09/29	TELEPHONE & DATA SYS INC SPL	56.	0.0925		5.18	Money marke
			Total income					**$136.17**	

	Date		Quantity	Amount per share	Trade date	Amount	Where Investe
Proceeds from securities sold	08/31	INTEROIL CORP	20.	14.572		$291.44	Money marke
	09/27	NABORS INDS	37.	29.20		1,080.40	Money marke
	09/28	OCCIDENTAL PETE CORP	16.	45.3558		725.69	Money marke
	Total proceeds from securities sold					**$2,097.53**	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Fund
Withdrawals to purchase securities	09/22	GREAT PLAINS ENERGY INC	58	30.9516		-$1,795.19	Money marke
	09/26	AMEREN CORP	34.	52.6062		-1,788.61	Money marke
	09/27	LYONDELL PETROCHEMICAL	71.	24.9154		-1,768.99	Money marke
	Total withdrawals to purchase securities					**-$5,352.79**	
Fees	09/18	MANAGED ACCOUNT FEE				-$154.59	Money marke
	Total fees					**-$154.59**	

5660

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Pending Trades

Purchases	Trade date	Quantity	Price	Amount	Settlement date
BARNES & NOBLE INC	09/29/2006	31.000	$38.247	$1,185.67	10/04/2006

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$0.00	$3,178.50
Long term (held over 1 year)	-79.62	3,271.64
Total	-$79.62	$6,450.14

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
INTEROIL CORP	06/07/2005	08/28	20.000	$513.80	$291.44	-$222.36	Long term
NABORS INDS	06/07/2005	09/22	37.000	1,061.35	1,080.40	19.05	Long term
OCCIDENTAL PETE CORP	06/07/2005	09/25	16.000	602.00	725.69	123.69	Long term

As you requested, copies of your statement have been sent to:

5661

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

This page intentionally left blank

Account number:
Statement type:
September 30 - October 27, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TR

Value Summary (Held at Edward Jones)

Value on Oct 27	$124,956.67
Value on Sep 30	$119,113.74
Value one year ago	$106,060.00

Summary of Your Assets

Held at Edward Jones	Value on Oct 27	Value on Sep 30	Change in value
Cash & money market	$16,115.90	$19,702.14	-$3,586.24
Stocks	108,840.77	99,411.60	9,429.17
Total at Edward Jones	$124,956.67	$119,113.74	$5,842.93

Summary of Your Income

	This period			Year-to-date		
Income distributions from securities	Taxable	Tax-free	Total	Taxable	Tax-free	Total
Money market dividends	$77.12	—	$77.12	$532.77	—	$532.77
Dividends						
Qualified (Q) - Reduced Tax Eligible	61.36	—	61.36	1,706.27	—	1,706.27
Nonqualified (N) - Taxable	87.53	—	87.53	663.79	—	663.79
Total	$226.01	—	$226.01	$2,902.83	—	$2,902.83

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges						
Income reported in prior year			—			$74.92
Foreign taxes paid			—			-1.34
Total			—			$73.58

5663

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.50%	4.60%	$16,115.90
Total cash and money market funds			**$16,115.90**

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AFFILIATED COMPUTER SVCS INC CL A Symbol: ACS	Growth None	53.570	42.	$2,249.94	$2,133.18	—
AIR PRODS & CHEMS INC Symbol: APD	Growth None	68.670	34.	2,334.78	2,130.44	—
AMEREN CORP Symbol: AEE	Growth & Income Buy	54.770	34.	1,862.18	1,788.61	—
AMERICAN FINANCIAL REALTY TRUST Symbol: AFR	Growth & Income None	11.120	135.	1,501.20	2,104.65	—
AON CORP Symbol: AOC	Growth & Income None	34.860	56.	1,952.16	1,372.56	—
ARCH COAL INC Symbol: ACI	Aggressive None	36.000	40.	1,440.00	1,679.95	—
ASTORIA FINANCIAL CORP Symbol: AF	Growth & Income None	29.010	38.	1,102.38	1,051.46	—
BARNES & NOBLE INC Symbol: BKS	Growth None	41.070	63.	2,587.41	2,427.26	—

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
BRANDYWINE RLTY TR Symbol: BDN	Growth & Income None	33.280	77.	$2,562.56	$2,193.80	—
C R BARD INC Symbol: BCR	Growth None	81.810	25.	2,045.25	1,714.27	—
CABLEVISION SYSTEMS CORP CL A Symbol: CVC	Aggressive None	27.740	120.	3,328.80	3,000.96	—
COLONIAL BANCGROUP INC Symbol: CNB	Growth & Income None	23.790	71.	1,689.09	1,598.21	—
COLONIAL PROPERTIES TRUST Symbol: CLP	Growth & Income None	50.810	37.	1,879.97	1,645.62	—
DREAMWORKS ANIMATION INC CL A Symbol: DWA	Aggressive None	25.650	65.	1,667.25	1,771.21	—
ENDURANCE SPECIALTY HOLDINGS INC Symbol: ENH	Growth & Income None	36.200	55.	1,991.00	1,956.64	—
GENUINE PARTS CO Symbol: GPC	Growth & Income None	45.600	44.	2,006.40	1,893.76	—
GREAT PLAINS ENERGY INC Symbol: GXP	Growth & Income None	32.260	58.	1,871.08	1,795.19	—
HASBRO INC Symbol: HAS	Growth None	25.100	63.	1,581.30	1,169.33	—
HEALTH CARE PROPERTY INV INC Symbol: HCP	Growth & Income None	31.490	86.	2,708.14	2,321.60	—
HEALTH CARE REIT INC Symbol: HCN	Growth & Income None	40.980	57.	2,335.86	2,105.58	—
ISTAR FINANCIAL INC Symbol: SFI	Aggressive None	45.480	53.	2,410.44	2,211.87	—

5665

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
KEYSPAN CORP Symbol: KSE	Growth & Income Hold	40.560	53.	$2,149.68	$2,120.00	—
LYONDELL PETROCHEMICAL Symbol: LYO	Growth None	26.540	71.	1,884.34	1,768.99	—
MEDIMMUNE INC Symbol: MEDI	Aggressive None	31.740	58.	1,840.92	1,851.59	—
MILLICOM INTERNATIONAL CELLULAR S A NEW Symbol: MICC	Aggressive None	48.920	41.	2,005.72	2,086.79	-2,680.02
MONEYGRAM INTL INC Symbol: MGI	Aggressive None	34.210	56.	1,915.76	1,859.82	—
NISOURCE INC Symbol: NI	Growth & Income Hold	23.090	88.	2,031.92	2,134.00	—
NOBLE ENERGY INC Symbol: NBL	Growth None	48.590	49.	2,380.91	2,626.40	-989.12
OCCIDENTAL PETE CORP Symbol: OXY	Growth & Income None	47.680	26.	1,239.68	1,580.25	-725.69
OLD REPUBLIC INTERNATIONAL CORP Symbol: ORI	Growth & Income None	22.440	105.	2,356.20	2,106.72	—
OPEN SOLUTIONS INC Symbol: OPEN	Aggressive None	37.300	72.	2,685.60	1,562.82	—
PEP BOYS MANNY MOE & JACK Symbol: PBY	Growth None	14.190	80.	1,135.20	1,082.40	—
PIONEER NATURAL RESOURCES CO Symbol: PXD	Growth None	41.520	54.	2,242.08	2,791.94	-572.19
PRINCIPAL FINANCIAL GROUP INC Symbol: PFG	Growth None	56.000	47.	2,632.00	1,875.64	—

5666

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
PROGRESS ENERGY INC Symbol: PGN	Growth & Income Hold	45.900	54.	$2,478.60	$2,378.91	—
REDWOOD TRUST INC Symbol: RWT	Aggressive None	54.930	40.	2,197.20	2,115.20	—
ROGERS COMMUNICATIONS INC CL B Symbol: RG	Growth None	56.980	50.	2,849.00	2,102.21	-846.95
ROWAN COMPANIES INC Symbol: RDC	Growth None	33.500	75.	2,512.50	2,110.50	—
SABRE HOLDINGS CORP CL A Symbol: TSG	Growth & Income None	25.210	77.	1,941.17	1,606.22	—
SCHEIN HENRY INCORPORATED Symbol: HSIC	Growth None	51.030	52.	2,653.56	2,117.96	—
SEMPRA ENERGY Symbol: SRE	Growth & Income None	53.100	37.	1,964.70	2,122.12	-748.53
SILVER STD RES INC Symbol: SSRI	Aggressive None	23.290	56.	1,304.24	1,217.91	—
SOVEREIGN BANCORP INC Symbol: SOV	Growth None	23.020	73.	1,680.46	1,568.70	—
TD BANKNORTH INC Symbol: BNK	Growth & Income None	29.830	72.	2,147.76	2,161.88	—
TELEPHONE & DATA SYSTEMS INC SPECIAL COMMON Symbol: TDS S	Growth None	46.200	56.	2,587.20	2,106.16	—
TEMPLE INLAND INC Symbol: TIN	Growth & Income None	39.990	43.	1,719.57	1,577.67	—
TODCO CL A Symbol: THE	Growth None	34.720	61.	2,117.92	1,587.14	—

5667

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ULTRA PETROLEUM CORP	Aggressive	54.650	26.	$1,420.90	$1,813.94	—
Symbol: UPL	None					
UNITED DOMINION REALTY TR INC	Growth & Income	32.340	70.	2,263.80	1,725.71	—
Symbol: UDR	Hold					
UNITED STATES CELLULAR CORP	Aggressive	61.820	44.	2,720.08	2,130.04	—
Symbol: USM	None					
USG CORP	Aggressive	49.090	19.	932.71	1,611.60	-1,279.48
Symbol: USG	None					

Closed-end funds	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
STREETTRACKS GOLD TR	Aggressive	59.400	63.	$3,742.20	$3,768.69	-$625.90
Symbol: GLD	None					
Total stocks				**$108,840.77**	$101,316.07	-$8,467.88

Total estimated asset value **$124,956.67**

5668

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Summary of Your Investment Activity

Total cash and money market funds on Sep 30	$19,702.14
Additions	
Income	$226.01
Proceeds from securities sold	$625.90
Total additions	$851.91
Subtractions	
Withdrawals to purchase securities	-$4,287.08
Fees	-$151.07
Total subtractions	-$4,438.15
Total cash and money market funds on Oct 27	**$16,115.90**

Detail of Your Investment Activity

Additions

	Type		Date			Days	Rate	Amount	Where Investe
Income	Money market dividends		10/20	MONEY MARKET		32	4.51	77.12	Money marke
	Type	**Tax Info.**	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Investe**
	Dividends	Q	10/02	GENUINE PARTS CO	44.	0.3375		$14.85	Money marke
		Q	10/02	ENDURANCE SPECIALTY HOLDINGS	55.	0.25		13.75	Money marke
		Q	10/12	PIONEER NATURAL RESOURCES CO	54.	0.13		7.02	Money marke
		N	10/16	BRANDYWINE RLTY TR	77.	0.44		33.88	Money marke
		Q	10/16	SEMPRA ENERGY	37.	0.30		11.10	Money marke
		Q	10/16	OCCIDENTAL PETE CORP	42.	0.22		9.24	Money marke
		N	10/20	AMERICAN FINL REALTY TRUST	135.	0.19		25.65	Money marke

5669

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.51%	4.61%	$11,713.55
Total cash and money market funds			$11,713.55

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AFFILIATED COMPUTER SVCS INC CL A Symbol: ACS	Growth None	50.740	42.	$2,131.08	$2,133.18	—
AIR PRODS & CHEMS INC Symbol: APD	Growth None	71.350	34.	2,425.90	2,130.44	—
AMEREN CORP Symbol: AEE	Growth & Income Buy	53.830	57.	3,068.31	3,048.69	—
AMERICAN FINANCIAL REALTY TRUST Symbol: AFR	Growth & Income None	11.990	121.	1,450.79	2,104.65	-161.56
AON CORP Symbol: AOC	Growth & Income None	35.740	56.	2,001.44	1,372.56	—
ARCH COAL INC Symbol: ACI	Aggressive None	34.290	40.	1,371.60	1,679.95	—
ASTORIA FINANCIAL CORP Symbol: AF	Growth & Income None	29.890	38.	1,135.82	1,051.46	—
BARNES & NOBLE INC Symbol: BKS	Growth None	39.680	63.	2,499.84	2,427.26	—

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
BRANDYWINE RLTY TR Symbol: BDN	Growth & Income None	34.700	77.	$2,671.90	$2,193.80	—
C R BARD INC Symbol: BCR	Growth None	82.340	25.	2,058.50	1,714.27	—
CABLEVISION SYSTEMS CORP CL A Symbol: CVC	Aggressive None	27.780	120.	3,333.60	3,000.96	—
COLONIAL BANCGROUP INC Symbol: CNB	Growth & Income None	24.440	71.	1,735.24	1,598.21	—
COLONIAL PROPERTIES TRUST Symbol: CLP	Growth & Income None	49.710	37.	1,839.27	1,645.62	—
DREAMWORKS ANIMATION INC CL A Symbol: DWA	Aggressive None	29.870	65.	1,941.55	1,771.21	—
ENDURANCE SPECIALTY HOLDINGS INC Symbol: ENH	Growth & Income None	37.250	55.	2,048.75	1,956.64	—
GENUINE PARTS CO Symbol: GPC	Growth & Income None	47.200	44.	2,076.80	1,893.76	—
GREAT PLAINS ENERGY INC Symbol: GXP	Growth & Income None	32.140	58.	1,864.12	1,795.19	—
HASBRO INC Symbol: HAS	Growth None	27.180	63.	1,712.34	1,169.33	—
HEALTH CARE PROPERTY INV INC Symbol: HCP	Growth & Income None	34.940	86.	3,004.84	2,321.60	—
HEALTH CARE REIT INC Symbol: HCN	Growth & Income None	41.400	57.	2,359.80	2,105.58	—
ISTAR FINANCIAL INC Symbol: SFI	Aggressive None	46.180	71.	3,278.78	3,015.49	—

5671

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
KEYSPAN CORP Symbol: KSE	Growth & Income Hold	40.830	53.	$2,163.99	$2,120.00	—
LYONDELL PETROCHEMICAL Symbol: LYO	Growth & Income None	24.180	92.	2,224.56	2,331.18	—
MEDIMMUNE INC Symbol: MEDI	Aggressive None	32.800	78.	2,558.40	2,491.37	—
MILLICOM INTERNATIONAL CELLULAR S A NEW Symbol: MICC	Aggressive None	56.350	52.	2,930.20	2,633.59	-2,680.02
MONEYGRAM INTL INC Symbol: MGI	Aggressive None	31.950	56.	1,789.20	1,859.82	—
NISOURCE INC Symbol: NI	Growth & Income Hold	23.880	88.	2,101.44	2,134.00	—
NOBLE ENERGY INC Symbol: NBL	Growth None	49.620	49.	2,431.38	2,626.40	-989.12
OCCIDENTAL PETE CORP Symbol: OXY	Growth & Income None	47.940	26.	1,246.44	1,580.25	-725.69
OLD REPUBLIC INTERNATIONAL CORP Symbol: ORI	Growth None	23.010	105.	2,416.05	2,106.72	—
OPEN SOLUTIONS INC Symbol: OPEN	Aggressive None	37.290	72.	2,684.88	1,562.82	—
PENTAIR INC Symbol: PNR	Growth None	33.300	39.	1,298.70	1,251.78	—
PEP BOYS MANNY MOE & JACK Symbol: PBY	Growth & Income None	13.380	80.	1,070.40	1,082.40	—
PIONEER NATURAL RESOURCES CO Symbol: PXD	Growth None	41.550	47.	1,952.85	2,791.94	-857.63

5672

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
PRINCIPAL FINANCIAL GROUP INC Symbol: PFG	Growth None	57.560	47.	$2,705.32	$1,875.64	—
PROGRESS ENERGY INC Symbol: PGN	Growth & Income Hold	47.740	54.	2,577.96	2,378.91	—
REDWOOD TRUST INC Symbol: RWT	Aggressive None	56.550	40.	2,262.00	2,115.20	—
ROGERS COMMUNICATIONS INC CL B Symbol: RG	Growth None	61.040	50.	3,052.00	2,102.21	-846.95
ROWAN COMPANIES INC Symbol: RDC	Growth None	34.800	75.	2,610.00	2,110.50	—
SABRE HOLDINGS CORP CL A Symbol: TSG	Growth None	27.110	77.	2,087.47	1,606.22	—
SCHEIN HENRY INCORPORATED Symbol: HSIC	Growth None	51.470	52	2,676.44	2,117.96	—
SEMPRA ENERGY Symbol: SRE	Growth & Income None	54.510	37	2,016.87	2,122.12	-748.53
SILVER STD RES INC Symbol: SSRI	Aggressive None	29.450	56.	1,649.20	1,217.91	—
SOVEREIGN BANCORP INC Symbol: SOV	Growth None	24.850	73.	1,814.05	1,568.70	—
TD BANKNORTH INC Symbol: BNK	Growth & Income None	32.330	72.	2,327.76	2,161.88	—
TELEPHONE & DATA SYSTEMS INC SPECIAL COMMON Symbol: TDS.S	Growth None	49.180	56.	2,754.08	2,106.16	—
TEMPLE INLAND INC Symbol: TIN	Growth & Income None	39.240	43.	1,687.32	1,577.67	—

5673

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
TODCO CL A	Growth	37.800	61.	$2,305.80	$1,567.14	—
Symbol: THE	None					
ULTRA PETROLEUM CORP	Aggressive	51.310	26.	1,334.06	1,813.94	—
Symbol: UPL	None					
UNITED DOMINION REALTY TR INC	Growth & income	32.990	70.	2,309.30	1,725.71	—
Symbol: UDR	Hold					
UNITED STATES CELLULAR CORP	Aggressive	68.020	44.	2,992.88	2,130.04	—
Symbol: USM	None					
USG CORP	Aggressive	51.970	19.	987.43	1,611.60	-1,279.48
Symbol: USG	None					

Closed-end funds	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
STREETTRACKS GOLD TR	Aggressive	63.500	63.	$4,000.50	$3,768.69	-$625.90
Symbol: GLD	None					
Total stocks				$117,029.20	$108,380.32	-$8,914.88
Total estimated asset value				**$128,742.75**		

5674

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones®
MAKING SENSE OF INVESTING

Summary of Your Investment Activity

Total cash and money market funds on Oct 28	$16,115.90
Additions	
Income	$365.67
Proceeds from securities sold	$447.00
Other additions	$6.94
Total additions	$819.61
Subtractions	
Withdrawals to purchase securities	-$5,064.25
Fees	-$157.71
Total subtractions	-$5,221.96
Total cash and money market funds on Nov 24	**$11,713.55**

Detail of Your Investment Activity

Additions

	Type	Date			Days	Rate	Amount	Where Invest
Income	Money market dividends	11/20	MONEY MARKET		29	4.51	48.77	Money mark
	Type	**Tax Info.**	**Date**	**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Invest**
	Dividends	N	10/30	ISTAR FINANCIAL INC 53.	0.77		$40.81	Money mark
		N	10/31	UNITED DOMINION REALTY TR INC 70.	0.3125		21.88	Money mark
		Q	11/01	PROGRESS ENERGY INC 54.	0.605		32.67	Money mark
		Q	11/01	KEYSPAN CORP 53.	0.465		24.65	Money mark
		Q	11/03	CR BARD INC 25.	0.14		3.50	Money mark

5675

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Additions, continued

	Type	Tax Info.	Date		Quantity	Amount per share	Rate	Amount	Where Invest
Income	Dividends	Q	11/10	COLONIAL BANCGROUP INC	71.	0.17		$12.07	Money mark
		Q	11/10	SABRE HOLDINGS CORP CL A	77.	0.13		10.01	Money mark
		Q	11/13	AIR PRODUCTS & CHEMICALS	34.	0.34		11.56	Money mark
		Q	11/13	TD BANKNORTH INC	72.	0.22		15.84	Money mark
		N	11/13	COLONIAL PROPERTIES TRUST	37.	0.68		25.16	Money mark
		Q	11/14	AON CORP	56	0.15		8.40	Money mark
		Q	11/15	HASBRO INC	63.	0.12		7.56	Money mark
		Q	11/15	SOVEREIGN BANCORP INC	73.	0.08		5.84	Money mark
		N	11/20	HEALTH CARE REIT INC	57.	0.64		36.48	Money mark
		Q	11/20	NOBLE ENERGY INC	49.	0.075		3.68	Money mark
		Q	11/20	NISOURCE INC	88	0.23		20.24	Money mark
		N	11/21	HEALTH CARE PROPERTY INV INC	86.	0.425		36.55	Money mark
			Total income					$365.67	

	Date		Quantity	Amount per share	Trade date	Amount	Where Invest
Proceeds from securities sold	11/17	AMERICAN FINL REALTY TRUST	14.	11.54		$161.56	Money mark
	11/20	PIONEER NATURAL RESOURCES CO	7.	40.7766		285.44	Money mark
	Total proceeds from securities sold					$447.00	

	Date		Amount	Where Invest
Other Additions	11/09	TRANSFER FROM	$6.94	Money mark
	Total other additions		$6.94	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Fun
Withdrawals to purchase securities	10/31	MILLICOM INTL CELLULAR S A NEW	11.	49.709		-$546.80	Money mark
	10/31	AMEREN CORP	23.	54.7859		-1,260.08	Money mark

5676

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Subtractions, continued

	Date		Quantity	Price per share	Trade date	Amount	Source of Fun
Withdrawals to purchase securities	11/01	LYONDELL PETROCHEMICAL	21.	26.771		-$562.19	Money mark
	11/03	MEDIMMUNE INC	20.	31.9889		-639.78	Money mark
	11/08	PENTAIR INC	39.	32.0968		-1,251.78	Money mark
	11/14	ISTAR FINANCIAL INC	18.	44.6454		-803.62	Money mark
	Total withdrawals to purchase securities					**-$5,064.25**	
Fees	11/15	MANAGED ACCOUNT FEE				-$157.71	Money mark
	Total fees					**-$157.71**	

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$0.00	$3,246.86
Long term (held over 1 year)	-57.63	3,214.01
Total	**-$57.63**	**$6,460.87**

Details of Realized Gain/Loss From Sale of Your Securities

	Purchase Date	Sale date	Quantity	Cost basis	Proceeds	Realized gain/loss	
AMERICAN FINL REALTY TRUST	06/07/2005	11/14	14.000	$218.26	$161.56	-$56.70	Long term
PIONEER NATURAL RESOURCES CO	06/07/2005	11/15	7.000	286.37	285.44	-0.93	Long term

As you requested, copies of your statement have been sent to:

5677

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

This page intentionally left blank

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

HAROLD D ROGERS TTEE
U/A DTD 2-9-2001
HAROLD D ROGERS REV LIV TR

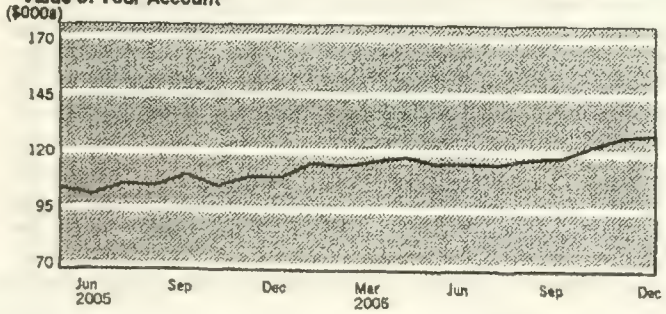
Value Summary (Held at Edward Jones)

Value on Dec 31	$129,644.69
Value on Nov 25	$128,742.75
Value one year ago	$110,386.74

Summary of Your Assets

Held at Edward Jones	Value on Dec 31	Value on Nov 25	Change in value
Cash & money market	$10,694.69	$11,713.55	-$1,018.86
Stocks	118,950.00	117,029.20	1,920.80
Total at Edward Jones	$129,644.69	$128,742.75	$901.94

Value of Your Account



This chart shows how the value of your account has changed recently (includes only assets held at Edward Jones). While it's important to be aware of short-term results, don't lose sight of the long term. Edward Jones believes a long-term investment strategy offers the greatest potential for success

5679

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Summary of Your Income

Income distributions from securities	This period Taxable	Tax-free	Total	Year-to-date Taxable	Tax-free	Total
Money market dividends	$58.18	—	$58.18	$639.72	—	$639.72
Dividends						
Qualified (Q) - Reduced Tax Eligible	178.72	—	178.72	2,041.01	—	2,041.01
Nonqualified (N) - Taxable	194.10	—	194.10	1,018.77	—	1,018.77
Total	$431.00	—	$431.00	$3,699.50	—	$3,699.50

Note: Please refer to the last page of this package for information regarding qualified, partially qualified and nonqualified dividends.

Other distributions or charges				
Income reported in prior year		—		$74.92
Foreign taxes paid		—		-1.34
Total		—		$73.58

Your Estimated Interest and Dividends

The following is an estimated summary of your interest and dividend income for the next 12 months. It is based on a variety of factors. These factors, including distribution rates and amount of principal invested, are subject to change. Because they are estimates, the specific amounts listed here are not guaranteed by Edward Jones or by the underlying issuer(s) of the securities. Income from items with * is being reinvested.

Cash & money market funds	Quantity	2007 JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	Total
Money Market 4.54%	10,694	40	40	40	40	40	40	40	40	40	40	40	40	480

Stocks	Tax Info.													
AIR PRODUCTS & CHEMICALS	Q	34		11			11			11			11	44
AMEREN CORP	Q	57			36			36			36			144

5680

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Stocks	Tax Info.	Quantity	2007 JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	Total
AMERICAN FINL REALTY TRUST	N	121	22			22			22			22			88
AON CORP	Q	56		8			8			8			8		32
ARCH COAL INC	Q	40			2			2			2			2	8
ASTORIA FINANCIAL CORP	Q	38			9			9			9			9	36
BARNES & NOBLE INC	Q	63			9			9			9			9	36
BRANDYWINE RLTY TR	N	77	33			33			33			33			132
CR BARD INC	Q	25		3			3			3			3		12
COLONIAL BANCGROUP INC	Q	71		12			12			12			12		48
COLONIAL PROPERTIES TRUST	N	37		25			25			25			25		100
ENDURANCE SPECIALTY HOLDINGS	Q	55			13			13			13			13	52
GENUINE PARTS CO	Q	44	14			14			14			14			56
GREAT PLAINS ENERGY INC	Q	58			24			24			24			24	96
HASBRO INC	Q	63		7			7			7			7		28
HEALTH CARE PROPERTY INV INC	N	86		36			36			36			36		144
HEALTH CARE REIT INC	N	57			19			19			19			19	76
ISTAR FINANCIAL INC	N	71			54			54			54			54	216
KEYSPAN CORP	Q	53		25			25			25			25		100
LYONDELL PETROCHEMICAL	Q	92			20			20			20			20	80
MONEYGRAM INTERNATIONAL INC	Q	56	2			2			2			2			8
NISOURCE INC	Q	88		20			20			20			20		80
NOBLE ENERGY INC	Q	49		3			3			3			3		12

5681

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks	Tax Info.	Quantity	2007 JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	Total
OCCIDENTAL PETE CORP	Q	26	5			5			5			5			20
OLD REPUBLIC INTERNATIONAL	Q	105			15			15			15			15	60
PENTAIR INC	Q	39		5			5			5			5		20
PEP BOYS MANNY MOE & JACK	Q	80	5			5			5			5			20
PIONEER NATURAL RESOURCES CO	Q	47				6						6			12
PRINCIPAL FINANCIAL GROUP INC	Q	47												37	37
PROGRESS ENERGY INC	Q	54		32			32			32			32		128
REDWOOD TRUST INC	N	40	28			28			28			28			112
ROGERS COMMUNICATIONS INC CL B	Q	50	3						3						6
ROWAN COS INC	Q	75		7			7			7			7		28
SABRE HOLDINGS CORP CL A	Q	77		10			10			10			10		40
SEMPRA ENERGY	Q	37	11			11			11			11			44
SOVEREIGN BANCORP INC	Q	73		5			5			5			5		20
TD BANKNORTH INC	Q	72		15			15			15			15		60
TEMPLE INLAND INC	Q	43			10			10			10			10	40
UNITED DOMINION REALTY TR INC	N	70	21			21			21			21			84
Total			**184**	**264**	**251**	**187**	**264**	**251**	**184**	**264**	**251**	**187**	**264**	**288**	**2,839**

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	4.54%	4.65%	$10,694.69
Total cash and money market funds			**$10,694.69**

5682

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AFFILIATED COMPUTER SVCS INC CL A Symbol: ACS	Growth None	48.840	42.	$2,051.28	$2,133.18	—
AIR PRODS & CHEMS INC Symbol: APD	Growth None	70.280	34.	2,389.52	2,130.44	—
AMEREN CORP Symbol: AEE	Growth & Income Buy	53.730	57.	3,062.61	3,048.69	—
AMERICAN FINANCIAL REALTY TRUST Symbol: AFR	Growth & Income None	11.440	121.	1,384.24	2,104.65	161.56
AON CORP Symbol: AOC	Growth & Income None	35.340	56.	1,979.04	1,372.56	—
ARCH COAL INC Symbol: ACI	Aggressive None	30.030	40.	1,201.20	1,679.95	—
ASTORIA FINANCIAL CORP Symbol: AF	Growth & Income None	30.160	38.	1,146.08	1,051.46	—
BARNES & NOBLE INC Symbol: BKS	Growth None	39.710	63.	2,501.73	2,427.26	—
BRANDYWINE RLTY TR Symbol: BDN	Growth & Income None	33.250	77.	2,560.25	2,193.80	—
C R BARD INC Symbol: BCR	Growth None	82.970	25.	2,074.25	1,714.27	—
CABLEVISION SYSTEMS CORP CL A Symbol: CVC	Aggressive None	28.480	120.	3,417.60	3,000.96	—
COLONIAL BANCGROUP INC Symbol: CNB	Growth & Income None	25.740	71.	1,827.54	1,598.21	—

5683

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
COLONIAL PROPERTIES TRUST Symbol: CLP	Growth & Income None	46.880	37.	$1,734.56	$1,645.62	—
DREAMWORKS ANIMATION INC CL A Symbol: DWA	Aggressive None	29.490	65.	1,916.85	1,771.21	—
ENDURANCE SPECIALTY HOLDINGS INC Symbol: ENH	Growth & Income None	36.580	55.	2,011.90	1,956.64	—
GENUINE PARTS CO Symbol: GPC	Growth & Income None	47.430	44.	2,086.92	1,893.76	—
GREAT PLAINS ENERGY INC Symbol: GXP	Growth & Income None	31.800	58.	1,844.40	1,795.19	—
HASBRO INC Symbol: HAS	Growth None	27.250	63.	1,716.75	1,169.33	—
HEALTH CARE PROPERTY INV INC Symbol: HCP	Growth & Income None	36.820	86.	3,166.52	2,321.60	—
HEALTH CARE REIT INC Symbol: HCN	Growth & Income None	43.020	57.	2,452.14	2,105.58	—
ISTAR FINANCIAL INC Symbol: SFI	Aggressive None	47.820	71	3,395.22	3,015.49	—
IVANHOE MINES LTD Symbol: IVN	Aggressive None	9.830	123.	1,209.09	1,291.50	—
KEYSPAN CORP Symbol: KSE	Growth & Income Hold	41.180	53.	2,182.54	2,120.00	—
LYONDELL PETROCHEMICAL Symbol: LYO	Growth & Income None	25.570	92.	2,352.44	2,331.18	—
MEDIMMUNE INC Symbol: MEDI	Aggressive None	32.370	78.	2,524.86	2,491.37	—

5684

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
MILLICOM INTERNATIONAL CELLULAR S A NEW Symbol: MICC	Aggressive None	61.640	52.	$3,205.28	$2,633.59	-$2,680.02
MONEYGRAM INTL INC Symbol: MGI	Aggressive None	31.360	56.	1,756.16	1,859.82	—
NISOURCE INC Symbol: NI	Growth & Income Hold	24.100	88.	2,120.80	2,134.00	—
NOBLE ENERGY INC Symbol: NBL	Growth None	49.070	49.	2,404.43	2,626.40	-989.12
OCCIDENTAL PETE CORP Symbol: OXY	Growth & Income None	48.830	26.	1,269.58	1,580.25	-725.69
OLD REPUBLIC INTERNATIONAL CORP Symbol: ORI	Growth & Income None	23.280	105.	2,444.40	2,106.72	—
OPEN SOLUTIONS INC Symbol: OPEN	Aggressive None	37.640	72.	2,710.08	1,562.82	—
PENTAIR INC Symbol: PNR	Growth None	31.400	39.	1,224.60	1,251.78	—
PEP BOYS MANNY MOE & JACK Symbol: PBY	Growth None	14.860	80.	1,188.80	1,082.40	—
PIONEER NATURAL RESOURCES CO Symbol: PXD	Growth None	39.690	47.	1,865.43	2,791.94	-857.63
PRINCIPAL FINANCIAL GROUP INC Symbol: PFG	Growth None	58.700	47.	2,758.90	1,875.64	—
PROGRESS ENERGY INC Symbol: PGN	Growth & Income Hold	49.080	54.	2,650.32	2,378.91	—

5685

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
REDWOOD TRUST INC Symbol: RWT	Aggressive None	58.080	40.	$2,323.20	$2,115.20	—
ROGERS COMMUNICATIONS INC CL B Symbol: RG	Growth None	59.600	50.	2,980.00	2,102.21	-846.95
ROWAN COMPANIES INC Symbol: RDC	Growth None	33.200	75.	2,490.00	2,110.50	—
SABRE HOLDINGS CORP CL A Symbol: TSG	Growth None	31.890	77.	2,455.53	1,606.22	—
SCHEIN HENRY INCORPORATED Symbol: HSIC	Growth None	48.980	52.	2,546.96	2,117.96	—
SEMPRA ENERGY Symbol: SRE	Growth & Income None	56.040	37.	2,073.48	2,122.12	-748.53
SILVER STD RES INC Symbol: SSRI	Aggressive None	30.740	56.	1,721.44	1,217.91	—
SOVEREIGN BANCORP INC Symbol: SOV	Growth None	25.390	73.	1,853.47	1,568.70	—
TD BANKNORTH INC Symbol: BNK	Growth & Income None	32.280	72.	2,324.16	2,161.88	—
TELEPHONE & DATA SYSTEMS INC SPECIAL COMMON Symbol: TDS S	Growth None	49.600	56.	2,777.60	2,106.16	—
TEMPLE INLAND INC Symbol: TIN	Growth & Income None	46.030	43.	1,979.29	1,577.67	—
TODCO CL A Symbol: THE	Growth None	34.170	61.	2,084.37	1,567.14	—
ULTRA PETROLEUM CORP Symbol: UPL	Aggressive None	47.750	26.	1,241.50	1,813.94	—

5686

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Stocks, continued	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
UNITED DOMINION REALTY TR INC Symbol: UDR	Growth & Income Hold	31.790	70.	$2,225.30	$1,725.71	—
UNITED STATES CELLULAR CORP Symbol: USM	Aggressive None	69.590	44.	3,061.96	2,130.04	—
USG CORP Symbol: USG	Aggressive None	54.800	19.	1,041.20	1,611.60	-1,279.48

Closed-end funds	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
STREETTRACKS GOLD TR Symbol: GLD	Aggressive None	63.210	63.	$3,982.23	$3,768.69	-$625.90
Total stocks				**$118,950.00**	$107,671.82	-$8,914.88
Total estimated asset value				**$129,644.69**		

Summary of Your Investment Activity

Total cash and money market funds on Nov 25	$11,713.55
Additions	
Income	$431.00
Total additions	$431.00
Subtractions	
Withdrawals to purchase securities	-$1,291.50
Fees	-$158.36
Total subtractions	-$1,449.86
Total cash and money market funds on Dec 31	**$10,694.69**

5687

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Detail of Your Investment Activity

Additions

	Type		Date			Days	Rate	Amount	Where Invested
Income	Money market dividends		12/29	MONEY MARKET		41	4.53	58.18	Money market
	Type	**Tax Info.**	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Invested**
	Dividends	Q	11/29	ROWAN COS INC	75.	0.10		$7.50	Money market
		Q	12/01	ASTORIA FINANCIAL CORP	38.	0.24		9.12	Money market
		N	12/08	REDWOOD TRUST INC	40.	3.00		120.00	Money market
		Q	12/15	LYONDELL PETROCHEMICAL	92	0.225		20.70	Money market
		Q	12/15	ARCH COAL INC	40.	0.06		2.40	Money market
		Q	12/15	TEMPLE INLAND INC	43.	0.25		10.75	Money market
		Q	12/15	OLD REPUBLIC INTERNATIONAL	105	0.15		15.75	Money market
		Q	12/15	PRINCIPAL FINANCIAL GROUP INC	47.	0.80		37.60	Money market
		Q	12/20	GREAT PLAINS ENERGY INC	58.	0.415		24.07	Money market
		N	12/27	HEALTH CARE REIT INC	57.	0.3409		19.43	Money market
		Q	12/29	AMEREN CORP	57.	0.635		36.20	Money market
		N	12/29	ISTAR FINANCIAL INC	71.	0.77		54.67	Money market
		Q	12/29	BARNES & NOBLE INC	63	0.15		9.45	Money market
		Q	12/29	TELEPHONE & DATA SYSTEMS INC	56.	0.0925		5.18	Money market
			Total income					**$431.00**	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	12/22	IVANHOE MINES LTD	123.	10.50		-$1,291.50	Money market
	Total withdrawals to purchase securities					**-$1,291.50**	
Fees	12/14	MANAGED ACCOUNT FEE				-$158.36	Money market
	Total fees					**-$158.36**	

5688

201 Progress Parkway
Maryland Heights, MO 63043 3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Summary of Realized Gain/Loss From Sale of Your Securities

	This period	Year-to-date
Short term (assets held 1 year or less)	$0.00	$3,246.86
Long term (held over 1 year)	0.00	3,214.01
Total	$0.00	$6,460.87

As you requested, copies of your statement have been sent to:

5689

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

This page intentionally left blank

Account number:
Statement type
January 1 - February 24, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

EDWARD D JONES & CO CUSTODIAN
FBO HAROLD D ROGERS IRA IRA

Value Summary (Held at Edward Jones)

Value on Feb 24	$108,790.43
Value on Jan 1	$99,223.42
Value one year ago	$90,802.81

Summary of Your Assets

Held at Edward Jones	Value on Feb 24	Value on Jan 1	Change in value
Cash & money market	$32.28	$32.20	$0.08
Stocks	51,375.58	44,556.87	6,818.71
Mutual funds	57,382.57	54,634.35	2,748.22
Total at Edward Jones	$108,790.43	$99,223.42	$9,567.01

Your Retirement Account Summary

	This period	Cumulative
2006 Contributions	$0.00	$0.00
2005 Contributions	$0.00	$0.00

MAKE SURE YOU UPDATE YOUR RETIREMENT ACCOUNT BENEFICIARY DESIGNATIONS.
To help ensure that you've taken care of your heirs according to your wishes, schedule an appointment with your investment representative. At this meeting, you can confirm or update your beneficiary designations.

Summary of Your Income

	This Period	Year-to-date
Income from securities		
Money market dividends	$0.08	$0.08
Dividends	16.71	16.71
Total	$16.79	$16.79

5691

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Retirement money market	3.15%	3.20%	$32.28
Total cash and money market funds			$32.28

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ASHLAND INC NEW Symbol: ASH	Growth None	66.310	616.36442	$40,871.12	$19,016.40	—
MARATHON OIL CORP Symbol: MRO	Growth & Income None	72.210	145.47098	10,504.46	4,003.09	—
Total stocks				$51,375.58	$23,019.49	—

Mutual funds	Our asset category	Current price	Current shares	Current value	Amount invested	Amount withdrawn
INVESTMENT COMPANY OF AMERICA CL A Quote Symbol: AIVSX	Growth & Income	32.45	915.223	$29,698.99	$25,024.68	-$10.00
LORD ABBETT AFFILIATED FUND CL B Quote Symbol: LAFBX	Growth & Income	14.84	1,296.179	19,235.30	14,000.00	—
LORD ABBETT DEVELOPING GROWTH FUND CL B Quote Symbol: LADBX	Aggressive	18.20	464.191	8,448.28	7,000.00	—
Total mutual funds				$57,382.57	$46,024.68	-$10.00
Total estimated asset value				**$108,790.43**		

5692

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Investment Activity

Total cash and money market funds on Jan 01	$32.20
Additions	
Income	$16.79
Total additions	$16.79
Subtractions	
Withdrawals to purchase securities	-$16.71
Total subtractions	-$16.71
Total cash and money market funds on Feb 24	**$32.28**

Detail of Your Investment Activity

Additions

	Type	Date		Days	Rate	Amount	Where Invested
Income	Money market dividends	01/20	MONEY MARKET	22	2.93	0.04	Money market
		02/21	MONEY MARKET	30	3.09	0.04	Money market

	Type	Date		Quantity	Amount per share	Rate	Amount	Where Invested
	Dividends	02/13	LORD ABBETT AFFILIATED FD CL B	1295.027	0.012		$16.71	Reinvested
		Total income					**$16.79**	

5693

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	02/13	REINVESTMENT INTO LORD ABBETT AFFILIATED FD CL B	1.152	14.50		-$16.71	Income
		Total withdrawals to purchase securities				-$16.71	

As you requested, copies of your statement have been sent to:

DOING YOUR HOMEWORK NOW CAN HELP PREPARE YOU FOR EDUCATION COSTS LATER.
The road to a quality education starts with a savings plan. One strategy to help pay for kindergarten through graduate school expenses includes a Coverdell Education Savings Account. To determine whether this strategy makes sense for you, call your investment representative today.

5694

Account number:
Statement type:
February 25 - March 31, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

EDWARD D JONES & CO CUSTODIAN
FBO HAROLD D ROGERS IRA IRA

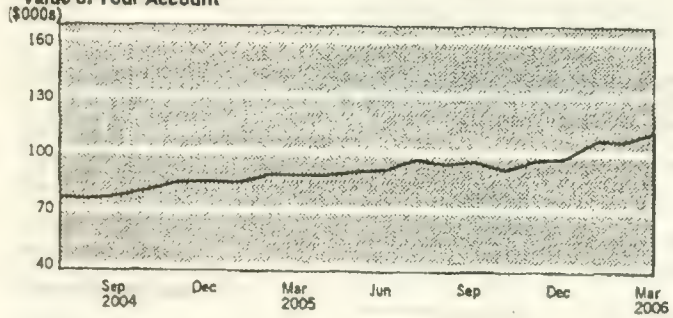
Value Summary (Held at Edward Jones)

Value on Mar 31	$113,234.54
Value on Feb 25	$108,790.43
Value one year ago	$90,546.58

Summary of Your Assets

Held at Edward Jones	Value on Mar 31	Value on Feb 25	Change in value
Cash & money market	$0.03	$32.28	-$32.25
Stocks	55,126.70	51,375.58	3,751.12
Mutual funds	58,107.81	57,382.57	725.24
Total at Edward Jones	$113,234.54	$108,790.43	$4,444.11

Value of Your Account



This chart shows how the value of your account has changed recently (includes only assets held at Edward Jones). While it's important to be aware of short-term results, don't lose sight of the long term. Edward Jones believes a long-term investment strategy offers the greatest potential for success.

(Individual retirement account)

5695

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Your Retirement Account Summary

	This period	Cumulative
2006 Contributions	$0.00	$0.00
2005 Contributions	$0.00	$0.00

KEEP IT SIMPLE. ACCOUNT CONSOLIDATION HELPS.
Consolidating accounts to Edward Jones makes sense. Simplify your life with less paperwork at tax time and one-stop access to your assets. Call your investment representative for details. Note that amounts in your Retirement Account Summary now include catch-up contributions.

Summary of Your Income

	This Period	Year-to-date
Income from securities		
Money market dividends	$0.03	$0.11
Dividends	354.79	371.50
Total	$354.82	$371.61

Your Estimated Interest and Dividends

The following is an estimated summary of your interest and dividend income for the next 12 months. It is based on a variety of factors. These factors, including distribution rates and amount of principal invested, are subject to change. Because they are estimates, the specific amounts listed here are not guaranteed by Edward Jones or by the underlying issuer(s) of the securities. Income from items with * is being reinvested.

		2006								2007			
Stocks	Quantity	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	Total
ASHLAND INC NEW*	618			170			170			170			170	680
MARATHON OIL CORP*	146			48			48			48			48	192
Mutual Funds														
INVESTMENT CO OF AMERICA CL A*	920			138			138			138			138	552
LORD ABBETT AFFILIATED FD CL B*	1,296		16			16			16			16		64
Total			16	356		16	356		16	356		16	356	1,488

5696

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Retirement money market	3.34%	3.39%	$0.03
Total cash and money market funds			**$0.03**

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ASHLAND INC NEW Symbol: ASH	Growth None	71.080	618.94537	$43,994.64	$19,016.40	—
MARATHON OIL CORP Symbol: MRO	Growth & Income None	76.170	146.14756	11,132.06	4,003.09	—
Total stocks				**$55,126.70**	$23,019.49	—

Mutual funds	Our asset category	Current price	Current shares	Current value	Amount invested	Amount withdrawn
INVESTMENT COMPANY OF AMERICA CL A Quote Symbol: AIVSX	Growth & Income	32.51	920.427	$29,923.08	$25,056.94	-$10.00
LORD ABBETT AFFILIATED FUND CL B Quote Symbol: LAFBX	Growth & Income	14.89	1,296.179	19,300.11	14,000.00	—
LORD ABBETT DEVELOPING GROWTH FUND CL B Quote Symbol: LADBX	Aggressive	19.14	464.191	8,884.62	7,000.00	—
Total mutual funds				**$58,107.81**	$46,056.94	-$10.00
Total estimated asset value				$113,234.54		

5697

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Investment Activity

Total cash and money market funds on Feb 25	$32.28
Additions	
Income	$354.82
Total additions	$354.82
Subtractions	
Withdrawals to purchase securities	-$387.07
Total subtractions	-$387.07
Total cash and money market funds on Mar 31	**$0.03**

Detail of Your Investment Activity

Additions

	Type	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Income	Money market dividends	03/14	CLOSE OUT REDEMPTION DIVIDEND				0.03	Money market
	Type	**Date**						
	Dividends	03/06	INVESTMENT CO OF AMERICA CL A	915.223	0.15		$137.28	Reinvested
		03/10	MARATHON OIL CORP	145.47098	0.33		48.01	Reinvested
		03/15	ASHLAND INC NEW	616.36442	0.275		169.50	Reinvested
		Total income					$354.82	

5698

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	03/06	REINVESTMENT INTO INVESTMENT CO OF AMERICA CL A	4.246	32.33		-$137.28	Income
	03/10	MARATHON OIL CORP REINVESTMENT FEE $0.96	0.67658	69.5409	03/07	-48.01	Income
	03/14	INVESTMENT CO OF AMERICA CL A $25,000 BREAKPT; 5.00% CHRG	0.958	33.69		-32.28	Money market
	03/15	ASHLAND INC NEW REINVESTMENT FEE $3.39	2.58095	64.36	03/10	-169.50	Income
	Total withdrawals to purchase securities					-$387.07	

Pending Trades

Purchases	Trade date	Quantity	Price	Amount	Settlement date
INVESTMENT CO OF AMERICA CL A	03/30/2006	0.001	$34.390	$0.03	04/04/2006

As you requested, copies of your statement have been sent to:

THE SHAPE OF THINGS TO COME
The baby boomers have had a tremendous impact on our culture. Join us on May 9 to learn how businesses plan to serve this powerful group as they enter retirement and how you may be able to put these trends to work in your portfolio. Contact your investment representative for information about this video presentation.

5699

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

ACCOUNT INFORMATION

Please see your account agreement forms for complete conditions governing your account. All transactions are subject to applicable rules of the exchange market and its clearing house where the trade occurred, as well as of the Securities Exchange Commission, the Federal Reserve Board and any applicable self-regulatory organizations. If you have a complaint, please notify us at Edward Jones, Attn: Complaints Department, 1245 JJ Kelley Memorial Dr., St. Louis MO 63131. For all other concerns, please refer to the cover page of this statement for our contact information.

You can only withdraw $2,500 per day from a bank teller using your Edward Jones VISA debit card.

With questions regarding your mortgage or home equity loans, please refer to the separate loan statement issued for a description of fees and charges.

Account Protection - Edward Jones provides account protection for the net equity of your assets (except annuities and insurance) held in custody by Edward Jones for your account. Of that total, the Securities Investor Protection Corporation (SIPC) provides $500,000 of coverage, including $100,000 for claims of cash awaiting reinvestment (SIPC coverage). The remaining net equity is protected by Customer Asset Protection Company (CAPCO). This protection does not guard against market loss.

REGULATORY DISCLOSURES

Securities Investor Protection Corporation (SIPC): Information from SIPC, including the SIPC Brochure can be obtained at www.sipc.org or by calling (202)371-8300.

Financial Statement - The firm's financial statement is available at your branch office, or a copy will be mailed upon written request.

Rights to your Free Credit Balance - We are permitted to use your free credit balances to conduct our business, subject to the limitations of 17C.F.R.240 15c3-3 under the Securities Exchange Act of 1934. You have the right to receive, during normal business operations, free delivery of your credit balances; any securities to which you are entitled and which have been fully paid; upon full payment of any debt to us, any securities purchased on margin.

Errors or Questions About Your Electronic Transfers - Visit our website at www.edwardjones.com/electronictransfer or contact us for details. Refer to the cover page of this statement for our contact information.

Amount of money you can borrow - What you can borrow is not a commitment to loan funds. The amount available is typically 50% of the value of your investments held at Edward Jones. Your loan value could change daily. Your investment representative has access to daily values as well as more information on the "Amount of money you can borrow" should you wish to activate this feature. The minimum portfolio value required to be eligible for this feature is $4,000. Single, joint and business accounts are eligible.

Loan/Margin Accounts - If you have a loan or margin account, this statement covers: your general brokerage account; a special misc. account maintained for you under section 4(F)(6) of Regulation T issued by the Board of Governors of The Federal Reserve System; a separate account for securities you have sold short. The permanent record of this account is available upon request. If you have a credit balance in your loan account, the surplus amount is included with the amount shown for "cash and money market" balance.

Tax Withholding on Retirement Accounts - Federal income taxes of 10% on IRA or 20% on qualified plan distributions will be withheld unless a higher amount is elected or an IRS exception applies. State income taxes are withheld depending upon your state of residence upon distribution. Your withholding election will remain in effect until federal or state rule changes. Insufficient withholding may subject you to estimated income-tax payments to avoid penalties.

Required Minimum Distributions (RMDs) - RMDs from other IRAs must be calculated separately, but the total amount may be removed from one or more of your IRAs. RMDs from qualified plans must be calculated and removed separately from each plan and cannot be part of your IRA RMD. If you turned age 70-1/2 last year, and deferred your first RMD until the current year or you have unpriced securities in this account, the RMD Summary Section is understated. Verification by a tax professional is recommended.

Transaction/Settlement Dates - Securities transactions are noted on the settlement date shown on the transaction confirmation, excepting transactions involving Edward Jones Tax-free Money Market Fund or Edward Jones Money Market Investment Shares or Retirement Shares which are listed on the trade date. Additional sections report pending trades and open orders.

Contingent Deferred Sales Charge - Shares sold on certain mutual fund classes may be subject to a contingent deferred sales charge.

Portfolio Objective - Inform us promptly of any material change in your portfolio objective or financial situation.

Debt Securities Transactions - For debt securities transactions, call features may exist which could affect yield; complete information will be provided upon request.

Information for Investors - Edward Jones is a member of the New York Stock Exchange and the Chicago Stock Exchange. The firm also transacts business with a variety of dealers in securities, including listed and over-the-counter stocks and bonds, government and agency issues and municipal securities. For equity securities, the firm monitors performance of competing market centers and dealers and routes orders to those that consistently guarantee execution at the national best bid or offer or better. Routed market orders generally are directed to market centers or dealers that offer opportunity for better prices through either automated or manual systems. For agency transactions, the name of the other broker or party to the transaction will be furnished upon written request. For agency and principal transactions, the date and time of execution will be furnished upon written request. Periodically, the firm may receive other remuneration on agency trades from other sources; information will be furnished upon written request.

TERMINOLOGY

Total Estimated Value - The approximate value of the assets held at Edward Jones and outside companies at the statement date shown or date specified in the detail of Your Assets. It is estimated as the prices used to value your securities are provided by an outside service and do not always represent exact market prices. Edward Jones can't guarantee the accuracy of such values; if you need the exact price, contact your investment representative. Values for many fixed-income charges are estimates based on coupon rate and credit rating and may not represent actual transaction price.

Values don't include accrued interest or dividends and for some investments don't reflect applicable charges and fees.

Your Assets Held Outside Edward Jones - Balances are provided for your information only to give an overall view of your investments with Edward Jones. SIPC coverage isn't extended to assets held outside the custody of Edward Jones. Refer to the statement received directly from these companies for details.

Tax Information for Income Distributions - Your year-end tax documents (eg. Form 1099) will provide specific classifications of your income distributions. The 2003 tax law allows Qualified (Q) dividends to be taxed at reduced rates: 15%, or 5% for individuals whose tax rates are 15% or less. Nonqualified (N) dividends are taxed at ordinary rates. Some, but not all, of Partially Qualified (P) dividends are taxed at reduced rates.

Cost Basis - Cost basis is the amount paid for a security, including commissions, reinvestments and original issue discount (OID) and adjustments for sales, principal returns, splits and spin offs. Some data may be unsubstantiated and should not be relied upon for tax preparation.

Amount Invested/Withdrawn - Amount invested reflects all purchases and other additions to your holdings, with the exception of dividend reinvestments. Amount withdrawn shows how much of your investment has been sold, redeemed or transferred. These figures should not be used for tax reporting or tax preparation.

Ratings and Recommendations - Edward Jones research opinions, Standard & Poor's and Moody's ratings may be shown for certain securities. Ratings or recommendations should not be considered an indication of future performance.

Account Activity - Entries appearing in Account Activity sections under columns headed "Where Invested" or "Sources of Funds" are additions to or subtractions from your account. For clients who maintain a money market account, entries indicating "Tax-Free Money Market" mean Edward Jones Tax-Free Money Market Fund and entries indicating "Money Market" mean Edward Jones Money Market Investment Shares or Retirement Shares depending on the share class owned. Activity dates appearing under "Sources of Funds" correspond to the sale date of the designated Edward Jones Money Market Fund. Entries appearing under "Where Invested" will be transacted as a purchase of the previously designated Edward Jones Money Market Fund on the second business day after the date shown, with the following exceptions which will be transacted on the date indicated:

Source of Funds	Number of Days After Activity Date Shown Until Purchase of Money Market Fund
Wired Funds, SWP$ Electronic Bank Transfer, Direct Deposit	1
Security Sold or Interest/Dividend Received*	0
Bond Maturities, Calls, Tendered Items *	1
Transfers from Margin Account or Money Market Fund in another Edward Jones Account	0

* For assets held within Edward Jones account

Account number:
Statement type:
April 1 - April 28, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

EDWARD D JONES & CO CUSTODIAN
FBO HAROLD D ROGERS IRA IRA

Value Summary (Held at Edward Jones)

Value on Apr 28	$111,673.67
Value on Apr 1	$113,234.54
Value one year ago	$90,112.27

Summary of Your Assets

Held at Edward Jones	Value on Apr 28	Value on Apr 1	Change in value
Cash & money market	—	$0.03	-$0.03
Stocks	52,337.25	55,126.70	-2,789.45
Mutual funds	59,336.42	58,107.81	1,228.61
Total at Edward Jones	$111,673.67	$113,234.54	-$1,560.87

Your Retirement Account Summary

	This period	Cumulative
2006 Contributions	$0.00	$0.00
2005 Contributions	$0.00	$0.00

THE EARLY BIRD GETS THE WORM.
Contribute to your 2006 IRA now. Don't wait until the deadline of April 16, 2007. You'll give your money more time for potential growth and compounding. To learn why it makes sense to fully fund as early as possible each year, contact your investment representative.

Summary of Your Income

Income from securities	This Period	Year-to-date
Money market dividends	—	$0.11
Dividends	—	371.50
Total	—	$371.61

5701

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Your Assets at Edward Jones

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ASHLAND INC NEW	Growth	65.820	618.94537	$40,738.98	$19,016.40	—
Symbol: ASH	None					
MARATHON OIL CORP	Growth & Income	79.360	148.14756	11,598.27	4,003.09	—
Symbol: MRO	None					
Total stocks				**$52,337.25**	**$23,019.49**	—

Mutual funds	Our asset category	Current price	Current shares	Current value	Amount invested	Amount withdrawn
INVESTMENT COMPANY OF AMERICA CL A	Growth & Income	33.25	920.428	$30,604.23	$25,056.97	-$10.00
Quote Symbol: AIVSX						
LORD ABBETT AFFILIATED FUND CL B	Growth & Income	15.23	1,296.179	19,740.81	14,000.00	—
Quote Symbol: LAFBX						
LORD ABBETT DEVELOPING GROWTH FUND CL B	Aggressive	19.37	464.191	8,991.38	7,000.00	—
Quote Symbol: LADBX						
Total mutual funds				**$59,336.42**	**$46,056.97**	-$10.00
Total estimated asset value				**$111,673.67**		

5702

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Investment Activity

Total cash and money market funds on Apr 01	$0.03
Subtractions	
Withdrawals to purchase securities	-$0.03
Total subtractions	-$0.03
Total cash and money market funds on Apr 28	$0.00

Detail of Your Investment Activity

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	04/04	INVESTMENT CO OF AMERICA CL A	0.001	34.39		-$0.03	Money market
	Total withdrawals to purchase securities					-$0.03	

As you requested, copies of your statement have been sent to:

MAKING SENSE OF INTERNATIONAL INVESTING
How well-traveled is your portfolio? Join us on June 6, 2006, for a free video program. Our financial experts will help you make sense of investing internationally* and provide a midyear update. Call your investment representative for program details.
* Special risks are inherent to international investing including those related to currency fluctuations and foreign political and economic events.

5703

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

ACCOUNT INFORMATION

Please see your account agreement forms for complete conditions governing your account. All transactions are subject to applicable rules of the exchange market and its clearing house where the trade occurred, as well as of the Securities Exchange Commission, the Federal Reserve Board and any applicable self-regulatory organizations. If you have a complaint, please notify us at Edward Jones, Attn: Complaints Department, 1245 JJ Kelley Memorial Dr., St. Louis MO 63131. For all other concerns, please refer to the cover page of this statement for our contact information.

You can only withdraw $2,500 per day from a bank teller using your Edward Jones VISA debit card.

With questions regarding your mortgage or home equity loans, please refer to the separate loan statement issued for a description of fees and charges.

Account Protection - Edward Jones provides account protection for the net equity of your assets (except annuities and insurance) held in custody by Edward Jones for your account. Of that total, the Securities Investor Protection Corporation (SIPC) provides $500,000 of coverage, including $100,000 for claims of cash awaiting reinvestment (SIPC coverage). The remaining net equity is protected by Customer Asset Protection Company (CAPCO). This protection does not guard against market loss.

REGULATORY DISCLOSURES

Securities Investor Protection Corporation (SIPC): Information from SIPC, including the SIPC Brochure can be obtained at www.sipc.org or by calling (202)371-8300.

Financial Statement - The firm's financial statement is available at your branch office, or a copy will be mailed upon written request.

Rights to your Free Credit Balance - We are permitted to use your free credit balances to conduct our business, subject to the limitations of 17C.F.R.240 15c3-3 under the Securities Exchange Act of 1934. You have the right to receive, during normal business operations, free delivery of your credit balances; any securities to which you are entitled and which have been fully paid; upon full payment of any debt to us, any securities purchased on margin.

Errors or Questions About Your Electronic Transfers - Visit our website at www.edwardjones.com/electronictransfer or contact us for details. Refer to the cover page of this statement for our contact information.

Amount of money you can borrow - What you can borrow is not a commitment to loan funds. The amount available is typically 50% of the value of your investments held at Edward Jones. Your loan value could change daily. Your investment representative has access to daily values as well as more information on the "Amount of money you can borrow" should you wish to activate this feature. The minimum portfolio value required to be eligible for this feature is $4,000. Single, joint and business accounts are eligible.

Loan/Margin Accounts - If you have a loan or margin account, this statement covers: your general brokerage account; a special misc. account maintained for you under section 4(F)(6) of Regulation T issued by the Board of Governors of The Federal Reserve System; a separate account for securities you have sold short. The permanent record of this account is available upon request. If you have a credit balance in your loan account, the surplus amount is included with the amount shown for "cash and money market" balance.

Tax Withholding on Retirement Accounts - Federal income taxes of 10% on IRA or 20% on qualified plan distributions will be withheld unless a higher amount is elected or an IRS exception applies. State income taxes are withheld depending upon your state of residence upon distribution. Your withholding election will remain in effect until federal or state rule changes. Insufficient withholding may subject you to estimated income-tax payments to avoid penalties.

Required Minimum Distributions (RMDs) - RMDs from other IRAs must be calculated separately, but the total amount may be removed from one or more of your IRAs. RMDs from qualified plans must be calculated and removed separately from each plan and cannot be part of your IRA RMD. If you turned age 70-1/2 last year, and deferred your first RMD until the current year or you have unpriced securities in this account, the RMD Summary Section is understated. Verification by a tax professional is recommended.

Transaction/Settlement Dates - Securities transactions are noted on the settlement date shown on the transaction confirmation, excepting transactions involving Edward Jones Tax-free Money Market Fund or Edward Jones Money Market Investment Shares or Retirement Shares which are listed on the trade date. Additional sections report pending trades and open orders.

Contingent Deferred Sales Charge - Shares sold on certain mutual fund classes may be subject to a contingent deferred sales charge.

Portfolio Objective - Inform us promptly of any material change in your portfolio objective or financial situation.

Debt Securities Transactions - For debt securities transactions, call features may exist which could affect yield; complete information will be provided upon request.

Information for Investors - Edward Jones is a member of the New York Stock Exchange and the Chicago Stock Exchange. The firm also transacts business with a variety of dealers in securities, including listed and over-the-counter stocks and bonds, government and agency issues and municipal securities. For equity securities, the firm monitors performance of competing market centers and dealers and routes orders to those that consistently guarantee execution at the national best bid or offer or better. Routed market orders generally are directed to market centers or dealers that offer opportunity for better prices through either automated or manual systems. For agency transactions, the name of the other broker or party to the transaction will be furnished upon written request. For agency and principal transactions, the date and time of execution will be furnished upon written request. Periodically, the firm may receive other remuneration on agency trades from other sources; information will be furnished upon written request.

TERMINOLOGY

Total Estimated Value - The approximate value of the assets held at Edward Jones and outside companies at the statement date shown or date specified in the detail of Your Assets. It is estimated as the prices used to value your securities are provided by an outside service and do not always represent exact market prices. Edward Jones can't guarantee the accuracy of such values; if you need the exact price, contact your investment representative. Values for many fixed-income charges are estimates based on coupon rate and credit rating and may not represent actual transaction price. Values don't include accrued interest or dividends and for some investments don't reflect applicable charges and fees.

Your Assets Held Outside Edward Jones - Balances are provided for your information only to give an overall view of your investments with Edward Jones. SIPC coverage isn't extended to assets held outside the custody of Edward Jones. Refer to the statement received directly from these companies for details.

Tax Information for Income Distributions - Your year-end tax documents (eg. Form 1099) will provide specific classifications of your income distributions. The 2003 tax law allows Qualified (Q) dividends to be taxed at reduced rates: 15%, or 5% for individuals whose tax rates are 15% or less. Nonqualified (N) dividends are taxed at ordinary rates. Some, but not all, of Partially Qualified (P) dividends are taxed at reduced rates.

Cost Basis - Cost basis is the amount paid for a security, including commissions, reinvestments and original issue discount (OID) and adjustments for sales, principal returns, splits and spin offs. Some data may be unsubstantiated and should not be relied upon for tax preparation.

Amount Invested/Withdrawn - Amount invested reflects all purchases and other additions to your holdings, with the exception of dividend reinvestments. Amount withdrawn shows how much of your investment has been sold, redeemed or transferred. These figures should not be used for tax reporting or tax preparation.

Ratings and Recommendations - Edward Jones research opinions, Standard & Poor's and Moody's ratings may be shown for certain securities. Ratings or recommendations should not be considered an indication of future performance.

Account Activity - Entries appearing in Account Activity sections under columns headed "Where Invested" or "Sources of Funds" are additions to or subtractions from your account. For clients who maintain a money market account, entries indicating "Tax-Free Money Market" mean Edward Jones Tax-Free Money Market Fund and entries indicating "Money Market" mean Edward Jones Money Market Investment Shares or Retirement Shares depending on the share class owned. Activity dates appearing under "Sources of Funds" correspond to the sale date of the designated Edward Jones Money Market Fund. Entries appearing under "Where Invested" will be transacted as a purchase of the previously designated Edward Jones Money Market Fund on the second business day after the date shown, with the following exceptions which will be transacted on the date indicated:

Source of Funds	Number of Days After Activity Date Shown Until Purchase of Money Market Fund
Mixed Funds, SWPS Electronic Bank Transfer, Direct Deposit	1
Security Sold or Interest/Dividend Received*	0
Bond Maturities, Calls, Tendered Items *	1
Transfers from Margin Account or Money Market Fund in another Edward Jones Account	0

*For assets held within Edward Jones account

Account number:
Statement type
April 29 - May 26, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

EDWARD D JONES & CO CUSTODIAN
FBO HAROLD D ROGERS IRA IRA

Value Summary (Held at Edward Jones)

Value on May 26	$107,816.96
Value on Apr 29	$111,673.67
Value one year ago	$92,411.16

Summary of Your Assets

Held at Edward Jones	Value on May 26	Value on Apr 29	Change in value
Stocks	$49,704.64	$52,337.25	-$2,632.61
Mutual funds	58,112.32	59,336.42	-1,224.10
Total at Edward Jones	$107,816.96	$111,673.67	-$3,856.71

Your Retirement Account Summary

	This period	Cumulative
2006 Contributions	$0.00	$0.00
2005 Contributions	$0.00	$0.00

DOES YOUR 401(k) MAKE SENSE?
Is your 401(k) in sync with your current situation, long-term goals, investing time horizon and risk tolerance? Ask your investment representative for the June issue of "Investment Perspective," or view it at www.edwardjones.com to learn about 401(k) investing mistakes. Then schedule a portfolio review.

Summary of Your Income

	This Period	Year-to-date
Income from securities		
Money market dividends	—	$0.11
Dividends	32.79	404.29
Total	$32.79	$404.40

5705

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Your Assets at Edward Jones

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ASHLAND INC NEW Symbol: ASH	Growth None	62.360	618.94537	$38,597.43	$19,016.40	—
MARATHON OIL CORP Symbol: MRO	Growth & Income None	76.000	146.14756	11,107.21	4,003.09	—
Total stocks				**$49,704.64**	$23,019.49	—

Mutual funds	Our asset category	Current price	Current shares	Current value	Amount invested	Amount withdrawn
INVESTMENT COMPANY OF AMERICA CL A Quote Symbol: AIVSX	Growth & Income	32.82	920.428	$30,208.45	$25,056.97	-$10.00
LORD ABBETT AFFILIATED FUND CL B Quote Symbol: LAFBX	Growth & Income	14.97	1,298.399	19,437.03	14,000.00	—
LORD ABBETT DEVELOPING GROWTH FUND CL B Quote Symbol: LADBX	Aggressive	18.24	464.191	8,466.84	7,000.00	—
Total mutual funds				**$58,112.32**	$48,056.97	-$10.00
Total estimated asset value				**$107,816.96**		

5706

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Investment Activity

Total cash and money market funds on Apr 29	$0.00
Additions	
Income	$32.79
Total additions	$32.79
Subtractions	
Withdrawals to purchase securities	-$32.79
Total subtractions	-$32.79
Total cash and money market funds on May 26	**$0.00**

Detail of Your Investment Activity

Additions

	Type	Date		Quantity	Amount per share	Rate	Amount	Where invested
Income	Dividends	05/19	LORD ABBETT AFFILIATED FD CL B	1296.179	0.025		$32.79	Reinvested
		Total income					$32.79	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	05/19	REINVESTMENT INTO LORD ABBETT AFFILIATED FD CL B	2.22	14.77		-$32.79	Income
	Total withdrawals to purchase securities					-$32.79	

5707

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

As you requested, copies of your statement have been sent to:

SOME JUST DREAM OF INCREDIBLE TRAVEL EXPERIENCES. YOU CAN LEARN HOW TO MAKE THEM HAPPEN.
Join us on July 11, 2006, to learn the travel-planning secrets of Peter Greenberg, "Today" show travel editor and chief correspondent for the Travel Channel. Contact your investment representative for information about this free video presentation.

5708

Account number:
Statement type
May 27 - June 30, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

EDWARD D JONES & CO CUSTODIAN
FBO HAROLD D ROGERS IRA IRA

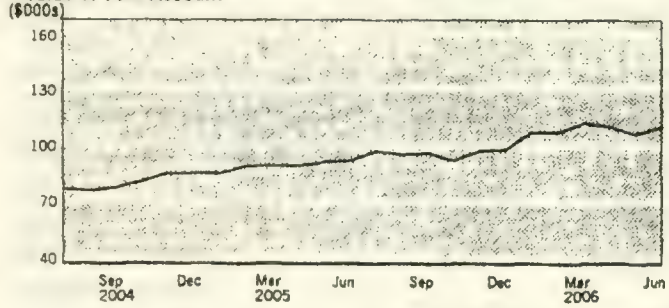
Value Summary (Held at Edward Jones)

Value on Jun 30	$111,556.86
Value on May 27	$107,816.96
Value one year ago	$93,906.50

Summary of Your Assets

Held at Edward Jones	Value on Jun 30	Value on May 27	Change in value
Stocks	$53,700.39	$49,704.64	$3,995.75
Mutual funds	57,856.47	58,112.32	-255.85
Total at Edward Jones	$111,556.86	$107,816.96	$3,739.90

Value of Your Account



This chart shows how the value of your account has changed recently (includes only assets held at Edward Jones). While it's important to be aware of short-term results, don't lose sight of the long term. Edward Jones believes a long-term investment strategy offers the greatest potential for success.

5709

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Your Retirement Account Summary

	This period	Cumulative
2006 Contributions	$0.00	$0.00
2005 Contributions	$0.00	$0.00

How long will your retirement savings last?
Your investment representative can help you determine if you're saving enough, based on hypothetical rates of return and inflation. Then you can create a strategy designed to help you achieve the retirement lifestyle you want. Schedule an appointment today.

Summary of Your Income

	This Period	Year-to-date
Income from securities		
Money market dividends	—	$0.11
Dividends	366.73	771.02
Total	$366.73	$771.13

Your Estimated Interest and Dividends

The following is an estimated summary of your interest and dividend income for the next 12 months. It is based on a variety of factors. These factors, including distribution rates and amount of principal invested, are subject to change. Because they are estimates, the specific amounts listed here are not guaranteed by Edward Jones or by the underlying issuer(s) of the securities. Income from items with * is being reinvested.

		2006						2007						
Stocks	**Quantity**	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	Total
ASHLAND INC NEW*	621			170			170			170			170	680
MARATHON OIL CORP*	146			58			58			58			58	232
Mutual Funds														
INVESTMENT CO OF AMERICA CL A*	924			138			138			138			138	552
LORD ABBETT AFFILIATED FD CL B*	1,298		32			32			32			32		128
Total			**32**	**366**		**32**	**366**		**32**	**366**		**32**	**366**	**1,592**

5710

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Your Assets at Edward Jones

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ASHLAND INC NEW	Growth	66.700	621.64544	$41,463.75	$19,016.40	—
Symbol: ASH	None					
MARATHON OIL CORP	Growth & Income	83.300	146.89839	12,236.64	4,003.09	—
Symbol: MRO	None					
Total stocks				**$53,700.39**	$23,019.49	—

Mutual funds	Our asset category	Current price	Current shares	Current value	Amount invested	Amount withdrawn
INVESTMENT COMPANY OF AMERICA CL A	Growth & Income	32.85	924.621	$30,373.80	$25,056.97	-$10.00
Quote Symbol: AIVSX						
LORD ABBETT AFFILIATED FUND CL B	Growth & Income	14.76	1,298.399	19,164.37	14,000.00	—
Quote Symbol: LAFBX						
LORD ABBETT DEVELOPING GROWTH FUND CL B	Aggressive	17.92	464.191	8,318.30	7,000.00	—
Quote Symbol: LADBX						
Total mutual funds				$57,856.47	$46,056.97	-$10.00
Total estimated asset value				$111,556.86		

5711

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Investment Activity

Total cash and money market funds on May 27	$0.00
Additions	
Income	$366.73
Total additions	$366.73
Subtractions	
Withdrawals to purchase securities	-$366.73
Total subtractions	-$366.73
Total cash and money market funds on Jun 30	$0.00

Detail of Your Investment Activity

Additions

	Type	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Income	Dividends	06/05	INVESTMENT CO OF AMERICA CL A	920.428	0.15		$138.06	Reinvested
		06/12	MARATHON OIL CORP	146.14756	0.40		58.46	Reinvested
		06/15	ASHLAND INC NEW	618.94537	0.275		170.21	Reinvested
		Total income					$366.73	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	06/05	REINVESTMENT INTO INVESTMENT CO OF AMERICA CL A	4.193	32.93		-$138.06	Income

5712

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Subtractions, continued

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	06/12	MARATHON OIL CORP REINVESTMENT FEE $1.17	0.75083	76.302	06/07	-$58.46	Income
	06/15	ASHLAND INC NEW REINVESTMENT FEE $3.40	2.70007	61.7799	06/12	-170.21	Income
	Total withdrawals to purchase securities					-$366.73	

As you requested, copies of your statement have been sent to:

Fit Your Home to Your Lifestyle
Whether it's a "dream" room, making space for guests, or improving safety, many retirees are remodeling their homes. Join us on August 8, 2006, for a look at popular renovations, financial strategies and other ideas on making your home fit your lifestyle. Call your investment representative for details regarding this free video program in your area.

5713

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

ACCOUNT INFORMATION

Please see your account agreement forms for complete conditions governing your account. All transactions are subject to applicable rules of the exchange market and its clearing house where the trade occurred, as well as of the Securities Exchange Commission, the Federal Reserve Board and any applicable self-regulatory organizations. If you have a complaint, please notify us at Edward Jones, Attn: Complaints Department, 1245 JJ Kelley Memorial Dr., St. Louis MO 63131. For all other concerns, please refer to the cover page of this statement for our contact information.

You can only withdraw $2,500 per day from a bank teller using your Edward Jones VISA debit card.

With questions regarding your mortgage or home equity loans, please refer to the separate loan statement issued for a description of fees and charges.

Account Protection - Edward Jones provides account protection for the net equity of your assets (except annuities and insurance) held in custody by Edward Jones for your account. Of that total, the Securities Investor Protection Corporation (SIPC) provides $500,000 of coverage, including $100,000 for claims of cash awaiting reinvestment (SIPC coverage). The remaining net equity is protected by Customer Asset Protection Company (CAPCO). This protection does not guard against market loss.

REGULATORY DISCLOSURES

Securities Investor Protection Corporation (SIPC)-Information from SIPC, including the SIPC Brochure can be obtained at www.sipc.org or by calling (202)371-8300.

Financial Statement - The firm's financial statement is available at your branch office, or a copy will be mailed upon written request.

Rights to your Free Credit Balance - We are permitted to use your free credit balances to conduct our business, subject to the limitations of 17C.F.R.240 15c3-3 under the Securities Exchange Act of 1934. You have the right to receive, during normal business operations, free delivery of your credit balances; any securities to which you are entitled and which have been fully paid; upon full payment of any debt to us, any securities purchased on margin.

Errors or Questions About Your Electronic Transfers - Visit our website at www.edwardjones.com/electronictransfer or contact us for details. Refer to the cover page of this statement for our contact information.

Amount of money you can borrow - What you can borrow is not a commitment to loan funds. The amount available is typically 50% of the value of your investments held at Edward Jones. Your loan value could change daily. Your investment representative has access to daily values as well as more information on the "Amount of money you can borrow" should you wish to activate this feature. The minimum portfolio value required to be eligible for this feature is $4,000. Single, joint and business accounts are eligible.

Loan/Margin Accounts - If you have a loan or margin account, this statement covers: your general brokerage account; a special misc. account maintained for you under section 4(F)(6) of Regulation T issued by the Board of Governors of The Federal Reserve System; a separate account for securities you have sold short. The permanent record of this account is available upon request. If you have a credit balance in your loan account, the surplus amount is included with the amount shown for "cash and money market" balance.

Tax Withholding on Retirement Accounts - Federal income taxes of 10% on IRA or 20% on qualified plan distributions will be withheld unless a higher amount is elected or an IRS exception applies. State income taxes are withheld depending upon your state of residence upon distribution. Your withholding election will remain in effect until federal or state rule changes. Insufficient withholding may subject you to estimated income-tax payments to avoid penalties.

Required Minimum Distributions (RMDs) - RMDs from other IRAs must be calculated separately, but the total amount may be removed from one or more of your IRAs. RMDs from qualified plans must be calculated and removed separately from each plan and cannot be part of your IRA RMD. If you turned age 70-1/2 last year, and deferred your first RMD until the current year or you have unpriced securities in this account, the RMD Summary Section is understated. Verification by a tax professional is recommended.

Transaction/Settlement Dates - Securities transactions are noted on the settlement date shown on the transaction confirmation, excepting transactions involving Edward Jones Tax-free Money Market Fund or Edward Jones Money Market Investment Shares or Retirement Shares which are listed on the trade date. Additional sections report pending trades and open orders.

Contingent Deferred Sales Charge - Shares sold on certain mutual fund classes may be subject to a contingent deferred sales charge.

Portfolio Objective - Inform us promptly of any material change in your portfolio objective or financial situation.

Debt Securities Transactions - For debt securities transactions, call features may exist which could affect yield; complete information will be provided upon request.

Information for Investors - Edward Jones is a member of the New York Stock Exchange and the Chicago Stock Exchange. The firm also transacts business with a variety of dealers in securities, including listed and over-the-counter stocks and bonds, government and agency issues and municipal securities. For equity securities, the firm monitors performance of competing market centers and dealers and routes orders to those that consistently guarantee execution at the national best bid or offer or better. Routed market orders generally are directed to market centers or dealers that offer opportunity for better prices through either automated or manual systems. For agency transactions, the name of the other broker or party to the transaction will be furnished upon written request. For agency and principal transactions, the date and time of execution will be furnished upon written request. Periodically, the firm may receive other remuneration on agency trades from other sources; information will be furnished upon written request.

TERMINOLOGY

Total Estimated Value - The approximate value of the assets held at Edward Jones and outside companies at the statement date shown or date specified in the detail of Your Assets. It is estimated as the prices used to value your securities are provided by an outside service and do not always represent exact market prices. Edward Jones can't guarantee the accuracy of such values; if you need the exact price, contact your investment representative. Values for many fixed-income charges are estimates based on coupon rate and credit rating and may not represent actual transaction rates.

Values don't include accrued interest or dividends and for some investments don't reflect applicable charges and fees.

Your Assets Held Outside Edward Jones - Balances are provided for your information only to give an overall view of your investments with Edward Jones. SIPC coverage isn't extended to assets held outside the custody of Edward Jones. Refer to the statement received directly from these companies for details.

Tax Information for Income Distributions - Your year-end tax documents (eg. Form 1099) will provide specific classifications of your income distributions. The 2003 tax law allows Qualified (Q) dividends to be taxed at reduced rates: 15%, or 5% for individuals whose tax rates are 15% or less. Nonqualified (N) dividends are taxed at ordinary rates. Some, but not all, of Partially Qualified (P) dividends are taxed at reduced rates.

Cost Basis - Cost basis is the amount paid for a security, including commissions, reinvestments and original issue discount (OID) and adjustments for sales, principal returns, splits and spin offs. Some data may be unsubstantiated and should not be relied upon for tax preparation.

Amount Invested/Withdrawn - Amount invested reflects all purchases and other additions to your holdings, with the exception of dividend reinvestments. Amount withdrawn shows how much of your investment has been sold, redeemed or transferred. These figures should not be used for tax reporting or tax preparation.

Ratings and Recommendations - Edward Jones research opinions, Standard & Poor's and Moody's ratings may be shown for certain securities. Ratings or recommendations should not be considered an indication of future performance.

Account Activity - Entries appearing in Account Activity sections under columns headed "Where Invested" or "Sources of Funds" are additions to or subtractions from your account. For clients who maintain a money market account, entries indicating "Tax-Free Money Market" mean Edward Jones Tax-Free Money Market Fund and entries indicating "Money Market" mean Edward Jones Money Market Investment Shares or Retirement Shares depending on the share class owned. Activity dates appearing under "Sources of Funds" correspond to the sale date of the designated Edward Jones Money Market Fund. Entries appearing under "Where Invested" will be transacted as a purchase of the previously designated Edward Jones Money Market Fund on the second business day after the date shown, with the following exceptions which will be transacted on the date indicated:

Source of Funds	Number of Days After Activity Date Shown Until Purchase of Money Market Fund
Wired Funds, SWPS Electronic Bank Transfer, Direct Deposit	1
Security Sold or Interest/Dividend Received*	0
Bond Maturities, Calls, Tendered Items *	1
Transfers from Margin Account or Money Market Fund in another Edward Jones Account	0

*For assets held within Edward Jones account

Account number:
Statement type
July 1 - July 28, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

EDWARD D JONES & CO CUSTODIAN
FBO HAROLD D ROGERS IRA IRA

Copy To:

Value Summary (Held at Edward Jones)

Value on Jul 28	$112,370.24
Value on Jul 1	$111,556.86
Value one year ago	$98,883.59

Summary of Your Assets

Held at Edward Jones	Value on Jul 28	Value on Jul 1	Change in value
Stocks	$54,284.51	$53,700.39	$584.12
Mutual funds	58,085.73	57,856.47	229.26
Total at Edward Jones	$112,370.24	$111,556.86	$813.38

Your Retirement Account Summary

	This period	Cumulative
2006 Contributions	$0.00	$0.00
2005 Contributions	$0.00	$0.00

Annual reviews of your employer-sponsored retirement plan make sense. Your employer-sponsored retirement plan is an important part of your overall financial picture. Make sure it's in sync with your other investment and retirement accounts. Call your investment representative for a comprehensive portfolio review, including your employer-sponsored retirement plan.

Summary of Your Income

	This Period	Year-to-date
Income from securities		
Money market dividends	—	$0.11
Dividends	—	771.02
Total	—	$771.13

5715

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Your Assets at Edward Jones

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ASHLAND INC NEW	Growth	66.210	621.64544	$41,159.14	$19,016.40	—
Symbol: ASH	None					
MARATHON OIL CORP	Growth & Income	89.350	146.89839	13,125.37	4,003.09	—
Symbol: MRO	None					
Total stocks				$54,284.51	$23,019.49	—

Mutual funds	Our asset category	Current price	Current shares	Current value	Amount invested	Amount withdrawn
INVESTMENT COMPANY OF AMERICA CL A	Growth & Income	33.23	924.821	$30,725.16	$25,056.97	-$10.00
Quote Symbol: AIVSX						
LORD ABBETT AFFILIATED FUND CL B	Growth & Income	15.12	1,298.399	19,631.79	14,000.00	—
Quote Symbol: LAFBX						
LORD ABBETT DEVELOPING GROWTH FUND CL B	Aggressive	16.65	464.191	7,728.78	7,000.00	—
Quote Symbol: LADBX						
Total mutual funds				$58,085.73	$46,056.97	-$10.00
Total estimated asset value				**$112,370.24**		

5716

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Investment Activity

Total cash and money market funds on Jul 01	$0.00
Additions	
Deposits and transfers in	$30.00
Total additions	$30.00
Subtractions	
Fees	-$30.00
Total subtractions	-$30.00
Total cash and money market funds on Jul 28	**$0.00**

Detail of Your Investment Activity

Additions

	Date		Amount	Where Invested
Deposits and transfers in	07/25	RECEIVED ANNUAL FEE PERSONAL CK#1805	$30.00	Money market
	Total deposits and transfers in		**$30.00**	

Subtractions

	Date		Amount	Source of Funds
Fees	07/25	ANNUAL FEE CHARGED	-$30.00	Money market
	Total fees		**-$30.00**	

5717

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

ACCOUNT INFORMATION

Please see your account agreement forms for complete conditions governing your account. All transactions are subject to applicable rules of the exchange market and its clearing house where the trade occurred, as well as of the Securities Exchange Commission, the Federal Reserve Board and any applicable self-regulatory organizations. If you have a complaint, please notify us at Edward Jones, Attn: Complaints Department, 1245 JJ Kelley Memorial Dr., St. Louis MO 63131. For all other concerns, please refer to the cover page of this statement for our contact information.

You can only withdraw $2,500 per day from a bank teller using your Edward Jones VISA debit card.

With questions regarding your mortgage or home equity loans, please refer to the separate loan statement issued for a description of fees and charges.

Account Protection - Edward Jones provides account protection for the net equity of your assets (except annuities and insurance) held in custody by Edward Jones for your account. Of that total, the Securities Investor Protection Corporation (SIPC) provides $500,000 of coverage, including $100,000 for claims of cash awaiting reinvestment (SIPC coverage). The remaining net equity is protected by Customer Asset Protection Company (CAPCO). This protection does not guard against market loss.

REGULATORY DISCLOSURES

Securities Investor Protection Corporation (SIPC): Information from SIPC, including the SIPC Brochure can be obtained at www.sipc.org or by calling (202)371-8300.

Financial Statement - The firm's financial statement is available at your branch office, or a copy will be mailed upon written request.

Rights to your Free Credit Balance - We are permitted to use your free credit balances to conduct our business, subject to the limitations of 17C.F.R.240 15c3-3 under the Securities Exchange Act of 1934. You have the right to receive, during normal business operations, free delivery of your credit balances; any securities to which you are entitled and which have been fully paid; upon full payment of any debt to us, any securities purchased on margin.

Errors or Questions About Your Electronic Transfers - Visit our website at www.edwardjones.com/electronictransfer or contact us for details. Refer to the cover page of this statement for our contact information.

Amount of money you can borrow - What you can borrow is not a commitment to loan funds. The amount available is typically 50% of the value of your investments held at Edward Jones. Your loan value could change daily. Your investment representative has access to daily values as well as more information on the "Amount of money you can borrow" should you wish to activate this feature. The minimum portfolio value required to be eligible for this feature is $4,000. Single, joint and business accounts are eligible.

Loan/Margin Accounts - If you have a loan or margin account, this statement covers: your general brokerage account; a special misc. account maintained for you under section 4(F)(6) of Regulation T issued by the Board of Governors of The Federal Reserve System; a separate account for securities you have sold short. The permanent record of this account is available upon request. If you have a credit balance in your loan account, the surplus amount is included with the amount shown for "cash and money market" balance.

Tax Withholding on Retirement Accounts - Federal income taxes of 10% on IRA or 20% on qualified plan distributions will be withheld unless a higher amount is elected or an IRS exception applies. State income taxes are withheld depending upon your state of residence upon distribution. Your withholding election will remain in effect until federal or state rule changes. Insufficient withholding may subject you to estimated income-tax payments to avoid penalties.

Required Minimum Distributions (RMDs) - RMDs from other IRAs must be calculated separately, but the total amount may be removed from one or more of your IRAs. RMDs from qualified plans must be calculated and removed separately from each plan and cannot be part of your IRA RMD. If you turned age 70-1/2 last year, and deferred your first RMD until the current year or you have unpriced securities in this account, the RMD Summary Section is understated. Verification by a tax professional is recommended.

Transaction/Settlement Dates - Securities transactions are noted on the settlement date shown on the transaction confirmation, excepting transactions involving Edward Jones Tax-free Money Market Fund or Edward Jones Money Market Investment Shares or Retirement Shares which are listed on the trade date. Additional sections report pending trades and open orders.

Contingent Deferred Sales Charge - Shares sold on certain mutual fund classes may be subject to a contingent deferred sales charge.

Portfolio Objective - Inform us promptly of any material change in your portfolio objective or financial situation.

Debt Securities Transactions - For debt securities transactions, call features may exist which could affect yield; complete information will be provided upon request.

Information for Investors - Edward Jones is a member of the New York Stock Exchange and the Chicago Stock Exchange. The firm also transacts business with a variety of dealers in securities, including listed and over-the-counter stocks and bonds, government and agency issues and municipal securities. For equity securities, the firm monitors performance of competing market centers and dealers and routes orders to those that consistently guarantee execution at the national best bid or offer or better. Routed market orders generally are directed to market centers or dealers that offer opportunity for better prices through either automated or manual systems. For agency transactions, the name of the other broker or party to the transaction will be furnished upon written request. For agency and principal transactions, the date and time of execution will be furnished upon written request. Periodically, the firm may receive other remuneration on agency trades from other sources; information will be furnished upon written request.

TERMINOLOGY

Total Estimated Value - The approximate value of the assets held at Edward Jones and outside companies at the statement date shown or date specified in the detail of Your Assets. It is estimated as the prices used to value your securities are provided by an outside service and do not always represent exact market prices. Edward Jones can't guarantee the accuracy of such values; if you need the exact price, contact your investment representative. Values for many fixed-income charges are estimates based on coupon rate and credit rating and may not represent actual transaction price. Values don't include accrued interest or dividends and for some investments don't reflect applicable charges and fees.

Your Assets Held Outside Edward Jones - Balances are provided for your information only to give an overall view of your investments with Edward Jones. SIPC coverage isn't extended to assets held outside the custody of Edward Jones. Refer to the statement received directly from these companies for details.

Tax Information for Income Distributions - Your year-end tax documents (eg. Form 1099) will provide specific classifications of your income distributions. The 2003 tax law allows Qualified (Q) dividends to be taxed at reduced rates: 15%, or 5% for individuals whose tax rates are 15% or less. Nonqualified (N) dividends are taxed at ordinary rates. Some, but not all, of Partially Qualified (P) dividends are taxed at reduced rates.

Cost Basis - Cost basis is the amount paid for a security, including commissions, reinvestments and original issue discount (OID) and adjustments for sales, principal returns, splits and spin offs. Some data may be unsubstantiated and should not be relied upon for tax preparation.

Amount Invested/Withdrawn - Amount invested reflects all purchases and other additions to your holdings, with the exception of dividend reinvestments. Amount withdrawn shows how much of your investment has been sold, redeemed or transferred. These figures should not be used for tax reporting or tax preparation.

Ratings and Recommendations - Edward Jones research opinions, Standard & Poor's and Moody's ratings may be shown for certain securities. Ratings or recommendations should not be considered an indication of future performance.

Account Activity - Entries appearing in Account Activity sections under columns headed "Where Invested" or "Sources of Funds" are additions to or subtractions from your account. For clients who maintain a money market account, entries indicating "Tax-Free Money Market" mean Edward Jones Tax-Free Money Market Fund and entries indicating "Money Market" mean Edward Jones Money Market Investment Shares or Retirement Shares depending on the share class owned. Activity dates appearing under "Sources of Funds" correspond to the sale date of the designated Edward Jones Money Market Fund. Entries appearing under "Where Invested" will be transacted as a purchase of the previously designated Edward Jones Money Market Fund on the second business day after the date shown, with the following exceptions which will be transacted on the date indicated:

Source of Funds	Number of Days After Activity Date Shown Until Purchase of Money Market Fund
Wired Funds, SWPS Electronic Bank Transfer, Direct Deposit	1
Security Sold or Interest/Dividend Received*	0
Bond Maturities, Calls, Tendered Items *	1
Transfers from Margin Account or Money Market Fund in another Edward Jones Account	0

*For assets held within Edward Jones account

Account number:
Statement type: ~
July 29 - August 25, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

EDWARD D JONES & CO CUSTODIAN
FBO HAROLD D ROGERS IRA IRA

Copy To:

Value Summary (Held at Edward Jones)

Value on Aug 25	$111,435.67
Value on Jul 29	$112,370.24
Value one year ago	$96,353.00

Summary of Your Assets

Held at Edward Jones	Value on Aug 25	Value on Jul 29	Change in value
Stocks	$52,933.64	$54,284.51	-$1,350.87
Mutual funds	58,502.03	58,085.73	416.30
Total at Edward Jones	$111,435.67	$112,370.24	-$934.57

Your Retirement Account Summary

	This period	Cumulative
2006 Contributions	$0.00	$0.00
2005 Contributions	$0.00	$0.00

If you're subject to required minimum distributions (RMDs), consider a Roth IRA.
Traditional IRA owners must begin taking RMDs once they reach age 70-1/2 or face penalties. Roth IRAs have no required minimum distributions. Let your investment representative help you determine which IRA is right for you.

Summary of Your Income

	This Period	Year-to-date
Income from securities		
Money market dividends	—	$0.11
Dividends	33.24	804.26
Total	$33.24	$804.37

5719

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Your Assets at Edward Jones

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ASHLAND INC NEW	Growth	63.380	621.64544	$39,399.89	$19,016.40	—
Symbol: ASH	None					
MARATHON OIL CORP	Growth & Income	92.130	146.86839	13,533.75	4,003.09	—
Symbol: MRO	None					
Total stocks				$52,933.64	$23,019.49	—

Mutual funds	Our asset category	Current price	Current shares	Current value	Amount invested	Amount withdrawn
INVESTMENT COMPANY OF AMERICA CL A	Growth & Income	33.52	924.621	$30,993.30	$25,056.97	-$10.00
Quote Symbol: AIVSX						
LORD ABBETT AFFILIATED FUND CL B	Growth & Income	15.33	1,300.572	19,937.77	14,000.00	—
Quote Symbol: LAFBX						
LORD ABBETT DEVELOPING GROWTH FUND CL B	Aggressive	16.31	464.191	7,570.96	7,000.00	—
Quote Symbol: LADBX						
Total mutual funds				$58,502.03	$46,056.97	-$10.00
Total estimated asset value				**$111,435.67**		

5720

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Summary of Your Investment Activity

Total cash and money market funds on Jul 29	$0.00
Additions	
Income	$33.24
Total additions	$33.24
Subtractions	
Withdrawals to purchase securities	-$33.24
Total subtractions	-$33.24
Total cash and money market funds on Aug 25	**$0.00**

Detail of Your Investment Activity

Additions

	Type	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Income	Dividends	08/18	LORD ABBETT AFFILIATED FD CL B	1298.399	0.025		$33.24	Reinvested
		Total income					$33.24	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	08/18	REINVESTMENT INTO LORD ABBETT AFFILIATED FD CL B	2.173	15.30		-$33.24	Income
	Total withdrawals to purchase securities					-$33.24	

5721

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

ACCOUNT INFORMATION

Please see your account agreement forms for complete conditions governing your account. All transactions are subject to applicable rules of the exchange market and its clearing house where the trade occurred, as well as of the Securities Exchange Commission, the Federal Reserve Board and any applicable self-regulatory organizations. If you have a complaint, please notify us at Edward Jones, Attn: Complaints Department, 1245 JJ Kelley Memorial Dr., St. Louis MO 63131. For all other concerns, please refer to the cover page of this statement for our contact information.

You can only withdraw $2,500 per day from a bank teller using your Edward Jones VISA debit card.

With questions regarding your mortgage or home equity loans, please refer to the separate loan statement issued for a description of fees and charges.

Account Protection - Edward Jones provides account protection for the net equity of your assets (except annuities and insurance) held in custody by Edward Jones for your account. Of that total, the Securities Investor Protection Corporation (SIPC) provides $500,000 of coverage, including $100,000 for claims of cash awaiting reinvestment (SIPC coverage). The remaining net equity is protected by Customer Asset Protection Company (CAPCO). This protection does not guard against market loss.

REGULATORY DISCLOSURES

Securities Investor Protection Corporation (SIPC): Information from SIPC, including the SIPC Brochure can be obtained at www.sipc.org or by calling (202)371-8300.

Financial Statement - The firm's financial statement is available at your branch office, or a copy will be mailed upon written request.

Rights to your Free Credit Balance - We are permitted to use your free credit balances to conduct our business, subject to the limitations of 17C.F.R.240 15c3-3 under the Securities Exchange Act of 1934. You have the right to receive, during normal business operations, free delivery of your credit balances; any securities to which you are entitled and which have been fully paid; upon full payment of any debt to us, any securities purchased on margin.

Errors or Questions About Your Electronic Transfers - Visit our website at www.edwardjones.com/electronictransfer or contact us for details. Refer to the cover page of this statement for our contact information.

Amount of money you can borrow - What you can borrow is not a commitment to loan funds. The amount available is typically 50% of the value of your investments held at Edward Jones. Your loan value could change daily. Your investment representative has access to daily values as well as more information on the "Amount of money you can borrow" should you wish to activate this feature. The minimum portfolio value required to be eligible for this feature is $4,000. Single, joint and business accounts are eligible.

Loan/Margin Accounts - If you have a loan or margin account, this statement covers: your general brokerage account; a special misc. account maintained for you under section 4(F)(6) of Regulation T issued by the Board of Governors of The Federal Reserve System; a separate account for securities you have sold short. The permanent record of this account is available upon request. If you have a credit balance in your loan account, the surplus amount is included with the amount shown for "cash and money market" balance.

Tax Withholding on Retirement Accounts - Federal income taxes of 10% on IRA or 20% on qualified plan distributions will be withheld unless a higher amount is elected or an IRS exception applies. State income taxes are withheld depending upon your state of residence upon distribution. Your withholding election will remain in effect until federal or state rule changes. Insufficient withholding may subject you to estimated income-tax payments to avoid penalties.

Required Minimum Distributions (RMDs) - RMDs from other IRAs must be calculated separately, but the total amount may be removed from one or more of your IRAs. RMDs from qualified plans must be calculated and removed separately from each plan and cannot be part of your IRA RMD. If you turned age 70-1/2 last year, and deferred your first RMD until the current year or you have unpriced securities in this account, the RMD Summary Section is understated. Verification by a tax professional is recommended.

Transaction/Settlement Dates - Securities transactions are noted on the settlement date shown on the transaction confirmation, excepting transactions involving Edward Jones Tax-free Money Market Fund or Edward Jones Money Market Investment Shares or Retirement Shares which are listed on the trade date. Additional sections report pending trades and open orders.

Contingent Deferred Sales Charge - Shares sold on certain mutual fund classes may be subject to a contingent deferred sales charge.

Portfolio Objective - Inform us promptly of any material change in your portfolio objective or financial situation.

Debt Securities Transactions - For debt securities transactions, call features may exist which could affect yield; complete information will be provided upon request.

Information for Investors - Edward Jones is a member of the New York Stock Exchange and the Chicago Stock Exchange. The firm also transacts business with a variety of dealers in securities, including listed and over-the-counter stocks and bonds, government and agency issues and municipal securities. For equity securities, the firm monitors performance of competing market centers and dealers and routes orders to those that consistently guarantee execution at the national best bid or offer or better. Routed market orders generally are directed to market centers or dealers that offer opportunity for better prices through either automated or manual systems. For agency transactions, the name of the other broker or party to the transaction will be furnished upon written request. For agency and principal transactions, the date and time of execution will be furnished upon written request. Periodically, the firm may receive other remuneration on agency trades from other sources; information will be furnished upon written request.

TERMINOLOGY

Total Estimated Value - The approximate value of the assets held at Edward Jones and outside companies at the statement date shown or date specified in the detail of Your Assets. It is estimated as the prices used to value your securities are provided by an outside service and do not always represent exact market prices. Edward Jones can't guarantee the accuracy of such values; if you need the exact price, contact your investment representative. Values for many fixed-income charges are estimates based on coupon rate and credit rating and may not represent actual transaction price. Values don't include accrued interest or dividends and for some investments don't reflect applicable charges and fees.

Your Assets Held Outside Edward Jones - Balances are provided for your information only to give an overall view of your investments with Edward Jones. SIPC coverage isn't extended to assets held outside the custody of Edward Jones. Refer to the statement received directly from these companies for details.

Tax Information for Income Distributions - Your year-end tax documents (eg. Form 1099) will provide specific classifications of your income distributions. The 2003 tax law allows Qualified (Q) dividends to be taxed at reduced rates: 15%, or 5% for individuals whose tax rates are 15% or less. Nonqualified (N) dividends are taxed at ordinary rates. Some, but not all, of Partially Qualified (P) dividends are taxed at reduced rates.

Cost Basis - Cost basis is the amount paid for a security, including commissions, reinvestments and original issue discount (OID) and adjustments for sales, principal returns, splits and spin offs. Some data may be unsubstantiated and should not be relied upon for tax preparation.

Amount Invested/Withdrawn - Amount invested reflects all purchases and other additions to your holdings, with the exception of dividend reinvestments. Amount withdrawn shows how much of your investment has been sold, redeemed or transferred. These figures should not be used for tax reporting or tax preparation.

Ratings and Recommendations - Edward Jones research opinions, Standard & Poor's and Moody's ratings may be shown for certain securities. Ratings or recommendations should not be considered an indication of future performance.

Account Activity - Entries appearing in Account Activity sections under columns headed "Where Invested" or "Sources of Funds" are additions to or subtractions from your account. For clients who maintain a money market account, entries indicating "Tax-Free Money Market" mean Edward Jones Tax-Free Money Market Fund and entries indicating "Money Market" mean Edward Jones Money Market Investment Shares or Retirement Shares depending on the share class owned. Activity dates appearing under "Sources of Funds" correspond to the sale date of the designated Edward Jones Money Market Fund. Entries appearing under "Where Invested" will be transacted as a purchase of the previously designated Edward Jones Money Market Fund on the second business day after the date shown, with the following exceptions which will be transacted on the date indicated:

Source of Funds	Number of Days After Activity Date Shown Until Purchase of Money Market Fund
Wired Funds, SWPS Electronic Bank Transfer, Direct Deposit	1
Security Sold or Interest/Dividend Received*	0
Bond Maturities, Calls, Tendered Items *	1
Transfers from Margin Account or Money Market Fund in another Edward Jones Account	0

*For assets held within Edward Jones account

Account number:
Statement type:
August 26 - September 29, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

EDWARD D JONES & CO CUSTODIAN
FBO HAROLD D ROGERS IRA IRA

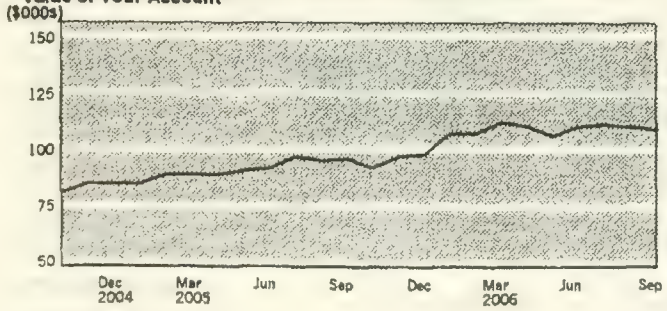
Value Summary (Held at Edward Jones)

Value on Sep 29	$110,803.21
Value on Aug 26	$111,435.67
Value one year ago	$97,308.33

Summary of Your Assets

Held at Edward Jones	Value on Sep 29	Value on Aug 26	Change in value
Stocks	$51,175.06	$52,933.64	-$1,758.58
Mutual funds	59,628.15	58,502.03	1,128.12
Total at Edward Jones	**$110,803.21**	$111,435.67	-$632.46

Value of Your Account



This chart shows how the value of your account has changed recently (includes only assets held at Edward Jones). While it's important to be aware of short-term results, don't lose sight of the long term. Edward Jones believes a long-term investment strategy offers the greatest potential for success.

5723

201 Progress Parkway
Maryland Heights, MO 63043 3042
www.edwardjones.com
Member SIPC

Edward Jones

Your Retirement Account Summary

	This period	Cumulative
2006 Contributions	$0.00	$0.00
2005 Contributions	$0.00	$0.00

2006 Pension Protection Act Offers Opportunity
Increased contribution limits for IRAs and 401(k) plans and catch-up contributions for anyone 50 or older are now permanent. Income limits for traditional IRA deductibility and Roth IRA eligibility are now indexed, to help keep pace with inflation. Ask your investment representative for details.

Summary of Your Income

	This Period	Year-to-date
Income from securities		
Money market dividends	—	$0.11
Dividends	368.40	1,172.66
Total	$368.40	$1,172.77

Your Estimated Interest and Dividends

The following is an estimated summary of your interest and dividend income for the next 12 months. It is based on a variety of factors. These factors, including distribution rates and amount of principal invested, are subject to change. Because they are estimates, the specific amounts listed here are not guaranteed by Edward Jones or by the underlying issuer(s) of the securities. Income from items with * is being reinvested.

		2006			2007									
Stocks	Quantity	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	Tota
ASHLAND INC NEW*	624			171			171			171			171	684
MARATHON OIL CORP*	147			59			59			59			59	236
Mutual Funds														
INVESTMENT CO OF AMERICA CL A*	928			139			139			139			139	556
LORD ABBETT AFFILIATED FD CL B*	1,300		33			33			33			33		132
Total			**33**	**369**		**33**	**369**		**33**	**369**		**33**	**369**	**1,608**

5724

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Your Assets at Edward Jones

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ASHLAND INC NEW	Growth	63.780	624.39951	$39,824.20	$19,016.40	—
Symbol: ASH	None					
MARATHON OIL CORP	Growth & Income	76.900	147.6055	11,350.86	4,003.09	—
Symbol: MRO	None					
Total stocks				**$51,175.06**	$23,019.49	—

Mutual funds	Our asset category	Current price	Current shares	Current value	Amount invested	Amount withdrawn
INVESTMENT COMPANY OF AMERICA CL A	Growth & Income	34.04	928.736	$31,614.17	$25,058.97	-$10.00
Quote Symbol: AIVSX						
LORD ABBETT AFFILIATED FUND CL B	Growth & Income	15.59	1,300.572	20,275.92	14,000.00	—
Quote Symbol: LAFBX						
LORD ABBETT DEVELOPING GROWTH FUND CL B	Aggressive	16.87	464.191	7,738.06	7,000.00	—
Quote Symbol: LADBX						
Total mutual funds				**$59,628.15**	$46,058.97	-$10.00
Total estimated asset value				**$110,803.21**		

5725

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Summary of Your Investment Activity

Total cash and money market funds on Aug 26	$0.00
Additions	
Income	$368.40
Total additions	$368.40
Subtractions	
Withdrawals to purchase securities	-$368.40
Total subtractions	-$368.40
Total cash and money market funds on Sep 29	**$0.00**

Detail of Your Investment Activity

Additions

	Type	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Income	Dividends	09/05	INVESTMENT CO OF AMERICA CL A	924.621	0.15		$138.69	Reinvested
		09/11	MARATHON OIL CORP	146.89839	0.40		58.76	Reinvested
		09/15	ASHLAND INC NEW	621.64544	0.275		170.95	Reinvested
		Total income					**$368.40**	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	09/05	REINVESTMENT INTO INVESTMENT CO OF AMERICA CL A	4.115	33.70		-$138.69	Income

5726

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

Subtractions, continued

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	09/11	MARATHON OIL CORP REINVESTMENT FEE $1.18	0.70711	81.43	09/06	-$58.76	Income
	09/15	ASHLAND INC NEW REINVESTMENT FEE $3.42	2.75407	60.8299	09/12	-170.95	Income
	Total withdrawals to purchase securities					-$368.40	

As you requested, copies of your statement have been sent to:

Learn More about Estate-planning Considerations
Join us Nov. 14 as attorney/estate-planning expert John O'Grady explores strategies for developing an effective estate plan. Contact your investment representative for program details. Edward Jones, its employees and investment representatives are not estate planners and cannot provide tax or legal advice. The presentation is informational only. Please consult with a competent tax specialist or attorney for personal advice.

5727

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones

ACCOUNT INFORMATION

Please see your account agreement forms for complete conditions governing your account. All transactions are subject to applicable rules of the exchange market and its clearing house where the trade occurred, as well as of the Securities Exchange Commission, the Federal Reserve Board and any applicable self-regulatory organizations. If you have a complaint, please notify us at Edward Jones, Attn: Complaints Department, 1245 JJ Kelley Memorial Dr., St. Louis MO 63131. For all other concerns, please refer to the cover page of this statement for our contact information.

You can only withdraw $2,500 per day from a bank teller using your Edward Jones VISA debit card.

With questions regarding your mortgage or home equity loans, please refer to the separate loan statement issued for a description of fees and charges.

Account Protection - Edward Jones provides account protection for the net equity of your assets (except annuities and insurance) held in custody by Edward Jones for your account. Of that total, the Securities Investor Protection Corporation (SIPC) provides $500,000 of coverage, including $100,000 for claims of cash awaiting reinvestment (SIPC coverage). The remaining net equity is protected by Customer Asset Protection Company (CAPCO). This protection does not guard against market loss.

REGULATORY DISCLOSURES

Securities Investor Protection Corporation (SIPC): Information from SIPC, including the SIPC Brochure can be obtained at www.sipc.org or by calling (202)371-8300.

Financial Statement - The firm's financial statement is available at your branch office, or a copy will be mailed upon written request.

Rights to your Free Credit Balance - We are permitted to use your free credit balances to conduct our business, subject to the limitations of 17C.F.R.240 15c3-3 under the Securities Exchange Act of 1934. You have the right to receive, during normal business operations, free delivery of your credit balances; any securities to which you are entitled and which have been fully paid; upon full payment of any debt to us, any securities purchased on margin.

Errors or Questions About Your Electronic Transfers - Visit our website at www.edwardjones.com/electronictransfer or contact us for details. Refer to the cover page of this statement for our contact information.

Amount of money you can borrow - What you can borrow is not a commitment to loan funds. The amount available is typically 50% of the value of your investments held at Edward Jones. Your loan value could change daily. Your investment representative has access to daily values as well as more information on the "Amount of money you can borrow" should you wish to activate this feature. The minimum portfolio value required to be eligible for this feature is $4,000. Single, joint and business accounts are eligible.

Loan/Margin Accounts - If you have a loan or margin account, this statement covers: your general brokerage account; a special misc. account maintained for you under section 4(F)(6) of Regulation T issued by the Board of Governors of The Federal Reserve System; a separate account for securities you have sold short. The permanent record of this account is available upon request. If you have a credit balance in your loan account, the surplus amount is included with the amount shown for "cash and money market" balance.

Tax Withholding on Retirement Accounts - Federal income taxes of 10% on IRA or 20% on qualified plan distributions will be withheld unless a higher amount is elected or an IRS exception applies. State income taxes are withheld depending upon your state of residence upon distribution. Your withholding election will remain in effect until federal or state rule changes. Insufficient withholding may subject you to estimated income-tax payments to avoid penalties.

Required Minimum Distributions (RMDs) - RMDs from other IRAs must be calculated separately, but the total amount may be removed from one or more of your IRAs. RMDs from qualified plans must be calculated and removed separately from each plan and cannot be part of your IRA RMD. If you turned age 70-1/2 last year, and deferred your first RMD until the current year or you have unpriced securities in this account, the RMD Summary Section is understated. Verification by a tax professional is recommended.

Transaction/Settlement Dates - Securities transactions are noted on the settlement date shown on the transaction confirmation, excepting transactions involving Edward Jones Tax-free Money Market Fund or Edward Jones Money Market Investment Shares or Retirement Shares which are listed on the trade date. Additional sections report pending trades and open orders.

Contingent Deferred Sales Charge - Shares sold on certain mutual fund classes may be subject to a contingent deferred sales charge.

Portfolio Objective - Inform us promptly of any material change in your portfolio objective or financial situation.

Debt Securities Transactions - For debt securities transactions, call features may exist which could affect yield; complete information will be provided upon request.

Information for Investors - Edward Jones is a member of the New York Stock Exchange and the Chicago Stock Exchange. The firm also transacts business with a variety of dealers in securities, including listed and over-the-counter stocks and bonds, government and agency issues and municipal securities. For equity securities, the firm monitors performance of competing market centers and dealers and routes orders to those that consistently guarantee execution at the national best bid or offer or better. Routed market orders generally are directed to market centers or dealers that offer opportunity for better prices through either automated or manual systems. For agency transactions, the name of the other broker or party to the transaction will be furnished upon written request. For agency and principal transactions, the date and time of execution will be furnished upon written request. Periodically, the firm may receive other remuneration on agency trades from other sources; information will be furnished upon written request.

TERMINOLOGY

Total Estimated Value - The approximate value of the assets held at Edward Jones and outside companies at the statement date shown or date specified in the detail of Your Assets. It is estimated as the prices used to value your securities are provided by an outside service and do not always represent exact market prices. Edward Jones can't guarantee the accuracy of such values; if you need the exact price, contact your investment representative. Values for many fixed-income charges are estimates based on coupon rate and credit rating and may not represent actual transaction price.

Values don't include accrued interest or dividends and for some investments don't reflect applicable charges and fees.

Your Assets Held Outside Edward Jones - Balances are provided for your information only to give an overall view of your investments with Edward Jones. SIPC coverage isn't extended to assets held outside the custody of Edward Jones. Refer to the statement received directly from these companies for details.

Tax Information for Income Distributions - Your year-end tax documents (eg. Form 1099) will provide specific classifications of your income distributions. The 2003 tax law allows Qualified (Q) dividends to be taxed at reduced rates: 15%, or 5% for individuals whose tax rates are 15% or less. Nonqualified (N) dividends are taxed at ordinary rates. Some, but not all, of Partially Qualified (P) dividends are taxed at reduced rates.

Cost Basis - Cost basis is the amount paid for a security, including commissions, reinvestments and original issue discount (OID) and adjustments for sales, principal returns, splits and spin offs. Some data may be unsubstantiated and should not be relied upon for tax preparation.

Amount Invested/Withdrawn - Amount invested reflects all purchases and other additions to your holdings, with the exception of dividend reinvestments. Amount withdrawn shows how much of your investment has been sold, redeemed or transferred. These figures should not be used for tax reporting or tax preparation.

Ratings and Recommendations - Edward Jones research opinions, Standard & Poor's and Moody's ratings may be shown for certain securities. Ratings or recommendations should not be considered an indication of future performance.

Account Activity - Entries appearing in Account Activity sections under columns headed "Where Invested" or "Sources of Funds" are additions to or subtractions from your account. For clients who maintain a money market account, entries indicating "Tax-Free Money Market" mean Edward Jones Tax-Free Money Market Fund and entries indicating "Money Market" mean Edward Jones Money Market Investment Shares or Retirement Shares depending on the share class owned. Activity dates appearing under "Sources of Funds" correspond to the sale date of the designated Edward Jones Money Market Fund. Entries appearing under "Where Invested" will be transacted as a purchase of the previously designated Edward Jones Money Market Fund on the second business day after the date shown, with the following exceptions which will be transacted on the date indicated:

Source of Funds	Number of Days After Activity Date Shown Until Purchase of Money Market Fund
Wired Funds, SWPS Electronic Bank Transfer, Direct Deposit	1
Security Sold or Interest/Dividend Received*	0
Bond Maturities, Calls, Tendered Items *	1
Transfers from Margin Account or Money Market Fund in another Edward Jones Account	0

*For assets held within Edward Jones account

5728

Account number:
Statement type:
September 30 - October 27, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

EDWARD D JONES & CO CUSTODIAN
FBO HAROLD D ROGERS IRA IRA

Value Summary (Held at Edward Jones)

Value on Oct 27	$115,294.01
Value on Sep 30	$110,803.21
Value one year ago	$93,447.95

Summary of Your Assets

Held at Edward Jones	Value on Oct 27	Value on Sep 30	Change in value
Stocks	$53,952.42	$51,175.06	$2,777.36
Mutual funds	61,341.59	59,628.15	1,713.44
Total at Edward Jones	$115,294.01	$110,803.21	$4,490.80

Your Retirement Account Summary

	This period	Cumulative
2006 Contributions	$0.00	$0.00
2005 Contributions	$0.00	$0.00

September Statement Insert Correction
In the "New Fee Schedule Effective Jan. 1, 2007" insert, a $20 fee for each additional IRA for households with assets of $500,000 or more was noted. However, there are no fees on IRAs for households with $500,000 or more in assets with Edward Jones. Please accept our apology.

Summary of Your Income

Income from securities	This Period	Year-to-date
Money market dividends	—	$0.11
Dividends	6,368.88	7,541.54
Total	$6,368.88	$7,541.65

5729

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Your Assets at Edward Jones

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ASHLAND INC NEW Symbol: ASH	Growth None	57.420	717.63652	$41,206.69	$19,016.40	—
MARATHON OIL CORP Symbol: MRO	Growth & Income None	86.350	147.6055	12,745.73	4,003.09	—
Total stocks				$53,952.42	$23,019.49	—

Mutual funds	Our asset category	Current price	Current shares	Current value	Amount invested	Amount withdrawn
INVESTMENT COMPANY OF AMERICA CL A Quote Symbol: AIVSX	Growth & Income	34.95	928.736	$32,459.32	$25,056.97	-$10.00
LORD ABBETT AFFILIATED FUND CL B Quote Symbol: LAFBX	Growth & Income	15.89	1,300.572	20,666.09	14,000.00	—
LORD ABBETT DEVELOPING GROWTH FUND CL B Quote Symbol: LADBX	Aggressive	17.70	464.191	8,216.18	7,000.00	—
Total mutual funds				$61,341.59	$46,056.97	-$10.00
Total estimated asset value				$115,294.01		

5730

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Summary of Your Investment Activity

Total cash and money market funds on Sep 30	$0.00
Additions	
Income	$6,368.88
Total additions	$6,368.88
Subtractions	
Withdrawals to purchase securities	-$6,368.88
Total subtractions	-$6,368.88
Total cash and money market funds on Oct 27	**$0.00**

Detail of Your Investment Activity

Additions

	Type	Date		Quantity	Amount per share	Rate	Amount	Where invested
Income	Dividends	10/26	ASHLAND INC	624.39951	10.20		$6,368.88	Reinvested
		Total income					**$6,368.88**	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of funds
Withdrawals to purchase securities	10/26	ASHLAND INC REINVESTMENT FEE $127.38	93.23701	66.9423	10/20	-$6,368.88	Income
	Total withdrawals to purchase securities					**-$6,368.88**	

5731

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

As you requested, copies of your statement have been sent to:

[redacted]

Does phased-in retirement make sense for you?
Join us Dec. 12 as Jean Chatzky of "Money" magazine and "Today" discusses the pros, cons and nuances of phasing into retirement. Contact your investment representative for program details.

5732

Account number:
Statement type:
October 28 - November 24, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

EDWARD D JONES & CO CUSTODIAN
FBO HAROLD D ROGERS IRA IRA

Copy To:

Value Summary (Held at Edward Jones)

Value on Nov 24	$124,537.55
Value on Oct 28	$115,294.01
Value one year ago	$98,235.32

Summary of Your Assets

Held at Edward Jones	Value on Nov 24	Value on Oct 28	Change in value
Cash & money market	$0.41	—	$0.41
Stocks	62,196.90	53,952.42	8,244.48
Mutual funds	62,340.24	61,341.59	998.65
Total at Edward Jones	$124,537.55	$115,294.01	$9,243.54

Your Retirement Account Summary

	This period	Cumulative
2006 Contributions	$0.00	$0.00
2005 Contributions	$0.00	$0.00

Dec. 31 is the Required Minimum Distribution (RMD) Deadline
Timing is critical. Internal Revenue Service (IRS) rules may assess a potential penalty of 50% on the amount not distributed as required. Consolidating IRAs to Edward Jones makes sense. Let us help you calculate your RMD and provide you with on-time distributions. Contact your investment representative today.

Summary of Your Income

	This Period	Year-to-date
Income from securities		
Money market dividends	$0.41	$0.52
Dividends	982.22	8,523.76
Capital gain distributions	2,698.64	2,698.64
Total	$3,681.27	$11,222.92

5733

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Retirement money market	4.01%	4.09%	$0.41
Total cash and money market funds			**$0.41**

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ASHLAND INC NEW Symbol: ASH	Growth None	66.550	732.111	$48,721.99	$19,016.40	—
MARATHON OIL CORP Symbol: MRO	Growth & Income None	91.290	147.6055	13,474.91	4,003.09	—
Total stocks				**$62,196.90**	$23,019.49	—

Mutual funds	Our asset category	Current price	Current shares	Current value	Amount invested	Amount withdrawn
INVESTMENT COMPANY OF AMERICA CL A Quote Symbol: AIVSX	Growth & Income	35.41	928.736	$32,888.54	$25,056.97	-$10.00
LORD ABBETT AFFILIATED FUND CL B Quote Symbol: LAFBX	Growth & Income	14.95	1,389.751	20,776.78	14,000.00	—
LORD ABBETT DEVELOPING GROWTH FUND CL B Quote Symbol: LADBX	Aggressive	15.68	553.375	8,676.92	7,000.00	—
Total mutual funds				**$62,340.24**	$46,056.97	-$10.00
Total estimated asset value				**$124,537.55**		

5734

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Summary of Your Investment Activity

Total cash and money market funds on Oct 28	$0.00
Additions	
Income	$3,681.27
Total additions	$3,681.27
Subtractions	
Withdrawals to purchase securities	-$3,680.86
Total subtractions	-$3,680.86
Total cash and money market funds on Nov 24	$0.41

Detail of Your Investment Activity

Additions

	Type	Date			Days	Rate	Amount	Where Invested
Income	Money market dividends	11/10	CLOSE OUT REDEMPTION DIVIDEND				0.41	Money market

Type	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Dividends	11/02	ASHLAND INC 10/25/06 DIVIDEND				$951.01	Money market
	11/22	LORD ABBETT AFFILIATED FD CL B	1300.572	0.024		31.21	Reinvested
Capital gains distributions Short-term	11/22	LORD ABBETT DEVELOPING GRWTH B	464.191	1.694		$786.66	Reinvested
	11/22	LORD ABBETT AFFILIATED FD CL B	1300.572	0.111		145.27	Reinvested

5735

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Additions, continued

	Type	Date		Quantity	Amount per share	Rate	Amount	Where invested
Income	Capital gains distributions Long-term	11/22	LORD ABBETT DEVELOPING GRWTH B	464.191	1.312		$609.07	Reinvested
		11/22	LORD ABBETT AFFILIATED FD CL B	1300.572	0.89		1,157.64	Reinvested
		Total income					**$3,681.27**	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	11/09	ASHLAND INC REINVESTMENT FEE $19.02	14.47448	64.3885	11/09	-$951.01	Income
	11/22	REINVESTMENT INTO LORD ABBETT DEVELOPING GRWTH B	50.266	15.65		-786.66	Income
	11/22	REINVESTMENT INTO LORD ABBETT AFFILIATED FD CL B	77.382	14.96		-1,157.64	Income
	11/22	REINVESTMENT INTO LORD ABBETT AFFILIATED FD CL B	9.711	14.96		-145.27	Income
	11/22	REINVESTMENT INTO LORD ABBETT AFFILIATED FD CL B	2.086	14.96		-31.21	Income
	11/22	REINVESTMENT INTO LORD ABBETT DEVELOPING GRWTH B	38.918	15.65		-609.07	Income
	Total withdrawals to purchase securities					**-$3,680.86**	

5736

Account number:
Statement type.
November 25 - December 31, 2006

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

EDWARD D JONES & CO CUSTODIAN
FBO HAROLD D ROGERS IRA IRA

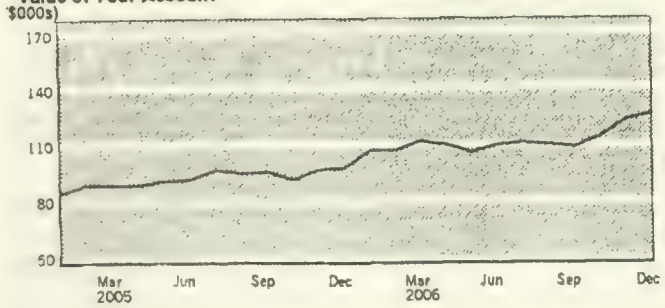
Value Summary (Held at Edward Jones)

Value on Dec 31	$127,774.73
Value on Nov 25	$124,537.55
Value one year ago	$99,223.42

Summary of Your Assets

Held at Edward Jones	Value on Dec 31	Value on Nov 25	Change in value
Cash & money market	$0.41	$0.41	$0.00
Stocks	64,556.17	62,196.90	2,359.27
Mutual funds	63,218.15	62,340.24	877.91
Total at Edward Jones	$127,774.73	$124,537.55	$3,237.18

Value of Your Account



This chart shows how the value of your account has changed recently
includes only assets held at Edward Jones). While it's important to be aware
of short-term results, don't lose sight of the long term. Edward Jones believes
a long-term investment strategy offers the greatest potential for success.

5737

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Your Retirement Account Summary

	This period	Cumulative
2006 Contributions	$0.00	$0.00
2005 Contributions	$0.00	$0.00

Information Regarding Your 2007 Required Minimum Distribution (RMD)
If you are age 70½ or older, the Internal Revenue Service (IRS) may require you to remove a minimum distribution from your retirement account by Dec. 31, 2007. However, if you turn 70½ in 2007, you may defer your 2007 RMD until April 1, 2008. If an RMD is due, Edward Jones will report that information to the IRS on IRS Form 5498. Contact your investment representative for this calculation.

Summary of Your Income

	This Period	Year-to-date
Income from securities		
Money market dividends	—	$0.52
Dividends	546.38	9,070.14
Capital gain distributions	1,915.05	4,613.69
Total	$2,461.43	$13,684.35

Your Estimated Interest and Dividends

The following is an estimated summary of your interest and dividend income for the next 12 months. It is based on a variety of factors. These factors, including distribution rates and amount of principal invested, are subject to change. Because they are estimates, the specific amounts listed here are not guaranteed by Edward Jones or by the underlying issuer(s) of the securities. Income from items with * is being reinvested.

		2007												
Stocks	**Quantity**	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	Total
ASHLAND INC*	734			202			202			202			202	808
MARATHON OIL CORP*	148			59			59			59			59	236
Mutual Funds														
INVESTMENT CO OF AMERICA CL A*	994			149			149			149			149	596
LORD ABBETT AFFILIATED FD CL B*	1,390		33			33			33			33		132
Total			**33**	**410**		**33**	**410**		**33**	**410**		**33**	**410**	**1,772**

5738

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Cash			$0.41
Total cash and money market funds			$0.41

Stocks	Our asset category/ Our recommendation	Current price	Current shares	Current value	Amount invested	Amount withdrawn
ASHLAND INC NEW	Growth	69.180	734.99559	$50,846.99	$19,016.40	—
Symbol: ASH	None					
MARATHON OIL CORP	Growth & Income	92.500	148.2074	13,709.18	4,003.09	—
Symbol: MRO	None					
Total stocks				$64,556.17	$23,019.49	—

Mutual funds	Our asset category	Current price	Current shares	Current value	Amount invested	Amount withdrawn
INVESTMENT COMPANY OF AMERICA CL A	Growth & Income	33.51	994.058	$33,310.88	$25,056.97	-$10.00
Quote Symbol: AIVSX						
LORD ABBETT AFFILIATED FUND CL B	Growth & Income	15.34	1,390.840	21,335.49	14,000.00	—
Quote Symbol: LAFBX						
LORD ABBETT DEVELOPING GROWTH FUND CL B	Aggressive	15.49	553.375	8,571.78	7,000.00	—
Quote Symbol: LADBX						
Total mutual funds				$63,218.15	$46,056.97	-$10.00
Total estimated asset value				**$127,774.73**		

5739

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Summary of Your Investment Activity

Total cash and money market funds on Nov 25	$0.41
Additions	
Income	$2,461.43
Proceeds from securities sold	$0.00
Total additions	$2,461.43
Subtractions	
Withdrawals to purchase securities	-$2,461.43
Total subtractions	-$2,461.43
Total cash and money market funds on Dec 31	**$0.41**

Detail of Your Investment Activity

Additions

	Type	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Income	Dividends	12/11	MARATHON OIL CORP	147.6055	0.40		$59.04	Reinvested
		12/15	ASHLAND INC	732.111	0.275		201.33	Reinvested
		12/22	LORD ABBETT AFFILIATED FD CL B	1389.751	0.012		16.68	Reinvested
		12/22	INVESTMENT CO OF AMERICA CL A	928.736	0.29		269.33	Reinvested
	Capital gains distributions Long-term	12/22	INVESTMENT CO OF AMERICA CL A	928.736	2.062		$1,915.05	Reinvested
		Total Income					**$2,461.43**	

5740

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

Additions, continued

	Date		Quantity	Amount per share	Trade date	Amount	Where invested
Proceeds from securities sold	12/01	MONEY MARKET SALE	0.41				
	Total proceeds from securities sold					**$0.00**	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	12/11	MARATHON OIL CORP REINVESTMENT FEE $1.18	0.6019	96.1284	12/06	-$59.04	Income
	12/15	ASHLAND INC REINVESTMENT FEE $4.03	2.88459	68.398	12/12	-201.33	Income
	12/22	REINVESTMENT INTO INVESTMENT CO OF AMERICA CL A	8.054	33.44		-269.33	Income
	12/22	REINVESTMENT INTO LORD ABBETT AFFILIATED FD CL B	1.089	15.32		-16.68	Income
	12/22	REINVESTMENT INTO INVESTMENT CO OF AMERICA CL A	57.268	33.44		-1,915.05	Income
	Total withdrawals to purchase securities					**-$2,461.43**	

As you requested, copies of your statement have been sent to:

5741

201 Progress Parkway
Maryland Heights, MO 63043-3042
www.edwardjones.com
Member SIPC

Edward Jones
MAKING SENSE OF INVESTING

ACCOUNT INFORMATION

Please see your account agreement forms for complete conditions governing your account. All transactions are subject to applicable rules of the exchange market and its clearing house where the trade occurred, as well as of the Securities Exchange Commission, the Federal Reserve Board and any applicable self-regulatory organizations. If you have a complaint, please notify us at Edward Jones, Attn: Complaints Department, 1245 JJ Kelley Memorial Dr., St. Louis MO 63131. Please notify us promptly with concerns or if you believe an error has been made in your account. Please refer to the cover page of this statement for our contact information.

You can only withdraw $2,500 per day from a bank teller using your Edward Jones VISA debit card.

With questions regarding your mortgage or home equity loans, please refer to the separate loan statement issued for a description of fees and charges.

Account Protection - Edward Jones provides account protection for the net equity of your assets (except annuities and insurance) held in custody by Edward Jones for your account. Of that total, the Securities Investor Protection Corporation (SIPC) provides $500,000 of coverage, including $100,000 for claims of cash awaiting reinvestment (SIPC coverage). The remaining net equity is protected by Customer Asset Protection Company (CAPCO). This protection does not guard against market loss. Any oral communications you make with Edward Jones regarding your account should be reconfirmed in writing to further protect your rights, including rights under the Securities Investor Protection Act.

REGULATORY DISCLOSURES

Securities Investor Protection Corporation (SIPC): Information from SIPC, including the SIPC Brochure, can be obtained at www.sipc.org or by calling (202)371-8300.

Financial Statement - The firm's financial statement is available at your branch office, or a copy will be mailed upon written request.

Rights to your Free Credit Balance - We are permitted to use your free credit balances to conduct our business, subject to the limitations of 17C.F.R.240 15c3-3 under the Securities Exchange Act of 1934. You have the right to receive, during normal business operations, free delivery of your credit balances; any securities to which you are entitled and which have been fully paid; upon full payment of any debt to us, any securities purchased on margin. You may receive interest on free credit balances, provided the funds in your account are awaiting reinvestment. If you maintain free credit balances in your account for the purpose of earning interest or for any purpose other than purchasing securities, those funds may not be covered by SIPC.

Errors or Questions About Your Electronic Transfers - For details, go to www.edwardjones.com/electronictransfer or refer to the statement cover page for our contact information.

Amount of money you can borrow - What you can borrow is not a commitment to loan funds. The amount available is typically 50% of the value of your investments held at Edward Jones. Your loan value could change daily. Your investment representative has access to daily values as well as more information on the "Amount of money you can borrow" should you wish to activate this feature. The minimum portfolio value required to be eligible for this feature is $4,000. Single, joint and business accounts are eligible.

Loan/Margin Accounts - If you have a loan or margin account, this statement covers: your general brokerage account; a special miscellaneous account maintained for you under section 4(F)(6) of Regulation T issued by the Board of Governors of The Federal Reserve System; a separate account for securities you have sold short. The permanent record of this account is available upon request. If you have a credit balance in your loan account, the surplus amount is included with the amount shown for "cash and money market" balance.

Tax Withholding on Retirement Accounts - Federal income taxes of 10% on IRA or 20% on qualified plan distributions will be withheld unless a higher amount is elected or an IRS exception applies. State income taxes are withheld depending upon your state of residence upon distribution. Your withholding election will remain in effect until federal or state rule changes. Insufficient withholding may subject you to estimated income-tax payments to avoid penalties.

Fair Market Value for Individual Retirement Accounts - If you have an IRA, Roth, SEP or SIMPLE, your December 31st fair market value will be reported to the IRS as required by law.

Required Minimum Distributions (RMDs) - RMDs from other IRAs must be calculated separately, but the total amount may be removed from one or more of your IRAs. RMDs from qualified plans must be calculated and removed separately from each plan and cannot be part of your IRA RMD. If you turned age 70-1/2 last year, and deferred your first RMD until the current year or you have unpriced securities in this account, the RMD Summary Section is understated. Verification by a tax professional is recommended.

Transaction/Settlement Dates - Securities transactions are noted on the settlement date shown on the transaction confirmation, excepting transactions involving Edward Jones Tax-free Money Market Fund or Edward Jones Money Market Investment Shares or Retirement Shares which are listed on the trade date. Additional sections report pending trades and open orders.

Contingent Deferred Sales Charge - Shares sold of certain mutual fund classes may be subject to a contingent deferred sales charge.

Portfolio Objective - Inform us promptly of any material change in your portfolio objective or financial situation.

Debt Securities Transactions - Call features may exist which could affect yield; complete information will be provided upon request.

Information for Investors - Edward Jones is a member of the New York Stock Exchange and the Chicago Stock Exchange. The firm also transacts business with a variety of dealers in securities, including listed and over-the-counter stocks and bonds, government and agency issues and municipal securities. For equity securities, the firm monitors performance of competing market centers and dealers and routes orders to those that consistently guarantee execution at the national best bid or offer or better. Routed market orders generally are directed to market centers or dealers that offer opportunity for better prices through either automated or manual systems. For agency transactions, the name of the other broker or party to the transaction will be furnished upon written request. For agency and principal transactions, the date and time of execution will be furnished upon written request. Periodically, the firm may receive other remuneration on agency trades from other sources. Information will be furnished upon written request.

TERMINOLOGY

Total Estimated Value - The approximate value of the assets held at Edward Jones and outside companies at the statement date shown or date specified in the detail of Your Assets. It is estimated as the prices used to value your securities are provided by an outside service and do not always represent exact market prices. Edward Jones can't guarantee the accuracy of such values; if you need the exact price, contact your investment representative. Values for many fixed-income charges are estimates based on coupon rate and credit rating and may not represent actual transaction price. Values don't include accrued interest or dividends and for some investments don't reflect applicable charges and fees.

Your Assets Held Outside Edward Jones - Balances are provided for your information only to give an overall view of your investments with Edward Jones. SIPC coverage isn't extended to assets held outside the custody of Edward Jones. Refer to the statement received directly from these companies for details.

Tax Information for Income Distributions - Your year-end tax documents (eg. Form 1099) will provide specific classifications of your income distributions. The 2003 tax law allows Qualified (Q) dividends to be taxed at reduced rates: 15%, or 5% for individuals whose tax rates are 15% or less. Nonqualified (N) dividends are taxed at ordinary rates. Some, but not all, of Partially Qualified (P) dividends are taxed at reduced rates.

Cost Basis - The amount paid for a security, including commissions, reinvestments and original issue discount (OID) and adjustments for sales, principal returns, splits and spin offs. Some data may be unsubstantiated and should not be relied upon for tax preparation.

Amount Invested/Withdrawn - Amount invested reflects all purchases and other additions to your holdings, with the exception of dividend reinvestments. Amount withdrawn shows how much of your investment has been sold, redeemed or transferred. These figures should not be used for tax reporting or tax preparation.

Ratings and Recommendations - Edward Jones research opinions, Standard & Poor's and Moody's ratings may be shown for certain securities. Ratings or recommendations should not be considered an indication of future performance.

Account Activity - Entries appearing in Account Activity sections under columns headed "Where Invested" or "Sources of Funds" are additions to or subtractions from your account. For clients who maintain a money market account, entries indicating "Tax-Free Money Market" mean Edward Jones Tax-Free Money Market Fund and entries indicating "Money Market" mean Edward Jones Money Market Investment Shares or Retirement Shares depending on the share class owned. Activity dates appearing under "Sources of Funds" correspond to the sale date of the designated Edward Jones Money Market Fund. Entries appearing under "Where Invested" will be transacted as a purchase of the previously designated Edward Jones Money Market Fund on the second business day after the date shown, with the following exceptions which will be transacted on the date indicated:

Source of Funds	Number of Days After Activity Date Shown Until Purchase of Money Market Fund
Mixed Funds, BWPS Electronic Bank Transfer, Direct Deposit	3
Security Sold or Interest/Dividend Received*	0
Bond Maturities, Calls, Tendered Items*	1
Transfers from Margin Account or Money Market Fund in another Edward Jones Account	0

*For assets held within Edward Jones account

BOSTON PUBLIC LIBRARY
3 9999 06352 939 8

Continued in House Document 110-43, Volume 4 of 4